

14100091D

HOUSE BILL NO. 15

Offered January 8, 2014

Prefiled November 19, 2013

A BILL to amend and reenact §§ 2.2-3117 and 30-111 of the Code of Virginia and to amend the Code of Virginia by adding a section numbered 30-110.1, relating to the State and Local Government Conflict of Interests Act and the General Assembly Conflicts of Interests Act; gifts; disclosures.

Patron—Marshall, R.G.

Referred to Committee on Rules

Be it enacted by the General Assembly of Virginia:

1. That §§ 2.2-3117 and 30-111 of the Code of Virginia are amended and reenacted and that the Code of Virginia is amended by adding a section numbered 30-110.1 as follows:

§ 2.2-3117. Disclosure form.

The disclosure form to be used for filings required by subsections A and D of § 2.2-3114 and subsections A and E of § 2.2-3115 shall be substantially as follows:

STATEMENT OF ECONOMIC INTERESTS.

Name

Office or position held or sought

Address

Names of members of immediate family

DEFINITIONS AND EXPLANATORY MATERIAL.

"Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise, association, trust or foundation, or any other individual or entity carrying on a business or profession, whether or not for profit.

"Close financial association" means an association in which the person filing shares significant financial involvement with an individual and the filer would reasonably be expected to be aware of the individual's business activities and would have access to the necessary records either directly or through the individual. "Close financial association" does not mean an association based on (i) the receipt of retirement benefits or deferred compensation from a business by which the person filing this statement is no longer employed, or (ii) the receipt of compensation for work performed by the person filing as an independent contractor of a business that represents an entity before any state governmental agency when the person filing has had no communications with the state governmental agency.

"Contingent liability" means a liability that is not presently fixed or determined, but may become fixed or determined in the future with the occurrence of some certain event.

"Dependent" means any person, whether or not related by blood or marriage, who receives from the officer or employee, or provides to the officer or employee, more than one-half of his financial support.

"Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item having monetary value. It includes services as well as gifts of transportation, local travel, lodgings and meals, whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the expense has been incurred. "Gift" shall not include any offer of a ticket or other admission or pass unless the ticket, admission, or pass is used. "Gift" shall not include honorary degrees and presents from relatives. "Relative" means the donee's spouse, child, uncle, aunt, niece, or nephew; a person to whom the donee is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother, or sister; or the donee's brother's or sister's spouse.

"Immediate family" means (i) a spouse and (ii) any other person residing in the same household as the officer or employee, who is a dependent of the officer or employee or of whom the officer or employee is a dependent. *For purposes of reporting gifts pursuant to Item 5 and Schedule E, "immediate family" also includes (a) the officer's or employee's or his spouse's parent, grandparent, child, grandchild, brother, and sister and (b) any person with whom the officer or employee has been habitually cohabiting in a relationship analogous to a marriage.*

TRUST. If you or your immediate family, separately or together, are the only beneficiaries of a trust, treat the trust's assets as if you own them directly. If you or your immediate family has a proportional interest in a trust, treat that proportion of the trust's assets as if you own them directly. For example, if you and your immediate family have a one-third interest in a trust, complete your Statement as if you own one-third of each of the trust's assets. If you or a member of your immediate family created a trust and can revoke it without the beneficiaries' consent, treat its assets as if you own them directly.

INTRODUCED

HB15

58 REPORT TO THE BEST OF INFORMATION AND BELIEF. Information required on this
59 Statement must be provided on the basis of the best knowledge, information and belief of the individual
60 filing the Statement as of the date of this report unless otherwise stated.

61 COMPLETE ITEMS 1 THROUGH 10. REFER TO SCHEDULES ONLY IF DIRECTED.

62 You may attach additional explanatory information.

63 1. Offices and Directorships.

64 Are you or a member of your immediate family a paid officer or paid director of a business?

65 EITHER check NO / / OR check YES / / and complete Schedule A.

66 2. Personal Liabilities.

67 Do you or a member of your immediate family *or does a business in which you or a member of*
68 *your immediate family holds an ownership interest* owe more than \$10,000 to any one creditor including
69 contingent liabilities? (Exclude debts to any government and loans secured by recorded liens on property
70 at least equal in value to the loan.) *This requirement to disclose business liabilities does not apply to an*
71 *ownership interest in a business unless the ownership interest exceeds three percent of the total equity*
72 *of the business.*

73 EITHER check NO / / OR check YES / / and complete Schedule B.

74 3. Securities.

75 Do you or a member of your immediate family, directly or indirectly, separately or together, own
76 securities valued in excess of \$10,000 invested in one business? Account for mutual funds, limited
77 partnerships and trusts.

78 EITHER check NO / / OR check YES / / and complete Schedule C.

79 4. Payments for Talks, Meetings, and Publications.

80 During the past 12 months did you receive lodging, transportation, money, or anything else of value
81 with a combined value exceeding \$200 for a single talk, meeting, or published work in your capacity as
82 an officer or employee of your agency?

83 EITHER check NO / / OR check YES / / and complete Schedule D.

84 5. Gifts.

85 During the past 12 months did a business, government, or individual other than a relative or personal
86 friend (i) furnish you with any gift or entertainment at a single event; and the value received ~~by you~~
87 ~~exceeded \$50 in value~~ or (ii) furnish you with gifts or entertainment in any combination and the *total*
88 ~~value received by you exceeded \$100 in total value;~~ *or during the past 12 months did a business,*
89 *government, or individual furnish a member of your immediate family with gifts or entertainment in any*
90 *combination and the total value received exceeded \$100 where such business, government, or individual*
91 *transacted or is transacting business with the Commonwealth or its agencies, departments, or political*
92 *subdivisions within 12 months from the date of the gift; and for which you or the member of your*
93 *immediate family neither paid nor rendered services in exchange?* Account for entertainment events only
94 if the average value per person attending the event exceeded \$50 ~~in value~~. Account for all business
95 entertainment (except if related to your *or your immediate family member's* private profession or
96 occupation) even if unrelated to your official duties.

