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HOUSE BILL NO. 1362**AMENDMENT IN THE NATURE OF A SUBSTITUTE**(Proposed by the Joint Conference Committee
on March 11, 2016)(Patrons Prior to Substitute—Delegates Gilbert [HBs 779, 868, 889, 890, 891, 892, and 1361] and
McClellan [HBs 857, 860, 861, and 862])

A BILL to amend and reenact §§ 2.2-419, 2.2-426, 2.2-427, 2.2-3101, 2.2-3106, 2.2-3109.1, 2.2-3114, 2.2-3115, 2.2-3116, as it is currently effective and as it shall become effective, 2.2-3117, 2.2-3118, 2.2-3118.1, 24.2-502, 30-101, 30-110, 30-111, 30-356, and 30-356.1 of the Code of Virginia and to amend the Code of Virginia by adding sections numbered 2.2-3114.2, 30-110.1, and 30-356.2, relating to lobbyist reporting, the State and Local Government Conflict of Interests Act, and the General Assembly Conflicts of Interests Act; annual filing of required disclosures; definition of gift; separate report of gifts; definition of procurement action; technical amendments.

Be it enacted by the General Assembly of Virginia:

1. That §§ 2.2-419, 2.2-426, 2.2-427, 2.2-3101, 2.2-3106, 2.2-3109.1, 2.2-3114, 2.2-3115, 2.2-3116, as it is currently effective and as it shall become effective, 2.2-3117, 2.2-3118, 2.2-3118.1, 24.2-502, 30-101, 30-110, 30-111, 30-356, and 30-356.1 of the Code of Virginia are amended and reenacted and that the Code of Virginia is amended by adding sections numbered 2.2-3114.2, 30-110.1, and 30-356.2 as follows:

§ 2.2-419. Definitions.

As used in this article, unless the context requires a different meaning:

"Anything of value" means:

1. A pecuniary item, including money, or a bank bill or note;
 2. A promissory note, bill of exchange, order, draft, warrant, check, or bond given for the payment of money;
 3. A contract, agreement, promise, or other obligation for an advance, conveyance, forgiveness of indebtedness, deposit, distribution, loan, payment, gift, pledge, or transfer of money;
 4. A stock, bond, note, or other investment interest in an entity;
 5. A receipt given for the payment of money or other property;
 6. A right in action;
 7. A gift, tangible good, chattel, or an interest in a gift, tangible good, or chattel;
 8. A loan or forgiveness of indebtedness;
 9. A work of art, antique, or collectible;
 10. An automobile or other means of personal transportation;
 11. Real property or an interest in real property, including title to realty, a fee simple or partial interest, present or future, contingent or vested within realty, a leasehold interest, or other beneficial interest in realty;
 12. An honorarium or compensation for services;
 13. A rebate or discount in the price of anything of value unless the rebate or discount is made in the ordinary course of business to a member of the public without regard to that person's status as an executive or legislative official, or the sale or trade of something for reasonable compensation that would ordinarily not be available to a member of the public;
 14. A promise or offer of employment; or
 15. Any other thing of value that is pecuniary or compensatory in value to a person.
- "Anything of value" does not mean a campaign contribution properly received and reported pursuant to Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2.

"Compensation" means:

1. An advance, conveyance, forgiveness of indebtedness, deposit, distribution, loan, payment, gift, pledge, or transfer of money or anything of value; or
2. A contract, agreement, promise or other obligation for an advance, conveyance, forgiveness of indebtedness, deposit, distribution, loan, payment, gift, pledge, or transfer of money or anything of value, for services rendered or to be rendered.

"Compensation" does not mean reimbursement of expenses if the reimbursement does not exceed the amount actually expended for the expenses and it is substantiated by an itemization of expenses.

"Council" means the Virginia Conflict of Interest and Ethics Advisory Council established in § 30-355.

"Executive action" means the proposal, drafting, development, consideration, amendment, adoption, approval, promulgation, issuance, modification, rejection, or postponement by an executive agency or official of legislation or executive orders issued by the Governor. "Executive action" includes

60 procurement transactions.

61 "Executive agency" means an agency, board, commission, or other body in the executive branch of
62 state government. "Executive agency" includes the State Corporation Commission, the Virginia Workers'
63 Compensation Commission, and the Virginia Lottery.

64 "Executive official" means:

- 65 1. The Governor;
- 66 2. The Lieutenant Governor;
- 67 3. The Attorney General;
- 68 4. Any officer or employee of the office of the Governor, Lieutenant Governor, or Attorney General
69 other than a clerical or secretarial employee;
- 70 5. The Governor's Secretaries, the Deputy Secretaries, and the chief executive officer of each
71 executive agency; or
- 72 6. Members of supervisory and policy boards, commissions and councils, as defined in § 2.2-2100,
73 however selected.

74 "Expenditure" means:

- 75 1. A purchase, payment, distribution, loan, forgiveness of a loan or payment of a loan by a third
76 party, advance, deposit, transfer of funds, a promise to make a payment, or a gift of money or anything
77 of value for any purpose;
- 78 2. A payment to a lobbyist for salary, fee, reimbursement for expenses, or other purpose by a person
79 employing, retaining, or contracting for the services of the lobbyist separately or jointly with other
80 persons;
- 81 3. A payment in support of or assistance to a lobbyist or the lobbyist's activities, including the direct
82 payment of expenses incurred at the request or suggestion of the lobbyist;
- 83 4. A payment that directly benefits an executive or legislative official or a member of the official's
84 immediate family;
- 85 5. A payment, including compensation, payment, or reimbursement for the services, time, or expenses
86 of an employee for or in connection with direct communication with an executive or legislative official;
- 87 6. A payment for or in connection with soliciting or urging other persons to enter into direct
88 communication with an executive or legislative official; or
- 89 7. A payment or reimbursement for categories of expenditures required to be reported pursuant to
90 this chapter.

91 "Expenditure" does not mean a campaign contribution properly received and reported pursuant to
92 Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2.

93 "Fair market value" means the price that a good or service would bring between a willing seller and
94 a willing buyer in the open market after negotiations. If the fair market value cannot be determined, the
95 actual price paid for the good or service shall be given consideration.

96 "Gift" means anything of value, including any gratuity, favor, discount, entertainment, hospitality,
97 loan, forbearance, or other item having monetary value, and includes services as well as gifts of
98 transportation, local travel, lodgings, and meals, whether provided in-kind or by purchase of a ticket,
99 payment in advance, or reimbursement after the expense has been incurred.

100 "Gift" does not mean:

- 101 1. Printed informational or promotional material;
- 102 2. A gift that is not used and, no later than 60 days after receipt, is returned to the donor or
103 delivered to a charitable organization and is not claimed as a charitable contribution for federal income
104 tax purposes;
- 105 3. A devise or inheritance;
- 106 4. A gift of a value of ~~\$50~~ or less than \$20;
- 107 5. Any offer of a ticket, coupon, or other admission or pass unless the ticket, coupon, admission, or
108 pass is used;
- 109 6. Any food or beverages provided to an individual at an event at which the individual is performing
110 official duties related to his public service;
- 111 7. Any food and beverages received at or registration or attendance fees waived for any event at
112 which the individual is a featured speaker, presenter, or lecturer;
- 113 8. An unsolicited award of appreciation or recognition in the form of a plaque, trophy, wall
114 memento, or similar item that is given in recognition of public, civic, charitable, or professional service;
- 115 9. Any gift ~~from~~ to an individual's spouse, child, uncle, aunt, niece, nephew, or first cousin; a person
116 to whom the donee is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild,
117 brother, sister, step-parent, step-grandparent, step-grandchild, step-brother, or step-sister; or the donee's
118 brother's or sister's spouse;
- 119 10. Travel provided to facilitate attendance by a legislator at a regular or special session of the
120 General Assembly, a meeting of a legislative committee or commission, or a national conference where
121 attendance is approved by the House *Committee on Rules or its Chairman* or the Senate Committee on

Rules or its Chairman; or

11. Travel related to an official meeting of the Commonwealth, its political subdivisions, or any board, commission, authority, or other entity, or any charitable organization established pursuant to § 501(c)(3) of the Internal Revenue Code affiliated with such entity, to which such person has been appointed or elected or is a member by virtue of his office or employment.

"Immediate family" means (i) the spouse and (ii) any other person who resides in the same household as the executive or legislative official and who is a dependent of the official.

"Legislative action" means:

1. Preparation, research, drafting, introduction, consideration, modification, amendment, approval, passage, enactment, tabling, postponement, defeat, or rejection of a bill, resolution, amendment, motion, report, nomination, appointment, or other matter by the General Assembly or a legislative official;

2. Action by the Governor in approving, vetoing, or recommending amendments for a bill passed by the General Assembly; or

3. Action by the General Assembly in overriding or sustaining a veto by the Governor, considering amendments recommended by the Governor, or considering, confirming, or rejecting an appointment of the Governor.

"Legislative official" means:

1. A member or member-elect of the General Assembly;

2. A member of a committee, subcommittee, commission, or other entity established by and responsible to the General Assembly or either house of the General Assembly; or

3. Persons employed by the General Assembly or an entity established by and responsible to the General Assembly.

"Lobbying" means:

1. Influencing or attempting to influence executive or legislative action through oral or written communication with an executive or legislative official; or

2. Solicitation of others to influence an executive or legislative official.

"Lobbying" does not mean:

1. Requests for appointments, information on the status of pending executive and legislative actions, or other ministerial contacts if there is no attempt to influence executive or legislative actions;

2. Responses to published notices soliciting public comment submitted to the public official designated in the notice to receive the responses;

3. The solicitation of an association by its members to influence legislative or executive action; or

4. Communications between an association and its members and communications between a principal and its lobbyists.

"Lobbyist" means:

1. An individual who is employed and receives payments, or who contracts for economic consideration, including reimbursement for reasonable travel and living expenses, for the purpose of lobbying;

2. An individual who represents an organization, association, or other group for the purpose of lobbying; or

3. A local government employee who lobbies.

"Lobbyist's principal" or "principal" means the entity on whose behalf the lobbyist influences or attempts to influence executive or legislative action. An organization whose employees conduct lobbying activities on its behalf is both a principal and an employer of the lobbyists. In the case of a coalition or association that employs or retains others to conduct lobbying activities on behalf of its membership, the principal is the coalition or association and not its individual members.

"Local government" means:

1. Any county, city, town, or other local or regional political subdivision;

2. Any school division;

3. Any organization or entity that exercises governmental powers that is established pursuant to an interstate compact; or

4. Any organization composed of members representing entities listed in subdivisions 1, 2, or 3 of this definition.

"Local government employee" means a public employee of a local government.

"Person" means an individual, proprietorship, firm, partnership, joint venture, joint stock company, syndicate, business trust, estate, company, corporation, association, club, committee, organization, or group of persons acting in concert.

"Procurement transaction" means all functions that pertain to obtaining all goods, services, or construction on behalf of an executive agency, including description of requirements, selection and solicitation of sources, preparation and award of contract, and all phases of contract administration where the stated or expected value of the contract is \$5 million or more.

183 "Secretary" means the Secretary of the Commonwealth.

184 "Value" means the actual cost or fair market value of an item or items, whichever is greater. If the
185 fair market value cannot be determined, the actual amount paid for the item or items shall be given
186 consideration.

187 "Widely attended event" means an event at which at least 25 persons have been invited to attend or
188 there is a reasonable expectation that at least 25 persons will attend the event and the event is open to
189 individuals (i) who share a common interest, (ii) who are members of a public, civic, charitable, or
190 professional organization, (iii) who are from a particular industry or profession, or (iv) who represent
191 persons interested in a particular issue.

192 **§ 2.2-426. Lobbyist reporting; penalty.**

193 A. Each lobbyist shall file with the Council a separate ~~semiannual~~ *annual* report of expenditures,
194 including gifts, for each principal for whom he lobbies by ~~December 15 for the preceding six-month~~
195 ~~period complete through the last day of October and June 15 July 1~~ for the preceding six-month
196 ~~12-month~~ period complete through the last day of April.

197 B. Each principal who expends more than \$500 to employ or compensate multiple lobbyists shall be
198 responsible for filing a consolidated lobbyist report pursuant to this section in any case in which the
199 lobbyists are each exempt under the provisions of subdivision 7 or 8 of § 2.2-420 from the reporting
200 requirements of this section.

201 C. (Effective January 1, 2016, through July 1, 2016) The report shall be on a form ~~provided~~
202 ~~prescribed~~ by the Council, ~~which shall be substantially similar to the following~~ and shall be
203 accompanied by instructions provided by the Council.

204 C. (Effective July 1, 2016) The report shall be on a form ~~provided~~ *prescribed* by the Council, ~~which~~
205 ~~shall be substantially similar to the following~~ and shall be accompanied by instructions provided by the
206 Council. All reports shall be submitted electronically and in accordance with the standards approved by
207 the Council pursuant to the provisions of § 30-356.

208 ~~LOBBYIST'S DISCLOSURE STATEMENT~~

209 ~~PART I:-~~

210 ~~(1) PRINCIPAL: _____~~
211 ~~In Part I, item 2a, provide the name of the individual~~
212 ~~authorizing your employment as a lobbyist. The lobbyist filing~~
213 ~~this statement MAY NOT list his name in item 2a.~~

214 ~~(2a) Name: _____~~

215 ~~(2b) Permanent Business Address: _____~~

216 ~~(2c) Business Telephone: _____~~

217 ~~(3) Provide a list of executive and legislative actions (with as~~
218 ~~much specificity as possible) for which you lobbied and a~~
219 ~~description of activities conducted.~~

220 _____
221 _____
222 _____

223 ~~(4) INCORPORATED FILINGS: If you are filing an incorporated~~
224 ~~disclosure statement, please complete the following:~~
225 ~~Individual filing financial information: _____~~
226 ~~Individuals to be included in the filing: _____~~
227 _____

228 ~~(5) Please indicate which schedules will be attached to your~~
229 ~~disclosure statement:~~

230 ~~[] Schedule A: Entertainment Expenses~~

231 ~~[] Schedule B: Gifts~~

232 ~~[] Schedule C: Other Expenses~~

233 ~~(6) EXPENDITURE TOTALS:~~

234 ~~a) ENTERTAINMENT \$ _____~~

235 ~~b) GIFTS \$ _____~~

236 ~~c) COMMUNICATIONS \$ _____~~

237 ~~d) PERSONAL LIVING AND TRAVEL EXPENSES \$ _____~~

238 ~~e) COMPENSATION OF LOBBYISTS \$ _____~~

239 ~~f) HONORARIA \$ _____~~

240 ~~g) OTHER \$ _____~~

241 ~~TOTAL \$ _____~~

242 ~~PART II:~~

243 ~~(1a) NAME OF LOBBYIST: _____~~

244 ~~(1b) Permanent Business Address: _____~~

245 ~~(1c) Business Telephone: _____~~

246 ~~(2) As a lobbyist, you are (check one)~~

247 ~~☐ EMPLOYED (on the payroll of the principal)~~

248 ~~☐ RETAINED (not on the payroll of the principal, however~~

249 ~~compensated)~~

250 ~~☐ NOT COMPENSATED (not compensated; expenses may be reimbursed)~~

251 ~~(3) List all lobbyists other than yourself who registered to~~

252 ~~represent your principal.~~

253 ~~_____~~

254 ~~_____~~

255 ~~_____~~

256 ~~(4) If you selected "EMPLOYED" as your answer to Part II, item 2,~~

257 ~~provide your job title.~~

258 ~~_____~~

259 ~~PLEASE NOTE: Some lobbyists are not individually compensated for~~

260 ~~lobbying activities. This may occur when several members of a firm~~

261 ~~represent a single principal. The principal, in turn, makes a single~~

262 ~~payment to the firm. If this describes your situation, do not answer~~

263 ~~Part II, items 5a and 5b. Instead, complete Part III, items 1 and 2.~~

264 ~~(5a) What was the DOLLAR AMOUNT OF YOUR COMPENSATION as a lobbyist?~~

265 ~~(If you have job responsibilities other than those involving~~

266 ~~lobbying, you may have to prorate to determine the part of your~~

267 ~~salary attributable to your lobbying activities.) Transfer your~~

268 ~~answer to this item to Part I, item 6e.~~

269 ~~(5b) Explain how you arrived at your answer to Part II, item 5a.~~

270 ~~_____~~

271 ~~_____~~

272 ~~_____~~

273 ~~PART III:~~

274 ~~PLEASE NOTE: If you answered Part II, items 5a and 5b, you WILL NOT~~

275 ~~complete this section.~~

276 ~~(1) List all members of your firm, organization, association,~~

277 ~~corporation, or other entity who furnished lobbying services to~~

278 ~~your principal.~~

279 ~~_____~~

280 ~~_____~~

281 ~~_____~~

282 ~~(2) Indicate the total amount paid to your firm, organization,~~

283 ~~association, corporation, or other entity for services rendered.~~

284 ~~Transfer your answer to this item to Part I, item 6e. _____~~

285 ~~SCHEDULE A~~

286 ~~ENTERTAINMENT EXPENSES~~

287 ~~PLEASE NOTE: Any single entertainment event included in the expense~~

288 ~~totals of the principal, with a value greater than \$50, should be~~

289 ~~itemized below. Transfer any totals from this schedule to Part I,~~

290 ~~item 6a. (Please duplicate as needed.)~~

291 ~~Date and Location of Event:~~

292 ~~_____~~

293 ~~_____~~

294 ~~Description of Event (including whether or not it meets the criteria~~

295 ~~of a widely attended event):~~

296 ~~_____~~

297 ~~_____~~

298 Total Number of Persons Attending:

299 _____

300 Names of Legislative and Executive Officials or Members of Their

301 Immediate Families Attending: (List names only if the average value

302 for each person attending the event was greater than \$50.)

