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HOUSE BILL NO. 1211**AMENDMENT IN THE NATURE OF A SUBSTITUTE**(Proposed by the Joint Conference Committee
on March 8, 2014)

(Patrons Prior to Substitute—Delegates Gilbert, Bulova [HB 271], and Marshall, R.G. [HB 15])

A BILL to amend and reenact §§ 2.2-419, 2.2-423, 2.2-426, 2.2-428, 2.2-3100, 2.2-3101, 2.2-3104, 2.2-3114, 2.2-3115 through 2.2-3118.1, 2.2-3121, 2.2-3131, 30-100, 30-101, 30-110, 30-111, 30-112, 30-114, 30-117, 30-118, and 30-124 of the Code of Virginia and to amend the Code of Virginia by adding a section numbered 2.2-3103.1, by adding in Article 2 of Chapter 13 of Title 30 a section numbered 30-103.1, by adding in Chapter 13 of Title 30 an article numbered 6, consisting of sections numbered 30-129.1, 30-129.2, and 30-129.3, and by adding in Title 30 a chapter numbered 55, consisting of sections numbered 30-348 through 30-351, relating to the State and Local Government Conflict of Interests Act and General Assembly Conflicts of Interests Act; establishing the Virginia Conflict of Interest and Ethics Advisory Council.

Be it enacted by the General Assembly of Virginia:

1. That §§ 2.2-419, 2.2-423, 2.2-426, 2.2-428, 2.2-3100, 2.2-3101, 2.2-3104, 2.2-3114, 2.2-3115 through 2.2-3118.1, 2.2-3121, 2.2-3131, 30-100, 30-101, 30-110, 30-111, 30-112, 30-114, 30-117, 30-118, and 30-124 of the Code of Virginia are amended and reenacted and that the Code of Virginia is amended by adding a section numbered 2.2-3103.1, by adding in Article 2 of Chapter 13 of Title 30 a section numbered 30-103.1, by adding in Chapter 13 of Title 30 an article numbered 6, consisting of sections numbered 30-129.1, 30-129.2, and 30-129.3, and by adding in Title 30 a chapter numbered 55, consisting of sections numbered 30-348 through 30-351, as follows:

§ 2.2-419. Definitions.

As used in this article, unless the context requires a different meaning:

"Anything of value" means:

1. A pecuniary item, including money, or a bank bill or note;
2. A promissory note, bill of exchange, order, draft, warrant, check, or bond given for the payment of money;
3. A contract, agreement, promise, or other obligation for an advance, conveyance, forgiveness of indebtedness, deposit, distribution, loan, payment, gift, pledge, or transfer of money;
4. A stock, bond, note, or other investment interest in an entity;
5. A receipt given for the payment of money or other property;
6. A right in action;
7. A gift, tangible good, chattel, or an interest in a gift, tangible good, or chattel;
8. A loan or forgiveness of indebtedness;
9. A work of art, antique, or collectible;
10. An automobile or other means of personal transportation;
11. Real property or an interest in real property, including title to realty, a fee simple or partial interest, present or future, contingent or vested within realty, a leasehold interest, or other beneficial interest in realty;
12. An honorarium or compensation for services;
13. A rebate or discount in the price of anything of value unless the rebate or discount is made in the ordinary course of business to a member of the public without regard to that person's status as an executive or legislative official, or the sale or trade of something for reasonable compensation that would ordinarily not be available to a member of the public;
14. A promise or offer of employment; or
15. Any other thing of value that is pecuniary or compensatory in value to a person.

"Anything of value" does not mean a campaign contribution properly received and reported pursuant to Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2.

"Compensation" means:

1. An advance, conveyance, forgiveness of indebtedness, deposit, distribution, loan, payment, gift, pledge, or transfer of money or anything of value; or
2. A contract, agreement, promise or other obligation for an advance, conveyance, forgiveness of indebtedness, deposit, distribution, loan, payment, gift, pledge, or transfer of money or anything of value, for services rendered or to be rendered.

"Compensation" does not mean reimbursement of expenses if the reimbursement does not exceed the amount actually expended for the expenses and it is substantiated by an itemization of expenses.

"Executive action" means the proposal, drafting, development, consideration, amendment, adoption,

60 approval, promulgation, issuance, modification, rejection, or postponement by an executive agency or
61 official of legislation or executive orders issued by the Governor.

62 "Executive agency" means an agency, board, commission, or other body in the executive branch of
63 state government. "Executive agency" includes the State Corporation Commission, the Virginia Workers'
64 Compensation Commission, and the State Lottery Department.

65 "Executive official" means:

- 66 1. The Governor;
- 67 2. The Lieutenant Governor;
- 68 3. The Attorney General;
- 69 4. Any officer or employee of the office of the Governor or Lieutenant Governor other than a
70 clerical or secretarial employee;
- 71 5. The Governor's Secretaries, the Deputy Secretaries, and the chief executive officer of each
72 executive agency; or
- 73 6. Members of supervisory and policy boards, commissions and councils, as defined in § 2.2-2100,
74 however selected.

