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HOUSE BILL NO. 780

Offered January 13, 2016

Prefiled January 12, 2016

A BILL to amend and reenact §§ 2.2-419, 2.2-427, 2.2-3101, 2.2-3103.1, 2.2-3117, 2.2-3705.7, 24.2-502, 30-101, 30-103.1, 30-111, and 30-356.1 of the Code of Virginia, relating to the Virginia Conflict of Interest and Ethics Advisory Council.

Patron—Gilbert

Referred to Committee for Courts of Justice

Be it enacted by the General Assembly of Virginia:

1. That §§ 2.2-419, 2.2-427, 2.2-3101, 2.2-3103.1, 2.2-3117, 2.2-3705.7, 24.2-502, 30-101, 30-103.1, 30-111, and 30-356.1 of the Code of Virginia are amended and reenacted as follows:

§ 2.2-419. Definitions.

As used in this article, unless the context requires a different meaning:

"Anything of value" means:

1. A pecuniary item, including money, or a bank bill or note;
 2. A promissory note, bill of exchange, order, draft, warrant, check, or bond given for the payment of money;
 3. A contract, agreement, promise, or other obligation for an advance, conveyance, forgiveness of indebtedness, deposit, distribution, loan, payment, gift, pledge, or transfer of money;
 4. A stock, bond, note, or other investment interest in an entity;
 5. A receipt given for the payment of money or other property;
 6. A right in action;
 7. A gift, tangible good, chattel, or an interest in a gift, tangible good, or chattel;
 8. A loan or forgiveness of indebtedness;
 9. A work of art, antique, or collectible;
 10. An automobile or other means of personal transportation;
 11. Real property or an interest in real property, including title to realty, a fee simple or partial interest, present or future, contingent or vested within realty, a leasehold interest, or other beneficial interest in realty;
 12. An honorarium or compensation for services;
 13. A rebate or discount in the price of anything of value unless the rebate or discount is made in the ordinary course of business to a member of the public without regard to that person's status as an executive or legislative official, or the sale or trade of something for reasonable compensation that would ordinarily not be available to a member of the public;
 14. A promise or offer of employment; or
 15. Any other thing of value that is pecuniary or compensatory in value to a person.
- "Anything of value" does not mean a campaign contribution properly received and reported pursuant to Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2.
- "Compensation" means:
1. An advance, conveyance, forgiveness of indebtedness, deposit, distribution, loan, payment, gift, pledge, or transfer of money or anything of value; or
 2. A contract, agreement, promise or other obligation for an advance, conveyance, forgiveness of indebtedness, deposit, distribution, loan, payment, gift, pledge, or transfer of money or anything of value, for services rendered or to be rendered.
- "Compensation" does not mean reimbursement of expenses if the reimbursement does not exceed the amount actually expended for the expenses and it is substantiated by an itemization of expenses.
- "Council" means the Virginia Conflict of Interest and Ethics Advisory Council established in § 30-355.
- "Executive action" means the proposal, drafting, development, consideration, amendment, adoption, approval, promulgation, issuance, modification, rejection, or postponement by an executive agency or official of legislation or executive orders issued by the Governor. "Executive action" includes procurement transactions.
- "Executive agency" means an agency, board, commission, or other body in the executive branch of state government. "Executive agency" includes the State Corporation Commission, the Virginia Workers' Compensation Commission, and the Virginia Lottery.
- "Executive official" means:

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- 59 1. The Governor;
60 2. The Lieutenant Governor;
61 3. The Attorney General;
62 4. Any officer or employee of the office of the Governor, Lieutenant Governor, or Attorney General
63 other than a clerical or secretarial employee;
64 5. The Governor's Secretaries, the Deputy Secretaries, and the chief executive officer of each
65 executive agency; or
66 6. Members of supervisory and policy boards, commissions and councils, as defined in § 2.2-2100,
67 however selected.
- 68 "Expenditure" means:
- 69 1. A purchase, payment, distribution, loan, forgiveness of a loan or payment of a loan by a third
70 party, advance, deposit, transfer of funds, a promise to make a payment, or a gift of money or anything
71 of value for any purpose;
72 2. A payment to a lobbyist for salary, fee, reimbursement for expenses, or other purpose by a person
73 employing, retaining, or contracting for the services of the lobbyist separately or jointly with other
74 persons;
75 3. A payment in support of or assistance to a lobbyist or the lobbyist's activities, including the direct
76 payment of expenses incurred at the request or suggestion of the lobbyist;
77 4. A payment that directly benefits an executive or legislative official or a member of the official's
78 immediate family;
79 5. A payment, including compensation, payment, or reimbursement for the services, time, or expenses
80 of an employee for or in connection with direct communication with an executive or legislative official;
81 6. A payment for or in connection with soliciting or urging other persons to enter into direct
82 communication with an executive or legislative official; or
83 7. A payment or reimbursement for categories of expenditures required to be reported pursuant to
84 this chapter.
- 85 "Expenditure" does not mean a campaign contribution properly received and reported pursuant to
86 Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2.
- 87 "Fair market value" means the price that a good or service would bring between a willing seller and
88 a willing buyer in the open market after negotiations. If the fair market value cannot be determined, the
89 actual price paid for the good or service shall be given consideration.
- 90 "Gift" means anything of value, including any gratuity, favor, discount, entertainment, hospitality,
91 loan, forbearance, or other item having monetary value, and includes services as well as gifts of
92 transportation, local travel, lodgings, and meals, whether provided in-kind or by purchase of a ticket,
93 payment in advance, or reimbursement after the expense has been incurred.
- 94 "Gift" does not mean:
- 95 1. Printed informational or promotional material;
96 2. A gift that is not used and, no later than 60 days after receipt, is returned to the donor or
97 delivered to a charitable organization and is not claimed as a charitable contribution for federal income
98 tax purposes;
99 3. A devise or inheritance;
100 4. A gift of a value of \$50 or less;
101 5. Any offer of a ticket, coupon, or other admission or pass unless the ticket, coupon, admission, or
102 pass is used;
103 6. Any food or beverages provided to an individual at an event at which the individual is performing
104 official duties related to his public service;
105 7. Any food and beverages received at or registration or attendance fees waived for any event at
106 which the individual is a featured speaker, presenter, or lecturer;
107 8. An unsolicited award of appreciation or recognition in the form of a plaque, trophy, wall
108 memento, or similar item that is given in recognition of public, civic, charitable, or professional service;
109 9. Any gift ~~from~~ to an individual's spouse, child, uncle, aunt, niece, nephew, or first cousin; a person
110 to whom the donee is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild,
111 brother, sister, step-parent, step-grandparent, step-grandchild, step-brother, or step-sister; or the donee's
112 brother's or sister's spouse;
113 10. Travel provided to facilitate attendance by a legislator at a regular or special session of the
114 General Assembly, a meeting of a legislative committee or commission, or a national conference where
115 attendance is approved by the House *Committee on Rules or its chairman*, or the Senate Committee on
116 *Rules or its chairman*; or
117 11. Travel related to an official meeting of the Commonwealth, its political subdivisions, or any
118 board, commission, authority, or other entity, or any charitable organization established pursuant to
119 § 501(c)(3) of the Internal Revenue Code affiliated with such entity, to which such person has been
120 appointed or elected or is a member by virtue of his office or employment.

"Immediate family" means (i) the spouse and (ii) any other person who resides in the same household as the executive or legislative official and who is a dependent of the official.

"Legislative action" means:

1. Preparation, research, drafting, introduction, consideration, modification, amendment, approval, passage, enactment, tabling, postponement, defeat, or rejection of a bill, resolution, amendment, motion, report, nomination, appointment, or other matter by the General Assembly or a legislative official;

2. Action by the Governor in approving, vetoing, or recommending amendments for a bill passed by the General Assembly; or

3. Action by the General Assembly in overriding or sustaining a veto by the Governor, considering amendments recommended by the Governor, or considering, confirming, or rejecting an appointment of the Governor.

"Legislative official" means:

1. A member or member-elect of the General Assembly;

2. A member of a committee, subcommittee, commission, or other entity established by and responsible to the General Assembly or either house of the General Assembly; or

3. Persons employed by the General Assembly or an entity established by and responsible to the General Assembly.

"Lobbying" means:

1. Influencing or attempting to influence executive or legislative action through oral or written communication with an executive or legislative official; or

2. Solicitation of others to influence an executive or legislative official.

"Lobbying" does not mean:

1. Requests for appointments, information on the status of pending executive and legislative actions, or other ministerial contacts if there is no attempt to influence executive or legislative actions;

2. Responses to published notices soliciting public comment submitted to the public official designated in the notice to receive the responses;

3. The solicitation of an association by its members to influence legislative or executive action; or

4. Communications between an association and its members and communications between a principal and its lobbyists.

"Lobbyist" means:

1. An individual who is employed and receives payments, or who contracts for economic consideration, including reimbursement for reasonable travel and living expenses, for the purpose of lobbying;

2. An individual who represents an organization, association, or other group for the purpose of lobbying; or

3. A local government employee who lobbies.

"Lobbyist's principal" or "principal" means the entity on whose behalf the lobbyist influences or attempts to influence executive or legislative action. An organization whose employees conduct lobbying activities on its behalf is both a principal and an employer of the lobbyists. In the case of a coalition or association that employs or retains others to conduct lobbying activities on behalf of its membership, the principal is the coalition or association and not its individual members.

"Local government" means:

1. Any county, city, town, or other local or regional political subdivision;

2. Any school division;

3. Any organization or entity that exercises governmental powers that is established pursuant to an interstate compact; or

4. Any organization composed of members representing entities listed in subdivisions 1, 2, or 3 of this definition.

"Local government employee" means a public employee of a local government.

"Person" means an individual, proprietorship, firm, partnership, joint venture, joint stock company, syndicate, business trust, estate, company, corporation, association, club, committee, organization, or group of persons acting in concert.

"Procurement transaction" means all functions that pertain to obtaining all goods, services, or construction on behalf of an executive agency, including description of requirements, selection and solicitation of sources, preparation and award of contract, and all phases of contract administration.

"Secretary" means the Secretary of the Commonwealth.

"Value" means the actual cost or fair market value of an item or items, whichever is greater. If the fair market value cannot be determined, the actual amount paid for the item or items shall be given consideration.

"Widely attended event" means an event at which at least 25 persons have been invited to attend or there is a reasonable expectation that at least 25 persons will attend the event and the event is open to

182 individuals (i) who share a common interest, (ii) who are members of a public, civic, charitable, or
183 professional organization, (iii) who are from a particular industry or profession, or (iv) who represent
184 persons interested in a particular issue.

185 **§ 2.2-427. Filings; inspection.**

186 Registration statements ~~and lobbying reports~~ shall be open to public inspection and copying during
187 the regular business hours of the office of the Secretary of the Commonwealth. *Lobbying reports shall*
188 *be open to public inspection and copying during the regular business hours of the office of the Council.*

189 ~~Such Registration statements and reports~~ shall be deemed to have been filed only when actually
190 received in the office of the Secretary or mailed to the Secretary by registered, certified, or regular mail
191 with the sender retaining sufficient proof of mailing, which may be a United States Postal Certificate of
192 Mailing. *Lobbying reports shall be deemed to have been filed only when received by the Council in*
193 *accordance with the standards approved by the Council pursuant to § 30-356.*

194 **§ 2.2-3101. Definitions.**

195 As used in this chapter, unless the context requires a different meaning:

196 "Advisory agency" means any board, commission, committee or post which does not exercise any
197 sovereign power or duty, but is appointed by a governmental agency or officer or is created by law for
198 the purpose of making studies or recommendations, or advising or consulting with a governmental
199 agency.

200 "Affiliated business entity relationship" means a relationship, other than a parent-subsidary
201 relationship, that exists when (i) one business entity has a controlling ownership interest in the other
202 business entity, (ii) a controlling owner in one entity is also a controlling owner in the other entity, or
203 (iii) there is shared management or control between the business entities. Factors that may be considered
204 in determining the existence of an affiliated business entity relationship include that the same person or
205 substantially the same person owns or manages the two entities, there are common or commingled funds
206 or assets, the business entities share the use of the same offices or employees, or otherwise share
207 activities, resources or personnel on a regular basis, or there is otherwise a close working relationship
208 between the entities.

209 "Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise,
210 association, trust or foundation, or any other individual or entity carrying on a business or profession,
211 whether or not for profit.

212 "Candidate" means a person who seeks or campaigns for an office of the Commonwealth or one of
213 its governmental units in a general, primary, or special election and who is qualified to have his name
214 placed on the ballot for the office. The candidate shall become subject to the provisions of this chapter
215 upon the filing of a statement of qualification pursuant to § 24.2-501. The State Board of Elections or
216 general registrar shall notify each such candidate of the provisions of this chapter. Notification made by
217 the general registrar shall consist of information developed by the State Board of Elections.