303 _____

304 _____

305 _____

306 _____

307 Food \$ _____

308 Beverages \$ _____

309 Transportation of Legislative and Executive Officials

310 or Members of Their Immediate Families \$ _____

311 Lodging of Legislative and Executive Officials or

312 Members of Their Immediate Families \$ _____

313 Performers, Speakers, Etc. \$ _____

314 Displays \$ _____

315 Rentals \$ _____

316 Service Personnel \$ _____

317 Miscellaneous \$ _____

318 TOTAL \$ _____

319 SCHEDULE B

320 GIFTS

321 PLEASE NOTE: Any single gift reported in the expense totals of the

322 principal, with a value greater than \$50, should be itemized below.

323 (Report meals, entertainment and travel under Schedule A.) Transfer

324 any totals from this schedule to Part I, item 6b. (Please duplicate

325 as needed.)

326	Name of each			
327	legislative or			
328	executive official			
329	or member of his			
330	immediate family			Cost of
331	Date	Description	who is a recipient	individual
332	of gift:	of gift:	of a gift:	gift:
333	_____	_____	_____	\$ _____
334	_____	_____	_____	\$ _____
335	_____	_____	_____	\$ _____
336	_____	_____	_____	\$ _____
337	TOTAL COST TO PRINCIPAL			\$ _____

338 SCHEDULE C

339 OTHER EXPENSES

340 PLEASE NOTE: This section is provided for any lobbying-related

341 expenses not covered in Part I, items 6a - 6f. An example of an

342 expenditure to be listed on schedule C would be the rental of a

343 bill box during the General Assembly session. Transfer the total

344 from this schedule to Part I, item 6g. (Please duplicate as needed.)

345	DATE OF EXPENSE	DESCRIPTION OF EXPENSE	AMOUNT
346	_____	_____	\$ _____
347	_____	_____	\$ _____
348	_____	_____	\$ _____
349	_____	_____	\$ _____
350	_____	_____	\$ _____
351	_____	_____	\$ _____
352	_____	_____	\$ _____
353	_____	_____	\$ _____
354	_____	_____	\$ _____

TOTAL "OTHER" EXPENSES _____ \$ _____

PART IV: STATEMENTS

The following items are mandatory and if they are not properly completed, the entire filing will be rejected and returned to the lobbyist:

- (1) All signatures on the statement must be ORIGINAL in the format specified in the instructions provided by the Council that accompany this form. No stamps, or other reproductions of the individual's signature will be accepted.
- (2) An individual MAY NOT sign the disclosure statement as lobbyist and principal officer.

STATEMENT OF LOBBYIST

I, the undersigned registered lobbyist, do state that the information furnished on this disclosure statement and on all accompanying attachments required to be made thereto is, to the best of my knowledge and belief, complete and accurate.

Signature of lobbyist

Date

STATEMENT OF PRINCIPAL

I, the undersigned principal (or an authorized official thereof), do state that the information furnished on this disclosure statement and on all accompanying attachments required to be made thereto is, to the best of my knowledge and belief, complete and accurate.

Signature of principal

Date

D. A person who knowingly and intentionally makes a false statement of a material fact on the disclosure statement is guilty of a Class 5 felony.

E. *The name of a legislative or executive official, or a member of his immediate family, attending any reportable entertainment event shall not be required to be disclosed by the principal if that legislative or executive official reimburses the principal for, or otherwise pays for, his attendance, or the attendance of a member of his immediate family, at the entertainment event. Reimbursement shall be calculated using the average value for each person attending the event.*

F. Each lobbyist shall send to each legislative and executive official who is required to be identified by name on Schedule A or B of the Lobbyist's Disclosure Form a copy of Schedule A or B or a summary of the information pertaining to that official. Copies or summaries shall be provided to the official by ~~November 24~~ *December 15* for the preceding ~~six-month~~ *12-month* period complete through the last day of ~~October~~ *April* and by ~~May 24~~ *November 30* for the preceding ~~six-month~~ *12-month* period complete through the last day of ~~April~~ *November 30*.

§ 2.2-427. Filings; inspection.

Registration statements ~~and lobbying reports~~ shall be open to public inspection and copying during the regular business hours of the office of the Secretary of the Commonwealth. *Lobbying reports shall be open to public inspection and copying during the regular business hours of the Council.*

~~Such~~ *Such* Registration statements ~~and reports~~ shall be deemed to have been filed only when actually received in the office of the Secretary or mailed to the Secretary by registered, certified, or regular mail with the sender retaining sufficient proof of mailing, which may be a United States Postal Certificate of Mailing. *Lobbying reports shall be deemed to have been filed only when received by the Council in accordance with the standards approved by the Council pursuant to § 30-356.*

§ 2.2-3101. Definitions.

As used in this chapter, unless the context requires a different meaning:

"Advisory agency" means any board, commission, committee or post which does not exercise any sovereign power or duty, but is appointed by a governmental agency or officer or is created by law for the purpose of making studies or recommendations, or advising or consulting with a governmental agency.

414 "Affiliated business entity relationship" means a relationship, other than a parent-subsidary
415 relationship, that exists when (i) one business entity has a controlling ownership interest in the other
416 business entity, (ii) a controlling owner in one entity is also a controlling owner in the other entity, or
417 (iii) there is shared management or control between the business entities. Factors that may be considered
418 in determining the existence of an affiliated business entity relationship include that the same person or
419 substantially the same person owns or manages the two entities, there are common or commingled funds
420 or assets, the business entities share the use of the same offices or employees, or otherwise share
421 activities, resources or personnel on a regular basis, or there is otherwise a close working relationship
422 between the entities.

423 "Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise,
424 association, trust or foundation, or any other individual or entity carrying on a business or profession,
425 whether or not for profit.

426 "Candidate" means a person who seeks or campaigns for an office of the Commonwealth or one of
427 its governmental units in a general, primary, or special election and who is qualified to have his name
428 placed on the ballot for the office. The candidate shall become subject to the provisions of this chapter
429 upon the filing of a statement of qualification pursuant to § 24.2-501. The State Board of Elections or
430 general registrar shall notify each such candidate of the provisions of this chapter. Notification made by
431 the general registrar shall consist of information developed by the State Board of Elections.

432 "Contract" means any agreement to which a governmental agency is a party, or any agreement on
433 behalf of a governmental agency that involves the payment of money appropriated by the General
434 Assembly or a political subdivision, whether or not such agreement is executed in the name of the
435 Commonwealth, or some political subdivision thereof. "Contract" includes a subcontract only when the
436 contract of which it is a part is with the officer's or employee's own governmental agency.

437 "Council" means the Virginia Conflict of Interest and Ethics Advisory Council established in
438 § 30-355.

439 "Employee" means all persons employed by a governmental or advisory agency, unless otherwise
440 limited by the context of its use.

441 "Financial institution" means any bank, trust company, savings institution, industrial loan association,
442 consumer finance company, credit union, broker-dealer as defined in subsection A of § 13.1-501, or
443 investment company or advisor registered under the federal Investment Advisors Act or Investment
444 Company Act of 1940.

445 "Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item
446 having monetary value. It includes services as well as gifts of transportation, local travel, lodgings and
447 meals, whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the
448 expense has been incurred. "Gift" does not include (i) any offer of a ticket, coupon, or other admission
449 or pass unless the ticket, coupon, admission, or pass is used; (ii) honorary degrees; (iii) any athletic,
450 merit, or need-based scholarship or any other financial aid awarded by a public or private school,
451 institution of higher education, or other educational program pursuant to such school, institution, or
452 program's financial aid standards and procedures applicable to the general public; (iv) a campaign
453 contribution properly received and reported pursuant to Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2;
454 (v) any gift related to the private profession or occupation of an officer or employee or of a member of
455 his immediate family; (vi) food or beverages consumed while attending an event at which the filer is
456 performing official duties related to his public service; (vii) food and beverages received at or
457 registration or attendance fees waived for any event at which the filer is a featured speaker, presenter, or
458 lecturer; (viii) unsolicited awards of appreciation or recognition in the form of a plaque, trophy, wall
459 memento, or similar item that is given in recognition of public, civic, charitable, or professional service;
460 (ix) a devise or inheritance; (x) travel disclosed pursuant to the Campaign Finance Disclosure Act
461 (§ 24.2-945 et seq.); (xi) travel paid for or provided by the government of the United States, any of its
462 territories, or any state or any political subdivision of such state; (xii) travel provided to facilitate
463 attendance by a legislator at a regular or special session of the General Assembly, a meeting of a
464 legislative committee or commission, or a national conference where attendance is approved by the
465 House *Committee on Rules or its Chairman* or the Senate *Committee on Rules or its Chairman*; (xiii)
466 travel related to an official meeting of the Commonwealth, its political subdivisions, or any board,
467 commission, authority, or other entity, or any charitable organization established pursuant to § 501(c)(3)
468 of the Internal Revenue Code affiliated with such entity, to which such person has been appointed or
469 elected or is a member by virtue of his office or employment; ~~or~~ (xiv) *gifts with a value of less than*
470 *\$20; or* (xv) gifts from relatives or personal friends. For the purpose of this definition, "relative" means
471 the donee's spouse, child, uncle, aunt, niece, nephew, or first cousin; a person to whom the donee is
472 engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother, sister,
473 step-parent, step-grandparent, step-grandchild, step-brother, or step-sister; or the donee's brother's or
474 sister's spouse. For the purpose of this definition, "personal friend" does not include any person that the
475 filer knows or has reason to know is (a) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of

Chapter 4 of Title 2.2; (b) a lobbyist's principal as defined in § 2.2-419; (c) for an officer or employee of a local governmental or advisory agency, a person, organization, or business who is a party to or is seeking to become a party to a contract with the local agency of which he is an officer or an employee; or (d) for an officer or employee of a state governmental or advisory agency, a person, organization, or business who is a party to or is seeking to become a party to a contract with the Commonwealth. For purposes of this definition, "person, organization, or business" includes individuals who are officers, directors, or owners of or who have a controlling ownership interest in such organization or business.

"Governmental agency" means each component part of the legislative, executive or judicial branches of state and local government, including each office, department, authority, post, commission, committee, and each institution or board created by law to exercise some regulatory or sovereign power or duty as distinguished from purely advisory powers or duties. Corporations organized or controlled by the Virginia Retirement System are "governmental agencies" for purposes of this chapter.

"Immediate family" means (i) a spouse and (ii) any other person who resides in the same household as the officer or employee and who is a dependent of the officer or employee.

"Officer" means any person appointed or elected to any governmental or advisory agency including local school boards, whether or not he receives compensation or other emolument of office. Unless the context requires otherwise, "officer" includes members of the judiciary.

"Parent-subsidiary relationship" means a relationship that exists when one corporation directly or indirectly owns shares possessing more than 50 percent of the voting power of another corporation.

"Personal interest" means a financial benefit or liability accruing to an officer or employee or to a member of his immediate family. Such interest shall exist by reason of (i) ownership in a business if the ownership interest exceeds three percent of the total equity of the business; (ii) annual income that exceeds, or may reasonably be anticipated to exceed, \$5,000 from ownership in real or personal property or a business; (iii) salary, other compensation, fringe benefits, or benefits from the use of property, or any combination thereof, paid or provided by a business or governmental agency that exceeds, or may reasonably be anticipated to exceed, \$5,000 annually; (iv) ownership of real or personal property if the interest exceeds \$5,000 in value and excluding ownership in a business, income, or salary, other compensation, fringe benefits or benefits from the use of property; (v) personal liability incurred or assumed on behalf of a business if the liability exceeds three percent of the asset value of the business; or (vi) an option for ownership of a business or real or personal property if the ownership interest will consist of clause (i) or (iv) above.

"Personal interest in a contract" means a personal interest that an officer or employee has in a contract with a governmental agency, whether due to his being a party to the contract or due to a personal interest in a business that is a party to the contract.

"Personal interest in a transaction" means a personal interest of an officer or employee in any matter considered by his agency. Such personal interest exists when an officer or employee or a member of his immediate family has a personal interest in property or a business or governmental agency, or represents or provides services to any individual or business and such property, business or represented or served individual or business (i) is the subject of the transaction or (ii) may realize a reasonably foreseeable direct or indirect benefit or detriment as a result of the action of the agency considering the transaction. Notwithstanding the above, such personal interest in a transaction shall not be deemed to exist where (a) an elected member of a local governing body serves without remuneration as a member of the board of trustees of a not-for-profit entity and such elected member or member of his immediate family has no personal interest related to the not-for-profit entity or (b) an officer, employee, or elected member of a local governing body is appointed by such local governing body to serve on a governmental agency, or an officer, employee, or elected member of a separate local governmental agency formed by a local governing body is appointed to serve on a governmental agency, and the personal interest in the transaction of the governmental agency is the result of the salary, other compensation, fringe benefits, or benefits provided by the local governing body or the separate governmental agency to the officer, employee, elected member, or member of his immediate family.

"State and local government officers and employees" shall not include members of the General Assembly.

"State filer" means those officers and employees required to file a disclosure statement of their personal interests pursuant to subsection A or B of § 2.2-3114.

"Transaction" means any matter considered by any governmental or advisory agency, whether in a committee, subcommittee, or other entity of that agency or before the agency itself, on which official action is taken or contemplated.

§ 2.2-3106. Prohibited contracts by officers and employees of state government and Eastern Virginia Medical School.

A. No officer or employee of any governmental agency of state government or Eastern Virginia Medical School shall have a personal interest in a contract with the governmental agency of which he is

537 an officer or employee, other than his own contract of employment.

538 B. No officer or employee of any governmental agency of state government or Eastern Virginia
539 Medical School shall have a personal interest in a contract with any other governmental agency of state
540 government unless such contract is (i) awarded as a result of competitive sealed bidding or competitive
541 negotiation as set forth in § 2.2-4302.1 or 2.2-4302.2 or (ii) is awarded after a finding, in writing, by the
542 administrative head of the governmental agency that competitive bidding or negotiation is contrary to the
543 best interest of the public.

544 C. The provisions of this section shall not apply to:

545 1. An employee's personal interest in additional contracts of employment with his own governmental
546 agency that accrue to him because of a member of his immediate family, provided the employee does
547 not exercise any control over the employment or the employment activities of the member of his
548 immediate family and the employee is not in a position to influence those activities;

549 2. The personal interest of an officer or employee of a state institution of higher education or the
550 Eastern Virginia Medical School in additional contracts of employment with his own governmental
551 agency that accrue to him because of a member of his immediate family, provided (i) the officer or
552 employee and the immediate family member are engaged in teaching, research or administrative support
553 positions at the educational institution or the Eastern Virginia Medical School, (ii) the governing board
554 of the educational institution finds that it is in the best interests of the institution or the Eastern Virginia
555 Medical School and the Commonwealth for such dual employment to exist, and (iii) after such finding,
556 the governing board of the educational institution or the Eastern Virginia Medical School ensures that
557 the officer or employee, or the immediate family member, does not have sole authority to supervise,
558 evaluate or make personnel decisions regarding the other;

559 3. An officer's or employee's personal interest in a contract of employment with any other
560 governmental agency of state government;

561 4. Contracts for the sale by a governmental agency or the Eastern Virginia Medical School of
562 services or goods at uniform prices available to the general public;

563 5. An employee's personal interest in a contract between a public institution of higher education in
564 Virginia or the Eastern Virginia Medical School and a publisher or wholesaler of textbooks or other
565 educational materials for students, which accrues to him solely because he has authored or otherwise
566 created such textbooks or materials;

567 6. An employee's personal interest in a contract with his or her employing public institution of higher
568 education to acquire the collections or scholarly works owned by the employee, including manuscripts,
569 musical scores, poetry, paintings, books or other materials, writings, or papers of an academic, research,
570 or cultural value to the institution, provided the president of the institution approves the acquisition of
571 such collections or scholarly works as being in the best interests of the institution's public mission of
572 service, research, or education;

573 7. Subject to approval by the board of visitors, an employee's personal interest in a contract between
574 the Eastern Virginia Medical School or a public institution of higher education in Virginia that operates
575 a school of medicine or dentistry and a not-for-profit nonstock corporation that operates a clinical
576 practice within such public institution of higher education or the Eastern Virginia Medical School and of
577 which such employee is a member or employee;

578 8. Subject to approval by the relevant board of visitors, an employee's personal interest in a contract
579 for research and development or commercialization of intellectual property between a public institution
580 of higher education in Virginia or the Eastern Virginia Medical School and a business in which the
581 employee has a personal interest, if (i) the employee's personal interest has been disclosed to and
582 approved by such public institution of higher education or the Eastern Virginia Medical School prior to
583 the time at which the contract is entered into; (ii) the employee promptly files a disclosure statement
584 pursuant to § 2.2-3117 and thereafter files such statement annually on or before ~~December~~ January 15;
585 (iii) the institution has established a formal policy regarding such contracts, approved by the State
586 Council of Higher Education or, in the case of the Eastern Virginia Medical School, a formal policy
587 regarding such contracts in conformity with any applicable federal regulations that has been approved by
588 its board of visitors; and (iv) no later than December 31 of each year, the institution or the Eastern
589 Virginia Medical School files an annual report with the Secretary of the Commonwealth disclosing each
590 open contract entered into subject to this provision, the names of the parties to each contract, the date
591 each contract was executed and its term, the subject of each contractual arrangement, the nature of the
592 conflict of interest, the institution's or the Eastern Virginia Medical School's employee responsible for
593 administering each contract, the details of the institution's or the Eastern Virginia Medical School's
594 commitment or investment of resources or finances for each contract, and any other information
595 requested by the Secretary of the Commonwealth; or

596 9. Subject to approval by the relevant board of visitors, an employee's personal interest in a contract
597 between a public institution of higher education in Virginia or the Eastern Virginia Medical School and
598 a business in which the employee has a personal interest, if (i) the personal interest has been disclosed

to the institution or the Eastern Virginia Medical School prior to the time the contract is entered into; (ii) the employee files a disclosure statement pursuant to § 2.2-3117 and thereafter annually on or before ~~December~~ January 15; (iii) the employee does not participate in the institution's or the Eastern Virginia Medical School's decision to contract; (iv) the president of the institution or the Eastern Virginia Medical School finds and certifies in writing that the contract is for goods and services needed for quality patient care, including related medical education or research, by the institution's medical center or the Eastern Virginia Medical School, its affiliated teaching hospitals and other organizations necessary for the fulfillment of its mission, including the acquisition of drugs, therapies and medical technologies; and (v) no later than December 31 of each year, the institution or the Eastern Virginia Medical School files an annual report with the Secretary of the Commonwealth disclosing each open contract entered subject to this provision, the names of the parties to each contract, the date each contract was executed and its term, the subject of each contractual arrangement, the nature of the conflict of interest, the institution's or the Eastern Virginia Medical School's employee responsible for administering each contract, the details of the institution's or the Eastern Virginia Medical School's commitment or investment of resources or finances for each contract, and any other information requested by the Secretary of the Commonwealth.

