

14100772D

SENATE BILL NO. 21

Offered January 8, 2014

Prefiled December 6, 2013

A BILL to amend and reenact §§ 2.2-3117 and 30-111 of the Code of Virginia, relating to State and Local Government Conflict of Interests Act and General Assembly Conflicts of Interests Act; lower Statement of Economic Interests disclosure thresholds.

Patron—Smith

Referred to Committee on Rules

Be it enacted by the General Assembly of Virginia:

1. That §§ 2.2-3117 and 30-111 of the Code of Virginia is amended and reenacted as follows:

§ 2.2-3117. Disclosure form.

The disclosure form to be used for filings required by subsections A and D of § 2.2-3114 and subsections A and E of § 2.2-3115 shall be substantially as follows:

STATEMENT OF ECONOMIC INTERESTS.

Name

Office or position held or sought

Address

Names of members of immediate family

DEFINITIONS AND EXPLANATORY MATERIAL.

"Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise, association, trust or foundation, or any other individual or entity carrying on a business or profession, whether or not for profit.

"Close financial association" means an association in which the person filing shares significant financial involvement with an individual and the filer would reasonably be expected to be aware of the individual's business activities and would have access to the necessary records either directly or through the individual. "Close financial association" does not mean an association based on (i) the receipt of retirement benefits or deferred compensation from a business by which the person filing this statement is no longer employed, or (ii) the receipt of compensation for work performed by the person filing as an independent contractor of a business that represents an entity before any state governmental agency when the person filing has had no communications with the state governmental agency.

"Contingent liability" means a liability that is not presently fixed or determined, but may become fixed or determined in the future with the occurrence of some certain event.

"Dependent" means any person, whether or not related by blood or marriage, who receives from the officer or employee, or provides to the officer or employee, more than one-half of his financial support.

"Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item having monetary value. It includes services as well as gifts of transportation, local travel, lodgings and meals, whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the expense has been incurred. "Gift" shall not include any offer of a ticket or other admission or pass unless the ticket, admission, or pass is used. "Gift" shall not include honorary degrees and presents from relatives. "Relative" means the donee's spouse, child, uncle, aunt, niece, or nephew; a person to whom the donee is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother, or sister; or the donee's brother's or sister's spouse.

"Immediate family" means (i) a spouse and (ii) any other person residing in the same household as the officer or employee, who is a dependent of the officer or employee or of whom the officer or employee is a dependent.

TRUST. If you or your immediate family, separately or together, are the only beneficiaries of a trust, treat the trust's assets as if you own them directly. If you or your immediate family has a proportional interest in a trust, treat that proportion of the trust's assets as if you own them directly. For example, if you and your immediate family have a one-third interest in a trust, complete your Statement as if you own one-third of each of the trust's assets. If you or a member of your immediate family created a trust and can revoke it without the beneficiaries' consent, treat its assets as if you own them directly.

REPORT TO THE BEST OF INFORMATION AND BELIEF. Information required on this Statement must be provided on the basis of the best knowledge, information and belief of the individual filing the Statement as of the date of this report unless otherwise stated.

COMPLETE ITEMS 1 THROUGH 10. REFER TO SCHEDULES ONLY IF DIRECTED.

INTRODUCED

SB21

58 You may attach additional explanatory information.

59 1. Offices and Directorships.

60 Are you or a member of your immediate family a paid officer or paid director of a business?

61 EITHER check NO / / OR check YES / / and complete Schedule A.

62 2. Personal Liabilities.

63 Do you or a member of your immediate family owe more than ~~\$10,000~~ \$5,000 to any one creditor
64 including contingent liabilities? (Exclude debts to any government and loans secured by recorded liens
65 on property at least equal in value to the loan.)

66 EITHER check NO / / OR check YES / / and complete Schedule B.

67 3. Securities.

68 Do you or a member of your immediate family, directly or indirectly, separately or together, own
69 securities valued in excess of ~~\$10,000~~ \$5,000 invested in one business? Account for mutual funds,
70 limited partnerships and trusts.

71 EITHER check NO / / OR check YES / / and complete Schedule C.

72 4. Payments for Talks, Meetings, and Publications.

73 During the past 12 months did you receive lodging, transportation, money, or anything else of value
74 with a combined value exceeding \$200 for a single talk, meeting, or published work in your capacity as
75 an officer or employee of your agency?

76 EITHER check NO / / OR check YES / / and complete Schedule D.

77 5. Gifts.

78 During the past 12 months did a business, government, or individual other than a relative or personal
79 friend (i) furnish you with any gift or entertainment at a single event, and the value received by you
80 exceeded \$50 in value or (ii) furnish you with gifts or entertainment in any combination and the value
81 received by you exceeded \$100 in total value; and for which you neither paid nor rendered services in
82 exchange? Account for entertainment events only if the average value per person attending the event
83 exceeded \$50 in value. Account for all business entertainment (except if related to your private
84 profession or occupation) even if unrelated to your official duties.

85 EITHER check NO / / OR check YES / / and complete Schedule E.

86 6. Salary and Wages.

87 List each employer that pays you or a member of your immediate family salary or wages in excess
88 of ~~\$10,000~~ \$5,000 annually. (Exclude state or local government or advisory agencies.)

89 If no reportable salary or wages, check here / /.

90 _____
91 _____
92 _____

93 7. Business Interests.

94 Do you or a member of your immediate family, separately or together, operate your own business, or
95 own or control an interest in excess of ~~\$10,000~~ \$5,000 in a business?

96 EITHER check NO / / OR check YES / / and complete Schedule F.

97 8. Payments for Representation and Other Services.