218 "Contract" means any agreement to which a governmental agency is a party, or any agreement on
219 behalf of a governmental agency that involves the payment of money appropriated by the General
220 Assembly or a political subdivision, whether or not such agreement is executed in the name of the
221 Commonwealth, or some political subdivision thereof. "Contract" includes a subcontract only when the
222 contract of which it is a part is with the officer's or employee's own governmental agency.

223 "Council" means the Virginia Conflict of Interest and Ethics Advisory Council established in
224 § 30-355.

225 "Employee" means all persons employed by a governmental or advisory agency, unless otherwise
226 limited by the context of its use.

227 "Financial institution" means any bank, trust company, savings institution, industrial loan association,
228 consumer finance company, credit union, broker-dealer as defined in subsection A of § 13.1-501, or
229 investment company or advisor registered under the federal Investment Advisors Act or Investment
230 Company Act of 1940.

231 "Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item
232 having monetary value. It includes services as well as gifts of transportation, local travel, lodgings and
233 meals, whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the
234 expense has been incurred. "Gift" does not include (i) any offer of a ticket, coupon, or other admission
235 or pass unless the ticket, coupon, admission, or pass is used; (ii) honorary degrees; (iii) any athletic,
236 merit, or need-based scholarship or any other financial aid awarded by a public or private school,
237 institution of higher education, or other educational program pursuant to such school, institution, or
238 program's financial aid standards and procedures applicable to the general public; (iv) a campaign
239 contribution properly received and reported pursuant to Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2;
240 (v) any gift related to the private profession or occupation of an officer or employee or of a member of
241 his immediate family; (vi) food or beverages consumed while attending an event at which the filer is
242 performing official duties related to his public service; (vii) food and beverages received at or
243 registration or attendance fees waived for any event at which the filer is a featured speaker, presenter, or

lecturer; (viii) unsolicited awards of appreciation or recognition in the form of a plaque, trophy, wall memento, or similar item that is given in recognition of public, civic, charitable, or professional service; (ix) a devise or inheritance; (x) travel disclosed pursuant to the Campaign Finance Disclosure Act (§ 24.2-945 et seq.); (xi) travel paid for or provided by the government of the United States, any of its territories, or any state or any political subdivision of such state; (xii) travel provided to facilitate attendance by a legislator at a regular or special session of the General Assembly, a meeting of a legislative committee or commission, or a national conference where attendance is approved by the House *Committee on Rules or its chairman*, or the Senate Committee on Rules *or its chairman*; (xiii) travel related to an official meeting of the Commonwealth, its political subdivisions, or any board, commission, authority, or other entity, or any charitable organization established pursuant to § 501(c)(3) of the Internal Revenue Code affiliated with such entity, to which such person has been appointed or elected or is a member by virtue of his office or employment; or (xiv) gifts from relatives or personal friends. For the purpose of this definition, "relative" means the donee's spouse, child, uncle, aunt, niece, nephew, or first cousin; a person to whom the donee is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother, sister, step-parent, step-grandparent, step-grandchild, step-brother, or step-sister; or the donee's brother's or sister's spouse. For the purpose of this definition, "personal friend" does not include any person that the filer knows or has reason to know is (a) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4 of Title 2.2; (b) a lobbyist's principal as defined in § 2.2-419; (c) for an officer or employee of a local governmental or advisory agency, a person, organization, or business who is a party to or is seeking to become a party to a contract with the local agency of which he is an officer or an employee; or (d) for an officer or employee of a state governmental or advisory agency, a person, organization, or business who is a party to or is seeking to become a party to a contract with the Commonwealth. For purposes of this definition, "person, organization, or business" includes individuals who are officers, directors, or owners of or who have a controlling ownership interest in such organization or business.

"Governmental agency" means each component part of the legislative, executive or judicial branches of state and local government, including each office, department, authority, post, commission, committee, and each institution or board created by law to exercise some regulatory or sovereign power or duty as distinguished from purely advisory powers or duties. Corporations organized or controlled by the Virginia Retirement System are "governmental agencies" for purposes of this chapter.

"Immediate family" means (i) a spouse and (ii) any other person who resides in the same household as the officer or employee and who is a dependent of the officer or employee.

"Officer" means any person appointed or elected to any governmental or advisory agency including local school boards, whether or not he receives compensation or other emolument of office. Unless the context requires otherwise, "officer" includes members of the judiciary.

"Parent-subsidiary relationship" means a relationship that exists when one corporation directly or indirectly owns shares possessing more than 50 percent of the voting power of another corporation.

"Personal interest" means a financial benefit or liability accruing to an officer or employee or to a member of his immediate family. Such interest shall exist by reason of (i) ownership in a business if the ownership interest exceeds three percent of the total equity of the business; (ii) annual income that exceeds, or may reasonably be anticipated to exceed, \$5,000 from ownership in real or personal property or a business; (iii) salary, other compensation, fringe benefits, or benefits from the use of property, or any combination thereof, paid or provided by a business or governmental agency that exceeds, or may reasonably be anticipated to exceed, \$5,000 annually; (iv) ownership of real or personal property if the interest exceeds \$5,000 in value and excluding ownership in a business, income, or salary, other compensation, fringe benefits or benefits from the use of property; (v) personal liability incurred or assumed on behalf of a business if the liability exceeds three percent of the asset value of the business; or (vi) an option for ownership of a business or real or personal property if the ownership interest will consist of clause (i) or (iv) above.

"Personal interest in a contract" means a personal interest that an officer or employee has in a contract with a governmental agency, whether due to his being a party to the contract or due to a personal interest in a business that is a party to the contract.

"Personal interest in a transaction" means a personal interest of an officer or employee in any matter considered by his agency. Such personal interest exists when an officer or employee or a member of his immediate family has a personal interest in property or a business or governmental agency, or represents or provides services to any individual or business and such property, business or represented or served individual or business (i) is the subject of the transaction or (ii) may realize a reasonably foreseeable direct or indirect benefit or detriment as a result of the action of the agency considering the transaction. Notwithstanding the above, such personal interest in a transaction shall not be deemed to exist where (a) an elected member of a local governing body serves without remuneration as a member of the board of trustees of a not-for-profit entity and such elected member or member of his immediate family has no

personal interest related to the not-for-profit entity or (b) an officer, employee, or elected member of a local governing body is appointed by such local governing body to serve on a governmental agency, or an officer, employee, or elected member of a separate local governmental agency formed by a local governing body is appointed to serve on a governmental agency, and the personal interest in the transaction of the governmental agency is the result of the salary, other compensation, fringe benefits, or benefits provided by the local governing body or the separate governmental agency to the officer, employee, elected member, or member of his immediate family.

"State and local government officers and employees" shall not include members of the General Assembly.

"State filer" means those officers and employees required to file a disclosure statement of their personal interests pursuant to subsection A or B of § 2.2-3114.

"Transaction" means any matter considered by any governmental or advisory agency, whether in a committee, subcommittee, or other entity of that agency or before the agency itself, on which official action is taken or contemplated.

§ 2.2-3103.1. Certain gifts prohibited.

A. For purposes of this section:

"Person, organization, or business" includes individuals who are officers, directors, or owners of or who have a controlling ownership interest in such organization or business.

"Reporting year" means the period beginning November 1 and ending October 31.

"Widely attended event" means an event at which at least 25 persons have been invited to attend or there is a reasonable expectation that at least 25 persons will attend the event and the event is open to individuals (i) who share a common interest, (ii) who are members of a public, civic, charitable, or professional organization, (iii) who are from a particular industry or profession, or (iv) who represent persons interested in a particular issue.

B. No officer or employee of a local governmental or advisory agency or candidate required to file the disclosure form prescribed in § 2.2-3117 or a member of his immediate family shall solicit, accept, or receive any single gift with a value in excess of \$100 or any combination of gifts with an aggregate value in excess of \$100 within any ~~calendar~~ reporting year for himself or a member of his immediate family from any person that he or a member of his immediate family knows or has reason to know is (i) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4; (ii) a lobbyist's principal as defined in § 2.2-419; or (iii) a person, organization, or business who is or is seeking to become a party to a contract with the local agency of which he is an officer or an employee. Gifts with a value of less than \$20 are not subject to aggregation for purposes of this prohibition.

C. No officer or employee of a state governmental or advisory agency or candidate required to file the disclosure form prescribed in § 2.2-3117 or a member of his immediate family shall solicit, accept, or receive any single gift with a value in excess of \$100 or any combination of gifts with an aggregate value in excess of \$100 within any ~~calendar~~ reporting year for himself or a member of his immediate family from any person that he or a member of his immediate family knows or has reason to know is (i) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4; (ii) a lobbyist's principal as defined in § 2.2-419; or (iii) a person, organization, or business who is or is seeking to become a party to a contract with the state governmental or advisory agency of which he is an officer or an employee or over which he has the authority to direct such agency's activities. Gifts with a value of less than \$20 are not subject to aggregation for purposes of this prohibition.

D. Notwithstanding the provisions of subsections B and C, such officer, employee, or candidate or a member of his immediate family may accept or receive a gift of food and beverages, entertainment, or the cost of admission with a value in excess of \$100 when such gift is accepted or received while in attendance at a widely attended event and is associated with the event. Such gifts shall be reported on the disclosure form prescribed in § 2.2-3117.

E. Notwithstanding the provisions of subsections B and C, such officer or employee or a member of his immediate family may accept or receive a gift from a foreign dignitary with a value exceeding \$100 for which the fair market value or a gift of greater or equal value has not been provided or exchanged. Such gift shall be accepted on behalf of the Commonwealth or a locality and archived in accordance with guidelines established by the Library of Virginia. Such gift shall be disclosed as having been accepted on behalf of the Commonwealth or a locality, but the value of such gift shall not be required to be disclosed.

F. Notwithstanding the provisions of subsections B and C, such officer, employee, or candidate or a member of his immediate family may accept or receive certain gifts with a value in excess of \$100 from a person listed in subsection B or C if such gift was provided to such officer, employee, or candidate or a member of his immediate family on the basis of a personal friendship. Notwithstanding any other provision of law, a person listed in subsection B or C may be a personal friend of such officer, employee, or candidate or his immediate family for purposes of this subsection. In determining whether a person listed in subsection B or C is a personal friend, the following factors shall be considered: (i)

the circumstances under which the gift was offered; (ii) the history of the relationship between the person and the donor, including the nature and length of the friendship and any previous exchange of gifts between them; (iii) to the extent known to the person, whether the donor personally paid for the gift or sought a tax deduction or business reimbursement for the gift; and (iv) whether the donor has given the same or similar gifts to other persons required to file the disclosure form prescribed in § 2.2-3117 or 30-111.

G. Notwithstanding the provisions of subsections B and C, such officer, employee, or candidate or a member of his immediate family may accept or receive gifts of travel, including travel-related transportation, lodging, hospitality, food or beverages, or other thing of value, with a value in excess of \$100 that is paid for or provided by a person listed in subsection B or C when the officer, employee, or candidate has submitted a request for approval of such travel to the Council and has received the approval of the Council pursuant to § 30-356.1. Such gifts shall be reported on the disclosure form prescribed in § 2.2-3117.

H. During the pendency of a civil action in any state or federal court to which the Commonwealth is a party, the Governor or the Attorney General or any employee of the Governor or the Attorney General who is subject to the provisions of this chapter shall not solicit, accept, or receive any gift from any person that he knows or has reason to know is a person, organization, or business that is a party to such civil action. A person, organization, or business that is a party to such civil action shall not knowingly give any gift to the Governor or the Attorney General or any of their employees who are subject to the provisions of this chapter.

I. The \$100 limitation imposed in accordance with this section shall be adjusted by the Council every five years, as of January 1 of that year, in an amount equal to the annual increases for that five-year period in the United States Average Consumer Price Index for all items, all urban consumers (CPI-U), as published by the Bureau of Labor Statistics of the U.S. Department of Labor, rounded to the nearest whole dollar.

§ 2.2-3117. Disclosure form.

(Effective from January 1, 2016, until July 1, 2016) The disclosure form to be used for filings required by subsections A and D of § 2.2-3114 and subsections A and E of § 2.2-3115 shall be substantially similar to the following. Any person who knowingly and intentionally makes a false statement of a material fact on the Statement of Economic Interests is guilty of a Class 5 felony.

(Effective July 1, 2016) The disclosure form to be used for filings required by subsections A and D of § 2.2-3114 and subsections A and E of § 2.2-3115 shall be substantially similar to the following. Except as otherwise provided in § 2.2-3115, all completed forms shall be filed electronically with the Council in accordance with the standards approved by it pursuant to § 30-356. Any person who knowingly and intentionally makes a false statement of a material fact on the Statement of Economic Interests is guilty of a Class 5 felony.

STATEMENT OF ECONOMIC INTERESTS.

Name _____
Office or position held or sought _____
Address _____
Names of members of immediate family _____

DEFINITIONS AND EXPLANATORY MATERIAL.

"Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise, association, trust or foundation, or any other individual or entity carrying on a business or profession, whether or not for profit.

