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HOUSE BILL NO. 1362**AMENDMENT IN THE NATURE OF A SUBSTITUTE**(Proposed by the Senate Committee on Rules
on March 4, 2016)(Patrons Prior to Substitute—Delegates Gilbert [HBs 779, 868, 889, 890, 891, 892, and 1361] and
McClellan [HBs 857, 860, 861, and 862])

A BILL to amend and reenact §§ 2.2-419, 2.2-426, 2.2-3101, 2.2-3103.1, 2.2-3106, 2.2-3109.1, 2.2-3114, 2.2-3115, 2.2-3116, as it is currently effective and as it shall become effective, 2.2-3117, 30-101, 30-103.1, 30-110, 30-111, 30-126, 30-129.1, and 30-356.1 of the Code of Virginia and to amend the Code of Virginia by adding sections numbered 2.2-3114.2 and 30-110.1, relating to lobbyist reporting, the State and Local Government Conflict of Interests Act, the General Assembly Conflicts of Interests Act, and the Virginia Conflict of Interest and Ethics Advisory Council; annual filing of required disclosures; separate report of gifts; food and beverages; definition of gift.

Be it enacted by the General Assembly of Virginia:

1. That §§ 2.2-419, 2.2-426, 2.2-3101, 2.2-3103.1, 2.2-3106, 2.2-3109.1, 2.2-3114, 2.2-3115, 2.2-3116, as it is currently effective and as it shall become effective, 2.2-3117, 30-101, 30-103.1, 30-110, 30-111, 30-126, 30-129.1, and 30-356.1 of the Code of Virginia are amended and reenacted and that the Code of Virginia is amended by adding sections numbered 2.2-3114.2 and 30-110.1 as follows:

§ 2.2-419. Definitions.

As used in this article, unless the context requires a different meaning:

"Anything of value" means:

1. A pecuniary item, including money, or a bank bill or note;
 2. A promissory note, bill of exchange, order, draft, warrant, check, or bond given for the payment of money;
 3. A contract, agreement, promise, or other obligation for an advance, conveyance, forgiveness of indebtedness, deposit, distribution, loan, payment, gift, pledge, or transfer of money;
 4. A stock, bond, note, or other investment interest in an entity;
 5. A receipt given for the payment of money or other property;
 6. A right in action;
 7. A gift, tangible good, chattel, or an interest in a gift, tangible good, or chattel;
 8. A loan or forgiveness of indebtedness;
 9. A work of art, antique, or collectible;
 10. An automobile or other means of personal transportation;
 11. Real property or an interest in real property, including title to realty, a fee simple or partial interest, present or future, contingent or vested within realty, a leasehold interest, or other beneficial interest in realty;
 12. An honorarium or compensation for services;
 13. A rebate or discount in the price of anything of value unless the rebate or discount is made in the ordinary course of business to a member of the public without regard to that person's status as an executive or legislative official, or the sale or trade of something for reasonable compensation that would ordinarily not be available to a member of the public;
 14. A promise or offer of employment; or
 15. Any other thing of value that is pecuniary or compensatory in value to a person.
- "Anything of value" does not mean a campaign contribution properly received and reported pursuant to Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2.

"Compensation" means:

1. An advance, conveyance, forgiveness of indebtedness, deposit, distribution, loan, payment, gift, pledge, or transfer of money or anything of value; or
2. A contract, agreement, promise or other obligation for an advance, conveyance, forgiveness of indebtedness, deposit, distribution, loan, payment, gift, pledge, or transfer of money or anything of value, for services rendered or to be rendered.

"Compensation" does not mean reimbursement of expenses if the reimbursement does not exceed the amount actually expended for the expenses and it is substantiated by an itemization of expenses.

"Council" means the Virginia Conflict of Interest and Ethics Advisory Council established in § 30-355.

"Executive action" means the proposal, drafting, development, consideration, amendment, adoption, approval, promulgation, issuance, modification, rejection, or postponement by an executive agency or official of legislation or executive orders issued by the Governor. "Executive action" includes

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60 procurement transactions.

61 "Executive agency" means an agency, board, commission, or other body in the executive branch of
62 state government. "Executive agency" includes the State Corporation Commission, the Virginia Workers'
63 Compensation Commission, and the Virginia Lottery.

64 "Executive official" means:

65 1. The Governor;

66 2. The Lieutenant Governor;

67 3. The Attorney General;

68 4. Any officer or employee of the office of the Governor, Lieutenant Governor, or Attorney General
69 other than a clerical or secretarial employee;

70 5. The Governor's Secretaries, the Deputy Secretaries, and the chief executive officer of each
71 executive agency; or

72 6. Members of supervisory and policy boards, commissions and councils, as defined in § 2.2-2100,
73 however selected.

74 "Expenditure" means:

75 1. A purchase, payment, distribution, loan, forgiveness of a loan or payment of a loan by a third
76 party, advance, deposit, transfer of funds, a promise to make a payment, or a gift of money or anything
77 of value for any purpose;

78 2. A payment to a lobbyist for salary, fee, reimbursement for expenses, or other purpose by a person
79 employing, retaining, or contracting for the services of the lobbyist separately or jointly with other
80 persons;

81 3. A payment in support of or assistance to a lobbyist or the lobbyist's activities, including the direct
82 payment of expenses incurred at the request or suggestion of the lobbyist;

83 4. A payment that directly benefits an executive or legislative official or a member of the official's
84 immediate family;

85 5. A payment, including compensation, payment, or reimbursement for the services, time, or expenses
86 of an employee for or in connection with direct communication with an executive or legislative official;

87 6. A payment for or in connection with soliciting or urging other persons to enter into direct
88 communication with an executive or legislative official; or

89 7. A payment or reimbursement for categories of expenditures required to be reported pursuant to
90 this chapter.

91 "Expenditure" does not mean a campaign contribution properly received and reported pursuant to
92 Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2.

93 "Fair market value" means the price that a good or service would bring between a willing seller and
94 a willing buyer in the open market after negotiations. If the fair market value cannot be determined, the
95 actual price paid for the good or service shall be given consideration.

96 "Gift" means anything of value, including any gratuity, favor, discount, entertainment, hospitality,
97 loan, forbearance, or other item having monetary value, and includes services as well as gifts of
98 transportation, local travel, *and* lodgings, ~~and meals~~, whether provided in-kind or by purchase of a
99 ticket, payment in advance, or reimbursement after the expense has been incurred.

100 "Gift" does not mean:

101 1. Printed informational or promotional material;

102 2. A gift that is not used and, no later than 60 days after receipt, is returned to the donor or
103 delivered to a charitable organization and is not claimed as a charitable contribution for federal income
104 tax purposes;

105 3. A devise or inheritance;

106 4. A gift of a value of \$50 ~~or~~ less *than* \$20;

107 5. Any offer of a ticket, coupon, or other admission or pass unless the ticket, coupon, admission, or
108 pass is used;

109 6. Any food or beverages provided to an individual at *beverage associated with* an event at which
110 ~~the that is accepted or received by an individual is performing official duties related to his public~~
111 ~~service while in attendance at the event;~~

112 7. Any food and beverages received at ~~or~~ registration or attendance fees waived for any event at
113 which the individual is a featured speaker, presenter, or lecturer;

114 8. An unsolicited award of appreciation or recognition in the form of a plaque, trophy, wall
115 memento, or similar item that is given in recognition of public, civic, charitable, or professional service;

116 9. Any gift from an individual's spouse, child, uncle, aunt, niece, nephew, or first cousin; a person to
117 whom the donee is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild,
118 brother, sister, step-parent, step-grandparent, step-grandchild, step-brother, or step-sister; or the donee's
119 brother's or sister's spouse;

120 10. Travel provided to facilitate attendance by a legislator at a regular or special session of the
121 General Assembly, a meeting of a legislative committee or commission, or a national conference where

attendance is approved by the House or Senate Committee on Rules; or

11. Travel related to an official meeting of the Commonwealth, its political subdivisions, or any board, commission, authority, or other entity, or any charitable organization established pursuant to § 501(c)(3) of the Internal Revenue Code affiliated with such entity, to which such person has been appointed or elected or is a member by virtue of his office or employment;

12. Any gift, including travel, from a nonpartisan state, regional, national, or international legislative organization, or an affiliated organization of such organization, of which a legislator or an officer or employee of the legislative branch is a member by virtue of his office or employment; or

13. Any gift, including travel, from a charitable organization established pursuant to § 501(c)(3) of the Internal Revenue Code to a legislator or an officer or employee of the legislative branch if such organization has been approved by the Joint Rules Committee of the General Assembly to make gifts.

"Immediate family" means (i) the spouse and (ii) any other person who resides in the same household as the executive or legislative official and who is a dependent of the official.

"Legislative action" means:

1. Preparation, research, drafting, introduction, consideration, modification, amendment, approval, passage, enactment, tabling, postponement, defeat, or rejection of a bill, resolution, amendment, motion, report, nomination, appointment, or other matter by the General Assembly or a legislative official;

2. Action by the Governor in approving, vetoing, or recommending amendments for a bill passed by the General Assembly; or

3. Action by the General Assembly in overriding or sustaining a veto by the Governor, considering amendments recommended by the Governor, or considering, confirming, or rejecting an appointment of the Governor.

"Legislative official" means:

1. A member or member-elect of the General Assembly;

2. A member of a committee, subcommittee, commission, or other entity established by and responsible to the General Assembly or either house of the General Assembly; or

3. Persons employed by the General Assembly or an entity established by and responsible to the General Assembly.

"Lobbying" means:

1. Influencing or attempting to influence executive or legislative action through oral or written communication with an executive or legislative official; or

2. Solicitation of others to influence an executive or legislative official.

"Lobbying" does not mean:

1. Requests for appointments, information on the status of pending executive and legislative actions, or other ministerial contacts if there is no attempt to influence executive or legislative actions;

2. Responses to published notices soliciting public comment submitted to the public official designated in the notice to receive the responses;

3. The solicitation of an association by its members to influence legislative or executive action; or

4. Communications between an association and its members and communications between a principal and its lobbyists.

"Lobbyist" means:

1. An individual who is employed and receives payments, or who contracts for economic consideration, including reimbursement for reasonable travel and living expenses, for the purpose of lobbying;

2. An individual who represents an organization, association, or other group for the purpose of lobbying; or

3. A local government employee who lobbies.

"Lobbyist's principal" or "principal" means the entity on whose behalf the lobbyist influences or attempts to influence executive or legislative action. An organization whose employees conduct lobbying activities on its behalf is both a principal and an employer of the lobbyists. In the case of a coalition or association that employs or retains others to conduct lobbying activities on behalf of its membership, the principal is the coalition or association and not its individual members.

"Local government" means:

1. Any county, city, town, or other local or regional political subdivision;

2. Any school division;

3. Any organization or entity that exercises governmental powers that is established pursuant to an interstate compact; or

4. Any organization composed of members representing entities listed in subdivisions 1, 2, or 3 of this definition.

"Local government employee" means a public employee of a local government.

"Person" means an individual, proprietorship, firm, partnership, joint venture, joint stock company,

183 syndicate, business trust, estate, company, corporation, association, club, committee, organization, or
 184 group of persons acting in concert.

185 "Procurement transaction" means all functions that pertain to obtaining all goods, services, or
 186 construction on behalf of an executive agency, including description of requirements, selection and
 187 solicitation of sources, preparation and award of contract, and all phases of contract administration.

188 "Secretary" means the Secretary of the Commonwealth.

189 "Value" means the actual cost or fair market value of an item or items, whichever is greater. If the
 190 fair market value cannot be determined, the actual amount paid for the item or items shall be given
 191 consideration.

192 "Widely attended event" means an event at which at least 25 persons have been invited to attend or
 193 there is a reasonable expectation that at least 25 persons will attend the event and the event is open to
 194 individuals (i) who share a common interest, (ii) who are members of a public, civic, charitable, or
 195 professional organization, (iii) who are from a particular industry or profession, or (iv) who represent
 196 persons interested in a particular issue.

197 **§ 2.2-426. Lobbyist reporting; penalty.**

198 A. Each lobbyist shall file with the Council a separate ~~semiannual~~ *annual* report of expenditures,
 199 including gifts, for each principal for whom he lobbies by ~~December 15 for the preceding six-month~~
 200 ~~period complete through the last day of October and June 15 July 1~~ for the preceding six-month
 201 12-month period complete through the last day of April.

202 B. Each principal who expends more than \$500 to employ or compensate multiple lobbyists shall be
 203 responsible for filing a consolidated lobbyist report pursuant to this section in any case in which the
 204 lobbyists are each exempt under the provisions of subdivision 7 or 8 of § 2.2-420 from the reporting
 205 requirements of this section.

206 C. (Effective January 1, 2016, through July 1, 2016) The report shall be on a form provided by the
 207 Council, which shall be substantially similar to the following and shall be accompanied by instructions
 208 provided by the Council.

209 C. (Effective July 1, 2016) The report shall be on a form provided by the Council, which shall be
 210 substantially similar to the following and shall be accompanied by instructions provided by the Council.
 211 All reports shall be submitted electronically and in accordance with the standards approved by the
 212 Council pursuant to the provisions of § 30-356.

213 LOBBYIST'S DISCLOSURE STATEMENT

214 PART I:

215 (1) PRINCIPAL: _____

216 In Part I, item 2a, provide the name of the individual
 217 authorizing your employment as a lobbyist. The lobbyist filing
 218 this statement MAY NOT list his name in item 2a.

219 (2a) Name: _____

220 (2b) Permanent Business Address: _____

221 (2c) Business Telephone: _____

222 (3) Provide a list of executive and legislative actions (with as
 223 much specificity as possible) for which you lobbied and a
 224 description of activities conducted.

225 _____

226 _____

227 _____

228 (4) INCORPORATED FILINGS: If you are filing an incorporated
 229 disclosure statement, please complete the following:

230 Individual filing financial information: _____

231 Individuals to be included in the filing: _____

232 _____

233 (5) Please indicate which schedules will be attached to your
 234 disclosure statement:

235 [] Schedule A: Entertainment Expenses

236 [] Schedule B: Gifts

237 [] Schedule C: Other Expenses

238 (6) EXPENDITURE TOTALS:

239 a) ENTERTAINMENT \$ _____

240 b) GIFTS \$ _____

241 c) COMMUNICATIONS \$ _____

242 d) PERSONAL LIVING AND TRAVEL EXPENSES \$ _____
 243 e) COMPENSATION OF LOBBYISTS \$ _____
 244 f) HONORARIA \$ _____
 245 g) OTHER \$ _____
 246 TOTAL \$ _____

247 PART II:

248 (1a) NAME OF LOBBYIST: _____
 249 (1b) Permanent Business Address: _____
 250 (1c) Business Telephone: _____
 251 (2) As a lobbyist, you are (check one)
 252 [] EMPLOYED (on the payroll of the principal)
 253 [] RETAINED (not on the payroll of the principal, however
 254 compensated)
 255 [] NOT COMPENSATED (not compensated; expenses may be reimbursed)
 256 (3) List all lobbyists other than yourself who registered to
 257 represent your principal.
 258 _____
 259 _____
 260 _____
 261 (4) If you selected "EMPLOYED" as your answer to Part II, item 2,
 262 provide your job title.
 263 _____

264 PLEASE NOTE: Some lobbyists are not individually compensated for
 265 lobbying activities. This may occur when several members of a firm
 266 represent a single principal. The principal, in turn, makes a single
 267 payment to the firm. If this describes your situation, do not answer
 268 Part II, items 5a and 5b. Instead, complete Part III, items 1 and 2.

269 (5a) What was the DOLLAR AMOUNT OF YOUR COMPENSATION as a lobbyist?
 270 (If you have job responsibilities other than those involving
 271 lobbying, you may have to prorate to determine the part of your
 272 salary attributable to your lobbying activities.) Transfer your
 273 answer to this item to Part I, item 6e.

274 (5b) Explain how you arrived at your answer to Part II, item 5a.
 275 _____
 276 _____
 277 _____

278 PART III:

279 PLEASE NOTE: If you answered Part II, items 5a and 5b, you WILL NOT
 280 complete this section.

281 (1) List all members of your firm, organization, association,
 282 corporation, or other entity who furnished lobbying services to
 283 your principal.
 284 _____
 285 _____
 286 _____

287 (2) Indicate the total amount paid to your firm, organization,
 288 association, corporation, or other entity for services rendered.
 289 Transfer your answer to this item to Part I, item 6e. _____

290 SCHEDULE A

291 ENTERTAINMENT EXPENSES

292 PLEASE NOTE: Any single entertainment event included in the expense
 293 totals of the principal, with a value greater than ~~\$50~~ \$100, should
 294 be itemized below. Transfer any totals from this schedule to Part I,
 295 item 6a. (Please duplicate as needed.)