D. Notwithstanding the provisions of subdivisions C 8 and C 9, if the research and development or commercialization of intellectual property or the employee's personal interest in a contract with a business is subject to policies and regulations governing conflicts of interest promulgated by any agency of the United States government, including the adoption of policies requiring the disclosure and management of such conflicts of interests, the policies established by the Eastern Virginia Medical School pursuant to such federal requirements shall constitute compliance with subdivisions C 8 and C 9, upon notification by the Eastern Virginia Medical School to the Secretary of the Commonwealth by January 31 of each year of evidence of their compliance with such federal policies and regulations.

E. The board of visitors may delegate the authority granted under subdivision C 8 to the president of the institution. If the board elects to delegate such authority, the board shall include this delegation of authority in the formal policy required by clause (iii) of subdivision C 8. In those instances where the board has delegated such authority, on or before December 1 of each year, the president of the relevant institution shall file a report with the relevant board of visitors disclosing each open contract entered into subject to this provision, the names of the parties to each contract, the date each contract was executed and its term, the subject of each contractual arrangement, the nature of the conflict of interest, the institution's or the Eastern Virginia Medical School's employee responsible for administering each contract, the details of the institution's or the Eastern Virginia Medical School's commitment or investment of resources or finances for each contract, the details of how revenues are to be dispersed, and any other information requested by the board of visitors.

§ 2.2-3109.1. Prohibited contracts; additional exclusions for contracts by officers and employees of hospital authorities.

A. As used in this section, "hospital authority" means a hospital authority established pursuant to Chapter 53 (§ 15.2-5300 et seq.) of Title 15.2 or an Act of Assembly.

B. The provisions of § 2.2-3109 shall not apply to:

1. The personal interest of an officer or employee of a hospital authority in additional contracts of employment with his own governmental agency that accrue to him because of a member of his immediate family, provided (i) the officer or employee and the immediate family member are licensed members of the medical profession or hold administrative support positions at the hospital authority, (ii) the governing board of the hospital authority finds that it is in the best interests of the hospital authority and the county, city, or town for such dual employment to exist, and (iii) after such finding, the governing board of the hospital authority ensures that neither the officer or employee, nor the immediate family member, has sole authority to supervise, evaluate, or make personnel decisions regarding the other;

2. Subject to approval by the governing board of the hospital authority, an officer or employee's personal interest in a contract between his hospital authority and a professional entity that operates a clinical practice at any medical facilities of such other hospital authority and of which such officer or employee is a member or employee;

3. Subject to approval by the relevant governing body, an officer or employee's personal interest in a contract for research and development or commercialization of intellectual property between the hospital authority and a business in which the employee has a personal interest, provided (i) the officer or employee's personal interest has been disclosed to and approved by the hospital authority prior to the time at which the contract is entered into; (ii) the officer or employee promptly files a disclosure statement pursuant to § 2.2-3117 and thereafter files such statement annually on or before ~~December~~ January 15; (iii) the local hospital authority has established a formal policy regarding such contracts in conformity with any applicable federal regulations that has been approved by its governing body; and

660 (iv) no later than December 31 of each year, the local hospital authority files an annual report with the
661 Virginia Conflict of Interest and Ethics Advisory Council disclosing each open contract entered into
662 subject to this provision, the names of the parties to each contract, the date each contract was executed
663 and its term, the subject of each contractual arrangement, the nature of the conflict of interest, the
664 hospital authority's employee responsible for administering each contract, the details of such hospital
665 authority's commitment or investment of resources or finances for each contract, and any other
666 information requested by the Virginia Conflict of Interest and Ethics Advisory Council; or

667 4. Subject to approval by the relevant governing body, an officer or employee's personal interest in a
668 contract between the hospital authority and a business in which the officer or employee has a personal
669 interest, provided (i) the personal interest has been disclosed to the hospital authority prior to the time
670 the contract is entered into; (ii) the officer or employee files a disclosure statement pursuant to
671 § 2.2-3117 and thereafter annually on or before ~~December~~ *January* 15; (iii) the officer or employee does
672 not participate in the hospital authority's decision to contract; (iv) the president or chief executive officer
673 of the hospital authority finds and certifies in writing that the contract is for goods and services needed
674 for quality patient care, including related medical education or research, by any of the hospital
675 authority's medical facilities or any of its affiliated organizations, or is otherwise necessary for the
676 fulfillment of its mission, including but not limited to the acquisition of drugs, therapies, and medical
677 technologies; and (v) no later than December 31 of each year, the hospital authority files an annual
678 report with the Virginia Conflict of Interest and Ethics Advisory Council disclosing each open contract
679 entered into subject to this provision, the names of the parties to each contract, the date each contract
680 was executed and its term, the subject of each contractual arrangement, the nature of the conflict of
681 interest, the hospital authority's employee responsible for administering each contract, the details of the
682 hospital authority's commitment or investment of resources or finances for each contract, and any other
683 information requested by the Virginia Conflict of Interest and Ethics Advisory Council.

684 C. Notwithstanding the provisions of subdivisions B 3 and B 4, if the research and development or
685 commercialization of intellectual property or the officer or employee's personal interest in a contract
686 with a business is subject to policies and regulations governing conflicts of interest promulgated by any
687 agency of the United States government, including the adoption of policies requiring the disclosure and
688 management of such conflicts of interest, the policies established by the hospital authority pursuant to
689 such federal requirements shall constitute compliance with subdivisions B 3 and B 4, upon notification
690 by the hospital authority to the Virginia Conflict of Interest and Ethics Advisory Council by January 31
691 of each year of evidence of its compliance with such federal policies and regulations.

692 D. The governing body may delegate the authority granted under subdivision B 2 to the president or
693 chief executive officer of hospital authority. If the board elects to delegate such authority, the board
694 shall include this delegation of authority in the formal policy required by clause (iii) of subdivision B 3.
695 In those instances where the board has delegated such authority, on or before December 1 of each year,
696 the president or chief executive officer of the hospital authority shall file a report with the relevant
697 governing body disclosing each open contract entered into subject to this provision, the names of the
698 parties to each contract, the date each contract was executed and its term, the subject of each contractual
699 arrangement, the nature of the conflict of interest, the hospital authority's employee responsible for
700 administering each contract, the details of the hospital authority's commitment or investment of resources
701 or finances for each contract, the details of how revenues are to be dispersed, and any other information
702 requested by the governing body.

703 **§ 2.2-3114. Disclosure by state officers and employees.**

704 A. The Governor, Lieutenant Governor, Attorney General, Justices of the Supreme Court, judges of
705 the Court of Appeals, judges of any circuit court, judges and substitute judges of any district court,
706 members of the State Corporation Commission, members of the Virginia Workers' Compensation
707 Commission, members of the Commonwealth Transportation Board, members of the Board of Trustees
708 of the Virginia Retirement System, members of the Virginia Alcoholic Beverage Control Board, and
709 members of the Virginia Lottery Board and other persons occupying such offices or positions of trust or
710 employment in state government, including members of the governing bodies of authorities, as may be
711 designated by the Governor, or officers or employees of the legislative branch, as may be designated by
712 the Joint Rules Committee of the General Assembly, shall file with the Council, as a condition to
713 assuming office or employment, a disclosure statement of their personal interests and such other
714 information as is ~~specified~~ *required* on the form ~~set forth in~~ *prescribed by the Council pursuant to*
715 *§ 2.2-3117 and thereafter shall file such a statement semiannually by December annually on or before*
716 *January 15 for the preceding six-month period complete through the last day of October and by June 15*
717 *for the preceding six-month period complete through the last day of April.* When the filing deadline falls
718 on a Saturday, Sunday, or legal holiday, the disclosure statement shall be filed on the next day that is
719 not a Saturday, Sunday, or legal holiday.

720 B. Nonsalaried citizen members of all policy and supervisory boards, commissions and councils in
721 the executive branch of state government, other than the Commonwealth Transportation Board, members

of the Board of Trustees of the Virginia Retirement System, and the Virginia Lottery Board, shall file with the Council, as a condition to assuming office, a disclosure form of their personal interests and such other information as is ~~specified~~ *required* on the form ~~set forth in~~ *prescribed by the Council pursuant to § 2.2-3118* and thereafter shall file such form annually on or before ~~December~~ *January* 15. When the filing deadline falls on a Saturday, Sunday, or legal holiday, the disclosure statement shall be filed on the next day that is not a Saturday, Sunday, or legal holiday. Nonsalaried citizen members of other boards, commissions and councils, including advisory boards and authorities, may be required to file a disclosure form if so designated by the Governor, in which case the form shall be that ~~set forth in~~ *prescribed by the Council pursuant to § 2.2-3118*.

C. (Effective January 1, 2016, until July 1, 2016) The disclosure forms required by subsections A and B shall be made available by the Council at least 30 days prior to the filing deadline. Disclosure forms shall be filed and maintained as public records for five years in the office of the Council. Such forms shall be made public no later than six weeks after filing.

C. (Effective July 1, 2016) The disclosure forms required by subsections A and B shall be made available by the Council at least 30 days prior to the filing deadline. Disclosure forms shall be filed electronically with the Council in accordance with the standards approved by it pursuant to § 30-356. All forms shall be maintained as public records for five years in the office of the Council. Such forms shall be made public no later than six weeks after filing.

D. Candidates for the offices of Governor, Lieutenant Governor or Attorney General shall file a disclosure statement of their personal interests as required by § 24.2-502.

E. Any officer or employee of state government who has a personal interest in any transaction before the governmental or advisory agency of which he is an officer or employee and who is disqualified from participating in that transaction pursuant to subdivision A 1 of § 2.2-3112, or otherwise elects to disqualify himself, shall forthwith make disclosure of the existence of his interest, including the full name and address of the business and the address or parcel number for the real estate if the interest involves a business or real estate, and his disclosure shall also be reflected in the public records of the agency for five years in the office of the administrative head of the officer's or employee's governmental agency or advisory agency or, if the agency has a clerk, in the clerk's office.

F. An officer or employee of state government who is required to declare his interest pursuant to subdivision A 2 of § 2.2-3112, shall declare his interest by stating (i) the transaction involved, (ii) the nature of the officer's or employee's personal interest affected by the transaction, (iii) that he is a member of a business, profession, occupation, or group the members of which are affected by the transaction, and (iv) that he is able to participate in the transaction fairly, objectively, and in the public interest. The officer or employee shall either make his declaration orally to be recorded in written minutes for his agency or file a signed written declaration with the clerk or administrative head of his governmental or advisory agency, as appropriate, who shall, in either case, retain and make available for public inspection such declaration for a period of five years from the date of recording or receipt. If reasonable time is not available to comply with the provisions of this subsection prior to participation in the transaction, the officer or employee shall prepare and file the required declaration by the end of the next business day.

G. An officer or employee of state government who is required to declare his interest pursuant to subdivision A 3 of § 2.2-3112, shall declare his interest by stating (i) the transaction involved, (ii) that a party to the transaction is a client of his firm, (iii) that he does not personally represent or provide services to the client, and (iv) that he is able to participate in the transaction fairly, objectively, and in the public interest. The officer or employee shall either make his declaration orally to be recorded in written minutes for his agency or file a signed written declaration with the clerk or administrative head of his governmental or advisory agency, as appropriate, who shall, in either case, retain and make available for public inspection such declaration for a period of five years from the date of recording or receipt. If reasonable time is not available to comply with the provisions of this subsection prior to participation in the transaction, the officer or employee shall prepare and file the required declaration by the end of the next business day.

H. Notwithstanding any other provision of law, chairs of departments at a public institution of higher education in the Commonwealth shall not be required to file the disclosure form prescribed by the Council pursuant to § 2.2-3117 or 2.2-3118.

§ 2.2-3114.2. Report of gifts by certain officers and employees of state government.

The Governor, Lieutenant Governor, Attorney General, and each member of the Governor's Cabinet shall file, on or before May 1, a report of gifts accepted or received by him or a member of his immediate family during the period beginning on January 1 complete through adjournment sine die of the regular session of the General Assembly. The gift report shall be on a form prescribed by the Council and shall be filed electronically with the Council in accordance with the standards approved by it pursuant to § 30-356. For purposes of this section, "adjournment sine die" means adjournment on the

783 *last legislative day of the regular session and does not include the ensuing reconvened session. Any gifts*
784 *reported pursuant to this section shall not be listed on the annual disclosure form prescribed by the*
785 *Council pursuant to § 2.2-3117.*

786 **§ 2.2-3115. Disclosure by local government officers and employees.**

787 A. The members of every governing body and school board of each county and city and of towns
788 with populations in excess of 3,500 shall file, as a condition to assuming office or employment, a
789 disclosure statement of their personal interests and other information as is ~~specified~~ *required* on the form
790 ~~set forth in~~ *prescribed by the Council pursuant to § 2.2-3117* and thereafter shall file such a statement
791 ~~semiannually by December annually on or before January 15 for the preceding six-month period~~
792 ~~complete through the last day of October and by June 15 for the preceding six-month period complete~~
793 ~~through the last day of April.~~

794 The members of the governing body of any authority established in any county or city, or part or
795 combination thereof, and having the power to issue bonds or expend funds in excess of \$10,000 in any
796 fiscal year, shall file, as a condition to assuming office, a disclosure statement of their personal interests
797 and other information as is ~~specified~~ *required* on the form ~~set forth in~~ *prescribed by the Council*
798 *pursuant to § 2.2-3118* and thereafter shall file such a statement annually on or before ~~December~~
799 ~~January 15, unless the governing body of the jurisdiction that appoints the members requires that the~~
800 ~~members file the form set forth in § 2.2-3117 semiannually by December 15 for the preceding six-month~~
801 ~~period complete through the last day of October and by June 15 for the preceding six-month period~~
802 ~~complete through the last day of April.~~

803 Persons occupying such positions of trust appointed by governing bodies and persons occupying such
804 positions of employment with governing bodies as may be designated to file by ordinance of the
805 governing body shall file, as a condition to assuming office or employment, a disclosure statement of
806 their personal interests and other information as is ~~specified~~ *required* on the form ~~set forth in~~ *prescribed*
807 *by the Council pursuant to § 2.2-3117* and thereafter shall file such a statement ~~semiannually by~~
808 ~~December annually on or before January 15 for the preceding six-month period complete through the~~
809 ~~last day of October and by June 15 for the preceding six-month period complete through the last day of~~
810 ~~April.~~

811 Persons occupying such positions of trust appointed by school boards and persons occupying such
812 positions of employment with school boards as may be designated to file by an adopted policy of the
813 school board shall file, as a condition to assuming office or employment, a disclosure statement of their
814 personal interests and other information as is ~~specified~~ *required* on the form ~~set forth in~~ *prescribed by*
815 *the Council pursuant to § 2.2-3117* and thereafter shall file such a statement ~~semiannually by December~~
816 ~~annually on or before January 15 for the preceding six-month period complete through the last day of~~
817 ~~October and by June 15 for the preceding six-month period complete through the last day of April.~~

818 B. Nonsalaried citizen members of local boards, commissions and councils as may be designated by
819 the governing body shall file, as a condition to assuming office, a disclosure form of their personal
820 interests and such other information as is ~~specified~~ *required* on the form ~~set forth in~~ *prescribed by the*
821 *Council pursuant to § 2.2-3118* and thereafter shall file such form annually on or before ~~December~~
822 ~~January 15.~~

823 C. No person shall be mandated to file any disclosure not otherwise required by this article.

824 D. The disclosure forms required by subsections A and B shall be made available by the Virginia
825 Conflict of Interest and Ethics Advisory Council at least 30 days prior to the filing deadline, and the
826 clerks of the governing body and school board shall distribute the forms to designated individuals at
827 least 20 days prior to the filing deadline. Forms shall be filed and maintained as public records for five
828 years in the office of the clerk of the respective governing body or school board. Forms filed by
829 members of governing bodies of authorities shall be filed and maintained as public records for five years
830 in the office of the clerk of the governing body of the county or city. Such forms shall be made public
831 no later than six weeks after filing.