"Close financial association" means an association in which the person filing shares significant financial involvement with an individual and the filer would reasonably be expected to be aware of the individual's business activities and would have access to the necessary records either directly or through the individual. "Close financial association" does not mean an association based on (i) the receipt of retirement benefits or deferred compensation from a business by which the person filing this statement is no longer employed, or (ii) the receipt of compensation for work performed by the person filing as an independent contractor of a business that represents an entity before any state governmental agency when the person filing has had no communications with the state governmental agency.

"Contingent liability" means a liability that is not presently fixed or determined, but may become fixed or determined in the future with the occurrence of some certain event.

"Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item having monetary value. It includes services as well as gifts of transportation, lodgings and meals, whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the expense has been incurred. "Gift" does not include (i) any offer of a ticket, coupon, or other admission or pass unless the ticket, coupon, admission, or pass is used; (ii) honorary degrees; (iii) any athletic, merit, or need-based scholarship or any other financial aid awarded by a public or private school,

428 institution of higher education, or other educational program pursuant to such school, institution, or
429 program's financial aid standards and procedures applicable to the general public; (iv) a campaign
430 contribution properly received and reported pursuant to Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2;
431 (v) any gift related to the private profession or occupation of an officer or employee or of a member of
432 his immediate family; (vi) food or beverages consumed while attending an event at which the filer is
433 performing official duties related to his public service; (vii) food and beverages received at or
434 registration or attendance fees waived for any event at which the filer is a featured speaker, presenter, or
435 lecturer; (viii) unsolicited awards of appreciation or recognition in the form of a plaque, trophy, wall
436 memento, or similar item that is given in recognition of public, civic, charitable, or professional service;
437 (ix) a devise or inheritance; (x) travel disclosed pursuant to the Campaign Finance Disclosure Act
438 (§ 24.2-945 et seq.); (xi) travel paid for or provided by the government of the United States, any of its
439 territories, or any state or any political subdivision of such state; (xii) travel provided to facilitate
440 attendance by a legislator at a regular or special session of the General Assembly, a meeting of a
441 legislative committee or commission, or a national conference where attendance is approved by the
442 House *Committee on Rules or its chairman*, or the Senate Committee on Rules *or its chairman*; (xiii)
443 travel related to an official meeting of the Commonwealth, its political subdivisions, or any board,
444 commission, authority, or other entity, or any charitable organization established pursuant to § 501(c)(3)
445 of the Internal Revenue Code affiliated with such entity, to which such person has been appointed or
446 elected or is a member by virtue of his office or employment; or (xiv) gifts from relatives or personal
447 friends. "Relative" means the donee's spouse, child, uncle, aunt, niece, nephew, or first cousin; a person
448 to whom the donee is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild,
449 brother, sister, step-parent, step-grandparent, step-grandchild, step-brother, or step-sister; or the donee's
450 brother's or sister's spouse. "Personal friend" does not include any person that the filer knows or has
451 reason to know is (a) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4 of Title
452 2.2; (b) a lobbyist's principal as defined in § 2.2-419; (c) for an officer or employee of a local
453 governmental or advisory agency, a person, organization, or business who is a party to or is seeking to
454 become a party to a contract with the local agency of which he is an officer or an employee; or (d) for
455 an officer or employee of a state governmental or advisory agency, a person, organization, or business
456 who is a party to or is seeking to become a party to a contract with the Commonwealth. "Person,
457 organization, or business" includes individuals who are officers, directors, or owners of or who have a
458 controlling ownership interest in such organization or business.

459 "Immediate family" means (i) a spouse and (ii) any other person who resides in the same household
460 as the officer or employee and who is a dependent of the officer or employee.

461 TRUST. If you or your immediate family, separately or together, are the only beneficiaries of a trust,
462 treat the trust's assets as if you own them directly. If you or your immediate family has a proportional
463 interest in a trust, treat that proportion of the trust's assets as if you own them directly. For example, if
464 you and your immediate family have a one-third interest in a trust, complete your Statement as if you
465 own one-third of each of the trust's assets. If you or a member of your immediate family created a trust
466 and can revoke it without the beneficiaries' consent, treat its assets as if you own them directly.

467 REPORT TO THE BEST OF INFORMATION AND BELIEF. Information required on this
468 Statement must be provided on the basis of the best knowledge, information, and belief of the individual
469 filing the Statement as of the date of this report unless otherwise stated.

470 COMPLETE ITEMS 1 THROUGH 10. REFER TO SCHEDULES ONLY IF DIRECTED.

471 You may attach additional explanatory information.

472 1. Offices and Directorships.

473 Are you or a member of your immediate family a paid officer or paid director of a business?

474 EITHER check NO // OR check YES // and complete Schedule A.

475 2. Personal Liabilities.

476 Do you or a member of your immediate family owe more than \$5,000 to any one creditor including
477 contingent liabilities? (Exclude debts to any government and loans secured by recorded liens on property
478 at least equal in value to the loan.)

479 EITHER check NO // OR check YES // and complete Schedule B.

480 3. Securities.

481 Do you or a member of your immediate family, directly or indirectly, separately or together, own
482 securities valued in excess of \$5,000 invested in one business? Account for mutual funds, limited
483 partnerships and trusts.

484 EITHER check NO // OR check YES // and complete Schedule C.

485 4. Payments for Talks, Meetings, and Publications.

486 During the past six months did you receive in your capacity as an officer or employee of your
487 agency lodging, transportation, money, or anything else of value with a combined value exceeding \$100
488 (i) for a single talk, meeting, or published work or (ii) for a meeting, conference, or event where your
489 attendance at the meeting, conference, or event was designed to (a) educate you on issues relevant to

your duties as an officer or employee of your agency or (b) enhance your knowledge and skills relative to your duties as an officer or employee of your agency?

EITHER check NO // OR check YES // and complete Schedule D.

5. Gifts.

During the past six months did a business, government, or individual other than a relative or personal friend (i) furnish you or a member of your immediate family with any gift or entertainment at a single event and the value received exceeded \$50 or (ii) furnish you or a member of your immediate family with gifts or entertainment in any combination and the total value received exceeded \$50, and for which you or the member of your immediate family neither paid nor rendered services in exchange? Account for entertainment events only if the average value per person attending the event exceeded \$50. Account for all business entertainment (except if related to the private profession or occupation of you or the member of your immediate family who received such business entertainment) even if unrelated to your official duties.

EITHER check NO // OR check YES // and complete Schedule E.

6. Salary and Wages.

List each employer that pays you or a member of your immediate family salary or wages in excess of \$5,000 annually. (Exclude state or local government or advisory agencies.)

If no reportable salary or wages, check here //.

7. Business Interests.

Do you or a member of your immediate family, separately or together, operate your own business, or own or control an interest in excess of \$5,000 in a business?

EITHER check NO // OR check YES // and complete Schedule F.

8. Payments for Representation and Other Services.

8A. Did you represent, excluding activity defined as lobbying in § 2.2-419, any businesses before any state governmental agencies, excluding courts or judges, for which you received total compensation during the past six months in excess of \$1,000, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers? (Officers and employees of local governmental and advisory agencies do NOT need to answer this question or complete Schedule G-1.)

EITHER check NO // OR check YES // and complete Schedule G-1.

8B. Subject to the same exceptions as in 8A, did persons with whom you have a close financial association (partners, associates or others) represent, excluding activity defined as lobbying in § 2.2-419, any businesses before any state governmental agency for which total compensation was received during the past six months in excess of \$1,000? (Officers and employees of local governmental and advisory agencies do NOT need to answer this question or complete Schedule G-2.)

EITHER check NO // OR check YES // and complete Schedule G-2.

8C. Did you or persons with whom you have a close financial association furnish services to businesses operating in Virginia pursuant to an agreement between you and such businesses, or between persons with whom you have a close financial association and such businesses for which total compensation in excess of \$1,000 was received during the past six months? Services reported under this provision shall not include services involving the representation of businesses that are reported under item 8A or 8B.

EITHER check NO // OR check YES // and complete Schedule G-3.

9. Real Estate.

9A. State Officers and Employees.

Do you or a member of your immediate family hold an interest, including a partnership interest, valued at more than \$5,000 in real property (other than your principal residence) for which you have not already listed the full address on Schedule F? Account for real estate held in trust.

EITHER check NO // OR check YES // and complete Schedule H-1.

9B. Local Officers and Employees.

Do you or a member of your immediate family hold an interest, including a partnership interest, or option, easement, or land contract, valued at more than \$5,000 in real property (other than your principal residence) for which you have not already listed the full address on Schedule F? Account for real estate held in trust.

EITHER check NO // OR check YES // and complete Schedule H-2.

10. Real Estate Contracts with Governmental Agencies.

Do you or a member of your immediate family hold an interest valued at more than \$5,000 in real estate, including a corporate, partnership, or trust interest, option, easement, or land contract, which real

551 estate is the subject of a contract, whether pending or completed within the past six months, with a
 552 governmental agency? If the real estate contract provides for the leasing of the property to a
 553 governmental agency, do you or a member of your immediate family hold an interest in the real estate
 554 valued at more than \$1,000? Account for all such contracts whether or not your interest is reported in
 555 Schedule F, H-1, or H-2. This requirement to disclose an interest in a lease does not apply to an interest
 556 derived through an ownership interest in a business unless the ownership interest exceeds three percent
 557 of the total equity of the business.

558 EITHER check NO // OR check YES // and complete Schedule I.

559 Statements of Economic Interests are open for public inspection.

560 AFFIRMATION BY ALL FILERS.

561 I swear or affirm that the foregoing information is full, true and correct to the best of my knowledge.

562 Signature _____

563 (Return only if needed to complete Statement.)

564 SCHEDULES to STATEMENT OF ECONOMIC INTERESTS.

565 NAME _____

566 SCHEDULE A — OFFICES AND DIRECTORSHIPS.

567 Identify each business of which you or a member of your immediate family is a paid officer or paid
 568 director.

Name of Business	Address of Business	Position Held and by Whom
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

577 RETURN TO ITEM 2

578 SCHEDULE B — PERSONAL LIABILITIES.

579 Report personal liability by checking each category. Report only debts in excess of \$5,000. Do not
 580 report debts to any government. Do not report loans secured by recorded liens on property at least equal
 581 in value to the loan.

582 Report contingent liabilities below and indicate which debts are contingent.

583 1. My personal debts are as follows:

Check appropriate categories	Check one \$5,001 to \$50,000	Check one More than \$50,000
Banks	_____	_____
Savings institutions	_____	_____
Other loan or finance companies	_____	_____
Insurance companies	_____	_____
Stock, commodity or other brokerage companies	_____	_____
Other businesses:		
(State principal business activity for each creditor and its name.)		
_____	_____	_____
_____	_____	_____
Individual creditors:		
(State principal business or occupation of each creditor and its name.)		
_____	_____	_____
_____	_____	_____

605 2. The personal debts of the members of my immediate family are as follows:

Check appropriate	Check one \$5,001 to	Check one More than
_____	_____	_____
_____	_____	_____

610	categories	\$50,000	\$50,000
611	Banks	_____	_____
612	Savings institutions	_____	_____
613	Other loan or finance companies	_____	_____
614	Insurance companies	_____	_____
615	Stock, commodity or other brokerage companies	_____	_____
616	Other businesses:		
617	(State principal business activity for each		
618	creditor and its name.)		
619	_____	_____	_____
620	_____	_____	_____
621	Individual creditors:		
622	(State principal business or occupation of		
623	each creditor and its name.)		
624	_____	_____	_____
625	_____	_____	_____
626	_____	_____	_____

RETURN TO ITEM 3

SCHEDULE C — SECURITIES.

"Securities" INCLUDES stocks, bonds, mutual funds, limited partnerships, and commodity futures contracts.

"Securities" EXCLUDES certificates of deposit, money market funds, annuity contracts, and insurance policies.

Identify each business or Virginia governmental entity in which you or a member of your immediate family, directly or indirectly, separately or together, own securities valued in excess of \$5,000. Name each issuer and type of security individually.

Do not list U.S. Bonds or other government securities not issued by the Commonwealth of Virginia or its authorities, agencies, or local governments. Do not list organizations that do not do business in this Commonwealth, but most major businesses conduct business in Virginia. Account for securities held in trust.

If no reportable securities, check here / /.

			Check one		
	Type of Security	\$5,001	\$50,001	More	
	(stocks, bonds, mutual	to	to	than	
	funds, etc.)	\$50,000	\$250,000	\$250,000	
646	Name of Issuer	_____	_____	_____	_____
647	_____	_____	_____	_____	_____
648	_____	_____	_____	_____	_____
649	_____	_____	_____	_____	_____
650	_____	_____	_____	_____	_____

RETURN TO ITEM 4

SCHEDULE D — PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.

List each source from which you received during the past six months in your capacity as an officer or employee of your agency lodging, transportation, money, or any other thing of value with combined value exceeding \$100 (i) for your presentation of a single talk, participation in one meeting, or publication of a work or (ii) for your attendance at a meeting, conference, or event where your attendance at the meeting, conference, or event was designed to (a) educate you on issues relevant to your duties as an officer or employee of your agency or (b) enhance your knowledge and skills relative to your duties as an officer or employee of your agency. Any lodging, transportation, money, or other thing of value received by an officer or employee that does not satisfy the provisions of clause (i), (ii) (a), or (ii) (b) shall be listed as a gift on Schedule E.