296 Date and Location of Event:
 297 _____

298
 299 Description of Event (including whether or not it meets the criteria
 300 of a widely attended event):
 301
 302
 303 Total Number of Persons Attending:
 304
 305 Names of Legislative and Executive Officials or Members of Their
 306 Immediate Families Attending: (List names only if the average value
 307 for each person attending the event was greater than ~~\$50~~ \$100. Do not
 308 list the name of any legislative or executive official, or member
 309 of his immediate family, if the legislative or executive official
 310 reimbursed the principal for, or otherwise paid for, his attendance,
 311 or the attendance of a member of his immediate family, at the event.)
 312
 313
 314
 315
 316 Food \$ _____
 317 Beverages \$ _____
 318 Transportation of Legislative and Executive Officials
 319 or Members of Their Immediate Families \$ _____
 320 Lodging of Legislative and Executive Officials or
 321 Members of Their Immediate Families \$ _____
 322 Performers, Speakers, Etc. \$ _____
 323 Displays \$ _____
 324 Rentals \$ _____
 325 Service Personnel \$ _____
 326 Miscellaneous \$ _____
 327 TOTAL \$ _____

SCHEDULE B

GIFTS

330 PLEASE NOTE: Any single gift reported in the expense totals of the
 331 principal, with a value greater than ~~\$50~~ \$100, should be itemized
 332 below. (Report ~~meals~~, entertainment and travel under Schedule A.)
 333 Transfer any totals from this schedule to Part I, item 6b. (Please
 334 duplicate as needed.)

		Name of each legislative or executive official or member of his immediate family who is a recipient of a gift:	Cost of individual gift:
335			
336			
337			
338			
339			
340	Date	Description	
341	of gift:	of gift:	
342	_____	_____	\$ _____
343	_____	_____	\$ _____
344	_____	_____	\$ _____
345	_____	_____	\$ _____
346	TOTAL COST TO PRINCIPAL		\$ _____

SCHEDULE C

OTHER EXPENSES

349 PLEASE NOTE: This section is provided for any lobbying-related
 350 expenses not covered in Part I, items 6a - 6f. An example of an
 351 expenditure to be listed on schedule C would be the rental of a
 352 bill box during the General Assembly session. Transfer the total
 353 from this schedule to Part I, item 6g. (Please duplicate as needed.)
 354 DATE OF EXPENSE DESCRIPTION OF EXPENSE AMOUNT

355	_____	_____	\$	_____
356	_____	_____	\$	_____
357	_____	_____	\$	_____
358	_____	_____	\$	_____
359	_____	_____	\$	_____
360	_____	_____	\$	_____
361	_____	_____	\$	_____
362	_____	_____	\$	_____
363	_____	_____	\$	_____
364	TOTAL "OTHER" EXPENSES _____		\$	_____

365 PART IV: STATEMENTS

366 The following items are mandatory and if they are not properly
 367 completed, the entire filing will be rejected and returned to
 368 the lobbyist:

- 369 (1) All signatures on the statement must be ORIGINAL in the format
 370 specified in the instructions provided by the Council that
 371 accompany this form. No stamps, or other reproductions of the
 372 individual's signature will be accepted.
 373 (2) An individual MAY NOT sign the disclosure statement as lobbyist
 374 and principal officer.

375 STATEMENT OF LOBBYIST

376 I, the undersigned registered lobbyist, do state that the information
 377 furnished on this disclosure statement and on all accompanying
 378 attachments required to be made thereto is, to the best of my
 379 knowledge and belief, complete and accurate.

380 _____
 381 Signature of lobbyist

382 _____
 383 _____
 384 Date

385 STATEMENT OF PRINCIPAL

386 I, the undersigned principal (or an authorized official thereof), do
 387 state that the information furnished on this disclosure statement
 388 and on all accompanying attachments required to be made thereto is,
 389 to the best of my knowledge and belief, complete and accurate.

390 _____
 391 Signature of principal

392 _____
 393 _____
 394 Date

395 D. A person who knowingly and intentionally makes a false statement of a material fact on the
 396 disclosure statement is guilty of a Class 5 felony.

397 E. *The name of a legislative or executive official, or a member of his immediate family, attending a*
 398 *reportable entertainment event shall not be disclosed by the principal if that legislative or executive*
 399 *official reimburses the principal for, or otherwise pays for, his attendance, or the attendance of a*
 400 *member of his immediate family, at the entertainment event. Reimbursement shall be calculated using*
 401 *the average value for each person attending the event.*

402 F. Each lobbyist shall send to each legislative and executive official who is required to be identified
 403 by name on Schedule A or B of the Lobbyist's Disclosure Form a copy of Schedule A or B or a
 404 summary of the information pertaining to that official. Copies or summaries shall be provided to the
 405 official by ~~November 21~~ *December 15* for the preceding ~~six-month~~ *12-month* period complete through
 406 ~~the last day of October and by May 21 for the preceding six-month period complete through the last day~~
 407 ~~of April~~ *November 30.*

408 § 2.2-3101. Definitions.

409 As used in this chapter, unless the context requires a different meaning:

410 "Advisory agency" means any board, commission, committee or post which does not exercise any
 411 sovereign power or duty, but is appointed by a governmental agency or officer or is created by law for
 412 the purpose of making studies or recommendations, or advising or consulting with a governmental

413 agency.

414 "Affiliated business entity relationship" means a relationship, other than a parent-subsidary
415 relationship, that exists when (i) one business entity has a controlling ownership interest in the other
416 business entity, (ii) a controlling owner in one entity is also a controlling owner in the other entity, or
417 (iii) there is shared management or control between the business entities. Factors that may be considered
418 in determining the existence of an affiliated business entity relationship include that the same person or
419 substantially the same person owns or manages the two entities, there are common or commingled funds
420 or assets, the business entities share the use of the same offices or employees, or otherwise share
421 activities, resources or personnel on a regular basis, or there is otherwise a close working relationship
422 between the entities.

423 "Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise,
424 association, trust or foundation, or any other individual or entity carrying on a business or profession,
425 whether or not for profit.

426 "Candidate" means a person who seeks or campaigns for an office of the Commonwealth or one of
427 its governmental units in a general, primary, or special election and who is qualified to have his name
428 placed on the ballot for the office. The candidate shall become subject to the provisions of this chapter
429 upon the filing of a statement of qualification pursuant to § 24.2-501. The State Board of Elections or
430 general registrar shall notify each such candidate of the provisions of this chapter. Notification made by
431 the general registrar shall consist of information developed by the State Board of Elections.

432 "Contract" means any agreement to which a governmental agency is a party, or any agreement on
433 behalf of a governmental agency that involves the payment of money appropriated by the General
434 Assembly or a political subdivision, whether or not such agreement is executed in the name of the
435 Commonwealth, or some political subdivision thereof. "Contract" includes a subcontract only when the
436 contract of which it is a part is with the officer's or employee's own governmental agency.

437 "Council" means the Virginia Conflict of Interest and Ethics Advisory Council established in
438 § 30-355.

439 "Employee" means all persons employed by a governmental or advisory agency, unless otherwise
440 limited by the context of its use.

441 "Financial institution" means any bank, trust company, savings institution, industrial loan association,
442 consumer finance company, credit union, broker-dealer as defined in subsection A of § 13.1-501, or
443 investment company or advisor registered under the federal Investment Advisors Act or Investment
444 Company Act of 1940.

445 "Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item
446 having monetary value. It includes services as well as gifts of transportation, local travel, *and* lodgings
447 *and meals*, whether provided in-kind, *or* by purchase of a ticket, payment in advance, or reimbursement
448 after the expense has been incurred. "Gift" does not include (i) any offer of a ticket, coupon, or other
449 admission or pass unless the ticket, coupon, admission, or pass is used; (ii) honorary degrees; (iii) any
450 athletic, merit, or need-based scholarship or any other financial aid awarded by a public or private
451 school, institution of higher education, or other educational program pursuant to such school, institution,
452 or program's financial aid standards and procedures applicable to the general public; (iv) a campaign
453 contribution properly received and reported pursuant to Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2;
454 (v) any gift related to the private profession or occupation of an officer or employee or of a member of
455 his immediate family; (vi) ~~any food or beverages consumed while attending beverage associated with an~~
456 ~~event at which that is accepted or received by the filer is performing official duties related to his public~~
457 ~~service while in attendance at the event;~~ (vii) ~~food and beverages received at or~~ registration or
458 attendance fees waived for any event at which the filer is a featured speaker, presenter, or lecturer; (viii)
459 unsolicited awards of appreciation or recognition in the form of a plaque, trophy, wall memento, or
460 similar item that is given in recognition of public, civic, charitable, or professional service; (ix) a devise
461 or inheritance; (x) travel disclosed pursuant to the Campaign Finance Disclosure Act (§ 24.2-945 et
462 seq.); (xi) travel paid for or provided by the government of the United States, any of its territories, or
463 any state or any political subdivision of such state; (xii) travel provided to facilitate attendance by a
464 legislator at a regular or special session of the General Assembly, a meeting of a legislative committee
465 or commission, or a national conference where attendance is approved by the House or Senate
466 Committee on Rules; (xiii) travel related to an official meeting of the Commonwealth, its political
467 subdivisions, or any board, commission, authority, or other entity, or any charitable organization
468 established pursuant to § 501(c)(3) of the Internal Revenue Code affiliated with such entity, to which
469 such person has been appointed or elected or is a member by virtue of his office or employment; ~~or~~
470 (xiv) gifts from relatives or personal friends; (xv) *any gift, including travel, from a nonpartisan state,*
471 *regional, national, or international legislative organization, or an affiliated organization of such*
472 *organization, of which a legislator or an officer or employee of the legislative branch is a member by*
473 *virtue of his office or employment;* (xvi) *any gift, including travel, from a charitable organization*
474 *established pursuant to § 501(c)(3) of the Internal Revenue Code to a legislator or an officer or*

employee of the legislative branch if such organization has been approved by the Joint Rules Committee of the General Assembly to make gifts; or (xvii) gifts with a value of less than \$20. For the purpose of this definition, "relative" means the donee's spouse, child, aunt, niece, nephew, or first cousin; a person to whom the donee is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother, sister, step-parent, step-grandparent, step-grandchild, step-brother, or step-sister; or the donee's brother's or sister's spouse. For the purpose of this definition, "personal friend" does not include any person that the filer knows or has reason to know is (a) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4 of Title 2.2; (b) a lobbyist's principal as defined in § 2.2-419; (c) for an officer or employee of a local governmental or advisory agency, a person, organization, or business who is a party to or is seeking to become a party to a contract with the local agency of which he is an officer or an employee; or (d) for an officer or employee of a state governmental or advisory agency, a person, organization, or business who is a party to or is seeking to become a party to a contract with the Commonwealth. For purposes of this definition, "person, organization, or business" includes individuals who are officers, directors, or owners of or who have a controlling ownership interest in such organization or business.

"Governmental agency" means each component part of the legislative, executive or judicial branches of state and local government, including each office, department, authority, post, commission, committee, and each institution or board created by law to exercise some regulatory or sovereign power or duty as distinguished from purely advisory powers or duties. Corporations organized or controlled by the Virginia Retirement System are "governmental agencies" for purposes of this chapter.

"Immediate family" means (i) a spouse and (ii) any other person who resides in the same household as the officer or employee and who is a dependent of the officer or employee.

"Officer" means any person appointed or elected to any governmental or advisory agency including local school boards, whether or not he receives compensation or other emolument of office. Unless the context requires otherwise, "officer" includes members of the judiciary.

"Parent-subsidiary relationship" means a relationship that exists when one corporation directly or indirectly owns shares possessing more than 50 percent of the voting power of another corporation.

"Personal interest" means a financial benefit or liability accruing to an officer or employee or to a member of his immediate family. Such interest shall exist by reason of (i) ownership in a business if the ownership interest exceeds three percent of the total equity of the business; (ii) annual income that exceeds, or may reasonably be anticipated to exceed, \$5,000 from ownership in real or personal property or a business; (iii) salary, other compensation, fringe benefits, or benefits from the use of property, or any combination thereof, paid or provided by a business or governmental agency that exceeds, or may reasonably be anticipated to exceed, \$5,000 annually; (iv) ownership of real or personal property if the interest exceeds \$5,000 in value and excluding ownership in a business, income, or salary, other compensation, fringe benefits or benefits from the use of property; (v) personal liability incurred or assumed on behalf of a business if the liability exceeds three percent of the asset value of the business; or (vi) an option for ownership of a business or real or personal property if the ownership interest will consist of clause (i) or (iv) above.

"Personal interest in a contract" means a personal interest that an officer or employee has in a contract with a governmental agency, whether due to his being a party to the contract or due to a personal interest in a business that is a party to the contract.

"Personal interest in a transaction" means a personal interest of an officer or employee in any matter considered by his agency. Such personal interest exists when an officer or employee or a member of his immediate family has a personal interest in property or a business or governmental agency, or represents or provides services to any individual or business and such property, business or represented or served individual or business (i) is the subject of the transaction or (ii) may realize a reasonably foreseeable direct or indirect benefit or detriment as a result of the action of the agency considering the transaction. Notwithstanding the above, such personal interest in a transaction shall not be deemed to exist where (a) an elected member of a local governing body serves without remuneration as a member of the board of trustees of a not-for-profit entity and such elected member or member of his immediate family has no personal interest related to the not-for-profit entity or (b) an officer, employee, or elected member of a local governing body is appointed by such local governing body to serve on a governmental agency, or an officer, employee, or elected member of a separate local governmental agency formed by a local governing body is appointed to serve on a governmental agency, and the personal interest in the transaction of the governmental agency is the result of the salary, other compensation, fringe benefits, or benefits provided by the local governing body or the separate governmental agency to the officer, employee, elected member, or member of his immediate family.

"State and local government officers and employees" shall not include members of the General Assembly.

"State filer" means those officers and employees required to file a disclosure statement of their

536 personal interests pursuant to subsection A or B of § 2.2-3114.

537 "Transaction" means any matter considered by any governmental or advisory agency, whether in a
538 committee, subcommittee, or other entity of that agency or before the agency itself, on which official
539 action is taken or contemplated.

540 **§ 2.2-3103.1. Certain gifts prohibited.**

541 A. For purposes of this section:

542 "Person, organization, or business" includes individuals who are officers, directors, or owners of or
543 who have a controlling ownership interest in such organization or business.

544 "Widely attended event" means an event at which at least 25 persons have been invited to attend or
545 there is a reasonable expectation that at least 25 persons will attend the event and the event is open to
546 individuals (i) who share a common interest, (ii) who are members of a public, civic, charitable, or
547 professional organization, (iii) who are from a particular industry or profession, or (iv) who represent
548 persons interested in a particular issue.

549 B. No officer or employee of a local governmental or advisory agency or candidate required to file
550 the disclosure form prescribed in § 2.2-3117 or a member of his immediate family shall solicit, accept,
551 or receive any single gift with a value in excess of \$100 or any combination of gifts with an aggregate
552 value in excess of \$100 within any calendar year for himself or a member of his immediate family from
553 any person that he or a member of his immediate family knows or has reason to know is (i) a lobbyist
554 registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4; (ii) a lobbyist's principal as defined in
555 § 2.2-419; or (iii) a person, organization, or business who is or is seeking to become a party to a
556 contract with the local agency of which he is an officer or an employee. Gifts with a value of less than
557 \$20 are not subject to aggregation for purposes of this prohibition.

558 C. No officer or employee of a state governmental or advisory agency or candidate required to file
559 the disclosure form prescribed in § 2.2-3117 or a member of his immediate family shall solicit, accept,
560 or receive any single gift with a value in excess of \$100 or any combination of gifts with an aggregate
561 value in excess of \$100 within any calendar year for himself or a member of his immediate family from
562 any person that he or a member of his immediate family knows or has reason to know is (i) a lobbyist
563 registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4; (ii) a lobbyist's principal as defined in
564 § 2.2-419; or (iii) a person, organization, or business who is or is seeking to become a party to a
565 contract with the state governmental or advisory agency of which he is an officer or an employee or
566 over which he has the authority to direct such agency's activities. Gifts with a value of less than \$20 are
567 not subject to aggregation for purposes of this prohibition.

568 D. Notwithstanding the provisions of subsections B and C, such officer, employee, or candidate or a
569 member of his immediate family may accept or receive a gift of ~~food and beverages~~, entertainment, or
570 the cost of admission with a value in excess of \$100 when such gift is accepted or received while in
571 attendance at a widely attended event and is associated with the event. Such gifts shall be reported on
572 the disclosure form prescribed in § 2.2-3117.

573 E. Notwithstanding the provisions of subsections B and C, such officer or employee or a member of
574 his immediate family may accept or receive a gift from a foreign dignitary with a value exceeding \$100
575 for which the fair market value or a gift of greater or equal value has not been provided or exchanged.
576 Such gift shall be accepted on behalf of the Commonwealth or a locality and archived in accordance
577 with guidelines established by the Library of Virginia. Such gift shall be disclosed as having been
578 accepted on behalf of the Commonwealth or a locality, but the value of such gift shall not be required
579 to be disclosed.