832 E. Candidates for membership in the governing body or school board of any county, city or town
833 with a population of more than 3,500 persons shall file a disclosure statement of their personal interests
834 as required by § 24.2-502.

835 F. Any officer or employee of local government who has a personal interest in any transaction before
836 the governmental or advisory agency of which he is an officer or employee and who is disqualified
837 from participating in that transaction pursuant to subdivision A 1 of § 2.2-3112 or otherwise elects to
838 disqualify himself, shall forthwith make disclosure of the existence of his interest, including the full
839 name and address of the business and the address or parcel number for the real estate if the interest
840 involves a business or real estate, and his disclosure shall be reflected in the public records of the
841 agency for five years in the office of the administrative head of the officer's or employee's governmental
842 or advisory agency.

843 G. In addition to any disclosure required by subsections A and B, in each county and city and in
844 towns with populations in excess of 3,500, members of planning commissions, boards of zoning appeals,

real estate assessors, and all county, city and town managers or executive officers shall make annual disclosures of all their interests in real estate located in the county, city or town in which they are elected, appointed, or employed. Such disclosure shall include any business in which such persons own an interest, or from which income is received, if the primary purpose of the business is to own, develop or derive compensation through the sale, exchange or development of real estate in the county, city or town. Such disclosure shall be filed as a condition to assuming office or employment, and thereafter shall be filed annually with the clerk of the governing body of such county, city, or town on or before ~~December~~ January 15. Such disclosures shall be filed and maintained as public records for five years. Such forms shall be made public no later than six weeks after filing. Forms for the filing of such reports shall be made available by the Virginia Conflict of Interest and Ethics Advisory Council to the clerk of each governing body.

H. An officer or employee of local government who is required to declare his interest pursuant to subdivision A 2 of § 2.2-3112 shall declare his interest by stating (i) the transaction involved, (ii) the nature of the officer's or employee's personal interest affected by the transaction, (iii) that he is a member of a business, profession, occupation, or group the members of which are affected by the transaction, and (iv) that he is able to participate in the transaction fairly, objectively, and in the public interest. The officer or employee shall either make his declaration orally to be recorded in written minutes for his agency or file a signed written declaration with the clerk or administrative head of his governmental or advisory agency, as appropriate, who shall, in either case, retain and make available for public inspection such declaration for a period of five years from the date of recording or receipt. If reasonable time is not available to comply with the provisions of this subsection prior to participation in the transaction, the officer or employee shall prepare and file the required declaration by the end of the next business day. The officer or employee shall also orally disclose the existence of the interest during each meeting of the governmental or advisory agency at which the transaction is discussed and such disclosure shall be recorded in the minutes of the meeting.

I. An officer or employee of local government who is required to declare his interest pursuant to subdivision A 3 of § 2.2-3112, shall declare his interest by stating (i) the transaction involved, (ii) that a party to the transaction is a client of his firm, (iii) that he does not personally represent or provide services to the client, and (iv) that he is able to participate in the transaction fairly, objectively, and in the public interest. The officer or employee shall either make his declaration orally to be recorded in written minutes for his agency or file a signed written declaration with the clerk or administrative head of his governmental or advisory agency, as appropriate, who shall, in either case, retain and make available for public inspection such declaration for a period of five years from the date of recording or receipt. If reasonable time is not available to comply with the provisions of this subsection prior to participation in the transaction, the officer or employee shall prepare and file the required declaration by the end of the next business day.

§ 2.2-3116. (Effective from January 1, 2016, until July 1, 2016) Disclosure by certain constitutional officers.

For the purposes of this chapter, holders of the constitutional offices of treasurer, sheriff, attorney for the Commonwealth, clerk of the circuit court, and commissioner of the revenue of each county and city shall be required to file with the Council, as a condition to assuming office, the Statement of Economic Interests ~~set forth in prescribed by the Council pursuant to § 2.2-3117.~~ These officers shall file statements ~~semiannually by December~~ annually on or before January 15 for the preceding six-month period complete through the last day of October and by June 15 for the preceding six-month period complete through the last day of April. Candidates shall file statements as required by § 24.2-502. These officers shall be subject to the prohibition on certain gifts set forth in subsection B of § 2.2-3103.1.

§ 2.2-3116. (Effective July 1, 2016) Disclosure by certain constitutional officers.

For the purposes of this chapter, holders of the constitutional offices of treasurer, sheriff, attorney for the Commonwealth, clerk of the circuit court, and commissioner of the revenue of each county and city shall be required to file with the Council, as a condition to assuming office, the Statement of Economic Interests ~~set forth in prescribed by the Council pursuant to § 2.2-3117.~~ These officers shall file statements ~~semiannually by December~~ annually on or before January 15 for the preceding six-month period complete through the last day of October and by June 15 for the preceding six-month period complete through the last day of April. Candidates shall file statements as required by § 24.2-502. Statements shall be filed electronically with the Council in accordance with the standards approved by it pursuant to § 30-356. These officers shall be subject to the prohibition on certain gifts set forth in subsection B of § 2.2-3103.1.

§ 2.2-3117. Disclosure form.

(Effective from January 1, 2016, until July 1, 2016) The disclosure form to be used for filings required by subsections A and D of § 2.2-3114 and subsections A and E of § 2.2-3115 shall be substantially similar to the following prescribed by the Council. Any person who knowingly and

906 intentionally makes a false statement of a material fact on the Statement of Economic Interests is guilty
907 of a Class 5 felony.

908 (Effective July 1, 2016) The disclosure form to be used for filings required by subsections A and D
909 of § 2.2-3114 and subsections A and E of § 2.2-3115 shall be substantially similar to the following
910 *prescribed by the Council*. Except as otherwise provided in § 2.2-3115, all completed forms shall be
911 filed electronically with the Council in accordance with the standards approved by it pursuant to
912 § 30-356. Any person who knowingly and intentionally makes a false statement of a material fact on the
913 Statement of Economic Interests is guilty of a Class 5 felony.

914 STATEMENT OF ECONOMIC INTERESTS.

915 Name _____

916 Office or position held or sought _____

917 Address _____

918 Names of members of immediate family _____

919 DEFINITIONS AND EXPLANATORY MATERIAL.

920 "Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise,
921 association, trust or foundation, or any other individual or entity carrying on a business or profession,
922 whether or not for profit.

923 "Close financial association" means an association in which the person filing shares significant
924 financial involvement with an individual and the filer would reasonably be expected to be aware of the
925 individual's business activities and would have access to the necessary records either directly or through
926 the individual. "Close financial association" does not mean an association based on (i) the receipt of
927 retirement benefits or deferred compensation from a business by which the person filing this statement is
928 no longer employed; or (ii) the receipt of compensation for work performed by the person filing as an
929 independent contractor of a business that represents an entity before any state governmental agency
930 when the person filing has had no communications with the state governmental agency.

931 "Contingent liability" means a liability that is not presently fixed or determined; but may become
932 fixed or determined in the future with the occurrence of some certain event.

933 "Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item
934 having monetary value. It includes services as well as gifts of transportation, lodgings and meals,
935 whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the
936 expense has been incurred. "Gift" does not include (i) any offer of a ticket, coupon, or other admission
937 or pass unless the ticket, coupon, admission, or pass is used; (ii) honorary degrees; (iii) any athletic,
938 merit, or need-based scholarship or any other financial aid awarded by a public or private school,
939 institution of higher education, or other educational program pursuant to such school, institution, or
940 program's financial aid standards and procedures applicable to the general public; (iv) a campaign
941 contribution properly received and reported pursuant to Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2;
942 (v) any gift related to the private profession or occupation of an officer or employee or of a member of
943 his immediate family; (vi) food or beverages consumed while attending an event at which the filer is
944 performing official duties related to his public service; (vii) food and beverages received at or
945 registration or attendance fees waived for any event at which the filer is a featured speaker, presenter, or
946 lecturer; (viii) unsolicited awards of appreciation or recognition in the form of a plaque, trophy, wall
947 memento, or similar item that is given in recognition of public, civic, charitable, or professional service;
948 (ix) a devise or inheritance; (x) travel disclosed pursuant to the Campaign Finance Disclosure Act
949 (§ 24.2-945 et seq.); (xi) travel paid for or provided by the government of the United States, any of its
950 territories, or any state or any political subdivision of such state; (xii) travel provided to facilitate
951 attendance by a legislator at a regular or special session of the General Assembly, a meeting of a
952 legislative committee or commission, or a national conference where attendance is approved by the
953 House or Senate Committee on Rules; (xiii) travel related to an official meeting of the Commonwealth,
954 its political subdivisions, or any board, commission, authority, or other entity, or any charitable
955 organization established pursuant to § 501(c)(3) of the Internal Revenue Code affiliated with such entity,
956 to which such person has been appointed or elected or is a member by virtue of his office or
957 employment; or (xiv) gifts from relatives or personal friends. "Relative" means the donee's spouse, child,
958 uncle, aunt, niece, nephew, or first cousin; a person to whom the donee is engaged to be married; the
959 donee's or his spouse's parent, grandparent, grandchild, brother, sister, step-parent, step-grandparent,
960 step-grandchild, step-brother, or step-sister; or the donee's brother's or sister's spouse. "Personal friend"
961 does not include any person that the filer knows or has reason to know is (a) a lobbyist registered
962 pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4 of Title 2.2; (b) a lobbyist's principal as defined in
963 § 2.2-419; (c) for an officer or employee of a local governmental or advisory agency, a person,
964 organization, or business who is a party to or is seeking to become a party to a contract with the local
965 agency of which he is an officer or an employee; or (d) for an officer or employee of a state
966 governmental or advisory agency, a person, organization, or business who is a party to or is seeking to
967 become a party to a contract with the Commonwealth. "Person, organization, or business" includes

individuals who are officers, directors, or owners of or who have a controlling ownership interest in such organization or business.

"Immediate family" means (i) a spouse and (ii) any other person who resides in the same household as the officer or employee and who is a dependent of the officer or employee.

TRUST. If you or your immediate family, separately or together, are the only beneficiaries of a trust, treat the trust's assets as if you own them directly. If you or your immediate family has a proportional interest in a trust, treat that proportion of the trust's assets as if you own them directly. For example, if you and your immediate family have a one-third interest in a trust, complete your Statement as if you own one-third of each of the trust's assets. If you or a member of your immediate family created a trust and can revoke it without the beneficiaries' consent, treat its assets as if you own them directly.

REPORT TO THE BEST OF INFORMATION AND BELIEF. Information required on this Statement must be provided on the basis of the best knowledge, information, and belief of the individual filing the Statement as of the date of this report unless otherwise stated.

COMPLETE ITEMS 1 THROUGH 10. REFER TO SCHEDULES ONLY IF DIRECTED.

You may attach additional explanatory information.

1. Offices and Directorships.

Are you or a member of your immediate family a paid officer or paid director of a business?

EITHER check NO // OR check YES // and complete Schedule A.

2. Personal Liabilities.

Do you or a member of your immediate family owe more than \$5,000 to any one creditor including contingent liabilities? (Exclude debts to any government and loans secured by recorded liens on property at least equal in value to the loan.)

EITHER check NO // OR check YES // and complete Schedule B.

3. Securities.

Do you or a member of your immediate family, directly or indirectly, separately or together, own securities valued in excess of \$5,000 invested in one business? Account for mutual funds, limited partnerships and trusts.

EITHER check NO // OR check YES // and complete Schedule C.

4. Payments for Talks, Meetings, and Publications.

During the past six months did you receive in your capacity as an officer or employee of your agency lodging, transportation, money, or anything else of value with a combined value exceeding \$100 (i) for a single talk, meeting, or published work or (ii) for a meeting, conference, or event where your attendance at the meeting, conference, or event was designed to (a) educate you on issues relevant to your duties as an officer or employee of your agency or (b) enhance your knowledge and skills relative to your duties as an officer or employee of your agency?

EITHER check NO // OR check YES // and complete Schedule D.

5. Gifts.

During the past six months did a business, government, or individual other than a relative or personal friend (i) furnish you or a member of your immediate family with any gift or entertainment at a single event and the value received exceeded \$50 or (ii) furnish you or a member of your immediate family with gifts or entertainment in any combination and the total value received exceeded \$50, and for which you or the member of your immediate family neither paid nor rendered services in exchange? Account for entertainment events only if the average value per person attending the event exceeded \$50. Account for all business entertainment (except if related to the private profession or occupation of you or the member of your immediate family who received such business entertainment) even if unrelated to your official duties.

EITHER check NO // OR check YES // and complete Schedule E.

6. Salary and Wages.

List each employer that pays you or a member of your immediate family salary or wages in excess of \$5,000 annually. (Exclude state or local government or advisory agencies.)

If no reportable salary or wages, check here //.

7. Business Interests.

Do you or a member of your immediate family, separately or together, operate your own business, or own or control an interest in excess of \$5,000 in a business?

EITHER check NO // OR check YES // and complete Schedule F.

8. Payments for Representation and Other Services.

8A. Did you represent, excluding activity defined as lobbying in § 2.2-419, any businesses before any state governmental agencies, excluding courts or judges, for which you received total compensation

1029 during the past six months in excess of \$1,000, excluding compensation for other services to such
1030 businesses and representation consisting solely of the filing of mandatory papers and subsequent
1031 representation regarding the mandatory papers? (Officers and employees of local governmental and
1032 advisory agencies do NOT need to answer this question or complete Schedule G-1.)

1033 EITHER check NO / / OR check YES / / and complete Schedule G-1.

1034 8B. Subject to the same exceptions as in 8A, did persons with whom you have a close financial
1035 association (partners, associates or others) represent, excluding activity defined as lobbying in § 2.2-419,
1036 any businesses before any state governmental agency for which total compensation was received during
1037 the past six months in excess of \$1,000? (Officers and employees of local governmental and advisory
1038 agencies do NOT need to answer this question or complete Schedule G-2.)

1039 EITHER check NO / / OR check YES / / and complete Schedule G-2.

1040 8C. Did you or persons with whom you have a close financial association furnish services to
1041 businesses operating in Virginia pursuant to an agreement between you and such businesses, or between
1042 persons with whom you have a close financial association and such businesses for which total
1043 compensation in excess of \$1,000 was received during the past six months? Services reported under this
1044 provision shall not include services involving the representation of businesses that are reported under
1045 item 8A or 8B.

1046 EITHER check NO / / OR check YES / / and complete Schedule G-3.

1047 9. Real Estate.

1048 9A. State Officers and Employees.

1049 Do you or a member of your immediate family hold an interest, including a partnership interest,
1050 valued at more than \$5,000 in real property (other than your principal residence) for which you have not
1051 already listed the full address on Schedule F? Account for real estate held in trust.

1052 EITHER check NO / / OR check YES / / and complete Schedule H-1.

1053 9B. Local Officers and Employees.

1054 Do you or a member of your immediate family hold an interest, including a partnership interest, or
1055 option, easement, or land contract, valued at more than \$5,000 in real property (other than your principal
1056 residence) for which you have not already listed the full address on Schedule F? Account for real estate
1057 held in trust.

1058 EITHER check NO / / OR check YES / / and complete Schedule H-2.

1059 10. Real Estate Contracts with Governmental Agencies.

1060 Do you or a member of your immediate family hold an interest valued at more than \$5,000 in real
1061 estate, including a corporate, partnership, or trust interest, option, easement, or land contract, which real
1062 estate is the subject of a contract, whether pending or completed within the past six months, with a
1063 governmental agency? If the real estate contract provides for the leasing of the property to a
1064 governmental agency, do you or a member of your immediate family hold an interest in the real estate
1065 valued at more than \$1,000? Account for all such contracts whether or not your interest is reported in
1066 Schedule F, H-1, or H-2. This requirement to disclose an interest in a lease does not apply to an interest
1067 derived through an ownership interest in a business unless the ownership interest exceeds three percent
1068 of the total equity of the business.

1069 EITHER check NO / / OR check YES / / and complete Schedule I.

1070 Statements of Economic Interests are open for public inspection.

1071 AFFIRMATION BY ALL FILERS.

1072 I swear or affirm that the foregoing information is full, true and correct to the best of my knowledge.

1073 Signature _____

1074 (Return only if needed to complete Statement.)

1075 SCHEDULES to STATEMENT OF ECONOMIC INTERESTS.

1076 NAME _____

1077 SCHEDULE A — OFFICES AND DIRECTORSHIPS.

1078 Identify each business of which you or a member of your immediate family is a paid officer or paid
1079 director.

1080 _____

1081 _____

1082 Name of Business _____ Address of Business _____ Position Held and by Whom _____

1083 _____

1084 _____

1085 _____

1086 _____

1087 _____

1088 _____

1089 SCHEDULE B — PERSONAL LIABILITIES.

RETURN TO ITEM 2

1090 Report personal liability by checking each category. Report only debts in excess of \$5,000. Do not
 1091 report debts to any government. Do not report loans secured by recorded liens on property at least equal
 1092 in value to the loan.