List payments or reimbursements by an advisory or governmental agency only for meetings or travel outside the Commonwealth.

List a payment even if you donated it to charity.

Do not list information about a payment if you returned it within 60 days or if you received it from an employer already listed under Item 6 or from a source of income listed on Schedule F.

If no payment must be listed, check here / /.

669				
670				
671				Type of payment
672				(e.g., honoraria,
673				travel reimburse-
674	Payer	Approximate Value	Circumstances	ment, etc.)
675				
676				
677				
678				
679				

RETURN TO ITEM 5

SCHEDULE E — GIFTS.

List each business, governmental entity, or individual that, during the past six months, (i) furnished you or a member of your immediate family with any gift or entertainment at a single event, and the value received exceeded \$50 or (ii) furnished you or a member of your immediate family with gifts or entertainment in any combination and the total value received exceeded \$50, and for which you or the member of your immediate family neither paid nor rendered services in exchange. List each such gift or event. Do not list entertainment events unless the average value per person attending the event exceeded \$50. Do not list business entertainment related to the private profession or occupation of you or the member of your immediate family who received such business entertainment. Do not list gifts or other things of value given by a relative or personal friend for reasons clearly unrelated to your public position. Do not list campaign contributions publicly reported as required by Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2 of the Code of Virginia.

693					
694					
695		Name of Business,	City or	Exact	
696	Name of	Organization, or	County	Gift or	Approximate
697	Recipient	Individual	and State	Event	Value
698					
699					
700					
701					
702					

RETURN TO ITEM 6

SCHEDULE F — BUSINESS INTERESTS.

Complete this Schedule for each self-owned or family-owned business (including rental property, a farm, or consulting work), partnership, or corporation in which you or a member of your immediate family, separately or together, own an interest having a value in excess of \$5,000.

If the enterprise is owned or operated under a trade, partnership, or corporate name, list that name; otherwise, merely explain the nature of the enterprise. If rental property is owned or operated under a trade, partnership, or corporate name, list the name only; otherwise, give the address of each property. Account for business interests held in trust.

712						
713						
714	Name of Business,				Gross Income	
715	Corporation,					
716	Partnership,	City or	Nature of Enterprise		\$50,001	More
717	Farm; Address of	County	(farming, law, rental	\$50,000	to	than
718	Rental Property	and State	property, etc.)	or less	\$250,000	\$250,000
719						
720						
721						
722						
723						

RETURN TO ITEM 8

SCHEDULE G-1 — PAYMENTS FOR REPRESENTATION BY YOU.

List the businesses you represented, excluding activity defined as lobbying in § 2.2-419, before any

state governmental agency, excluding any court or judge, for which you received total compensation during the past six months in excess of \$1,000, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers filed by you.

Identify each business, the nature of the representation and the amount received by dollar category from each such business. You may state the type, rather than name, of the business if you are required by law not to reveal the name of the business represented by you.

Only STATE officers and employees should complete this Schedule.

				Amount Received				
Name	Type	Purpose of	Name					
of	of	Representa-	of	\$1,001	\$10,001	\$50,001	\$100,001	\$250,001
Business	Business	tion	Agency	to	to	to	to	and
				\$10,000	\$50,000	\$100,000	\$250,000	over
_____	_____	_____	_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____	_____	_____	_____

If you have received \$250,001 or more from a single business within the reporting period, indicate the amount received, rounded to the nearest \$10,000.

Amount Received: _____.

SCHEDULE G-2 — PAYMENTS FOR REPRESENTATION BY ASSOCIATES. List the businesses that have been represented, excluding activity defined as lobbying in § 2.2-419, before any state governmental agency, excluding any court or judge, by persons who are your partners, associates or others with whom you have a close financial association and who received total compensation in excess of \$1,000 for such representation during the past six months, excluding representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers filed by your partners, associates or others with whom you have a close financial association.

Identify such businesses by type and also name the state governmental agencies before which such person appeared on behalf of such businesses.

Only STATE officers and employees should complete this Schedule.

Type of business	Name of state governmental agency
_____	_____
_____	_____
_____	_____
_____	_____

SCHEDULE G-3 — PAYMENTS FOR OTHER SERVICES GENERALLY.

Indicate below types of businesses that operate in Virginia to which services were furnished by you or persons with whom you have a close financial association pursuant to an agreement between you and such businesses, or between persons with whom you have a close financial association and such businesses and for which total compensation in excess of \$1,000 was received during the past six months. Services reported in this Schedule shall not include services involving the representation of businesses that are reported in Schedule G-1 or G-2.

Identify opposite each category of businesses listed below (i) the type of business, (ii) the type of service rendered and (iii) the value by dollar category of the compensation received for all businesses falling within each category.

		Value of Compensation					
Check if	Type						
ser-	of						
vices	ser-						
were	vice	\$1,001	\$10,001	\$50,001	\$100,001	\$250,001	

	ren-	ren-	to	to	to	to	and
	dered	dered	\$10,000	\$50,000	\$100,000	\$250,000	over
786							
787							
788	Electric utilities						
789	Gas utilities						
790	Telephone utilities						
791	Water utilities						
792	Cable television						
793	companies						
794	Interstate						
795	transportation						
796	companies						
797	Intrastate						
798	transportation						
799	companies						
800	Oil or gas retail						
801	companies						
802	Banks						
803	Savings institutions						
804	Loan or finance						
805	companies						
806	Manufacturing						
807	companies (state						
808	type of product,						
809	e.g., textile,						
810	furniture, etc.)						
811	Mining companies						
812	Life insurance						
813	companies						
814	Casualty insurance						
815	companies						
816	Other insurance						
817	companies						
818	Retail companies						
819	Beer, wine or liquor						
820	companies or						
821	distributors						
822	Trade associations						
823	Professional						
824	associations						
825	Associations of						
826	public employees						
827	or officials						
828	Counties, cities						
829	or towns						
830	Labor organizations						
831	Other						
832							

RETURN TO ITEM 9

SCHEDULE H-1 — REAL ESTATE — STATE OFFICERS AND EMPLOYEES.

List real estate other than your principal residence in which you or a member of your immediate family holds an interest, including a partnership interest, option, easement, or land contract, valued at more than \$5,000. Each parcel shall be listed individually.

838			
839			
840		Describe the type of real	
841	List each location	estate you own in each	If the real estate is
842	(state, and county	location (business, recre-	owned or recorded in

or city) where you	ational, apartment, com-	a name other than your
own real estate.	mercial, open land, etc.).	own, list that name.
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

SCHEDULE H-2 — REAL ESTATE — LOCAL OFFICERS AND EMPLOYEES.

List real estate other than your principal residence in which you or a member of your immediate family holds an interest, including a partnership interest or option, easement, or land contract, valued at more than \$5,000. Each parcel shall be listed individually. Also list the names of any co-owners of such property, if applicable.

	Describe the type of real estate you own in each location	If the real estate is owned or rec- orded in a name other than your own, list that name.	List the names of any co-owners, if applicable.
List each location (business, (state, and county recreational, or city) where apartment, com- you own real mercial, open estate. land, etc.).			
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

SCHEDULE I — REAL ESTATE CONTRACTS WITH GOVERNMENTAL AGENCIES.

List all contracts, whether pending or completed within the past six months, with a governmental agency for the sale or exchange of real estate in which you or a member of your immediate family holds an interest, including a corporate, partnership or trust interest, option, easement, or land contract, valued at more than \$10,000. List all contracts with a governmental agency for the lease of real estate in which you or a member of your immediate family holds such an interest valued at more than \$1,000. This requirement to disclose an interest in a lease does not apply to an interest derived through an ownership interest in a business unless the ownership interest exceeds three percent of the total equity of the business.

State officers and employees report contracts with state agencies.

Local officers and employees report contracts with local agencies.

List your real estate interest and the person or entity, including the type of entity, which is party to the contract.	Describe any management role and the percentage ownership interest you or your immediate family member has in the real estate or entity.	List each governmental agency which is a party to the contract and indicate the county or city where the real estate is located.	State the annual income from the contract, and the amount, if any, of income you or any immediate family member derives annually from the contract.
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

901			
902			
903			
904			
905			
906			

§ 2.2-3705.7. Exclusions to application of chapter; records of specific public bodies and certain other limited exemptions.

The following records are excluded from the provisions of this chapter but may be disclosed by the custodian in his discretion, except where such disclosure is prohibited by law:

1. State income, business, and estate tax returns, personal property tax returns, scholastic and confidential records held pursuant to § 58.1-3.

2. Working papers and correspondence of the Office of the Governor; Lieutenant Governor; the Attorney General; the members of the General Assembly, the Division of Legislative Services, or the Clerks of the House of Delegates and the Senate of Virginia; the mayor or chief executive officer of any political subdivision of the Commonwealth; or the president or other chief executive officer of any public institution of higher education in Virginia. However, no record, which is otherwise open to inspection under this chapter, shall be deemed exempt by virtue of the fact that it has been attached to or incorporated within any working paper or correspondence.

As used in this subdivision:

"Members of the General Assembly" means each member of the Senate of Virginia and the House of Delegates and their legislative aides when working on behalf of such member.

"Office of the Governor" means the Governor; his chief of staff, counsel, director of policy, Cabinet Secretaries, and the Assistant to the Governor for Intergovernmental Affairs; and those individuals to whom the Governor has delegated his authority pursuant to § 2.2-104.

"Working papers" means those records prepared by or for an above-named public official for his personal or deliberative use.

3. Library records that can be used to identify both (i) any library patron who has borrowed material from a library and (ii) the material such patron borrowed.

4. Contract cost estimates prepared for the confidential use of the Department of Transportation in awarding contracts for construction or the purchase of goods or services, and records and automated systems prepared for the Department's Bid Analysis and Monitoring Program.

5. Lists of registered owners of bonds issued by a political subdivision of the Commonwealth, whether the lists are maintained by the political subdivision itself or by a single fiduciary designated by the political subdivision.

6. Records and writings furnished by a member of the General Assembly to a meeting of a standing committee, special committee or subcommittee of his house established solely for the purpose of reviewing members' ~~annual~~ *semiannual* disclosure statements and supporting materials filed under § 30-110 or of formulating advisory opinions to members on standards of conduct, or both.

7. Customer account information of a public utility affiliated with a political subdivision of the Commonwealth, including the customer's name and service address, but excluding the amount of utility service provided and the amount of money paid for such utility service.

8. Personal information, as defined in § 2.2-3801, (i) filed with the Virginia Housing Development Authority concerning individuals who have applied for or received loans or other housing assistance or who have applied for occupancy of or have occupied housing financed, owned or otherwise assisted by the Virginia Housing Development Authority; (ii) concerning persons participating in or persons on the waiting list for federally funded rent-assistance programs; (iii) filed with any local redevelopment and housing authority created pursuant to § 36-4 concerning persons participating in or persons on the waiting list for housing assistance programs funded by local governments or by any such authority; or (iv) filed with any local redevelopment and housing authority created pursuant to § 36-4 or any other local government agency concerning persons who have applied for occupancy or who have occupied affordable dwelling units established pursuant to § 15.2-2304 or 15.2-2305. However, access to one's own information shall not be denied.

9. Records regarding the siting of hazardous waste facilities, except as provided in § 10.1-1441, if disclosure of them would have a detrimental effect upon the negotiating position of a governing body or on the establishment of the terms, conditions and provisions of the siting agreement.

10. Records containing information on the site specific location of rare, threatened, endangered or otherwise imperiled plant and animal species, natural communities, caves, and significant historic and archaeological sites if, in the opinion of the public body that has the responsibility for such information, disclosure of the information would jeopardize the continued existence or the integrity of the resource. This exemption shall not apply to requests from the owner of the land upon which the resource is

located.

11. Records, memoranda, working papers, graphics, video or audio tapes, production models, data and information of a proprietary nature produced by or for or collected by or for the Virginia Lottery relating to matters of a specific lottery game design, development, production, operation, ticket price, prize structure, manner of selecting the winning ticket, manner of payment of prizes to holders of winning tickets, frequency of drawings or selections of winning tickets, odds of winning, advertising, or marketing, where such official records have not been publicly released, published, copyrighted or patented. Whether released, published or copyrighted, all game-related information shall be subject to public disclosure under this chapter upon the first day of sales for the specific lottery game to which it pertains.

12. Records of the Virginia Retirement System, acting pursuant to § 51.1-124.30, or of a local retirement system, acting pursuant to § 51.1-803, or of the Rector and Visitors of the University of Virginia, acting pursuant to § 23-76.1, or of the Virginia College Savings Plan, acting pursuant to § 23-38.77, relating to the acquisition, holding or disposition of a security or other ownership interest in an entity, where such security or ownership interest is not traded on a governmentally regulated securities exchange, to the extent that: (i) such records contain confidential analyses prepared for the Rector and Visitors of the University of Virginia, prepared by the retirement system or the Virginia College Savings Plan, or provided to the retirement system or the Virginia College Savings Plan under a promise of confidentiality, of the future value of such ownership interest or the future financial performance of the entity; and (ii) disclosure of such confidential analyses would have an adverse effect on the value of the investment to be acquired, held or disposed of by the retirement system, the Rector and Visitors of the University of Virginia, or the Virginia College Savings Plan. Nothing in this subdivision shall be construed to prevent the disclosure of records relating to the identity of any investment held, the amount invested, or the present value of such investment.