75 "Expenditure" means:

- 76 1. A purchase, payment, distribution, loan, forgiveness of a loan or payment of a loan by a third
77 party, advance, deposit, transfer of funds, a promise to make a payment, or a gift of money or anything
78 of value for any purpose;
- 79 2. A payment to a lobbyist for salary, fee, reimbursement for expenses, or other purpose by a person
80 employing, retaining, or contracting for the services of the lobbyist separately or jointly with other
81 persons;
- 82 3. A payment in support of or assistance to a lobbyist or the lobbyist's activities, including the direct
83 payment of expenses incurred at the request or suggestion of the lobbyist;
- 84 4. A payment that directly benefits an executive or legislative official or a member of the official's
85 immediate family;
- 86 5. A payment, including compensation, payment, or reimbursement for the services, time, or expenses
87 of an employee for or in connection with direct communication with an executive or legislative official;
- 88 6. A payment for or in connection with soliciting or urging other persons to enter into direct
89 communication with an executive or legislative official; or
- 90 7. A payment or reimbursement for categories of expenditures required to be reported pursuant to
91 this chapter.

92 "Expenditure" does not mean a campaign contribution properly received and reported pursuant to
93 Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2.

94 "Fair market value" means the price that a good or service would bring between a willing seller and
95 a willing buyer in the open market after negotiations. If the fair market value cannot be determined, the
96 actual price paid for the good or service shall be given consideration.

97 "Gift" means anything of value to the extent that a consideration of equal or greater value is not
98 received.

99 "Gift" does not mean:

- 100 1. Printed informational or promotional material;
- 101 2. A gift that is not used and, no later than ~~sixty~~ 60 days after receipt, is returned to the donor or
102 delivered to a charitable organization and is not claimed as a charitable contribution for federal income
103 tax purposes;
- 104 3. A gift, devise, or inheritance from an individual's spouse, child, parent, grandparent, brother, sister,
105 parent-in-law, brother-in-law, sister-in-law, nephew, niece, aunt, uncle, or first cousin or the spouse of
106 that individual, if the donor is not acting as the agent or intermediary for someone other than a person
107 covered by this subdivision; or
- 108 4. A gift of a value of ~~\$25~~ \$50 or less.

109 "Immediate family" means (i) the spouse ~~and~~, (ii) any ~~other person~~ *child* who resides in the same
110 household as the executive or legislative official and ~~who is the~~ a dependent of the official.

111 "Legislative action" means:

- 112 1. Preparation, research, drafting, introduction, consideration, modification, amendment, approval,
113 passage, enactment, tabling, postponement, defeat, or rejection of a bill, resolution, amendment, motion,
114 report, nomination, appointment, or other matter by the General Assembly or a legislative official;
- 115 2. Action by the Governor in approving, vetoing, or recommending amendments for a bill passed by
116 the General Assembly; or
- 117 3. Action by the General Assembly in overriding or sustaining a veto by the Governor, considering
118 amendments recommended by the Governor, or considering, confirming, or rejecting an appointment of
119 the Governor.

120 "Legislative official" means:

- 121 1. A member or member-elect of the General Assembly;

2. A member of a committee, subcommittee, commission, or other entity established by and responsible to the General Assembly or either house of the General Assembly; or

3. Persons employed by the General Assembly or an entity established by and responsible to the General Assembly.

"Lobbying" means:

1. Influencing or attempting to influence executive or legislative action through oral or written communication with an executive or legislative official; or

2. Solicitation of others to influence an executive or legislative official.

"Lobbying" does not mean:

1. Requests for appointments, information on the status of pending executive and legislative actions, or other ministerial contacts if there is no attempt to influence executive or legislative actions;

2. Responses to published notices soliciting public comment submitted to the public official designated in the notice to receive the responses;

3. The solicitation of an association by its members to influence legislative or executive action; or

4. Communications between an association and its members and communications between a principal and its lobbyists.

"Lobbyist" means:

1. An individual who is employed and receives payments, or who contracts for economic consideration, including reimbursement for reasonable travel and living expenses, for the purpose of lobbying;

2. An individual who represents an organization, association, or other group for the purpose of lobbying; or

3. A local government employee who lobbies.

"Lobbyist's principal" or "principal" means the entity on whose behalf the lobbyist influences or attempts to influence executive or legislative action. An organization whose employees conduct lobbying activities on its behalf is both a principal and an employer of the lobbyists. In the case of a coalition or association that employs or retains others to conduct lobbying activities on behalf of its membership, the principal is the coalition or association and not its individual members.

"Local government" means:

1. Any county, city, town, or other local or regional political subdivision;

2. Any school division;

3. Any organization or entity that exercises governmental powers that is established pursuant to an interstate compact; or

4. Any organization composed of members representing entities listed in subdivisions 1, 2, or 3 of this definition.

"Local government employee" means a public employee of a local government.

"Person" means an individual, proprietorship, firm, partnership, joint venture, joint stock company, syndicate, business trust, estate, company, corporation, association, club, committee, organization, or group of persons acting in concert.

"Value" means the actual cost or fair market value of an item or items, whichever is greater. If the fair market value cannot be determined, the actual amount paid for the item or items shall be given consideration.

§ 2.2-423. Contents of registration statement.

