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SENATE BILL NO. 692

AMENDMENT IN THE NATURE OF A SUBSTITUTE

(Proposed by the Joint Conference Committee
on March 11, 2016)

(Patrons Prior to Substitute—Senators Norment [SB 738], Black [SB 213], and Newman [SB 657])

A BILL to amend and reenact §§ 2.2-419, 2.2-426, 2.2-427, 2.2-3101, 2.2-3106, 2.2-3109.1, 2.2-3114, 2.2-3115, 2.2-3116, as it is currently effective and as it shall become effective, 2.2-3117, 2.2-3118, 2.2-3118.1, 24.2-502, 30-101, 30-110, 30-111, 30-356, and 30-356.1 of the Code of Virginia and to amend the Code of Virginia by adding sections numbered 2.2-3114.2, 30-110.1, and 30-356.2, relating to lobbyist reporting, the State and Local Government Conflict of Interests Act, and the General Assembly Conflicts of Interests Act; annual filing of required disclosures; definition of gift; separate report of gifts; definition of procurement action; technical amendments.

Be it enacted by the General Assembly of Virginia:

1. That §§ 2.2-419, 2.2-426, 2.2-427, 2.2-3101, 2.2-3106, 2.2-3109.1, 2.2-3114, 2.2-3115, 2.2-3116, as it is currently effective and as it shall become effective, 2.2-3117, 2.2-3118, 2.2-3118.1, 24.2-502, 30-101, 30-110, 30-111, 30-356, and 30-356.1 of the Code of Virginia are amended and reenacted and that the Code of Virginia is amended by adding sections numbered 2.2-3114.2, 30-110.1, and 30-356.2 as follows:

§ 2.2-419. Definitions.

As used in this article, unless the context requires a different meaning:

"Anything of value" means:

1. A pecuniary item, including money, or a bank bill or note;
2. A promissory note, bill of exchange, order, draft, warrant, check, or bond given for the payment of money;
3. A contract, agreement, promise, or other obligation for an advance, conveyance, forgiveness of indebtedness, deposit, distribution, loan, payment, gift, pledge, or transfer of money;
4. A stock, bond, note, or other investment interest in an entity;
5. A receipt given for the payment of money or other property;
6. A right in action;
7. A gift, tangible good, chattel, or an interest in a gift, tangible good, or chattel;
8. A loan or forgiveness of indebtedness;
9. A work of art, antique, or collectible;
10. An automobile or other means of personal transportation;
11. Real property or an interest in real property, including title to realty, a fee simple or partial interest, present or future, contingent or vested within realty, a leasehold interest, or other beneficial interest in realty;
12. An honorarium or compensation for services;
13. A rebate or discount in the price of anything of value unless the rebate or discount is made in the ordinary course of business to a member of the public without regard to that person's status as an executive or legislative official, or the sale or trade of something for reasonable compensation that would ordinarily not be available to a member of the public;
14. A promise or offer of employment; or
15. Any other thing of value that is pecuniary or compensatory in value to a person.

"Anything of value" does not mean a campaign contribution properly received and reported pursuant to Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2.

"Compensation" means:

1. An advance, conveyance, forgiveness of indebtedness, deposit, distribution, loan, payment, gift, pledge, or transfer of money or anything of value; or
2. A contract, agreement, promise or other obligation for an advance, conveyance, forgiveness of indebtedness, deposit, distribution, loan, payment, gift, pledge, or transfer of money or anything of value, for services rendered or to be rendered.

"Compensation" does not mean reimbursement of expenses if the reimbursement does not exceed the amount actually expended for the expenses and it is substantiated by an itemization of expenses.

"Council" means the Virginia Conflict of Interest and Ethics Advisory Council established in § 30-355.

"Executive action" means the proposal, drafting, development, consideration, amendment, adoption, approval, promulgation, issuance, modification, rejection, or postponement by an executive agency or official of legislation or executive orders issued by the Governor. "Executive action" includes procurement transactions.

60 "Executive agency" means an agency, board, commission, or other body in the executive branch of
61 state government. "Executive agency" includes the State Corporation Commission, the Virginia Workers'
62 Compensation Commission, and the Virginia Lottery.

63 "Executive official" means:

- 64 1. The Governor;
- 65 2. The Lieutenant Governor;
- 66 3. The Attorney General;
- 67 4. Any officer or employee of the office of the Governor, Lieutenant Governor, or Attorney General
68 other than a clerical or secretarial employee;
- 69 5. The Governor's Secretaries, the Deputy Secretaries, and the chief executive officer of each
70 executive agency; or
- 71 6. Members of supervisory and policy boards, commissions and councils, as defined in § 2.2-2100,
72 however selected.

73 "Expenditure" means:

- 74 1. A purchase, payment, distribution, loan, forgiveness of a loan or payment of a loan by a third
75 party, advance, deposit, transfer of funds, a promise to make a payment, or a gift of money or anything
76 of value for any purpose;
- 77 2. A payment to a lobbyist for salary, fee, reimbursement for expenses, or other purpose by a person
78 employing, retaining, or contracting for the services of the lobbyist separately or jointly with other
79 persons;
- 80 3. A payment in support of or assistance to a lobbyist or the lobbyist's activities, including the direct
81 payment of expenses incurred at the request or suggestion of the lobbyist;
- 82 4. A payment that directly benefits an executive or legislative official or a member of the official's
83 immediate family;
- 84 5. A payment, including compensation, payment, or reimbursement for the services, time, or expenses
85 of an employee for or in connection with direct communication with an executive or legislative official;
- 86 6. A payment for or in connection with soliciting or urging other persons to enter into direct
87 communication with an executive or legislative official; or
- 88 7. A payment or reimbursement for categories of expenditures required to be reported pursuant to
89 this chapter.

90 "Expenditure" does not mean a campaign contribution properly received and reported pursuant to
91 Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2.

92 "Fair market value" means the price that a good or service would bring between a willing seller and
93 a willing buyer in the open market after negotiations. If the fair market value cannot be determined, the
94 actual price paid for the good or service shall be given consideration.

95 "Gift" means anything of value, including any gratuity, favor, discount, entertainment, hospitality,
96 loan, forbearance, or other item having monetary value, and includes services as well as gifts of
97 transportation, local travel, lodgings, and meals, whether provided in-kind or by purchase of a ticket,
98 payment in advance, or reimbursement after the expense has been incurred.

99 "Gift" does not mean:

- 100 1. Printed informational or promotional material;
- 101 2. A gift that is not used and, no later than 60 days after receipt, is returned to the donor or
102 delivered to a charitable organization and is not claimed as a charitable contribution for federal income
103 tax purposes;
- 104 3. A devise or inheritance;
- 105 4. A gift of a value of \$50 or less than \$20;
- 106 5. Any offer of a ticket, coupon, or other admission or pass unless the ticket, coupon, admission, or
107 pass is used;
- 108 6. Any food or beverages provided to an individual at an event at which the individual is performing
109 official duties related to his public service;
- 110 7. Any food and beverages received at or registration or attendance fees waived for any event at
111 which the individual is a featured speaker, presenter, or lecturer;
- 112 8. An unsolicited award of appreciation or recognition in the form of a plaque, trophy, wall
113 memento, or similar item that is given in recognition of public, civic, charitable, or professional service;
- 114 9. Any gift from to an individual's spouse, child, uncle, aunt, niece, nephew, or first cousin; a person
115 to whom the donee is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild,
116 brother, sister, step-parent, step-grandparent, step-grandchild, step-brother, or step-sister; or the donee's
117 brother's or sister's spouse;
- 118 10. Travel provided to facilitate attendance by a legislator at a regular or special session of the
119 General Assembly, a meeting of a legislative committee or commission, or a national conference where
120 attendance is approved by the House *Committee on Rules or its Chairman* or the Senate Committee on
121 Rules or its Chairman; or

11. Travel related to an official meeting of the Commonwealth, its political subdivisions, or any board, commission, authority, or other entity, or any charitable organization established pursuant to § 501(c)(3) of the Internal Revenue Code affiliated with such entity, to which such person has been appointed or elected or is a member by virtue of his office or employment.

"Immediate family" means (i) the spouse and (ii) any other person who resides in the same household as the executive or legislative official and who is a dependent of the official.

"Legislative action" means:

1. Preparation, research, drafting, introduction, consideration, modification, amendment, approval, passage, enactment, tabling, postponement, defeat, or rejection of a bill, resolution, amendment, motion, report, nomination, appointment, or other matter by the General Assembly or a legislative official;

2. Action by the Governor in approving, vetoing, or recommending amendments for a bill passed by the General Assembly; or

3. Action by the General Assembly in overriding or sustaining a veto by the Governor, considering amendments recommended by the Governor, or considering, confirming, or rejecting an appointment of the Governor.

"Legislative official" means:

1. A member or member-elect of the General Assembly;

2. A member of a committee, subcommittee, commission, or other entity established by and responsible to the General Assembly or either house of the General Assembly; or

3. Persons employed by the General Assembly or an entity established by and responsible to the General Assembly.

"Lobbying" means:

1. Influencing or attempting to influence executive or legislative action through oral or written communication with an executive or legislative official; or

2. Solicitation of others to influence an executive or legislative official.

"Lobbying" does not mean:

1. Requests for appointments, information on the status of pending executive and legislative actions, or other ministerial contacts if there is no attempt to influence executive or legislative actions;

2. Responses to published notices soliciting public comment submitted to the public official designated in the notice to receive the responses;

3. The solicitation of an association by its members to influence legislative or executive action; or

4. Communications between an association and its members and communications between a principal and its lobbyists.

"Lobbyist" means:

1. An individual who is employed and receives payments, or who contracts for economic consideration, including reimbursement for reasonable travel and living expenses, for the purpose of lobbying;

2. An individual who represents an organization, association, or other group for the purpose of lobbying; or

3. A local government employee who lobbies.

"Lobbyist's principal" or "principal" means the entity on whose behalf the lobbyist influences or attempts to influence executive or legislative action. An organization whose employees conduct lobbying activities on its behalf is both a principal and an employer of the lobbyists. In the case of a coalition or association that employs or retains others to conduct lobbying activities on behalf of its membership, the principal is the coalition or association and not its individual members.

"Local government" means:

1. Any county, city, town, or other local or regional political subdivision;

2. Any school division;

3. Any organization or entity that exercises governmental powers that is established pursuant to an interstate compact; or

4. Any organization composed of members representing entities listed in subdivisions 1, 2, or 3 of this definition.

"Local government employee" means a public employee of a local government.

"Person" means an individual, proprietorship, firm, partnership, joint venture, joint stock company, syndicate, business trust, estate, company, corporation, association, club, committee, organization, or group of persons acting in concert.

"Procurement transaction" means all functions that pertain to obtaining all goods, services, or construction on behalf of an executive agency, including description of requirements, selection and solicitation of sources, preparation and award of contract, and all phases of contract administration where the stated or expected value of the contract is \$5 million or more.

"Secretary" means the Secretary of the Commonwealth.

183 "Value" means the actual cost or fair market value of an item or items, whichever is greater. If the
 184 fair market value cannot be determined, the actual amount paid for the item or items shall be given
 185 consideration.

186 "Widely attended event" means an event at which at least 25 persons have been invited to attend or
 187 there is a reasonable expectation that at least 25 persons will attend the event and the event is open to
 188 individuals (i) who share a common interest, (ii) who are members of a public, civic, charitable, or
 189 professional organization, (iii) who are from a particular industry or profession, or (iv) who represent
 190 persons interested in a particular issue.

191 **§ 2.2-426. Lobbyist reporting; penalty.**

192 A. Each lobbyist shall file with the Council a separate ~~semiannual~~ *annual* report of expenditures,
 193 including gifts, for each principal for whom he lobbies by ~~December 15 for the preceding six-month~~
 194 ~~period complete through the last day of October and June 15 July 1~~ for the preceding six-month
 195 12-month period complete through the last day of April.

196 B. Each principal who expends more than \$500 to employ or compensate multiple lobbyists shall be
 197 responsible for filing a consolidated lobbyist report pursuant to this section in any case in which the
 198 lobbyists are each exempt under the provisions of subdivision 7 or 8 of § 2.2-420 from the reporting
 199 requirements of this section.

200 C. (Effective January 1, 2016, through July 1, 2016) The report shall be on a form ~~provided~~
 201 ~~prescribed~~ by the Council, which shall be substantially similar to the following and shall be
 202 accompanied by instructions provided by the Council.

203 C. (Effective July 1, 2016) The report shall be on a form ~~provided~~ *prescribed* by the Council, which
 204 shall be substantially similar to the following and shall be accompanied by instructions provided by the
 205 Council. All reports shall be submitted electronically and in accordance with the standards approved by
 206 the Council pursuant to the provisions of § 30-356.

207 ~~LOBBYIST'S DISCLOSURE STATEMENT~~

208 ~~PART I:-~~

209 ~~(1) PRINCIPAL: _____~~

210 ~~In Part I, item 2a, provide the name of the individual~~
 211 ~~authorizing your employment as a lobbyist. The lobbyist filing~~
 212 ~~this statement MAY NOT list his name in item 2a.~~

213 ~~(2a) Name: _____~~

214 ~~(2b) Permanent Business Address: _____~~

215 ~~(2c) Business Telephone: _____~~

216 ~~(3) Provide a list of executive and legislative actions (with as~~
 217 ~~much specificity as possible) for which you lobbied and a~~
 218 ~~description of activities conducted.~~

219 ~~_____~~

220 ~~_____~~

221 ~~_____~~

222 ~~(4) INCORPORATED FILINGS: If you are filing an incorporated~~
 223 ~~disclosure statement, please complete the following:-~~

224 ~~Individual filing financial information: _____~~

225 ~~Individuals to be included in the filing: _____~~

226 ~~_____~~

227 ~~(5) Please indicate which schedules will be attached to your~~
 228 ~~disclosure statement:-~~

229 ~~[] Schedule A: Entertainment Expenses~~

230 ~~[] Schedule B: Gifts~~

231 ~~[] Schedule C: Other Expenses~~

232 ~~(6) EXPENDITURE TOTALS:-~~

233 ~~a) ENTERTAINMENT \$ _____~~

234 ~~b) GIFTS \$ _____~~

235 ~~c) COMMUNICATIONS \$ _____~~

236 ~~d) PERSONAL LIVING AND TRAVEL EXPENSES \$ _____~~

237 ~~e) COMPENSATION OF LOBBYISTS \$ _____~~

238 ~~f) HONORARIA \$ _____~~

239 ~~g) OTHER \$ _____~~

240 ~~TOTAL \$ _____~~

241 ~~PART II:-~~

242 (1a) NAME OF LOBBYIST: _____
 243 (1b) Permanent Business Address: _____
 244 (1c) Business Telephone: _____
 245 (2) As a lobbyist, you are (check one)
 246 ☐ EMPLOYED (on the payroll of the principal)
 247 ☐ RETAINED (not on the payroll of the principal, however
 248 compensated)
 249 ☐ NOT COMPENSATED (not compensated; expenses may be reimbursed)
 250 (3) List all lobbyists other than yourself who registered to
 251 represent your principal.
 252 _____
 253 _____
 254 _____
 255 (4) If you selected "EMPLOYED" as your answer to Part II, item 2,
 256 provide your job title.
 257 _____
 258 PLEASE NOTE: Some lobbyists are not individually compensated for
 259 lobbying activities. This may occur when several members of a firm
 260 represent a single principal. The principal, in turn, makes a single
 261 payment to the firm. If this describes your situation, do not answer
 262 Part II, items 5a and 5b. Instead, complete Part III, items 1 and 2.
 263 (5a) What was the DOLLAR AMOUNT OF YOUR COMPENSATION as a lobbyist?
 264 (If you have job responsibilities other than those involving
 265 lobbying, you may have to prorate to determine the part of your
 266 salary attributable to your lobbying activities.) Transfer your
 267 answer to this item to Part I, item 6e.
 268 (5b) Explain how you arrived at your answer to Part II, item 5a.
 269 _____
 270 _____
 271 _____
 272 PART III:
 273 PLEASE NOTE: If you answered Part II, items 5a and 5b, you WILL NOT
 274 complete this section.
 275 (1) List all members of your firm, organization, association,
 276 corporation, or other entity who furnished lobbying services to
 277 your principal.
 278 _____
 279 _____
 280 _____
 281 (2) Indicate the total amount paid to your firm, organization,
 282 association, corporation, or other entity for services rendered.
 283 Transfer your answer to this item to Part I, item 6e. _____
 284 SCHEDULE A
 285 ENTERTAINMENT EXPENSES
 286 PLEASE NOTE: Any single entertainment event included in the expense
 287 totals of the principal, with a value greater than \$50, should be
 288 itemized below. Transfer any totals from this schedule to Part I,
 289 item 6a. (Please duplicate as needed.)
 290 Date and Location of Event:
 291 _____
 292 _____
 293 Description of Event (including whether or not it meets the criteria
 294 of a widely attended event):
 295 _____
 296 _____
 297 Total Number of Persons Attending:

298 _____
 299 Names of Legislative and Executive Officials or Members of Their
 300 Immediate Families Attending: (List names only if the average value
 301 for each person attending the event was greater than \$50.)
 302 _____
 303 _____
 304 _____
 305 _____
 306 Food \$ _____
 307 Beverages \$ _____
 308 Transportation of Legislative and Executive Officials
 309 or Members of Their Immediate Families \$ _____
 310 Lodging of Legislative and Executive Officials or
 311 Members of Their Immediate Families \$ _____
 312 Performers, Speakers, Etc. \$ _____
 313 Displays \$ _____
 314 Rentals \$ _____
 315 Service Personnel \$ _____
 316 Miscellaneous \$ _____
 317 TOTAL \$ _____

SCHEDULE B

GIFTS

320 PLEASE NOTE: Any single gift reported in the expense totals of the
 321 principal, with a value greater than \$50, should be itemized below.
 322 (Report meals, entertainment and travel under Schedule A.) Transfer
 323 any totals from this schedule to Part I, item 6b. (Please duplicate
 324 as needed.)