98 8A. Did you represent, excluding activity defined as lobbying in § 2.2-419, any businesses before any
99 state governmental agencies, excluding courts or judges, for which you received total compensation
100 during the past 12 months in excess of \$1,000, excluding compensation for other services to such
101 businesses and representation consisting solely of the filing of mandatory papers and subsequent
102 representation regarding the mandatory papers? (Officers and employees of local governmental and
103 advisory agencies do NOT need to answer this question or complete Schedule G-1.)

104 EITHER check NO / / OR check YES / / and complete Schedule G-1.

105 8B. Subject to the same exceptions as in 8A, did persons with whom you have a close financial
106 association (partners, associates or others) represent, excluding activity defined as lobbying in § 2.2-419,
107 any businesses before any state governmental agency for which total compensation was received during
108 the past 12 months in excess of \$1,000? (Officers and employees of local governmental and advisory
109 agencies do NOT need to answer this question or complete Schedule G-2.)

110 EITHER check NO / / OR check YES / / and complete Schedule G-2.

111 8C. Did you or persons with whom you have a close financial association furnish services to
112 businesses operating in Virginia pursuant to an agreement between you and such businesses, or between
113 persons with whom you have a close financial association and such businesses for which total
114 compensation in excess of \$1,000 was received during the past 12 months?

115 EITHER check NO / / OR check YES / / and complete Schedule G-3.

116 9. Real Estate.

117 9A. State Officers and Employees.

118 Do you or a member of your immediate family hold an interest, including a partnership interest,
119 valued at ~~\$10,000~~ \$5,000 or more in real property (other than your principal residence) for which you

have not already listed the full address on Schedule F? Account for real estate held in trust.

EITHER check NO / / OR check YES / / and complete Schedule H-1.

9B. Local Officers and Employees.

Do you or a member of your immediate family hold an interest, including a partnership interest, or option, easement, or land contract, valued at ~~\$10,000~~ \$5,000 or more in real property (other than your principal residence) for which you have not already listed the full address on Schedule F? Account for real estate held in trust.

EITHER check NO / / OR check YES / / and complete Schedule H-2.

10. Real Estate Contracts with Governmental Agencies.

Do you or a member of your immediate family hold an interest valued at more than ~~\$10,000~~ \$5,000 in real estate, including a corporate, partnership, or trust interest, option, easement, or land contract, which real estate is the subject of a contract, whether pending or completed within the past 12 months, with a governmental agency? If the real estate contract provides for the leasing of the property to a governmental agency, do you or a member of your immediate family hold an interest in the real estate valued at more than \$1,000? Account for all such contracts whether or not your interest is reported in Schedule F, H-1, or H-2. This requirement to disclose an interest in a lease does not apply to an interest derived through an ownership interest in a business unless the ownership interest exceeds three percent of the total equity of the business.

EITHER check NO / / OR check YES / / and complete Schedule I.

Statements of Economic Interests are open for public inspection.

AFFIRMATION BY ALL FILERS.

I swear or affirm that the foregoing information is full, true and correct to the best of my knowledge.

Signature

(Return only if needed to complete Statement.)

SCHEDULES

to

STATEMENT OF ECONOMIC INTERESTS.

NAME

SCHEDULE A - OFFICES AND DIRECTORSHIPS.

Identify each business of which you or a member of your immediate family is a paid officer or paid director.

Name of Business	Address of Business	Position Held
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

RETURN TO ITEM 2

SCHEDULE B - PERSONAL LIABILITIES.

Report personal liability by checking each category. Report only debts in excess of ~~\$10,000~~ \$5,000. Do not report debts to any government. Do not report loans secured by recorded liens on property at least equal in value to the loan.

Report contingent liabilities below and indicate which debts are contingent.

1. My personal debts are as follows:

Check appropriate categories	Check one \$10,001 to \$50,000	Check one \$5,001 to \$50,000	Check one More than \$50,000
Banks	_____	_____	_____
Savings institutions	_____	_____	_____
Other loan or finance companies	_____	_____	_____
Insurance companies	_____	_____	_____
Stock, commodity or other brokerage companies	_____	_____	_____
Other businesses:	_____	_____	_____
(State principal business activity for each creditor.)	_____	_____	_____

179 _____
 180 _____
 181 Individual creditors:
 182 (State principal business or
 183 occupation of each creditor.)
 184 _____
 185 _____
 186 _____

187 2. The personal debts of the members of my immediate family are as follows:
 188 _____

190	Check	Check one
191	appropriate	\$10,001 \$5,001 to More than
192	categories	\$50,000 \$50,000
193	Banks	_____
194	Savings institutions	_____
195	Other loan or finance companies	_____
196	Insurance companies	_____
197	Stock, commodity or other brokerage companies	_____
198	Other businesses:	
199	(State principal business activity for each	
200	creditor.)	_____
201		_____
202		_____
203	Individual creditors:	
204	(State principal business or	
205	occupation of each creditor.)	_____
206		_____
207		_____

209 RETURN TO ITEM 3

210 SCHEDULE C - SECURITIES.

211 "Securities" INCLUDES stocks, bonds, "Securities" EXCLUDES
 212 mutual funds, limited partnerships, certificates of deposit,
 213 and commodity futures contracts. money market funds, annuity
 214 contracts, and insurance policies.

215 Identify each business or Virginia governmental entity in which you or a member of your immediate
 216 family, directly or indirectly, separately or together, own securities valued in excess of ~~\$10,000~~ \$5,000.
 217 Name each entity and type of security individually.

218 Do not list U.S. Bonds or other government securities not issued by the Commonwealth of Virginia
 219 or its authorities, agencies, or local governments. Do not list organizations that do not do business in
 220 this Commonwealth, but most major businesses conduct business in Virginia. Account for securities held
 221 in trust.