97 EITHER check NO / / OR check YES / / and complete Schedule E.

98 6. Salary and Wages.

99 List each employer that pays you or a member of your immediate family salary or wages in excess
100 of \$10,000 annually. (Exclude state or local government or advisory agencies.)

101 If no reportable salary or wages, check here / /.

102 _____
103 _____
104 _____

105 7. Business Interests.

106 Do you or a member of your immediate family, separately or together, operate your own business, or
107 own or control an interest in excess of \$10,000 in a business?

108 EITHER check NO / / OR check YES / / and complete Schedule F.

109 8. Payments for Representation and Other Services.

110 8A. Did you represent, excluding activity defined as lobbying in § 2.2-419, any businesses before any
111 state governmental agencies, excluding courts or judges, for which you received total compensation
112 during the past 12 months in excess of \$1,000, excluding compensation for other services to such
113 businesses and representation consisting solely of the filing of mandatory papers and subsequent
114 representation regarding the mandatory papers? (Officers and employees of local governmental and
115 advisory agencies do NOT need to answer this question or complete Schedule G-1.)

116 EITHER check NO / / OR check YES / / and complete Schedule G-1.

117 8B. Subject to the same exceptions as in 8A, did persons with whom you have a close financial
118 association (partners, associates or others) represent, excluding activity defined as lobbying in § 2.2-419,
119 any businesses before any state governmental agency for which total compensation was received during

the past 12 months in excess of \$1,000? (Officers and employees of local governmental and advisory agencies do NOT need to answer this question or complete Schedule G-2.)

EITHER check NO / / OR check YES / / and complete Schedule G-2.

8C. Did you or persons with whom you have a close financial association furnish services to businesses operating in Virginia pursuant to an agreement between you and such businesses, or between persons with whom you have a close financial association and such businesses for which total compensation in excess of \$1,000 was received during the past 12 months?

EITHER check NO / / OR check YES / / and complete Schedule G-3.

9. Real Estate.

9A. State Officers and Employees.

Do you or a member of your immediate family hold an interest, including a partnership interest, valued at \$10,000 or more in real property (other than your principal residence) for which you have not already listed the full address on Schedule F? Account for real estate held in trust.

EITHER check NO / / OR check YES / / and complete Schedule H-1.

9B. Local Officers and Employees.

Do you or a member of your immediate family hold an interest, including a partnership interest, or option, easement, or land contract, valued at \$10,000 or more in real property (other than your principal residence) for which you have not already listed the full address on Schedule F? Account for real estate held in trust.

EITHER check NO / / OR check YES / / and complete Schedule H-2.

10. Real Estate Contracts with Governmental Agencies.

Do you or a member of your immediate family hold an interest valued at more than \$10,000 in real estate, including a corporate, partnership, or trust interest, option, easement, or land contract, which real estate is the subject of a contract, whether pending or completed within the past 12 months, with a governmental agency? If the real estate contract provides for the leasing of the property to a governmental agency, do you or a member of your immediate family hold an interest in the real estate valued at more than \$1,000? Account for all such contracts whether or not your interest is reported in Schedule F, H-1, or H-2. This requirement to disclose an interest in a lease does not apply to an interest derived through an ownership interest in a business unless the ownership interest exceeds three percent of the total equity of the business.

EITHER check NO / / OR check YES / / and complete Schedule I.

Statements of Economic Interests are open for public inspection.

AFFIRMATION BY ALL FILERS.

I swear or affirm that the foregoing information is full, true and correct to the best of my knowledge.

Signature

(Return only if needed to complete Statement.)

SCHEDULES

to

STATEMENT OF ECONOMIC INTERESTS.

NAME

SCHEDULE A - OFFICES AND DIRECTORSHIPS.

Identify each business of which you or a member of your immediate family is a paid officer or paid director.

Name of Business	Address of Business	Position Held
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

RETURN TO ITEM 2

SCHEDULE B - PERSONAL LIABILITIES.

Report personal liability by checking each category. Report only debts in excess of \$10,000. Do not report debts to any government. Do not report loans secured by recorded liens on property at least equal in value to the loan.

Report contingent liabilities below and indicate which debts are contingent.

1. My personal debts are as follows:

	Check	Check one
	appropriate	\$10,001 to
	categories	\$50,000
183	Banks	_____
184	Savings institutions	_____
185	Other loan or finance companies	_____
186	Insurance companies	_____
187	Stock, commodity, or other brokerage companies	_____
188	Other businesses:	
189	(State principal business activity for each	
190	creditor.)	_____
191		_____
192		_____
193	Individual creditors:	
194	(State principal business or	
195	occupation of each creditor.)	_____
196		_____
197		_____

2. The personal debts of the members of my immediate family are as follows:

	Check	Check one
	appropriate	\$10,001 to
	categories	\$50,000
205	Banks	_____
206	Savings institutions	_____
207	Other loan or finance companies	_____
208	Insurance companies	_____
209	Stock, commodity, or other brokerage companies	_____
210	Other businesses:	
211	(State principal business activity for each	
212	creditor.)	_____
213		_____
214		_____
215	Individual creditors:	
216	(State principal business or	
217	occupation of each creditor.)	_____
218		_____
219		_____

3. The debts of my or my immediate family's business interests are as follows:

	Check	Check one
	appropriate	\$10,001 to
	categories	\$50,000
227	Banks	_____
228	Savings institutions	_____
229	Other loan or finance companies	_____
230	Insurance companies	_____
231	Stock, commodity, or other brokerage companies	_____
232	Other businesses:	
233	(State principal business activity for each	
234	creditor.)	_____
235		_____
236		_____

Individual creditors:

(State principal business or
occupation of each creditor.)