		Name of each legislative or executive official or member of his immediate family		Cost of
Date	Description	who is a recipient	individual	
of gift:	of gift:	of a gift:	gift:	
_____	_____	_____	_____	\$ _____
_____	_____	_____	_____	\$ _____
_____	_____	_____	_____	\$ _____
_____	_____	_____	_____	\$ _____
336	TOTAL COST TO PRINCIPAL			\$ _____

SCHEDULE C

OTHER EXPENSES

339 PLEASE NOTE: This section is provided for any lobbying-related
 340 expenses not covered in Part I, items 6a - 6f. An example of an
 341 expenditure to be listed on schedule C would be the rental of a
 342 bill box during the General Assembly session. Transfer the total
 343 from this schedule to Part I, item 6g. (Please duplicate as needed.)

DATE OF EXPENSE	DESCRIPTION OF EXPENSE	AMOUNT
345	_____	\$ _____
346	_____	\$ _____
347	_____	\$ _____
348	_____	\$ _____
349	_____	\$ _____
350	_____	\$ _____
351	_____	\$ _____
352	_____	\$ _____
353	_____	\$ _____
354	TOTAL "OTHER" EXPENSES	\$ _____

~~PART IV: STATEMENTS~~

~~The following items are mandatory and if they are not properly completed, the entire filing will be rejected and returned to the lobbyist:~~

- ~~(1) All signatures on the statement must be ORIGINAL in the format specified in the instructions provided by the Council that accompany this form. No stamps, or other reproductions of the individual's signature will be accepted.~~
- ~~(2) An individual MAY NOT sign the disclosure statement as lobbyist and principal officer.~~

~~STATEMENT OF LOBBYIST~~

~~I, the undersigned registered lobbyist, do state that the information furnished on this disclosure statement and on all accompanying attachments required to be made thereto is, to the best of my knowledge and belief, complete and accurate.~~

~~_____
Signature of lobbyist~~

~~_____
Date~~

~~STATEMENT OF PRINCIPAL~~

~~I, the undersigned principal (or an authorized official thereof), do state that the information furnished on this disclosure statement and on all accompanying attachments required to be made thereto is, to the best of my knowledge and belief, complete and accurate.~~

~~_____
Signature of principal~~

~~_____
Date~~

D. A person who knowingly and intentionally makes a false statement of a material fact on the disclosure statement is guilty of a Class 5 felony.

E. *The name of a legislative or executive official, or a member of his immediate family, attending any reportable entertainment event shall not be required to be disclosed by the principal if that legislative or executive official reimburses the principal for, or otherwise pays for, his attendance, or the attendance of a member of his immediate family, at the entertainment event. Reimbursement shall be calculated using the average value for each person attending the event.*

F. Each lobbyist shall send to each legislative and executive official who is required to be identified by name on Schedule A or B of the Lobbyist's Disclosure Form a copy of Schedule A or B or a summary of the information pertaining to that official. Copies or summaries shall be provided to the official by ~~November 21~~ *December 15* for the preceding ~~six-month~~ *12-month* period complete through the last day of ~~October~~ *and by May 21* for the preceding ~~six-month~~ period complete through the last day of ~~April~~ *November 30*.

§ 2.2-427. Filings; inspection.

Registration statements ~~and lobbying reports~~ shall be open to public inspection and copying during the regular business hours of the office of the Secretary of the Commonwealth. *Lobbying reports shall be open to public inspection and copying during the regular business hours of the Council.*

~~Such~~ *Registration* statements ~~and reports~~ shall be deemed to have been filed only when actually received in the office of the Secretary or mailed to the Secretary by registered, certified, or regular mail with the sender retaining sufficient proof of mailing, which may be a United States Postal Certificate of Mailing. *Lobbying reports shall be deemed to have been filed only when received by the Council in accordance with the standards approved by the Council pursuant to § 30-356.*

§ 2.2-3101. Definitions.

As used in this chapter, unless the context requires a different meaning:

"Advisory agency" means any board, commission, committee or post which does not exercise any sovereign power or duty, but is appointed by a governmental agency or officer or is created by law for the purpose of making studies or recommendations, or advising or consulting with a governmental agency.

"Affiliated business entity relationship" means a relationship, other than a parent-subsidary

relationship, that exists when (i) one business entity has a controlling ownership interest in the other business entity, (ii) a controlling owner in one entity is also a controlling owner in the other entity, or (iii) there is shared management or control between the business entities. Factors that may be considered in determining the existence of an affiliated business entity relationship include that the same person or substantially the same person owns or manages the two entities, there are common or commingled funds or assets, the business entities share the use of the same offices or employees, or otherwise share activities, resources or personnel on a regular basis, or there is otherwise a close working relationship between the entities.

"Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise, association, trust or foundation, or any other individual or entity carrying on a business or profession, whether or not for profit.

"Candidate" means a person who seeks or campaigns for an office of the Commonwealth or one of its governmental units in a general, primary, or special election and who is qualified to have his name placed on the ballot for the office. The candidate shall become subject to the provisions of this chapter upon the filing of a statement of qualification pursuant to § 24.2-501. The State Board of Elections or general registrar shall notify each such candidate of the provisions of this chapter. Notification made by the general registrar shall consist of information developed by the State Board of Elections.

"Contract" means any agreement to which a governmental agency is a party, or any agreement on behalf of a governmental agency that involves the payment of money appropriated by the General Assembly or a political subdivision, whether or not such agreement is executed in the name of the Commonwealth, or some political subdivision thereof. "Contract" includes a subcontract only when the contract of which it is a part is with the officer's or employee's own governmental agency.

"Council" means the Virginia Conflict of Interest and Ethics Advisory Council established in § 30-355.

"Employee" means all persons employed by a governmental or advisory agency, unless otherwise limited by the context of its use.

"Financial institution" means any bank, trust company, savings institution, industrial loan association, consumer finance company, credit union, broker-dealer as defined in subsection A of § 13.1-501, or investment company or advisor registered under the federal Investment Advisors Act or Investment Company Act of 1940.

"Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item having monetary value. It includes services as well as gifts of transportation, local travel, lodgings and meals, whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the expense has been incurred. "Gift" does not include (i) any offer of a ticket, coupon, or other admission or pass unless the ticket, coupon, admission, or pass is used; (ii) honorary degrees; (iii) any athletic, merit, or need-based scholarship or any other financial aid awarded by a public or private school, institution of higher education, or other educational program pursuant to such school, institution, or program's financial aid standards and procedures applicable to the general public; (iv) a campaign contribution properly received and reported pursuant to Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2; (v) any gift related to the private profession or occupation of an officer or employee or of a member of his immediate family; (vi) food or beverages consumed while attending an event at which the filer is performing official duties related to his public service; (vii) food and beverages received at or registration or attendance fees waived for any event at which the filer is a featured speaker, presenter, or lecturer; (viii) unsolicited awards of appreciation or recognition in the form of a plaque, trophy, wall memento, or similar item that is given in recognition of public, civic, charitable, or professional service; (ix) a devise or inheritance; (x) travel disclosed pursuant to the Campaign Finance Disclosure Act (§ 24.2-945 et seq.); (xi) travel paid for or provided by the government of the United States, any of its territories, or any state or any political subdivision of such state; (xii) travel provided to facilitate attendance by a legislator at a regular or special session of the General Assembly, a meeting of a legislative committee or commission, or a national conference where attendance is approved by the House *Committee on Rules or its Chairman* or the Senate *Committee on Rules or its Chairman*; (xiii) travel related to an official meeting of the Commonwealth, its political subdivisions, or any board, commission, authority, or other entity, or any charitable organization established pursuant to § 501(c)(3) of the Internal Revenue Code affiliated with such entity, to which such person has been appointed or elected or is a member by virtue of his office or employment; ~~or~~ (xiv) *gifts with a value of less than \$20; or* (xv) gifts from relatives or personal friends. For the purpose of this definition, "relative" means the donee's spouse, child, uncle, aunt, niece, nephew, or first cousin; a person to whom the donee is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother, sister, step-parent, step-grandparent, step-grandchild, step-brother, or step-sister; or the donee's brother's or sister's spouse. For the purpose of this definition, "personal friend" does not include any person that the filer knows or has reason to know is (a) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4 of Title 2.2; (b) a lobbyist's principal as defined in § 2.2-419; (c) for an officer or employee

of a local governmental or advisory agency, a person, organization, or business who is a party to or is seeking to become a party to a contract with the local agency of which he is an officer or an employee; or (d) for an officer or employee of a state governmental or advisory agency, a person, organization, or business who is a party to or is seeking to become a party to a contract with the Commonwealth. For purposes of this definition, "person, organization, or business" includes individuals who are officers, directors, or owners of or who have a controlling ownership interest in such organization or business.

"Governmental agency" means each component part of the legislative, executive or judicial branches of state and local government, including each office, department, authority, post, commission, committee, and each institution or board created by law to exercise some regulatory or sovereign power or duty as distinguished from purely advisory powers or duties. Corporations organized or controlled by the Virginia Retirement System are "governmental agencies" for purposes of this chapter.

"Immediate family" means (i) a spouse and (ii) any other person who resides in the same household as the officer or employee and who is a dependent of the officer or employee.

"Officer" means any person appointed or elected to any governmental or advisory agency including local school boards, whether or not he receives compensation or other emolument of office. Unless the context requires otherwise, "officer" includes members of the judiciary.

"Parent-subsidiary relationship" means a relationship that exists when one corporation directly or indirectly owns shares possessing more than 50 percent of the voting power of another corporation.

"Personal interest" means a financial benefit or liability accruing to an officer or employee or to a member of his immediate family. Such interest shall exist by reason of (i) ownership in a business if the ownership interest exceeds three percent of the total equity of the business; (ii) annual income that exceeds, or may reasonably be anticipated to exceed, \$5,000 from ownership in real or personal property or a business; (iii) salary, other compensation, fringe benefits, or benefits from the use of property, or any combination thereof, paid or provided by a business or governmental agency that exceeds, or may reasonably be anticipated to exceed, \$5,000 annually; (iv) ownership of real or personal property if the interest exceeds \$5,000 in value and excluding ownership in a business, income, or salary, other compensation, fringe benefits or benefits from the use of property; (v) personal liability incurred or assumed on behalf of a business if the liability exceeds three percent of the asset value of the business; or (vi) an option for ownership of a business or real or personal property if the ownership interest will consist of clause (i) or (iv) above.

"Personal interest in a contract" means a personal interest that an officer or employee has in a contract with a governmental agency, whether due to his being a party to the contract or due to a personal interest in a business that is a party to the contract.

"Personal interest in a transaction" means a personal interest of an officer or employee in any matter considered by his agency. Such personal interest exists when an officer or employee or a member of his immediate family has a personal interest in property or a business or governmental agency, or represents or provides services to any individual or business and such property, business or represented or served individual or business (i) is the subject of the transaction or (ii) may realize a reasonably foreseeable direct or indirect benefit or detriment as a result of the action of the agency considering the transaction. Notwithstanding the above, such personal interest in a transaction shall not be deemed to exist where (a) an elected member of a local governing body serves without remuneration as a member of the board of trustees of a not-for-profit entity and such elected member or member of his immediate family has no personal interest related to the not-for-profit entity or (b) an officer, employee, or elected member of a local governing body is appointed by such local governing body to serve on a governmental agency, or an officer, employee, or elected member of a separate local governmental agency formed by a local governing body is appointed to serve on a governmental agency, and the personal interest in the transaction of the governmental agency is the result of the salary, other compensation, fringe benefits, or benefits provided by the local governing body or the separate governmental agency to the officer, employee, elected member, or member of his immediate family.

"State and local government officers and employees" shall not include members of the General Assembly.

"State filer" means those officers and employees required to file a disclosure statement of their personal interests pursuant to subsection A or B of § 2.2-3114.

"Transaction" means any matter considered by any governmental or advisory agency, whether in a committee, subcommittee, or other entity of that agency or before the agency itself, on which official action is taken or contemplated.

§ 2.2-3106. Prohibited contracts by officers and employees of state government and Eastern Virginia Medical School.

A. No officer or employee of any governmental agency of state government or Eastern Virginia Medical School shall have a personal interest in a contract with the governmental agency of which he is an officer or employee, other than his own contract of employment.

537 B. No officer or employee of any governmental agency of state government or Eastern Virginia
538 Medical School shall have a personal interest in a contract with any other governmental agency of state
539 government unless such contract is (i) awarded as a result of competitive sealed bidding or competitive
540 negotiation as set forth in § 2.2-4302.1 or 2.2-4302.2 or (ii) is awarded after a finding, in writing, by the
541 administrative head of the governmental agency that competitive bidding or negotiation is contrary to the
542 best interest of the public.

543 C. The provisions of this section shall not apply to:

544 1. An employee's personal interest in additional contracts of employment with his own governmental
545 agency that accrue to him because of a member of his immediate family, provided the employee does
546 not exercise any control over the employment or the employment activities of the member of his
547 immediate family and the employee is not in a position to influence those activities;

548 2. The personal interest of an officer or employee of a state institution of higher education or the
549 Eastern Virginia Medical School in additional contracts of employment with his own governmental
550 agency that accrue to him because of a member of his immediate family, provided (i) the officer or
551 employee and the immediate family member are engaged in teaching, research or administrative support
552 positions at the educational institution or the Eastern Virginia Medical School, (ii) the governing board
553 of the educational institution finds that it is in the best interests of the institution or the Eastern Virginia
554 Medical School and the Commonwealth for such dual employment to exist, and (iii) after such finding,
555 the governing board of the educational institution or the Eastern Virginia Medical School ensures that
556 the officer or employee, or the immediate family member, does not have sole authority to supervise,
557 evaluate or make personnel decisions regarding the other;

558 3. An officer's or employee's personal interest in a contract of employment with any other
559 governmental agency of state government;

560 4. Contracts for the sale by a governmental agency or the Eastern Virginia Medical School of
561 services or goods at uniform prices available to the general public;

562 5. An employee's personal interest in a contract between a public institution of higher education in
563 Virginia or the Eastern Virginia Medical School and a publisher or wholesaler of textbooks or other
564 educational materials for students, which accrues to him solely because he has authored or otherwise
565 created such textbooks or materials;

566 6. An employee's personal interest in a contract with his or her employing public institution of higher
567 education to acquire the collections or scholarly works owned by the employee, including manuscripts,
568 musical scores, poetry, paintings, books or other materials, writings, or papers of an academic, research,
569 or cultural value to the institution, provided the president of the institution approves the acquisition of
570 such collections or scholarly works as being in the best interests of the institution's public mission of
571 service, research, or education;

572 7. Subject to approval by the board of visitors, an employee's personal interest in a contract between
573 the Eastern Virginia Medical School or a public institution of higher education in Virginia that operates
574 a school of medicine or dentistry and a not-for-profit nonstock corporation that operates a clinical
575 practice within such public institution of higher education or the Eastern Virginia Medical School and of
576 which such employee is a member or employee;

577 8. Subject to approval by the relevant board of visitors, an employee's personal interest in a contract
578 for research and development or commercialization of intellectual property between a public institution
579 of higher education in Virginia or the Eastern Virginia Medical School and a business in which the
580 employee has a personal interest, if (i) the employee's personal interest has been disclosed to and
581 approved by such public institution of higher education or the Eastern Virginia Medical School prior to
582 the time at which the contract is entered into; (ii) the employee promptly files a disclosure statement
583 pursuant to § 2.2-3117 and thereafter files such statement annually on or before ~~December~~ January 15;
584 (iii) the institution has established a formal policy regarding such contracts, approved by the State
585 Council of Higher Education or, in the case of the Eastern Virginia Medical School, a formal policy
586 regarding such contracts in conformity with any applicable federal regulations that has been approved by
587 its board of visitors; and (iv) no later than December 31 of each year, the institution or the Eastern
588 Virginia Medical School files an annual report with the Secretary of the Commonwealth disclosing each
589 open contract entered into subject to this provision, the names of the parties to each contract, the date
590 each contract was executed and its term, the subject of each contractual arrangement, the nature of the
591 conflict of interest, the institution's or the Eastern Virginia Medical School's employee responsible for
592 administering each contract, the details of the institution's or the Eastern Virginia Medical School's
593 commitment or investment of resources or finances for each contract, and any other information
594 requested by the Secretary of the Commonwealth; or

595 9. Subject to approval by the relevant board of visitors, an employee's personal interest in a contract
596 between a public institution of higher education in Virginia or the Eastern Virginia Medical School and
597 a business in which the employee has a personal interest, if (i) the personal interest has been disclosed
598 to the institution or the Eastern Virginia Medical School prior to the time the contract is entered into;

(ii) the employee files a disclosure statement pursuant to § 2.2-3117 and thereafter annually on or before ~~December~~ January 15; (iii) the employee does not participate in the institution's or the Eastern Virginia Medical School's decision to contract; (iv) the president of the institution or the Eastern Virginia Medical School finds and certifies in writing that the contract is for goods and services needed for quality patient care, including related medical education or research, by the institution's medical center or the Eastern Virginia Medical School, its affiliated teaching hospitals and other organizations necessary for the fulfillment of its mission, including the acquisition of drugs, therapies and medical technologies; and (v) no later than December 31 of each year, the institution or the Eastern Virginia Medical School files an annual report with the Secretary of the Commonwealth disclosing each open contract entered subject to this provision, the names of the parties to each contract, the date each contract was executed and its term, the subject of each contractual arrangement, the nature of the conflict of interest, the institution's or the Eastern Virginia Medical School's employee responsible for administering each contract, the details of the institution's or the Eastern Virginia Medical School's commitment or investment of resources or finances for each contract, and any other information requested by the Secretary of the Commonwealth.