1093 Report contingent liabilities below and indicate which debts are contingent.

1094 1. My personal debts are as follows:

1095			
1096			
1097	Check	Check one	
1098	appropriate	\$5,001 to	More than
1099	categories	\$50,000	\$50,000
1100	Banks		
1101	Savings institutions		
1102	Other loan or finance companies		
1103	Insurance companies		
1104	Stock, commodity or other brokerage companies		
1105	Other businesses:		
1106	(State principal business activity for each		
1107	creditor and its name.)		
1108			
1109			
1110	Individual creditors:		
1111	(State principal business or occupation of		
1112	each creditor and its name.)		
1113			
1114			
1115			

1116 2. The personal debts of the members of my immediate family are as follows:

1117			
1118			
1119	Check	Check one	
1120	appropriate	\$5,001 to	More than
1121	categories	\$50,000	\$50,000
1122	Banks		
1123	Savings institutions		
1124	Other loan or finance companies		
1125	Insurance companies		
1126	Stock, commodity or other brokerage companies		
1127	Other businesses:		
1128	(State principal business activity for each		
1129	creditor and its name.)		
1130			
1131			
1132	Individual creditors:		
1133	(State principal business or occupation of		
1134	each creditor and its name.)		
1135			
1136			
1137			

1138 RETURN TO ITEM 3

1139 SCHEDULE C — SECURITIES.

1140 "Securities" INCLUDES stocks, bonds, mutual funds, limited partnerships, and commodity futures
 1141 contracts.

1142 "Securities" EXCLUDES certificates of deposit, money market funds, annuity contracts, and
 1143 insurance policies.

1144 Identify each business or Virginia governmental entity in which you or a member of your immediate
 1145 family, directly or indirectly, separately or together, own securities valued in excess of \$5,000. Name
 1146 each issuer and type of security individually.

1147 Do not list U.S. Bonds or other government securities not issued by the Commonwealth of Virginia
 1148 or its authorities, agencies, or local governments. Do not list organizations that do not do business in
 1149 this Commonwealth, but most major businesses conduct business in Virginia. Account for securities held
 1150 in trust.

1151 If no reportable securities, check here ☐.

		Check one		
	Type of Security	\$5,001	\$50,001	More
	(stocks, bonds, mutual	to	to	than
	funds, etc.)	\$50,000	\$250,000	\$250,000
1157	Name of Issuer			
1158				
1159				
1160				
1161				
1162				

1163 RETURN TO ITEM 4

1164 SCHEDULE D — PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.

1165 List each source from which you received during the past six months in your capacity as an officer
 1166 or employee of your agency lodging, transportation, money, or any other thing of value with combined
 1167 value exceeding \$100 (i) for your presentation of a single talk, participation in one meeting, or
 1168 publication of a work or (ii) for your attendance at a meeting, conference, or event where your
 1169 attendance at the meeting, conference, or event was designed to (a) educate you on issues relevant to
 1170 your duties as an officer or employee of your agency or (b) enhance your knowledge and skills relative
 1171 to your duties as an officer or employee of your agency. Any lodging, transportation, money, or other
 1172 thing of value received by an officer or employee that does not satisfy the provisions of clause (i), (ii)
 1173 (a), or (ii) (b) shall be listed as a gift on Schedule E.

1174 List payments or reimbursements by an advisory or governmental agency only for meetings or travel
 1175 outside the Commonwealth.

1176 List a payment even if you donated it to charity.

1177 Do not list information about a payment if you returned it within 60 days or if you received it from
 1178 an employer already listed under Item 6 or from a source of income listed on Schedule F.

1179 If no payment must be listed, check here ☐.

		Type of payment	
		(e.g., honoraria,	
		travel reimburse-	
		ment, etc.)	
1185	Payer	Approximate Value	Circumstances
1186			
1187			
1188			
1189			
1190			

1191 RETURN TO ITEM 5

1192 SCHEDULE E — GIFTS.

1193 List each business, governmental entity, or individual that, during the past six months, (i) furnished
 1194 you or a member of your immediate family with any gift or entertainment at a single event, and the
 1195 value received exceeded \$50 or (ii) furnished you or a member of your immediate family with gifts or
 1196 entertainment in any combination and the total value received exceeded \$50, and for which you or the
 1197 member of your immediate family neither paid nor rendered services in exchange. List each such gift or
 1198 event. Do not list entertainment events unless the average value per person attending the event exceeded
 1199 \$50. Do not list business entertainment related to the private profession or occupation of you or the
 1200 member of your immediate family who received such business entertainment. Do not list gifts or other
 1201 things of value given by a relative or personal friend for reasons clearly unrelated to your public
 1202 position. Do not list campaign contributions publicly reported as required by Chapter 9.3 (§ 24.2-945 et
 1203 seq.) of Title 24.2 of the Code of Virginia.

1204	
1205	

1206	Name of Business,	City or	Exact	
1207	Name of	Organization, or	County	Gift or
1208	Recipient	Individual	and State	Event
1209				Value
1210				
1211				
1212				
1213				
1214				

RETURN TO ITEM 6

SCHEDULE F — BUSINESS INTERESTS.

Complete this Schedule for each self-owned or family-owned business (including rental property, a farm, or consulting work), partnership, or corporation in which you or a member of your immediate family, separately or together, own an interest having a value in excess of \$5,000.

If the enterprise is owned or operated under a trade, partnership, or corporate name, list that name; otherwise, merely explain the nature of the enterprise. If rental property is owned or operated under a trade, partnership, or corporate name, list the name only; otherwise, give the address of each property. Account for business interests held in trust.

1225	Name of Business,		Gross Income
1226	Corporation,		
1227	Partnership,	City or	Nature of Enterprise
1228	Farm; Address of County	(farming, law, rental	\$50,001 More
1229	Rental Property and State property, etc.)	or less	\$250,000 \$250,000
1230			
1231			
1232			
1233			
1234			
1235			

RETURN TO ITEM 8

SCHEDULE G-1 — PAYMENTS FOR REPRESENTATION BY YOU.

List the businesses you represented, excluding activity defined as lobbying in § 2.2-419, before any state governmental agency, excluding any court or judge, for which you received total compensation during the past six months in excess of \$1,000, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers filed by you.

Identify each business; the nature of the representation and the amount received by dollar category from each such business. You may state the type, rather than name, of the business if you are required by law not to reveal the name of the business represented by you.

Only STATE officers and employees should complete this Schedule.

1248	Pur	Amount Received
1249	pose	
1250	Name Type of Name	
1251	of of Repre of	\$1,001 \$10,001 \$50,001 \$100,001 \$250,001
1252	Busi Busi senta Agen	to to to to and
1253	ness ness tion ey	\$10,000 \$50,000 \$100,000 \$250,000 over
1254		
1255		
1256		
1257		
1258		

If you have received \$250,001 or more from a single business within the reporting period, indicate the amount received, rounded to the nearest \$10,000.

Amount Received: _____

SCHEDULE G-2 — PAYMENTS FOR REPRESENTATION BY ASSOCIATES. List the businesses that have been represented, excluding activity defined as lobbying in § 2.2-419, before any state

1264 governmental agency, excluding any court or judge, by persons who are your partners, associates or
 1265 others with whom you have a close financial association and who received total compensation in excess
 1266 of \$1,000 for such representation during the past six months, excluding representation consisting solely
 1267 of the filing of mandatory papers and subsequent representation regarding the mandatory papers filed by
 1268 your partners, associates or others with whom you have a close financial association.

1269 Identify such businesses by type and also name the state governmental agencies before which such
 1270 person appeared on behalf of such businesses.

1271 Only STATE officers and employees should complete this Schedule.

1272 _____

1273 _____

1274 Type of business _____ Name of state governmental agency

1275 _____

1276 _____

1277 _____

1278 _____

1279 _____

1280 SCHEDULE G-3 — PAYMENTS FOR OTHER SERVICES GENERALLY.

1281 Indicate below types of businesses that operate in Virginia to which services were furnished by you
 1282 or persons with whom you have a close financial association pursuant to an agreement between you and
 1283 such businesses, or between persons with whom you have a close financial association and such
 1284 businesses and for which total compensation in excess of \$1,000 was received during the past six
 1285 months. Services reported in this Schedule shall not include services involving the representation of
 1286 businesses that are reported in Schedule G-1 or G-2.

1287 Identify opposite each category of businesses listed below (i) the type of business; (ii) the type of
 1288 service rendered and (iii) the value by dollar category of the compensation received for all businesses
 1289 falling within each category.

1290 _____

1291 _____

1292 _____ Check _____ Value of Compensation

1293 _____ if _____ Type

1294 _____ ser- of

1295 _____ vices ser-

1296 _____ were vice \$1,001 \$10,001 \$50,001 \$100,001 \$250,001

1297 _____ ren- ren- to to to to and

1298 _____ dered dered \$10,000 \$50,000 \$100,000 \$250,000 over

1299 Electric utilities _____

1300 Gas utilities _____

1301 Telephone utilities _____

1302 Water utilities _____

1303 Cable television _____

1304 — companies _____

1305 Interstate _____

1306 — transportation _____

1307 — companies _____

1308 Intrastate _____

1309 — transportation _____

1310 — companies _____

1311 Oil or gas retail _____

1312 — companies _____

1313 Banks _____

1314 Savings institutions _____

1315 Loan or finance _____

1316 — companies _____

1317 Manufacturing _____

1318 — companies (state

1319 — type of product,

1320 — e.g., textile,

1321 — furniture, etc.) _____

1322 Mining companies _____
 1323 Life insurance _____
 1324 — companies _____
 1325 Casualty insurance _____
 1326 — companies _____
 1327 Other insurance _____
 1328 — companies _____
 1329 Retail companies _____
 1330 Beer, wine or liquor _____
 1331 — companies or _____
 1332 — distributors _____
 1333 Trade associations _____
 1334 Professional _____
 1335 — associations _____
 1336 Associations of _____
 1337 — public employees _____
 1338 — or officials _____
 1339 Counties, cities _____
 1340 — or towns _____
 1341 Labor organizations _____
 1342 Other _____
 1343 _____
 1344 _____

RETURN TO ITEM 9

SCHEDULE H-1 — REAL ESTATE — STATE OFFICERS AND EMPLOYEES.

List real estate other than your principal residence in which you or a member of your immediate family holds an interest, including a partnership interest, option, easement, or land contract, valued at more than \$5,000. Each parcel shall be listed individually.

1349 _____
 1350 _____
 1351 _____ Describe the type of real
 1352 List each location estate you own in each If the real estate is
 1353 (state, and county location (business, recre owned or recorded in
 1354 or city) where you ational, apartment, com a name other than your
 1355 own real estate. mercial, open land, etc.). own, list that name.
 1356 _____
 1357 _____
 1358 _____
 1359 _____
 1360 _____
 1361 _____

SCHEDULE H-2 — REAL ESTATE — LOCAL OFFICERS AND EMPLOYEES.

List real estate other than your principal residence in which you or a member of your immediate family holds an interest, including a partnership interest or option, easement, or land contract, valued at more than \$5,000. Each parcel shall be listed individually. Also list the names of any co-owners of such property, if applicable.

1367 _____
 1368 _____
 1369 _____ Describe the type
 1370 _____ of real estate
 1371 _____ you own in
 1372 _____ each location If the real estate
 1373 List each location (business, is owned or rec-
 1374 (state, and county recreational, orded in a name
 1375 or city) where apartment, com other than your List the names
 1376 you own real mercial, open own, list that of any co-owners,
 1377 estate. land, etc.). name. if applicable.
 1378 _____

1379 _____
 1380 _____
 1381 _____
 1382 _____
 1383 _____

1384 **SCHEDULE I — REAL ESTATE CONTRACTS WITH GOVERNMENTAL AGENCIES.**

1385 List all contracts, whether pending or completed within the past six months, with a governmental
 1386 agency for the sale or exchange of real estate in which you or a member of your immediate family
 1387 holds an interest, including a corporate, partnership or trust interest, option, easement, or land contract,
 1388 valued at more than \$10,000. List all contracts with a governmental agency for the lease of real estate in
 1389 which you or a member of your immediate family holds such an interest valued at more than \$1,000.
 1390 This requirement to disclose an interest in a lease does not apply to an interest derived through an
 1391 ownership interest in a business unless the ownership interest exceeds three percent of the total equity of
 1392 the business.

1393 State officers and employees report contracts with state agencies.

1394 Local officers and employees report contracts with local agencies.

1395 _____

1396

1397 ~~List your real estate~~

1398 ~~interest and the~~

1399 ~~person or entity,~~

1400 ~~including the type~~

1401 ~~of entity, which~~

1402 ~~is party to~~

1403 ~~the contract.~~

1404 Describe any _____ State the annual

1405 management role and _____ income from the

1406 the percentage _____ agency which is a _____ amount, if any, of

1407 ownership _____ party to the contract _____ income you or any

1408 interest you or your _____ and indicate the _____ immediate family

1409 immediate family _____ county or city where _____ member derives

1410 member has in the real _____ the real estate _____ annually from the

1411 estate or entity. _____ is located. _____ contract.

1412 _____

1413 _____

1414 _____

1415 _____

1416 _____

1417 _____

1418 **§ 2.2-3118. Disclosure form; certain citizen members.**

1419 A. (Effective from January 1, 2016, until July 1, 2016) The financial disclosure form to be used for
 1420 filings required pursuant to subsection B of § 2.2-3114 and subsection B of § 2.2-3115 shall be filed in
 1421 accordance with the provisions of § 30-356. The financial disclosure form shall be substantially as
 1422 follows: *prescribed by the Council.*

1423 A. (Effective July 1, 2016) The financial disclosure form to be used for filings required pursuant to
 1424 subsection B of § 2.2-3114 and subsection B of § 2.2-3115 shall be filed in accordance with the
 1425 provisions of § 30-356. The financial disclosure form shall be substantially similar to the following
 1426 *prescribed by the Council.* Except as otherwise provided in § 2.2-3115, all completed forms shall be
 1427 filed electronically with the Council in accordance with the standards approved by it pursuant to
 1428 § 30-356.

1429 **DEFINITIONS AND EXPLANATORY MATERIAL.**

1430 "Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise,
 1431 association, trust or foundation, or any other individual or entity carrying on a business or profession,
 1432 whether or not for profit.

1433 "Close financial association" means an association in which the person filing shares significant
 1434 financial involvement with an individual and the filer would reasonably be expected to be aware of the
 1435 individual's business activities and would have access to the necessary records either directly or through
 1436 the individual.

1437 "Close financial association" does not mean an association based on (i) the receipt of retirement

benefits or deferred compensation from a business by which the person filing this statement is no longer employed, or (ii) the receipt of compensation for work performed by the person filing as an independent contractor of a business that represents an entity before any state governmental agency when the person filing has no communications with the state governmental agency.

"Contingent liability" means a liability that is not presently fixed or determined, but may become fixed or determined in the future with the occurrence of some certain event.

"Immediate family" means (i) a spouse and (ii) any other person who resides in the same household as the filer and who is a dependent of the filer.

"Personal interest" means, for the purposes of this form only, a personal and financial benefit or liability accruing to a filer or a member of his immediate family. Such interest shall exist by reason of (i) ownership in real or personal property, tangible or intangible; (ii) ownership in a business; (iii) income from a business; or (iv) personal liability on behalf of a business; however, unless the ownership interest in a business exceeds three percent of the total equity of the business, or the liability on behalf of a business exceeds three percent of the total assets of the business, or the annual income, and/or property or use of such property, from the business exceeds \$10,000 or may reasonably be anticipated to exceed \$10,000, such interest shall not constitute a "personal interest."

Name _____

Office or position held or to be held _____

Address _____

I. FINANCIAL INTERESTS

My personal interests and those of my immediate family are as follows:

Include all forms of personal interests held at the time of filing: real estate, stocks, bonds, equity interests in proprietorships and partnerships. You may exclude:

1. Deposits and interest bearing accounts in banks, savings institutions and other institutions accepting such deposits or accounts;

2. Interests in any business, other than a news medium, representing less than three percent of the total equity value of the business;

3. Liability on behalf of any business representing less than three percent of the total assets of such business; and

4. Income (other than from salary) less than \$10,000 annually from any business. You need not state the value of any interest. You must state the name or principal business activity of each business in which you have a personal interest.

A. My personal interests are:

1. Residence, address, or, if no address, location _____

2. Other real estate, address, or, if no address, location _____

3. Name or principal business activity of each business in which stock, bond or equity interest is held _____

B. The personal interests of my immediate family are:

1. Real estate, address or, if no address, location _____

2. Name or principal business activity of each business in which stock, bond or equity interest is held _____

II. OFFICES, DIRECTORSHIPS AND SALARIED EMPLOYMENTS

The paid offices, paid directorships and salaried employments which I hold or which members of my immediate family hold and the businesses from which I or members of my immediate family receive retirement benefits are as follows:

(You need not state any dollar amounts.)

A. My paid offices, paid directorships and salaried employments are:

Position held	Name of business
_____	_____
_____	_____
_____	_____
_____	_____

B. The paid offices, paid directorships and salaried employments of members of my immediate

1499 family are:

1500		
1501		
1502	Position held	Name of business
1503		
1504		
1505		
1506		

1507 III. BUSINESSES TO WHICH SERVICES WERE FURNISHED

1508 A. The businesses I have represented, excluding activity defined as lobbying in § 2.2-419, before any
 1509 state governmental agency, excluding any court or judge, for which I have received total compensation
 1510 in excess of \$1,000 during the preceding year, excluding compensation for other services to such
 1511 businesses and representation consisting solely of the filing of mandatory papers, are as follows:

1512 Identify businesses by name and name the state governmental agencies before which you appeared on
 1513 behalf of such businesses.