580 F. Notwithstanding the provisions of subsections B and C, such officer, employee, or candidate or a
581 member of his immediate family may accept or receive certain gifts with a value in excess of \$100 from
582 a person listed in subsection B or C if such gift was provided to such officer, employee, or candidate or
583 a member of his immediate family on the basis of a personal friendship. Notwithstanding any other
584 provision of law, a person listed in subsection B or C may be a personal friend of such officer,
585 employee, or candidate or his immediate family for purposes of this subsection. In determining whether
586 a person listed in subsection B or C is a personal friend, the following factors shall be considered: (i)
587 the circumstances under which the gift was offered; (ii) the history of the relationship between the
588 person and the donor, including the nature and length of the friendship and any previous exchange of
589 gifts between them; (iii) to the extent known to the person, whether the donor personally paid for the
590 gift or sought a tax deduction or business reimbursement for the gift; and (iv) whether the donor has
591 given the same or similar gifts to other persons required to file the disclosure form prescribed in
592 § 2.2-3117 or 30-111.

593 G. Notwithstanding the provisions of subsections B and C, such officer, employee, or candidate or a
594 member of his immediate family may accept or receive gifts of travel, including travel-related
595 transportation, lodging, hospitality, ~~food or beverages~~, or other thing of value, with a value in excess of
596 \$100 that is paid for or provided by a person listed in subsection B or C when the officer, employee, or
597 candidate has submitted a request for approval of such travel to the Council and has received the

approval of the Council pursuant to § 30-356.1. Such gifts shall be reported on the disclosure form prescribed in § 2.2-3117.

H. During the pendency of a civil action in any state or federal court to which the Commonwealth is a party, the Governor or the Attorney General or any employee of the Governor or the Attorney General who is subject to the provisions of this chapter shall not solicit, accept, or receive any gift from any person that he knows or has reason to know is a person, organization, or business that is a party to such civil action. A person, organization, or business that is a party to such civil action shall not knowingly give any gift to the Governor or the Attorney General or any of their employees who are subject to the provisions of this chapter.

I. The \$100 limitation imposed in accordance with this section shall be adjusted by the Council every five years, as of January 1 of that year, in an amount equal to the annual increases for that five-year period in the United States Average Consumer Price Index for all items, all urban consumers (CPI-U), as published by the Bureau of Labor Statistics of the U.S. Department of Labor, rounded to the nearest whole dollar.

§ 2.2-3106. Prohibited contracts by officers and employees of state government and Eastern Virginia Medical School.

A. No officer or employee of any governmental agency of state government or Eastern Virginia Medical School shall have a personal interest in a contract with the governmental agency of which he is an officer or employee, other than his own contract of employment.

B. No officer or employee of any governmental agency of state government or Eastern Virginia Medical School shall have a personal interest in a contract with any other governmental agency of state government unless such contract is (i) awarded as a result of competitive sealed bidding or competitive negotiation as set forth in § 2.2-4302.1 or 2.2-4302.2 or (ii) is awarded after a finding, in writing, by the administrative head of the governmental agency that competitive bidding or negotiation is contrary to the best interest of the public.

C. The provisions of this section shall not apply to:

1. An employee's personal interest in additional contracts of employment with his own governmental agency that accrue to him because of a member of his immediate family, provided the employee does not exercise any control over the employment or the employment activities of the member of his immediate family and the employee is not in a position to influence those activities;

2. The personal interest of an officer or employee of a state institution of higher education or the Eastern Virginia Medical School in additional contracts of employment with his own governmental agency that accrue to him because of a member of his immediate family, provided (i) the officer or employee and the immediate family member are engaged in teaching, research or administrative support positions at the educational institution or the Eastern Virginia Medical School, (ii) the governing board of the educational institution finds that it is in the best interests of the institution or the Eastern Virginia Medical School and the Commonwealth for such dual employment to exist, and (iii) after such finding, the governing board of the educational institution or the Eastern Virginia Medical School ensures that the officer or employee, or the immediate family member, does not have sole authority to supervise, evaluate or make personnel decisions regarding the other;

3. An officer's or employee's personal interest in a contract of employment with any other governmental agency of state government;

4. Contracts for the sale by a governmental agency or the Eastern Virginia Medical School of services or goods at uniform prices available to the general public;

5. An employee's personal interest in a contract between a public institution of higher education in Virginia or the Eastern Virginia Medical School and a publisher or wholesaler of textbooks or other educational materials for students, which accrues to him solely because he has authored or otherwise created such textbooks or materials;

6. An employee's personal interest in a contract with his or her employing public institution of higher education to acquire the collections or scholarly works owned by the employee, including manuscripts, musical scores, poetry, paintings, books or other materials, writings, or papers of an academic, research, or cultural value to the institution, provided the president of the institution approves the acquisition of such collections or scholarly works as being in the best interests of the institution's public mission of service, research, or education;

7. Subject to approval by the board of visitors, an employee's personal interest in a contract between the Eastern Virginia Medical School or a public institution of higher education in Virginia that operates a school of medicine or dentistry and a not-for-profit nonstock corporation that operates a clinical practice within such public institution of higher education or the Eastern Virginia Medical School and of which such employee is a member or employee;

8. Subject to approval by the relevant board of visitors, an employee's personal interest in a contract for research and development or commercialization of intellectual property between a public institution

659 of higher education in Virginia or the Eastern Virginia Medical School and a business in which the
660 employee has a personal interest, if (i) the employee's personal interest has been disclosed to and
661 approved by such public institution of higher education or the Eastern Virginia Medical School prior to
662 the time at which the contract is entered into; (ii) the employee promptly files a disclosure statement
663 pursuant to § 2.2-3117 and thereafter files such statement annually on or before ~~December~~ January 15;
664 (iii) the institution has established a formal policy regarding such contracts, approved by the State
665 Council of Higher Education or, in the case of the Eastern Virginia Medical School, a formal policy
666 regarding such contracts in conformity with any applicable federal regulations that has been approved by
667 its board of visitors; and (iv) no later than December 31 of each year, the institution or the Eastern
668 Virginia Medical School files an annual report with the Secretary of the Commonwealth disclosing each
669 open contract entered into subject to this provision, the names of the parties to each contract, the date
670 each contract was executed and its term, the subject of each contractual arrangement, the nature of the
671 conflict of interest, the institution's or the Eastern Virginia Medical School's employee responsible for
672 administering each contract, the details of the institution's or the Eastern Virginia Medical School's
673 commitment or investment of resources or finances for each contract, and any other information
674 requested by the Secretary of the Commonwealth; or

675 9. Subject to approval by the relevant board of visitors, an employee's personal interest in a contract
676 between a public institution of higher education in Virginia or the Eastern Virginia Medical School and
677 a business in which the employee has a personal interest, if (i) the personal interest has been disclosed
678 to the institution or the Eastern Virginia Medical School prior to the time the contract is entered into;
679 (ii) the employee files a disclosure statement pursuant to § 2.2-3117 and thereafter annually on or before
680 ~~December~~ January 15; (iii) the employee does not participate in the institution's or the Eastern Virginia
681 Medical School's decision to contract; (iv) the president of the institution or the Eastern Virginia
682 Medical School finds and certifies in writing that the contract is for goods and services needed for
683 quality patient care, including related medical education or research, by the institution's medical center
684 or the Eastern Virginia Medical School, its affiliated teaching hospitals and other organizations necessary
685 for the fulfillment of its mission, including the acquisition of drugs, therapies and medical technologies;
686 and (v) no later than December 31 of each year, the institution or the Eastern Virginia Medical School
687 files an annual report with the Secretary of the Commonwealth disclosing each open contract entered
688 subject to this provision, the names of the parties to each contract, the date each contract was executed
689 and its term, the subject of each contractual arrangement, the nature of the conflict of interest, the
690 institution's or the Eastern Virginia Medical School's employee responsible for administering each
691 contract, the details of the institution's or the Eastern Virginia Medical School's commitment or
692 investment of resources or finances for each contract, and any other information requested by the
693 Secretary of the Commonwealth.

694 D. Notwithstanding the provisions of subdivisions C 8 and C 9, if the research and development or
695 commercialization of intellectual property or the employee's personal interest in a contract with a
696 business is subject to policies and regulations governing conflicts of interest promulgated by any agency
697 of the United States government, including the adoption of policies requiring the disclosure and
698 management of such conflicts of interests, the policies established by the Eastern Virginia Medical
699 School pursuant to such federal requirements shall constitute compliance with subdivisions C 8 and C 9,
700 upon notification by the Eastern Virginia Medical School to the Secretary of the Commonwealth by
701 January 31 of each year of evidence of their compliance with such federal policies and regulations.

702 E. The board of visitors may delegate the authority granted under subdivision C 8 to the president of
703 the institution. If the board elects to delegate such authority, the board shall include this delegation of
704 authority in the formal policy required by clause (iii) of subdivision C 8. In those instances where the
705 board has delegated such authority, on or before December 1 of each year, the president of the relevant
706 institution shall file a report with the relevant board of visitors disclosing each open contract entered
707 into subject to this provision, the names of the parties to each contract, the date each contract was
708 executed and its term, the subject of each contractual arrangement, the nature of the conflict of interest,
709 the institution's or the Eastern Virginia Medical School's employee responsible for administering each
710 contract, the details of the institution's or the Eastern Virginia Medical School's commitment or
711 investment of resources or finances for each contract, the details of how revenues are to be dispersed,
712 and any other information requested by the board of visitors.

713 **§ 2.2-3109.1. Prohibited contracts; additional exclusions for contracts by officers and employees**
714 **of hospital authorities.**

715 A. As used in this section, "hospital authority" means a hospital authority established pursuant to
716 Chapter 53 (§ 15.2-5300 et seq.) of Title 15.2 or an Act of Assembly.

717 B. The provisions of § 2.2-3109 shall not apply to:

718 1. The personal interest of an officer or employee of a hospital authority in additional contracts of
719 employment with his own governmental agency that accrue to him because of a member of his
720 immediate family, provided (i) the officer or employee and the immediate family member are licensed

members of the medical profession or hold administrative support positions at the hospital authority, (ii) the governing board of the hospital authority finds that it is in the best interests of the hospital authority and the county, city, or town for such dual employment to exist, and (iii) after such finding, the governing board of the hospital authority ensures that neither the officer or employee, nor the immediate family member, has sole authority to supervise, evaluate, or make personnel decisions regarding the other;

2. Subject to approval by the governing board of the hospital authority, an officer or employee's personal interest in a contract between his hospital authority and a professional entity that operates a clinical practice at any medical facilities of such other hospital authority and of which such officer or employee is a member or employee;

3. Subject to approval by the relevant governing body, an officer or employee's personal interest in a contract for research and development or commercialization of intellectual property between the hospital authority and a business in which the employee has a personal interest, provided (i) the officer or employee's personal interest has been disclosed to and approved by the hospital authority prior to the time at which the contract is entered into; (ii) the officer or employee promptly files a disclosure statement pursuant to § 2.2-3117 and thereafter files such statement annually on or before ~~December~~ *January* 15; (iii) the local hospital authority has established a formal policy regarding such contracts in conformity with any applicable federal regulations that has been approved by its governing body; and (iv) no later than December 31 of each year, the local hospital authority files an annual report with the Virginia Conflict of Interest and Ethics Advisory Council disclosing each open contract entered into subject to this provision, the names of the parties to each contract, the date each contract was executed and its term, the subject of each contractual arrangement, the nature of the conflict of interest, the hospital authority's employee responsible for administering each contract, the details of such hospital authority's commitment or investment of resources or finances for each contract, and any other information requested by the Virginia Conflict of Interest and Ethics Advisory Council; or

4. Subject to approval by the relevant governing body, an officer or employee's personal interest in a contract between the hospital authority and a business in which the officer or employee has a personal interest, provided (i) the personal interest has been disclosed to the hospital authority prior to the time the contract is entered into; (ii) the officer or employee files a disclosure statement pursuant to § 2.2-3117 and thereafter annually on or before ~~December~~ *January* 15; (iii) the officer or employee does not participate in the hospital authority's decision to contract; (iv) the president or chief executive officer of the hospital authority finds and certifies in writing that the contract is for goods and services needed for quality patient care, including related medical education or research, by any of the hospital authority's medical facilities or any of its affiliated organizations, or is otherwise necessary for the fulfillment of its mission, including but not limited to the acquisition of drugs, therapies, and medical technologies; and (v) no later than December 31 of each year, the hospital authority files an annual report with the Virginia Conflict of Interest and Ethics Advisory Council disclosing each open contract entered into subject to this provision, the names of the parties to each contract, the date each contract was executed and its term, the subject of each contractual arrangement, the nature of the conflict of interest, the hospital authority's employee responsible for administering each contract, the details of the hospital authority's commitment or investment of resources or finances for each contract, and any other information requested by the Virginia Conflict of Interest and Ethics Advisory Council.

C. Notwithstanding the provisions of subdivisions B 3 and B 4, if the research and development or commercialization of intellectual property or the officer or employee's personal interest in a contract with a business is subject to policies and regulations governing conflicts of interest promulgated by any agency of the United States government, including the adoption of policies requiring the disclosure and management of such conflicts of interest, the policies established by the hospital authority pursuant to such federal requirements shall constitute compliance with subdivisions B 3 and B 4, upon notification by the hospital authority to the Virginia Conflict of Interest and Ethics Advisory Council by January 31 of each year of evidence of its compliance with such federal policies and regulations.

D. The governing body may delegate the authority granted under subdivision B 2 to the president or chief executive officer of hospital authority. If the board elects to delegate such authority, the board shall include this delegation of authority in the formal policy required by clause (iii) of subdivision B 3. In those instances where the board has delegated such authority, on or before December 1 of each year, the president or chief executive officer of the hospital authority shall file a report with the relevant governing body disclosing each open contract entered into subject to this provision, the names of the parties to each contract, the date each contract was executed and its term, the subject of each contractual arrangement, the nature of the conflict of interest, the hospital authority's employee responsible for administering each contract, the details of the hospital authority's commitment or investment of resources or finances for each contract, the details of how revenues are to be dispersed, and any other information requested by the governing body.

§ 2.2-3114. Disclosure by state officers and employees.

A. The Governor, Lieutenant Governor, Attorney General, Justices of the Supreme Court, judges of the Court of Appeals, judges of any circuit court, judges and substitute judges of any district court, members of the State Corporation Commission, members of the Virginia Workers' Compensation Commission, members of the Commonwealth Transportation Board, members of the Board of Trustees of the Virginia Retirement System, members of the Virginia Alcoholic Beverage Control Board, and members of the Virginia Lottery Board and other persons occupying such offices or positions of trust or employment in state government, including members of the governing bodies of authorities, as may be designated by the Governor, or officers or employees of the legislative branch, as may be designated by the Joint Rules Committee of the General Assembly, shall file with the Council, as a condition to assuming office or employment, a disclosure statement of their personal interests and such other information as is specified on the form set forth in § 2.2-3117 and thereafter shall file such a statement ~~semiannually by December~~ *annually on or before January 15 for the preceding six-month period complete through the last day of October and by June 15 for the preceding six-month period complete through the last day of April.* When the filing deadline falls on a Saturday, Sunday, or legal holiday, the disclosure statement shall be filed on the next day that is not a Saturday, Sunday, or legal holiday.

B. Nonsalaried citizen members of all policy and supervisory boards, commissions and councils in the executive branch of state government, other than the Commonwealth Transportation Board, members of the Board of Trustees of the Virginia Retirement System, and the Virginia Lottery Board, shall file with the Council, as a condition to assuming office, a disclosure form of their personal interests and such other information as is specified on the form set forth in § 2.2-3118 and thereafter shall file such form ~~annually on or before December~~ *January 15.* When the filing deadline falls on a Saturday, Sunday, or legal holiday, the disclosure statement shall be filed on the next day that is not a Saturday, Sunday, or legal holiday. Nonsalaried citizen members of other boards, commissions and councils, including advisory boards and authorities, may be required to file a disclosure form if so designated by the Governor, in which case the form shall be that set forth in § 2.2-3118.

C. (Effective January 1, 2016, until July 1, 2016) The disclosure forms required by subsections A and B shall be made available by the Council at least 30 days prior to the filing deadline. Disclosure forms shall be filed and maintained as public records for five years in the office of the Council. Such forms shall be made public no later than six weeks after filing.

C. (Effective July 1, 2016) The disclosure forms required by subsections A and B shall be made available by the Council at least 30 days prior to the filing deadline. Disclosure forms shall be filed electronically with the Council in accordance with the standards approved by it pursuant to § 30-356. All forms shall be maintained as public records for five years in the office of the Council. Such forms shall be made public no later than six weeks after filing.

D. Candidates for the offices of Governor, Lieutenant Governor or Attorney General shall file a disclosure statement of their personal interests as required by § 24.2-502.