D. Notwithstanding the provisions of subdivisions C 8 and C 9, if the research and development or commercialization of intellectual property or the employee's personal interest in a contract with a business is subject to policies and regulations governing conflicts of interest promulgated by any agency of the United States government, including the adoption of policies requiring the disclosure and management of such conflicts of interests, the policies established by the Eastern Virginia Medical School pursuant to such federal requirements shall constitute compliance with subdivisions C 8 and C 9, upon notification by the Eastern Virginia Medical School to the Secretary of the Commonwealth by January 31 of each year of evidence of their compliance with such federal policies and regulations.

E. The board of visitors may delegate the authority granted under subdivision C 8 to the president of the institution. If the board elects to delegate such authority, the board shall include this delegation of authority in the formal policy required by clause (iii) of subdivision C 8. In those instances where the board has delegated such authority, on or before December 1 of each year, the president of the relevant institution shall file a report with the relevant board of visitors disclosing each open contract entered into subject to this provision, the names of the parties to each contract, the date each contract was executed and its term, the subject of each contractual arrangement, the nature of the conflict of interest, the institution's or the Eastern Virginia Medical School's employee responsible for administering each contract, the details of the institution's or the Eastern Virginia Medical School's commitment or investment of resources or finances for each contract, the details of how revenues are to be dispersed, and any other information requested by the board of visitors.

§ 2.2-3109.1. Prohibited contracts; additional exclusions for contracts by officers and employees of hospital authorities.

A. As used in this section, "hospital authority" means a hospital authority established pursuant to Chapter 53 (§ 15.2-5300 et seq.) of Title 15.2 or an Act of Assembly.

B. The provisions of § 2.2-3109 shall not apply to:

1. The personal interest of an officer or employee of a hospital authority in additional contracts of employment with his own governmental agency that accrue to him because of a member of his immediate family, provided (i) the officer or employee and the immediate family member are licensed members of the medical profession or hold administrative support positions at the hospital authority, (ii) the governing board of the hospital authority finds that it is in the best interests of the hospital authority and the county, city, or town for such dual employment to exist, and (iii) after such finding, the governing board of the hospital authority ensures that neither the officer or employee, nor the immediate family member, has sole authority to supervise, evaluate, or make personnel decisions regarding the other;

2. Subject to approval by the governing board of the hospital authority, an officer or employee's personal interest in a contract between his hospital authority and a professional entity that operates a clinical practice at any medical facilities of such other hospital authority and of which such officer or employee is a member or employee;

3. Subject to approval by the relevant governing body, an officer or employee's personal interest in a contract for research and development or commercialization of intellectual property between the hospital authority and a business in which the employee has a personal interest, provided (i) the officer or employee's personal interest has been disclosed to and approved by the hospital authority prior to the time at which the contract is entered into; (ii) the officer or employee promptly files a disclosure statement pursuant to § 2.2-3117 and thereafter files such statement annually on or before ~~December~~ January 15; (iii) the local hospital authority has established a formal policy regarding such contracts in conformity with any applicable federal regulations that has been approved by its governing body; and (iv) no later than December 31 of each year, the local hospital authority files an annual report with the

660 Virginia Conflict of Interest and Ethics Advisory Council disclosing each open contract entered into
661 subject to this provision, the names of the parties to each contract, the date each contract was executed
662 and its term, the subject of each contractual arrangement, the nature of the conflict of interest, the
663 hospital authority's employee responsible for administering each contract, the details of such hospital
664 authority's commitment or investment of resources or finances for each contract, and any other
665 information requested by the Virginia Conflict of Interest and Ethics Advisory Council; or

666 4. Subject to approval by the relevant governing body, an officer or employee's personal interest in a
667 contract between the hospital authority and a business in which the officer or employee has a personal
668 interest, provided (i) the personal interest has been disclosed to the hospital authority prior to the time
669 the contract is entered into; (ii) the officer or employee files a disclosure statement pursuant to
670 § 2.2-3117 and thereafter annually on or before ~~December~~ *January* 15; (iii) the officer or employee does
671 not participate in the hospital authority's decision to contract; (iv) the president or chief executive officer
672 of the hospital authority finds and certifies in writing that the contract is for goods and services needed
673 for quality patient care, including related medical education or research, by any of the hospital
674 authority's medical facilities or any of its affiliated organizations, or is otherwise necessary for the
675 fulfillment of its mission, including but not limited to the acquisition of drugs, therapies, and medical
676 technologies; and (v) no later than December 31 of each year, the hospital authority files an annual
677 report with the Virginia Conflict of Interest and Ethics Advisory Council disclosing each open contract
678 entered into subject to this provision, the names of the parties to each contract, the date each contract
679 was executed and its term, the subject of each contractual arrangement, the nature of the conflict of
680 interest, the hospital authority's employee responsible for administering each contract, the details of the
681 hospital authority's commitment or investment of resources or finances for each contract, and any other
682 information requested by the Virginia Conflict of Interest and Ethics Advisory Council.

683 C. Notwithstanding the provisions of subdivisions B 3 and B 4, if the research and development or
684 commercialization of intellectual property or the officer or employee's personal interest in a contract
685 with a business is subject to policies and regulations governing conflicts of interest promulgated by any
686 agency of the United States government, including the adoption of policies requiring the disclosure and
687 management of such conflicts of interest, the policies established by the hospital authority pursuant to
688 such federal requirements shall constitute compliance with subdivisions B 3 and B 4, upon notification
689 by the hospital authority to the Virginia Conflict of Interest and Ethics Advisory Council by January 31
690 of each year of evidence of its compliance with such federal policies and regulations.

691 D. The governing body may delegate the authority granted under subdivision B 2 to the president or
692 chief executive officer of hospital authority. If the board elects to delegate such authority, the board
693 shall include this delegation of authority in the formal policy required by clause (iii) of subdivision B 3.
694 In those instances where the board has delegated such authority, on or before December 1 of each year,
695 the president or chief executive officer of the hospital authority shall file a report with the relevant
696 governing body disclosing each open contract entered into subject to this provision, the names of the
697 parties to each contract, the date each contract was executed and its term, the subject of each contractual
698 arrangement, the nature of the conflict of interest, the hospital authority's employee responsible for
699 administering each contract, the details of the hospital authority's commitment or investment of resources
700 or finances for each contract, the details of how revenues are to be dispersed, and any other information
701 requested by the governing body.

702 **§ 2.2-3114. Disclosure by state officers and employees.**

703 A. The Governor, Lieutenant Governor, Attorney General, Justices of the Supreme Court, judges of
704 the Court of Appeals, judges of any circuit court, judges and substitute judges of any district court,
705 members of the State Corporation Commission, members of the Virginia Workers' Compensation
706 Commission, members of the Commonwealth Transportation Board, members of the Board of Trustees
707 of the Virginia Retirement System, members of the Virginia Alcoholic Beverage Control Board, and
708 members of the Virginia Lottery Board and other persons occupying such offices or positions of trust or
709 employment in state government, including members of the governing bodies of authorities, as may be
710 designated by the Governor, or officers or employees of the legislative branch, as may be designated by
711 the Joint Rules Committee of the General Assembly, shall file with the Council, as a condition to
712 assuming office or employment, a disclosure statement of their personal interests and such other
713 information as is ~~specified required~~ *required* on the form ~~set forth in prescribed by the Council pursuant to~~
714 ~~§ 2.2-3117 and thereafter shall file such a statement semiannually by December annually on or before~~
715 ~~January 15 for the preceding six-month period complete through the last day of October and by June 15~~
716 ~~for the preceding six-month period complete through the last day of April.~~ When the filing deadline falls
717 on a Saturday, Sunday, or legal holiday, the disclosure statement shall be filed on the next day that is
718 not a Saturday, Sunday, or legal holiday.

719 B. Nonsalaried citizen members of all policy and supervisory boards, commissions and councils in
720 the executive branch of state government, other than the Commonwealth Transportation Board, members
721 of the Board of Trustees of the Virginia Retirement System, and the Virginia Lottery Board, shall file

with the Council, as a condition to assuming office, a disclosure form of their personal interests and such other information as is ~~specified required~~ on the form ~~set forth in~~ *prescribed by the Council pursuant to § 2.2-3118* and thereafter shall file such form annually on or before ~~December~~ January 15. When the filing deadline falls on a Saturday, Sunday, or legal holiday, the disclosure statement shall be filed on the next day that is not a Saturday, Sunday, or legal holiday. Nonsalaried citizen members of other boards, commissions and councils, including advisory boards and authorities, may be required to file a disclosure form if so designated by the Governor, in which case the form shall be that ~~set forth in~~ *prescribed by the Council pursuant to § 2.2-3118*.

C. (Effective January 1, 2016, until July 1, 2016) The disclosure forms required by subsections A and B shall be made available by the Council at least 30 days prior to the filing deadline. Disclosure forms shall be filed and maintained as public records for five years in the office of the Council. Such forms shall be made public no later than six weeks after filing.

C. (Effective July 1, 2016) The disclosure forms required by subsections A and B shall be made available by the Council at least 30 days prior to the filing deadline. Disclosure forms shall be filed electronically with the Council in accordance with the standards approved by it pursuant to § 30-356. All forms shall be maintained as public records for five years in the office of the Council. Such forms shall be made public no later than six weeks after filing.

D. Candidates for the offices of Governor, Lieutenant Governor or Attorney General shall file a disclosure statement of their personal interests as required by § 24.2-502.

E. Any officer or employee of state government who has a personal interest in any transaction before the governmental or advisory agency of which he is an officer or employee and who is disqualified from participating in that transaction pursuant to subdivision A 1 of § 2.2-3112, or otherwise elects to disqualify himself, shall forthwith make disclosure of the existence of his interest, including the full name and address of the business and the address or parcel number for the real estate if the interest involves a business or real estate, and his disclosure shall also be reflected in the public records of the agency for five years in the office of the administrative head of the officer's or employee's governmental agency or advisory agency or, if the agency has a clerk, in the clerk's office.

F. An officer or employee of state government who is required to declare his interest pursuant to subdivision A 2 of § 2.2-3112, shall declare his interest by stating (i) the transaction involved, (ii) the nature of the officer's or employee's personal interest affected by the transaction, (iii) that he is a member of a business, profession, occupation, or group the members of which are affected by the transaction, and (iv) that he is able to participate in the transaction fairly, objectively, and in the public interest. The officer or employee shall either make his declaration orally to be recorded in written minutes for his agency or file a signed written declaration with the clerk or administrative head of his governmental or advisory agency, as appropriate, who shall, in either case, retain and make available for public inspection such declaration for a period of five years from the date of recording or receipt. If reasonable time is not available to comply with the provisions of this subsection prior to participation in the transaction, the officer or employee shall prepare and file the required declaration by the end of the next business day.

G. An officer or employee of state government who is required to declare his interest pursuant to subdivision A 3 of § 2.2-3112, shall declare his interest by stating (i) the transaction involved, (ii) that a party to the transaction is a client of his firm, (iii) that he does not personally represent or provide services to the client, and (iv) that he is able to participate in the transaction fairly, objectively, and in the public interest. The officer or employee shall either make his declaration orally to be recorded in written minutes for his agency or file a signed written declaration with the clerk or administrative head of his governmental or advisory agency, as appropriate, who shall, in either case, retain and make available for public inspection such declaration for a period of five years from the date of recording or receipt. If reasonable time is not available to comply with the provisions of this subsection prior to participation in the transaction, the officer or employee shall prepare and file the required declaration by the end of the next business day.

H. Notwithstanding any other provision of law, chairs of departments at a public institution of higher education in the Commonwealth shall not be required to file the disclosure form prescribed by the Council pursuant to § 2.2-3117 or 2.2-3118.

§ 2.2-3114.2. Report of gifts by certain officers and employees of state government.

The Governor, Lieutenant Governor, Attorney General, and each member of the Governor's Cabinet shall file, on or before May 1, a report of gifts accepted or received by him or a member of his immediate family during the period beginning on January 1 complete through adjournment sine die of the regular session of the General Assembly. The gift report shall be on a form prescribed by the Council and shall be filed electronically with the Council in accordance with the standards approved by it pursuant to § 30-356. For purposes of this section, "adjournment sine die" means adjournment on the last legislative day of the regular session and does not include the ensuing reconvened session. Any gifts

783 reported pursuant to this section shall not be listed on the annual disclosure form prescribed by the
784 Council pursuant to § 2.2-3117.

785 **§ 2.2-3115. Disclosure by local government officers and employees.**

786 A. The members of every governing body and school board of each county and city and of towns
787 with populations in excess of 3,500 shall file, as a condition to assuming office or employment, a
788 disclosure statement of their personal interests and other information as is ~~specified~~ *required* on the form
789 ~~set forth in prescribed by the Council pursuant to § 2.2-3117~~ and thereafter shall file such a statement
790 ~~semiannually by December annually on or before January 15 for the preceding six-month period~~
791 ~~complete through the last day of October and by June 15 for the preceding six-month period complete~~
792 ~~through the last day of April.~~

793 The members of the governing body of any authority established in any county or city, or part or
794 combination thereof, and having the power to issue bonds or expend funds in excess of \$10,000 in any
795 fiscal year, shall file, as a condition to assuming office, a disclosure statement of their personal interests
796 and other information as is ~~specified~~ *required* on the form ~~set forth in prescribed by the Council~~
797 ~~pursuant to § 2.2-3118~~ and thereafter shall file such a statement annually on or before ~~December~~
798 ~~January 15~~, unless the governing body of the jurisdiction that appoints the members requires that the
799 members file the form set forth in § 2.2-3117 ~~semiannually by December 15 for the preceding six-month~~
800 ~~period complete through the last day of October and by June 15 for the preceding six-month period~~
801 ~~complete through the last day of April.~~

802 Persons occupying such positions of trust appointed by governing bodies and persons occupying such
803 positions of employment with governing bodies as may be designated to file by ordinance of the
804 governing body shall file, as a condition to assuming office or employment, a disclosure statement of
805 their personal interests and other information as is ~~specified~~ *required* on the form ~~set forth in prescribed~~
806 ~~by the Council pursuant to § 2.2-3117~~ and thereafter shall file such a statement ~~semiannually by~~
807 ~~December annually on or before January 15 for the preceding six-month period complete through the~~
808 ~~last day of October and by June 15 for the preceding six-month period complete through the last day of~~
809 ~~April.~~

810 Persons occupying such positions of trust appointed by school boards and persons occupying such
811 positions of employment with school boards as may be designated to file by an adopted policy of the
812 school board shall file, as a condition to assuming office or employment, a disclosure statement of their
813 personal interests and other information as is ~~specified~~ *required* on the form ~~set forth in prescribed by~~
814 ~~the Council pursuant to § 2.2-3117~~ and thereafter shall file such a statement ~~semiannually by December~~
815 ~~annually on or before January 15 for the preceding six-month period complete through the last day of~~
816 ~~October and by June 15 for the preceding six-month period complete through the last day of April.~~

817 B. Nonsalaried citizen members of local boards, commissions and councils as may be designated by
818 the governing body shall file, as a condition to assuming office, a disclosure form of their personal
819 interests and such other information as is ~~specified~~ *required* on the form ~~set forth in prescribed by the~~
820 ~~Council pursuant to § 2.2-3118~~ and thereafter shall file such form annually on or before ~~December~~
821 ~~January 15.~~

822 C. No person shall be mandated to file any disclosure not otherwise required by this article.

823 D. The disclosure forms required by subsections A and B shall be made available by the Virginia
824 Conflict of Interest and Ethics Advisory Council at least 30 days prior to the filing deadline, and the
825 clerks of the governing body and school board shall distribute the forms to designated individuals at
826 least 20 days prior to the filing deadline. Forms shall be filed and maintained as public records for five
827 years in the office of the clerk of the respective governing body or school board. Forms filed by
828 members of governing bodies of authorities shall be filed and maintained as public records for five years
829 in the office of the clerk of the governing body of the county or city. Such forms shall be made public
830 no later than six weeks after filing.

831 E. Candidates for membership in the governing body or school board of any county, city or town
832 with a population of more than 3,500 persons shall file a disclosure statement of their personal interests
833 as required by § 24.2-502.

834 F. Any officer or employee of local government who has a personal interest in any transaction before
835 the governmental or advisory agency of which he is an officer or employee and who is disqualified
836 from participating in that transaction pursuant to subdivision A 1 of § 2.2-3112 or otherwise elects to
837 disqualify himself, shall forthwith make disclosure of the existence of his interest, including the full
838 name and address of the business and the address or parcel number for the real estate if the interest
839 involves a business or real estate, and his disclosure shall be reflected in the public records of the
840 agency for five years in the office of the administrative head of the officer's or employee's governmental
841 or advisory agency.

842 G. In addition to any disclosure required by subsections A and B, in each county and city and in
843 towns with populations in excess of 3,500, members of planning commissions, boards of zoning appeals,
844 real estate assessors, and all county, city and town managers or executive officers shall make annual

disclosures of all their interests in real estate located in the county, city or town in which they are elected, appointed, or employed. Such disclosure shall include any business in which such persons own an interest, or from which income is received, if the primary purpose of the business is to own, develop or derive compensation through the sale, exchange or development of real estate in the county, city or town. Such disclosure shall be filed as a condition to assuming office or employment, and thereafter shall be filed annually with the clerk of the governing body of such county, city, or town on or before ~~December~~ January 15. Such disclosures shall be filed and maintained as public records for five years. Such forms shall be made public no later than six weeks after filing. Forms for the filing of such reports shall be made available by the Virginia Conflict of Interest and Ethics Advisory Council to the clerk of each governing body.