13. Names and addresses of subscribers to Virginia Wildlife magazine, published by the Department of Game and Inland Fisheries, provided the individual subscriber has requested in writing that the Department not release such information.

14. Financial, medical, rehabilitative and other personal information concerning applicants for or recipients of loan funds submitted to or maintained by the Assistive Technology Loan Fund Authority under Chapter 11 (§ 51.5-53 et seq.) of Title 51.5.

15. Records of the Virginia Commonwealth University Health System Authority pertaining to any of the following: an individual's qualifications for or continued membership on its medical or teaching staffs; proprietary information gathered by or in the possession of the Authority from third parties pursuant to a promise of confidentiality; contract cost estimates prepared for confidential use in awarding contracts for construction or the purchase of goods or services; data, records or information of a proprietary nature produced or collected by or for the Authority or members of its medical or teaching staffs; financial statements not publicly available that may be filed with the Authority from third parties; the identity, accounts or account status of any customer of the Authority; consulting or other reports paid for by the Authority to assist the Authority in connection with its strategic planning and goals; the determination of marketing and operational strategies where disclosure of such strategies would be harmful to the competitive position of the Authority; and data, records or information of a proprietary nature produced or collected by or for employees of the Authority, other than the Authority's financial or administrative records, in the conduct of or as a result of study or research on medical, scientific, technical or scholarly issues, whether sponsored by the Authority alone or in conjunction with a governmental body or a private concern, when such data, records or information have not been publicly released, published, copyrighted or patented. This exemption shall also apply when such records are in the possession of the Virginia Commonwealth University.

16. Records of the Department of Environmental Quality, the State Water Control Board, State Air Pollution Control Board or the Virginia Waste Management Board relating to (i) active federal environmental enforcement actions that are considered confidential under federal law and (ii) enforcement strategies, including proposed sanctions for enforcement actions. Upon request, such records shall be disclosed after a proposed sanction resulting from the investigation has been proposed to the director of the agency. This subdivision shall not be construed to prohibit the disclosure of records related to inspection reports, notices of violation, and documents detailing the nature of any environmental contamination that may have occurred or similar documents.

17. As it pertains to any person, records related to the operation of toll facilities that identify an individual, vehicle, or travel itinerary including, but not limited to, vehicle identification data, vehicle enforcement system information; video or photographic images; Social Security or other identification numbers appearing on driver's licenses; credit card or bank account data; home addresses; phone numbers; or records of the date or time of toll facility use.

18. Records of the Virginia Lottery pertaining to (i) the social security number, tax identification

1023 number, state sales tax number, home address and telephone number, personal and lottery banking
1024 account and transit numbers of a retailer, and financial information regarding the nonlottery operations
1025 of specific retail locations; and (ii) individual lottery winners, except that a winner's name, hometown,
1026 and amount won shall be disclosed.

1027 19. Records of the Board for Branch Pilots relating to the chemical or drug testing of a person
1028 regulated by the Board, where such person has tested negative or has not been the subject of a
1029 disciplinary action by the Board for a positive test result.

1030 20. Records, investigative notes, correspondence, and information pertaining to the planning,
1031 scheduling and performance of examinations of holder records pursuant to the Uniform Disposition of
1032 Unclaimed Property Act (§ 55-210.1 et seq.) prepared by or for the State Treasurer, his agents,
1033 employees or persons employed to perform an audit or examination of holder records.

1034 21. Records of the Virginia Department of Emergency Management or a local governing body
1035 relating to citizen emergency response teams established pursuant to an ordinance of a local governing
1036 body, to the extent that such records reveal the name, address, including e-mail address, telephone or
1037 pager numbers, or operating schedule of an individual participant in the program.

1038 22. Records of state or local park and recreation departments and local and regional park authorities
1039 to the extent such records contain information identifying a person under the age of 18 years. However,
1040 nothing in this subdivision shall operate to prohibit the disclosure of information defined as directory
1041 information under regulations implementing the Family Educational Rights and Privacy Act, 20 U.S.C.
1042 § 1232g, unless the public body has undertaken the parental notification and opt-out requirements
1043 provided by such regulations. Access shall not be denied to the parent, including a noncustodial parent,
1044 or guardian of such person, unless the parent's parental rights have been terminated or a court of
1045 competent jurisdiction has restricted or denied such access. For records of such persons who are
1046 emancipated, the right of access may be asserted by the subject thereof. Any parent or emancipated
1047 person who is the subject of the record may waive, in writing, the protections afforded by this
1048 subdivision. If the protections are so waived, the public body shall open such records for inspection and
1049 copying.

1050 23. Records submitted for inclusion in the Statewide Alert Network administered by the Department
1051 of Emergency Management, to the extent that they reveal names, physical addresses, email addresses,
1052 computer or internet protocol information, telephone numbers, pager numbers, other wireless or portable
1053 communications device information, or operating schedules of individuals or agencies, where the release
1054 of such information would compromise the security of the Statewide Alert Network or individuals
1055 participating in the Statewide Alert Network.

1056 24. Records of the Judicial Inquiry and Review Commission made confidential by § 17.1-913.

1057 25. Records of the Virginia Retirement System acting pursuant to § 51.1-124.30, of a local retirement
1058 system acting pursuant to § 51.1-803 (hereinafter collectively referred to as the retirement system), or of
1059 the Virginia College Savings Plan, acting pursuant to § 23-38.77 relating to:

1060 a. Internal deliberations of or decisions by the retirement system or the Virginia College Savings
1061 Plan on the pursuit of particular investment strategies, or the selection or termination of investment
1062 managers, prior to the execution of such investment strategies or the selection or termination of such
1063 managers, to the extent that disclosure of such records would have an adverse impact on the financial
1064 interest of the retirement system or the Virginia College Savings Plan; and

1065 b. Trade secrets, as defined in the Uniform Trade Secrets Act (§ 59.1-336 et seq.), provided by a
1066 private entity to the retirement system or the Virginia College Savings Plan, to the extent disclosure of
1067 such records would have an adverse impact on the financial interest of the retirement system or the
1068 Virginia College Savings Plan.

1069 For the records specified in subdivision b to be excluded from the provisions of this chapter, the
1070 entity shall make a written request to the retirement system or the Virginia College Savings Plan:

1071 (1) Invoking such exclusion prior to or upon submission of the data or other materials for which
1072 protection from disclosure is sought;

1073 (2) Identifying with specificity the data or other materials for which protection is sought; and

1074 (3) Stating the reasons why protection is necessary.

1075 The retirement system or the Virginia College Savings Plan shall determine whether the requested
1076 exclusion from disclosure meets the requirements set forth in subdivision b.

1077 Nothing in this subdivision shall be construed to authorize the withholding of the identity or amount
1078 of any investment held or the present value and performance of all asset classes and subclasses.

1079 26. Records of the Department of Corrections made confidential by § 53.1-233.

1080 27. Records maintained by the Department of the Treasury or participants in the Local Government
1081 Investment Pool (§ 2.2-4600 et seq.), to the extent such records relate to information required to be
1082 provided by such participants to the Department to establish accounts in accordance with § 2.2-4602.

1083 28. Personal information, as defined in § 2.2-3801, contained in the Veterans Care Center Resident
1084 Trust Funds concerning residents or patients of the Department of Veterans Services Care Centers,

except that access shall not be denied to the person who is the subject of the record.

29. Records maintained in connection with fundraising activities by the Veterans Services Foundation pursuant to § 2.2-2716 to the extent that such records reveal the address, electronic mail address, facsimile or telephone number, social security number or other identification number appearing on a driver's license, or credit card or bank account data of identifiable donors, except that access shall not be denied to the person who is the subject of the record. Nothing in this subdivision, however, shall be construed to authorize the withholding of records relating to the amount, date, purpose, and terms of the pledge or donation or the identity of the donor, unless the donor has requested anonymity in connection with or as a condition of making a pledge or donation. The exclusion provided by this subdivision shall not apply to protect from disclosure (i) the identities of sponsors providing grants to or contracting with the foundation for the performance of services or other work or (ii) the terms and conditions of such grants or contracts.

30. Names, physical addresses, telephone numbers, and email addresses contained in correspondence between an individual and a member of the governing body, school board, or other public body of the locality in which the individual is a resident, unless the correspondence relates to the transaction of public business. However, no record that is otherwise open to inspection under this chapter shall be deemed exempt by virtue of the fact that it has been attached to or incorporated within any such correspondence.

31. Records of the Commonwealth's Attorneys' Services Council, to the extent such records are prepared for and utilized by the Commonwealth's Attorneys' Services Council in the training of state prosecutors or law-enforcement personnel, where such records are not otherwise available to the public and the release of such records would reveal confidential strategies, methods or procedures to be employed in law-enforcement activities, or materials created for the investigation and prosecution of a criminal case.

32. Records provided to the Department of Aviation by other entities of the Commonwealth in connection with the operation of aircraft, where the records would not be subject to disclosure by the entity providing the records. The entity providing the records to the Department of Aviation shall identify the specific portion of the records to be protected and the applicable provision of this chapter that exempts the record or portions thereof from mandatory disclosure.

33. Records created or maintained by or on the behalf of the judicial performance evaluation program related to an evaluation of any individual justice or judge made confidential by § 17.1-100.

34. (Effective July 1, 2018) Records of the Virginia Alcoholic Beverage Control Authority to the extent such records contain (i) information of a proprietary nature gathered by or in the possession of the Authority from a private entity pursuant to a promise of confidentiality; (ii) trade secrets, as defined in the Uniform Trade Secrets Act (§ 59.1-336 et seq.), of any private entity; (iii) financial records of a private entity, including balance sheets and financial statements, that are not generally available to the public through regulatory disclosure or otherwise; (iv) contract cost estimates prepared for the (a) confidential use in awarding contracts for construction or (b) purchase of goods or services; or (v) the determination of marketing and operational strategies where disclosure of such strategies would be harmful to the competitive position of the Authority.

In order for the records identified in clauses (i) through (iii) to be excluded from the provisions of this chapter, the private entity shall make a written request to the Authority:

a. Invoking such exclusion upon submission of the data or other materials for which protection from disclosure is sought;

b. Identifying with specificity the data or other materials for which protection is sought; and

c. Stating the reasons why protection is necessary.

The Authority shall determine whether the requested exclusion from disclosure is necessary to protect such records of the private entity. The Authority shall make a written determination of the nature and scope of the protection to be afforded by it under this subdivision.

§ 24.2-502. Statement of economic interests as requirement of candidacy.

It shall be a requirement of candidacy that a written statement of economic interests shall be filed by (i) a candidate for Governor, Lieutenant Governor, or Attorney General ~~with the Secretary of the Commonwealth,~~ (ii) a candidate for *the* Senate or House of Delegates ~~with the clerk of the appropriate house,~~ (iii) *and* a candidate for a constitutional office with the ~~general registrar for the county or city,~~ *Virginia Conflict of Interest and Ethics Advisory Council* and ~~(iv)~~ (ii) a candidate for member of the governing body or elected school board of any county, city, or town with a population in excess of 3,500 persons with the general registrar for the county or city. The statement of economic interests shall be that specified in § 30-111 for candidates for the General Assembly and in § 2.2-3117 for all other candidates. The foregoing requirement shall not apply to a candidate for reelection to the same office who has met the requirement of ~~annually~~ *semiannually* filing a statement pursuant to § 2.2-3114, 2.2-3115, or 30-110.

The Secretary of the Commonwealth and the clerks of the Senate and House of Delegates Virginia Conflict of Interest and Ethics Advisory Council shall transmit to the State Board, immediately after the filing deadline, a list of the candidates who have filed initial or ~~annual~~ *semiannual* statements of economic interests. The general registrar, the clerk of the local governing body, or the clerk of the school board, as appropriate, shall transmit to the local electoral board, immediately after the filing deadline, a list of the candidates who have filed initial or ~~annual~~ *semiannual* statements of economic interests.

§ 30-101. (Effective January 1, 2016) Definitions.

As used in this chapter, unless the context requires a different meaning:

"Advisory agency" means any board, commission, committee or post which does not exercise any sovereign power or duty, but is appointed by a governmental agency or officer or is created by law for the purpose of making studies or recommendations, or advising or consulting with a governmental agency.

"Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise, association, trust or foundation, or any other individual or entity carrying on a business or profession, whether or not for profit.

"Candidate" means a person who seeks or campaigns for election to the General Assembly in a general, primary, or special election and who is qualified to have his name placed on the ballot for the office. The candidate shall become subject to the provisions of this section upon the filing of a statement of qualification pursuant to § 24.2-501. The State Board of Elections shall notify each such candidate of the provisions of this chapter.