A. The registration statement shall be on a form provided by the Secretary of the Commonwealth and include the following information:

1. The name and business address and telephone number of the lobbyist;

2. The name and business address and telephone number of the person who will keep custody of the lobbyist's and the lobbyist's principal's accounts and records required to comply with this article, and the location and telephone number for the place where the accounts and records are kept;

3. The name and business address and telephone number of the lobbyist's principal;

4. The kind of business of the lobbyist's principal;

5. For each principal, the full name of the individual to whom the lobbyist reports;

6. For each principal, a statement whether the lobbyist is employed or retained and whether exclusively for the purpose of lobbying;

7. The position held by the lobbyist if he is a part-time or full-time employee of the principal;

8. The full name and business address and telephone number of each lobbyist employed by or representing the lobbyist's principal;

9. An identification of the subject matter (with as much specificity as possible) with regard to which the lobbyist or lobbyist's principal will engage in lobbying; and

10. The statement of the lobbyist, which shall be signed either originally or by electronic signature as authorized by the Uniform Electronic Transactions Act (§ 59.1-479 et seq.), that the information

contained on the registration statement is true and correct; and

11. A statement by which a principal may elect to waive the principal signature requirement on disclosure filings submitted by its registered lobbyist after the filing of the registration statement.

B. Whenever any change, modification or addition to his status as a lobbyist is made, the lobbyist shall, within one week of such change, modification or addition, furnish full information regarding the same to the Secretary of the Commonwealth on forms provided by the Secretary.

C. The Secretary of the Commonwealth shall furnish a copy of this article to any individual offering to register as a lobbyist and shall mail by certified mail a copy of this article and a copy of the information furnished by the lobbyist to the person whom the lobbyist represents to be his principal.

D. If the principal to whom the information is sent under subsection C does not, within 10 days of such mailing, file an affidavit, signed by the person or duly authorized agent of the person, denying that the lobbyist appears on his behalf, such person shall be deemed to have appointed the Secretary of the Commonwealth his agent for service of process in any prosecution arising for violation of this article. If such affidavit is filed, the Secretary shall notify the attorney for the Commonwealth of the City of Richmond.

§ 2.2-426. Lobbyist reporting; penalty.

A. Each lobbyist shall file with the Virginia Conflict of Interest and Ethics Advisory Council a separate ~~annual~~ *semiannual* report of expenditures, including gifts, for each principal for whom he lobbies by ~~July 4~~ *December 15* for the preceding six-month period complete through the last day of ~~October~~ *and June 15* for the preceding ~~12-month~~ *six-month* period complete through ~~April 30~~ *the last day of April*.

B. Each principal who expends more than \$500 to employ or compensate multiple lobbyists shall be responsible for filing a consolidated lobbyist report pursuant to this section in any case in which the lobbyists are each exempt under the provisions of subdivision 7 or 8 of § 2.2-420 from the reporting requirements of this section.

C. The report shall be on a form provided by the ~~Secretary of the Commonwealth~~ *Virginia Conflict of Interest and Ethics Advisory Council*, which shall be substantially as follows and shall be accompanied by instructions provided by the ~~Secretary Council~~.

LOBBYIST'S DISCLOSURE STATEMENT

PART I:

(1) PRINCIPAL:

In Part I, item 2a, provide the name of the individual authorizing your employment as a lobbyist. The lobbyist filing this statement MAY NOT list his name in item 2a. ~~THE INDIVIDUAL LISTED IN PART I, ITEM 2A, MUST SIGN THE PRINCIPAL'S STATEMENT.~~

(2a) Name:

(2b) Permanent Business Address:

(2c) Business Telephone:

(3) Provide a list of executive and legislative actions (with as much specificity as possible) for which you lobbied and a description of activities conducted.

(4) INCORPORATED FILINGS: If you are filing an incorporated disclosure statement, please complete the following:

Individual filing financial information:

Individuals to be included in the filing:

(5) Please indicate which schedules will be attached to your disclosure statement:

[] Schedule A: Entertainment Expenses

[] Schedule B: Gifts

[] Schedule C: Other Expenses

(6) EXPENDITURE TOTALS:

a) ENTERTAINMENT \$

b) GIFTS \$

~~c) OFFICE EXPENSES \$~~

~~d) COMMUNICATIONS \$~~

ed) PERSONAL LIVING AND TRAVEL EXPENSES \$
 fe) COMPENSATION OF LOBBYISTS \$
 gf) HONORARIA \$
 h) ~~REGISTRATION COSTS~~ ~~\$~~
 ig) OTHER \$
 TOTAL \$

PART II:

- (1a) NAME OF LOBBYIST:
 (1b) Permanent Business Address:
 (1c) Business Telephone:
 (2) As a lobbyist, you are (check one)
 [] EMPLOYED (on the payroll of the principal)
 [] RETAINED (not on the payroll of the principal, however
 compensated)
 [] NOT COMPENSATED (not compensated; expenses may be reimbursed)
 (3) List all lobbyists other than yourself who registered to
 represent your principal.

 (4) If you selected "EMPLOYED" as your answer to Part II, item 2,
 provide your job title.

PLEASE NOTE: Some lobbyists are not individually compensated for
 lobbying activities. This may occur when several members of a firm
 represent a single principal. The principal, in turn, makes a single
 payment to the firm. If this describes your situation, do not answer
 Part II, items 5a and 5b. Instead, complete Part III, items 1 and 2.

- (5a) What was the DOLLAR AMOUNT OF YOUR COMPENSATION as a lobbyist?
 (If you have job responsibilities other than those involving
 lobbying, you may have to prorate to determine the part of your
 salary attributable to your lobbying activities.) Transfer your
 answer to this item to Part I, item ~~6f~~ 6e.
 (5b) Explain how you arrived at your answer to Part II, item 5a.

PART III:

PLEASE NOTE: If you answered Part II, items 5a and 5b, you WILL NOT
 complete this section.