H. An officer or employee of local government who is required to declare his interest pursuant to subdivision A 2 of § 2.2-3112 shall declare his interest by stating (i) the transaction involved, (ii) the nature of the officer's or employee's personal interest affected by the transaction, (iii) that he is a member of a business, profession, occupation, or group the members of which are affected by the transaction, and (iv) that he is able to participate in the transaction fairly, objectively, and in the public interest. The officer or employee shall either make his declaration orally to be recorded in written minutes for his agency or file a signed written declaration with the clerk or administrative head of his governmental or advisory agency, as appropriate, who shall, in either case, retain and make available for public inspection such declaration for a period of five years from the date of recording or receipt. If reasonable time is not available to comply with the provisions of this subsection prior to participation in the transaction, the officer or employee shall prepare and file the required declaration by the end of the next business day. The officer or employee shall also orally disclose the existence of the interest during each meeting of the governmental or advisory agency at which the transaction is discussed and such disclosure shall be recorded in the minutes of the meeting.

I. An officer or employee of local government who is required to declare his interest pursuant to subdivision A 3 of § 2.2-3112, shall declare his interest by stating (i) the transaction involved, (ii) that a party to the transaction is a client of his firm, (iii) that he does not personally represent or provide services to the client, and (iv) that he is able to participate in the transaction fairly, objectively, and in the public interest. The officer or employee shall either make his declaration orally to be recorded in written minutes for his agency or file a signed written declaration with the clerk or administrative head of his governmental or advisory agency, as appropriate, who shall, in either case, retain and make available for public inspection such declaration for a period of five years from the date of recording or receipt. If reasonable time is not available to comply with the provisions of this subsection prior to participation in the transaction, the officer or employee shall prepare and file the required declaration by the end of the next business day.

§ 2.2-3116. (Effective from January 1, 2016, until July 1, 2016) Disclosure by certain constitutional officers.

For the purposes of this chapter, holders of the constitutional offices of treasurer, sheriff, attorney for the Commonwealth, clerk of the circuit court, and commissioner of the revenue of each county and city shall be required to file with the Council, as a condition to assuming office, the Statement of Economic Interests ~~set forth in prescribed by the Council pursuant to § 2.2-3117.~~ These officers shall file statements ~~semiannually by December annually on or before January 15 for the preceding six-month period complete through the last day of October and by June 15 for the preceding six-month period complete through the last day of April.~~ Candidates shall file statements as required by § 24.2-502. These officers shall be subject to the prohibition on certain gifts set forth in subsection B of § 2.2-3103.1.

§ 2.2-3116. (Effective July 1, 2016) Disclosure by certain constitutional officers.

For the purposes of this chapter, holders of the constitutional offices of treasurer, sheriff, attorney for the Commonwealth, clerk of the circuit court, and commissioner of the revenue of each county and city shall be required to file with the Council, as a condition to assuming office, the Statement of Economic Interests ~~set forth in prescribed by the Council pursuant to § 2.2-3117.~~ These officers shall file statements ~~semiannually by December annually on or before January 15 for the preceding six-month period complete through the last day of October and by June 15 for the preceding six-month period complete through the last day of April.~~ Candidates shall file statements as required by § 24.2-502. Statements shall be filed electronically with the Council in accordance with the standards approved by it pursuant to § 30-356. These officers shall be subject to the prohibition on certain gifts set forth in subsection B of § 2.2-3103.1.

§ 2.2-3117. Disclosure form.

(Effective from January 1, 2016, until July 1, 2016) The disclosure form to be used for filings required by subsections A and D of § 2.2-3114 and subsections A and E of § 2.2-3115 shall be ~~substantially similar to the following prescribed by the Council.~~ Any person who knowingly and intentionally makes a false statement of a material fact on the Statement of Economic Interests is guilty

906 of a Class 5 felony.

907 (Effective July 1, 2016) The disclosure form to be used for filings required by subsections A and D
908 of § 2.2-3114 and subsections A and E of § 2.2-3115 shall be substantially similar to the following
909 *prescribed by the Council*. Except as otherwise provided in § 2.2-3115, all completed forms shall be
910 filed electronically with the Council in accordance with the standards approved by it pursuant to
911 § 30-356. Any person who knowingly and intentionally makes a false statement of a material fact on the
912 Statement of Economic Interests is guilty of a Class 5 felony.

913 STATEMENT OF ECONOMIC INTERESTS.

914 Name_____

915 Office or position held or sought_____

916 Address_____

917 Names of members of immediate family_____

918 DEFINITIONS AND EXPLANATORY MATERIAL.

919 "Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise,
920 association, trust or foundation, or any other individual or entity carrying on a business or profession,
921 whether or not for profit.

922 "Close financial association" means an association in which the person filing shares significant
923 financial involvement with an individual and the filer would reasonably be expected to be aware of the
924 individual's business activities and would have access to the necessary records either directly or through
925 the individual. "Close financial association" does not mean an association based on (i) the receipt of
926 retirement benefits or deferred compensation from a business by which the person filing this statement is
927 no longer employed, or (ii) the receipt of compensation for work performed by the person filing as an
928 independent contractor of a business that represents an entity before any state governmental agency
929 when the person filing has had no communications with the state governmental agency.

930 "Contingent liability" means a liability that is not presently fixed or determined, but may become
931 fixed or determined in the future with the occurrence of some certain event.

932 "Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item
933 having monetary value. It includes services as well as gifts of transportation, lodgings and meals,
934 whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the
935 expense has been incurred. "Gift" does not include (i) any offer of a ticket, coupon, or other admission
936 or pass unless the ticket, coupon, admission, or pass is used; (ii) honorary degrees; (iii) any athletic,
937 merit, or need-based scholarship or any other financial aid awarded by a public or private school,
938 institution of higher education, or other educational program pursuant to such school, institution, or
939 program's financial aid standards and procedures applicable to the general public; (iv) a campaign
940 contribution properly received and reported pursuant to Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2;
941 (v) any gift related to the private profession or occupation of an officer or employee or of a member of
942 his immediate family; (vi) food or beverages consumed while attending an event at which the filer is
943 performing official duties related to his public service; (vii) food and beverages received at or
944 registration or attendance fees waived for any event at which the filer is a featured speaker, presenter, or
945 lecturer; (viii) unsolicited awards of appreciation or recognition in the form of a plaque, trophy, wall
946 memento, or similar item that is given in recognition of public, civic, charitable, or professional service;
947 (ix) a devise or inheritance; (x) travel disclosed pursuant to the Campaign Finance Disclosure Act
948 (§ 24.2-945 et seq.); (xi) travel paid for or provided by the government of the United States, any of its
949 territories, or any state or any political subdivision of such state; (xii) travel provided to facilitate
950 attendance by a legislator at a regular or special session of the General Assembly, a meeting of a
951 legislative committee or commission, or a national conference where attendance is approved by the
952 House or Senate Committee on Rules; (xiii) travel related to an official meeting of the Commonwealth,
953 its political subdivisions, or any board, commission, authority, or other entity, or any charitable
954 organization established pursuant to § 501(c)(3) of the Internal Revenue Code affiliated with such entity,
955 to which such person has been appointed or elected or is a member by virtue of his office or
956 employment; or (xiv) gifts from relatives or personal friends. "Relative" means the donee's spouse, child,
957 uncle, aunt, niece, nephew, or first cousin; a person to whom the donee is engaged to be married; the
958 donee's or his spouse's parent, grandparent, grandchild, brother, sister, step-parent, step-grandparent,
959 step-grandchild, step-brother, or step-sister; or the donee's brother's or sister's spouse. "Personal friend"
960 does not include any person that the filer knows or has reason to know is (a) a lobbyist registered
961 pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4 of Title 2.2; (b) a lobbyist's principal as defined in
962 § 2.2-419; (c) for an officer or employee of a local governmental or advisory agency, a person,
963 organization, or business who is a party to or is seeking to become a party to a contract with the local
964 agency of which he is an officer or an employee; or (d) for an officer or employee of a state
965 governmental or advisory agency, a person, organization, or business who is a party to or is seeking to
966 become a party to a contract with the Commonwealth. "Person, organization, or business" includes
967 individuals who are officers, directors, or owners of or who have a controlling ownership interest in

968 such organization or business.

969 "Immediate family" means (i) a spouse and (ii) any other person who resides in the same household
970 as the officer or employee and who is a dependent of the officer or employee.

971 TRUST. If you or your immediate family, separately or together, are the only beneficiaries of a trust,
972 treat the trust's assets as if you own them directly. If you or your immediate family has a proportional
973 interest in a trust, treat that proportion of the trust's assets as if you own them directly. For example, if
974 you and your immediate family have a one-third interest in a trust, complete your Statement as if you
975 own one-third of each of the trust's assets. If you or a member of your immediate family created a trust
976 and can revoke it without the beneficiaries' consent, treat its assets as if you own them directly.

977 REPORT TO THE BEST OF INFORMATION AND BELIEF. Information required on this
978 Statement must be provided on the basis of the best knowledge, information, and belief of the individual
979 filing the Statement as of the date of this report unless otherwise stated.

980 COMPLETE ITEMS 1 THROUGH 10. REFER TO SCHEDULES ONLY IF DIRECTED.

981 You may attach additional explanatory information.

982 1. Offices and Directorships.

983 Are you or a member of your immediate family a paid officer or paid director of a business?

984 EITHER check NO / / OR check YES / / and complete Schedule A.

985 2. Personal Liabilities.

986 Do you or a member of your immediate family owe more than \$5,000 to any one creditor including
987 contingent liabilities? (Exclude debts to any government and loans secured by recorded liens on property
988 at least equal in value to the loan.)

989 EITHER check NO / / OR check YES / / and complete Schedule B.

990 3. Securities.

991 Do you or a member of your immediate family, directly or indirectly, separately or together, own
992 securities valued in excess of \$5,000 invested in one business? Account for mutual funds, limited
993 partnerships and trusts.

994 EITHER check NO / / OR check YES / / and complete Schedule C.

995 4. Payments for Talks, Meetings, and Publications.

996 During the past six months did you receive in your capacity as an officer or employee of your
997 agency lodging, transportation, money, or anything else of value with a combined value exceeding \$100
998 (i) for a single talk, meeting, or published work or (ii) for a meeting, conference, or event where your
999 attendance at the meeting, conference, or event was designed to (a) educate you on issues relevant to
1000 your duties as an officer or employee of your agency or (b) enhance your knowledge and skills relative
1001 to your duties as an officer or employee of your agency?

1002 EITHER check NO / / OR check YES / / and complete Schedule D.

1003 5. Gifts.

1004 During the past six months did a business, government, or individual other than a relative or personal
1005 friend (i) furnish you or a member of your immediate family with any gift or entertainment at a single
1006 event and the value received exceeded \$50 or (ii) furnish you or a member of your immediate family
1007 with gifts or entertainment in any combination and the total value received exceeded \$50, and for which
1008 you or the member of your immediate family neither paid nor rendered services in exchange? Account
1009 for entertainment events only if the average value per person attending the event exceeded \$50. Account
1010 for all business entertainment (except if related to the private profession or occupation of you or the
1011 member of your immediate family who received such business entertainment) even if unrelated to your
1012 official duties.

1013 EITHER check NO / / OR check YES / / and complete Schedule E.

1014 6. Salary and Wages.

1015 List each employer that pays you or a member of your immediate family salary or wages in excess
1016 of \$5,000 annually. (Exclude state or local government or advisory agencies.)

1017 If no reportable salary or wages, check here / /.

1018 _____
1019 _____
1020 _____

1021 7. Business Interests.

1022 Do you or a member of your immediate family, separately or together, operate your own business, or
1023 own or control an interest in excess of \$5,000 in a business?

1024 EITHER check NO / / OR check YES / / and complete Schedule F.

1025 8. Payments for Representation and Other Services.

1026 8A. Did you represent, excluding activity defined as lobbying in § 2.2-419, any businesses before any
1027 state governmental agencies, excluding courts or judges, for which you received total compensation
1028 during the past six months in excess of \$1,000, excluding compensation for other services to such

1029 businesses and representation consisting solely of the filing of mandatory papers and subsequent
1030 representation regarding the mandatory papers? (Officers and employees of local governmental and
1031 advisory agencies do NOT need to answer this question or complete Schedule G-1.)

1032 EITHER check NO / / OR check YES / / and complete Schedule G-1.

1033 8B. Subject to the same exceptions as in 8A, did persons with whom you have a close financial
1034 association (partners, associates or others) represent, excluding activity defined as lobbying in § 2.2-419,
1035 any businesses before any state governmental agency for which total compensation was received during
1036 the past six months in excess of \$1,000? (Officers and employees of local governmental and advisory
1037 agencies do NOT need to answer this question or complete Schedule G-2.)

1038 EITHER check NO / / OR check YES / / and complete Schedule G-2.

1039 8C. Did you or persons with whom you have a close financial association furnish services to
1040 businesses operating in Virginia pursuant to an agreement between you and such businesses, or between
1041 persons with whom you have a close financial association and such businesses for which total
1042 compensation in excess of \$1,000 was received during the past six months? Services reported under this
1043 provision shall not include services involving the representation of businesses that are reported under
1044 item 8A or 8B.

1045 EITHER check NO / / OR check YES / / and complete Schedule G-3.

1046 9. Real Estate.

1047 9A. State Officers and Employees.

1048 Do you or a member of your immediate family hold an interest, including a partnership interest,
1049 valued at more than \$5,000 in real property (other than your principal residence) for which you have not
1050 already listed the full address on Schedule F? Account for real estate held in trust.

1051 EITHER check NO / / OR check YES / / and complete Schedule H-1.

1052 9B. Local Officers and Employees.

1053 Do you or a member of your immediate family hold an interest, including a partnership interest, or
1054 option, easement, or land contract, valued at more than \$5,000 in real property (other than your principal
1055 residence) for which you have not already listed the full address on Schedule F? Account for real estate
1056 held in trust.

1057 EITHER check NO / / OR check YES / / and complete Schedule H-2.

1058 10. Real Estate Contracts with Governmental Agencies.

1059 Do you or a member of your immediate family hold an interest valued at more than \$5,000 in real
1060 estate, including a corporate, partnership, or trust interest, option, easement, or land contract, which real
1061 estate is the subject of a contract, whether pending or completed within the past six months, with a
1062 governmental agency? If the real estate contract provides for the leasing of the property to a
1063 governmental agency, do you or a member of your immediate family hold an interest in the real estate
1064 valued at more than \$1,000? Account for all such contracts whether or not your interest is reported in
1065 Schedule F, H-1, or H-2. This requirement to disclose an interest in a lease does not apply to an interest
1066 derived through an ownership interest in a business unless the ownership interest exceeds three percent
1067 of the total equity of the business.

1068 EITHER check NO / / OR check YES / / and complete Schedule I.

1069 Statements of Economic Interests are open for public inspection.

1070 AFFIRMATION BY ALL FILERS.

1071 I swear or affirm that the foregoing information is full, true and correct to the best of my knowledge.

1072 Signature_____

1073 (Return only if needed to complete Statement.)

1074 SCHEDULES to STATEMENT OF ECONOMIC INTERESTS.

1075 NAME_____

1076 SCHEDULE A — OFFICES AND DIRECTORSHIPS.

1077 Identify each business of which you or a member of your immediate family is a paid officer or paid
1078 director.

1079 _____

1080 _____

1081 Name of Business _____ Address of Business _____ Position Held and by Whom _____

1082 _____

1083 _____

1084 _____

1085 _____

1086 _____

1087 _____ RETURN TO ITEM 2

1088 SCHEDULE B — PERSONAL LIABILITIES.

1089 Report personal liability by checking each category. Report only debts in excess of \$5,000. Do not

1090 report debts to any government. Do not report loans secured by recorded liens on property at least equal
1091 in value to the loan.

1092 Report contingent liabilities below and indicate which debts are contingent.

1093 1. My personal debts are as follows:

1094			
1095			
1096	Check	Check one	
1097	appropriate	\$5,001 to	More than
1098	categories	\$50,000	\$50,000
1099	Banks		
1100	Savings institutions		
1101	Other loan or finance companies		
1102	Insurance companies		
1103	Stock, commodity or other brokerage companies		
1104	Other businesses:		
1105	(State principal business activity for each		
1106	creditor and its name.)		
1107			
1108			
1109	Individual creditors:		
1110	(State principal business or occupation of		
1111	each creditor and its name.)		
1112			
1113			
1114			

1115 2. The personal debts of the members of my immediate family are as follows:

1116			
1117			
1118	Check	Check one	
1119	appropriate	\$5,001 to	More than
1120	categories	\$50,000	\$50,000
1121	Banks		
1122	Savings institutions		
1123	Other loan or finance companies		
1124	Insurance companies		
1125	Stock, commodity or other brokerage companies		
1126	Other businesses:		
1127	(State principal business activity for each		
1128	creditor and its name.)		
1129			
1130			
1131	Individual creditors:		
1132	(State principal business or occupation of		
1133	each creditor and its name.)		
1134			
1135			
1136			
1137	RETURN TO ITEM 3		

1138 SCHEDULE C — SECURITIES.

1139 "Securities" INCLUDES stocks, bonds, mutual funds, limited partnerships, and commodity futures
1140 contracts.

1141 "Securities" EXCLUDES certificates of deposit, money market funds, annuity contracts, and
1142 insurance policies.

1143 Identify each business or Virginia governmental entity in which you or a member of your immediate
1144 family, directly or indirectly, separately or together, own securities valued in excess of \$5,000. Name
1145 each issuer and type of security individually.