_____	_____
_____	_____
_____	_____

RETURN TO ITEM 3

SCHEDULE C - SECURITIES.

"Securities" INCLUDES stocks, bonds, mutual funds, limited partnerships, and commodity futures contracts. "Securities" EXCLUDES certificates of deposit, money market funds, annuity contracts, and insurance policies.

Identify each business or Virginia governmental entity in which you or a member of your immediate family, directly or indirectly, separately or together, own securities valued in excess of \$10,000. Name each entity and type of security individually.

Do not list U.S. Bonds or other government securities not issued by the Commonwealth of Virginia or its authorities, agencies, or local governments. Do not list organizations that do not do business in this Commonwealth, but most major businesses conduct business in Virginia. Account for securities held in trust.

If no reportable securities, check here / /.

Name of Issuer	Type of Entity	Type of Security (stocks, bonds, mutual funds, etc.)	Check one		
			\$10,001 to \$50,000	\$50,001 to \$250,000	More than \$250,000
_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____

RETURN TO ITEM 4

SCHEDULE D - PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.

List each source from which you received during the past 12 months lodging, transportation, money, or any other thing of value (excluding meals or drinks coincident with a meeting) with combined value exceeding \$200 for your presentation of a single talk, participation in one meeting, or publication of a work in your capacity as an officer or employee of your agency.

List payments or reimbursements by an advisory or governmental agency only for meetings or travel outside the Commonwealth.

List a payment even if you donated it to charity.

Do not list information about a payment if you returned it within 60 days or if you received it from an employer already listed under Item 6 or from a source of income listed on Schedule F.

If no payment must be listed, check here / /.

Payer	Approximate Value	Circumstances	Type of payment (e.g. honoraria, travel reimbursement, etc.)
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

RETURN TO ITEM 5

SCHEDULE E - GIFTS.

List each business, governmental entity, or individual that, during the past 12 months, (i) furnished you with any gift or entertainment at a single event and the value received by you exceeded \$50 in

value, or (ii) furnished you with gifts or entertainment in any combination and the total value received by you exceeded \$100 in total value; and each business, governmental entity, or individual that, during the past 12 months, furnished a member of your immediate family with gifts or entertainment in any combination and the total value received exceeded \$100 where such business, governmental entity, or individual transacted or is transacting business with the Commonwealth or its agencies, departments, or political subdivisions within 12 months from the date of the gift; and for which you or the member of your immediate family neither paid nor rendered services in exchange. List each such gift or event and the name of the recipient of the gift.

Do not list entertainment events unless the average value per person attending the event exceeded \$50 in value. Do not list business entertainment related to your or your immediate family member's private profession or occupation. Do not list gifts or other things of value given by a relative or personal friend for reasons clearly unrelated to your public position.

Do not list campaign contributions publicly reported as required by Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2 of the Code of Virginia.

Name of Recipient	Name of Business, Organization, or Individual	City or County and State	Gift or Event	Approximate Value

RETURN TO ITEM 6

SCHEDULE F - BUSINESS INTERESTS.

Complete this Schedule for each self-owned or family-owned business (including rental property, a farm, or consulting work), partnership, or corporation in which you or a member of your immediate family, separately or together, own an interest having a value in excess of \$10,000.

If the enterprise is owned or operated under a trade, partnership, or corporate name, list that name; otherwise, merely explain the nature of the enterprise. If rental property is owned or operated under a trade, partnership, or corporate name, list the name only; otherwise, give the address of each property. Account for business interests held in trust.

Name of Business, Corporation, Partnership, Farm; Address of Rental Property	City or County and State	Nature of Enterprise (farming, law, rental property, etc.)	\$50,000 or less	\$50,001 to \$250,000	More than \$250,000

RETURN TO ITEM 8

SCHEDULE G-1 - PAYMENTS FOR REPRESENTATION BY YOU.

List the businesses you represented, excluding activity defined as lobbying in § 2.2-419, before any state governmental agency, excluding any court or judge, for which you received total compensation during the past 12 months in excess of \$1,000, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers filed by you.

Identify each business, the nature of the representation and the amount received by dollar category from each such business. You may state the type, rather than name, of the business if you are required by law not to reveal the name of the business represented by you.

Only STATE officers and employees should complete this Schedule.

Pur-	Amount Received

[illegible]

If you have received \$250,001 or more from a single business within the reporting period, indicate the amount received, rounded to the nearest \$10,000.

Amount Received:_____.

SCHEDULE G-2 - PAYMENTS FOR REPRESENTATION BY ASSOCIATES.

List the businesses that have been represented, excluding activity defined as lobbying in § 2.2-419, before any state governmental agency, excluding any court or judge, by persons who are your partners, associates or others with whom you have a close financial association and who received total compensation in excess of \$1,000 for such representation during the past 12 months, excluding representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers filed by your partners, associates or others with whom you have a close financial association.

Identify such businesses by type and also name the state governmental agencies before which such person appeared on behalf of such businesses.

Only ¹¹STATE officers and employees should complete this Schedule.

Type of business	Name of state governmental agency

SCHEDULE G-3 - PAYMENTS FOR SERVICES GENERALLY.

Indicate below types of businesses that operate in Virginia to which services were furnished by you or persons with whom you have a close financial association pursuant to an agreement between you and such businesses, or between persons with whom you have a close financial association and such businesses and for which total compensation in excess of \$1,000 was received during the past 12 months.

Identify opposite each category of businesses listed below (i) the type of business, (ii) the type of service rendered and (iii) the value by dollar category of the compensation received for all businesses falling within each category.