- (1) List all members of your firm, organization, association,
 corporation, or other entity who furnished lobbying services to
 your principal.

 (2) Indicate the total amount paid to your firm, organization,
 association, corporation or other entity for services rendered.
 Transfer your answer to this item to Part I, item ~~6f~~ 6e.....

SCHEDULE A

ENTERTAINMENT EXPENSES

PLEASE NOTE: Any single entertainment event included
 in the expense totals of the principal, with a value greater than \$50,
 should be itemized below. Transfer any totals from this schedule to
 Part I, item 6a. (Please duplicate as needed.)
 Date and Location of Event:

298
 299
 300 Description of Event:
 301
 302
 303 Total Number of Persons Attending:
 304
 305 Names of Legislative and Executive Officials Attending: (List names
 306 only if the average value for each person attending the event was
 307 greater than \$50.)
 308
 309
 310
 311
 312 Food \$
 313 Beverages \$
 314 Transportation of Legislative and Executive Officials \$
 315 Lodging of Legislative and Executive Officials \$
 316 Performers, Speakers, Etc. \$
 317 Displays \$
 318 Rentals \$
 319 Service Personnel \$
 320 Miscellaneous \$
 321 TOTAL \$

SCHEDULE B

GIFTS

324 PLEASE NOTE: Any single gift reported in the expense totals of the
 325 principal, with a value greater than \$50, should be itemized below.
 326 (Report meals, entertainment and travel under
 327 Schedule A.) Transfer any totals from this schedule to Part I,
 328 item 6b. (Please duplicate as needed.)

		Name of each legislative or executive official who is a recipient of a gift:	Cost of individual gift:
329	Date	Description	
330	of gift:	of gift:	
331			
332	\$
333	\$
334	\$
335	\$
336	\$
337	\$
338	TOTAL COST TO PRINCIPAL	\$

SCHEDULE C

OTHER EXPENSES

341 PLEASE NOTE: This section is provided for any lobbying-related
 342 expenses not covered in Part I, items 6a -- ~~6h~~ 6f. An example of an
 343 expenditure to be listed on schedule C would be the rental of a
 344 bill box during the General Assembly session. Transfer the total
 345 from this schedule to Part I, item ~~6i~~ 6g. (Please duplicate as needed.)

	DATE OF EXPENSE	DESCRIPTION OF EXPENSE	AMOUNT
346	\$
347	\$
348	\$
349	\$
350	\$
351	\$
352	\$
353	\$
354	\$

..... \$
TOTAL "OTHER" EXPENSES \$

PART IV: STATEMENTS

~~Both the lobbyist and principal officer must sign the disclosure statement, attesting to its completeness and accuracy. The following items are mandatory and if they are not properly completed, the entire filing will be rejected and returned to the lobbyist:~~

- (1) All signatures on the statement must be ORIGINAL in the format specified in the instructions provided by the ~~Secretary~~ Council that accompany this form. No stamps, or other reproductions of the individual's signature will be accepted.
- (2) An individual MAY NOT sign the disclosure statement as lobbyist and principal officer.

STATEMENT OF LOBBYIST

I, the undersigned registered lobbyist, do state that the information furnished on this disclosure statement and on all accompanying attachments required to be made thereto is, to the best of my knowledge and belief, complete and accurate.

.....
Signature of lobbyist

.....
Date

STATEMENT OF PRINCIPAL

I, the undersigned principal (or an authorized official thereof), do state that the information furnished on this disclosure statement and on all accompanying attachments required to be made thereto is, to the best of my knowledge and belief, complete and accurate.

.....
Signature of principal

.....
Date

D. A person who signs the disclosure statement knowing it to contain a material misstatement of fact shall be is guilty of a Class 5 felony.

E. Each lobbyist shall send to each legislative and executive official who is required to be identified by name on Schedule A or B of the Lobbyist's Disclosure Form a copy of Schedule A or B or a summary of the information pertaining to that official. Copies or summaries shall be provided to the official by ~~December 15~~ November 21 for the preceding ~~12-month~~ six-month period complete through November 30 the last day of October and by May 21 for the preceding six-month period complete through the last day of April.

§ 2.2-428. Standards for automated preparation and transmittal of lobbyist's disclosure statements; database.

A. The ~~Secretary~~ Virginia Conflict of Interest and Ethics Advisory Council shall accept any lobbyist's disclosure ~~statement~~ statements required by § 2.2-426 filed by computer or electronic means in accordance with the standards approved by the Secretary and using software meeting standards approved by the Secretary Council pursuant to the provisions of § 30-349. The Secretary may provide software to filers without charge or at a reasonable cost. The Secretary may prescribe the method of execution and certification of electronically filed statements and the procedures for receiving statements in the office of the Secretary.

B. The Secretary shall establish a lobbyist disclosure database, available to the public, from required disclosure statements filed electronically and may enter into that database information from required disclosure statements filed by other methods. *The Secretary shall maintain such database until January 1, 2016.*

§ 2.2-3100. Policy; application; construction.

The General Assembly, recognizing that our system of representative government is dependent in part upon (i) citizen legislative members representing fully the public in the legislative process and (ii) its citizens maintaining the highest trust in their public officers and employees, finds and declares that

the citizens are entitled to be assured that the judgment of public officers and employees will be guided by a law that defines and prohibits inappropriate conflicts and requires disclosure of economic interests. To that end and for the purpose of establishing a single body of law applicable to all state and local government officers and employees on the subject of conflict of interests, the General Assembly enacts this State and Local Government Conflict of Interests Act so that the standards of conduct for such officers and employees may be uniform throughout the Commonwealth.