"Contract" means any agreement to which a governmental agency is a party, or any agreement on behalf of a governmental agency that involves the payment of money appropriated by the General Assembly or a political subdivision, whether or not such agreement is executed in the name of the Commonwealth, or some political subdivision thereof. "Contract" includes a subcontract only when the contract of which it is a part is with the legislator's own governmental agency.

"Council" means the Virginia Conflict of Interest and Ethics Advisory Council established in § 30-355.

"Financial institution" means any bank, trust company, savings institution, industrial loan association, consumer finance company, credit union, broker-dealer as defined in subsection A of § 13.1-501, or investment company or advisor registered under the federal Investment Advisors Act or Investment Company Act of 1940.

"Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item having monetary value. It includes services as well as gifts of transportation, lodgings and meals, whether provided in-kind, by purchase of a ticket, payment in advance, or reimbursement after the expense has been incurred. "Gift" does not include (i) any offer of a ticket, coupon, or other admission or pass unless the ticket, coupon, admission, or pass is used; (ii) honorary degrees; (iii) any athletic, merit, or need-based scholarship or any other financial aid awarded by a public or private school, institution of higher education, or other educational program pursuant to such school, institution, or program's financial aid standards and procedures applicable to the general public; (iv) a campaign contribution properly received and reported pursuant to Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2; (v) any gift related to the private profession or occupation of a legislator or of a member of his immediate family; (vi) food or beverages consumed while attending an event at which the filer is performing official duties related to his public service; (vii) food and beverages received at or registration or attendance fees waived for any event at which the filer is a featured speaker, presenter, or lecturer; (viii) unsolicited awards of appreciation or recognition in the form of a plaque, trophy, wall memento, or similar item that is given in recognition of public, civic, charitable, or professional service; (ix) a devise or inheritance; (x) travel disclosed pursuant to the Campaign Finance Disclosure Act (§ 24.2-945 et seq.); (xi) travel paid for or provided by the government of the United States, any of its territories, or any state or any political subdivision of such state; (xii) travel provided to facilitate attendance by a legislator at a regular or special session of the General Assembly, a meeting of a legislative committee or commission, or a national conference where attendance is approved by the House Committee on Rules or its chairman, or the Senate Committee on Rules or its chairman; (xiii) travel related to an official meeting of the Commonwealth, its political subdivisions, or any board, commission, authority, or other entity, or any charitable organization established pursuant to § 501(c)(3) of the Internal Revenue Code affiliated with such entity, to which such person has been appointed or elected or is a member by virtue of his office or employment; or (xiv) gifts from relatives or personal friends. For the purpose of this definition, "relative" means the donee's spouse, child, uncle, aunt, niece, nephew, or first cousin; a person to whom the donee is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother, sister, step-parent, step-grandparent, step-grandchild, step-brother, or step-sister; or the donee's brother's or sister's spouse. For the purpose of this definition, "personal friend" does not include any person that the filer knows or has reason to know is (a) a

lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4 of Title 2.2 or (b) a lobbyist's principal as defined in § 2.2-419.

"Governmental agency" means each component part of the legislative, executive or judicial branches of state and local government, including each office, department, authority, post, commission, committee, and each institution or board created by law to exercise some regulatory or sovereign power or duty as distinguished from purely advisory powers or duties.

"Immediate family" means (i) a spouse and (ii) any other person who resides in the same household as the legislator and who is a dependent of the legislator.

"Legislator" means a member of the General Assembly.

"Personal interest" means a financial benefit or liability accruing to a legislator or to a member of his immediate family. Such interest shall exist by reason of (i) ownership in a business if the ownership interest exceeds three percent of the total equity of the business; (ii) annual income that exceeds, or may reasonably be anticipated to exceed, \$5,000 from ownership in real or personal property or a business; (iii) salary, other compensation, fringe benefits, or benefits from the use of property, or any combination thereof, paid or provided by a business or governmental agency that exceeds, or may reasonably be anticipated to exceed, \$5,000 annually; (iv) ownership of real or personal property if the interest exceeds \$5,000 in value and excluding ownership in a business, income, or salary, other compensation, fringe benefits or benefits from the use of property; (v) personal liability incurred or assumed on behalf of a business if the liability exceeds three percent of the asset value of the business; or (vi) an option for ownership of a business or real or personal property if the ownership interest will consist of clause (i) or (iv).

"Personal interest in a contract" means a personal interest that a legislator has in a contract with a governmental agency, whether due to his being a party to the contract or due to a personal interest in a business that is a party to the contract.

"Personal interest in a transaction" means a personal interest of a legislator in any matter considered by the General Assembly. Such personal interest exists when an officer or employee or a member of his immediate family has a personal interest in property or a business, or represents or provides services to any individual or business and such property, business or represented or served individual or business (i) is the subject of the transaction or (ii) may realize a reasonably foreseeable direct or indirect benefit or detriment as a result of the action of the agency considering the transaction. A "personal interest in a transaction" exists only if the legislator or member of his immediate family or an individual or business represented or served by the legislator is affected in a way that is substantially different from the general public or from persons comprising a profession, occupation, trade, business or other comparable and generally recognizable class or group of which he or the individual or business he represents or serves is a member.

"Transaction" means any matter considered by the General Assembly, whether in a committee, subcommittee, or other entity of the General Assembly or before the General Assembly itself, on which official action is taken or contemplated.

§ 30-103.1. Certain gifts prohibited.

A. For purposes of this section:

"Reporting year" means the period between November 1 and October 31.

"Widely attended event" means an event at which at least 25 persons have been invited to attend or there is a reasonable expectation that at least 25 persons will attend the event and the event is open to individuals (i) who share a common interest, (ii) who are members of a public, civic, charitable, or professional organization, (iii) who are from a particular industry or profession, or (iv) who represent persons interested in a particular issue.

B. No legislator or candidate for the General Assembly required to file the disclosure form prescribed in § 30-111 or a member of his immediate family shall solicit, accept, or receive any single gift for himself or a member of his immediate family with a value in excess of \$100 or any combination of gifts with an aggregate value in excess of \$100 within any ~~calendar~~ reporting year for himself or a member of his immediate family from any person that he or a member of his immediate family knows or has reason to know is (i) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4 of Title 2.2 or (ii) a lobbyist's principal as defined in § 2.2-419. Gifts with a value of less than \$20 are not subject to aggregation for purposes of this prohibition.

C. Notwithstanding the provisions of subsection B, a legislator or candidate or a member of his immediate family may accept or receive a gift of food and beverages, entertainment, or the cost of admission with a value in excess in \$100 when such gift is accepted or received while in attendance at a widely attended event and is associated with the event. Such gifts shall be reported on the disclosure form prescribed in § 30-111.

D. Notwithstanding the provisions of subsection B, a legislator or a member of his immediate family may accept or receive a gift from a foreign dignitary with a value exceeding \$100 for which the fair

market value or a gift of greater or equal value has not been provided or exchanged. Such gift shall be accepted on behalf of the Commonwealth and archived in accordance with guidelines established by the Library of Virginia. Such gift shall be disclosed as having been accepted on behalf of the Commonwealth, but the value of such gift shall not be required to be disclosed.

E. Notwithstanding the provisions of subsection B, a legislator or candidate or a member of his immediate family may accept or receive certain gifts with a value in excess of \$100 from a person listed in subsection B if such gift was provided to the legislator or candidate or a member of his immediate family on the basis of a personal friendship. Notwithstanding any other provision of law, a person listed in subsection B may be a personal friend of the legislator or candidate or his immediate family for purposes of this subsection. In determining whether a person listed in subsection B is a personal friend, the following factors shall be considered: (i) the circumstances under which the gift was offered; (ii) the history of the relationship between the person and the donor, including the nature and length of the friendship and any previous exchange of gifts between them; (iii) to the extent known to the person, whether the donor personally paid for the gift or sought a tax deduction or business reimbursement for the gift; and (iv) whether the donor has given the same or similar gifts to other persons required to file the disclosure form prescribed in § 2.2-3117 or 30-111.

F. Notwithstanding the provisions of subsection B, a legislator or candidate or a member of his immediate family may accept or receive gifts of travel, including travel-related transportation, lodging, hospitality, food or beverages, or other thing of value, with a value in excess of \$100 that is paid for or provided by a person listed in subsection B when the legislator or candidate has submitted a request for approval of such travel to the Council and has received the approval of the Council pursuant to § 30-356.1. Such gifts shall be reported on the disclosure form prescribed in § 30-111.

G. The \$100 limitation imposed in accordance with this section shall be adjusted by the Council every five years, as of January 1 of that year, in an amount equal to the annual increases for that five-year period in the United States Average Consumer Price Index for all items, all urban consumers (CPI-U), as published by the Bureau of Labor Statistics of the U.S. Department of Labor, rounded to the nearest whole dollar.

§ 30-111. (Effective January 1, 2016) Disclosure form.

A. (Effective from January 1, 2016, until July 1, 2016) The disclosure form to be used for filings required by subsections A and B of § 30-110 shall be substantially similar to the following.

A. (Effective July 1, 2016) The disclosure form to be used for filings required by subsections A and B of § 30-110 shall be substantially similar to the following. All completed forms shall be filed electronically with the Council in accordance with the standards approved by it pursuant to § 30-356.

STATEMENT OF ECONOMIC INTERESTS.

Name_____

Office or position held or sought_____

Address_____

Names of members of immediate family_____

DEFINITIONS AND EXPLANATORY MATERIAL.

"Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise, association, trust or foundation, or any other individual or entity carrying on a business or profession, whether or not for profit.

"Close financial association" means an association in which the filer shares significant financial involvement with an individual and the filer would reasonably be expected to be aware of the individual's business activities and would have access to the necessary records either directly or through the individual. "Close financial association" does not mean an association based on (i) the receipt of retirement benefits or deferred compensation from a business by which the legislator is no longer employed, or (ii) the receipt of compensation for work performed by the legislator as an independent contractor of a business that represents an entity before any state governmental agency when the legislator has had no communications with the state governmental agency.

"Contingent liability" means a liability that is not presently fixed or determined, but may become fixed or determined in the future with the occurrence of some certain event.

"Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item having monetary value. It includes services as well as gifts of transportation, lodgings and meals, whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the expense has been incurred. "Gift" does not include (i) any offer of a ticket, coupon, or other admission or pass unless the ticket, coupon, admission, or pass is used; (ii) honorary degrees; (iii) any athletic, merit, or need-based scholarship or any other financial aid awarded by a public or private school, institution of higher education, or other educational program pursuant to such school, institution, or program's financial aid standards and procedures applicable to the general public; (iv) a campaign contribution properly received and reported pursuant to Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2; (v) any gift related to the private profession or occupation of a legislator or of a member of his

immediate family; (vi) food or beverages consumed while attending an event at which the filer is performing official duties related to his public service; (vii) food and beverages received at or registration or attendance fees waived for any event at which the filer is a featured speaker, presenter, or lecturer; (viii) unsolicited awards of appreciation or recognition in the form of a plaque, trophy, wall memento, or similar item that is given in recognition of public, civic, charitable, or professional service; (ix) a devise or inheritance; (x) travel disclosed pursuant to the Campaign Finance Disclosure Act (§ 24.2-945 et seq.); (xi) travel paid for or provided by the government of the United States, any of its territories, or any state or any political subdivision of such state; (xii) travel provided to facilitate attendance by a legislator at a regular or special session of the General Assembly, a meeting of a legislative committee or commission, or a national conference where attendance is approved by the House *Committee on Rules or its chairman*, or the Senate Committee on Rules *or its chairman*; (xiii) travel related to an official meeting of the Commonwealth, its political subdivisions, or any board, commission, authority, or other entity, or any charitable organization established pursuant to § 501(c)(3) of the Internal Revenue Code affiliated with such entity, to which such person has been appointed or elected or is a member by virtue of his office or employment; or (xiv) gifts from relatives or personal friends. "Relative" means the donee's spouse, child, uncle, aunt, niece, nephew, or first cousin; a person to whom the donee is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother, sister, step-parent, step-grandparent, step-grandchild, step-brother, or step-sister; or the donee's brother's or sister's spouse. "Personal friend" does not include any person that the filer knows or has reason to know is (a) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4 of Title 2.2 or (b) a lobbyist's principal as defined in § 2.2-419.

"Immediate family" means (i) a spouse and (ii) any other person who resides in the same household as the legislator and who is a dependent of the legislator.

"Lobbyist relationship" means (i) an engagement, agreement, or representation that relates to legal services, consulting services, or public relations services, whether gratuitous or for compensation, between a member or member-elect and any person who is, or has been within the prior calendar year, registered as a lobbyist with the Secretary of the Commonwealth or (ii) a greater than three percent ownership interest by a member or member-elect in a business that employs, or engages as an independent contractor, any person who is, or has been within the prior calendar year, registered as a lobbyist with the Secretary of the Commonwealth. The disclosure of a lobbyist relationship shall not (a) constitute a waiver of any attorney-client or other privilege, (b) require a waiver of any attorney-client or other privilege for a third party, or (c) be required where a member or member-elect is employed or engaged by a person and such person also employs or engages a person in a lobbyist relationship so long as the member or member-elect has no financial interest in the lobbyist relationship.