	Check if ser- vices were ren- dered	Type of ser- vice ren- dered	\$1,001 to \$10,000	\$10,001 to \$50,000	\$50,001 to \$100,000	\$100,001 to \$250,000	\$250,001 and over
Electric utilities							
Gas utilities							
Telephone utilities							
Water utilities							
Cable television							
companies							
Interstate transportation							

412	companies	_____	_____	_____	_____	_____	_____
413	Intrastate	_____	_____	_____	_____	_____	_____
414	transportation	_____	_____	_____	_____	_____	_____
415	companies	_____	_____	_____	_____	_____	_____
416	Oil or gas retail	_____	_____	_____	_____	_____	_____
417	companies	_____	_____	_____	_____	_____	_____
418	Banks	_____	_____	_____	_____	_____	_____
419	Savings institutions	_____	_____	_____	_____	_____	_____
420	Loan or finance	_____	_____	_____	_____	_____	_____
421	companies	_____	_____	_____	_____	_____	_____
422	Manufacturing	_____	_____	_____	_____	_____	_____
423	companies (state	_____	_____	_____	_____	_____	_____
424	type of product,	_____	_____	_____	_____	_____	_____
425	e.g., textile,	_____	_____	_____	_____	_____	_____
426	furniture, etc.)	_____	_____	_____	_____	_____	_____
427	Mining companies	_____	_____	_____	_____	_____	_____
428	Life insurance	_____	_____	_____	_____	_____	_____
429	companies	_____	_____	_____	_____	_____	_____
430	Casualty insurance	_____	_____	_____	_____	_____	_____
431	companies	_____	_____	_____	_____	_____	_____
432	Other insurance	_____	_____	_____	_____	_____	_____
433	companies	_____	_____	_____	_____	_____	_____
434	Retail companies	_____	_____	_____	_____	_____	_____
435	Beer, wine or liquor	_____	_____	_____	_____	_____	_____
436	companies or	_____	_____	_____	_____	_____	_____
437	distributors	_____	_____	_____	_____	_____	_____
438	Trade associations	_____	_____	_____	_____	_____	_____
439	Professional	_____	_____	_____	_____	_____	_____
440	associations	_____	_____	_____	_____	_____	_____
441	Associations of	_____	_____	_____	_____	_____	_____
442	public employees	_____	_____	_____	_____	_____	_____
443	or officials	_____	_____	_____	_____	_____	_____
444	Counties, cities	_____	_____	_____	_____	_____	_____
445	or towns	_____	_____	_____	_____	_____	_____
446	Labor organizations	_____	_____	_____	_____	_____	_____
447	Other	_____	_____	_____	_____	_____	_____
448		_____	_____	_____	_____	_____	_____

RETURN TO ITEM 9

SCHEDULE H-1 - REAL ESTATE - STATE OFFICERS AND EMPLOYEES.

List real estate other than your principal residence in which you or a member of your immediate family holds an interest, including a partnership interest, option, easement, or land contract, valued at \$10,000 or more. Each parcel shall be listed individually.

454	_____		
455			
456		Describe the type of real	
457	List each location	estate you own in each	If the real estate is
458	(state, and county	location (business, recre-	owned or recorded in
459	or city) where you	ational, apartment, com-	a name other than your
460	own real estate.	mercial, open land, etc.).	own, list that name.
461	_____	_____	_____
462	_____	_____	_____
463	_____	_____	_____
464	_____	_____	_____
465	_____	_____	_____
466			

SCHEDULE H-2 - REAL ESTATE - LOCAL OFFICERS AND EMPLOYEES.

List real estate other than your principal residence in which you or a member of your immediate

family holds an interest, including a partnership interest or option, easement, or land contract, valued at \$10,000 or more. Each parcel shall be listed individually. Also list the names of any co-owners of such property, if applicable.

List each location (business, (state, and county or city) where you own real estate.	Describe the type of real estate you own in each location (business, recreational, apartment, commercial, open land, etc.).	If the real estate is owned or recorded in a name other than your own, list that name.	List the names of any co-owners, if applicable.
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

SCHEDULE I - REAL ESTATE CONTRACTS WITH GOVERNMENTAL AGENCIES.

List all contracts, whether pending or completed within the past 12 months, with a governmental agency for the sale or exchange of real estate in which you or a member of your immediate family holds an interest, including a corporate, partnership or trust interest, option, easement, or land contract, valued at \$10,000 or more. List all contracts with a governmental agency for the lease of real estate in which you or a member of your immediate family holds such an interest valued at \$1,000 or more. This requirement to disclose an interest in a lease does not apply to an interest derived through an ownership interest in a business unless the ownership interest exceeds three percent of the total equity of the business.

State officers and employees report contracts with state agencies.

Local officers and employees report contracts with local agencies.

List your real estate interest and the person or entity, including the type of entity, which is party to the contract.	Describe any management role and the percentage ownership interest you or your immediate family member has in the real estate or entity.	List each governmental agency which is a party to the contract and indicate the county or city where the real estate is located.	State the annual income from the contract, and the amount, if any, of income you or any immediate family member derives annually from the contract.
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

§ 30-110.1. Disclosure of gifts made during legislative sessions.

In addition to the disclosures required by § 30-110, every legislator shall disclose any gift with a value in excess of \$100 from any single source other than a relative or personal friend that is accepted during a regular session of the General Assembly within five business days of the acceptance of such

527 *gift. Disclosures required by this section shall be made using the disclosure form set forth in § 30-111.*
 528 *Members of the Senate shall file their disclosure forms with the Clerk of the Senate, and members of the*
 529 *House of Delegates shall file their disclosure forms with the Clerk of the House of Delegates. The*
 530 *disclosure forms of the members of the General Assembly shall be maintained as public records for five*
 531 *years in the office of the clerk of the appropriate house. Any gift reported pursuant to this section shall*
 532 *also be reported on the report required to be filed by § 30-110.*

533 **§ 30-111. Disclosure form.**

534 A. The disclosure form to be used for filings required by subsections A and B of § 30-110 shall be
 535 substantially as follows:

536 STATEMENT OF ECONOMIC INTERESTS.

537 Name
 538 Office or position held or sought
 539 Home address
 540 Names of members of immediate family

541 **DEFINITIONS AND EXPLANATORY MATERIAL.**

542 "Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise,
 543 association, trust or foundation, or any other individual or entity carrying on a business or profession,
 544 whether or not for profit.