1514		
1515		
1516	Name of business	Name of governmental agency
1517		
1518		
1519		
1520		

1521 B. The businesses that, to my knowledge, have been represented, excluding activity defined as
 1522 lobbying in § 2.2-419, before any state governmental agency, excluding any court or judge, by persons
 1523 with whom I have a close financial association and who received total compensation in excess of \$1,000
 1524 during the preceding year, excluding compensation for other services to such businesses and
 1525 representation consisting solely of the filing of mandatory papers, are as follows:

1526 Identify businesses by type and name the state governmental agencies before which such person
 1527 appeared on behalf of such businesses.

1528		
1529		
1530	Type of business	Name of state governmental agency
1531		
1532		
1533		
1534		

1535 C. All other businesses listed below that operate in Virginia to which services were furnished
 1536 pursuant to an agreement between you and such businesses and for which total compensation in excess
 1537 of \$1,000 was received during the preceding year:

1538 Check each category of business to which services were furnished.

1539	
1540	
1541	Electric utilities
1542	Gas utilities
1543	Telephone utilities
1544	Water utilities
1545	Cable television companies
1546	Intrastate transportation companies
1547	Interstate transportation companies
1548	Oil or gas retail companies
1549	Banks
1550	Savings institutions
1551	Loan or finance companies
1552	Manufacturing companies (state type
1553	— of product, e.g., textile, furniture,
1554	— etc.)
1555	Mining companies
1556	Life insurance companies

1557 Casualty insurance companies _____
 1558 Other insurance companies _____
 1559 Retail companies _____
 1560 Beer, wine or liquor companies or
 1561 — distributors _____
 1562 Trade associations _____
 1563 Professional associations _____
 1564 Associations of public employees or
 1565 — officials _____
 1566 Counties, cities or towns _____
 1567 Labor organizations _____
 1568 _____

1569 IV. COMPENSATION FOR EXPENSES

1570 The persons, associations, or other sources other than my governmental agency from which I or a
 1571 member of my immediate family received remuneration in excess of \$100 during the preceding year, in
 1572 cash or otherwise, as honorariums or payment of expenses in connection with my attendance at any
 1573 meeting or other function to which I was invited in my official capacity are as follows:

1574 _____
 1575 _____
 1576 _____ Description _____ Amount of remuneration
 1577 Name of Source _____ of occasion _____ for each occasion
 1578 _____
 1579 _____
 1580 _____
 1581 _____

1582 B. The provisions of Part III A and B of the disclosure form prescribed by this section shall not be
 1583 applicable to officers and employees of local governmental and local advisory agencies.

1584 C. Except for real estate located within the county, city or town in which the officer or employee
 1585 serves or a county, city or town contiguous to the county, city or town in which the officer or employee
 1586 serves, officers and employees of local governmental or advisory agencies shall not be required to
 1587 disclose under Part I of the form any other interests in real estate.

1588 § 2.2-3118.1. Special provisions for individuals serving in or seeking multiple positions or 1589 offices; reappointees.

1590 A. The filing of a single current statement of economic interests by a state officer or employee *an*
 1591 *individual* required to file the form prescribed in § 2.2-3117 shall suffice for the purposes of this chapter
 1592 as filing for all state positions or offices held or sought by such individual during a single reporting
 1593 period. The filing of a single current financial disclosure statement by a state officer or employee *an*
 1594 *individual* required to file the form prescribed in § 2.2-3118 shall suffice for the purposes of this chapter
 1595 as filing for all state positions or offices held or sought by such individual and requiring the filing of
 1596 the § 2.2-3118 form during a single reporting period.

1597 B. Any individual who has met the requirement for periodically filing a statement provided in
 1598 § 2.2-3117 or 2.2-3118 shall not be required to file an additional statement upon such individual's
 1599 reappointment to the same office or position for which he is required to file, provided such
 1600 reappointment occurs within six months after filing a statement pursuant to § 2.2-3117 and within 12
 1601 months after filing a statement pursuant to § 2.2-3118.

1602 § 24.2-502. Statement of economic interests as requirement of candidacy.

1603 It shall be a requirement of candidacy that a written statement of economic interests shall be filed by
 1604 (i) a candidate for Governor, Lieutenant Governor, or Attorney General ~~with the Secretary of the~~
 1605 ~~Commonwealth,~~ (ii) a candidate for *the* Senate or House of Delegates ~~with the clerk of the appropriate~~
 1606 ~~house,~~ (iii) *and* a candidate for a constitutional office with the ~~general registrar for the county or city,~~
 1607 *Virginia Conflict of Interest and Ethics Advisory Council* and ~~(iv)~~ (ii) a candidate for member of the
 1608 governing body or elected school board of any county, city, or town with a population in excess of
 1609 3,500 persons with the general registrar for the county or city. The statement of economic interests shall
 1610 be that specified in § 30-111 for candidates for the General Assembly and in § 2.2-3117 for all other
 1611 candidates. The foregoing requirement shall not apply to a candidate for reelection to the same office
 1612 who has met the requirement of annually filing a statement pursuant to § 2.2-3114, 2.2-3115, or 30-110.

1613 The Secretary of the Commonwealth and the clerks of the Senate and House of Delegates *Virginia*
 1614 *Conflict of Interest and Ethics Advisory Council* shall transmit to the State Board, immediately after the
 1615 filing deadline, a list of the candidates who have filed initial or annual statements of economic interests.
 1616 The general registrar, the clerk of the local governing body, or the clerk of the school board, as

1617 appropriate, shall transmit to the local electoral board, immediately after the filing deadline, a list of the
1618 candidates who have filed initial or annual statements of economic interests.

1619 **§ 30-101. Definitions.**

1620 As used in this chapter, unless the context requires a different meaning:

1621 "Advisory agency" means any board, commission, committee or post which does not exercise any
1622 sovereign power or duty, but is appointed by a governmental agency or officer or is created by law for
1623 the purpose of making studies or recommendations, or advising or consulting with a governmental
1624 agency.

1625 "Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise,
1626 association, trust or foundation, or any other individual or entity carrying on a business or profession,
1627 whether or not for profit.

1628 "Candidate" means a person who seeks or campaigns for election to the General Assembly in a
1629 general, primary, or special election and who is qualified to have his name placed on the ballot for the
1630 office. The candidate shall become subject to the provisions of this section upon the filing of a
1631 statement of qualification pursuant to § 24.2-501. The State Board of Elections shall notify each such
1632 candidate of the provisions of this chapter.

1633 "Contract" means any agreement to which a governmental agency is a party, or any agreement on
1634 behalf of a governmental agency that involves the payment of money appropriated by the General
1635 Assembly or a political subdivision, whether or not such agreement is executed in the name of the
1636 Commonwealth, or some political subdivision thereof. "Contract" includes a subcontract only when the
1637 contract of which it is a part is with the legislator's own governmental agency.

1638 "Council" means the Virginia Conflict of Interest and Ethics Advisory Council established in
1639 § 30-355.

1640 "Financial institution" means any bank, trust company, savings institution, industrial loan association,
1641 consumer finance company, credit union, broker-dealer as defined in subsection A of § 13.1-501, or
1642 investment company or advisor registered under the federal Investment Advisors Act or Investment
1643 Company Act of 1940.

1644 "Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item
1645 having monetary value. It includes services as well as gifts of transportation, lodgings and meals,
1646 whether provided in-kind, by purchase of a ticket, payment in advance, or reimbursement after the
1647 expense has been incurred. "Gift" does not include (i) any offer of a ticket, coupon, or other admission
1648 or pass unless the ticket, coupon, admission, or pass is used; (ii) honorary degrees; (iii) any athletic,
1649 merit, or need-based scholarship or any other financial aid awarded by a public or private school,
1650 institution of higher education, or other educational program pursuant to such school, institution, or
1651 program's financial aid standards and procedures applicable to the general public; (iv) a campaign
1652 contribution properly received and reported pursuant to Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2;
1653 (v) any gift related to the private profession or occupation of a legislator or of a member of his
1654 immediate family; (vi) food or beverages consumed while attending an event at which the filer is
1655 performing official duties related to his public service; (vii) food and beverages received at or
1656 registration or attendance fees waived for any event at which the filer is a featured speaker, presenter, or
1657 lecturer; (viii) unsolicited awards of appreciation or recognition in the form of a plaque, trophy, wall
1658 memento, or similar item that is given in recognition of public, civic, charitable, or professional service;
1659 (ix) a devise or inheritance; (x) travel disclosed pursuant to the Campaign Finance Disclosure Act
1660 (§ 24.2-945 et seq.); (xi) travel paid for or provided by the government of the United States, any of its
1661 territories, or any state or any political subdivision of such state; (xii) travel provided to facilitate
1662 attendance by a legislator at a regular or special session of the General Assembly, a meeting of a
1663 legislative committee or commission, or a national conference where attendance is approved by the
1664 House *Committee on Rules or its Chairman* or the Senate *Committee on Rules or its Chairman*; (xiii)
1665 travel related to an official meeting of the Commonwealth, its political subdivisions, or any board,
1666 commission, authority, or other entity, or any charitable organization established pursuant to § 501(c)(3)
1667 of the Internal Revenue Code affiliated with such entity, to which such person has been appointed or
1668 elected or is a member by virtue of his office or employment; ~~or~~ (xiv) *gifts with a value of less than*
1669 *\$20; or* (xv) gifts from relatives or personal friends. For the purpose of this definition, "relative" means
1670 the donee's spouse, child, uncle, aunt, niece, nephew, or first cousin; a person to whom the donee is
1671 engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother, sister,
1672 step-parent, step-grandparent, step-grandchild, step-brother, or step-sister; or the donee's brother's or
1673 sister's spouse. For the purpose of this definition, "personal friend" does not include any person that the
1674 filer knows or has reason to know is (a) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of
1675 Chapter 4 of Title 2.2 or (b) a lobbyist's principal as defined in § 2.2-419.

1676 "Governmental agency" means each component part of the legislative, executive or judicial branches
1677 of state and local government, including each office, department, authority, post, commission,
1678 committee, and each institution or board created by law to exercise some regulatory or sovereign power

or duty as distinguished from purely advisory powers or duties.

"Immediate family" means (i) a spouse and (ii) any other person who resides in the same household as the legislator and who is a dependent of the legislator.

"Legislator" means a member of the General Assembly.

"Personal interest" means a financial benefit or liability accruing to a legislator or to a member of his immediate family. Such interest shall exist by reason of (i) ownership in a business if the ownership interest exceeds three percent of the total equity of the business; (ii) annual income that exceeds, or may reasonably be anticipated to exceed, \$5,000 from ownership in real or personal property or a business; (iii) salary, other compensation, fringe benefits, or benefits from the use of property, or any combination thereof, paid or provided by a business or governmental agency that exceeds, or may reasonably be anticipated to exceed, \$5,000 annually; (iv) ownership of real or personal property if the interest exceeds \$5,000 in value and excluding ownership in a business, income, or salary, other compensation, fringe benefits or benefits from the use of property; (v) personal liability incurred or assumed on behalf of a business if the liability exceeds three percent of the asset value of the business; or (vi) an option for ownership of a business or real or personal property if the ownership interest will consist of clause (i) or (iv).

"Personal interest in a contract" means a personal interest that a legislator has in a contract with a governmental agency, whether due to his being a party to the contract or due to a personal interest in a business that is a party to the contract.

"Personal interest in a transaction" means a personal interest of a legislator in any matter considered by the General Assembly. Such personal interest exists when an officer or employee or a member of his immediate family has a personal interest in property or a business, or represents or provides services to any individual or business and such property, business or represented or served individual or business (i) is the subject of the transaction or (ii) may realize a reasonably foreseeable direct or indirect benefit or detriment as a result of the action of the agency considering the transaction. A "personal interest in a transaction" exists only if the legislator or member of his immediate family or an individual or business represented or served by the legislator is affected in a way that is substantially different from the general public or from persons comprising a profession, occupation, trade, business or other comparable and generally recognizable class or group of which he or the individual or business he represents or serves is a member.

"Transaction" means any matter considered by the General Assembly, whether in a committee, subcommittee, or other entity of the General Assembly or before the General Assembly itself, on which official action is taken or contemplated.

§ 30-110. Disclosure.

A. (Effective January 1, 2016, through July 1, 2016) Every legislator and legislator-elect shall file, as a condition to assuming office, a disclosure statement of his personal interests and such other information as is ~~specified~~ *required* on the form ~~set forth in prescribed by the Council pursuant to~~ § 30-111 and thereafter shall file such a statement ~~semiannually by December annually on or before January 15 for the preceding six-month period complete through the last day of October and by June 15 for the preceding six-month period complete through the last day of April.~~ When the filing deadline falls on a Saturday, Sunday, or legal holiday, the disclosure statement shall be filed on the next day that is not a Saturday, Sunday, or legal holiday. Disclosure forms shall be made available by the Virginia Conflict of Interest and Ethics Advisory Council at least 30 days prior to the filing deadline. Members of the Senate and members of the House of Delegates shall file their disclosure forms with the Virginia Conflict of Interest and Ethics Advisory Council. The disclosure forms of the members of the General Assembly shall be maintained as public records for five years in the office of the Virginia Conflict of Interest and Ethics Advisory Council. Such forms shall be made public no later than six weeks after filing.

A. (Effective July 1, 2016) Every legislator and legislator-elect shall file, as a condition to assuming office, a disclosure statement of his personal interests and such other information as is ~~specified~~ *required* on the form ~~set forth in prescribed by the Council pursuant to~~ § 30-111 and thereafter shall file such a statement ~~semiannually by December annually on or before January 15 for the preceding six-month period complete through the last day of October and by June 15 for the preceding six-month period complete through the last day of April.~~ When the filing deadline falls on a Saturday, Sunday, or legal holiday, the disclosure statement shall be filed on the next day that is not a Saturday, Sunday, or legal holiday. Disclosure forms shall be made available by the Virginia Conflict of Interest and Ethics Advisory Council at least 30 days prior to the filing deadline. Disclosure forms shall be filed electronically with the Virginia Conflict of Interest and Ethics Advisory Council in accordance with the standards approved by it pursuant to § 30-356. The disclosure forms of the members of the General Assembly shall be maintained as public records for five years in the office of the Virginia Conflict of Interest and Ethics Advisory Council. Such forms shall be made public no later than six weeks after

1740 filing.

1741 B. Candidates for the General Assembly shall file a disclosure statement of their personal interests as
1742 required by §§ 24.2-500 through 24.2-503.

1743 C. Any legislator who has a personal interest in any transaction pending before the General
1744 Assembly and who is disqualified from participating in that transaction pursuant to § 30-108 and the
1745 rules of his house shall disclose his interest in accordance with the applicable rule of his house.

1746 **§ 30-110.1. Report of gifts.**

1747 *Every legislator shall file, on or before May 1, a report of gifts accepted or received by him or a*
1748 *member of his immediate family during the period beginning on January 1 complete through*
1749 *adjournment sine die of the regular session of the General Assembly. The gift report shall be on a form*
1750 *prescribed by the Council and shall be filed electronically with the Council in accordance with the*
1751 *standards approved by it pursuant to § 30-356. For purposes of this section, "adjournment sine die"*
1752 *means adjournment on the last legislative day of the regular session and does not include the ensuing*
1753 *reconvened session. Any gifts reported pursuant to this section shall not be listed on the annual*
1754 *disclosure form prescribed by the Council pursuant to § 30-111.*

1755 **§ 30-111. Disclosure form.**

1756 A. (Effective from January 1, 2016, until July 1, 2016) The disclosure form to be used for filings
1757 required by subsections A and B of § 30-110 shall be ~~substantially similar to the following prescribed~~
1758 ~~by the Council.~~

1759 A. (Effective July 1, 2016) The disclosure form to be used for filings required by subsections A and
1760 B of § 30-110 shall be ~~substantially similar to the following prescribed by the Council.~~ All completed
1761 forms shall be filed electronically with the Council in accordance with the standards approved by it
1762 pursuant to § 30-356.

1763 **STATEMENT OF ECONOMIC INTERESTS.**

1764 Name _____

1765 Office or position held or sought _____

1766 Address _____

1767 Names of members of immediate family _____

1768 **DEFINITIONS AND EXPLANATORY MATERIAL.**

1769 "Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise,
1770 association, trust or foundation, or any other individual or entity carrying on a business or profession,
1771 whether or not for profit.

1772 "Close financial association" means an association in which the filer shares significant financial
1773 involvement with an individual and the filer would reasonably be expected to be aware of the
1774 individual's business activities and would have access to the necessary records either directly or through
1775 the individual. "Close financial association" does not mean an association based on (i) the receipt of
1776 retirement benefits or deferred compensation from a business by which the legislator is no longer
1777 employed, or (ii) the receipt of compensation for work performed by the legislator as an independent
1778 contractor of a business that represents an entity before any state governmental agency when the
1779 legislator has had no communications with the state governmental agency.

1780 "Contingent liability" means a liability that is not presently fixed or determined, but may become
1781 fixed or determined in the future with the occurrence of some certain event.