This chapter shall supersede all general and special acts and charter provisions which purport to deal with matters covered by this chapter except that the provisions of §§ 15.2-852, 15.2-2287, 15.2-2287.1, and 15.2-2289 and ordinances adopted pursuant thereto shall remain in force and effect. The provisions of this chapter shall be supplemented but not superseded by the provisions on ethics in public contracting in Article 6 (§ 2.2-4367 et seq.) of Chapter 43 of this title and ordinances adopted pursuant to § 2.2-3104.2 regulating receipt of gifts.

The provisions of this chapter do not preclude prosecution for any violation of any criminal law of the Commonwealth, including Articles 2 (Bribery and Related Offenses, § 18.2-438 et seq.) and 3 (Bribery of Public Servants and Party Officials, § 18.2-446 et seq.) of Chapter 10 of Title 18.2, and do not constitute a defense to any prosecution for such a violation.

This chapter shall be liberally construed to accomplish its purpose.

§ 2.2-3101. Definitions.

As used in this chapter, *unless the context requires a different meaning*:

"Advisory agency" means any board, commission, committee or post which does not exercise any sovereign power or duty, but is appointed by a governmental agency or officer or is created by law for the purpose of making studies or recommendations, or advising or consulting with a governmental agency.

"Affiliated business entity relationship" means a relationship, other than a parent-subsidary relationship, that exists when (i) one business entity has a controlling ownership interest in the other business entity, (ii) a controlling owner in one entity is also a controlling owner in the other entity, or (iii) there is shared management or control between the business entities. Factors that may be considered in determining the existence of an affiliated business entity relationship include that the same person or substantially the same person owns or manages the two entities, there are common or commingled funds or assets, the business entities share the use of the same offices or employees, or otherwise share activities, resources or personnel on a regular basis, or there is otherwise a close working relationship between the entities.

"Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise, association, trust or foundation, or any other individual or entity carrying on a business or profession, whether or not for profit.

"Contract" means any agreement to which a governmental agency is a party, or any agreement on behalf of a governmental agency that involves the payment of money appropriated by the General Assembly or a political subdivision, whether or not such agreement is executed in the name of the Commonwealth, or some political subdivision thereof. "Contract" includes a subcontract only when the contract of which it is a part is with the officer's or employee's own governmental agency.

"Council" means the Virginia Conflict of Interest and Ethics Advisory Council established in § 30-348.

~~"Dependent" means a son, daughter, father, mother, brother, sister or other person, whether or not related by blood or marriage, if such person receives from the officer or employee, or provides to the officer or employee, more than one-half of his financial support.~~

"Employee" means all persons employed by a governmental or advisory agency, unless otherwise limited by the context of its use.

"Financial institution" means any bank, trust company, savings institution, industrial loan association, consumer finance company, credit union, broker-dealer as defined in subsection A of § 13.1-501, or investment company or advisor registered under the federal Investment Advisors Act or Investment Company Act of 1940.

"Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item having monetary value. It includes services as well as gifts of transportation, local travel, lodgings and meals, whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the expense has been incurred. "Gift" ~~shall~~ does not include (i) any offer of a ticket, coupon, or other admission or pass unless the ticket, coupon, admission, or pass is used; ~~"Gift" shall not include;~~ (ii) honorary degrees ~~and presents;~~ (iii) ~~any athletic, merit, or need-based scholarship or any other financial aid awarded by a public or private school, institution of higher education, or other educational program pursuant to such school, institution, or program's financial aid standards and procedures applicable to the general public;~~ (iv) a campaign contribution properly received and reported pursuant to Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2; (v) any gift related to the private profession or occupation of an officer or employee or of a member of his immediate family; or (vi) gifts from relatives or personal

friends. For the purpose of this definition, "relative" means the donee's spouse, child, uncle, aunt, niece, or nephew; a person to whom the donee is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother, or sister; or the donee's brother's or sister's spouse. *For the purpose of this definition, "personal friend" does not include any person that the filer knows or has reason to know is (a) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4 of Title 2.2; (b) a lobbyist's principal as defined in § 2.2-419; (c) for an officer or employee of a local governmental or advisory agency, a person, organization, or business who is a party to or is seeking to become a party to a contract with the local agency of which he is an officer or an employee; or (d) for an officer or employee of a state governmental or advisory agency, a person, organization, or business who is a party to or is seeking to become a party to a contract with the Commonwealth. For purposes of this definition, "person, organization, or business" includes individuals who are officers, directors, or owners of or who have a controlling ownership interest in such organization or business.*

"Governmental agency" means each component part of the legislative, executive or judicial branches of state and local government, including each office, department, authority, post, commission, committee, and each institution or board created by law to exercise some regulatory or sovereign power or duty as distinguished from purely advisory powers or duties. Corporations organized or controlled by the Virginia Retirement System are "governmental agencies" for purposes of this chapter.

"Immediate family" means (i) a spouse ~~and~~, (ii) any ~~other person residing~~ child who resides in the same household as the officer or employee, ~~and~~ who is a dependent of the officer or employee ~~or of whom the officer or employee is a dependent.~~

"Officer" means any person appointed or elected to any governmental or advisory agency including local school boards, whether or not he receives compensation or other emolument of office. Unless the context requires otherwise, "officer" includes members of the judiciary.