E. Any officer or employee of state government who has a personal interest in any transaction before the governmental or advisory agency of which he is an officer or employee and who is disqualified from participating in that transaction pursuant to subdivision A 1 of § 2.2-3112, or otherwise elects to disqualify himself, shall forthwith make disclosure of the existence of his interest, including the full name and address of the business and the address or parcel number for the real estate if the interest involves a business or real estate, and his disclosure shall also be reflected in the public records of the agency for five years in the office of the administrative head of the officer's or employee's governmental agency or advisory agency or, if the agency has a clerk, in the clerk's office.

F. An officer or employee of state government who is required to declare his interest pursuant to subdivision A 2 of § 2.2-3112, shall declare his interest by stating (i) the transaction involved, (ii) the nature of the officer's or employee's personal interest affected by the transaction, (iii) that he is a member of a business, profession, occupation, or group the members of which are affected by the transaction, and (iv) that he is able to participate in the transaction fairly, objectively, and in the public interest. The officer or employee shall either make his declaration orally to be recorded in written minutes for his agency or file a signed written declaration with the clerk or administrative head of his governmental or advisory agency, as appropriate, who shall, in either case, retain and make available for public inspection such declaration for a period of five years from the date of recording or receipt. If reasonable time is not available to comply with the provisions of this subsection prior to participation in the transaction, the officer or employee shall prepare and file the required declaration by the end of the next business day.

G. An officer or employee of state government who is required to declare his interest pursuant to subdivision A 3 of § 2.2-3112, shall declare his interest by stating (i) the transaction involved, (ii) that a party to the transaction is a client of his firm, (iii) that he does not personally represent or provide services to the client, and (iv) that he is able to participate in the transaction fairly, objectively, and in the public interest. The officer or employee shall either make his declaration orally to be recorded in

written minutes for his agency or file a signed written declaration with the clerk or administrative head of his governmental or advisory agency, as appropriate, who shall, in either case, retain and make available for public inspection such declaration for a period of five years from the date of recording or receipt. If reasonable time is not available to comply with the provisions of this subsection prior to participation in the transaction, the officer or employee shall prepare and file the required declaration by the end of the next business day.

H. Notwithstanding any other provision of law, chairs of departments at a public institution of higher education in the Commonwealth shall not be required to file the disclosure form prescribed by § 2.2-3117 or 2.2-3118.

§ 2.2-3114.2. Report of gifts by certain officers and employees of state government.

The Governor, Lieutenant Governor, Attorney General, and each member of the Governor's Cabinet shall file, on or before May 1, a report of gifts accepted or received by him or a member of his immediate family during the period beginning on the first day of the regular session of the General Assembly complete through adjournment sine die of that session. The gift report shall be on a form prescribed by the Council and shall be filed electronically with the Council in accordance with the standards approved by it pursuant to § 30-356. For purposes of this section, "adjournment sine die" means adjournment on the last legislative day of the regular session and does not include the ensuing reconvened session.

§ 2.2-3115. Disclosure by local government officers and employees.

A. The members of every governing body and school board of each county and city and of towns with populations in excess of 3,500 shall file, as a condition to assuming office or employment, a disclosure statement of their personal interests and other information as is specified on the form set forth in § 2.2-3117 and thereafter shall file such a statement ~~semiannually by December~~ *annually on or before January 15 for the preceding six-month period complete through the last day of October and by June 15 for the preceding six-month period complete through the last day of April.*

The members of the governing body of any authority established in any county or city, or part or combination thereof, and having the power to issue bonds or expend funds in excess of \$10,000 in any fiscal year, shall file, as a condition to assuming office, a disclosure statement of their personal interests and other information as is specified on the form set forth in § 2.2-3118 and thereafter shall file such a statement ~~annually on or before December~~ *January 15, unless the governing body of the jurisdiction that appoints the members requires that the members file the form set forth in § 2.2-3117 semiannually by December 15 for the preceding six-month period complete through the last day of October and by June 15 for the preceding six-month period complete through the last day of April.*

Persons occupying such positions of trust appointed by governing bodies and persons occupying such positions of employment with governing bodies as may be designated to file by ordinance of the governing body shall file, as a condition to assuming office or employment, a disclosure statement of their personal interests and other information as is specified on the form set forth in § 2.2-3117 and thereafter shall file such a statement ~~semiannually by December~~ *annually on or before January 15 for the preceding six-month period complete through the last day of October and by June 15 for the preceding six-month period complete through the last day of April.*

Persons occupying such positions of trust appointed by school boards and persons occupying such positions of employment with school boards as may be designated to file by an adopted policy of the school board shall file, as a condition to assuming office or employment, a disclosure statement of their personal interests and other information as is specified on the form set forth in § 2.2-3117 and thereafter shall file such a statement ~~semiannually by December~~ *annually on or before January 15 for the preceding six-month period complete through the last day of October and by June 15 for the preceding six-month period complete through the last day of April.*

B. Nonsalaried citizen members of local boards, commissions and councils as may be designated by the governing body shall file, as a condition to assuming office, a disclosure form of their personal interests and such other information as is specified on the form set forth in § 2.2-3118 and thereafter shall file such form ~~annually on or before December~~ *January 15.*

C. No person shall be mandated to file any disclosure not otherwise required by this article.

D. The disclosure forms required by subsections A and B shall be made available by the Virginia Conflict of Interest and Ethics Advisory Council at least 30 days prior to the filing deadline, and the clerks of the governing body and school board shall distribute the forms to designated individuals at least 20 days prior to the filing deadline. Forms shall be filed and maintained as public records for five years in the office of the clerk of the respective governing body or school board. Forms filed by members of governing bodies of authorities shall be filed and maintained as public records for five years in the office of the clerk of the governing body of the county or city. Such forms shall be made public no later than six weeks after filing.

E. Candidates for membership in the governing body or school board of any county, city or town

905 with a population of more than 3,500 persons shall file a disclosure statement of their personal interests
906 as required by § 24.2-502.

907 F. Any officer or employee of local government who has a personal interest in any transaction before
908 the governmental or advisory agency of which he is an officer or employee and who is disqualified
909 from participating in that transaction pursuant to subdivision A 1 of § 2.2-3112 or otherwise elects to
910 disqualify himself, shall forthwith make disclosure of the existence of his interest, including the full
911 name and address of the business and the address or parcel number for the real estate if the interest
912 involves a business or real estate, and his disclosure shall be reflected in the public records of the
913 agency for five years in the office of the administrative head of the officer's or employee's governmental
914 or advisory agency.

915 G. In addition to any disclosure required by subsections A and B, in each county and city and in
916 towns with populations in excess of 3,500, members of planning commissions, boards of zoning appeals,
917 real estate assessors, and all county, city and town managers or executive officers shall make annual
918 disclosures of all their interests in real estate located in the county, city or town in which they are
919 elected, appointed, or employed. Such disclosure shall include any business in which such persons own
920 an interest, or from which income is received, if the primary purpose of the business is to own, develop
921 or derive compensation through the sale, exchange or development of real estate in the county, city or
922 town. Such disclosure shall be filed as a condition to assuming office or employment, and thereafter
923 shall be filed annually with the clerk of the governing body of such county, city, or town on or before
924 ~~December~~ January 15. Such disclosures shall be filed and maintained as public records for five years.
925 Such forms shall be made public no later than six weeks after filing. Forms for the filing of such reports
926 shall be made available by the Virginia Conflict of Interest and Ethics Advisory Council to the clerk of
927 each governing body.

928 H. An officer or employee of local government who is required to declare his interest pursuant to
929 subdivision A 2 of § 2.2-3112 shall declare his interest by stating (i) the transaction involved, (ii) the
930 nature of the officer's or employee's personal interest affected by the transaction, (iii) that he is a
931 member of a business, profession, occupation, or group the members of which are affected by the
932 transaction, and (iv) that he is able to participate in the transaction fairly, objectively, and in the public
933 interest. The officer or employee shall either make his declaration orally to be recorded in written
934 minutes for his agency or file a signed written declaration with the clerk or administrative head of his
935 governmental or advisory agency, as appropriate, who shall, in either case, retain and make available for
936 public inspection such declaration for a period of five years from the date of recording or receipt. If
937 reasonable time is not available to comply with the provisions of this subsection prior to participation in
938 the transaction, the officer or employee shall prepare and file the required declaration by the end of the
939 next business day. The officer or employee shall also orally disclose the existence of the interest during
940 each meeting of the governmental or advisory agency at which the transaction is discussed and such
941 disclosure shall be recorded in the minutes of the meeting.

942 I. An officer or employee of local government who is required to declare his interest pursuant to
943 subdivision A 3 of § 2.2-3112, shall declare his interest by stating (i) the transaction involved, (ii) that a
944 party to the transaction is a client of his firm, (iii) that he does not personally represent or provide
945 services to the client, and (iv) that he is able to participate in the transaction fairly, objectively, and in
946 the public interest. The officer or employee shall either make his declaration orally to be recorded in
947 written minutes for his agency or file a signed written declaration with the clerk or administrative head
948 of his governmental or advisory agency, as appropriate, who shall, in either case, retain and make
949 available for public inspection such declaration for a period of five years from the date of recording or
950 receipt. If reasonable time is not available to comply with the provisions of this subsection prior to
951 participation in the transaction, the officer or employee shall prepare and file the required declaration by
952 the end of the next business day.

953 **§ 2.2-3116. (Effective from January 1, 2016, until July 1, 2016) Disclosure by certain**
954 **constitutional officers.**

955 For the purposes of this chapter, holders of the constitutional offices of treasurer, sheriff, attorney for
956 the Commonwealth, clerk of the circuit court, and commissioner of the revenue of each county and city
957 shall be required to file with the Council, as a condition to assuming office, the Statement of Economic
958 Interests set forth in § 2.2-3117. These officers shall file statements ~~semiannually by December~~ annually
959 ~~on or before January 15 for the preceding six-month period complete through the last day of October~~
960 ~~and by June 15 for the preceding six-month period complete through the last day of April.~~ Candidates
961 shall file statements as required by § 24.2-502. These officers shall be subject to the prohibition on
962 certain gifts set forth in subsection B of § 2.2-3103.1.

963 **§ 2.2-3116. (Effective July 1, 2016) Disclosure by certain constitutional officers.**

964 For the purposes of this chapter, holders of the constitutional offices of treasurer, sheriff, attorney for
965 the Commonwealth, clerk of the circuit court, and commissioner of the revenue of each county and city
966 shall be required to file with the Council, as a condition to assuming office, the Statement of Economic

Interests set forth in § 2.2-3117. These officers shall file statements ~~semiannually by December annually~~ *on or before January 15 for the preceding six-month period complete through the last day of October* and ~~by June 15 for the preceding six-month period complete through the last day of April~~. Candidates shall file statements as required by § 24.2-502. Statements shall be filed electronically with the Council in accordance with the standards approved by it pursuant to § 30-356. These officers shall be subject to the prohibition on certain gifts set forth in subsection B of § 2.2-3103.1.

§ 2.2-3117. Disclosure form.

(Effective from January 1, 2016, until July 1, 2016) The disclosure form to be used for filings required by subsections A and D of § 2.2-3114 and subsections A and E of § 2.2-3115 shall be substantially similar to the following. Any person who knowingly and intentionally makes a false statement of a material fact on the Statement of Economic Interests is guilty of a Class 5 felony.

(Effective July 1, 2016) The disclosure form to be used for filings required by subsections A and D of § 2.2-3114 and subsections A and E of § 2.2-3115 shall be substantially similar to the following. Except as otherwise provided in § 2.2-3115, all completed forms shall be filed electronically with the Council in accordance with the standards approved by it pursuant to § 30-356. Any person who knowingly and intentionally makes a false statement of a material fact on the Statement of Economic Interests is guilty of a Class 5 felony.

STATEMENT OF ECONOMIC INTERESTS.

Name _____

Office or position held or sought _____

Address _____

Names of members of immediate family _____

DEFINITIONS AND EXPLANATORY MATERIAL.

"Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise, association, trust or foundation, or any other individual or entity carrying on a business or profession, whether or not for profit.

"Close financial association" means an association in which the person filing shares significant financial involvement with an individual and the filer would reasonably be expected to be aware of the individual's business activities and would have access to the necessary records either directly or through the individual. "Close financial association" does not mean an association based on (i) the receipt of retirement benefits or deferred compensation from a business by which the person filing this statement is no longer employed, or (ii) the receipt of compensation for work performed by the person filing as an independent contractor of a business that represents an entity before any state governmental agency when the person filing has had no communications with the state governmental agency.

"Contingent liability" means a liability that is not presently fixed or determined, but may become fixed or determined in the future with the occurrence of some certain event.

"Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item having monetary value. It includes services as well as gifts of transportation, ~~and lodgings and meals~~, whether provided in-kind, ~~or~~ by purchase of a ticket, payment in advance, or reimbursement after the expense has been incurred. "Gift" does not include (i) any offer of a ticket, coupon, or other admission or pass unless the ticket, coupon, admission, or pass is used; (ii) honorary degrees; (iii) any athletic, merit, or need-based scholarship or any other financial aid awarded by a public or private school, institution of higher education, or other educational program pursuant to such school, institution, or program's financial aid standards and procedures applicable to the general public; (iv) a campaign contribution properly received and reported pursuant to Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2; (v) any gift related to the private profession or occupation of an officer or employee or of a member of his immediate family; (vi) ~~any food or beverages consumed while attending beverage associated with an event at which that is accepted or received by the filer is performing official duties related to his public service while in attendance at the event;~~ (vii) ~~food and beverages received at or~~ registration or attendance fees waived for any event at which the filer is a featured speaker, presenter, or lecturer; (viii) unsolicited awards of appreciation or recognition in the form of a plaque, trophy, wall memento, or similar item that is given in recognition of public, civic, charitable, or professional service; (ix) a devise or inheritance; (x) travel disclosed pursuant to the Campaign Finance Disclosure Act (§ 24.2-945 et seq.); (xi) travel paid for or provided by the government of the United States, any of its territories, or any state or any political subdivision of such state; (xii) travel provided to facilitate attendance by a legislator at a regular or special session of the General Assembly, a meeting of a legislative committee or commission, or a national conference where attendance is approved by the House or Senate Committee on Rules; (xiii) travel related to an official meeting of the Commonwealth, its political subdivisions, or any board, commission, authority, or other entity, or any charitable organization established pursuant to § 501(c)(3) of the Internal Revenue Code affiliated with such entity, to which such person has been appointed or elected or is a member by virtue of his office or employment; ~~or~~

1028 (xiv) gifts from relatives or personal friends; (xv) *any gift, including travel, from a nonpartisan state,*
1029 *regional, national, or international legislative organization, or an affiliated organization of such*
1030 *organization, of which a legislator or an officer or employee of the legislative branch is a member by*
1031 *virtue of his office or employment; (xvi) any gift, including travel, from a charitable organization*
1032 *established pursuant to § 501(c)(3) of the Internal Revenue Code to a legislator or an officer or*
1033 *employee of the legislative branch if such organization has been approved by the Joint Rules Committee*
1034 *of the General Assembly to make gifts; or (xvii) gifts with a value of less than \$20. "Relative" means the*
1035 *donee's spouse, child, uncle, aunt, niece, nephew, or first cousin; a person to whom the donee is*
1036 *engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother, sister,*
1037 *step-parent, step-grandparent, step-grandchild, step-brother, or step-sister; or the donee's brother's or*
1038 *sister's spouse. "Personal friend" does not include any person that the filer knows or has reason to know*
1039 *is (a) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4 of Title 2.2; (b) a*
1040 *lobbyist's principal as defined in § 2.2-419; (c) for an officer or employee of a local governmental or*
1041 *advisory agency, a person, organization, or business who is a party to or is seeking to become a party to*
1042 *a contract with the local agency of which he is an officer or an employee; or (d) for an officer or*
1043 *employee of a state governmental or advisory agency, a person, organization, or business who is a party*
1044 *to or is seeking to become a party to a contract with the Commonwealth. "Person, organization, or*
1045 *business" includes individuals who are officers, directors, or owners of or who have a controlling*
1046 *ownership interest in such organization or business.*

1047 "Immediate family" means (i) a spouse and (ii) any other person who resides in the same household
1048 as the officer or employee and who is a dependent of the officer or employee.

1049 TRUST. If you or your immediate family, separately or together, are the only beneficiaries of a trust,
1050 treat the trust's assets as if you own them directly. If you or your immediate family has a proportional
1051 interest in a trust, treat that proportion of the trust's assets as if you own them directly. For example, if
1052 you and your immediate family have a one-third interest in a trust, complete your Statement as if you
1053 own one-third of each of the trust's assets. If you or a member of your immediate family created a trust
1054 and can revoke it without the beneficiaries' consent, treat its assets as if you own them directly.

1055 REPORT TO THE BEST OF INFORMATION AND BELIEF. Information required on this
1056 Statement must be provided on the basis of the best knowledge, information, and belief of the individual
1057 filing the Statement as of the date of this report unless otherwise stated.

1058 COMPLETE ITEMS 1 THROUGH 10. REFER TO SCHEDULES ONLY IF DIRECTED.

1059 You may attach additional explanatory information.

1060 1. Offices and Directorships.