1782 "Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item
1783 having monetary value. It includes services as well as gifts of transportation, lodgings and meals,
1784 whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the
1785 expense has been incurred. "Gift" does not include (i) any offer of a ticket, coupon, or other admission
1786 or pass unless the ticket, coupon, admission, or pass is used; (ii) honorary degrees; (iii) any athletic,
1787 merit, or need-based scholarship or any other financial aid awarded by a public or private school,
1788 institution of higher education, or other educational program pursuant to such school, institution, or
1789 program's financial aid standards and procedures applicable to the general public; (iv) a campaign
1790 contribution properly received and reported pursuant to Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2;
1791 (v) any gift related to the private profession or occupation of a legislator or of a member of his
1792 immediate family; (vi) food or beverages consumed while attending an event at which the filer is
1793 performing official duties related to his public service; (vii) food and beverages received at or
1794 registration or attendance fees waived for any event at which the filer is a featured speaker, presenter, or
1795 lecturer; (viii) unsolicited awards of appreciation or recognition in the form of a plaque, trophy, wall
1796 memento, or similar item that is given in recognition of public, civic, charitable, or professional service;
1797 (ix) a devise or inheritance; (x) travel disclosed pursuant to the Campaign Finance Disclosure Act
1798 (§ 24.2-945 et seq.); (xi) travel paid for or provided by the government of the United States, any of its
1799 territories, or any state or any political subdivision of such state; (xii) travel provided to facilitate
1800 attendance by a legislator at a regular or special session of the General Assembly, a meeting of a
1801 legislative committee or commission, or a national conference where attendance is approved by the

House or Senate Committee on Rules; (xiii) travel related to an official meeting of the Commonwealth, its political subdivisions, or any board, commission, authority, or other entity, or any charitable organization established pursuant to § 501(c)(3) of the Internal Revenue Code affiliated with such entity, to which such person has been appointed or elected or is a member by virtue of his office or employment; or (xiv) gifts from relatives or personal friends. "Relative" means the donee's spouse, child, uncle, aunt, niece, nephew, or first cousin; a person to whom the donee is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother, sister, step-parent, step-grandparent, step-grandchild, step-brother, or step-sister; or the donee's brother's or sister's spouse. "Personal friend" does not include any person that the filer knows or has reason to know is (a) a lobbyist registered pursuant to Article 3 (§ 2-2-418 et seq.) of Chapter 4 of Title 2-2 or (b) a lobbyist's principal as defined in § 2-2-419.

"Immediate family" means (i) a spouse and (ii) any other person who resides in the same household as the legislator and who is a dependent of the legislator.

"Lobbyist relationship" means (i) an engagement, agreement, or representation that relates to legal services, consulting services, or public relations services, whether gratuitous or for compensation, between a member or member-elect and any person who is, or has been within the prior calendar year, registered as a lobbyist with the Secretary of the Commonwealth or (ii) a greater than three percent ownership interest by a member or member-elect in a business that employs, or engages as an independent contractor, any person who is, or has been within the prior calendar year, registered as a lobbyist with the Secretary of the Commonwealth. The disclosure of a lobbyist relationship shall not (a) constitute a waiver of any attorney-client or other privilege, (b) require a waiver of any attorney-client or other privilege for a third party, or (c) be required where a member or member-elect is employed or engaged by a person and such person also employs or engages a person in a lobbyist relationship so long as the member or member-elect has no financial interest in the lobbyist relationship.

TRUST. If you or your immediate family, separately or together, are the only beneficiaries of a trust, treat the trust's assets as if you own them directly. If you or your immediate family has a proportional interest in a trust, treat that proportion of the trust's assets as if you own them directly. For example, if you and your immediate family have a one-third interest in a trust, complete your Statement as if you own one-third of each of the trust's assets. If you or a member of your immediate family created a trust and can revoke it without the beneficiaries' consent, treat its assets as if you own them directly.

REPORT TO THE BEST OF INFORMATION AND BELIEF. Information required on this Statement must be provided on the basis of the best knowledge, information, and belief of the individual filing the Statement as of the date of this report unless otherwise stated.

COMPLETE ITEMS 1 THROUGH 11. REFER TO SCHEDULES ONLY IF DIRECTED.

You may attach additional explanatory information.

1. Offices and Directorships.

Are you or a member of your immediate family a paid officer or paid director of a business?

EITHER check NO / / OR check YES / / and complete Schedule A.

2. Personal Liabilities.

Do you or a member of your immediate family owe more than \$5,000 to any one creditor including contingent liabilities? (Exclude debts to any government and loans secured by recorded liens on property at least equal in value to the loan.)

EITHER check NO / / OR check YES / / and complete Schedule B.

3. Securities.

Do you or a member of your immediate family, directly or indirectly, separately or together, own securities valued in excess of \$5,000 invested in one business? Account for mutual funds, limited partnerships and trusts.

EITHER check NO / / OR check YES / / and complete Schedule C.

4. Payments for Talks, Meetings, and Publications.

During the past six months did you receive in your capacity as a legislator lodging, transportation, money, or anything else of value with a combined value exceeding \$100 (i) for a single talk, meeting, or published work or (ii) for a meeting, conference, or event where your attendance at the meeting, conference, or event was designed to (a) educate you on issues relevant to your duties as a legislator, including issues faced by your constituents, or (b) enhance your knowledge and skills relative to your duties as a legislator? Do not include payments and reimbursements from the Commonwealth for meetings attended in your capacity as a legislator; see Question 11 and Schedule D2 to report such meetings.

EITHER check NO / / OR check YES / / and complete Schedule D.

5. Gifts.

During the past six months did a business, government, or individual other than a relative or personal friend (i) furnish you or a member of your immediate family with any gift or entertainment at a single

1863 event, and the value received exceeded \$50 or (ii) furnish you or a member of your immediate family
1864 with gifts or entertainment in any combination and the total value received exceeded \$50, and for which
1865 you or the member of your immediate family neither paid nor rendered services in exchange? Account
1866 for entertainment events only if the average value per person attending the event exceeded \$50. Account
1867 for all business entertainment (except if related to the private profession or occupation of you or the
1868 member of your immediate family who received such business entertainment) even if unrelated to your
1869 official duties.

1870 EITHER check NO / / OR check YES / / and complete Schedule E.

1871 6. Salary and Wages.

1872 List each employer that pays you or a member of your immediate family salary or wages in excess
1873 of \$5,000 annually. (Exclude any salary received as a member of the General Assembly pursuant to
1874 § 30-19.11.)

1875 If no reportable salary or wages, check here / /.

1876 _____
1877 _____
1878 _____

1879 7. Business Interests and Lobbyist Relationships.

1880 7A. Do you or a member of your immediate family, separately or together, operate your own
1881 business, or own or control an interest in excess of \$5,000 in a business?

1882 EITHER check NO / / OR check YES / / and complete Schedule F-1.

1883 7B. Do you have a lobbyist relationship as that term is defined above?

1884 EITHER check NO / / OR check YES / / and complete Schedule F-2.

1885 8. Payments for Representation and Other Services.

1886 8A. Did you represent any businesses before any state governmental agencies, excluding courts or
1887 judges, for which you received total compensation during the past six months in excess of \$1,000,
1888 excluding compensation for other services to such businesses and representation consisting solely of the
1889 filing of mandatory papers and subsequent representation regarding the mandatory papers?

1890 EITHER check NO / / OR check YES / / and complete Schedule G-1.

1891 8B. Subject to the same exceptions as in 8A, did persons with whom you have a close financial
1892 association (partners, associates or others) represent any businesses before any state governmental agency
1893 for which total compensation was received during the past six months in excess of \$1,000?

1894 EITHER check NO / / OR check YES / / and complete Schedule G-2.

1895 8C. Did you or persons with whom you have a close financial association furnish services to
1896 businesses operating in Virginia, pursuant to an agreement between you and such businesses, or between
1897 persons with whom you have a close financial association and such businesses for which total
1898 compensation in excess of \$1,000 was received during the past six months? Services reported under this
1899 provision shall not include services involving the representation of businesses that are reported under
1900 question 8A or 8B above.

1901 EITHER check NO / / OR check YES / / and complete Schedule G-3.

1902 9. Real Estate.

1903 Do you or a member of your immediate family hold an interest, including a partnership interest,
1904 valued at more than \$5,000 in real property (other than your principal residence) for which you have not
1905 already listed the full address on Schedule F? Account for real estate held in trust.

1906 EITHER check NO / / OR check YES / / and complete Schedule H.

1907 10. Real Estate Contracts with State Governmental Agencies.

1908 Do you or a member of your immediate family hold an interest valued at more than \$5,000 in real
1909 estate, including a corporate, partnership, or trust interest, option, easement, or land contract, which real
1910 estate is the subject of a contract, whether pending or completed within the past six months, with a state
1911 governmental agency?

1912 If the real estate contract provides for the leasing of the property to a state governmental agency, do
1913 you or a member of your immediate family hold an interest in the real estate, including a corporate,
1914 partnership, or trust interest, option, easement, or land contract valued at more than \$1,000? Account for
1915 all such contracts whether or not your interest is reported in Schedule F or H. This requirement to
1916 disclose an interest in a lease does not apply to an interest derived through an ownership interest in a
1917 business unless the ownership interest exceeds three percent of the total equity of the business.

1918 EITHER check NO / / OR check YES / / and complete Schedule I.

1919 11. Payments by the Commonwealth for Meetings.

1920 During the past six months did you receive lodging, transportation, money, or anything else of value
1921 with a combined value exceeding \$100 from the Commonwealth for a single meeting attended
1922 out-of-state in your capacity as a legislator? Do not include reimbursements from the Commonwealth for
1923 meetings attended in the Commonwealth.

1924 EITHER check NO / / OR check YES / / and complete Schedule D-2.

1925 For Statements filed in June 2016 and each two years thereafter, complete the following statement
1926 indicating whether you completed the ethics orientation sessions provided pursuant to law:

1927 I certify that I completed ethics training as required by § 30-129.1. YES / / or NO / /.

1928 Statements of Economic Interests are open for public inspection.

1929 AFFIRMATION.

1930 In accordance with the rules of the house in which I serve, if I receive a request that this disclosure
1931 statement be corrected, augmented, or revised in any respect, I hereby pledge that I shall respond
1932 promptly to the request. I understand that if a determination is made that the statement is insufficient, I
1933 will satisfy such request or be subjected to disciplinary action of my house.

1934 I swear or affirm that the foregoing information is full, true and correct to the best of my knowledge.

1935 Signature _____

1936 (Return only if needed to complete Statement.)

1937 SCHEDULES to STATEMENT OF ECONOMIC INTERESTS.

1938 NAME _____

1939 SCHEDULE A — OFFICES AND DIRECTORSHIPS.

1940 Identify each business of which you or a member of your immediate family is a paid officer or paid
1941 director.

1942 _____

1943 _____

1944 Name of Business _____ Address of Business _____ Position Held and by Whom _____

1945 _____

1946 _____

1947 _____

1948 _____

1949 _____

1950 _____

RETURN TO ITEM 2

1951 SCHEDULE B — PERSONAL LIABILITIES.

1952 Report personal liability by checking each category. Report only debts in excess of \$5,000. Do not
1953 report debts to any government. Do not report loans secured by recorded liens on property at least equal
1954 in value to the loan.

1955 Report contingent liabilities below and indicate which debts are contingent.

1956 1. My personal debts are as follows:

1957 _____

1958 _____

1959 _____ Check _____ Check one

1960 _____ appropriate \$5,001 to More than

1961 _____ categories \$50,000 \$50,000

1962 Banks _____

1963 Savings institutions _____

1964 Other loan or finance companies _____

1965 Insurance companies _____

1966 Stock, commodity or other brokerage _____

1967 _____ companies _____

1968 Other businesses: _____

1969 (State principal business activity for each

1970 creditor and its name.)

1971 _____

1972 _____

1973 _____

1974 Individual creditors: _____

1975 (State principal business or occupation of

1976 each creditor and its name.)

1977 _____

1978 _____

1979 _____

1980 _____

1981 2. The personal debts of the members of my immediate family are as follows:

1982 _____

1983

1984 _____ Check _____ Check one

1985 _____ appropriate _____ \$5,001 to _____ More than

1986 _____ categories _____ \$50,000 _____ \$50,000

1987 Banks _____

1988 Savings institutions _____

1989 Other loan or finance companies _____

1990 Insurance companies _____

1991 Stock, commodity or other brokerage _____

1992 _____ companies _____

1993 Other businesses: _____

1994 (State principal business activity for each

1995 creditor and its name.) _____

1996 _____

1997 _____

1998 _____

1999 Individual creditors: _____

2000 (State principal business or occupation of

2001 each creditor and its name.) _____

2002 _____

2003 _____

2004 _____

2005 _____

2006 _____ RETURN TO ITEM 3

2007 SCHEDULE C — SECURITIES.

2008 "Securities" INCLUDES stocks, bonds, mutual funds, limited partnerships, and commodity futures

2009 contracts.

2010 "Securities" EXCLUDES certificates of deposit, money market funds, annuity contracts, and

2011 insurance policies.

2012 Identify each business or Virginia governmental entity in which you or a member of your immediate

2013 family, directly or indirectly, separately or together, own securities valued in excess of \$5,000. Name

2014 each issuer and type of security individually.

2015 Do not list U.S. Bonds or other government securities not issued by the Commonwealth of Virginia

2016 or its authorities, agencies, or local governments. Do not list organizations that do not do business in

2017 this Commonwealth, but most major businesses conduct business in Virginia. Account for securities held

2018 in trust.

2019 If no reportable securities, check here / /.

2020 _____

2021 _____

2022 _____ Check one

2023 _____ Type of Security _____ \$5,001 _____ \$50,001 _____ More

2024 _____ (stocks, bonds, mutual _____ to _____ to _____ than

2025 Name of Issuer _____ funds, etc.) _____ \$50,000 _____ \$250,000 _____ \$250,000

2026 _____

2027 _____

2028 _____

2029 _____

2030 _____

2031 RETURN TO ITEM 4

2032 SCHEDULE D-1 — PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.

2033 List each source from which you received during the past six months in your capacity as a legislator

2034 lodging, transportation, money, or any other thing of value with a combined value exceeding \$100 (i)

2035 for your presentation of a single talk, participation in one meeting, or publication of a work or (ii) for

2036 your attendance at a meeting, conference, or event where your attendance at the meeting, conference, or

2037 event was designed to (a) educate you on issues relevant to your duties as a legislator, including issues

2038 faced by your constituents, or (b) enhance your knowledge and skills relative to your duties as a

2039 legislator. Any lodging, transportation, money, or other thing of value received by a legislator that does

2040 not satisfy the criteria of clause (i), (ii)(a), or (ii)(b) shall be listed as a gift on Schedule E. Do not list

payments or reimbursements by the Commonwealth. (See Schedule D-2 for such payments or reimbursements.) List a payment even if you donated it to charity. Do not list information about a payment if you returned it within 60 days or if you received it from an employer already listed under Item 6 or from a source of income listed on Schedule F.

If no payment must be listed, check here ☐ ☐.

Payer	Approximate Value	Circumstances	Type of Payment (e.g., Honoraria, Travel reimburse- ment, etc.)

RETURN TO ITEM 5

SCHEDULE D-2 — PAYMENTS BY THE COMMONWEALTH FOR MEETINGS.

List each meeting for which the Commonwealth provided payments or reimbursements during the past six months to you for lodging, transportation, money, or any other thing of value with a combined value exceeding \$100 for your participation in your capacity as a legislator. Do not list payments or reimbursements by the Commonwealth for meetings or travel within the Commonwealth.

If no payment must be listed, check here ☐ ☐.

Payer	Approximate Value	Circumstances	Type of Payment (e.g., Travel reimbursement, etc.)

SCHEDULE E — GIFTS.

List each business, governmental entity, or individual that, during the past six months, (i) furnished you or a member of your immediate family with any gift or entertainment at a single event, and the value received exceeded \$50 or (ii) furnished you or a member of your immediate family with gifts or entertainment in any combination and the total value received exceeded \$50, and for which you or the member of your immediate family neither paid nor rendered services in exchange. List each such gift or event.

Do not list entertainment events unless the average value per person attending the event exceeded \$50. Do not list business entertainment related to the private profession or occupation of you or the member of your immediate family who received such business entertainment. Do not list gifts or other things of value given by a relative or personal friend for reasons clearly unrelated to your public position. Do not list campaign contributions publicly reported as required by Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2 of the Code of Virginia.

Name of Recipient	Name of Business, Organization, or Individual	City or County and State	Exact Gift or Event	Approximate Value

RETURN TO ITEM 6

2099 SCHEDULE F-1 — BUSINESS INTERESTS.

2100 Complete this Schedule for each self-owned or family-owned business (including rental property, a
2101 farm, or consulting work), partnership, or corporation in which you or a member of your immediate
2102 family, separately or together, own an interest having a value in excess of \$5,000.

2103 If the enterprise is owned or operated under a trade, partnership, or corporate name, list that name;
2104 otherwise, merely explain the nature of the enterprise. If rental property is owned or operated under a
2105 trade, partnership, or corporate name, list the name only; otherwise, give the address of each property.
2106 Account for business interests held in trust.