"Parent-subsidiary relationship" means a relationship that exists when one corporation directly or indirectly owns shares possessing more than 50 percent of the voting power of another corporation.

"Personal interest" means a financial benefit or liability accruing to an officer or employee or to a member of his immediate family. Such interest shall exist by reason of (i) ownership in a business if the ownership interest exceeds three percent of the total equity of the business; (ii) annual income that exceeds, or may reasonably be anticipated to exceed, ~~\$10,000~~ \$5,000 from ownership in real or personal property or a business; (iii) salary, other compensation, fringe benefits, or benefits from the use of property, or any combination thereof, paid or provided by a business or governmental agency that exceeds, or may reasonably be anticipated to exceed, ~~\$10,000~~ \$5,000 annually; (iv) ownership of real or personal property if the interest exceeds ~~\$10,000~~ \$5,000 in value and excluding ownership in a business, income, or salary, other compensation, fringe benefits or benefits from the use of property; (v) personal liability incurred or assumed on behalf of a business if the liability exceeds three percent of the asset value of the business; or (vi) an option for ownership of a business or real or personal property if the ownership interest will consist of *clause* (i) or (iv) above.

"Personal interest in a contract" means a personal interest that an officer or employee has in a contract with a governmental agency, whether due to his being a party to the contract or due to a personal interest in a business that is a party to the contract.

"Personal interest in a transaction" means a personal interest of an officer or employee in any matter considered by his agency. Such personal interest exists when an officer or employee or a member of his immediate family has a personal interest in property or a business or governmental agency, or represents or provides services to any individual or business and such property, business or represented or served individual or business (i) is the subject of the transaction or (ii) may realize a reasonably foreseeable direct or indirect benefit or detriment as a result of the action of the agency considering the transaction. Notwithstanding the above, such personal interest in a transaction shall not be deemed to exist where (a) an elected member of a local governing body serves without remuneration as a member of the board of trustees of a not-for-profit entity and such elected member or member of his immediate family has no personal interest related to the not-for-profit entity or (b) an officer, employee, or elected member of a local governing body is appointed by such local governing body to serve on a governmental agency, or an officer, employee, or elected member of a separate local governmental agency formed by a local governing body is appointed to serve on a governmental agency, and the personal interest in the transaction of the governmental agency is the result of the salary, other compensation, fringe benefits, or benefits provided by the local governing body or the separate governmental agency to the officer, employee, elected member, or member of his immediate family.

"State and local government officers and employees" shall not include members of the General Assembly.

"State filer" means those officers and employees required to file a disclosure statement of their personal interests pursuant to subsection A or B of § 2.2-3114.

"Transaction" means any matter considered by any governmental or advisory agency, whether in a

536 committee, subcommittee, or other entity of that agency or before the agency itself, on which official
537 action is taken or contemplated.

538 **§ 2.2-3103.1. Certain gifts prohibited.**

539 A. For purposes of this section:

540 "Intangible gift" means a thing of temporary value or a thing that upon the happening of a certain
541 event or expiration of a given date loses its value. "Intangible gift" includes entertainment, hospitality, a
542 ticket, admission, or pass, transportation, lodgings, and meals that are reportable on Schedule E of the
543 disclosure form prescribed in § 2.2-3117.

544 "Tangible gift" means a thing of value that does not lose its value upon the happening of a certain
545 event or expiration of a given date. "Tangible gift" includes currency, negotiable instruments, securities,
546 stock options, or other financial instruments that are reportable on Schedule E of the disclosure form
547 prescribed in § 2.2-3117. "Tangible gift" does not include payments or reimbursements received for any
548 intangible gift.

549 B. An officer or employee of a local governmental or advisory agency or candidate required to file
550 the disclosure form prescribed in § 2.2-3117 (i) shall not solicit, accept, or receive within any calendar
551 year any single tangible gift with a value in excess of \$250 or a combination of tangible gifts with an
552 aggregate value in excess of \$250 from any person that he knows or has reason to know is (a) a
553 lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4; (b) a lobbyist's principal as
554 defined in § 2.2-419; or (c) a person, organization, or business who is a party to or is seeking to
555 become a party to a contract with the local agency of which he is an officer or an employee; (ii) shall
556 report any tangible gift with a value of \$250 or less or any intangible gift received from any person
557 listed in clause (i) on Schedule E of such disclosure form; and (iii) shall report any payments for talks,
558 meetings, and publications on Schedule D of such disclosure form. For purposes of this subsection,
559 "person, organization, or business" includes individuals who are officers, directors, or owners of or who
560 have a controlling ownership interest in such organization or business.

561 C. An officer or employee of a state governmental or advisory agency or candidate required to file
562 the disclosure form prescribed in § 2.2-3117 (i) shall not solicit, accept, or receive within any calendar
563 year any single tangible gift with a value in excess of \$250 or a combination of tangible gifts with an
564 aggregate value in excess of \$250 from any person that he knows or has reason to know is (a) a
565 lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4; (b) a lobbyist's principal as
566 defined in § 2.2-419; or (c) a person, organization, or business who is a party to or is seeking to
567 become a party to a contract with the Commonwealth; (ii) shall report any tangible gift with a value of
568 \$250 or less or any intangible gift received from any person listed in clause (i) on Schedule E of such
569 disclosure form; and (iii) shall report any payments for talks, meetings, and publications on Schedule D
570 of such disclosure form.