545 "Close financial association" means an association in which the filer shares significant financial
 546 involvement with an individual and the filer would reasonably be expected to be aware of the
 547 individual's business activities and would have access to the necessary records either directly or through
 548 the individual. "Close financial association" does not mean an association based on (i) the receipt of
 549 retirement benefits or deferred compensation from a business by which the legislator is no longer
 550 employed, or (ii) the receipt of compensation for work performed by the legislator as an independent
 551 contractor of a business that represents an entity before any state governmental agency when the
 552 legislator has had no communications with the state governmental agency.

553 "Contingent liability" means a liability that is not presently fixed or determined, but may become
 554 fixed or determined in the future with the occurrence of some certain event.

555 "Dependent" means any person, whether or not related by blood or marriage, who receives from the
 556 legislator, or provides to the legislator, more than one-half of his financial support.

557 "Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item
 558 having monetary value. It includes services as well as gifts of transportation, local travel, lodgings and
 559 meals, whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the
 560 expense has been incurred. "Gift" shall not include any offer of a ticket or other admission or pass
 561 unless the ticket, admission, or pass is used. "Gift" shall not include honorary degrees and presents from
 562 relatives. "Relative" means the donee's spouse, child, uncle, aunt, niece, or nephew; a person to whom
 563 the donee is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother,
 564 or sister; or the donee's brother's or sister's spouse.

565 "Immediate family" means (i) a spouse and (ii) any other person residing in the same household as
 566 the legislator, who is a dependent of the legislator or of whom the legislator is a dependent. *For*
 567 *purposes of reporting gifts pursuant to Item 5 and Schedule E, "immediate family" also includes (a) the*
 568 *legislator's or his spouse's parent, grandparent, child, grandchild, brother, and sister and (b) any person*
 569 *with whom the legislator has been habitually cohabiting in a relationship analogous to a marriage.*

570 "Lobbyist relationship" means (i) an engagement, agreement, or representation that relates to legal
 571 services, consulting services, or public relations services, whether gratuitous or for compensation,
 572 between a member or member-elect and any person who is, or has been within the prior calendar year,
 573 registered as a lobbyist with the Secretary of the Commonwealth, or (ii) a greater than three percent
 574 ownership interest by a member or member-elect in a business that employs, or engages as an
 575 independent contractor, any person who is, or has been within the prior calendar year, registered as a
 576 lobbyist with the Secretary of the Commonwealth. The disclosure of a lobbyist relationship shall not (i)
 577 constitute a waiver of any attorney-client or other privilege, (ii) require a waiver of any attorney-client
 578 or other privilege for a third party, or (iii) be required where a member or member-elect is employed or
 579 engaged by a person and such person also employs or engages a person in a lobbyist relationship so
 580 long as the member or member-elect has no financial interest in the lobbyist relationship.

581 **TRUST.** If you or your immediate family, separately or together, are the only beneficiaries of a trust,
 582 treat the trust's assets as if you own them directly. If you or your immediate family has a proportional
 583 interest in a trust, treat that proportion of the trust's assets as if you own them directly. For example, if
 584 you and your immediate family have a one-third interest in a trust, complete your Statement as if you
 585 own one-third of each of the trust's assets. If you or a member of your immediate family created a trust
 586 and can revoke it without the beneficiaries' consent, treat its assets as if you own them directly.

587 **REPORT TO THE BEST OF INFORMATION AND BELIEF.** Information required on this

Statement must be provided on the basis of the best knowledge, information and belief of the individual filing the Statement as of the date of this report unless otherwise stated.

COMPLETE ITEMS 1 THROUGH 11. REFER TO SCHEDULES ONLY IF DIRECTED.

You may attach additional explanatory information.

1. Offices and Directorships.

Are you or a member of your immediate family a paid officer or paid director of a business?

EITHER check NO / / OR check YES / / and complete Schedule A.

2. Personal Liabilities.

Do you or a member of your immediate family *or does a business in which you or a member of your immediate family holds an ownership interest* owe more than \$10,000 to any one creditor including contingent liabilities? (Exclude debts to any government and loans secured by recorded liens on property at least equal in value to the loan.) *This requirement to disclose business liabilities does not apply to an ownership interest in a business unless the ownership interest exceeds three percent of the total equity of the business.*

EITHER check NO / / OR check YES / / and complete Schedule B.

3. Securities.

Do you or a member of your immediate family, directly or indirectly, separately or together, own securities valued in excess of \$10,000 invested in one business? Account for mutual funds, limited partnerships and trusts.

EITHER check NO / / OR check YES / / and complete Schedule C.

4. Payments for Talks, Meetings, and Publications.

During the past 12 months did you receive lodging, transportation, money, or anything else of value with a combined value exceeding \$200 for a single talk, meeting, or published work in your capacity as a legislator? Do not include payments and reimbursements from the Commonwealth for meetings attended in your capacity as a legislator; see Question 11 and Schedule D2 to report such meetings.

EITHER check NO / / OR check YES / / and complete Schedule D.