1061 Are you or a member of your immediate family a paid officer or paid director of a business?

1062 EITHER check NO // OR check YES // and complete Schedule A.

1063 2. Personal Liabilities.

1064 Do you or a member of your immediate family owe more than \$5,000 to any one creditor including
1065 contingent liabilities? (Exclude debts to any government and loans secured by recorded liens on property
1066 at least equal in value to the loan.)

1067 EITHER check NO // OR check YES // and complete Schedule B.

1068 3. Securities.

1069 Do you or a member of your immediate family, directly or indirectly, separately or together, own
1070 securities valued in excess of \$5,000 invested in one business? Account for mutual funds, limited
1071 partnerships and trusts.

1072 EITHER check NO // OR check YES // and complete Schedule C.

1073 4. Payments for Talks, Meetings, and Publications.

1074 During the past ~~six~~ 12 months did you receive in your capacity as an officer or employee of your
1075 agency lodging, transportation, money, or anything else of value with a combined value exceeding \$100

1076 (i) for a single talk, meeting, or published work or (ii) for a meeting, conference, or event where your
1077 attendance at the meeting, conference, or event was designed to (a) educate you on issues relevant to
1078 your duties as an officer or employee of your agency or (b) enhance your knowledge and skills relative
1079 to your duties as an officer or employee of your agency?

1080 EITHER check NO // OR check YES // and complete Schedule D.

1081 5. Gifts.

1082 During the past ~~six~~ 12 months did a business, government, or individual other than a relative or
1083 personal friend (i) furnish you or a member of your immediate family with any gift or entertainment at
1084 a single event and the value received exceeded ~~\$50~~ \$100 or (ii) furnish you or a member of your
1085 immediate family with gifts or entertainment in any combination and the total value received exceeded
1086 ~~\$50~~ \$100, and for which you or the member of your immediate family neither paid nor rendered
1087 services in exchange? Account for entertainment events only if the average value per person attending
1088 the event exceeded ~~\$50~~ \$100. Account for all business entertainment (except if related to the private
1089 profession or occupation of you or the member of your immediate family who received such business

- entertainment) even if unrelated to your official duties.
- EITHER check NO // OR check YES // and complete Schedule E.
6. Salary and Wages.
- List each employer that pays you or a member of your immediate family salary or wages in excess of \$5,000 annually. (Exclude state or local government or advisory agencies.)
- If no reportable salary or wages, check here //.
-
-
-
7. Business Interests.
- Do you or a member of your immediate family, separately or together, operate your own business, or own or control an interest in excess of \$5,000 in a business?
- EITHER check NO // OR check YES // and complete Schedule F.
8. Payments for Representation and Other Services.
- 8A. Did you represent, excluding activity defined as lobbying in § 2.2-419, any businesses before any state governmental agencies, excluding courts or judges, for which you received total compensation during the past ~~six~~ 12 months in excess of \$1,000, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers? (Officers and employees of local governmental and advisory agencies do NOT need to answer this question or complete Schedule G-1.)
- EITHER check NO // OR check YES // and complete Schedule G-1.
- 8B. Subject to the same exceptions as in 8A, did persons with whom you have a close financial association (partners, associates or others) represent, excluding activity defined as lobbying in § 2.2-419, any businesses before any state governmental agency for which total compensation was received during the past ~~six~~ 12 months in excess of \$1,000? (Officers and employees of local governmental and advisory agencies do NOT need to answer this question or complete Schedule G-2.)
- EITHER check NO // OR check YES // and complete Schedule G-2.
- 8C. Did you or persons with whom you have a close financial association furnish services to businesses operating in Virginia pursuant to an agreement between you and such businesses, or between persons with whom you have a close financial association and such businesses for which total compensation in excess of \$1,000 was received during the past ~~six~~ 12 months? Services reported under this provision shall not include services involving the representation of businesses that are reported under item 8A or 8B.
- EITHER check NO // OR check YES // and complete Schedule G-3.
9. Real Estate.
- 9A. State Officers and Employees.
- Do you or a member of your immediate family hold an interest, including a partnership interest, valued at more than \$5,000 in real property (other than your principal residence) for which you have not already listed the full address on Schedule F? Account for real estate held in trust.
- EITHER check NO // OR check YES // and complete Schedule H-1.
- 9B. Local Officers and Employees.
- Do you or a member of your immediate family hold an interest, including a partnership interest, or option, easement, or land contract, valued at more than \$5,000 in real property (other than your principal residence) for which you have not already listed the full address on Schedule F? Account for real estate held in trust.
- EITHER check NO // OR check YES // and complete Schedule H-2.
10. Real Estate Contracts with Governmental Agencies.
- Do you or a member of your immediate family hold an interest valued at more than \$5,000 in real estate, including a corporate, partnership, or trust interest, option, easement, or land contract, which real estate is the subject of a contract, whether pending or completed within the past ~~six~~ 12 months, with a governmental agency? If the real estate contract provides for the leasing of the property to a governmental agency, do you or a member of your immediate family hold an interest in the real estate valued at more than \$1,000? Account for all such contracts whether or not your interest is reported in Schedule F, H-1, or H-2. This requirement to disclose an interest in a lease does not apply to an interest derived through an ownership interest in a business unless the ownership interest exceeds three percent of the total equity of the business.
- EITHER check NO // OR check YES // and complete Schedule I.
- Statements of Economic Interests are open for public inspection.
- AFFIRMATION BY ALL FILERS.
- I swear or affirm that the foregoing information is full, true and correct to the best of my knowledge.
- Signature_____

1209 Individual creditors:
 1210 (State principal business or occupation of
 1211 each creditor and its name.)

1212 _____
 1213 _____
 1214 _____

1215 RETURN TO ITEM 3

1216 SCHEDULE C — SECURITIES.

1217 "Securities" INCLUDES stocks, bonds, mutual funds, limited partnerships, and commodity futures
 1218 contracts.

1219 "Securities" EXCLUDES certificates of deposit, money market funds, annuity contracts, and
 1220 insurance policies.

1221 Identify each business or Virginia governmental entity in which you or a member of your immediate
 1222 family, directly or indirectly, separately or together, own securities valued in excess of \$5,000. Name
 1223 each issuer and type of security individually.

1224 Do not list U.S. Bonds or other government securities not issued by the Commonwealth of Virginia
 1225 or its authorities, agencies, or local governments. Do not list organizations that do not do business in
 1226 this Commonwealth, but most major businesses conduct business in Virginia. Account for securities held
 1227 in trust.

1228 If no reportable securities, check here / /.

1229	1230	1231	1232	1233	1234	Check one		
						Type of Security	\$5,001	More
1235	1236	1237	1238	1239	1240	Name of Issuer	to	than
						(stocks, bonds, mutual funds, etc.)	\$50,000	\$250,000

1241 RETURN TO ITEM 4

1242 SCHEDULE D — PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.

1243 List each source from which you received during the past ~~six~~ 12 months in your capacity as an
 1244 officer or employee of your agency lodging, transportation, money, or any other thing of value with
 1245 combined value exceeding \$100 (i) for your presentation of a single talk, participation in one meeting,
 1246 or publication of a work or (ii) for your attendance at a meeting, conference, or event where your
 1247 attendance at the meeting, conference, or event was designed to (a) educate you on issues relevant to
 1248 your duties as an officer or employee of your agency or (b) enhance your knowledge and skills relative
 1249 to your duties as an officer or employee of your agency. Any lodging, transportation, money, or other
 1250 thing of value received by an officer or employee that does not satisfy the provisions of clause (i), (ii)
 1251 (a), or (ii) (b) shall be listed as a gift on Schedule E.

1252 List payments or reimbursements by an advisory or governmental agency only for meetings or travel
 1253 outside the Commonwealth.

1254 List a payment even if you donated it to charity.

1255 Do not list information about a payment if you returned it within 60 days or if you received it from
 1256 an employer already listed under Item 6 or from a source of income listed on Schedule F.

1257 If no payment must be listed, check here / /.

1258	1259	1260	1261	1262	1263	1264	1265	1266	1267

SENATE SUBSTITUTE

HB1362S1

RETURN TO ITEM 5

SCHEDULE E — GIFTS.

List each business, governmental entity, or individual that, during the past ~~six~~ 12 months, (i) furnished you or a member of your immediate family with any gift or entertainment at a single event, and the value received exceeded \$50 \$100 or (ii) furnished you or a member of your immediate family with gifts or entertainment in any combination and the total value received exceeded \$50 \$100, and for which you or the member of your immediate family neither paid nor rendered services in exchange. List each such gift or event. Do not list entertainment events unless the average value per person attending the event exceeded \$50 \$100. Do not list business entertainment related to the private profession or occupation of you or the member of your immediate family who received such business entertainment. Do not list gifts or other things of value given by a relative or personal friend for reasons clearly unrelated to your public position. Do not list campaign contributions publicly reported as required by Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2 of the Code of Virginia.

Name of Recipient	Name of Business, Organization, or Individual	City or County and State	Exact Gift or Event	Approximate Value

RETURN TO ITEM 6

SCHEDULE F — BUSINESS INTERESTS.

Complete this Schedule for each self-owned or family-owned business (including rental property, a farm, or consulting work), partnership, or corporation in which you or a member of your immediate family, separately or together, own an interest having a value in excess of \$5,000.

If the enterprise is owned or operated under a trade, partnership, or corporate name, list that name; otherwise, merely explain the nature of the enterprise. If rental property is owned or operated under a trade, partnership, or corporate name, list the name only; otherwise, give the address of each property. Account for business interests held in trust.

Name of Business, Corporation, Partnership, Farm; Address of Rental Property	City or County and State	Nature of Enterprise (farming, law, rental property, etc.)	\$50,001 to \$250,000 or less	\$50,000 to \$250,000	More than \$250,000

RETURN TO ITEM 8

SCHEDULE G-1 — PAYMENTS FOR REPRESENTATION BY YOU.

List the businesses you represented, excluding activity defined as lobbying in § 2.2-419, before any state governmental agency, excluding any court or judge, for which you received total compensation during the past ~~six~~ 12 months in excess of \$1,000, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers filed by you.

Identify each business, the nature of the representation and the amount received by dollar category from each such business. You may state the type, rather than name, of the business if you are required by law not to reveal the name of the business represented by you.

Only STATE officers and employees should complete this Schedule.

Purpose	Amount Received
---------	-----------------

Name	Type	of	Name						
of	of	Repre-	of	\$1,001	\$10,001	\$50,001	\$100,001	\$250,001	
Busi-	Busi-	senta-	Agen-	to	to	to	to	and	
ness	ness	tion	cy	\$10,000	\$50,000	\$100,000	\$250,000	over	
1327									
1328									
1329									
1330									
1331									
1332									
1333									
1334									
1335									

If you have received \$250,001 or more from a single business within the reporting period, indicate the amount received, rounded to the nearest \$10,000.

Amount Received: _____.

SCHEDULE G-2 — PAYMENTS FOR REPRESENTATION BY ASSOCIATES. List the businesses that have been represented, excluding activity defined as lobbying in § 2.2-419, before any state governmental agency, excluding any court or judge, by persons who are your partners, associates or others with whom you have a close financial association and who received total compensation in excess of \$1,000 for such representation during the past ~~six~~ 12 months, excluding representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers filed by your partners, associates or others with whom you have a close financial association.

Identify such businesses by type and also name the state governmental agencies before which such person appeared on behalf of such businesses.

Only STATE officers and employees should complete this Schedule.

Type of business	Name of state governmental agency
1351	
1352	
1353	
1354	
1355	

SCHEDULE G-3 — PAYMENTS FOR OTHER SERVICES GENERALLY.

Indicate below types of businesses that operate in Virginia to which services were furnished by you or persons with whom you have a close financial association pursuant to an agreement between you and such businesses, or between persons with whom you have a close financial association and such businesses and for which total compensation in excess of \$1,000 was received during the past ~~six~~ 12 months. Services reported in this Schedule shall not include services involving the representation of businesses that are reported in Schedule G-1 or G-2.

Identify opposite each category of businesses listed below (i) the type of business, (ii) the type of service rendered and (iii) the value by dollar category of the compensation received for all businesses falling within each category.

	Check	Type						
	if	ser-	of					
	vices	vice	ser-					
	were	vice	vice	\$1,001	\$10,001	\$50,001	\$100,001	\$250,001
	ren-	ren-	ren-	to	to	to	to	and
	dered	dered	dered	\$10,000	\$50,000	\$100,000	\$250,000	over
1369								
1370								
1371								
1372								
1373								
1374								
1375								
1376	Electric utilities							
1377	Gas utilities							
1378	Telephone utilities							
1379	Water utilities							
1380	Cable television							
1381	companies							
1382	Interstate							
1383	transportation							
1384	companies							

1385	Intrastate						
1386	transportation						
1387	companies						
1388	Oil or gas retail						
1389	companies						
1390	Banks						
1391	Savings institutions						
1392	Loan or finance						
1393	companies						
1394	Manufacturing						
1395	companies (state						
1396	type of product,						
1397	e.g., textile,						
1398	furniture, etc.)						
1399	Mining companies						
1400	Life insurance						
1401	companies						
1402	Casualty insurance						
1403	companies						
1404	Other insurance						
1405	companies						
1406	Retail companies						
1407	Beer, wine or liquor						
1408	companies or						
1409	distributors						
1410	Trade associations						
1411	Professional						
1412	associations						
1413	Associations of						
1414	public employees						
1415	or officials						
1416	Counties, cities						
1417	or towns						
1418	Labor organizations						
1419	Other						
1420							

RETURN TO ITEM 9

SCHEDULE H-1 — REAL ESTATE — STATE OFFICERS AND EMPLOYEES.

List real estate other than your principal residence in which you or a member of your immediate family holds an interest, including a partnership interest, option, easement, or land contract, valued at more than \$5,000. Each parcel shall be listed individually.

1426			
1427			
1428	Describe the type of real		
1429	estate you own in each		
1430	location (business, recre-	If the real estate is	
1431	ational, apartment, com-	owned or recorded in	
1432	mercial, open land, etc.).	a name other than your	
1433		own, list that name.	
1434			
1435			
1436			
1437			
1438			

SCHEDULE H-2 — REAL ESTATE — LOCAL OFFICERS AND EMPLOYEES.

List real estate other than your principal residence in which you or a member of your immediate family holds an interest, including a partnership interest or option, easement, or land contract, valued at

1442 more than \$5,000. Each parcel shall be listed individually. Also list the names of any co-owners of such
 1443 property, if applicable.

1444	<hr/>			
1445				
1446		Describe the type		
1447		of real estate		
1448		you own in		
1449		each location	If the real estate	
1450	List each location (business,		is owned or rec-	
1451	(state, and county recreational,		orded in a name	
1452	or city) where apartment, com-		other than your	List the names
1453	you own real commercial, open		own, list that	of any co-owners,
1454	estate. land, etc.).		name.	if applicable.
1455	<hr/>	<hr/>	<hr/>	<hr/>
1456	<hr/>	<hr/>	<hr/>	<hr/>
1457	<hr/>	<hr/>	<hr/>	<hr/>
1458	<hr/>	<hr/>	<hr/>	<hr/>
1459	<hr/>	<hr/>	<hr/>	<hr/>

1460 **SCHEDULE I — REAL ESTATE CONTRACTS WITH GOVERNMENTAL AGENCIES.**

1461 List all contracts, whether pending or completed within the past ~~six~~ 12 months, with a governmental
 1462 agency for the sale or exchange of real estate in which you or a member of your immediate family
 1463 holds an interest, including a corporate, partnership or trust interest, option, easement, or land contract,
 1464 valued at more than \$10,000. List all contracts with a governmental agency for the lease of real estate in
 1465 which you or a member of your immediate family holds such an interest valued at more than \$1,000.
 1466 This requirement to disclose an interest in a lease does not apply to an interest derived through an
 1467 ownership interest in a business unless the ownership interest exceeds three percent of the total equity of
 1468 the business.

1469 State officers and employees report contracts with state agencies.

1470 Local officers and employees report contracts with local agencies.

1472			
1473			
1474	List your real estate		
1475	interest and the		
1476	person or entity,		
1477	including the type		
1478	of entity, which		
1479	is party to		
1480	the contract.		
1481	Describe any		State the annual
1482	management role and	List each governmental	income from the
1483	the percentage	agency which is a	contract, and the
1484	ownership	party to the contract	amount, if any, of
1485	interest you or your	and indicate the	income you or any
1486	immediate family	county or city where	immediate family
1487	member has in the real	the real estate	member derives
1488	estate or entity.	is located.	annually from the
1489			contract.
1490			
1491			
1492			
1493			
1494			

1495 **§ 30-101. Definitions.**

1496 As used in this chapter, unless the context requires a different meaning:

1497 "Advisory agency" means any board, commission, committee or post which does not exercise any
 1498 sovereign power or duty, but is appointed by a governmental agency or officer or is created by law for
 1499 the purpose of making studies or recommendations, or advising or consulting with a governmental

1500 agency.