571 D. During the pendency of a civil action in any state or federal court to which the Commonwealth is
572 a party, the Governor or the Attorney General or any employee of the Governor or the Attorney
573 General who is subject to the provisions of this chapter shall not solicit, accept, or receive any tangible
574 gift from any person that he knows or has reason to know is a person, organization, or business who is
575 a party to such civil action. A person, organization, or business who is a party to such civil action shall
576 not knowingly give any tangible gift to the Governor or the Attorney General or any of their employees
577 who are subject to the provisions of this chapter.

578 E. The \$250 limitation imposed in accordance with this section shall be adjusted by the Council
579 every five years, as of January 1 of that year, in an amount equal to the annual increases for that
580 five-year period in the United States Average Consumer Price Index for all items, all urban consumers
581 (CPI-U), as published by the Bureau of Labor Statistics of the U.S. Department of Labor, rounded to
582 the nearest whole dollar.

583 F. For purposes of this section, "person, organization, or business" includes individuals who are
584 officers, directors, or owners of or who have a controlling ownership interest in such organization or
585 business.

586 **§ 2.2-3104. Prohibited conduct for certain officers and employees of state government.**

587 For one year after the termination of public employment or service, no state officer or employee
588 shall, before the agency of which he was an officer or employee, represent a client or act in a
589 representative capacity on behalf of any person or group, for compensation, on matters related to
590 legislation, executive orders, or regulations promulgated by the agency of which he was an officer or
591 employee. This prohibition shall be in addition to the prohibitions contained in § 2.2-3103.

592 For the purposes of this section, "state officer or employee" shall mean (i) the Governor, Lieutenant
593 Governor, Attorney General, and officers appointed by the Governor, whether confirmation by the
594 General Assembly or by either house thereof is required or not, who are regularly employed on a
595 full-time salaried basis; those officers and employees of executive branch agencies who report directly to
596 the agency head; and those at the level immediately below those who report directly to the agency head
597 and are at a payband 6 or higher and (ii) the officers and professional employees of the legislative

branch designated by the joint rules committee of the General Assembly. For the purposes of this section, the General Assembly and the legislative branch agencies shall be deemed one agency.

Any person subject to the provisions of this section may apply to the *Council or Attorney General*, as provided in § 2.2-3121 or 2.2-3126, for an advisory opinion as to the application of the restriction imposed by this section on any post-public employment position or opportunity.

§ 2.2-3114. Disclosure by state officers and employees.

A. The Governor, Lieutenant Governor, Attorney General, Justices of the Supreme Court, judges of the Court of Appeals, judges of any circuit court, judges and substitute judges of any district court, members of the State Corporation Commission, members of the Virginia Workers' Compensation Commission, members of the Commonwealth Transportation Board, members of the Board of Trustees of the Virginia Retirement System, and members of the State Lottery Board and other persons occupying such offices or positions of trust or employment in state government, including members of the governing bodies of authorities, as may be designated by the Governor or, in the case of officers or employees of the legislative branch, by the Joint Rules Committee of the General Assembly, shall file *with the Council*, as a condition to assuming office or employment, a disclosure statement of their personal interests and such other information as is specified on the form set forth in § 2.2-3117 and thereafter shall file such a statement ~~annually on or before January~~ *semiannually by December 15 for the preceding six-month period complete through the last day of October and by June 15 for the preceding six-month period complete through the last day of April*. When the filing deadline falls on a Saturday, Sunday, or legal holiday, the disclosure statement shall be filed on the next day that is not a Saturday, Sunday, or legal holiday.

B. Nonsalaried citizen members of all policy and supervisory boards, commissions and councils in the executive branch of state government, other than the Commonwealth Transportation Board, members of the Board of Trustees of the Virginia Retirement System, and the State Lottery Board, shall file *with the Council*, as a condition to assuming office, a disclosure form of their personal interests and such other information as is specified on the form set forth in § 2.2-3118 and thereafter shall file such form annually on or before ~~January~~ *December* 15. When the filing deadline falls on a Saturday, Sunday, or legal holiday, the disclosure statement shall be filed on the next day that is not a Saturday, Sunday, or legal holiday. Nonsalaried citizen members of other boards, commissions and councils, including advisory boards and authorities, may be required to file a disclosure form if so designated by the Governor, in which case the form shall be that set forth in § 2.2-3118.

C. The disclosure forms required by subsections A and B shall be provided by the ~~Secretary of the Commonwealth Council~~ to each officer and employee so designated, including officers appointed by legislative authorities; ~~not later than November 30 of each year at least 30 days prior to the filing deadline~~. Disclosure forms shall be filed and maintained as public records for five years in the ~~Office of the Secretary of the Commonwealth Council~~.

D. Candidates for the offices of Governor, Lieutenant Governor or Attorney General shall file a disclosure statement of their personal interests as required by § 24.2-502.

E. Any officer or employee of state government who has a personal interest in any transaction before the governmental or advisory agency of which he is an officer or employee and who is disqualified from participating in that transaction pursuant to subdivision A 1 of § 2.2-3112, or otherwise elects to disqualify himself, shall forthwith make disclosure of the existence of his interest, including the full name and address of the business and the address or parcel number for the real estate if the interest involves a business or real estate, and his disclosure shall also be reflected in the public records of the agency for five years in the office of the administrative head of the officer's or employee's governmental agency or advisory agency or, if the agency has a clerk, in the clerk's office.