5. Gifts.

During the past 12 months did a business, government, or individual other than a relative or personal friend (i) furnish you with any gift or entertainment at a single event, and the value received by you exceeded \$50 ~~in value~~ or (ii) furnish you with gifts or entertainment in any combination and the total value received by you exceeded \$100 ~~in total value~~; *or during the past 12 months did a business, government, or individual furnish a member of your immediate family with gifts or entertainment in any combination and the total value received exceeded \$100 where such business, government, or individual transacted or is transacting business with the Commonwealth or its agencies, departments, or political subdivisions within 12 months from the date of the gift; and for which you or the member of your immediate family neither paid nor rendered services in exchange?* Account for entertainment events only if the average value per person attending the event exceeded \$50 ~~in value~~. Account for all business entertainment (except if related to you *or your immediate family member's* private profession or occupation) even if unrelated to your official duties.

EITHER check NO / / OR check YES / / and complete Schedule E.

6. Salary and Wages.

List each employer that pays you or a member of your immediate family salary or wages in excess of \$10,000 annually. (Exclude any salary received as a member of the General Assembly pursuant to § 30-19.11.)

If no reportable salary or wages, check here / / .

7. Business Interests and Lobbyist Relationships.

7A. Do you or a member of your immediate family, separately or together, operate your own business, or own or control an interest in excess of \$10,000 in a business?

EITHER check NO / / OR check YES / / and complete Schedule F-1.

7B. Do you have a lobbyist relationship as that term is defined above?

EITHER check NO / / OR check YES / / and complete Schedule F-2.

8. Payments for Representation and Other Services.

8A. Did you represent any businesses before any state governmental agencies, excluding courts or judges, for which you received total compensation during the past 12 months in excess of \$1,000, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers?

EITHER check NO / / OR check YES / / and complete Schedule G-1.

8B. Subject to the same exceptions as in 8A, did persons with whom you have a close financial

association (partners, associates or others) represent any businesses before any state governmental agency for which total compensation was received during the past 12 months in excess of \$1,000?

EITHER check NO / / OR check YES / / and complete Schedule G-2.

8C. Did you or persons with whom you have a close financial association furnish services to businesses operating in Virginia, pursuant to an agreement between you and such businesses, or between persons with whom you have a close financial association and such businesses for which total compensation in excess of \$1,000 was received during the past 12 months? Services reported under this provision shall not include services involving the representation of businesses that are reported under question 8A or 8B above.

EITHER check NO / / OR check YES / / and complete Schedule G-3.

9. Real Estate.

Do you or a member of your immediate family hold an interest, including a partnership interest, valued at \$10,000 or more in real property (other than your principal residence) for which you have not already listed the full address on Schedule F? Account for real estate held in trust.

EITHER check NO / / OR check YES / / and complete Schedule H.

10. Real Estate Contracts with State Governmental Agencies.

Do you or a member of your immediate family hold an interest valued at more than \$10,000 in real estate, including a corporate, partnership, or trust interest, option, easement, or land contract, which real estate is the subject of a contract, whether pending or completed within the past 12 months, with a state governmental agency?

If the real estate contract provides for the leasing of the property to a state governmental agency, do you or a member of your immediate family hold an interest in the real estate, including a corporate, partnership, or trust interest, option, easement, or land contract valued at more than \$1,000? Account for all such contracts whether or not your interest is reported in Schedule F or H. This requirement to disclose an interest in a lease does not apply to an interest derived through an ownership interest in a business unless the ownership interest exceeds three percent of the total equity of the business.

EITHER check NO / / OR check YES / / and complete Schedule I.

11. Payments by the Commonwealth for Meetings.

During the past 12 months did you receive lodging, transportation, money, or anything else of value with a combined value exceeding \$200 from the Commonwealth for a single meeting attended out-of-state in your capacity as a legislator? Do not include reimbursements from the Commonwealth for meetings attended in the Commonwealth.

EITHER check NO / / OR check YES / / and complete Schedule D-2.

Statements of Economic Interests are open for public inspection.

AFFIRMATION.

In accordance with the rules of the house in which I serve, if I receive a request that this disclosure statement be corrected, augmented, or revised in any respect, I hereby pledge that I shall respond promptly to the request. I understand that if a determination is made that the statement is insufficient, I will satisfy such request or be subjected to disciplinary action of my house.

I swear or affirm that the foregoing information is full, true and correct to the best of my knowledge.

Signature _____

Commonwealth of Virginia

_____ of _____ to wit:

The foregoing disclosure form was acknowledged before me

This _____ day of _____, 20____, by _____

Notary Public

My commission expires _____

(Return only if needed to complete Statement.)

697

SCHEDULES

to

STATEMENT OF ECONOMIC INTERESTS.

NAME _____

SCHEDULE A - OFFICES AND DIRECTORSHIPS.

Identify each business of which you or a member of your immediate family is a paid officer or paid director.

705

706

Name of Business	Address of Business	Position Held
------------------	---------------------	---------------

707

708

RETURN TO ITEM 2

SCHEDULE B - PERSONAL LIABILITIES.

Report personal liability by checking each category. Report only debts in excess of \$10,000. Do not report debts to any government. Do not report loans secured by recorded liens on property at least equal in value to the loan.

Report contingent liabilities below and indicate which debts are contingent.

1. My personal debts are as follows:

Check appropriate categories	Check one \$10,001 to \$50,000	More than \$50,000
Banks		
Savings institutions		
Other loan or finance companies		
Insurance companies		
Stock, commodity, or other brokerage companies		
Other businesses: (State principal business activity for each creditor.)		
Individual creditors: (State principal business or occupation of each creditor.)		

2. The personal debts of the members of my immediate family are as follows:

Check appropriate categories	Check one \$10,001 to \$50,000	More than \$50,000
Banks		
Savings institutions		
Other loan or finance companies		
Insurance companies		
Stock, commodity, or other brokerage companies		
Other businesses: (State principal business activity for each creditor.)		
Individual creditors: (State principal business or occupation of each creditor.)		