222 If no reportable securities, check here / /.

225			Check one
226	Type of Security	\$10,001	\$5,001 \$50,001 -
227	More		
228	Name of Issuer	Type of Entity (stocks, bonds, mutual funds, etc.)	to to than \$50,000 \$250,000 \$250,000
229	_____	_____	_____
230	_____	_____	_____
231	_____	_____	_____
232	_____	_____	_____
233	_____	_____	_____
234	_____	_____	_____

235 RETURN TO ITEM 4

SCHEDULE D - PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.

List each source from which you received during the past 12 months lodging, transportation, money, or any other thing of value (excluding meals or drinks coincident with a meeting) with combined value exceeding \$200 for your presentation of a single talk, participation in one meeting, or publication of a work in your capacity as an officer or employee of your agency.

List payments or reimbursements by an advisory or governmental agency only for meetings or travel outside the Commonwealth.

List a payment even if you donated it to charity.

Do not list information about a payment if you returned it within 60 days or if you received it from an employer already listed under Item 6 or from a source of income listed on Schedule F.

If no payment must be listed, check here //.

Payer	Approximate Value	Circumstances	Type of payment (e.g. honoraria, travel reimburse- ment, etc.)

RETURN TO ITEM 5

SCHEDULE E - GIFTS.

List each business, governmental entity, or individual that, during the past 12 months, (i) furnished you with any gift or entertainment at a single event and the value received by you exceeded \$50 in value, or (ii) furnished you with gifts or entertainment in any combination and the value received by you exceeded \$100 in total value; and for which you neither paid nor rendered services in exchange. List each such gift or event. Do not list entertainment events unless the average value per person attending the event exceeded \$50 in value. Do not list business entertainment related to your private profession or occupation. Do not list gifts or other things of value given by a relative or personal friend for reasons clearly unrelated to your public position. Do not list campaign contributions publicly reported as required by Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2 of the Code of Virginia.

Name of Business, Organization, or Individual	City or County and State	Gift or Event	Approximate Value

RETURN TO ITEM 6

SCHEDULE F - BUSINESS INTERESTS.

Complete this Schedule for each self-owned or family-owned business (including rental property, a farm, or consulting work), partnership, or corporation in which you or a member of your immediate family, separately or together, own an interest having a value in excess of \$10,000 \$5,000.

If the enterprise is owned or operated under a trade, partnership, or corporate name, list that name; otherwise, merely explain the nature of the enterprise. If rental property is owned or operated under a trade, partnership, or corporate name, list the name only; otherwise, give the address of each property. Account for business interests held in trust.

Name of Business, Corporation, Partnership, Farm; Address of Rental Property	City or County and State	Nature of Enterprise (farming, law, rental property, etc.)	Gross Income \$50,001 to \$250,000	More than \$250,000

295						
296						
297						
298						
299						

RETURN TO ITEM 8

SCHEDULE G-1 - PAYMENTS FOR REPRESENTATION BY YOU.

List the businesses you represented, excluding activity defined as lobbying in § 2.2-419, before any state governmental agency, excluding any court or judge, for which you received total compensation during the past 12 months in excess of \$1,000, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers filed by you.

Identify each business, the nature of the representation and the amount received by dollar category from each such business. You may state the type, rather than name, of the business if you are required by law not to reveal the name of the business represented by you.

Only STATE officers and employees should complete this Schedule.

Name of Business	Type of Business	Purpose of Representation	Name of Agency	\$1,001 to \$10,000	\$10,001 to \$50,000	\$50,001 to \$100,000	\$100,001 to \$250,000	\$250,001 and over

If you have received \$250,001 or more from a single business within the reporting period, indicate the amount received, rounded to the nearest \$10,000.

Amount Received: _____.

SCHEDULE G-2 - PAYMENTS FOR REPRESENTATION BY ASSOCIATES.

List the businesses that have been represented, excluding activity defined as lobbying in § 2.2-419, before any state governmental agency, excluding any court or judge, by persons who are your partners, associates or others with whom you have a close financial association and who received total compensation in excess of \$1,000 for such representation during the past 12 months, excluding representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers filed by your partners, associates or others with whom you have a close financial association.

Identify such businesses by type and also name the state governmental agencies before which such person appeared on behalf of such businesses.

Only STATE officers and employees should complete this Schedule.

Type of business	Name of state governmental agency

SCHEDULE G-3 - PAYMENTS FOR SERVICES GENERALLY.

Indicate below types of businesses that operate in Virginia to which services were furnished by you or persons with whom you have a close financial association pursuant to an agreement between you and such businesses, or between persons with whom you have a close financial association and such businesses and for which total compensation in excess of \$1,000 was received during the past 12 months.

Identify opposite each category of businesses listed below (i) the type of business, (ii) the type of service rendered and (iii) the value by dollar category of the compensation received for all businesses

falling within each category.

	Check if ser- vices were ren- dered	Type of ser- vice ren- dered	\$1,001 to \$10,000	\$10,001 to \$50,000	\$50,001 to \$100,000	\$100,001 to \$250,000	\$250,001 and over
Electric utilities							
Gas utilities							
Telephone utilities							
Water utilities							
Cable television companies							
Interstate transportation companies							
Intrastate transportation companies							
Oil or gas retail companies							
Banks							
Savings institutions							
Loan or finance companies							
Manufacturing companies (state type of product, e.g., textile, furniture, etc.)							
Mining companies							
Life insurance companies							
Casualty insurance companies							
Other insurance companies							
Retail companies							
Beer, wine or liquor companies or distributors							
Trade associations							
Professional associations							
Associations of public employees or officials							
Counties, cities or towns							
Labor organizations							
Other							

410 SCHEDULE H-1 - REAL ESTATE - STATE OFFICERS AND EMPLOYEES.

411 List real estate other than your principal residence in which you or a member of your immediate
412 family holds an interest, including a partnership interest, option, easement, or land contract, valued at
413 \$10,000 \$5,000 or more. Each parcel shall be listed individually.