1501 "Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise,
1502 association, trust or foundation, or any other individual or entity carrying on a business or profession,
1503 whether or not for profit.

1504 "Candidate" means a person who seeks or campaigns for election to the General Assembly in a
1505 general, primary, or special election and who is qualified to have his name placed on the ballot for the
1506 office. The candidate shall become subject to the provisions of this section upon the filing of a
1507 statement of qualification pursuant to § 24.2-501. The State Board of Elections shall notify each such
1508 candidate of the provisions of this chapter.

1509 "Contract" means any agreement to which a governmental agency is a party, or any agreement on
1510 behalf of a governmental agency that involves the payment of money appropriated by the General
1511 Assembly or a political subdivision, whether or not such agreement is executed in the name of the
1512 Commonwealth, or some political subdivision thereof. "Contract" includes a subcontract only when the
1513 contract of which it is a part is with the legislator's own governmental agency.

1514 "Council" means the Virginia Conflict of Interest and Ethics Advisory Council established in
1515 § 30-355.

1516 "Financial institution" means any bank, trust company, savings institution, industrial loan association,
1517 consumer finance company, credit union, broker-dealer as defined in subsection A of § 13.1-501, or
1518 investment company or advisor registered under the federal Investment Advisors Act or Investment
1519 Company Act of 1940.

1520 "Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item
1521 having monetary value. It includes services as well as gifts of transportation, ~~and lodgings and meals,~~
1522 whether provided in-kind, ~~or~~ by purchase of a ticket, payment in advance, or reimbursement after the
1523 expense has been incurred. "Gift" does not include (i) any offer of a ticket, coupon, or other admission
1524 or pass unless the ticket, coupon, admission, or pass is used; (ii) honorary degrees; (iii) any athletic,
1525 merit, or need-based scholarship or any other financial aid awarded by a public or private school,
1526 institution of higher education, or other educational program pursuant to such school, institution, or
1527 program's financial aid standards and procedures applicable to the general public; (iv) a campaign
1528 contribution properly received and reported pursuant to Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2;
1529 (v) any gift related to the private profession or occupation of a legislator or of a member of his
1530 immediate family; (vi) ~~any food or beverages consumed while attending beverage associated with an~~
1531 ~~event at which that is accepted or received by the filer is performing official duties related to his public~~
1532 ~~service while in attendance at the event;~~ (vii) ~~food and beverages received at or~~ registration or
1533 attendance fees waived for any event at which the filer is a featured speaker, presenter, or lecturer; (viii)
1534 unsolicited awards of appreciation or recognition in the form of a plaque, trophy, wall memento, or
1535 similar item that is given in recognition of public, civic, charitable, or professional service; (ix) a devise
1536 or inheritance; (x) travel disclosed pursuant to the Campaign Finance Disclosure Act (§ 24.2-945 et
1537 seq.); (xi) travel paid for or provided by the government of the United States, any of its territories, or
1538 any state or any political subdivision of such state; (xii) travel provided to facilitate attendance by a
1539 legislator at a regular or special session of the General Assembly, a meeting of a legislative committee
1540 or commission, or a national conference where attendance is approved by the House or Senate
1541 Committee on Rules; (xiii) travel related to an official meeting of the Commonwealth, its political
1542 subdivisions, or any board, commission, authority, or other entity, or any charitable organization
1543 established pursuant to § 501(c)(3) of the Internal Revenue Code affiliated with such entity, to which
1544 such person has been appointed or elected or is a member by virtue of his office or employment; ~~or~~
1545 (xiv) gifts from relatives or personal friends; (xv) ~~any gift, including travel, from a nonpartisan state,~~
1546 ~~regional, national, or international legislative organization, or an affiliated organization of such~~
1547 ~~organization, of which a legislator or an officer or employee of the legislative branch is a member by~~
1548 ~~virtue of his office or employment;~~ (xvi) ~~any gift, including travel, from a charitable organization~~
1549 ~~established pursuant to § 501(c)(3) of the Internal Revenue Code to a legislator or an officer or~~
1550 ~~employee of the legislative branch if such organization has been approved by the Joint Rules Committee~~
1551 ~~of the General Assembly to make gifts; or (xvii) gifts with a value of less than \$20.~~ For the purpose of
1552 this definition, "relative" means the donee's spouse, child, uncle, aunt, niece, nephew, or first cousin; a
1553 person to whom the donee is engaged to be married; the donee's or his spouse's parent, grandparent,
1554 grandchild, brother, sister, step-parent, step-grandparent, step-grandchild, step-brother, or step-sister; or
1555 the donee's brother's or sister's spouse. For the purpose of this definition, "personal friend" does not
1556 include any person that the filer knows or has reason to know is (a) a lobbyist registered pursuant to
1557 Article 3 (§ 2.2-418 et seq.) of Chapter 4 of Title 2.2 or (b) a lobbyist's principal as defined in
1558 § 2.2-419.

1559 "Governmental agency" means each component part of the legislative, executive or judicial branches
1560 of state and local government, including each office, department, authority, post, commission,
1561 committee, and each institution or board created by law to exercise some regulatory or sovereign power

or duty as distinguished from purely advisory powers or duties.

"Immediate family" means (i) a spouse and (ii) any other person who resides in the same household as the legislator and who is a dependent of the legislator.

"Legislator" means a member of the General Assembly.

"Personal interest" means a financial benefit or liability accruing to a legislator or to a member of his immediate family. Such interest shall exist by reason of (i) ownership in a business if the ownership interest exceeds three percent of the total equity of the business; (ii) annual income that exceeds, or may reasonably be anticipated to exceed, \$5,000 from ownership in real or personal property or a business; (iii) salary, other compensation, fringe benefits, or benefits from the use of property, or any combination thereof, paid or provided by a business or governmental agency that exceeds, or may reasonably be anticipated to exceed, \$5,000 annually; (iv) ownership of real or personal property if the interest exceeds \$5,000 in value and excluding ownership in a business, income, or salary, other compensation, fringe benefits or benefits from the use of property; (v) personal liability incurred or assumed on behalf of a business if the liability exceeds three percent of the asset value of the business; or (vi) an option for ownership of a business or real or personal property if the ownership interest will consist of clause (i) or (iv).

"Personal interest in a contract" means a personal interest that a legislator has in a contract with a governmental agency, whether due to his being a party to the contract or due to a personal interest in a business that is a party to the contract.

"Personal interest in a transaction" means a personal interest of a legislator in any matter considered by the General Assembly. Such personal interest exists when an officer or employee or a member of his immediate family has a personal interest in property or a business, or represents or provides services to any individual or business and such property, business or represented or served individual or business (i) is the subject of the transaction or (ii) may realize a reasonably foreseeable direct or indirect benefit or detriment as a result of the action of the agency considering the transaction. A "personal interest in a transaction" exists only if the legislator or member of his immediate family or an individual or business represented or served by the legislator is affected in a way that is substantially different from the general public or from persons comprising a profession, occupation, trade, business or other comparable and generally recognizable class or group of which he or the individual or business he represents or serves is a member.

"Transaction" means any matter considered by the General Assembly, whether in a committee, subcommittee, or other entity of the General Assembly or before the General Assembly itself, on which official action is taken or contemplated.

§ 30-103.1. Certain gifts prohibited.

A. For purposes of this section:

"Widely attended event" means an event at which at least 25 persons have been invited to attend or there is a reasonable expectation that at least 25 persons will attend the event and the event is open to individuals (i) who share a common interest, (ii) who are members of a public, civic, charitable, or professional organization, (iii) who are from a particular industry or profession, or (iv) who represent persons interested in a particular issue.

B. No legislator or candidate for the General Assembly required to file the disclosure form prescribed in § 30-111 or a member of his immediate family shall solicit, accept, or receive any single gift for himself or a member of his immediate family with a value in excess of \$100 or any combination of gifts with an aggregate value in excess of \$100 within any calendar year for himself or a member of his immediate family from any person that he or a member of his immediate family knows or has reason to know is (i) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4 of Title 2.2 or (ii) a lobbyist's principal as defined in § 2.2-419. Gifts with a value of less than \$20 are not subject to aggregation for purposes of this prohibition.

C. Notwithstanding the provisions of subsection B, a legislator or candidate or a member of his immediate family may accept or receive a gift of ~~food and beverages~~, entertainment, or the cost of admission with a value in excess of \$100 when such gift is accepted or received while in attendance at a widely attended event and is associated with the event. Such gifts shall be reported on the disclosure form prescribed in § 30-111.

D. Notwithstanding the provisions of subsection B, a legislator or a member of his immediate family may accept or receive a gift from a foreign dignitary with a value exceeding \$100 for which the fair market value or a gift of greater or equal value has not been provided or exchanged. Such gift shall be accepted on behalf of the Commonwealth and archived in accordance with guidelines established by the Library of Virginia. Such gift shall be disclosed as having been accepted on behalf of the Commonwealth, but the value of such gift shall not be required to be disclosed.

E. Notwithstanding the provisions of subsection B, a legislator or candidate or a member of his immediate family may accept or receive certain gifts with a value in excess of \$100 from a person listed

1623 in subsection B if such gift was provided to the legislator or candidate or a member of his immediate
1624 family on the basis of a personal friendship. Notwithstanding any other provision of law, a person listed
1625 in subsection B may be a personal friend of the legislator or candidate or his immediate family for
1626 purposes of this subsection. In determining whether a person listed in subsection B is a personal friend,
1627 the following factors shall be considered: (i) the circumstances under which the gift was offered; (ii) the
1628 history of the relationship between the person and the donor, including the nature and length of the
1629 friendship and any previous exchange of gifts between them; (iii) to the extent known to the person,
1630 whether the donor personally paid for the gift or sought a tax deduction or business reimbursement for
1631 the gift; and (iv) whether the donor has given the same or similar gifts to other persons required to file
1632 the disclosure form prescribed in § 2.2-3117 or 30-111.

1633 F. Notwithstanding the provisions of subsection B, a legislator or candidate or a member of his
1634 immediate family may accept or receive gifts of travel, including travel-related transportation, lodging,
1635 hospitality, ~~food or beverages~~, or other thing of value, with a value in excess of \$100 that is paid for or
1636 provided by a person listed in subsection B when the legislator or candidate has submitted a request for
1637 approval of such travel to the Council and has received the approval of the Council pursuant to
1638 § 30-356.1. Such gifts shall be reported on the disclosure form prescribed in § 30-111.

1639 G. The \$100 limitation imposed in accordance with this section shall be adjusted by the Council
1640 every five years, as of January 1 of that year, in an amount equal to the annual increases for that
1641 five-year period in the United States Average Consumer Price Index for all items, all urban consumers
1642 (CPI-U), as published by the Bureau of Labor Statistics of the U.S. Department of Labor, rounded to the
1643 nearest whole dollar.

1644 **§ 30-110. Disclosure.**

1645 A. (Effective January 1, 2016, through July 1, 2016) Every legislator and legislator-elect shall file, as
1646 a condition to assuming office, a disclosure statement of his personal interests and such other
1647 information as is specified on the form set forth in § 30-111 and thereafter shall file such a statement
1648 ~~semiannually by December~~ *annually on or before January 15 for the preceding six-month period*
1649 ~~complete through the last day of October and by June 15 for the preceding six-month period complete~~
1650 ~~through the last day of April.~~ When the filing deadline falls on a Saturday, Sunday, or legal holiday, the
1651 disclosure statement shall be filed on the next day that is not a Saturday, Sunday, or legal holiday.
1652 Disclosure forms shall be made available by the Virginia Conflict of Interest and Ethics Advisory
1653 Council at least 30 days prior to the filing deadline ~~to the Clerk of the Senate and the Clerk of the~~
1654 ~~House of Delegates, and the clerk of the appropriate house shall provide such forms to each legislator~~
1655 ~~and legislator-elect. Members of the Senate shall file their disclosure forms with the Clerk of the Senate~~
1656 ~~and members of the House of Delegates shall file their disclosure forms with the Clerk of the House of~~
1657 ~~Delegates. The clerk of the appropriate house shall be responsible for filing disclosure forms on behalf~~
1658 ~~of each legislator and legislator-elect with the Virginia Conflict of Interest and Ethics Advisory Council~~
1659 ~~no later than six weeks after the filing deadline.~~ The disclosure forms of the members of the General
1660 Assembly shall be maintained as public records for five years in the office of the Virginia Conflict of
1661 Interest and Ethics Advisory Council. Such forms shall be made public no later than ~~six~~ two weeks after
1662 filing ~~by the clerk of the appropriate house.~~

1663 A. (Effective July 1, 2016) Every legislator and legislator-elect shall file, as a condition to assuming
1664 office, a disclosure statement of his personal interests and such other information as is specified on the
1665 form set forth in § 30-111 and thereafter shall file such a statement ~~semiannually by December~~ *annually*
1666 ~~on or before January 15 for the preceding six-month period complete through the last day of October~~
1667 ~~and by June 15 for the preceding six-month period complete through the last day of April.~~ When the
1668 filing deadline falls on a Saturday, Sunday, or legal holiday, the disclosure statement shall be filed on
1669 the next day that is not a Saturday, Sunday, or legal holiday. Disclosure forms shall be made available
1670 by the Virginia Conflict of Interest and Ethics Advisory Council at least 30 days prior to the filing
1671 deadline ~~to the Clerk of the Senate and the Clerk of the House of Delegates, and the clerk of the~~
1672 ~~appropriate house shall provide such forms to each legislator and legislator-elect. Disclosure~~ Members
1673 ~~of the Senate shall file their disclosure forms with the Clerk of the Senate and members of the House of~~
1674 ~~Delegates shall file their disclosure forms with the Clerk of the House of Delegates. The clerk of the~~
1675 ~~appropriate house shall be responsible for filing disclosure forms shall be filed on behalf of each~~
1676 ~~legislator and legislator-elect electronically with the Virginia Conflict of Interest and Ethics Advisory~~
1677 ~~Council in accordance with the standards approved by it pursuant to § 30-356 no later than six weeks~~
1678 ~~after the filing deadline.~~ The disclosure forms of the members of the General Assembly shall be
1679 maintained as public records for five years in the office of the Virginia Conflict of Interest and Ethics
1680 Advisory Council. Such forms shall be made public ~~by the Virginia Conflict of Interest and Ethics~~
1681 ~~Advisory Council no later than six~~ two weeks after filing ~~by the clerk of the appropriate house.~~

1682 B. Candidates for the General Assembly shall file a disclosure statement of their personal interests as
1683 required by §§ 24.2-500 through 24.2-503.

1684 C. Any legislator who has a personal interest in any transaction pending before the General

Assembly and who is disqualified from participating in that transaction pursuant to § 30-108 and the rules of his house shall disclose his interest in accordance with the applicable rule of his house.

§ 30-110.1. Report of gifts.

Every legislator shall file with the clerk of the appropriate house, on or before May 1, a report of gifts accepted or received by him or a member of his immediate family during the period beginning on the first day of the regular session of the General Assembly complete through adjournment sine die of that session. The gift report shall be on a form prescribed by the Council and shall be made available to the Clerk of the Senate and the Clerk of the House of Delegates at least 30 days prior to the filing deadline. Members of the Senate shall file their gift report with the Clerk of the Senate and members of the House of Delegates shall file their gift report with the Clerk of the House of Delegates. The clerk of the appropriate house shall be responsible for filing such gift reports on behalf of each legislator electronically with the Council in accordance with the standards approved by it pursuant to § 30-356 no later than six weeks after the filing deadline. For purposes of this section, "adjournment sine die" means adjournment on the last legislative day of the regular session and does not include the ensuing reconvened session.

§ 30-111. Disclosure form.

A. (Effective from January 1, 2016, until July 1, 2016) The disclosure form to be used for filings required by subsections A and B of § 30-110 shall be substantially similar to the following.

A. (Effective July 1, 2016) The disclosure form to be used for filings required by subsections A and B of § 30-110 shall be substantially similar to the following. All completed forms shall be filed electronically with the Council in accordance with the standards approved by it pursuant to § 30-356 provisions of § 30-110.

STATEMENT OF ECONOMIC INTERESTS.

Name _____
Office or position held or sought _____
Address _____
Names of members of immediate family _____

DEFINITIONS AND EXPLANATORY MATERIAL.

"Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise, association, trust or foundation, or any other individual or entity carrying on a business or profession, whether or not for profit.

"Close financial association" means an association in which the filer shares significant financial involvement with an individual and the filer would reasonably be expected to be aware of the individual's business activities and would have access to the necessary records either directly or through the individual. "Close financial association" does not mean an association based on (i) the receipt of retirement benefits or deferred compensation from a business by which the legislator is no longer employed, or (ii) the receipt of compensation for work performed by the legislator as an independent contractor of a business that represents an entity before any state governmental agency when the legislator has had no communications with the state governmental agency.

"Contingent liability" means a liability that is not presently fixed or determined, but may become fixed or determined in the future with the occurrence of some certain event.

"Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item having monetary value. It includes services as well as gifts of transportation, and lodgings and meals, whether provided in-kind; or by purchase of a ticket, payment in advance, or reimbursement after the expense has been incurred. "Gift" does not include (i) any offer of a ticket, coupon, or other admission or pass unless the ticket, coupon, admission, or pass is used; (ii) honorary degrees; (iii) any athletic, merit, or need-based scholarship or any other financial aid awarded by a public or private school, institution of higher education, or other educational program pursuant to such school, institution, or program's financial aid standards and procedures applicable to the general public; (iv) a campaign contribution properly received and reported pursuant to Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2; (v) any gift related to the private profession or occupation of a legislator or of a member of his immediate family; (vi) any food or beverages consumed while attending beverage associated with an event at which that is accepted or received by the filer is performing official duties related to his public service while in attendance at the event; (vii) food and beverages received at or registration or attendance fees waived for any event at which the filer is a featured speaker, presenter, or lecturer; (viii) unsolicited awards of appreciation or recognition in the form of a plaque, trophy, wall memento, or similar item that is given in recognition of public, civic, charitable, or professional service; (ix) a devise or inheritance; (x) travel disclosed pursuant to the Campaign Finance Disclosure Act (§ 24.2-945 et seq.); (xi) travel paid for or provided by the government of the United States, any of its territories, or any state or any political subdivision of such state; (xii) travel provided to facilitate attendance by a legislator at a regular or special session of the General Assembly, a meeting of a legislative committee

1746 or commission, or a national conference where attendance is approved by the House or Senate
1747 Committee on Rules; (xiii) travel related to an official meeting of the Commonwealth, its political
1748 subdivisions, or any board, commission, authority, or other entity, or any charitable organization
1749 established pursuant to § 501(c)(3) of the Internal Revenue Code affiliated with such entity, to which
1750 such person has been appointed or elected or is a member by virtue of his office or employment; ~~or~~
1751 (xiv) gifts from relatives or personal friends; (xv) *any gift, including travel, from a nonpartisan state,*
1752 *regional, national, or international legislative organization, or an affiliated organization of such*
1753 *organization, of which a legislator or an officer or employee of the legislative branch is a member by*
1754 *virtue of his office or employment; (xvi) any gift, including travel, from a charitable organization*
1755 *established pursuant to § 501(c)(3) of the Internal Revenue Code to a legislator or an officer or*
1756 *employee of the legislative branch if such organization has been approved by the Joint Rules Committee*
1757 *of the General Assembly to make gifts; or (xvii) gifts with a value of less than \$20. "Relative" means the*
1758 *donee's spouse, child, uncle, aunt, niece, nephew, or first cousin; a person to whom the donee is*
1759 *engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother, sister,*
1760 *step-parent, step-grandparent, step-grandchild, step-brother, or step-sister; or the donee's brother's or*
1761 *sister's spouse. "Personal friend" does not include any person that the filer knows or has reason to know*
1762 *is (a) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4 of Title 2.2 or (b) a*
1763 *lobbyist's principal as defined in § 2.2-419.*

1764 "Immediate family" means (i) a spouse and (ii) any other person who resides in the same household
1765 as the legislator and who is a dependent of the legislator.

1766 "Lobbyist relationship" means (i) an engagement, agreement, or representation that relates to legal
1767 services, consulting services, or public relations services, whether gratuitous or for compensation,
1768 between a member or member-elect and any person who is, or has been within the prior calendar year,
1769 registered as a lobbyist with the Secretary of the Commonwealth or (ii) a greater than three percent
1770 ownership interest by a member or member-elect in a business that employs, or engages as an
1771 independent contractor, any person who is, or has been within the prior calendar year, registered as a
1772 lobbyist with the Secretary of the Commonwealth. The disclosure of a lobbyist relationship shall not (a)
1773 constitute a waiver of any attorney-client or other privilege, (b) require a waiver of any attorney-client
1774 or other privilege for a third party, or (c) be required where a member or member-elect is employed or
1775 engaged by a person and such person also employs or engages a person in a lobbyist relationship so
1776 long as the member or member-elect has no financial interest in the lobbyist relationship.

1777 TRUST. If you or your immediate family, separately or together, are the only beneficiaries of a trust,
1778 treat the trust's assets as if you own them directly. If you or your immediate family has a proportional
1779 interest in a trust, treat that proportion of the trust's assets as if you own them directly. For example, if
1780 you and your immediate family have a one-third interest in a trust, complete your Statement as if you
1781 own one-third of each of the trust's assets. If you or a member of your immediate family created a trust
1782 and can revoke it without the beneficiaries' consent, treat its assets as if you own them directly.

1783 REPORT TO THE BEST OF INFORMATION AND BELIEF. Information required on this
1784 Statement must be provided on the basis of the best knowledge, information, and belief of the individual
1785 filing the Statement as of the date of this report unless otherwise stated.

1786 COMPLETE ITEMS 1 THROUGH 11. REFER TO SCHEDULES ONLY IF DIRECTED.

1787 You may attach additional explanatory information.

1788 1. Offices and Directorships.

1789 Are you or a member of your immediate family a paid officer or paid director of a business?

1790 EITHER check NO // OR check YES // and complete Schedule A.

1791 2. Personal Liabilities.

1792 Do you or a member of your immediate family owe more than \$5,000 to any one creditor including
1793 contingent liabilities? (Exclude debts to any government and loans secured by recorded liens on property
1794 at least equal in value to the loan.)

1795 EITHER check NO // OR check YES // and complete Schedule B.

1796 3. Securities.

1797 Do you or a member of your immediate family, directly or indirectly, separately or together, own
1798 securities valued in excess of \$5,000 invested in one business? Account for mutual funds, limited
1799 partnerships and trusts.

1800 EITHER check NO // OR check YES // and complete Schedule C.

1801 4. Payments for Talks, Meetings, and Publications.

1802 During the past ~~six~~ 12 months did you receive in your capacity as a legislator lodging, transportation,
1803 money, or anything else of value with a combined value exceeding \$100 (i) for a single talk, meeting,
1804 or published work or (ii) for a meeting, conference, or event where your attendance at the meeting,
1805 conference, or event was designed to (a) educate you on issues relevant to your duties as a legislator,
1806 including issues faced by your constituents, or (b) enhance your knowledge and skills relative to your
1807 duties as a legislator? Do not include payments and reimbursements from the Commonwealth for

meetings attended in your capacity as a legislator; see Question 11 and Schedule D2 to report such meetings.

EITHER check NO // OR check YES // and complete Schedule D.

5. Gifts.

During the past ~~six~~ 12 months did a business, government, or individual other than a relative or personal friend (i) furnish you or a member of your immediate family with any gift or entertainment at a single event, and the value received exceeded \$~~50~~ \$100 or (ii) furnish you or a member of your immediate family with gifts or entertainment in any combination and the total value received exceeded \$~~50~~ \$100, and for which you or the member of your immediate family neither paid nor rendered services in exchange? Account for entertainment events only if the average value per person attending the event exceeded \$~~50~~ \$100. Account for all business entertainment (except if related to the private profession or occupation of you or the member of your immediate family who received such business entertainment) even if unrelated to your official duties.

EITHER check NO // OR check YES // and complete Schedule E.

6. Salary and Wages.

List each employer that pays you or a member of your immediate family salary or wages in excess of \$5,000 annually. (Exclude any salary received as a member of the General Assembly pursuant to § 30-19.11.)

If no reportable salary or wages, check here //.

7. Business Interests and Lobbyist Relationships.

7A. Do you or a member of your immediate family, separately or together, operate your own business, or own or control an interest in excess of \$5,000 in a business?

EITHER check NO // OR check YES // and complete Schedule F-1.

7B. Do you have a lobbyist relationship as that term is defined above?

EITHER check NO // OR check YES // and complete Schedule F-2.

8. Payments for Representation and Other Services.

8A. Did you represent any businesses before any state governmental agencies, excluding courts or judges, for which you received total compensation during the past ~~six~~ 12 months in excess of \$1,000, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers?

EITHER check NO // OR check YES // and complete Schedule G-1.

8B. Subject to the same exceptions as in 8A, did persons with whom you have a close financial association (partners, associates or others) represent any businesses before any state governmental agency for which total compensation was received during the past ~~six~~ 12 months in excess of \$1,000?

EITHER check NO // OR check YES // and complete Schedule G-2.

8C. Did you or persons with whom you have a close financial association furnish services to businesses operating in Virginia, pursuant to an agreement between you and such businesses, or between persons with whom you have a close financial association and such businesses for which total compensation in excess of \$1,000 was received during the past ~~six~~ 12 months? Services reported under this provision shall not include services involving the representation of businesses that are reported under question 8A or 8B above.

EITHER check NO // OR check YES // and complete Schedule G-3.

9. Real Estate.

Do you or a member of your immediate family hold an interest, including a partnership interest, valued at more than \$5,000 in real property (other than your principal residence) for which you have not already listed the full address on Schedule F? Account for real estate held in trust.

EITHER check NO // OR check YES // and complete Schedule H.

10. Real Estate Contracts with State Governmental Agencies.

Do you or a member of your immediate family hold an interest valued at more than \$5,000 in real estate, including a corporate, partnership, or trust interest, option, easement, or land contract, which real estate is the subject of a contract, whether pending or completed within the past ~~six~~ 12 months, with a state governmental agency?

If the real estate contract provides for the leasing of the property to a state governmental agency, do you or a member of your immediate family hold an interest in the real estate, including a corporate, partnership, or trust interest, option, easement, or land contract valued at more than \$1,000? Account for all such contracts whether or not your interest is reported in Schedule F or H. This requirement to disclose an interest in a lease does not apply to an interest derived through an ownership interest in a business unless the ownership interest exceeds three percent of the total equity of the business.

1869 EITHER check NO // OR check YES // and complete Schedule I.

1870 11. Payments by the Commonwealth for Meetings.

1871 During the past ~~six~~ 12 months did you receive lodging, transportation, money, or anything else of
1872 value with a combined value exceeding \$100 from the Commonwealth for a single meeting attended
1873 out-of-state in your capacity as a legislator? Do not include reimbursements from the Commonwealth for
1874 meetings attended in the Commonwealth.

1875 EITHER check NO // OR check YES // and complete Schedule D-2.

1876 For Statements filed in ~~June 2016 and each two years thereafter~~ *an even-numbered year*, complete
1877 the following statement indicating whether you completed the ethics orientation sessions provided
1878 pursuant to law:

1879 I certify that I completed ethics training as required by § 30-129.1. YES // or NO //.

1880 Statements of Economic Interests are open for public inspection.

1881 AFFIRMATION.

1882 In accordance with the rules of the house in which I serve, if I receive a request that this disclosure
1883 statement be corrected, augmented, or revised in any respect, I hereby pledge that I shall respond
1884 promptly to the request. I understand that if a determination is made that the statement is insufficient, I
1885 will satisfy such request or be subjected to disciplinary action of my house.

1886 I swear or affirm that the foregoing information is full, true and correct to the best of my knowledge.

1887 Signature _____

1888 (Return only if needed to complete Statement.)

1889 SCHEDULES to STATEMENT OF ECONOMIC INTERESTS.

1890 NAME _____

1891 SCHEDULE A — OFFICES AND DIRECTORSHIPS.

1892 Identify each business of which you or a member of your immediate family is a paid officer or paid
1893 director.

1894	_____	_____	_____
1895	_____	_____	_____
1896	Name of Business	Address of Business	Position Held and by Whom
1897	_____	_____	_____
1898	_____	_____	_____
1899	_____	_____	_____
1900	_____	_____	_____
1901	_____	_____	_____

1902 RETURN TO ITEM 2

1903 SCHEDULE B — PERSONAL LIABILITIES.

1904 Report personal liability by checking each category. Report only debts in excess of \$5,000. Do not
1905 report debts to any government. Do not report loans secured by recorded liens on property at least equal
1906 in value to the loan.

1907 Report contingent liabilities below and indicate which debts are contingent.

1908 1. My personal debts are as follows:

1909	_____	_____	_____
1910	_____	_____	_____
1911	Check	Check one	
1912	appropriate	\$5,001 to	More than
1913	categories	\$50,000	\$50,000
1914	Banks	_____	_____
1915	Savings institutions	_____	_____
1916	Other loan or finance companies	_____	_____
1917	Insurance companies	_____	_____
1918	Stock, commodity or other brokerage	_____	_____
1919	companies	_____	_____
1920	Other businesses:	_____	_____
1921	(State principal business activity for each	_____	_____
1922	creditor and its name.)	_____	_____
1923	_____	_____	_____
1924	_____	_____	_____
1925	_____	_____	_____
1926	Individual creditors:	_____	_____
1927	(State principal business or occupation of	_____	_____

each creditor and its name.)

2. The personal debts of the members of my immediate family are as follows:

Check appropriate categories	Check one \$5,001 to \$50,000	More than \$50,000
Banks		
Savings institutions		
Other loan or finance companies		
Insurance companies		
Stock, commodity or other brokerage companies		
Other businesses: (State principal business activity for each creditor and its name.)		
Individual creditors: (State principal business or occupation of each creditor and its name.)		

RETURN TO ITEM 3

SCHEDULE C — SECURITIES.

"Securities" INCLUDES stocks, bonds, mutual funds, limited partnerships, and commodity futures contracts.

"Securities" EXCLUDES certificates of deposit, money market funds, annuity contracts, and insurance policies.

Identify each business or Virginia governmental entity in which you or a member of your immediate family, directly or indirectly, separately or together, own securities valued in excess of \$5,000. Name each issuer and type of security individually.

Do not list U.S. Bonds or other government securities not issued by the Commonwealth of Virginia or its authorities, agencies, or local governments. Do not list organizations that do not do business in this Commonwealth, but most major businesses conduct business in Virginia. Account for securities held in trust.

If no reportable securities, check here / /.

Name of Issuer	Type of Security (stocks, bonds, mutual funds, etc.)	Check one \$5,001 to \$50,000	\$50,001 to \$250,000	More than \$250,000

RETURN TO ITEM 4

SCHEDULE D-1 — PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.

List each source from which you received during the past ~~six~~ 12 months in your capacity as a

1986 legislator lodging, transportation, money, or any other thing of value with a combined value exceeding
 1987 \$100 (i) for your presentation of a single talk, participation in one meeting, or publication of a work or
 1988 (ii) for your attendance at a meeting, conference, or event where your attendance at the meeting,
 1989 conference, or event was designed to (a) educate you on issues relevant to your duties as a legislator,
 1990 including issues faced by your constituents, or (b) enhance your knowledge and skills relative to your
 1991 duties as a legislator. Any lodging, transportation, money, or other thing of value received by a
 1992 legislator that does not satisfy the criteria of clause (i), (ii)(a), or (ii)(b) shall be listed as a gift on
 1993 Schedule E. Do not list payments or reimbursements by the Commonwealth. (See Schedule D-2 for such
 1994 payments or reimbursements.) List a payment even if you donated it to charity. Do not list information
 1995 about a payment if you returned it within 60 days or if you received it from an employer already listed
 1996 under Item 6 or from a source of income listed on Schedule F.

1997 If no payment must be listed, check here //.

1998				
1999				
2000				
2001				
2002				
2003	Payer	Approximate Value	Circumstances	Type of Payment (e.g., Honoraria, Travel reimburse- ment, etc.)
2004				
2005				
2006				
2007				
2008				

2009 RETURN TO ITEM 5

2010 SCHEDULE D-2 — PAYMENTS BY THE COMMONWEALTH FOR MEETINGS.

2011 List each meeting for which the Commonwealth provided payments or reimbursements during the
 2012 past ~~six~~ 12 months to you for lodging, transportation, money, or any other thing of value with a
 2013 combined value exceeding \$100 for your participation in your capacity as a legislator. Do not list
 2014 payments or reimbursements by the Commonwealth for meetings or travel within the Commonwealth.

2015 If no payment must be listed, check here //.

2016				
2017				
2018				
2019				
2020				
2021	Payer	Approximate Value	Circumstances	Type of Payment (e.g., Travel reimbursement, etc.)
2022				
2023				
2024				
2025				
2026				

2027 SCHEDULE E — GIFTS.

2028 List each business, governmental entity, or individual that, during the past ~~six~~ 12 months, (i)
 2029 furnished you or a member of your immediate family with any gift or entertainment at a single event,
 2030 and the value received exceeded \$50 \$100 or (ii) furnished you or a member of your immediate family
 2031 with gifts or entertainment in any combination and the total value received exceeded \$50 \$100, and for
 2032 which you or the member of your immediate family neither paid nor rendered services in exchange. List
 2033 each such gift or event.

2034 Do not list entertainment events unless the average value per person attending the event exceeded
 2035 \$50 \$100. Do not list business entertainment related to the private profession or occupation of you or
 2036 the member of your immediate family who received such business entertainment. Do not list gifts or
 2037 other things of value given by a relative or personal friend for reasons clearly unrelated to your public
 2038 position. Do not list campaign contributions publicly reported as required by Chapter 9.3 (§ 24.2-945 et
 2039 seq.) of Title 24.2 of the Code of Virginia.