3. The debts of my or my immediate family's business interests are as follows:

766			
767			
768	<i>Check</i>	<i>Check one</i>	
769	<i>appropriate</i>	<i>\$10,001 to</i>	<i>More than</i>
770	<i>categories</i>	<i>\$50,000</i>	<i>\$50,000</i>
771	<i>Banks</i>	_____	_____
772	<i>Savings institutions</i>	_____	_____
773	<i>Other loan or finance companies</i>	_____	_____
774	<i>Insurance companies</i>	_____	_____
775	<i>Stock, commodity, or other brokerage companies</i>	_____	_____
776	<i>Other businesses:</i>		
777	<i>(State principal business activity for each</i>		
778	<i>creditor.)</i>	_____	_____
779		_____	_____
780		_____	_____
781	<i>Individual creditors:</i>		
782	<i>(State principal business or</i>		
783	<i>occupation of each creditor.)</i>	_____	_____
784		_____	_____
785		_____	_____
786			
787	RETURN TO ITEM 3		

788 SCHEDULE C - SECURITIES.

789 "Securities" INCLUDES stocks, bonds, "Securities" EXCLUDES
 790 mutual funds, limited partnerships, certificates of deposit,
 791 and commodity futures contracts. money market funds, annuity
 792 contracts, and insurance policies.

793 Identify each business or Virginia governmental entity in which you or a member of your immediate
 794 family, directly or indirectly, separately or together, own securities valued in excess of \$10,000. Name
 795 each entity and type of security individually.

796 Do not list U.S. Bonds or other government securities not issued by the Commonwealth of Virginia
 797 or its authorities, agencies, or local governments. Do not list organizations that do not do business in
 798 this Commonwealth, but most major businesses conduct business in Virginia. Account for securities held
 799 in trust.

800 If no reportable securities, check here / / .

801						
802						
803						
804			Type of Security	\$10,001	Check one	
805		Type of	(stocks, bonds,	to	\$50,001	More
806	Name of Issuer	Entity	mutual funds, etc.)	\$50,000	to	than
807	_____	_____	_____	_____	\$250,000	\$250,000
808	_____	_____	_____	_____	_____	_____
809	_____	_____	_____	_____	_____	_____
810	_____	_____	_____	_____	_____	_____
811						

812 RETURN TO ITEM 4

813 SCHEDULE D-1 - PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.

814 List each source from which you received during the past 12 months lodging, transportation, money,
 815 or any other thing of value (excluding meals or drinks coincident with a meeting) with a combined
 816 value exceeding \$200 for your presentation of a single talk, participation in one meeting, or publication
 817 of a work in your capacity as a legislator. Do not list payments or reimbursements by the
 818 Commonwealth. (See Schedule D-2 for such payments or reimbursements.) List a payment even if you
 819 donated it to charity. Do not list information about a payment if you returned it within 60 days or if you
 820 received it from an employer already listed under Item 6 or from a source of income listed on Schedule
 821 F.

822 If no payment must be listed, check here / / .

823

Payer	Approximate Value	Circumstances	Type of Payment (e.g., Honoraria, Travel reimbursement, etc.)

RETURN TO ITEM 5

SCHEDULE D-2 - PAYMENTS BY THE COMMONWEALTH FOR MEETINGS.

List each meeting for which the Commonwealth provided payments or reimbursements during the past 12 months to you for lodging, transportation, money, or any other thing of value (excluding meals or drinks coincident with a meeting) with a combined value exceeding \$200 for your participation in your capacity as a legislator. Do not list payments or reimbursements by the Commonwealth for meetings or travel within the Commonwealth.

If no payment must be listed, check here / / .

Payer	Approximate Value	Circumstances	Type of Payment (e.g., Travel reimbursement, etc.)

SCHEDULE E - GIFTS.

List each business, governmental entity, or individual that, during the past 12 months, (i) furnished you with any gift or entertainment at a single event and the value received ~~by you~~ exceeded \$50 ~~in value~~, or (ii) furnished you with gifts or entertainment in any combination and the *total* value received ~~by you~~ exceeded \$100 ~~in total value~~; *and each business, governmental entity, or individual that, during the past 12 months, furnished a member of your immediate family with gifts or entertainment in any combination and the total value received exceeded \$100 where such business, governmental entity, or individual transacted or is transacting business with the Commonwealth or its agencies, departments, or political subdivisions within 12 months from the date of the gift; and for which you or the member of your immediate family neither paid nor rendered services in exchange. List each such gift or event and the name of the recipient of the gift.*

Do not list entertainment events unless the average value per person attending the event exceeded \$50 ~~in value~~. Do not list business entertainment related to your *or your immediate family member's* private profession or occupation. Do not list gifts or other things of value given by a relative or personal friend for reasons clearly unrelated to your public position.

Do not list campaign contributions publicly reported as required by Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2 of the Code of Virginia.

Name of Recipient	Name of Business, Organization, or Individual	City or County and State	Gift or Event	Approximate Value

RETURN TO ITEM 6

SCHEDULE F-1 - BUSINESS INTERESTS.

882 Complete this Schedule for each self-owned or family-owned business (including rental property, a
 883 farm, or consulting work), partnership, or corporation in which you or a member of your immediate
 884 family, separately or together, own an interest having a value in excess of \$10,000.

885 If the enterprise is owned or operated under a trade, partnership, or corporate name, list that name;
 886 otherwise, merely explain the nature of the enterprise. If rental property is owned or operated under a
 887 trade, partnership, or corporate name, list the name only; otherwise, give the address of each property.
 888 Account for business interests held in trust.

Name of Business		Nature of Enterprise	Gross income		
Corporation, Partnership, Farm; Address of	City or County and State		\$50,001 to \$250,000	More than \$250,000	
Rental Property		(farming, law, rental property, etc.)	\$50,000 or less		

RETURN TO ITEM 8

SCHEDULE F-2 - LOBBYIST RELATIONSHIPS AND PAYMENTS.