1146 Do not list U.S. Bonds or other government securities not issued by the Commonwealth of Virginia

1147 or its authorities, agencies, or local governments. Do not list organizations that do not do business in
 1148 this Commonwealth, but most major businesses conduct business in Virginia. Account for securities held
 1149 in trust.

1150 If no reportable securities, check here ☐ ☐.

		Check one		
	Type of Security	\$5,001	\$50,001	More
	(stocks, bonds, mutual	to	to	than
	funds, etc.)	\$50,000	\$250,000	\$250,000
1151	Name of Issuer			
1152				
1153				
1154				
1155				
1156				
1157				
1158				
1159				
1160				
1161				

1162 RETURN TO ITEM 4

1163 SCHEDULE D — PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.

1164 List each source from which you received during the past six months in your capacity as an officer
 1165 or employee of your agency lodging, transportation, money, or any other thing of value with combined
 1166 value exceeding \$100 (i) for your presentation of a single talk, participation in one meeting, or
 1167 publication of a work or (ii) for your attendance at a meeting, conference, or event where your
 1168 attendance at the meeting, conference, or event was designed to (a) educate you on issues relevant to
 1169 your duties as an officer or employee of your agency or (b) enhance your knowledge and skills relative
 1170 to your duties as an officer or employee of your agency. Any lodging, transportation, money, or other
 1171 thing of value received by an officer or employee that does not satisfy the provisions of clause (i), (ii)
 1172 (a), or (ii) (b) shall be listed as a gift on Schedule E.

1173 List payments or reimbursements by an advisory or governmental agency only for meetings or travel
 1174 outside the Commonwealth.

1175 List a payment even if you donated it to charity.

1176 Do not list information about a payment if you returned it within 60 days or if you received it from
 1177 an employer already listed under Item 6 or from a source of income listed on Schedule F.

1178 If no payment must be listed, check here ☐ ☐.

	Type of payment
	(e.g., honoraria,
	travel reimburse-
	ment, etc.)
1179	Payer
1180	Approximate Value
1181	Circumstances
1182	
1183	
1184	
1185	
1186	
1187	
1188	
1189	

1190 RETURN TO ITEM 5

1191 SCHEDULE E — GIFTS.

1192 List each business, governmental entity, or individual that, during the past six months, (i) furnished
 1193 you or a member of your immediate family with any gift or entertainment at a single event, and the
 1194 value received exceeded \$50 or (ii) furnished you or a member of your immediate family with gifts or
 1195 entertainment in any combination and the total value received exceeded \$50, and for which you or the
 1196 member of your immediate family neither paid nor rendered services in exchange. List each such gift or
 1197 event. Do not list entertainment events unless the average value per person attending the event exceeded
 1198 \$50. Do not list business entertainment related to the private profession or occupation of you or the
 1199 member of your immediate family who received such business entertainment. Do not list gifts or other
 1200 things of value given by a relative or personal friend for reasons clearly unrelated to your public
 1201 position. Do not list campaign contributions publicly reported as required by Chapter 9.3 (§ 24.2-945 et
 1202 seq.) of Title 24.2 of the Code of Virginia.

	Name of Business,	City or	Exact
1203			
1204			
1205			

1206	Name of	Organization, or	County	Gift or	Approximate
1207	Recipient	Individual	and State	Event	Value
1208					
1209					
1210					
1211					
1212					

RETURN TO ITEM 6

SCHEDULE F — BUSINESS INTERESTS.

Complete this Schedule for each self-owned or family-owned business (including rental property, a farm, or consulting work), partnership, or corporation in which you or a member of your immediate family, separately or together, own an interest having a value in excess of \$5,000.

If the enterprise is owned or operated under a trade, partnership, or corporate name, list that name; otherwise, merely explain the nature of the enterprise. If rental property is owned or operated under a trade, partnership, or corporate name, list the name only; otherwise, give the address of each property. Account for business interests held in trust.

1222					
1223					
1224	Name of Business,			Gross Income	
1225	Corporation,				
1226	Partnership,	City or	Nature of Enterprise	\$50,001	More
1227	Farm; Address of County	(farming, law, rental	\$50,000	to	than
1228	Rental Property and State property, etc.)		or less	\$250,000	\$250,000
1229					
1230					
1231					
1232					
1233					

RETURN TO ITEM 8

SCHEDULE G-1 — PAYMENTS FOR REPRESENTATION BY YOU.

List the businesses you represented, excluding activity defined as lobbying in § 2.2-419, before any state governmental agency, excluding any court or judge, for which you received total compensation during the past six months in excess of \$1,000, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers filed by you.

Identify each business; the nature of the representation and the amount received by dollar category from each such business. You may state the type, rather than name, of the business if you are required by law not to reveal the name of the business represented by you.

Only STATE officers and employees should complete this Schedule.

1245									
1246									
1247		Pur		Amount Received					
1248		pose							
1249	Name	Type	of	Name					
1250	of	of	Repre	of	\$1,001	\$10,001	\$50,001	\$100,001	\$250,001
1251	Busi	Busi	senta	Agen	to	to	to	to	and
1252	ness	ness	tion	cy	\$10,000	\$50,000	\$100,000	\$250,000	over
1253									
1254									
1255									
1256									
1257									

If you have received \$250,001 or more from a single business within the reporting period, indicate the amount received, rounded to the nearest \$10,000.

Amount Received: _____.

SCHEDULE G-2 — PAYMENTS FOR REPRESENTATION BY ASSOCIATES. List the businesses that have been represented, excluding activity defined as lobbying in § 2.2-419, before any state governmental agency, excluding any court or judge, by persons who are your partners, associates or

1264 others with whom you have a close financial association and who received total compensation in excess
 1265 of \$1,000 for such representation during the past six months, excluding representation consisting solely
 1266 of the filing of mandatory papers and subsequent representation regarding the mandatory papers filed by
 1267 your partners, associates or others with whom you have a close financial association.

1268 Identify such businesses by type and also name the state governmental agencies before which such
 1269 person appeared on behalf of such businesses.

1270 Only STATE officers and employees should complete this Schedule.

1271 _____

1272 _____

1273 Type of business _____ Name of state governmental agency

1274 _____

1275 _____

1276 _____

1277 _____

1278 _____

1279 SCHEDULE G-3 — PAYMENTS FOR OTHER SERVICES GENERALLY.

1280 Indicate below types of businesses that operate in Virginia to which services were furnished by you
 1281 or persons with whom you have a close financial association pursuant to an agreement between you and
 1282 such businesses, or between persons with whom you have a close financial association and such
 1283 businesses and for which total compensation in excess of \$1,000 was received during the past six
 1284 months. Services reported in this Schedule shall not include services involving the representation of
 1285 businesses that are reported in Schedule G-1 or G-2.

1286 Identify opposite each category of businesses listed below (i) the type of business; (ii) the type of
 1287 service rendered and (iii) the value by dollar category of the compensation received for all businesses
 1288 falling within each category.

1289 _____

1290 _____

1291 _____ Check _____ Value of Compensation

1292 _____ if _____ Type

1293 _____ ser _____ of

1294 _____ vices ser _____

1295 _____ were vice \$1,001 \$10,001 \$50,001 \$100,001 \$250,001

1296 _____ ren ren to to to to and

1297 _____ dered dered \$10,000 \$50,000 \$100,000 \$250,000 over

1298 Electric utilities _____

1299 Gas utilities _____

1300 Telephone utilities _____

1301 Water utilities _____

1302 Cable television _____

1303 _____ companies _____

1304 Interstate _____

1305 _____ transportation _____

1306 _____ companies _____

1307 Intrastate _____

1308 _____ transportation _____

1309 _____ companies _____

1310 Oil or gas retail _____

1311 _____ companies _____

1312 Banks _____

1313 Savings institutions _____

1314 Loan or finance _____

1315 _____ companies _____

1316 Manufacturing _____

1317 _____ companies (state

1318 _____ type of product,

1319 _____ e.g., textile,

1320 _____ furniture, etc.) _____

1321 Mining companies _____

1322 Life insurance
 1323 — companies
 1324 Casualty insurance
 1325 — companies
 1326 Other insurance
 1327 — companies
 1328 Retail companies
 1329 Beer, wine or liquor
 1330 — companies or
 1331 — distributors
 1332 Trade associations
 1333 Professional
 1334 — associations
 1335 Associations of
 1336 — public employees
 1337 — or officials
 1338 Counties, cities
 1339 — or towns
 1340 Labor organizations
 1341 Other
 1342

RETURN TO ITEM 9

1344 SCHEDULE H-1 — REAL ESTATE — STATE OFFICERS AND EMPLOYEES.

1345 List real estate other than your principal residence in which you or a member of your immediate
 1346 family holds an interest, including a partnership interest, option, easement, or land contract, valued at
 1347 more than \$5,000. Each parcel shall be listed individually.

1348
 1349
 1350 Describe the type of real
 1351 List each location estate you own in each If the real estate is
 1352 (state, and county location (business, recre owned or recorded in
 1353 or city) where you ational, apartment, com a name other than your
 1354 own real estate. mercial, open land, etc.). own, list that name.
 1355
 1356
 1357
 1358
 1359
 1360

1361 SCHEDULE H-2 — REAL ESTATE — LOCAL OFFICERS AND EMPLOYEES.

1362 List real estate other than your principal residence in which you or a member of your immediate
 1363 family holds an interest, including a partnership interest or option, easement, or land contract, valued at
 1364 more than \$5,000. Each parcel shall be listed individually. Also list the names of any co-owners of such
 1365 property, if applicable.
 1366
 1367

1368 Describe the type
 1369 of real estate
 1370 you own in
 1371 each location If the real estate
 1372 List each location (business, is owned or rec-
 1373 (state, and county recreational, orded in a name
 1374 or city) where apartment, com other than your List the names
 1375 you own real mercial, open own, list that of any co-owners,
 1376 estate. land, etc.). name. if applicable.
 1377
 1378

1379 _____
 1380 _____
 1381 _____
 1382 _____

1383 **SCHEDULE I — REAL ESTATE CONTRACTS WITH GOVERNMENTAL AGENCIES.**

1384 List all contracts, whether pending or completed within the past six months, with a governmental
 1385 agency for the sale or exchange of real estate in which you or a member of your immediate family
 1386 holds an interest, including a corporate, partnership or trust interest, option, easement, or land contract,
 1387 valued at more than \$10,000. List all contracts with a governmental agency for the lease of real estate in
 1388 which you or a member of your immediate family holds such an interest valued at more than \$1,000.
 1389 This requirement to disclose an interest in a lease does not apply to an interest derived through an
 1390 ownership interest in a business unless the ownership interest exceeds three percent of the total equity of
 1391 the business.

1392 State officers and employees report contracts with state agencies.

1393 Local officers and employees report contracts with local agencies.

1394 _____

1395

1396 List your real estate

1397 interest and the

1398 person or entity,

1399 including the type

1400 of entity, which

1401 is party to

1402 the contract.

1403 Describe any _____ State the annual

1404 management role and _____ income from the

1405 the percentage _____ agency which is a _____ amount, if any, of

1406 ownership _____ party to the contract _____ income you or any

1407 interest you or your _____ and indicate the _____ immediate family

1408 immediate family _____ county or city where _____ member derives

1409 member has in the real _____ the real estate _____ annually from the

1410 estate or entity. _____ is located. _____ contract.

1411 _____

1412 _____

1413 _____

1414 _____

1415 _____

1416 _____

1417 **§ 2.2-3118. Disclosure form; certain citizen members.**

1418 A. (Effective from January 1, 2016, until July 1, 2016) The financial disclosure form to be used for
 1419 filings required pursuant to subsection B of § 2.2-3114 and subsection B of § 2.2-3115 shall be filed in
 1420 accordance with the provisions of § 30-356. The financial disclosure form shall be substantially as
 1421 follows: *prescribed by the Council.*

1422 A. (Effective July 1, 2016) The financial disclosure form to be used for filings required pursuant to
 1423 subsection B of § 2.2-3114 and subsection B of § 2.2-3115 shall be filed in accordance with the
 1424 provisions of § 30-356. The financial disclosure form shall be substantially similar to the following
 1425 *prescribed by the Council.* Except as otherwise provided in § 2.2-3115, all completed forms shall be
 1426 filed electronically with the Council in accordance with the standards approved by it pursuant to
 1427 § 30-356.

1428 **DEFINITIONS AND EXPLANATORY MATERIAL.**

1429 "Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise,
 1430 association, trust or foundation, or any other individual or entity carrying on a business or profession,
 1431 whether or not for profit.

1432 "Close financial association" means an association in which the person filing shares significant
 1433 financial involvement with an individual and the filer would reasonably be expected to be aware of the
 1434 individual's business activities and would have access to the necessary records either directly or through
 1435 the individual.

1436 "Close financial association" does not mean an association based on (i) the receipt of retirement
 1437 benefits or deferred compensation from a business by which the person filing this statement is no longer

1438 employed, or (ii) the receipt of compensation for work performed by the person filing as an independent
1439 contractor of a business that represents an entity before any state governmental agency when the person
1440 filing has no communications with the state governmental agency.

1441 "Contingent liability" means a liability that is not presently fixed or determined, but may become
1442 fixed or determined in the future with the occurrence of some certain event.

1443 "Immediate family" means (i) a spouse and (ii) any other person who resides in the same household
1444 as the filer and who is a dependent of the filer.

1445 "Personal interest" means, for the purposes of this form only, a personal and financial benefit or
1446 liability accruing to a filer or a member of his immediate family. Such interest shall exist by reason of
1447 (i) ownership in real or personal property, tangible or intangible; (ii) ownership in a business; (iii)
1448 income from a business; or (iv) personal liability on behalf of a business; however, unless the ownership
1449 interest in a business exceeds three percent of the total equity of the business, or the liability on behalf
1450 of a business exceeds three percent of the total assets of the business, or the annual income, and/or
1451 property or use of such property, from the business exceeds \$10,000 or may reasonably be anticipated to
1452 exceed \$10,000, such interest shall not constitute a "personal interest."

1453 Name _____

1454	Office or position held or to be held
------	---------------------------------------

1455

1456 Address

1457 I. FINANCIAL INTERESTS

1458 My personal interests and those of my immediate family are as follows:

1459 Include all forms of personal interests held at the time of filing: real estate, stocks, bonds, equity
1460 interests in proprietorships and partnerships. You may exclude:

1461 1. Deposits and interest bearing accounts in banks, savings institutions and other institutions
1462 accepting such deposits or accounts;

1463 2. Interests in any business, other than a news medium, representing less than three percent of the
1464 total equity value of the business;

1465 3. Liability on behalf of any business representing less than three percent of the total assets of such
1466 business; and

1467 4. Income (other than from salary) less than \$10,000 annually from any business. You need not state
1468 the value of any interest. You must state the name or principal business activity of each business in
1469 which you have a personal interest.

1470 A. My personal interests are:

1471 1. Residence, address, or, if no address, location

1472 _____

1473 2. Other real estate, address, or, if no address, location

1474 _____
1475 3. Name or principal business activity of each business in which stock, bond or equity interest is
1476 held _____

1477 _____

1478 B. The personal interests of my immediate family are:

1. Real estate, address or, if no address, location

1481 2. Name or principal business activity of each business in which stock, bond or equity interest is
1482 held

1483
1484 II. OFFICES, DIRECTORSHIPS AND SALARIED EMPLOYMENTS

1485 The paid offices, paid directorships and salaried employments which I hold or which members of my
1486 immediate family hold and the businesses from which I or members of my immediate family receive
1487 retirement benefits are as follows:

1488 (You need not state any dollar amounts.)

1489 A. My paid offices, paid directorships and salaried employments are:

1490

1491	1492	Position held	Name of business

1492	POSITION HELD	NAME OF BUSINESS
1493		

1494	
1495	

1496
1497 B. The paid offices, paid directorships and salaried employments of members of my immediate
1498 family are:

1499		
1500		
1501	Position held	Name of business
1502		
1503		
1504		
1505		

III. BUSINESSES TO WHICH SERVICES WERE FURNISHED

1506 A. The businesses I have represented, excluding activity defined as lobbying in § 2.2-419, before any
1507 state governmental agency, excluding any court or judge, for which I have received total compensation
1508 in excess of \$1,000 during the preceding year, excluding compensation for other services to such
1509 businesses and representation consisting solely of the filing of mandatory papers, are as follows:

1510 Identify businesses by name and name the state governmental agencies before which you appeared on
1511 behalf of such businesses.

1512		
1513		
1514		
1515	Name of business	Name of governmental agency
1516		
1517		
1518		
1519		

1520 B. The businesses that, to my knowledge, have been represented, excluding activity defined as
1521 lobbying in § 2.2-419, before any state governmental agency, excluding any court or judge, by persons
1522 with whom I have a close financial association and who received total compensation in excess of \$1,000
1523 during the preceding year, excluding compensation for other services to such businesses and
1524 representation consisting solely of the filing of mandatory papers, are as follows:

1525 Identify businesses by type and name the state governmental agencies before which such person
1526 appeared on behalf of such businesses.