TRUST. If you or your immediate family, separately or together, are the only beneficiaries of a trust, treat the trust's assets as if you own them directly. If you or your immediate family has a proportional interest in a trust, treat that proportion of the trust's assets as if you own them directly. For example, if you and your immediate family have a one-third interest in a trust, complete your Statement as if you own one-third of each of the trust's assets. If you or a member of your immediate family created a trust and can revoke it without the beneficiaries' consent, treat its assets as if you own them directly.

REPORT TO THE BEST OF INFORMATION AND BELIEF. Information required on this Statement must be provided on the basis of the best knowledge, information, and belief of the individual filing the Statement as of the date of this report unless otherwise stated.

COMPLETE ITEMS 1 THROUGH 11. REFER TO SCHEDULES ONLY IF DIRECTED.

You may attach additional explanatory information.

1. Offices and Directorships.

Are you or a member of your immediate family a paid officer or paid director of a business?

EITHER check NO // OR check YES // and complete Schedule A.

2. Personal Liabilities.

Do you or a member of your immediate family owe more than \$5,000 to any one creditor including contingent liabilities? (Exclude debts to any government and loans secured by recorded liens on property at least equal in value to the loan.)

EITHER check NO // OR check YES // and complete Schedule B.

3. Securities.

Do you or a member of your immediate family, directly or indirectly, separately or together, own securities valued in excess of \$5,000 invested in one business? Account for mutual funds, limited partnerships and trusts.

EITHER check NO // OR check YES // and complete Schedule C.

4. Payments for Talks, Meetings, and Publications.

During the past six months did you receive in your capacity as a legislator lodging, transportation, money, or anything else of value with a combined value exceeding \$100 (i) for a single talk, meeting,

1392 or published work or (ii) for a meeting, conference, or event where your attendance at the meeting,
1393 conference, or event was designed to (a) educate you on issues relevant to your duties as a legislator,
1394 including issues faced by your constituents, or (b) enhance your knowledge and skills relative to your
1395 duties as a legislator? Do not include payments and reimbursements from the Commonwealth for
1396 meetings attended in your capacity as a legislator; see Question 11 and Schedule D2 to report such
1397 meetings.

1398 EITHER check NO // OR check YES // and complete Schedule D.

1399 5. Gifts.

1400 During the past six months did a business, government, or individual other than a relative or personal
1401 friend (i) furnish you or a member of your immediate family with any gift or entertainment at a single
1402 event, and the value received exceeded \$50 or (ii) furnish you or a member of your immediate family
1403 with gifts or entertainment in any combination and the total value received exceeded \$50, and for which
1404 you or the member of your immediate family neither paid nor rendered services in exchange? Account
1405 for entertainment events only if the average value per person attending the event exceeded \$50. Account
1406 for all business entertainment (except if related to the private profession or occupation of you or the
1407 member of your immediate family who received such business entertainment) even if unrelated to your
1408 official duties.

1409 EITHER check NO // OR check YES // and complete Schedule E.

1410 6. Salary and Wages.

1411 List each employer that pays you or a member of your immediate family salary or wages in excess
1412 of \$5,000 annually. (Exclude any salary received as a member of the General Assembly pursuant to
1413 § 30-19.11.)

1414 If no reportable salary or wages, check here //.

1415

1416

1417

1418 7. Business Interests and Lobbyist Relationships.

1419 7A. Do you or a member of your immediate family, separately or together, operate your own
1420 business, or own or control an interest in excess of \$5,000 in a business?

1421 EITHER check NO // OR check YES // and complete Schedule F-1.

1422 7B. Do you have a lobbyist relationship as that term is defined above?

1423 EITHER check NO // OR check YES // and complete Schedule F-2.

1424 8. Payments for Representation and Other Services.

1425 8A. Did you represent any businesses before any state governmental agencies, excluding courts or
1426 judges, for which you received total compensation during the past six months in excess of \$1,000,
1427 excluding compensation for other services to such businesses and representation consisting solely of the
1428 filing of mandatory papers and subsequent representation regarding the mandatory papers?

1429 EITHER check NO // OR check YES // and complete Schedule G-1.

1430 8B. Subject to the same exceptions as in 8A, did persons with whom you have a close financial
1431 association (partners, associates or others) represent any businesses before any state governmental agency
1432 for which total compensation was received during the past six months in excess of \$1,000?

1433 EITHER check NO // OR check YES // and complete Schedule G-2.

1434 8C. Did you or persons with whom you have a close financial association furnish services to
1435 businesses operating in Virginia, pursuant to an agreement between you and such businesses, or between
1436 persons with whom you have a close financial association and such businesses for which total
1437 compensation in excess of \$1,000 was received during the past six months? Services reported under this
1438 provision shall not include services involving the representation of businesses that are reported under
1439 question 8A or 8B above.

1440 EITHER check NO // OR check YES // and complete Schedule G-3.

1441 9. Real Estate.

1442 Do you or a member of your immediate family hold an interest, including a partnership interest,
1443 valued at more than \$5,000 in real property (other than your principal residence) for which you have not
1444 already listed the full address on Schedule F? Account for real estate held in trust.

1445 EITHER check NO // OR check YES // and complete Schedule H.

1446 10. Real Estate Contracts with State Governmental Agencies.

1447 Do you or a member of your immediate family hold an interest valued at more than \$5,000 in real
1448 estate, including a corporate, partnership, or trust interest, option, easement, or land contract, which real
1449 estate is the subject of a contract, whether pending or completed within the past six months, with a state
1450 governmental agency?

1451 If the real estate contract provides for the leasing of the property to a state governmental agency, do
1452 you or a member of your immediate family hold an interest in the real estate, including a corporate,
1453 partnership, or trust interest, option, easement, or land contract valued at more than \$1,000? Account for

all such contracts whether or not your interest is reported in Schedule F or H. This requirement to disclose an interest in a lease does not apply to an interest derived through an ownership interest in a business unless the ownership interest exceeds three percent of the total equity of the business.

EITHER check NO // OR check YES // and complete Schedule I.

11. Payments by the Commonwealth for Meetings.

During the past six months did you receive lodging, transportation, money, or anything else of value with a combined value exceeding \$100 from the Commonwealth for a single meeting attended out-of-state in your capacity as a legislator? Do not include reimbursements from the Commonwealth for meetings attended in the Commonwealth.

EITHER check NO // OR check YES // and complete Schedule D-2.

For Statements filed in June 2016 and each two years thereafter, complete the following statement indicating whether you completed the ethics orientation sessions provided pursuant to law:

I certify that I completed ethics training as required by § 30-129.1. YES // or NO //

Statements of Economic Interests are open for public inspection.

AFFIRMATION.

In accordance with the rules of the house in which I serve, if I receive a request that this disclosure statement be corrected, augmented, or revised in any respect, I hereby pledge that I shall respond promptly to the request. I understand that if a determination is made that the statement is insufficient, I will satisfy such request or be subjected to disciplinary action of my house.

I swear or affirm that the foregoing information is full, true and correct to the best of my knowledge.

Signature _____

(Return only if needed to complete Statement.)

SCHEDULES to STATEMENT OF ECONOMIC INTERESTS.

NAME _____

SCHEDULE A — OFFICES AND DIRECTORSHIPS.

Identify each business of which you or a member of your immediate family is a paid officer or paid director.

Name of Business	Address of Business	Position Held and by Whom
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

RETURN TO ITEM 2

SCHEDULE B — PERSONAL LIABILITIES.

Report personal liability by checking each category. Report only debts in excess of \$5,000. Do not report debts to any government. Do not report loans secured by recorded liens on property at least equal in value to the loan.

Report contingent liabilities below and indicate which debts are contingent.

1. My personal debts are as follows:

Check appropriate categories	Check one \$5,001 to \$50,000	Check one More than \$50,000
Banks	_____	_____
Savings institutions	_____	_____
Other loan or finance companies	_____	_____
Insurance companies	_____	_____
Stock, commodity or other brokerage companies	_____	_____
Other businesses:		
(State principal business activity for each creditor and its name.)		
_____	_____	_____
_____	_____	_____
_____	_____	_____

1513 Individual creditors:

1514 (State principal business or occupation of
1515 each creditor and its name.)

1516 _____
1517 _____
1518 _____
1519 _____

1520 2. The personal debts of the members of my immediate family are as follows:

1521 _____
1522 _____
1523 Check one
1524 appropriate \$5,001 to More than
1525 categories \$50,000 \$50,000
1526 Banks _____
1527 Savings institutions _____
1528 Other loan or finance companies _____
1529 Insurance companies _____
1530 Stock, commodity or other brokerage
1531 companies _____
1532 Other businesses:
1533 (State principal business activity for each
1534 creditor and its name.)

1535 _____
1536 _____
1537 _____

1538 Individual creditors:

1539 (State principal business or occupation of
1540 each creditor and its name.)

1541 _____
1542 _____
1543 _____
1544 _____

RETURN TO ITEM 3

1546 SCHEDULE C — SECURITIES.

1547 "Securities" INCLUDES stocks, bonds, mutual funds, limited partnerships, and commodity futures
1548 contracts.

1549 "Securities" EXCLUDES certificates of deposit, money market funds, annuity contracts, and
1550 insurance policies.

1551 Identify each business or Virginia governmental entity in which you or a member of your immediate
1552 family, directly or indirectly, separately or together, own securities valued in excess of \$5,000. Name
1553 each issuer and type of security individually.

1554 Do not list U.S. Bonds or other government securities not issued by the Commonwealth of Virginia
1555 or its authorities, agencies, or local governments. Do not list organizations that do not do business in
1556 this Commonwealth, but most major businesses conduct business in Virginia. Account for securities held
1557 in trust.

1558 If no reportable securities, check here //.

1559 _____
1560 _____

1561 Check one
1562 Type of Security \$5,001 \$50,001 More
1563 (stocks, bonds, mutual to to than
1564 Name of Issuer funds, etc.) \$50,000 \$250,000 \$250,000
1565 _____
1566 _____
1567 _____
1568 _____
1569 _____

1570 _____
RETURN TO ITEM 4

SCHEDULE D-1 — PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.

List each source from which you received during the past six months in your capacity as a legislator lodging, transportation, money, or any other thing of value with a combined value exceeding \$100 (i) for your presentation of a single talk, participation in one meeting, or publication of a work or (ii) for your attendance at a meeting, conference, or event where your attendance at the meeting, conference, or event was designed to (a) educate you on issues relevant to your duties as a legislator, including issues faced by your constituents, or (b) enhance your knowledge and skills relative to your duties as a legislator. Any lodging, transportation, money, or other thing of value received by a legislator that does not satisfy the criteria of clause (i), (ii)(a), or (ii)(b) shall be listed as a gift on Schedule E. Do not list payments or reimbursements by the Commonwealth. (See Schedule D-2 for such payments or reimbursements.) List a payment even if you donated it to charity. Do not list information about a payment if you returned it within 60 days or if you received it from an employer already listed under Item 6 or from a source of income listed on Schedule F.

If no payment must be listed, check here //.

Payer	Approximate Value	Circumstances	Type of Payment (e.g., Honoraria, Travel reimbursement, etc.)

RETURN TO ITEM 5

SCHEDULE D-2 — PAYMENTS BY THE COMMONWEALTH FOR MEETINGS.

List each meeting for which the Commonwealth provided payments or reimbursements during the past six months to you for lodging, transportation, money, or any other thing of value with a combined value exceeding \$100 for your participation in your capacity as a legislator. Do not list payments or reimbursements by the Commonwealth for meetings or travel within the Commonwealth.

If no payment must be listed, check here //.

Payer	Approximate Value	Circumstances	Type of Payment (e.g., Travel reimbursement, etc.)

SCHEDULE E — GIFTS.

List each business, governmental entity, or individual that, during the past six months, (i) furnished you or a member of your immediate family with any gift or entertainment at a single event, and the value received exceeded \$50 or (ii) furnished you or a member of your immediate family with gifts or entertainment in any combination and the total value received exceeded \$50, and for which you or the member of your immediate family neither paid nor rendered services in exchange. List each such gift or event.

Do not list entertainment events unless the average value per person attending the event exceeded \$50. Do not list business entertainment related to the private profession or occupation of you or the member of your immediate family who received such business entertainment. Do not list gifts or other things of value given by a relative or personal friend for reasons clearly unrelated to your public position. Do not list campaign contributions publicly reported as required by Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2 of the Code of Virginia.

Name of Business,	City or	Exact
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1630	Name of	Organization, or	County	Gift or	Approximate
1631	Recipient	Individual	and State	Event	Value
1632					
1633					
1634					
1635					
1636					

RETURN TO ITEM 6

SCHEDULE F-1 — BUSINESS INTERESTS.