2107					
2108					
2109	Name of				
2110	Business				
2111	Corporation,				
2112	Partnership,	Nature of		Gross income	
2113	Farm;	Enterprise			
2114	Address of	City or	(farming,	\$50,001	More
2115	Rental	County	law, rental	\$50,000	to
2116	Property	and State	property, etc.)	or less	\$250,000
2117					
2118					
2119					
2120					
2121					

2122 RETURN TO ITEM 8

2123 SCHEDULE F-2 — LOBBYIST RELATIONSHIPS AND PAYMENTS.

2124 Complete this Schedule for each lobbyist relationship with the following:

2125 (i) any person who is, or has been within the prior calendar year, registered as a lobbyist with the
2126 Secretary of the Commonwealth; or

2127 (ii) any business in which you have a greater than three percent ownership interest and that business
2128 employs, or engages as an independent contractor, any person who is, or has been within the prior
2129 calendar year, registered as a lobbyist with the Secretary of the Commonwealth.

2130					
2131					
2132	Payments to				
2133	Lobbyist				
2134	List each person	Describe each	Dates of	\$10,000	More than
2135	or business	relationship	relationship	or less	\$10,000
2136					
2137					
2138					
2139					
2140					
2141					

2142 THE DISCLOSURE OF A LOBBYIST RELATIONSHIP SHALL NOT (I) CONSTITUTE A
2143 WAIVER OF ANY ATTORNEY-CLIENT OR OTHER PRIVILEGE, (II) REQUIRE A WAIVER OF
2144 ANY ATTORNEY-CLIENT OR OTHER PRIVILEGE FOR A THIRD PARTY, OR (III) BE
2145 REQUIRED WHERE A MEMBER OR MEMBER-ELECT IS EMPLOYED OR ENGAGED BY A
2146 PERSON AND SUCH PERSON ALSO EMPLOYS OR ENGAGES A PERSON IN A LOBBYIST
2147 RELATIONSHIP SO LONG AS THE MEMBER OR MEMBER-ELECT HAS NO FINANCIAL
2148 INTEREST IN THE LOBBYIST RELATIONSHIP.

2149 SCHEDULE G-1 — PAYMENTS FOR REPRESENTATION BY YOU.

2150 List the businesses you represented before any state governmental agency, excluding any court or
2151 judge, for which you received total compensation during the past six months in excess of \$1,000,
2152 excluding compensation for other services to such businesses and representation consisting solely of the
2153 filing of mandatory papers and subsequent representation regarding the mandatory papers filed by you.

2154 Identify each business, the nature of the representation and the amount received by dollar category
2155 from each such business. You may state the type, rather than name, of the business if you are required
2156 by law not to reveal the name of the business represented by you.

2157

2216 — companies
 2217 Intrastate
 2218 — transportation
 2219 — companies
 2220 Oil or gas retail
 2221 — companies
 2222 Banks
 2223 Savings
 2224 — institutions
 2225 Loan or finance
 2226 — companies
 2227 Manufacturing
 2228 — companies (state
 2229 — type of product,
 2230 — e.g., textile,
 2231 — furniture, etc.)
 2232 Mining companies
 2233 Life insurance
 2234 — companies
 2235 Casualty insurance
 2236 — companies
 2237 Other insurance
 2238 — companies
 2239 Retail companies
 2240 Beer, wine or
 2241 — liquor companies
 2242 — or distributors
 2243 Trade associations
 2244 Professional
 2245 — associations
 2246 Associations of
 2247 — public employees
 2248 — or officials
 2249 Counties, cities
 2250 — or towns
 2251 Labor organizations
 2252 Other
 2253
 2254 RETURN TO ITEM 9

2255 SCHEDULE H — REAL ESTATE.

2256 List real estate other than your principal residence in which you or a member of your immediate
 2257 family holds an interest, including a partnership interest, option, easement, or land contract, valued at
 2258 \$5,000 or more. Each parcel shall be listed individually.

2259
 2260
 2261 Describe the type of real
 2262 estate you own in each
 2263 List the location location (business, If the real estate is
 2264 (state, and county recreational, apartment, owned or recorded in
 2265 or city where you commercial, open land, a name other than your
 2266 own real estate etc.) own, list that name
 2267
 2268
 2269
 2270
 2271
 2272

RETURN TO ITEM 10

SCHEDULE I — REAL ESTATE CONTRACTS WITH STATE GOVERNMENTAL AGENCIES.

List all contracts, whether pending or completed within the past six months, with a state governmental agency for the sale or exchange of real estate in which you or a member of your immediate family holds an interest, including a corporate, partnership or trust interest, option, easement, or land contract, valued at more than \$10,000. List all contracts with a state governmental agency for the lease of real estate in which you or a member of your immediate family holds such an interest valued at more than \$1,000. This requirement to disclose an interest in a lease does not apply to an interest derived through an ownership interest in a business unless the ownership interest exceeds three percent of the total equity of the business.

List your real		
estate interest and		
the person or entity,		
including the type of		
entity, which is		
party to the contract.		State the annual
Describe any		income from the
management role and	List each	contract, and the
the percentage	governmental agency	amount, if any, of
ownership interest	which is a party to	income you or any
you or your immediate	the contract and	immediate family
family member has in	indicate the county	member derives
the real estate	or city where the	annually from
or entity.	real estate is located.	the contract.

B. Any legislator who knowingly and intentionally makes a false statement of a material fact on the Statement of Economic Interests is guilty of a Class 5 felony and shall be subject to disciplinary action for such violations by the house in which the legislator sits.

C. The Statement of Economic Interests of all members of each house shall be reviewed by the Council. If a legislator's Statement is found to be inadequate as filed, the legislator shall be notified in writing and directed to file an amended Statement correcting the indicated deficiencies, and a time shall be set within which such amendment shall be filed. If the Statement of Economic Interests, in either its original or amended form, is found to be adequate as filed, the legislator's filing shall be deemed in full compliance with this section as to the information disclosed thereon.

D. Ten percent of the membership of a house, on the basis of newly discovered facts, may in writing request the house in which those members sit, in accordance with the rules of that house, to review the Statement of Economic Interests of another member of that house in order to determine the adequacy of his filing. In accordance with the rules of each house, each Statement of Economic Interests shall be promptly reviewed, the adequacy of the filing determined, and notice given in writing to the legislator whose Statement is in issue. Should it be determined that the Statement requires correction, augmentation or revision, the legislator involved shall be directed to make the changes required within such time as shall be set under the rules of each house.

If a legislator, after having been notified in writing in accordance with the rules of the house in which he sits that his Statement is inadequate as filed, fails to amend his Statement so as to come into compliance within the time limit set, he shall be subject to disciplinary action by the house in which he sits. No legislator shall vote on any question relating to his own Statement.

§ 30-356. Powers and duties of the Council.

The Council shall:

1. *Prescribe the forms required for complying with the disclosure requirements of Article 3 and the Acts. These forms shall be the only forms used to comply with the provisions of Article 3 or the Acts. The Council shall make available the disclosure forms and shall provide guidance and other instructions to assist in the completion of the forms;*

2332 2. Review all disclosure forms filed by lobbyists pursuant to Article 3 and by state government
2333 officers and employees and legislators pursuant to the Acts. The Council may review disclosure forms
2334 for completeness, including reviewing the information contained on the face of the form to determine if
2335 the disclosure form has been fully completed and comparing the disclosures contained in any disclosure
2336 form filed by a lobbyist pursuant to § 2.2-426 with other disclosure forms filed with the Council, and
2337 requesting any amendments to ensure the completeness of and correction of errors in the forms, if
2338 necessary. If a disclosure form is found to have not been filed or to have been incomplete as filed, the
2339 Council shall notify the filer in writing and direct the filer to file a completed disclosure form within a
2340 prescribed period of time, and such notification shall be confidential and is excluded from the provisions
2341 of the Virginia Freedom of Information Act (§ 2.2-3700 et seq.);

2342 2- 3. (Effective until July 1, 2016) Accept any disclosure forms by computer or electronic means in
2343 accordance with the standards approved by the Council and using software meeting standards approved
2344 by it. The Council shall provide software or electronic access for filing the required disclosure forms to
2345 all filers without charge. The Council shall prescribe the method of execution and certification of
2346 electronically filed forms, including the use of an electronic signature as authorized by the Uniform
2347 Electronic Transactions Act (§ 59.1-479 et seq.). *The Council may grant extensions as provided in*
2348 *§ 30-356.2 and may authorize a designee to grant such extensions;*

2349 2- 3. (Effective July 1, 2016) Require all disclosure forms to be filed electronically in accordance
2350 with the standards approved by the Council. The Council shall provide software or electronic access for
2351 filing the required disclosure forms to all filers without charge. The Council shall prescribe the method
2352 of execution and certification of electronically filed forms, including the use of an electronic signature as
2353 authorized by the Uniform Electronic Transactions Act (§ 59.1-479 et seq.). *The Council may grant*
2354 *extensions as provided in § 30-356.2 and may authorize a designee to grant such extensions;*

2355 3- 4. Accept and review any statement received from a filer disputing the receipt by such filer of a
2356 gift that has been disclosed on the form filed by a lobbyist pursuant to Article 3;

2357 4- 5. Beginning July 1, 2016, establish and maintain a searchable electronic database comprising
2358 disclosure forms filed pursuant to §§ 2.2-426, 2.2-3117, 2.2-3118, and 30-111. Such database shall be
2359 available to the public through the Council's official website;

2360 5- 6. Furnish, upon request, formal advisory opinions or guidelines and other appropriate information,
2361 including informal advice, regarding ethics, conflicts issues arising under Article 3 or the Acts, or a
2362 person's duties under Article 3 or the Acts to any person covered by Article 3 or the Acts or to any
2363 agency of state or local government, in an expeditious manner. The Council may authorize a designee to
2364 furnish formal opinions or informal advice. Formal advisory opinions are public record and shall be
2365 published on the Council's website; however, no formal advisory opinion furnished by a designee of the
2366 Council shall be *available to the public or* published until such opinion has been approved by the
2367 Council. Published formal advisory opinions may have such deletions and changes as may be necessary
2368 to protect the identity of the person involved *or other persons supplying information*. Informal advice
2369 given by the Council or the Council's designee is confidential, ~~protected by the attorney-client privilege,~~
2370 and is excluded from the *mandatory disclosure* provisions of the Virginia Freedom of Information Act
2371 (§ 2.2-3700 et seq.). *Other records relating to formal advisory opinions or informal advice, including*
2372 *records of requests, notes, correspondence, and draft versions of such opinions or advice, shall also be*
2373 *confidential and excluded from the mandatory disclosure provisions of the Virginia Freedom of*
2374 *Information Act;*

2375 6- 7. Conduct training seminars and educational programs for lobbyists, state and local government
2376 officers and employees, legislators, and other interested persons on the requirements of Article 3 and the
2377 Acts and provide ethics orientation sessions for legislators in compliance with Article 6 (§ 30-129.1 et
2378 seq.) of Chapter 13;

2379 7- 8. Approve orientation courses conducted pursuant to § 2.2-3128 and, upon request, review the
2380 educational materials and approve any training or course on the requirements of Article 3 and the Acts
2381 conducted for state and local government officers and employees;

2382 8- 9. Publish such educational materials as it deems appropriate on the provisions of Article 3 and
2383 the Acts;

2384 9- 10. Review actions taken in the General Assembly with respect to the discipline of its members
2385 for the purpose of offering nonbinding advice;

2386 10- 11. Request from any agency of state or local government such assistance, services, and
2387 information as will enable the Council to effectively carry out its responsibilities. Information provided
2388 to the Council by an agency of state or local government shall not be released to any other party unless
2389 authorized by such agency;

2390 11- 12. Redact from any document or form that is to be made available to the public any residential
2391 address, personal telephone number, or signature contained on that document or form; and

2392 12- 13. Report on or before December 1 of each year on its activities and findings regarding Article
2393 3 and the Acts, including recommendations for changes in the laws, to the General Assembly and the

Governor. The annual report shall be submitted by the chairman as provided in the procedures of the Division of Legislative Automated Systems for the processing of legislative documents and reports and shall be published as a state document.

§ 30-356.1. Request for approval for certain travel.

A. The Council shall receive and review a request for the approval of travel submitted by a person required to file the disclosure form prescribed in § 2.2-3117 or 30-111 to accept any travel-related transportation, lodging, hospitality, food or beverage, or other thing of value that has a value exceeding \$100 where such approval is required pursuant to subsection G of § 2.2-3103.1 or subsection F of § 30-103.1. A request for the approval of travel shall not be required for the following, but such travel shall be disclosed as may be required by the Acts:

1. Travel disclosed pursuant to the Campaign Finance Disclosure Act (§ 24.2-945 et seq.);
2. Travel paid for or provided by the government of the United States, any of its territories, or any state or any political subdivision of such state;
3. Travel provided to facilitate attendance by a legislator at a regular or special session of the General Assembly, a meeting of a legislative committee or commission, or a national conference where attendance is approved by the House *Committee on Rules or its Chairman* or the Senate Committee on Rules *or its Chairman*; or
4. Travel related to an official meeting of the Commonwealth, its political subdivisions, or any board, commission, authority, or other entity, or any charitable organization established pursuant to § 501(c)(3) of the Internal Revenue Code affiliated with such entity, to which such person has been appointed or elected or is a member by virtue of his office or employment.

B. When reviewing a request for the approval of travel, the Council shall consider the purpose of the travel as it relates to the official duties of the requester. The Council shall approve any request for travel that bears a reasonable relationship between the purpose of the travel and the official duties of the requester. Such travel shall include any meeting, conference, or other event (i) composed primarily of public officials, (ii) at which public policy related to the duties of the requester will be discussed in a substantial manner, (iii) reasonably expected to educate the requester on issues relevant to his official duties or to enhance the requester's knowledge and skills relative to his official duties, or (iv) at which the requester has been invited to speak regarding matters reasonably related to the requester's official duties.

C. The Council shall not approve any travel requests that bear no reasonable relationship between the purpose of the proposed travel and the official duties of the requester. In making such determination, the Council shall consider the duration of travel, the destination of travel, the estimated value of travel, and any previous or recurring travel.

D. Within five business days of receipt of a request for the approval of travel, the Council shall grant or deny the request, unless additional information has been requested. If additional information has been requested, the Council shall grant or deny the request for the approval within five business days of receipt of such information. If the Council has not granted or denied the request for approval of travel or requested additional information within such five-day period, such travel shall be deemed to have been approved by the Council. Nothing in this subsection shall preclude a person from amending or resubmitting a request for the approval of travel. The Council may authorize a designee to review and grant or deny requests for the approval of travel.

E. A request for the approval of travel shall be on a form prescribed by the Council and made available on its website. Such form may be submitted by electronic means, facsimile, in-person submission, or mail or commercial mail delivery.

F. No person shall be prosecuted, assessed a civil penalty, or otherwise disciplined for acceptance of a travel-related thing of value if he accepted the travel-related thing of value after receiving approval under this section, regardless of whether such approval is later withdrawn, provided the travel occurred prior to the withdrawal of the approval.

§ 30-356.2. Right to grant extensions in special circumstances.

Notwithstanding any other provision of law, any person required to file the disclosure form prescribed in Article 3 or the Acts shall be entitled to an extension where good cause for granting such an extension has been shown, as determined by the Council. Good cause shall include:

1. *The death of a relative of the filer, as relative is defined in the definition of "gift" in Article 3 or the Acts.*
2. *A state of emergency is declared by the Governor pursuant to Chapter 3.2 (§ 44-146.13 et seq.) of Title 44 or declared by the President of the United States or the governor of another state pursuant to law and confirmed by the Governor by an executive order, and such an emergency interferes with the timely filing of disclosure forms. The extension shall be granted only for those filers in areas affected by such emergency.*
3. *The filer is a member of a uniformed service of the United States and is on active duty on the*

2455 *date of the filing deadline.*

2456 *4. A failure of the electronic filing system and the failure of such system prevents the timely filing of*
2457 *disclosure forms.*

2458 **2. That an emergency exists and the provisions of § 30-356.2 as created by this act and the**
2459 **provisions (i) amending the definition of "procurement transaction" in § 2.2-419 of the Code of**
2460 **Virginia, (ii) amending the requirement to disclose the names of officials or members of their**
2461 **family attending entertainment events in § 2.2-426 of the Code of Virginia, and (iii) amending**
2462 **§ 30-356 of the Code of Virginia are in force from the passage of this act and that the remaining**
2463 **provisions of this act shall become effective in due course except as provided in the third**
2464 **enactment.**

2465 **3. That the provisions of this act eliminating the forms set forth in §§ 2.2-426, 2.2-3117, 2.2-3118,**
2466 **and 30-111 of the Code of Virginia shall become effective on January 1, 2017, and that the**
2467 **Virginia Conflict of Interest and Ethics Advisory Council shall prescribe on or before January 1,**
2468 **2017, the forms required for complying with the disclosure requirements of §§ 2.2-426, 2.2-3117,**
2469 **2.2-3118, and 30-111.**

2470 **4. That, notwithstanding the effective date of this act, a lobbyist shall not be required to file a**
2471 **report of expenditures pursuant to § 2.2-426 of the Code of Virginia until July 1, 2017, for the**
2472 **preceding 12-month period complete through the last day of April.**

2473 **5. That the Supreme Court of Virginia shall report to the Virginia Conflict of Interest and Ethics**
2474 **Advisory Council on the application of the State and Local Government Conflict of Interests Act**
2475 **to members of the judiciary. Such report shall be made no later than October 1, 2016, and shall**
2476 **include an evaluation of the feasibility of creating separate statutory provisions applicable to**
2477 **members of the judiciary. In making its report, the Supreme Court of Virginia shall consult with**
2478 **staff of the Virginia Conflict of Interest and Ethics Advisory Council, statewide bar associations,**
2479 **and others as the Court deems necessary.**