414	415	416	417	418	419	420
			Describe the type of real			
	List each location		estate you own in each		If the real estate is	
	(state, and county		location (business, recre-		owned or recorded in	
	or city) where you		ational, apartment, com-		a name other than your	
	own real estate.		mercial, open land, etc.).		own, list that name.	
421	_____	_____	_____	_____	_____	_____
422	_____	_____	_____	_____	_____	_____
423	_____	_____	_____	_____	_____	_____
424	_____	_____	_____	_____	_____	_____
425	_____	_____	_____	_____	_____	_____

426 SCHEDULE H-2 - REAL ESTATE - LOCAL OFFICERS AND EMPLOYEES.

427 List real estate other than your principal residence in which you or a member of your immediate
428 family holds an interest, including a partnership interest or option, easement, or land contract, valued at
429 \$10,000 \$5,000 or more. Each parcel shall be listed individually. Also list the names of any co-owners
430 of such property, if applicable.
431

432	433	434	435	436	437	438	439	440	441	442
			Describe the type							
			of real estate							
			you own in							
			each location		If the real estate					
	List each location		(business,		is owned or rec-					
	(state, and county		recreational,		orded in a name				List the names	
	or city) where		apartment, com-		other than your				of any co-owners,	
	you own real		mercial, open		own, list that				if applicable.	
	estate.		land, etc.).		name.					
443	_____	_____	_____	_____	_____	_____	_____	_____	_____	_____
444	_____	_____	_____	_____	_____	_____	_____	_____	_____	_____
445	_____	_____	_____	_____	_____	_____	_____	_____	_____	_____
446	_____	_____	_____	_____	_____	_____	_____	_____	_____	_____
447	_____	_____	_____	_____	_____	_____	_____	_____	_____	_____

448 SCHEDULE I - REAL ESTATE CONTRACTS WITH GOVERNMENTAL AGENCIES.

449 List all contracts, whether pending or completed within the past 12 months, with a governmental
450 agency for the sale or exchange of real estate in which you or a member of your immediate family
451 holds an interest, including a corporate, partnership or trust interest, option, easement, or land contract,
452 valued at \$10,000 \$5,000 or more. List all contracts with a governmental agency for the lease of real
453 estate in which you or a member of your immediate family holds such an interest valued at \$1,000 or
454 more. This requirement to disclose an interest in a lease does not apply to an interest derived through an
455 ownership interest in a business unless the ownership interest exceeds three percent of the total equity of
456 the business.
457

458 State officers and employees report contracts with state agencies.

459 Local officers and employees report contracts with local agencies.

460	461
	List your real estate
	interest and the
	person or entity,
	including the type
	of entity, which
	is party to

the contract.		State the annual
Describe any		income from the
management role and	List each governmental	contract, and the
the percentage	agency which is a	amount, if any, of
ownership	party to the contract	income you or any
interest you or your	and indicate the	immediate family
immediate family	county or city where	member derives
member has in the real	the real estate	annually from the
estate or entity.	is located.	contract.

_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

§ 30-111. Disclosure form.

A. The disclosure form to be used for filings required by subsections A and B of § 30-110 shall be substantially as follows:

STATEMENT OF ECONOMIC INTERESTS.

Name

Office or position held or sought

Home address

Names of members of immediate family

DEFINITIONS AND EXPLANATORY MATERIAL.

"Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise, association, trust or foundation, or any other individual or entity carrying on a business or profession, whether or not for profit.

"Close financial association" means an association in which the filer shares significant financial involvement with an individual and the filer would reasonably be expected to be aware of the individual's business activities and would have access to the necessary records either directly or through the individual. "Close financial association" does not mean an association based on (i) the receipt of retirement benefits or deferred compensation from a business by which the legislator is no longer employed, or (ii) the receipt of compensation for work performed by the legislator as an independent contractor of a business that represents an entity before any state governmental agency when the legislator has had no communications with the state governmental agency.

"Contingent liability" means a liability that is not presently fixed or determined, but may become fixed or determined in the future with the occurrence of some certain event.

"Dependent" means any person, whether or not related by blood or marriage, who receives from the legislator, or provides to the legislator, more than one-half of his financial support.

"Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item having monetary value. It includes services as well as gifts of transportation, local travel, lodgings and meals, whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the expense has been incurred. "Gift" shall not include any offer of a ticket or other admission or pass unless the ticket, admission, or pass is used. "Gift" shall not include honorary degrees and presents from relatives. "Relative" means the donee's spouse, child, uncle, aunt, niece, or nephew; a person to whom the donee is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother, or sister; or the donee's brother's or sister's spouse.

"Immediate family" means (i) a spouse and (ii) any other person residing in the same household as the legislator, who is a dependent of the legislator or of whom the legislator is a dependent.

"Lobbyist relationship" means (i) an engagement, agreement, or representation that relates to legal services, consulting services, or public relations services, whether gratuitous or for compensation, between a member or member-elect and any person who is, or has been within the prior calendar year, registered as a lobbyist with the Secretary of the Commonwealth, or (ii) a greater than three percent ownership interest by a member or member-elect in a business that employs, or engages as an independent contractor, any person who is, or has been within the prior calendar year, registered as a lobbyist with the Secretary of the Commonwealth. The disclosure of a lobbyist relationship shall not (i) constitute a waiver of any attorney-client or other privilege, (ii) require a waiver of any attorney-client or other privilege for a third party, or (iii) be required where a member or member-elect is employed or engaged by a person and such person also employs or engages a person in a lobbyist relationship so long as the member or member-elect has no financial interest in the lobbyist relationship.