2040					
2041					
2042		Name of Business,	City or	Exact	
2043	Name of	Organization, or	County	Gift or	Approximate
2044	Recipient	Individual	and State	Event	Value

2045					
2046					
2047					
2048					
2049					

RETURN TO ITEM 6

SCHEDULE F-1 — BUSINESS INTERESTS.

Complete this Schedule for each self-owned or family-owned business (including rental property, a farm, or consulting work), partnership, or corporation in which you or a member of your immediate family, separately or together, own an interest having a value in excess of \$5,000.

If the enterprise is owned or operated under a trade, partnership, or corporate name, list that name; otherwise, merely explain the nature of the enterprise. If rental property is owned or operated under a trade, partnership, or corporate name, list the name only; otherwise, give the address of each property. Account for business interests held in trust.

2059						
2060						
2061	Name of					
2062	Business					
2063	Corporation,					
2064	Partnership,					
2065	Farm;					
2066	Address of	City or	Nature of	Gross income		
2067	Rental	County	(farming,	\$50,000	to	
2068	Property	and State	law, rental	or less	More than	
2069			property, etc.)	\$250,000	\$250,000	
2070						
2071						
2072						
2073						

RETURN TO ITEM 8

SCHEDULE F-2 — LOBBYIST RELATIONSHIPS AND PAYMENTS.

Complete this Schedule for each lobbyist relationship with the following:

(i) any person who is, or has been within the prior calendar year, registered as a lobbyist with the Secretary of the Commonwealth, or

(ii) any business in which you have a greater than three percent ownership interest and that business employs, or engages as an independent contractor, any person who is, or has been within the prior calendar year, registered as a lobbyist with the Secretary of the Commonwealth.

2083					
2084					
2085	Payments to				
2086	List each person	Describe each	Dates of	\$10,000	More than
2087	or business	relationship	relationship	or less	\$10,000
2088					
2089					
2090					
2091					
2092					

THE DISCLOSURE OF A LOBBYIST RELATIONSHIP SHALL NOT (I) CONSTITUTE A WAIVER OF ANY ATTORNEY-CLIENT OR OTHER PRIVILEGE, (II) REQUIRE A WAIVER OF ANY ATTORNEY-CLIENT OR OTHER PRIVILEGE FOR A THIRD PARTY, OR (III) BE REQUIRED WHERE A MEMBER OR MEMBER-ELECT IS EMPLOYED OR ENGAGED BY A PERSON AND SUCH PERSON ALSO EMPLOYS OR ENGAGES A PERSON IN A LOBBYIST RELATIONSHIP SO LONG AS THE MEMBER OR MEMBER-ELECT HAS NO FINANCIAL INTEREST IN THE LOBBYIST RELATIONSHIP.

SCHEDULE G-1 — PAYMENTS FOR REPRESENTATION BY YOU.

List the businesses you represented before any state governmental agency, excluding any court or

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2103 judge, for which you received total compensation during the past ~~six~~ 12 months in excess of \$1,000,
 2104 excluding compensation for other services to such businesses and representation consisting solely of the
 2105 filing of mandatory papers and subsequent representation regarding the mandatory papers filed by you.

2106 Identify each business, the nature of the representation and the amount received by dollar category
 2107 from each such business. You may state the type, rather than name, of the business if you are required
 2108 by law not to reveal the name of the business represented by you.

2109									
2110									
2111			Pur-						
2112			pose				Amount Received		
2113	Name	Type	of						
2114	of	of	Repre-	Name	\$1,001	\$10,001	\$50,001	\$100,001	
2115	Busi-	Busi-	sent-	of	to	to	to	to	\$250,001
2116	ness	ness	tion	Agency	\$10,000	\$50,000	\$100,000	\$250,000	and over
2117									
2118									
2119									
2120									
2121									

2122 If you have received \$250,001 or more from a single business within the reporting period, indicate
 2123 the amount received, rounded to the nearest \$10,000. Amount Received _____.

2124 SCHEDULE G-2 — PAYMENTS FOR REPRESENTATION BY ASSOCIATES.

2125 List the businesses that have been represented before any state governmental agency, excluding any
 2126 court or judge, by persons who are your partners, associates or others with whom you have a close
 2127 financial association and who received total compensation in excess of \$1,000 for such representation
 2128 during the past ~~six~~ 12 months, excluding representation consisting solely of the filing of mandatory
 2129 papers and subsequent representation regarding the mandatory papers filed by your partners, associates
 2130 or others with whom you have a close financial association.

2131 Identify such businesses by type and also name the state governmental agencies before which such
 2132 person appeared on behalf of such businesses.

2133									
2134									
2135	Type of Business			Name of State Governmental Agency					
2136									
2137									
2138									
2139									
2140									

2141 SCHEDULE G-3 — PAYMENTS FOR OTHER SERVICES GENERALLY.

2142 Indicate below types of businesses that operate in Virginia to which services were furnished by you
 2143 or persons with whom you have a close financial association pursuant to an agreement between you and
 2144 such businesses, or between persons with whom you have a close financial association and such
 2145 businesses and for which total compensation in excess of \$1,000 was received during the past ~~six~~ 12
 2146 months. Services reported in this Schedule shall not include services involving the representation of
 2147 businesses that are reported in Schedule G-1 or G-2 above.

2148 Identify opposite each category of businesses listed below (i) the type of business, (ii) the type of
 2149 service rendered and (iii) the value by dollar category of the compensation received for all businesses
 2150 falling within each category.

2151									
2152									
2153			Check						
2154			if	Type					
2155			ser-	of			Value of Compensation		
2156			vices	ser-					
2157			were	vice	\$1,001	\$10,001	\$50,001	\$100,001	
2158			ren-	ren-	to	to	to	to	\$250,001
2159			dered	dered	\$10,000	\$50,000	\$100,000	\$250,000	and over
2160	Electric utilities								
2161	Gas utilities								

2162	Telephone utilities	_____	_____	_____	_____	_____	_____
2163	Water utilities	_____	_____	_____	_____	_____	_____
2164	Cable television	_____	_____	_____	_____	_____	_____
2165	companies	_____	_____	_____	_____	_____	_____
2166	Interstate	_____	_____	_____	_____	_____	_____
2167	transportation	_____	_____	_____	_____	_____	_____
2168	companies	_____	_____	_____	_____	_____	_____
2169	Intrastate	_____	_____	_____	_____	_____	_____
2170	transportation	_____	_____	_____	_____	_____	_____
2171	companies	_____	_____	_____	_____	_____	_____
2172	Oil or gas retail	_____	_____	_____	_____	_____	_____
2173	companies	_____	_____	_____	_____	_____	_____
2174	Banks	_____	_____	_____	_____	_____	_____
2175	Savings	_____	_____	_____	_____	_____	_____
2176	institutions	_____	_____	_____	_____	_____	_____
2177	Loan or finance	_____	_____	_____	_____	_____	_____
2178	companies	_____	_____	_____	_____	_____	_____
2179	Manufacturing	_____	_____	_____	_____	_____	_____
2180	companies (state	_____	_____	_____	_____	_____	_____
2181	type of product,	_____	_____	_____	_____	_____	_____
2182	e.g., textile,	_____	_____	_____	_____	_____	_____
2183	furniture, etc.)	_____	_____	_____	_____	_____	_____
2184	Mining companies	_____	_____	_____	_____	_____	_____
2185	Life insurance	_____	_____	_____	_____	_____	_____
2186	companies	_____	_____	_____	_____	_____	_____
2187	Casualty insurance	_____	_____	_____	_____	_____	_____
2188	companies	_____	_____	_____	_____	_____	_____
2189	Other insurance	_____	_____	_____	_____	_____	_____
2190	companies	_____	_____	_____	_____	_____	_____
2191	Retail companies	_____	_____	_____	_____	_____	_____
2192	Beer, wine or	_____	_____	_____	_____	_____	_____
2193	liquor companies	_____	_____	_____	_____	_____	_____
2194	or distributors	_____	_____	_____	_____	_____	_____
2195	Trade associations	_____	_____	_____	_____	_____	_____
2196	Professional	_____	_____	_____	_____	_____	_____
2197	associations	_____	_____	_____	_____	_____	_____
2198	Associations of	_____	_____	_____	_____	_____	_____
2199	public employees	_____	_____	_____	_____	_____	_____
2200	or officials	_____	_____	_____	_____	_____	_____
2201	Counties, cities	_____	_____	_____	_____	_____	_____
2202	or towns	_____	_____	_____	_____	_____	_____
2203	Labor organizations	_____	_____	_____	_____	_____	_____
2204	Other	_____	_____	_____	_____	_____	_____

RETURN TO ITEM 9

SCHEDULE H — REAL ESTATE.

List real estate other than your principal residence in which you or a member of your immediate family holds an interest, including a partnership interest, option, easement, or land contract, valued at \$5,000 or more. Each parcel shall be listed individually.

2211	_____		
2212	_____		
2213		Describe the type of real	
2214		estate you own in each	
2215	List the location	location (business,	If the real estate is
2216	(state, and county	recreational, apartment,	owned or recorded in
2217	or city where you	commercial, open land,	a name other than your
2218	own real estate	etc.)	own, list that name

2219			
2220			
2221			
2222			
2223			
2224			

RETURN TO ITEM 10

SCHEDULE I — REAL ESTATE CONTRACTS WITH STATE GOVERNMENTAL AGENCIES.

List all contracts, whether pending or completed within the past ~~six~~ 12 months, with a state governmental agency for the sale or exchange of real estate in which you or a member of your immediate family holds an interest, including a corporate, partnership or trust interest, option, easement, or land contract, valued at more than \$10,000. List all contracts with a state governmental agency for the lease of real estate in which you or a member of your immediate family holds such an interest valued at more than \$1,000. This requirement to disclose an interest in a lease does not apply to an interest derived through an ownership interest in a business unless the ownership interest exceeds three percent of the total equity of the business.

List your real estate interest and the person or entity, including the type of entity, which is party to the contract. Describe any management role and the percentage ownership interest you or your immediate family member has in the real estate or entity.

List each governmental agency which is a party to the contract and indicate the county or city where the real estate is located.

State the annual income from the contract, and the amount, if any, of income you or any immediate family member derives annually from the contract.

2251			
2252			
2253			
2254			
2255			

B. Any legislator who knowingly and intentionally makes a false statement of a material fact on the Statement of Economic Interests is guilty of a Class 5 felony and shall be subject to disciplinary action for such violations by the house in which the legislator sits.

C. ~~The~~ In accordance with the rules of each house, the Statement of Economic Interests of all members of each house shall be reviewed. *The Statements of Economic Interests may also be reviewed* by the Council. If a legislator's Statement is found to be inadequate as filed, the legislator shall be notified in writing and directed to file an amended Statement correcting the indicated deficiencies, and a time shall be set within which such amendment shall be filed. If the Statement of Economic Interests, in either its original or amended form, is found to be adequate as filed, the legislator's filing shall be deemed in full compliance with this section as to the information disclosed thereon.

D. Ten percent of the membership of a house, on the basis of newly discovered facts, may in writing request the house in which those members sit, in accordance with the rules of that house, to review the Statement of Economic Interests of another member of that house in order to determine the adequacy of his filing. In accordance with the rules of each house, each Statement of Economic Interests shall be promptly reviewed, the adequacy of the filing determined, and notice given in writing to the legislator whose Statement is in issue. Should it be determined that the Statement requires correction, augmentation or revision, the legislator involved shall be directed to make the changes required within such time as shall be set under the rules of each house.

If a legislator, after having been notified in writing in accordance with the rules of the house in which he sits that his Statement is inadequate as filed, fails to amend his Statement so as to come into compliance within the time limit set, he shall be subject to disciplinary action by the house in which he

sits. No legislator shall vote on any question relating to his own Statement.

§ 30-126. Civil penalty from violation of this chapter.

A. In addition to any other fine or penalty provided by law, any money or other thing of value derived by a legislator from a violation of §§ 30-103 through 30-108 shall be forfeited and, in the event of a knowing violation, there may also be imposed a civil penalty in an amount equal to the amount of money or thing of value forfeited to the Commonwealth. If the thing of value received by the legislator in violation of this chapter should enhance in value between the time of the violation and the time of discovery of the violation, the greater value shall determine the amount of the civil penalty.

B. A legislator who fails to file the disclosure form required by § 30-111 within the time period prescribed shall be assessed a civil penalty in an amount equal to \$250. The *Council clerk of the appropriate house* shall notify the Attorney General of any legislator's failure to file the required form within 30 days of the deadline for filing and the Attorney General shall assess and collect the civil penalty. All civil penalties collected pursuant to this subsection shall be deposited into the general fund and used exclusively to fund the Council.

§ 30-129.1. Orientation sessions on ethics and conflicts of interests.

The Virginia Conflict of Interest and Ethics Advisory Council shall conduct an orientation session (i) for new and returning General Assembly members preceding each even-numbered year regular session and (ii) for any new General Assembly member who is elected in a special election and whose term commences after the date of the orientation session provided for in clause (i) and at least six months before the date of the next such orientation session within three months of his election. Attendance at the full orientation session shall be mandatory for newly elected members. Attendance at a refresher session *lasting at least two hours* shall be mandatory for returning members and may be accomplished by online participation. There shall be no penalty for the failure of a member to attend the full or refresher orientation session, but the member must disclose his attendance pursuant to § 30-111. *No orientation or refresher session conducted by the Council shall last more than two hours.*

§ 30-356.1. Request for approval for certain travel.

A. The Council shall receive and review a request for the approval of travel submitted by a person required to file the disclosure form prescribed in § 2.2-3117 or 30-111 to accept any travel-related transportation, lodging, hospitality, ~~food or beverage~~, or other thing of value that has a value exceeding \$100 where such approval is required pursuant to subsection G of § 2.2-3103.1 or subsection F of § 30-103.1. A request for the approval of travel shall not be required for the following, but such travel shall be disclosed as may be required by the Acts:

1. Travel disclosed pursuant to the Campaign Finance Disclosure Act (§ 24.2-945 et seq.);
2. Travel paid for or provided by the government of the United States, any of its territories, or any state or any political subdivision of such state;
3. Travel provided to facilitate attendance by a legislator at a regular or special session of the General Assembly, a meeting of a legislative committee or commission, or a national conference where attendance is approved by the House or Senate Committee on Rules; ~~or~~
4. Travel related to an official meeting of the Commonwealth, its political subdivisions, or any board, commission, authority, or other entity, or any charitable organization established pursuant to § 501(c)(3) of the Internal Revenue Code affiliated with such entity, to which such person has been appointed or elected or is a member by virtue of his office or employment;
5. *Travel provided by a nonpartisan state, regional, national, or international legislative organization, or an affiliated organization of such organization, of which a legislator or an officer or employee of the legislative branch is a member by virtue of his office or employment; or*
6. *Travel provided by a charitable organization established pursuant to § 501(c)(3) of the Internal Revenue Code to a legislator or an officer or employee of the legislative branch if such organization has been approved by the Joint Rules Committee of the General Assembly to provide such travel.*

B. When reviewing a request for the approval of travel, the Council shall consider the purpose of the travel as it relates to the official duties of the requester. The Council shall approve any request for travel that bears a reasonable relationship between the purpose of the travel and the official duties of the requester. Such travel shall include any meeting, conference, or other event (i) composed primarily of public officials, (ii) at which public policy related to the duties of the requester will be discussed in a substantial manner, (iii) reasonably expected to educate the requester on issues relevant to his official duties or to enhance the requester's knowledge and skills relative to his official duties, or (iv) at which the requester has been invited to speak regarding matters reasonably related to the requester's official duties.

C. The Council shall not approve any travel requests that bear no reasonable relationship between the purpose of the proposed travel and the official duties of the requester. In making such determination, the Council shall consider the duration of travel, the destination of travel, the estimated value of travel, and any previous or recurring travel.

2339 D. Within five business days of receipt of a request for the approval of travel, the Council shall grant
2340 or deny the request, unless additional information has been requested. If additional information has been
2341 requested, the Council shall grant or deny the request for the approval within five business days of
2342 receipt of such information. If the Council has not granted or denied the request for approval of travel
2343 or requested additional information within such five-day period, such travel shall be deemed to have
2344 been approved by the Council. Nothing in this subsection shall preclude a person from amending or
2345 resubmitting a request for the approval of travel. The Council may authorize a designee to review and
2346 grant or deny requests for the approval of travel.

2347 E. A request for the approval of travel shall be on a form prescribed by the Council and made
2348 available on its website. Such form may be submitted by electronic means, facsimile, in-person
2349 submission, or mail or commercial mail delivery.

2350 F. No person shall be prosecuted, assessed a civil penalty, or otherwise disciplined for acceptance of
2351 a travel-related thing of value if he accepted the travel-related thing of value after receiving approval
2352 under this section, regardless of whether such approval is later withdrawn, provided the travel occurred
2353 prior to the withdrawal of the approval.