Complete this Schedule for each self-owned or family-owned business (including rental property, a farm, or consulting work), partnership, or corporation in which you or a member of your immediate family, separately or together, own an interest having a value in excess of \$5,000.

If the enterprise is owned or operated under a trade, partnership, or corporate name, list that name; otherwise, merely explain the nature of the enterprise. If rental property is owned or operated under a trade, partnership, or corporate name, list the name only; otherwise, give the address of each property. Account for business interests held in trust.

1648	Name of					
1649	Business					
1650	Corporation,					
1651	Partnership,	Nature of		Gross income		
1652	Farm;	Enterprise				
1653	Address of	City or	(farming,	\$50,001	More	
1654	Rental	County	law, rental	\$50,000	to	than
1655	Property	and State	property, etc.)	or less	\$250,000	\$250,000
1656						
1657						
1658						
1659						

RETURN TO ITEM 8

SCHEDULE F-2 — LOBBYIST RELATIONSHIPS AND PAYMENTS.

Complete this Schedule for each lobbyist relationship with the following:

(i) any person who is, or has been within the prior calendar year, registered as a lobbyist with the Secretary of the Commonwealth, or

(ii) any business in which you have a greater than three percent ownership interest and that business employs, or engages as an independent contractor, any person who is, or has been within the prior calendar year, registered as a lobbyist with the Secretary of the Commonwealth.

1671				Payments to	
1672				Lobbyist	
1673	List each person	Describe each	Dates of	\$10,000	More than
1674	or business	relationship	relationship	or less	\$10,000
1675					
1676					
1677					
1678					
1679					

THE DISCLOSURE OF A LOBBYIST RELATIONSHIP SHALL NOT (I) CONSTITUTE A WAIVER OF ANY ATTORNEY-CLIENT OR OTHER PRIVILEGE, (II) REQUIRE A WAIVER OF ANY ATTORNEY-CLIENT OR OTHER PRIVILEGE FOR A THIRD PARTY, OR (III) BE REQUIRED WHERE A MEMBER OR MEMBER-ELECT IS EMPLOYED OR ENGAGED BY A PERSON AND SUCH PERSON ALSO EMPLOYS OR ENGAGES A PERSON IN A LOBBYIST RELATIONSHIP SO LONG AS THE MEMBER OR MEMBER-ELECT HAS NO FINANCIAL INTEREST IN THE LOBBYIST RELATIONSHIP.

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Identify each business, the nature of the representation and the amount received by dollar category from each such business. You may state the type, rather than name, of the business if you are required by law not to reveal the name of the business represented by you.

If you have received \$250,001 or more from a single business within the reporting period, indicate the amount received, rounded to the nearest \$10,000. Amount Received _____.

List the businesses that have been represented before any state governmental agency, excluding any court or judge, by persons who are your partners, associates or others with whom you have a close financial association and who received total compensation in excess of \$1,000 for such representation during the past six months, excluding representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers filed by your partners, associates or others with whom you have a close financial association.

Type of Business	Name of State Governmental Agency

Indicate below types of businesses that operate in Virginia to which services were furnished by you or persons with whom you have a close financial association pursuant to an agreement between you and such businesses, or between persons with whom you have a close financial association and such businesses and for which total compensation in excess of \$1,000 was received during the past six months. Services reported in this Schedule shall not include services involving the representation of businesses that are reported in Schedule G-1 or G-2 above.

Type of services rendered	Value of Compensation
Check if ser- vices were ren- dered	\$1,001 to \$10,000
	\$10,001 to \$50,000
	\$50,001 to \$100,000
	\$100,001 to \$250,000
	\$250,001 and over

1747	Electric utilities	_____	_____	_____	_____	_____	_____
1748	Gas utilities	_____	_____	_____	_____	_____	_____
1749	Telephone utilities	_____	_____	_____	_____	_____	_____
1750	Water utilities	_____	_____	_____	_____	_____	_____
1751	Cable television	_____	_____	_____	_____	_____	_____
1752	companies	_____	_____	_____	_____	_____	_____
1753	Interstate	_____	_____	_____	_____	_____	_____
1754	transportation	_____	_____	_____	_____	_____	_____
1755	companies	_____	_____	_____	_____	_____	_____
1756	Intrastate	_____	_____	_____	_____	_____	_____
1757	transportation	_____	_____	_____	_____	_____	_____
1758	companies	_____	_____	_____	_____	_____	_____
1759	Oil or gas retail	_____	_____	_____	_____	_____	_____
1760	companies	_____	_____	_____	_____	_____	_____
1761	Banks	_____	_____	_____	_____	_____	_____
1762	Savings	_____	_____	_____	_____	_____	_____
1763	institutions	_____	_____	_____	_____	_____	_____
1764	Loan or finance	_____	_____	_____	_____	_____	_____
1765	companies	_____	_____	_____	_____	_____	_____
1766	Manufacturing	_____	_____	_____	_____	_____	_____
1767	companies (state	_____	_____	_____	_____	_____	_____
1768	type of product,	_____	_____	_____	_____	_____	_____
1769	e.g., textile,	_____	_____	_____	_____	_____	_____
1770	furniture, etc.)	_____	_____	_____	_____	_____	_____
1771	Mining companies	_____	_____	_____	_____	_____	_____
1772	Life insurance	_____	_____	_____	_____	_____	_____
1773	companies	_____	_____	_____	_____	_____	_____
1774	Casualty insurance	_____	_____	_____	_____	_____	_____
1775	companies	_____	_____	_____	_____	_____	_____
1776	Other insurance	_____	_____	_____	_____	_____	_____
1777	companies	_____	_____	_____	_____	_____	_____
1778	Retail companies	_____	_____	_____	_____	_____	_____
1779	Beer, wine or	_____	_____	_____	_____	_____	_____
1780	liquor companies	_____	_____	_____	_____	_____	_____
1781	or distributors	_____	_____	_____	_____	_____	_____
1782	Trade associations	_____	_____	_____	_____	_____	_____
1783	Professional	_____	_____	_____	_____	_____	_____
1784	associations	_____	_____	_____	_____	_____	_____
1785	Associations of	_____	_____	_____	_____	_____	_____
1786	public employees	_____	_____	_____	_____	_____	_____
1787	or officials	_____	_____	_____	_____	_____	_____
1788	Counties, cities	_____	_____	_____	_____	_____	_____
1789	or towns	_____	_____	_____	_____	_____	_____
1790	Labor organizations	_____	_____	_____	_____	_____	_____
1791	Other	_____	_____	_____	_____	_____	_____

RETURN TO ITEM 9

SCHEDULE H — REAL ESTATE.

List real estate other than your principal residence in which you or a member of your immediate family holds an interest, including a partnership interest, option, easement, or land contract, valued at \$5,000 or more. Each parcel shall be listed individually.

1798	_____		
1799	_____		
1800		Describe the type of real	
1801		estate you own in each	
1802	List the location	location (business,	If the real estate is
1803	(state, and county	recreational, apartment,	owned or recorded in

1804	or city where you	commercial, open land,	a name other than your
1805	own real estate	etc.)	own, list that name
1806	_____	_____	_____
1807	_____	_____	_____
1808	_____	_____	_____
1809	_____	_____	_____
1810	_____	_____	_____
1811	_____	_____	_____

RETURN TO ITEM 10

SCHEDULE I — REAL ESTATE CONTRACTS WITH STATE GOVERNMENTAL AGENCIES.

List all contracts, whether pending or completed within the past six months, with a state governmental agency for the sale or exchange of real estate in which you or a member of your immediate family holds an interest, including a corporate, partnership or trust interest, option, easement, or land contract, valued at more than \$10,000. List all contracts with a state governmental agency for the lease of real estate in which you or a member of your immediate family holds such an interest valued at more than \$1,000. This requirement to disclose an interest in a lease does not apply to an interest derived through an ownership interest in a business unless the ownership interest exceeds three percent of the total equity of the business.

List your real estate interest and the person or entity, including the type of entity, which is party to the contract.

Describe any management role and the percentage ownership interest you or your immediate family member has in the real estate or entity.

List each governmental agency which is a party to the contract and indicate the county or city where the real estate is located.

State the annual income from the contract, and the amount, if any, of income you or any immediate family member derives annually from the contract.

B. Any legislator who knowingly and intentionally makes a false statement of a material fact on the Statement of Economic Interests is guilty of a Class 5 felony and shall be subject to disciplinary action for such violations by the house in which the legislator sits.

C. The Statement of Economic Interests of all members of each house shall be reviewed by the Council. If a legislator's Statement is found to be inadequate as filed, the legislator shall be notified in writing and directed to file an amended Statement correcting the indicated deficiencies, and a time shall be set within which such amendment shall be filed. If the Statement of Economic Interests, in either its original or amended form, is found to be adequate as filed, the legislator's filing shall be deemed in full compliance with this section as to the information disclosed thereon.

D. Ten percent of the membership of a house, on the basis of newly discovered facts, may in writing request the house in which those members sit, in accordance with the rules of that house, to review the Statement of Economic Interests of another member of that house in order to determine the adequacy of his filing. In accordance with the rules of each house, each Statement of Economic Interests shall be promptly reviewed, the adequacy of the filing determined, and notice given in writing to the legislator whose Statement is in issue. Should it be determined that the Statement requires correction, augmentation or revision, the legislator involved shall be directed to make the changes required within such time as shall be set under the rules of each house.

If a legislator, after having been notified in writing in accordance with the rules of the house in which he sits that his Statement is inadequate as filed, fails to amend his Statement so as to come into

1863 compliance within the time limit set, he shall be subject to disciplinary action by the house in which he
1864 sits. No legislator shall vote on any question relating to his own Statement.

1865 **§ 30-356.1. Request for approval for certain travel.**

1866 A. The Council shall receive and review a request for the approval of travel submitted by a person
1867 required to file the disclosure form prescribed in § 2.2-3117 or 30-111 to accept any travel-related
1868 transportation, lodging, hospitality, food or beverage, or other thing of value that has a value exceeding
1869 \$100 where such approval is required pursuant to subsection G of § 2.2-3103.1 or subsection F of
1870 § 30-103.1. A request for the approval of travel shall not be required for the following, but such travel
1871 shall be disclosed as may be required by the Acts:

1872 1. Travel disclosed pursuant to the Campaign Finance Disclosure Act (§ 24.2-945 et seq.);

1873 2. Travel paid for or provided by the government of the United States, any of its territories, or any
1874 state or any political subdivision of such state;

1875 3. Travel provided to facilitate attendance by a legislator at a regular or special session of the
1876 General Assembly, a meeting of a legislative committee or commission, or a national conference where
1877 attendance is approved by the House *Committee on Rules or its chairman*, or the Senate Committee on
1878 *Rules or its chairman*; or

1879 4. Travel related to an official meeting of the Commonwealth, its political subdivisions, or any board,
1880 commission, authority, or other entity, or any charitable organization established pursuant to § 501(c)(3)
1881 of the Internal Revenue Code affiliated with such entity, to which such person has been appointed or
1882 elected or is a member by virtue of his office or employment.

1883 B. When reviewing a request for the approval of travel, the Council shall consider the purpose of the
1884 travel as it relates to the official duties of the requester. The Council shall approve any request for travel
1885 that bears a reasonable relationship between the purpose of the travel and the official duties of the
1886 requester. Such travel shall include any meeting, conference, or other event (i) composed primarily of
1887 public officials, (ii) at which public policy related to the duties of the requester will be discussed in a
1888 substantial manner, (iii) reasonably expected to educate the requester on issues relevant to his official
1889 duties or to enhance the requester's knowledge and skills relative to his official duties, or (iv) at which
1890 the requester has been invited to speak regarding matters reasonably related to the requester's official
1891 duties.

1892 C. The Council shall not approve any travel requests that bear no reasonable relationship between the
1893 purpose of the proposed travel and the official duties of the requester. In making such determination, the
1894 Council shall consider the duration of travel, the destination of travel, the estimated value of travel, and
1895 any previous or recurring travel.

1896 D. Within five business days of receipt of a request for the approval of travel, the Council shall grant
1897 or deny the request, unless additional information has been requested. If additional information has been
1898 requested, the Council shall grant or deny the request for the approval within five business days of
1899 receipt of such information. If the Council has not granted or denied the request for approval of travel
1900 or requested additional information within such five-day period, such travel shall be deemed to have
1901 been approved by the Council. Nothing in this subsection shall preclude a person from amending or
1902 resubmitting a request for the approval of travel. The Council may authorize a designee to review and
1903 grant or deny requests for the approval of travel.

1904 E. A request for the approval of travel shall be on a form prescribed by the Council and made
1905 available on its website. Such form may be submitted by electronic means, facsimile, in-person
1906 submission, or mail or commercial mail delivery.

1907 F. No person shall be prosecuted, assessed a civil penalty, or otherwise disciplined for acceptance of
1908 a travel-related thing of value if he accepted the travel-related thing of value after receiving approval
1909 under this section, regardless of whether such approval is later withdrawn, provided the travel occurred
1910 prior to the withdrawal of the approval.