528 TRUST. If you or your immediate family, separately or together, are the only beneficiaries of a trust,
 529 treat the trust's assets as if you own them directly. If you or your immediate family has a proportional
 530 interest in a trust, treat that proportion of the trust's assets as if you own them directly. For example, if
 531 you and your immediate family have a one-third interest in a trust, complete your Statement as if you
 532 own one-third of each of the trust's assets. If you or a member of your immediate family created a trust
 533 and can revoke it without the beneficiaries' consent, treat its assets as if you own them directly.

534 REPORT TO THE BEST OF INFORMATION AND BELIEF. Information required on this
 535 Statement must be provided on the basis of the best knowledge, information and belief of the individual
 536 filing the Statement as of the date of this report unless otherwise stated.

537 COMPLETE ITEMS 1 THROUGH 11. REFER TO SCHEDULES ONLY IF DIRECTED.

538 You may attach additional explanatory information.

539 1. Offices and Directorships.

540 Are you or a member of your immediate family a paid officer or paid director of a business?

541 EITHER check NO / / OR check YES / / and complete Schedule A.

542 2. Personal Liabilities.

543 Do you or a member of your immediate family owe more than ~~\$10,000~~ \$5,000 to any one creditor
 544 including contingent liabilities? (Exclude debts to any government and loans secured by recorded liens
 545 on property at least equal in value to the loan.)

546 EITHER check NO / / OR check YES / / and complete Schedule B.

547 3. Securities.

548 Do you or a member of your immediate family, directly or indirectly, separately or together, own
 549 securities valued in excess of ~~\$10,000~~ \$5,000 invested in one business? Account for mutual funds,
 550 limited partnerships and trusts.

551 EITHER check NO / / OR check YES / / and complete Schedule C.

552 4. Payments for Talks, Meetings, and Publications.

553 During the past 12 months did you receive lodging, transportation, money, or anything else of value
 554 with a combined value exceeding \$200 for a single talk, meeting, or published work in your capacity as
 555 a legislator? Do not include payments and reimbursements from the Commonwealth for meetings
 556 attended in your capacity as a legislator; see Question 11 and Schedule D2 to report such meetings.

557 EITHER check NO / / OR check YES / / and complete Schedule D.

558 5. Gifts.

559 During the past 12 months did a business, government, or individual other than a relative or personal
 560 friend (i) furnish you with any gift or entertainment at a single event, and the value received by you
 561 exceeded \$50 in value or (ii) furnish you with gifts or entertainment in any combination and the value
 562 received by you exceeded \$100 in total value; and for which you neither paid nor rendered services in
 563 exchange? Account for entertainment events only if the average value per person attending the event
 564 exceeded \$50 in value. Account for all business entertainment (except if related to your private
 565 profession or occupation) even if unrelated to your official duties.

566 EITHER check NO / / OR check YES / / and complete Schedule E.

567 6. Salary and Wages.

568 List each employer that pays you or a member of your immediate family salary or wages in excess
 569 of ~~\$10,000~~ \$5,000 annually. (Exclude any salary received as a member of the General Assembly
 570 pursuant to § 30-19.11.)

571 If no reportable salary or wages, check here / / .

572 _____
 573 _____
 574 _____

575 7. Business Interests and Lobbyist Relationships.

576 7A. Do you or a member of your immediate family, separately or together, operate your own
 577 business, or own or control an interest in excess of ~~\$10,000~~ \$5,000 in a business?

578 EITHER check NO / / OR check YES / / and complete Schedule F-1.

579 7B. Do you have a lobbyist relationship as that term is defined above?

580 EITHER check NO / / OR check YES / / and complete Schedule F-2.

581 8. Payments for Representation and Other Services.

582 8A. Did you represent any businesses before any state governmental agencies, excluding courts or
 583 judges, for which you received total compensation during the past 12 months in excess of \$1,000,
 584 excluding compensation for other services to such businesses and representation consisting solely of the
 585 filing of mandatory papers and subsequent representation regarding the mandatory papers?

586 EITHER check NO / / OR check YES / / and complete Schedule G-1.

587 8B. Subject to the same exceptions as in 8A, did persons with whom you have a close financial
 588 association (partners, associates or others) represent any businesses before any state governmental agency
 589 for which total compensation was received during the past 12 months in excess of \$1,000?

EITHER check NO / / OR check YES / / and complete Schedule G-2.

8C. Did you or persons with whom you have a close financial association furnish services to businesses operating in Virginia, pursuant to an agreement between you and such businesses, or between persons with whom you have a close financial association and such businesses for which total compensation in excess of \$1,000 was received during the past 12 months? Services reported under this provision shall not include services involving the representation of businesses that are reported under question 8A or 8B above.

EITHER check NO / / OR check YES / / and complete Schedule G-3.

9. Real Estate.

Do you or a member of your immediate family hold an interest, including a partnership interest, valued at \$10,000 \$5,000 or more in real property (other than your principal residence) for which you have not already listed the full address on Schedule F? Account for real estate held in trust.

EITHER check NO / / OR check YES / / and complete Schedule H.

10. Real Estate Contracts with State Governmental Agencies.

Do you or a member of your immediate family hold an interest valued at more than \$10,000 \$5,000 in real estate, including a corporate, partnership, or trust interest, option, easement, or land contract, which real estate is the subject of a contract, whether pending or completed within the past 12 months, with a state governmental agency?