Complete this Schedule for each lobbyist relationship with the following:

(i) any person who is, or has been within the prior calendar year, registered as a lobbyist with the Secretary of the Commonwealth, or

(ii) any business in which you have a greater than three percent ownership interest and that business employs, or engages as an independent contractor, any person who is, or has been within the prior calendar year, registered as a lobbyist with the Secretary of the Commonwealth.

List each person or business	Describe each relationship	Dates of relationship	Payments to Lobbyist	
			\$10,000 or less	More than \$10,000

THE DISCLOSURE OF A LOBBYIST RELATIONSHIP SHALL NOT (I) CONSTITUTE A WAIVER OF ANY ATTORNEY-CLIENT OR OTHER PRIVILEGE, (II) REQUIRE A WAIVER OF ANY ATTORNEY-CLIENT OR OTHER PRIVILEGE FOR A THIRD PARTY, OR (III) BE REQUIRED WHERE A MEMBER OR MEMBER-ELECT IS EMPLOYED OR ENGAGED BY A PERSON AND SUCH PERSON ALSO EMPLOYS OR ENGAGES A PERSON IN A LOBBYIST RELATIONSHIP SO LONG AS THE MEMBER OR MEMBER-ELECT HAS NO FINANCIAL INTEREST IN THE LOBBYIST RELATIONSHIP.

SCHEDULE G-1 - PAYMENTS FOR REPRESENTATION BY YOU.

List the businesses you represented before any state governmental agency, excluding any court or judge, for which you received total compensation during the past 12 months in excess of \$1,000, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers filed by you.

Identify each business, the nature of the representation and the amount received by dollar category from each such business. You may state the type, rather than name, of the business if you are required by law not to reveal the name of the business represented by you.

999	Intrastate						
1000	transportation						
1001	companies						
1002	Oil or gas retail						
1003	companies						
1004	Banks						
1005	Savings						
1006	institutions						
1007	Loan or finance						
1008	companies						
1009	Manufacturing						
1010	companies (state						
1011	type of product,						
1012	e.g., textile,						
1013	furniture, etc.)						
1014	Mining companies						
1015	Life insurance						
1016	companies						
1017	Casualty insurance						
1018	companies						
1019	Other insurance						
1020	companies						
1021	Retail companies						
1022	Beer, wine or						
1023	liquor companies						
1024	or distributors						
1025	Trade associations						
1026	Professional						
1027	associations						
1028	Associations of						
1029	public employees						
1030	or officials						
1031	Counties, cities						
1032	or towns						
1033	Labor organizations						
1034	Other						
1035							

RETURN TO ITEM 9

SCHEDULE H - REAL ESTATE.

List real estate other than your principal residence in which you or a member of your immediate family holds an interest, including a partnership interest, option, easement, or land contract, valued at \$10,000 or more. Each parcel must be listed individually.

1041			
1042			
1043		Describe the type of real	
1044		estate you own in each	
1045	List the location	location (business,	If the real estate is
1046	(state, and county	recreational, apartment,	owned or recorded in
1047	or city where you	commercial, open land,	a name other than your
1048	own real estate	etc.)	own, list that name
1049			
1050			
1051			
1052			
1053			
1054			

RETURN TO ITEM 10

SCHEDULE I - REAL ESTATE CONTRACTS WITH STATE GOVERNMENTAL AGENCIES.

List all contracts, whether pending or completed within the past 12 months, with a state governmental agency for the sale or exchange of real estate in which you or a member of your immediate family holds an interest, including a corporate, partnership or trust interest, option, easement, or land contract, valued at \$10,000 or more. List all contracts with a state governmental agency for the lease of real estate in which you or a member of your immediate family holds such an interest valued at \$1,000 or more. This requirement to disclose an interest in a lease does not apply to an interest derived through an ownership interest in a business unless the ownership interest exceeds three percent of the total equity of the business.

List your real estate interest and the person or entity, including the type of entity, which is party to the contract.

Describe any management role and the percentage ownership interest you or your immediate family member has in the real estate or entity.

List each governmental agency which is a party to the contract and indicate the county or city where the real estate is located.

State the annual income from the contract, and the amount, if any, of income you or any immediate family member derives annually from the contract.

B. Any legislator who makes a knowing misstatement of a material fact on the Statement of Economic Interests shall be subject to disciplinary action for such violations by the house in which the legislator sits.

C. In accordance with the rules of each house, the Statement of Economic Interests of all members of each house shall be reviewed. If a legislator's Statement is found to be inadequate as filed, the legislator shall be notified in writing and directed to file an amended Statement correcting the indicated deficiencies, and a time shall be set within which such amendment shall be filed. If the Statement of Economic Interests, in either its original or amended form, is found to be adequate as filed, the legislator's filing shall be deemed in full compliance with this section as to the information disclosed thereon.

D. Ten percent of the membership of a house, on the basis of newly discovered facts, may in writing request the house in which those members sit, in accordance with the rules of that house, to review the Statement of Economic Interests of another member of that house in order to determine the adequacy of his filing. In accordance with the rules of each house, each Statement of Economic Interests shall be promptly reviewed, the adequacy of the filing determined, and notice given in writing to the legislator whose Statement is in issue. Should it be determined that the Statement requires correction, augmentation or revision, the legislator involved shall be directed to make the changes required within such time as shall be set under the rules of each house.

If a legislator, after having been notified in writing in accordance with the rules of the house in which he sits that his Statement is inadequate as filed, fails to amend his Statement so as to come into compliance within the time limit set, he shall be subject to disciplinary action by the house in which he sits. No legislator shall vote on any question relating to his own Statement.