1527		
1528		
1529	Type of business	Name of state governmental agency
1530		
1531		
1532		
1533		

1534 C. All other businesses listed below that operate in Virginia to which services were furnished
1535 pursuant to an agreement between you and such businesses and for which total compensation in excess
1536 of \$1,000 was received during the preceding year:

1537 Check each category of business to which services were furnished.

1538	
1539	
1540	Electric utilities
1541	Gas utilities
1542	Telephone utilities
1543	Water utilities
1544	Cable television companies
1545	Intrastate transportation companies
1546	Interstate transportation companies
1547	Oil or gas retail companies
1548	Banks
1549	Savings institutions
1550	Loan or finance companies
1551	Manufacturing companies (state type
1552	— of product, e.g., textile, furniture,
1553	— etc.)
1554	Mining companies
1555	Life insurance companies
1556	Casualty insurance companies

1557 Other insurance companies _____
 1558 Retail companies _____
 1559 Beer, wine or liquor companies or
 1560 — distributors _____
 1561 Trade associations _____
 1562 Professional associations _____
 1563 Associations of public employees or
 1564 — officials _____
 1565 Counties, cities or towns _____
 1566 Labor organizations _____
 1567 _____

1568 IV. COMPENSATION FOR EXPENSES

1569 The persons, associations, or other sources other than my governmental agency from which I or a
 1570 member of my immediate family received remuneration in excess of \$100 during the preceding year, in
 1571 cash or otherwise, as honorariums or payment of expenses in connection with my attendance at any
 1572 meeting or other function to which I was invited in my official capacity are as follows:

1573 _____
 1574 _____
 1575 _____ Description _____ Amount of remuneration
 1576 Name of Source _____ of occasion _____ for each occasion
 1577 _____
 1578 _____
 1579 _____
 1580 _____

1581 B. The provisions of Part III A and B of the disclosure form prescribed by this section shall not be
 1582 applicable to officers and employees of local governmental and local advisory agencies.

1583 C. Except for real estate located within the county, city or town in which the officer or employee
 1584 serves or a county, city or town contiguous to the county, city or town in which the officer or employee
 1585 serves, officers and employees of local governmental or advisory agencies shall not be required to
 1586 disclose under Part I of the form any other interests in real estate.

1587 § 2.2-3118.1. Special provisions for individuals serving in or seeking multiple positions or 1588 offices; reappointees.

1589 A. The filing of a single current statement of economic interests by a state officer or employee *an*
 1590 *individual* required to file the form prescribed in § 2.2-3117 shall suffice for the purposes of this chapter
 1591 as filing for all state positions or offices held or sought by such individual during a single reporting
 1592 period. The filing of a single current financial disclosure statement by a state officer or employee *an*
 1593 *individual* required to file the form prescribed in § 2.2-3118 shall suffice for the purposes of this chapter
 1594 as filing for all state positions or offices held or sought by such individual and requiring the filing of
 1595 the § 2.2-3118 form during a single reporting period.

1596 B. Any individual who has met the requirement for periodically filing a statement provided in
 1597 § 2.2-3117 or 2.2-3118 shall not be required to file an additional statement upon such individual's
 1598 reappointment to the same office or position for which he is required to file, provided such
 1599 reappointment occurs within six months after filing a statement pursuant to § 2.2-3117 and within 12
 1600 months after filing a statement pursuant to § 2.2-3118.

1601 § 24.2-502. Statement of economic interests as requirement of candidacy.

1602 It shall be a requirement of candidacy that a written statement of economic interests shall be filed by
 1603 (i) a candidate for Governor, Lieutenant Governor, or Attorney General ~~with the Secretary of the~~
 1604 ~~Commonwealth,~~ (ii) a candidate for *the* Senate or House of Delegates ~~with the clerk of the appropriate~~
 1605 ~~house,~~ (iii) *and* a candidate for a constitutional office with the ~~general registrar for the county or city,~~
 1606 *Virginia Conflict of Interest and Ethics Advisory Council* and ~~(iv)~~ (ii) a candidate for member of the
 1607 governing body or elected school board of any county, city, or town with a population in excess of
 1608 3,500 persons with the general registrar for the county or city. The statement of economic interests shall
 1609 be that specified in § 30-111 for candidates for the General Assembly and in § 2.2-3117 for all other
 1610 candidates. The foregoing requirement shall not apply to a candidate for reelection to the same office
 1611 who has met the requirement of annually filing a statement pursuant to § 2.2-3114, 2.2-3115, or 30-110.

1612 The ~~Secretary of the Commonwealth and the clerks of the Senate and House of Delegates~~ *Virginia*
 1613 *Conflict of Interest and Ethics Advisory Council* shall transmit to the State Board, immediately after the
 1614 filing deadline, a list of the candidates who have filed initial or annual statements of economic interests.
 1615 The general registrar, the clerk of the local governing body, or the clerk of the school board, as
 1616 appropriate, shall transmit to the local electoral board, immediately after the filing deadline, a list of the

1617 candidates who have filed initial or annual statements of economic interests.

1618 **§ 30-101. Definitions.**

1619 As used in this chapter, unless the context requires a different meaning:

1620 "Advisory agency" means any board, commission, committee or post which does not exercise any
1621 sovereign power or duty, but is appointed by a governmental agency or officer or is created by law for
1622 the purpose of making studies or recommendations, or advising or consulting with a governmental
1623 agency.

1624 "Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise,
1625 association, trust or foundation, or any other individual or entity carrying on a business or profession,
1626 whether or not for profit.

1627 "Candidate" means a person who seeks or campaigns for election to the General Assembly in a
1628 general, primary, or special election and who is qualified to have his name placed on the ballot for the
1629 office. The candidate shall become subject to the provisions of this section upon the filing of a
1630 statement of qualification pursuant to § 24.2-501. The State Board of Elections shall notify each such
1631 candidate of the provisions of this chapter.

1632 "Contract" means any agreement to which a governmental agency is a party, or any agreement on
1633 behalf of a governmental agency that involves the payment of money appropriated by the General
1634 Assembly or a political subdivision, whether or not such agreement is executed in the name of the
1635 Commonwealth, or some political subdivision thereof. "Contract" includes a subcontract only when the
1636 contract of which it is a part is with the legislator's own governmental agency.

1637 "Council" means the Virginia Conflict of Interest and Ethics Advisory Council established in
1638 § 30-355.

1639 "Financial institution" means any bank, trust company, savings institution, industrial loan association,
1640 consumer finance company, credit union, broker-dealer as defined in subsection A of § 13.1-501, or
1641 investment company or advisor registered under the federal Investment Advisors Act or Investment
1642 Company Act of 1940.

1643 "Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item
1644 having monetary value. It includes services as well as gifts of transportation, lodgings and meals,
1645 whether provided in-kind, by purchase of a ticket, payment in advance, or reimbursement after the
1646 expense has been incurred. "Gift" does not include (i) any offer of a ticket, coupon, or other admission
1647 or pass unless the ticket, coupon, admission, or pass is used; (ii) honorary degrees; (iii) any athletic,
1648 merit, or need-based scholarship or any other financial aid awarded by a public or private school,
1649 institution of higher education, or other educational program pursuant to such school, institution, or
1650 program's financial aid standards and procedures applicable to the general public; (iv) a campaign
1651 contribution properly received and reported pursuant to Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2;
1652 (v) any gift related to the private profession or occupation of a legislator or of a member of his
1653 immediate family; (vi) food or beverages consumed while attending an event at which the filer is
1654 performing official duties related to his public service; (vii) food and beverages received at or
1655 registration or attendance fees waived for any event at which the filer is a featured speaker, presenter, or
1656 lecturer; (viii) unsolicited awards of appreciation or recognition in the form of a plaque, trophy, wall
1657 memento, or similar item that is given in recognition of public, civic, charitable, or professional service;
1658 (ix) a devise or inheritance; (x) travel disclosed pursuant to the Campaign Finance Disclosure Act
1659 (§ 24.2-945 et seq.); (xi) travel paid for or provided by the government of the United States, any of its
1660 territories, or any state or any political subdivision of such state; (xii) travel provided to facilitate
1661 attendance by a legislator at a regular or special session of the General Assembly, a meeting of a
1662 legislative committee or commission, or a national conference where attendance is approved by the
1663 House *Committee on Rules or its Chairman* or the Senate *Committee on Rules or its Chairman*; (xiii)
1664 travel related to an official meeting of the Commonwealth, its political subdivisions, or any board,
1665 commission, authority, or other entity, or any charitable organization established pursuant to § 501(c)(3)
1666 of the Internal Revenue Code affiliated with such entity, to which such person has been appointed or
1667 elected or is a member by virtue of his office or employment; ~~or~~ (xiv) *gifts with a value of less than*
1668 *\$20; or* (xv) gifts from relatives or personal friends. For the purpose of this definition, "relative" means
1669 the donee's spouse, child, uncle, aunt, niece, nephew, or first cousin; a person to whom the donee is
1670 engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother, sister,
1671 step-parent, step-grandparent, step-grandchild, step-brother, or step-sister; or the donee's brother's or
1672 sister's spouse. For the purpose of this definition, "personal friend" does not include any person that the
1673 filer knows or has reason to know is (a) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of
1674 Chapter 4 of Title 2.2 or (b) a lobbyist's principal as defined in § 2.2-419.

1675 "Governmental agency" means each component part of the legislative, executive or judicial branches
1676 of state and local government, including each office, department, authority, post, commission,
1677 committee, and each institution or board created by law to exercise some regulatory or sovereign power
1678 or duty as distinguished from purely advisory powers or duties.

"Immediate family" means (i) a spouse and (ii) any other person who resides in the same household as the legislator and who is a dependent of the legislator.

"Legislator" means a member of the General Assembly.

"Personal interest" means a financial benefit or liability accruing to a legislator or to a member of his immediate family. Such interest shall exist by reason of (i) ownership in a business if the ownership interest exceeds three percent of the total equity of the business; (ii) annual income that exceeds, or may reasonably be anticipated to exceed, \$5,000 from ownership in real or personal property or a business; (iii) salary, other compensation, fringe benefits, or benefits from the use of property, or any combination thereof, paid or provided by a business or governmental agency that exceeds, or may reasonably be anticipated to exceed, \$5,000 annually; (iv) ownership of real or personal property if the interest exceeds \$5,000 in value and excluding ownership in a business, income, or salary, other compensation, fringe benefits or benefits from the use of property; (v) personal liability incurred or assumed on behalf of a business if the liability exceeds three percent of the asset value of the business; or (vi) an option for ownership of a business or real or personal property if the ownership interest will consist of clause (i) or (iv).

"Personal interest in a contract" means a personal interest that a legislator has in a contract with a governmental agency, whether due to his being a party to the contract or due to a personal interest in a business that is a party to the contract.

"Personal interest in a transaction" means a personal interest of a legislator in any matter considered by the General Assembly. Such personal interest exists when an officer or employee or a member of his immediate family has a personal interest in property or a business, or represents or provides services to any individual or business and such property, business or represented or served individual or business (i) is the subject of the transaction or (ii) may realize a reasonably foreseeable direct or indirect benefit or detriment as a result of the action of the agency considering the transaction. A "personal interest in a transaction" exists only if the legislator or member of his immediate family or an individual or business represented or served by the legislator is affected in a way that is substantially different from the general public or from persons comprising a profession, occupation, trade, business or other comparable and generally recognizable class or group of which he or the individual or business he represents or serves is a member.

"Transaction" means any matter considered by the General Assembly, whether in a committee, subcommittee, or other entity of the General Assembly or before the General Assembly itself, on which official action is taken or contemplated.

§ 30-110. Disclosure.

A. (Effective January 1, 2016, through July 1, 2016) Every legislator and legislator-elect shall file, as a condition to assuming office, a disclosure statement of his personal interests and such other information as is ~~specified required~~ on the form ~~set forth in~~ *prescribed by the Council pursuant to § 30-111* and thereafter shall file such a statement ~~semiannually by December annually on or before January 15 for the preceding six-month period complete through the last day of October and by June 15 for the preceding six-month period complete through the last day of April.~~ When the filing deadline falls on a Saturday, Sunday, or legal holiday, the disclosure statement shall be filed on the next day that is not a Saturday, Sunday, or legal holiday. Disclosure forms shall be made available by the Virginia Conflict of Interest and Ethics Advisory Council at least 30 days prior to the filing deadline. Members of the Senate and members of the House of Delegates shall file their disclosure forms with the Virginia Conflict of Interest and Ethics Advisory Council. The disclosure forms of the members of the General Assembly shall be maintained as public records for five years in the office of the Virginia Conflict of Interest and Ethics Advisory Council. Such forms shall be made public no later than six weeks after filing.

A. (Effective July 1, 2016) Every legislator and legislator-elect shall file, as a condition to assuming office, a disclosure statement of his personal interests and such other information as is ~~specified required~~ on the form ~~set forth in~~ *prescribed by the Council pursuant to § 30-111* and thereafter shall file such a statement ~~semiannually by December annually on or before January 15 for the preceding six-month period complete through the last day of October and by June 15 for the preceding six-month period complete through the last day of April.~~ When the filing deadline falls on a Saturday, Sunday, or legal holiday, the disclosure statement shall be filed on the next day that is not a Saturday, Sunday, or legal holiday. Disclosure forms shall be made available by the Virginia Conflict of Interest and Ethics Advisory Council at least 30 days prior to the filing deadline. Disclosure forms shall be filed electronically with the Virginia Conflict of Interest and Ethics Advisory Council in accordance with the standards approved by it pursuant to § 30-356. The disclosure forms of the members of the General Assembly shall be maintained as public records for five years in the office of the Virginia Conflict of Interest and Ethics Advisory Council. Such forms shall be made public no later than six weeks after filing.

B. Candidates for the General Assembly shall file a disclosure statement of their personal interests as required by §§ 24.2-500 through 24.2-503.

C. Any legislator who has a personal interest in any transaction pending before the General Assembly and who is disqualified from participating in that transaction pursuant to § 30-108 and the rules of his house shall disclose his interest in accordance with the applicable rule of his house.

§ 30-110.1. Report of gifts.

Every legislator shall file, on or before May 1, a report of gifts accepted or received by him or a member of his immediate family during the period beginning on January 1 complete through adjournment sine die of the regular session of the General Assembly. The gift report shall be on a form prescribed by the Council and shall be filed electronically with the Council in accordance with the standards approved by it pursuant to § 30-356. For purposes of this section, "adjournment sine die" means adjournment on the last legislative day of the regular session and does not include the ensuing reconvened session. Any gifts reported pursuant to this section shall not be listed on the annual disclosure form prescribed by the Council pursuant to § 30-111.

§ 30-111. Disclosure form.

A. (Effective from January 1, 2016, until July 1, 2016) The disclosure form to be used for filings required by subsections A and B of § 30-110 shall be ~~substantially similar to the following prescribed by the Council.~~

A. (Effective July 1, 2016) The disclosure form to be used for filings required by subsections A and B of § 30-110 shall be ~~substantially similar to the following prescribed by the Council.~~ All completed forms shall be filed electronically with the Council in accordance with the standards approved by it pursuant to § 30-356.

STATEMENT OF ECONOMIC INTERESTS.

Name _____

Office or position held or sought _____

Address _____

Names of members of immediate family _____

DEFINITIONS AND EXPLANATORY MATERIAL.

"Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise, association, trust or foundation, or any other individual or entity carrying on a business or profession, whether or not for profit.

"Close financial association" means an association in which the filer shares significant financial involvement with an individual and the filer would reasonably be expected to be aware of the individual's business activities and would have access to the necessary records either directly or through the individual. "Close financial association" does not mean an association based on (i) the receipt of retirement benefits or deferred compensation from a business by which the legislator is no longer employed, or (ii) the receipt of compensation for work performed by the legislator as an independent contractor of a business that represents an entity before any state governmental agency when the legislator has had no communications with the state governmental agency.

"Contingent liability" means a liability that is not presently fixed or determined, but may become fixed or determined in the future with the occurrence of some certain event.

"Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item having monetary value. It includes services as well as gifts of transportation, lodgings and meals, whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the expense has been incurred. "Gift" does not include (i) any offer of a ticket, coupon, or other admission or pass unless the ticket, coupon, admission, or pass is used; (ii) honorary degrees; (iii) any athletic, merit, or need-based scholarship or any other financial aid awarded by a public or private school, institution of higher education, or other educational program pursuant to such school, institution, or program's financial aid standards and procedures applicable to the general public; (iv) a campaign contribution properly received and reported pursuant to Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2; (v) any gift related to the private profession or occupation of a legislator or of a member of his immediate family; (vi) food or beverages consumed while attending an event at which the filer is performing official duties related to his public service; (vii) food and beverages received at or registration or attendance fees waived for any event at which the filer is a featured speaker, presenter, or lecturer; (viii) unsolicited awards of appreciation or recognition in the form of a plaque, trophy, wall memento, or similar item that is given in recognition of public, civic, charitable, or professional service; (ix) a devise or inheritance; (x) travel disclosed pursuant to the Campaign Finance Disclosure Act (§ 24.2-945 et seq.); (xi) travel paid for or provided by the government of the United States, any of its territories, or any state or any political subdivision of such state; (xii) travel provided to facilitate attendance by a legislator at a regular or special session of the General Assembly, a meeting of a legislative committee or commission, or a national conference where attendance is approved by the House or Senate Committee on Rules; (xiii) travel related to an official meeting of the Commonwealth;

its political subdivisions, or any board, commission, authority, or other entity, or any charitable organization established pursuant to § 501(c)(3) of the Internal Revenue Code affiliated with such entity, to which such person has been appointed or elected or is a member by virtue of his office or employment; or (xiv) gifts from relatives or personal friends. "Relative" means the donee's spouse, child, uncle, aunt, niece, nephew, or first cousin; a person to whom the donee is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother, sister, step-parent, step-grandparent, step-grandchild, step-brother, or step-sister; or the donee's brother's or sister's spouse. "Personal friend" does not include any person that the filer knows or has reason to know is (a) a lobbyist registered pursuant to Article 3 (§ 2-2-418 et seq.) of Chapter 4 of Title 2-2 or (b) a lobbyist's principal as defined in § 2-2-419.

"Immediate family" means (i) a spouse and (ii) any other person who resides in the same household as the legislator and who is a dependent of the legislator.