If the real estate contract provides for the leasing of the property to a state governmental agency, do you or a member of your immediate family hold an interest in the real estate, including a corporate, partnership, or trust interest, option, easement, or land contract valued at more than \$1,000? Account for all such contracts whether or not your interest is reported in Schedule F or H. This requirement to disclose an interest in a lease does not apply to an interest derived through an ownership interest in a business unless the ownership interest exceeds three percent of the total equity of the business.

EITHER check NO / / OR check YES / / and complete Schedule I.

11. Payments by the Commonwealth for Meetings.

During the past 12 months did you receive lodging, transportation, money, or anything else of value with a combined value exceeding \$200 from the Commonwealth for a single meeting attended out-of-state in your capacity as a legislator? Do not include reimbursements from the Commonwealth for meetings attended in the Commonwealth.

EITHER check NO / / OR check YES / / and complete Schedule D-2.

Statements of Economic Interests are open for public inspection.

AFFIRMATION.

In accordance with the rules of the house in which I serve, if I receive a request that this disclosure statement be corrected, augmented, or revised in any respect, I hereby pledge that I shall respond promptly to the request. I understand that if a determination is made that the statement is insufficient, I will satisfy such request or be subjected to disciplinary action of my house.

I swear or affirm that the foregoing information is full, true and correct to the best of my knowledge.

Signature _____

Commonwealth of Virginia

_____ of _____ to wit:

The foregoing disclosure form was acknowledged before me

This _____ day of _____, 20____, by _____

Notary Public

My commission expires _____

(Return only if needed to complete Statement.)

SCHEDULES

to

STATEMENT OF ECONOMIC INTERESTS.

NAME _____

SCHEDULE A - OFFICES AND DIRECTORSHIPS.

Identify each business of which you or a member of your immediate family is a paid officer or paid director.

Name of Business	Address of Business	Position Held
_____	_____	_____
_____	_____	_____
_____	_____	_____

650 _____
651 _____
652 RETURN TO ITEM 2

653 SCHEDULE B - PERSONAL LIABILITIES.

654 Report personal liability by checking each category. Report only debts in excess of ~~\$10,000~~ \$5,000.
655 Do not report debts to any government. Do not report loans secured by recorded liens on property at
656 least equal in value to the loan.

657 Report contingent liabilities below and indicate which debts are contingent.

658 1. My personal debts are as follows:

659 _____
660
661 Check Check one
662 appropriate ~~\$10,001~~\$5,001 to More -
663 than
664 categories \$50,000 \$50,000
665 Banks _____
666 Savings institutions _____
667 Other loan or finance companies _____
668 Insurance companies _____
669 Stock, commodity or other brokerage
670 companies _____
671 Other businesses:
672 (State principal business activity for each
673 creditor.) _____
674 _____
675 _____
676 Individual creditors:
677 (State principal business or occupation of
678 each creditor.) _____
679 _____
680 _____
681 _____

682 2. The personal debts of the members of my immediate family are as follows:

683 _____
684
685 Check Check one
686 appropriate ~~\$10,001~~\$5,001 to More -
687 than
688 categories \$50,000 \$50,000
689 Banks _____
690 Savings institutions _____
691 Other loan or finance companies _____
692 Insurance companies _____
693 Stock, commodity or other brokerage
694 companies _____
695 Other businesses:
696 (State principal business activity for each
697 creditor.) _____
698 _____
699 _____
700 Individual creditors:
701 (State principal business or occupation of
702 each creditor.) _____
703 _____
704 _____
705 _____

706 RETURN TO ITEM 3

SCHEDULE C - SECURITIES.

"Securities" INCLUDES stocks, bonds, mutual funds, limited partnerships, and commodity futures contracts. "Securities" EXCLUDES certificates of deposit, money market funds, annuity contracts, and insurance policies.

Identify each business or Virginia governmental entity in which you or a member of your immediate family, directly or indirectly, separately or together, own securities valued in excess of \$10,000 \$5,000. Name each entity and type of security individually.

Do not list U.S. Bonds or other government securities not issued by the Commonwealth of Virginia or its authorities, agencies, or local governments. Do not list organizations that do not do business in this Commonwealth, but most major businesses conduct business in Virginia. Account for securities held in trust.

If no reportable securities, check here / / .

		Check one			
		Type of Security	\$10,001 to \$50,000	\$5,001 to \$250,000	More than \$250,000
Name of Issuer	Type of Entity	(stocks, bonds, mutual funds, etc.)	to \$50,000	to \$250,000	than \$250,000

RETURN TO ITEM 4

SCHEDULE D-1 - PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.

List each source from which you received during the past 12 months lodging, transportation, money, or any other thing of value (excluding meals or drinks coincident with a meeting) with a combined value exceeding \$200 for your presentation of a single talk, participation in one meeting, or publication of a work in your capacity as a legislator. Do not list payments or reimbursements by the Commonwealth. (See Schedule D-2 for such payments or reimbursements.) List a payment even if you donated it to charity. Do not list information about a payment if you returned it within 60 days or if you received it from an employer already listed under Item 6 or from a source of income listed on Schedule F.

If no payment must be listed, check here / / .

Payer	Approximate Value	Circumstances	Type of Payment (e.g., Honoraria, Travel reimbursement, etc.)

RETURN TO ITEM 5

SCHEDULE D-2 - PAYMENTS BY THE COMMONWEALTH FOR MEETINGS.

List each meeting for which the Commonwealth provided payments or reimbursements during the past 12 months to you for lodging, transportation, money, or any other thing of value (excluding meals or drinks coincident with a meeting) with a combined value exceeding \$200 for your participation in your capacity as a legislator. Do not list payments or reimbursements by the Commonwealth for meetings or travel within the Commonwealth.

If no payment must be listed, check here / / .

Type of Payment

765				(e.g., Travel
766				reimbursement,
767	Payer	Approximate Value	Circumstances	etc.)
768	_____	_____	_____	_____
769	_____	_____	_____	_____
770	_____	_____	_____	_____
771	_____	_____	_____	_____
772	_____	_____	_____	_____

773 SCHEDULE E - GIFTS.

774 List each business, governmental entity, or individual that, during the past 12 months, (i) furnished
 775 you with any gift or entertainment at a single event and the value received by you exceeded \$50 in
 776 value, or (ii) furnished you with gifts or entertainment in any combination and the value received by
 777 you exceeded \$100 in total value; and for which you neither paid nor rendered services in exchange.
 778 List each such gift or event.

779 Do not list entertainment events unless the average value per person attending the event exceeded
 780 \$50 in value. Do not list business entertainment related to your private profession or occupation. Do not
 781 list gifts or other things of value given by a relative or personal friend for reasons clearly unrelated to
 782 your public position. Do not list campaign contributions publicly reported as required by Chapter 9.3
 783 (§ 24.2-945 et seq.) of Title 24.2 of the Code of Virginia.

784				
785				
786	Name of Business,	City or		
787	Organization, or	County		
788	Individual	and State	Gift or Event	Approximate Value
789	_____	_____	_____	_____
790	_____	_____	_____	_____
791	_____	_____	_____	_____
792	_____	_____	_____	_____
793	_____	_____	_____	_____

794 RETURN TO ITEM 6

795 SCHEDULE F-1 - BUSINESS INTERESTS.

796 Complete this Schedule for each self-owned or family-owned business (including rental property, a
 797 farm, or consulting work), partnership, or corporation in which you or a member of your immediate
 798 family, separately or together, own an interest having a value in excess of ~~\$10,000~~ \$5,000.

799 If the enterprise is owned or operated under a trade, partnership, or corporate name, list that name;
 800 otherwise, merely explain the nature of the enterprise. If rental property is owned or operated under a
 801 trade, partnership, or corporate name, list the name only; otherwise, give the address of each property.
 802 Account for business interests held in trust.

803						
804						
805	Name of					
806	Business					
807	Corporation,					
808	Partnership,	Nature of		Gross income		
809	Farm;	Enterprise				
810	Address of	City or	(farming,	\$50,001	More	
811	Rental	County	law, rental	to	than	
812	Property	and State	property, etc.)	\$50,000 or less	\$250,000	\$250,000
813	_____	_____	_____	_____	_____	_____
814	_____	_____	_____	_____	_____	_____
815	_____	_____	_____	_____	_____	_____
816	_____	_____	_____	_____	_____	_____
817	_____	_____	_____	_____	_____	_____

818 RETURN TO ITEM 8

819 SCHEDULE F-2 - LOBBYIST RELATIONSHIPS AND PAYMENTS.

820 Complete this Schedule for each lobbyist relationship with the following:

- 821 (i) any person who is, or has been within the prior calendar year, registered as a lobbyist with the
 822 Secretary of the Commonwealth, or
 823 (ii) any business in which you have a greater than three percent ownership interest and that business

employs, or engages as an independent contractor, any person who is, or has been within the prior calendar year, registered as a lobbyist with the Secretary of the Commonwealth.

List each person or business	Describe each relationship	Dates of relationship	Payments to Lobbyist	
			\$10,000 or less	More than \$10,000 ¹

THE DISCLOSURE OF A LOBBYIST RELATIONSHIP SHALL NOT (I) CONSTITUTE A WAIVER OF ANY ATTORNEY-CLIENT OR OTHER PRIVILEGE, (II) REQUIRE A WAIVER OF ANY ATTORNEY-CLIENT OR OTHER PRIVILEGE FOR A THIRD PARTY, OR (III) BE REQUIRED WHERE A MEMBER OR MEMBER-ELECT IS EMPLOYED OR ENGAGED BY A PERSON AND SUCH PERSON ALSO EMPLOYS OR ENGAGES A PERSON IN A LOBBYIST RELATIONSHIP SO LONG AS THE MEMBER OR MEMBER-ELECT HAS NO FINANCIAL INTEREST IN THE LOBBYIST RELATIONSHIP.

SCHEDULE G-1 - PAYMENTS FOR REPRESENTATION BY YOU.

List the businesses you represented before any state governmental agency, excluding any court or judge, for which you received total compensation during the past 12 months in excess of \$1,000, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers filed by you.

Identify each business, the nature of the representation and the amount received by dollar category from each such business. You may state the type, rather than name, of the business if you are required by law not to reveal the name of the business represented by you.

Name of Busi- ness	Type of Busi- ness	Pur- pose of Repre- senta- tion	Name of Agency	Amount Received				
				\$1,001 to \$10,000	\$10,001 to \$50,000	\$50,001 to \$100,000	\$100,001 to \$250,000	\$250,001 and over

If you have received \$250,001 or more from a single business within the reporting period, indicate the amount received, rounded to the nearest \$10,000. Amount Received: _____.

SCHEDULE G-2 - PAYMENTS FOR REPRESENTATION BY ASSOCIATES.

List the businesses that have been represented before any state governmental agency, excluding any court or judge, by persons who are your partners, associates or others with whom you have a close financial association and who received total compensation in excess of \$1,000 for such representation during the past 12 months, excluding representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers filed by your partners, associates or others with whom you have a close financial association.

Identify such businesses by type and also name the state governmental agencies before which such person appeared on behalf of such businesses.