"Lobbyist relationship" means (i) an engagement, agreement, or representation that relates to legal services, consulting services, or public relations services, whether gratuitous or for compensation, between a member or member-elect and any person who is, or has been within the prior calendar year, registered as a lobbyist with the Secretary of the Commonwealth or (ii) a greater than three percent ownership interest by a member or member-elect in a business that employs, or engages as an independent contractor, any person who is, or has been within the prior calendar year, registered as a lobbyist with the Secretary of the Commonwealth. The disclosure of a lobbyist relationship shall not (a) constitute a waiver of any attorney-client or other privilege, (b) require a waiver of any attorney-client or other privilege for a third party, or (c) be required where a member or member-elect is employed or engaged by a person and such person also employs or engages a person in a lobbyist relationship so long as the member or member-elect has no financial interest in the lobbyist relationship.

TRUST. If you or your immediate family, separately or together, are the only beneficiaries of a trust, treat the trust's assets as if you own them directly. If you or your immediate family has a proportional interest in a trust, treat that proportion of the trust's assets as if you own them directly. For example, if you and your immediate family have a one-third interest in a trust, complete your Statement as if you own one-third of each of the trust's assets. If you or a member of your immediate family created a trust and can revoke it without the beneficiaries' consent, treat its assets as if you own them directly.

REPORT TO THE BEST OF INFORMATION AND BELIEF. Information required on this Statement must be provided on the basis of the best knowledge, information, and belief of the individual filing the Statement as of the date of this report unless otherwise stated.

COMPLETE ITEMS 1 THROUGH 11. REFER TO SCHEDULES ONLY IF DIRECTED.

You may attach additional explanatory information.

1. Offices and Directorships.

Are you or a member of your immediate family a paid officer or paid director of a business?

EITHER check NO // OR check YES // and complete Schedule A.

2. Personal Liabilities.

Do you or a member of your immediate family owe more than \$5,000 to any one creditor including contingent liabilities? (Exclude debts to any government and loans secured by recorded liens on property at least equal in value to the loan.)

EITHER check NO // OR check YES // and complete Schedule B.

3. Securities.

Do you or a member of your immediate family, directly or indirectly, separately or together, own securities valued in excess of \$5,000 invested in one business? Account for mutual funds, limited partnerships and trusts.

EITHER check NO // OR check YES // and complete Schedule C.

4. Payments for Talks, Meetings, and Publications.

During the past six months did you receive in your capacity as a legislator lodging, transportation, money, or anything else of value with a combined value exceeding \$100 (i) for a single talk, meeting, or published work or (ii) for a meeting, conference, or event where your attendance at the meeting, conference, or event was designed to (a) educate you on issues relevant to your duties as a legislator, including issues faced by your constituents, or (b) enhance your knowledge and skills relative to your duties as a legislator? Do not include payments and reimbursements from the Commonwealth for meetings attended in your capacity as a legislator; see Question 11 and Schedule D2 to report such meetings.

EITHER check NO // OR check YES // and complete Schedule D.

5. Gifts.

During the past six months did a business, government, or individual other than a relative or personal friend (i) furnish you or a member of your immediate family with any gift or entertainment at a single event, and the value received exceeded \$50 or (ii) furnish you or a member of your immediate family

1863 with gifts or entertainment in any combination and the total value received exceeded \$50, and for which
 1864 you or the member of your immediate family neither paid nor rendered services in exchange? Account
 1865 for entertainment events only if the average value per person attending the event exceeded \$50. Account
 1866 for all business entertainment (except if related to the private profession or occupation of you or the
 1867 member of your immediate family who received such business entertainment) even if unrelated to your
 1868 official duties.

1869 EITHER check NO // OR check YES // and complete Schedule E.

1870 6. Salary and Wages.

1871 List each employer that pays you or a member of your immediate family salary or wages in excess
 1872 of \$5,000 annually. (Exclude any salary received as a member of the General Assembly pursuant to
 1873 § 30-19.11.)

1874 If no reportable salary or wages, check here //

1875 _____

1876 _____

1877 _____

1878 7. Business Interests and Lobbyist Relationships.

1879 7A. Do you or a member of your immediate family, separately or together, operate your own
 1880 business, or own or control an interest in excess of \$5,000 in a business?

1881 EITHER check NO // OR check YES // and complete Schedule F-1.

1882 7B. Do you have a lobbyist relationship as that term is defined above?

1883 EITHER check NO // OR check YES // and complete Schedule F-2.

1884 8. Payments for Representation and Other Services.

1885 8A. Did you represent any businesses before any state governmental agencies, excluding courts or
 1886 judges, for which you received total compensation during the past six months in excess of \$1,000,
 1887 excluding compensation for other services to such businesses and representation consisting solely of the
 1888 filing of mandatory papers and subsequent representation regarding the mandatory papers?

1889 EITHER check NO // OR check YES // and complete Schedule G-1.

1890 8B. Subject to the same exceptions as in 8A, did persons with whom you have a close financial
 1891 association (partners, associates or others) represent any businesses before any state governmental agency
 1892 for which total compensation was received during the past six months in excess of \$1,000?

1893 EITHER check NO // OR check YES // and complete Schedule G-2.

1894 8C. Did you or persons with whom you have a close financial association furnish services to
 1895 businesses operating in Virginia, pursuant to an agreement between you and such businesses, or between
 1896 persons with whom you have a close financial association and such businesses for which total
 1897 compensation in excess of \$1,000 was received during the past six months? Services reported under this
 1898 provision shall not include services involving the representation of businesses that are reported under
 1899 question 8A or 8B above.

1900 EITHER check NO // OR check YES // and complete Schedule G-3.

1901 9. Real Estate.

1902 Do you or a member of your immediate family hold an interest, including a partnership interest,
 1903 valued at more than \$5,000 in real property (other than your principal residence) for which you have not
 1904 already listed the full address on Schedule F? Account for real estate held in trust.

1905 EITHER check NO // OR check YES // and complete Schedule H.

1906 10. Real Estate Contracts with State Governmental Agencies.

1907 Do you or a member of your immediate family hold an interest valued at more than \$5,000 in real
 1908 estate, including a corporate, partnership, or trust interest, option, easement, or land contract, which real
 1909 estate is the subject of a contract, whether pending or completed within the past six months, with a state
 1910 governmental agency?

1911 If the real estate contract provides for the leasing of the property to a state governmental agency, do
 1912 you or a member of your immediate family hold an interest in the real estate, including a corporate,
 1913 partnership, or trust interest, option, easement, or land contract valued at more than \$1,000? Account for
 1914 all such contracts whether or not your interest is reported in Schedule F or H. This requirement to
 1915 disclose an interest in a lease does not apply to an interest derived through an ownership interest in a
 1916 business unless the ownership interest exceeds three percent of the total equity of the business.

1917 EITHER check NO // OR check YES // and complete Schedule I.

1918 11. Payments by the Commonwealth for Meetings.

1919 During the past six months did you receive lodging, transportation, money, or anything else of value
 1920 with a combined value exceeding \$100 from the Commonwealth for a single meeting attended
 1921 out-of-state in your capacity as a legislator? Do not include reimbursements from the Commonwealth for
 1922 meetings attended in the Commonwealth.

1923 EITHER check NO // OR check YES // and complete Schedule D-2.

1924 For Statements filed in June 2016 and each two years thereafter, complete the following statement

1925 indicating whether you completed the ethics orientation sessions provided pursuant to law:

1926 I certify that I completed ethics training as required by § 30-129.1. YES / / or NO / /.

1927 Statements of Economic Interests are open for public inspection.

1928 AFFIRMATION.

1929 In accordance with the rules of the house in which I serve, if I receive a request that this disclosure
1930 statement be corrected, augmented, or revised in any respect, I hereby pledge that I shall respond
1931 promptly to the request. I understand that if a determination is made that the statement is insufficient, I
1932 will satisfy such request or be subjected to disciplinary action of my house.

1933 I swear or affirm that the foregoing information is full, true and correct to the best of my knowledge.

1934 Signature _____

1935 (Return only if needed to complete Statement.)

1936 SCHEDULES to STATEMENT OF ECONOMIC INTERESTS.

1937 NAME _____

1938 SCHEDULE A — OFFICES AND DIRECTORSHIPS.

1939 Identify each business of which you or a member of your immediate family is a paid officer or paid
1940 director.

1941 _____

1942 _____

1943 Name of Business _____ Address of Business _____ Position Held and by Whom _____

1944 _____

1945 _____

1946 _____

1947 _____

1948 _____

1949 _____ RETURN TO ITEM 2

1950 SCHEDULE B — PERSONAL LIABILITIES.

1951 Report personal liability by checking each category. Report only debts in excess of \$5,000. Do not
1952 report debts to any government. Do not report loans secured by recorded liens on property at least equal
1953 in value to the loan.

1954 Report contingent liabilities below and indicate which debts are contingent.

1955 1. My personal debts are as follows:

1956 _____

1957 _____

1958 _____ Check _____ Check one

1959 _____ appropriate \$5,001 to More than

1960 _____ categories \$50,000 \$50,000

1961 Banks _____

1962 Savings institutions _____

1963 Other loan or finance companies _____

1964 Insurance companies _____

1965 Stock, commodity or other brokerage _____

1966 _____ companies _____

1967 Other businesses: _____

1968 (State principal business activity for each

1969 creditor and its name.)

1970 _____

1971 _____

1972 _____

1973 Individual creditors: _____

1974 (State principal business or occupation of

1975 each creditor and its name.)

1976 _____

1977 _____

1978 _____

1979 _____

1980 2. The personal debts of the members of my immediate family are as follows:

1981 _____

1982 _____

1983	_____	Check	_____	Check one
1984	_____	appropriate	\$5,001 to	More than
1985	_____	categories	\$50,000	\$50,000
1986	Banks	_____	_____	_____
1987	Savings institutions	_____	_____	_____
1988	Other loan or finance companies	_____	_____	_____
1989	Insurance companies	_____	_____	_____
1990	Stock, commodity or other brokerage	_____	_____	_____
1991	_____	companies	_____	_____
1992	Other businesses:	_____	_____	_____
1993	(State principal business activity for each			
1994	creditor and its name.)			
1995	_____	_____	_____	_____
1996	_____	_____	_____	_____
1997	_____	_____	_____	_____
1998	Individual creditors:	_____	_____	_____
1999	(State principal business or occupation of			
2000	each creditor and its name.)			
2001	_____	_____	_____	_____
2002	_____	_____	_____	_____
2003	_____	_____	_____	_____
2004	_____	_____	_____	_____

RETURN TO ITEM 3

SCHEDULE C — SECURITIES.

"Securities" INCLUDES stocks, bonds, mutual funds, limited partnerships, and commodity futures contracts.

"Securities" EXCLUDES certificates of deposit, money market funds, annuity contracts, and insurance policies.

Identify each business or Virginia governmental entity in which you or a member of your immediate family, directly or indirectly, separately or together, own securities valued in excess of \$5,000. Name each issuer and type of security individually.

Do not list U.S. Bonds or other government securities not issued by the Commonwealth of Virginia or its authorities, agencies, or local governments. Do not list organizations that do not do business in this Commonwealth, but most major businesses conduct business in Virginia. Account for securities held in trust.

If no reportable securities, check here ☐ ☐.

1919	_____	_____	_____	_____
1920	_____	_____	_____	_____

2021	_____	_____	Check one
2022	_____	Type of Security	\$5,001 \$50,001 More
2023	_____	(stocks, bonds, mutual	to to than
2024	Name of Issuer	funds, etc.)	\$50,000 \$250,000 \$250,000

2025	_____	_____	_____	_____
2026	_____	_____	_____	_____
2027	_____	_____	_____	_____
2028	_____	_____	_____	_____
2029	_____	_____	_____	_____

RETURN TO ITEM 4

SCHEDULE D-1 — PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.

List each source from which you received during the past six months in your capacity as a legislator lodging, transportation, money, or any other thing of value with a combined value exceeding \$100 (i) for your presentation of a single talk, participation in one meeting, or publication of a work or (ii) for your attendance at a meeting, conference, or event where your attendance at the meeting, conference, or event was designed to (a) educate you on issues relevant to your duties as a legislator, including issues faced by your constituents, or (b) enhance your knowledge and skills relative to your duties as a legislator. Any lodging, transportation, money, or other thing of value received by a legislator that does not satisfy the criteria of clause (i), (ii)(a), or (ii)(b) shall be listed as a gift on Schedule E. Do not list payments or reimbursements by the Commonwealth. (See Schedule D-2 for such payments or reimbursements.) List a payment even if you donated it to charity. Do not list information about a

2042 payment if you returned it within 60 days or if you received it from an employer already listed under
 2043 Item 6 or from a source of income listed on Schedule F.

2044 If no payment must be listed, check here ☐ ☐.

2045				
2046				
2047				Type of Payment
2048				(e.g., Honoraria,
2049				Travel reimburse-
2050	Payer	Approximate Value	Circumstances	ment, etc.)
2051				
2052				
2053				
2054				
2055				

2056 RETURN TO ITEM 5

2057 **SCHEDULE D-2 — PAYMENTS BY THE COMMONWEALTH FOR MEETINGS.**

2058 List each meeting for which the Commonwealth provided payments or reimbursements during the
 2059 past six months to you for lodging, transportation, money, or any other thing of value with a combined
 2060 value exceeding \$100 for your participation in your capacity as a legislator. Do not list payments or
 2061 reimbursements by the Commonwealth for meetings or travel within the Commonwealth.

2062 If no payment must be listed, check here ☐ ☐.

2063				
2064				
2065				Type of Payment
2066				(e.g., Travel
2067				reimbursement,
2068	Payer	Approximate Value	Circumstances	etc.)
2069				
2070				
2071				
2072				
2073				

2074 **SCHEDULE E — GIFTS.**

2075 List each business, governmental entity, or individual that, during the past six months, (i) furnished
 2076 you or a member of your immediate family with any gift or entertainment at a single event, and the
 2077 value received exceeded \$50 or (ii) furnished you or a member of your immediate family with gifts or
 2078 entertainment in any combination and the total value received exceeded \$50, and for which you or the
 2079 member of your immediate family neither paid nor rendered services in exchange. List each such gift or
 2080 event.

2081 Do not list entertainment events unless the average value per person attending the event exceeded
 2082 \$50. Do not list business entertainment related to the private profession or occupation of you or the
 2083 member of your immediate family who received such business entertainment. Do not list gifts or other
 2084 things of value given by a relative or personal friend for reasons clearly unrelated to your public
 2085 position. Do not list campaign contributions publicly reported as required by Chapter 9.3 (§ 24.2-945 et
 2086 seq.) of Title 24.2 of the Code of Virginia.

2087					
2088					
2089	Name of Business,	City or	Exact		
2090	Name of	Organization, or	County	Gift or	Approximate
2091	Recipient	Individual	and State	Event	Value
2092					
2093					
2094					
2095					
2096					

2097 RETURN TO ITEM 6

2098 **SCHEDULE F-1 — BUSINESS INTERESTS.**

2099 Complete this Schedule for each self-owned or family-owned business (including rental property, a

2100 farm, or consulting work), partnership, or corporation in which you or a member of your immediate
 2101 family, separately or together, own an interest having a value in excess of \$5,000.

2102 If the enterprise is owned or operated under a trade, partnership, or corporate name, list that name;
 2103 otherwise, merely explain the nature of the enterprise. If rental property is owned or operated under a
 2104 trade, partnership, or corporate name, list the name only; otherwise, give the address of each property.
 2105 Account for business interests held in trust.

2106						
2107						
2108	Name of					
2109	Business					
2110	Corporation,					
2111	Partnership,	Nature of		Gross income		
2112	Farm;	Enterprise				
2113	Address of	City or	(farming,	\$50,001	More	
2114	Rental	County	law, rental	\$50,000	to	than
2115	Property	and State	property, etc.)	or less	\$250,000	\$250,000
2116						
2117						
2118						
2119						
2120						

2121 RETURN TO ITEM 8

2122 SCHEDULE F-2 — LOBBYIST RELATIONSHIPS AND PAYMENTS.

2123 Complete this Schedule for each lobbyist relationship with the following:

2124 (i) any person who is, or has been within the prior calendar year, registered as a lobbyist with the
 2125 Secretary of the Commonwealth; or

2126 (ii) any business in which you have a greater than three percent ownership interest and that business
 2127 employs, or engages as an independent contractor, any person who is, or has been within the prior
 2128 calendar year, registered as a lobbyist with the Secretary of the Commonwealth.

2129						
2130						
2131	Payments to					
2132	Lobbyist					
2133	List each person	Describe each	Dates of	\$10,000	More than	
2134	or business	relationship	relationship	or less	\$10,000	
2135						
2136						
2137						
2138						
2139						
2140						

2141 THE DISCLOSURE OF A LOBBYIST RELATIONSHIP SHALL NOT (I) CONSTITUTE A
 2142 WAIVER OF ANY ATTORNEY-CLIENT OR OTHER PRIVILEGE; (II) REQUIRE A WAIVER OF
 2143 ANY ATTORNEY-CLIENT OR OTHER PRIVILEGE FOR A THIRD PARTY; OR (III) BE
 2144 REQUIRED WHERE A MEMBER OR MEMBER-ELECT IS EMPLOYED OR ENGAGED BY A
 2145 PERSON AND SUCH PERSON ALSO EMPLOYS OR ENGAGES A PERSON IN A LOBBYIST
 2146 RELATIONSHIP SO LONG AS THE MEMBER OR MEMBER-ELECT HAS NO FINANCIAL
 2147 INTEREST IN THE LOBBYIST RELATIONSHIP.

2148 SCHEDULE G-1 — PAYMENTS FOR REPRESENTATION BY YOU.

2149 List the businesses you represented before any state governmental agency, excluding any court or
 2150 judge, for which you received total compensation during the past six months in excess of \$1,000;
 2151 excluding compensation for other services to such businesses and representation consisting solely of the
 2152 filing of mandatory papers and subsequent representation regarding the mandatory papers filed by you.

2153 Identify each business, the nature of the representation and the amount received by dollar category
 2154 from each such business. You may state the type, rather than name, of the business if you are required
 2155 by law not to reveal the name of the business represented by you.

2156						
2157						
2158	Pur-					

2159 _____ pose _____ Amount Received
 2160 Name Type of
 2161 of of Repre Name \$1,001 \$10,001 \$50,001 \$100,001
 2162 Busi Busi senta of to to to to \$250,001
 2163 ness ness tion Agency \$10,000 \$50,000 \$100,000 \$250,000 and over
 2164 _____
 2165 _____
 2166 _____
 2167 _____
 2168 _____

2169 If you have received \$250,001 or more from a single business within the reporting period, indicate
 2170 the amount received, rounded to the nearest \$10,000. Amount Received _____.

2171 **SCHEDULE G-2 — PAYMENTS FOR REPRESENTATION BY ASSOCIATES.**

2172 List the businesses that have been represented before any state governmental agency, excluding any
 2173 court or judge, by persons who are your partners, associates or others with whom you have a close
 2174 financial association and who received total compensation in excess of \$1,000 for such representation
 2175 during the past six months, excluding representation consisting solely of the filing of mandatory papers
 2176 and subsequent representation regarding the mandatory papers filed by your partners, associates or others
 2177 with whom you have a close financial association.

2178 Identify such businesses by type and also name the state governmental agencies before which such
 2179 person appeared on behalf of such businesses.

2180 _____
 2181 _____
 2182 Type of Business _____ Name of State Governmental Agency
 2183 _____
 2184 _____
 2185 _____
 2186 _____
 2187 _____

2188 **SCHEDULE G-3 — PAYMENTS FOR OTHER SERVICES GENERALLY.**

2189 Indicate below types of businesses that operate in Virginia to which services were furnished by you
 2190 or persons with whom you have a close financial association pursuant to an agreement between you and
 2191 such businesses, or between persons with whom you have a close financial association and such
 2192 businesses and for which total compensation in excess of \$1,000 was received during the past six
 2193 months. Services reported in this Schedule shall not include services involving the representation of
 2194 businesses that are reported in Schedule G-1 or G-2 above.

2195 Identify opposite each category of businesses listed below (i) the type of business, (ii) the type of
 2196 service rendered and (iii) the value by dollar category of the compensation received for all businesses
 2197 falling within each category.

2198 _____
 2199 _____
 2200 _____ Check—
 2201 _____ if Type
 2202 _____ ser of _____ Value of Compensation
 2203 _____ vices ser—
 2204 _____ were vice \$1,001 \$10,001 \$50,001 \$100,001
 2205 _____ ren ren to to to to \$250,001
 2206 _____ dered dered \$10,000 \$50,000 \$100,000 \$250,000 and over
 2207 Electric utilities _____
 2208 Gas utilities _____
 2209 Telephone utilities _____
 2210 Water utilities _____
 2211 Cable television _____
 2212 — companies _____
 2213 Interstate _____
 2214 — transportation _____
 2215 — companies _____
 2216 Intrastate _____

2217 — transportation
 2218 — companies
 2219 Oil or gas retail
 2220 — companies
 2221 Banks
 2222 Savings
 2223 — institutions
 2224 Loan or finance
 2225 — companies
 2226 Manufacturing
 2227 — companies (state
 2228 — type of product,
 2229 — e.g., textile,
 2230 — furniture, etc.)
 2231 Mining companies
 2232 Life insurance
 2233 — companies
 2234 Casualty insurance
 2235 — companies
 2236 Other insurance
 2237 — companies
 2238 Retail companies
 2239 Beer, wine or
 2240 — liquor companies
 2241 — or distributors
 2242 Trade associations
 2243 Professional
 2244 — associations
 2245 Associations of
 2246 — public employees
 2247 — or officials
 2248 Counties, cities
 2249 — or towns
 2250 Labor organizations
 2251 Other
 2252

RETURN TO ITEM 9

2253
2254 **SCHEDULE H — REAL ESTATE.**

2255 List real estate other than your principal residence in which you or a member of your immediate
 2256 family holds an interest, including a partnership interest, option, easement, or land contract, valued at
 2257 \$5,000 or more. Each parcel shall be listed individually.

2258
 2259
 2260 Describe the type of real
 2261 estate you own in each
 2262 List the location location (business, If the real estate is
 2263 (state, and county recreational, apartment, owned or recorded in
 2264 or city where you commercial, open land, a name other than your
 2265 own real estate etc.) own, list that name
 2266
 2267
 2268
 2269
 2270
 2271

RETURN TO ITEM 10

2272
2273 **SCHEDULE I — REAL ESTATE CONTRACTS WITH STATE GOVERNMENTAL AGENCIES.**

List all contracts, whether pending or completed within the past six months, with a state governmental agency for the sale or exchange of real estate in which you or a member of your immediate family holds an interest, including a corporate, partnership or trust interest, option, easement, or land contract, valued at more than \$10,000. List all contracts with a state governmental agency for the lease of real estate in which you or a member of your immediate family holds such an interest valued at more than \$1,000. This requirement to disclose an interest in a lease does not apply to an interest derived through an ownership interest in a business unless the ownership interest exceeds three percent of the total equity of the business.

List your real		
estate interest and		
the person or entity,		
including the type of		
entity, which is		
party to the contract.		State the annual
Describe any		income from the
management role and	List each	contract, and the
the percentage	governmental agency	amount, if any, of
ownership interest	which is a party to	income you or any
you or your immediate	the contract and	immediate family
family member has in	indicate the county	member derives
the real estate	or city where the	annually from
or entity.	real estate is located.	the contract.

B. Any legislator who knowingly and intentionally makes a false statement of a material fact on the Statement of Economic Interests is guilty of a Class 5 felony and shall be subject to disciplinary action for such violations by the house in which the legislator sits.

C. The Statement of Economic Interests of all members of each house shall be reviewed by the Council. If a legislator's Statement is found to be inadequate as filed, the legislator shall be notified in writing and directed to file an amended Statement correcting the indicated deficiencies, and a time shall be set within which such amendment shall be filed. If the Statement of Economic Interests, in either its original or amended form, is found to be adequate as filed, the legislator's filing shall be deemed in full compliance with this section as to the information disclosed thereon.

D. Ten percent of the membership of a house, on the basis of newly discovered facts, may in writing request the house in which those members sit, in accordance with the rules of that house, to review the Statement of Economic Interests of another member of that house in order to determine the adequacy of his filing. In accordance with the rules of each house, each Statement of Economic Interests shall be promptly reviewed, the adequacy of the filing determined, and notice given in writing to the legislator whose Statement is in issue. Should it be determined that the Statement requires correction, augmentation or revision, the legislator involved shall be directed to make the changes required within such time as shall be set under the rules of each house.

If a legislator, after having been notified in writing in accordance with the rules of the house in which he sits that his Statement is inadequate as filed, fails to amend his Statement so as to come into compliance within the time limit set, he shall be subject to disciplinary action by the house in which he sits. No legislator shall vote on any question relating to his own Statement.

§ 30-356. Powers and duties of the Council.

The Council shall:

1. *Prescribe the forms required for complying with the disclosure requirements of Article 3 and the Acts. These forms shall be the only forms used to comply with the provisions of Article 3 or the Acts. The Council shall make available the disclosure forms and shall provide guidance and other instructions to assist in the completion of the forms;*

2. Review all disclosure forms filed by lobbyists pursuant to Article 3 and by state government officers and employees and legislators pursuant to the Acts. The Council may review disclosure forms

for completeness, including reviewing the information contained on the face of the form to determine if the disclosure form has been fully completed and comparing the disclosures contained in any disclosure form filed by a lobbyist pursuant to § 2.2-426 with other disclosure forms filed with the Council, and requesting any amendments to ensure the completeness of and correction of errors in the forms, if necessary. If a disclosure form is found to have not been filed or to have been incomplete as filed, the Council shall notify the filer in writing and direct the filer to file a completed disclosure form within a prescribed period of time, and such notification shall be confidential and is excluded from the provisions of the Virginia Freedom of Information Act (§ 2.2-3700 et seq.);

2- 3. (Effective until July 1, 2016) Accept any disclosure forms by computer or electronic means in accordance with the standards approved by the Council and using software meeting standards approved by it. The Council shall provide software or electronic access for filing the required disclosure forms to all filers without charge. The Council shall prescribe the method of execution and certification of electronically filed forms, including the use of an electronic signature as authorized by the Uniform Electronic Transactions Act (§ 59.1-479 et seq.). *The Council may grant extensions as provided in § 30-356.2 and may authorize a designee to grant such extensions;*

2- 3. (Effective July 1, 2016) Require all disclosure forms to be filed electronically in accordance with the standards approved by the Council. The Council shall provide software or electronic access for filing the required disclosure forms to all filers without charge. The Council shall prescribe the method of execution and certification of electronically filed forms, including the use of an electronic signature as authorized by the Uniform Electronic Transactions Act (§ 59.1-479 et seq.). *The Council may grant extensions as provided in § 30-356.2 and may authorize a designee to grant such extensions;*

3- 4. Accept and review any statement received from a filer disputing the receipt by such filer of a gift that has been disclosed on the form filed by a lobbyist pursuant to Article 3;

4- 5. Beginning July 1, 2016, establish and maintain a searchable electronic database comprising disclosure forms filed pursuant to §§ 2.2-426, 2.2-3117, 2.2-3118, and 30-111. Such database shall be available to the public through the Council's official website;

5- 6. Furnish, upon request, formal advisory opinions or guidelines and other appropriate information, including informal advice, regarding ethics, conflicts issues arising under Article 3 or the Acts, or a person's duties under Article 3 or the Acts to any person covered by Article 3 or the Acts or to any agency of state or local government, in an expeditious manner. The Council may authorize a designee to furnish formal opinions or informal advice. Formal advisory opinions are public record and shall be published on the Council's website; however, no formal advisory opinion furnished by a designee of the Council shall be *available to the public or* published until such opinion has been approved by the Council. Published formal advisory opinions may have such deletions and changes as may be necessary to protect the identity of the person involved *or other persons supplying information*. Informal advice given by the Council or the Council's designee is confidential, ~~protected by the attorney-client privilege,~~ and is excluded from the *mandatory disclosure* provisions of the Virginia Freedom of Information Act (§ 2.2-3700 et seq.). *Other records relating to formal advisory opinions or informal advice, including records of requests, notes, correspondence, and draft versions of such opinions or advice, shall also be confidential and excluded from the mandatory disclosure provisions of the Virginia Freedom of Information Act;*

6- 7. Conduct training seminars and educational programs for lobbyists, state and local government officers and employees, legislators, and other interested persons on the requirements of Article 3 and the Acts and provide ethics orientation sessions for legislators in compliance with Article 6 (§ 30-129.1 et seq.) of Chapter 13;

7- 8. Approve orientation courses conducted pursuant to § 2.2-3128 and, upon request, review the educational materials and approve any training or course on the requirements of Article 3 and the Acts conducted for state and local government officers and employees;

8- 9. Publish such educational materials as it deems appropriate on the provisions of Article 3 and the Acts;

9- 10. Review actions taken in the General Assembly with respect to the discipline of its members for the purpose of offering nonbinding advice;

~~10- 11. Request from any agency of state or local government such assistance, services, and information as will enable the Council to effectively carry out its responsibilities. Information provided to the Council by an agency of state or local government shall not be released to any other party unless authorized by such agency;~~

~~11- 12. Redact from any document or form that is to be made available to the public any residential address, personal telephone number, or signature contained on that document or form; and~~

~~12- 13. Report on or before December 1 of each year on its activities and findings regarding Article 3 and the Acts, including recommendations for changes in the laws, to the General Assembly and the Governor. The annual report shall be submitted by the chairman as provided in the procedures of the Division of Legislative Automated Systems for the processing of legislative documents and reports and~~

shall be published as a state document.

§ 30-356.1. Request for approval for certain travel.

A. The Council shall receive and review a request for the approval of travel submitted by a person required to file the disclosure form prescribed in § 2.2-3117 or 30-111 to accept any travel-related transportation, lodging, hospitality, food or beverage, or other thing of value that has a value exceeding \$100 where such approval is required pursuant to subsection G of § 2.2-3103.1 or subsection F of § 30-103.1. A request for the approval of travel shall not be required for the following, but such travel shall be disclosed as may be required by the Acts:

1. Travel disclosed pursuant to the Campaign Finance Disclosure Act (§ 24.2-945 et seq.);

2. Travel paid for or provided by the government of the United States, any of its territories, or any state or any political subdivision of such state;

3. Travel provided to facilitate attendance by a legislator at a regular or special session of the General Assembly, a meeting of a legislative committee or commission, or a national conference where attendance is approved by the House *Committee on Rules or its Chairman* or the Senate Committee on Rules or its Chairman; or

4. Travel related to an official meeting of the Commonwealth, its political subdivisions, or any board, commission, authority, or other entity, or any charitable organization established pursuant to § 501(c)(3) of the Internal Revenue Code affiliated with such entity, to which such person has been appointed or elected or is a member by virtue of his office or employment.

B. When reviewing a request for the approval of travel, the Council shall consider the purpose of the travel as it relates to the official duties of the requester. The Council shall approve any request for travel that bears a reasonable relationship between the purpose of the travel and the official duties of the requester. Such travel shall include any meeting, conference, or other event (i) composed primarily of public officials, (ii) at which public policy related to the duties of the requester will be discussed in a substantial manner, (iii) reasonably expected to educate the requester on issues relevant to his official duties or to enhance the requester's knowledge and skills relative to his official duties, or (iv) at which the requester has been invited to speak regarding matters reasonably related to the requester's official duties.

C. The Council shall not approve any travel requests that bear no reasonable relationship between the purpose of the proposed travel and the official duties of the requester. In making such determination, the Council shall consider the duration of travel, the destination of travel, the estimated value of travel, and any previous or recurring travel.

D. Within five business days of receipt of a request for the approval of travel, the Council shall grant or deny the request, unless additional information has been requested. If additional information has been requested, the Council shall grant or deny the request for the approval within five business days of receipt of such information. If the Council has not granted or denied the request for approval of travel or requested additional information within such five-day period, such travel shall be deemed to have been approved by the Council. Nothing in this subsection shall preclude a person from amending or resubmitting a request for the approval of travel. The Council may authorize a designee to review and grant or deny requests for the approval of travel.

E. A request for the approval of travel shall be on a form prescribed by the Council and made available on its website. Such form may be submitted by electronic means, facsimile, in-person submission, or mail or commercial mail delivery.

F. No person shall be prosecuted, assessed a civil penalty, or otherwise disciplined for acceptance of a travel-related thing of value if he accepted the travel-related thing of value after receiving approval under this section, regardless of whether such approval is later withdrawn, provided the travel occurred prior to the withdrawal of the approval.

§ 30-356.2. Right to grant extensions in special circumstances.

Notwithstanding any other provision of law, any person required to file the disclosure form prescribed in Article 3 or the Acts shall be entitled to an extension where good cause for granting such an extension has been shown, as determined by the Council. Good cause shall include:

1. The death of a relative of the filer, as relative is defined in the definition of "gift" in Article 3 or the Acts.

2. A state of emergency is declared by the Governor pursuant to Chapter 3.2 (§ 44-146.13 et seq.) of Title 44 or declared by the President of the United States or the governor of another state pursuant to law and confirmed by the Governor by an executive order, and such an emergency interferes with the timely filing of disclosure forms. The extension shall be granted only for those filers in areas affected by such emergency.

3. The filer is a member of a uniformed service of the United States and is on active duty on the date of the filing deadline.

4. A failure of the electronic filing system and the failure of such system prevents the timely filing of

2456 *disclosure forms.*

2457 2. That an emergency exists and the provisions of § 30-356.2 as created by this act and the
2458 provisions (i) amending the definition of "procurement transaction" in § 2.2-419 of the Code of
2459 Virginia, (ii) amending the requirement to disclose the names of officials or members of their
2460 family attending entertainment events in § 2.2-426 of the Code of Virginia, and (iii) amending
2461 § 30-356 of the Code of Virginia are in force from the passage of this act and that the remaining
2462 provisions of this act shall become effective in due course except as provided in the third
2463 enactment.

2464 3. That the provisions of this act eliminating the forms set forth in §§ 2.2-426, 2.2-3117, 2.2-3118,
2465 and 30-111 of the Code of Virginia shall become effective on January 1, 2017, and that the
2466 Virginia Conflict of Interest and Ethics Advisory Council shall prescribe on or before January 1,
2467 2017, the forms required for complying with the disclosure requirements of §§ 2.2-426, 2.2-3117,
2468 2.2-3118, and 30-111.

2469 4. That, notwithstanding the effective date of this act, a lobbyist shall not be required to file a
2470 report of expenditures pursuant to § 2.2-426 of the Code of Virginia until July 1, 2017, for the
2471 preceding 12-month period complete through the last day of April.

2472 5. That the Supreme Court of Virginia shall report to the Virginia Conflict of Interest and Ethics
2473 Advisory Council on the application of the State and Local Government Conflict of Interests Act
2474 to members of the judiciary. Such report shall be made no later than October 1, 2016, and shall
2475 include an evaluation of the feasibility of creating separate statutory provisions applicable to
2476 members of the judiciary. In making its report, the Supreme Court of Virginia shall consult with
2477 staff of the Virginia Conflict of Interest and Ethics Advisory Council, statewide bar associations,
2478 and others as the Court deems necessary.