Type of Business	Name of State Governmental Agency

883

884

885 SCHEDULE G-3 - PAYMENTS FOR OTHER SERVICES GENERALLY.

886 Indicate below types of businesses that operate in Virginia to which services were furnished by you
 887 or persons with whom you have a close financial association pursuant to an agreement between you and
 888 such businesses, or between persons with whom you have a close financial association and such
 889 businesses and for which total compensation in excess of \$1,000 was received during the past 12
 890 months. Services reported in this Schedule shall not include services involving the representation of
 891 businesses that are reported in Schedule G-1 or G-2 above.

892 Identify opposite each category of businesses listed below (i) the type of business, (ii) the type of
 893 service rendered and (iii) the value by dollar category of the compensation received for all businesses
 894 falling within each category.

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939

	Check	Type	Value of Compensation				
	ser-	of					
	vices	ser-					
	were	vice	\$1,001	\$10,001	\$50,001	\$100,001	
	ren-	ren-	to	to	to	to	\$250,001
	dered	dered	\$10,000	\$50,000	\$100,000	\$250,000	and over
Electric utilities							
Gas utilities							
Telephone utilities							
Water utilities							
Cable television							
companies							
Interstate							
transportation							
companies							
Intrastate							
transportation							
companies							
Oil or gas retail							
companies							
Banks							
Savings							
institutions							
Loan or finance							
companies							
Manufacturing							
companies (state							
type of product,							
e.g., textile,							
furniture, etc.)							
Mining companies							
Life insurance							
companies							
Casualty insurance							
companies							
Other insurance							
companies							
Retail companies							
Beer, wine or							
liquor companies							
or distributors							
Trade associations							

940	Professional						
941	associations	_____	_____	_____	_____	_____	_____
942	Associations of						
943	public employees						
944	or officials	_____	_____	_____	_____	_____	_____
945	Counties, cities						
946	or towns	_____	_____	_____	_____	_____	_____
947	Labor organizations	_____	_____	_____	_____	_____	_____
948	Other	_____	_____	_____	_____	_____	_____
949		_____	_____	_____	_____	_____	_____

RETURN TO ITEM 9

950 SCHEDULE H - REAL ESTATE.

951 List real estate other than your principal residence in which you or a member of your immediate
 952 family holds an interest, including a partnership interest, option, easement, or land contract, valued at
 953 \$10,000 \$5,000 or more. Each parcel must be listed individually.
 954

955	_____		
956			
957		Describe the type of real	
958		estate you own in each	
959	List the location	location (business,	If the real estate is
960	(state, and county	recreational, apartment,	owned or recorded in
961	or city where you	commercial, open land,	a name other than your
962	own real estate	etc.)	own, list that name
963	_____	_____	_____
964	_____	_____	_____
965	_____	_____	_____
966	_____	_____	_____
967	_____	_____	_____
968	_____	_____	_____

RETURN TO ITEM 10

969 SCHEDULE I - REAL ESTATE CONTRACTS WITH STATE GOVERNMENTAL AGENCIES.

970 List all contracts, whether pending or completed within the past 12 months, with a state
 971 governmental agency for the sale or exchange of real estate in which you or a member of your
 972 immediate family holds an interest, including a corporate, partnership or trust interest, option, easement,
 973 or land contract, valued at \$10,000 \$5,000 or more. List all contracts with a state governmental agency
 974 for the lease of real estate in which you or a member of your immediate family holds such an interest
 975 valued at \$1,000 or more. This requirement to disclose an interest in a lease does not apply to an
 976 interest derived through an ownership interest in a business unless the ownership interest exceeds three
 977 percent of the total equity of the business.
 978

979	_____		
980			
981	List your real		
982	estate interest and		
983	the person or entity,		
984	including the type of		
985	entity, which is		
986	party to the contract.		State the annual
987	Describe any		income from the
988	management role and	List each	contract, and the
989	the percentage	governmental agency	amount, if any, of
990	ownership interest	which is a party to	income you or any
991	you or your immediate	the contract and	immediate family
992	family member has in	indicate the county	member derives
993	the real estate	or city where the	annually from
994	or entity.	real estate is located.	the contract.
995	_____	_____	_____
996	_____	_____	_____

997 _____
998 _____
999 _____
1000 _____

1001 B. Any legislator who makes a knowing misstatement of a material fact on the Statement of
1002 Economic Interests shall be subject to disciplinary action for such violations by the house in which the
1003 legislator sits.

1004 C. In accordance with the rules of each house, the Statement of Economic Interests of all members
1005 of each house shall be reviewed. If a legislator's Statement is found to be inadequate as filed, the
1006 legislator shall be notified in writing and directed to file an amended Statement correcting the indicated
1007 deficiencies, and a time shall be set within which such amendment shall be filed. If the Statement of
1008 Economic Interests, in either its original or amended form, is found to be adequate as filed, the
1009 legislator's filing shall be deemed in full compliance with this section as to the information disclosed
1010 thereon.

1011 D. Ten percent of the membership of a house, on the basis of newly discovered facts, may in writing
1012 request the house in which those members sit, in accordance with the rules of that house, to review the
1013 Statement of Economic Interests of another member of that house in order to determine the adequacy of
1014 his filing. In accordance with the rules of each house, each Statement of Economic Interests shall be
1015 promptly reviewed, the adequacy of the filing determined, and notice given in writing to the legislator
1016 whose Statement is in issue. Should it be determined that the Statement requires correction,
1017 augmentation or revision, the legislator involved shall be directed to make the changes required within
1018 such time as shall be set under the rules of each house.

1019 If a legislator, after having been notified in writing in accordance with the rules of the house in
1020 which he sits that his Statement is inadequate as filed, fails to amend his Statement so as to come into
1021 compliance within the time limit set, he shall be subject to disciplinary action by the house in which he
1022 sits. No legislator shall vote on any question relating to his own Statement.