F. An officer or employee of state government who is required to declare his interest pursuant to subdivision A 2 of § 2.2-3112, shall declare his interest by stating (i) the transaction involved, (ii) the nature of the officer's or employee's personal interest affected by the transaction, (iii) that he is a member of a business, profession, occupation, or group the members of which are affected by the transaction, and (iv) that he is able to participate in the transaction fairly, objectively, and in the public interest. The officer or employee shall either make his declaration orally to be recorded in written minutes for his agency or file a signed written declaration with the clerk or administrative head of his governmental or advisory agency, as appropriate, who shall, in either case, retain and make available for public inspection such declaration for a period of five years from the date of recording or receipt. If reasonable time is not available to comply with the provisions of this subsection prior to participation in the transaction, the officer or employee shall prepare and file the required declaration by the end of the next business day.

G. An officer or employee of state government who is required to declare his interest pursuant to subdivision A 3 of § 2.2-3112, shall declare his interest by stating (i) the transaction involved, (ii) that a party to the transaction is a client of his firm, (iii) that he does not personally represent or provide

659 services to the client, and (iv) that he is able to participate in the transaction fairly, objectively, and in
660 the public interest. The officer or employee shall either make his declaration orally to be recorded in
661 written minutes for his agency or file a signed written declaration with the clerk or administrative head
662 of his governmental or advisory agency, as appropriate, who shall, in either case, retain and make
663 available for public inspection such declaration for a period of five years from the date of recording or
664 receipt. If reasonable time is not available to comply with the provisions of this subsection prior to
665 participation in the transaction, the officer or employee shall prepare and file the required declaration by
666 the end of the next business day.

667 **§ 2.2-3115. Disclosure by local government officers and employees.**

668 A. The members of every governing body and school board of each county and city and of towns
669 with populations in excess of 3,500 shall file *with the Council*, as a condition to assuming office or
670 employment, a disclosure statement of their personal interests and other information as is specified on
671 the form set forth in § 2.2-3117 and thereafter shall file such a statement ~~annually on or before January~~
672 *semiannually by December 15 for the preceding six-month period complete through the last day of*
673 *October and by June 15 for the preceding six-month period complete through the last day of April.*

674 The members of the governing body of any authority established in any county or city, or part or
675 combination thereof, and having the power to issue bonds or expend funds in excess of \$10,000 in any
676 fiscal year, shall file *with the Virginia Conflict of Interest and Ethics Advisory Council*, as a condition to
677 assuming office, a disclosure statement of their personal interests and other information as is specified
678 on the form set forth in § 2.2-3118 and thereafter shall file such a statement annually on or before
679 ~~January~~ *December 15*, unless the governing body of the jurisdiction that appoints the members requires
680 that the members file the form set forth in § 2.2-3117 *semiannually by December 15 for the preceding*
681 *six-month period complete through the last day of October and by June 15 for the preceding six-month*
682 *period complete through the last day of April.*

683 Persons occupying such positions of trust appointed by governing bodies and persons occupying such
684 positions of employment with governing bodies as may be designated to file by ordinance of the
685 governing body shall file *with the Virginia Conflict of Interest and Ethics Advisory Council*, as a
686 condition to assuming office or employment, a disclosure statement of their personal interests and other
687 information as is specified on the form set forth in § 2.2-3117 and thereafter shall file such a statement
688 ~~annually on or before January 15~~ *semiannually by December 15 for the preceding six-month period*
689 *complete through the last day of October and by June 15 for the preceding six-month period complete*
690 *through the last day of April.*

691 Persons occupying such positions of trust appointed by school boards and persons occupying such
692 positions of employment with school boards as may be designated to file by an adopted policy of the
693 school board shall file *with the Virginia Conflict of Interest and Ethics Advisory Council*, as a condition
694 to assuming office or employment, a disclosure statement of their personal interests and other
695 information as is specified on the form set forth in § 2.2-3117 and thereafter shall file such a statement
696 ~~annually on or before January 15~~ *semiannually by December 15 for the preceding six-month period*
697 *complete through the last day of October and by June 15 for the preceding six-month period complete*
698 *through the last day of April.*

699 B. Nonsalaried citizen members of local boards, commissions and councils as may be designated by
700 the governing body shall file *with the Virginia Conflict of Interest and Ethics Advisory Council*, as a
701 condition to assuming office, a disclosure form of their personal interests and such other information as
702 is specified on the form set forth in § 2.2-3118 and thereafter shall file such form annually on or before
703 January 15.

704 C. No person shall be mandated to file any disclosure not otherwise required by this article.

705 D. The disclosure forms required by subsections A and B shall be provided by the ~~Secretary of the~~
706 ~~Commonwealth~~ *Virginia Conflict of Interest and Ethics Advisory Council* to the clerks of the governing
707 bodies and school boards ~~not later than November 30 of each year at least 30 days prior to the filing~~
708 ~~deadline~~, and the clerks of the governing body and school board shall distribute the forms to designated
709 individuals ~~no later than December 10 of each year at least 20 days prior to the filing deadline~~. Forms
710 shall be filed and maintained as public records for five years in the office of the ~~clerk of the respective~~
711 ~~governing body or school board~~ *Virginia Conflict of Interest and Ethics Advisory Council*. Forms filed
712 by members of governing bodies of authorities shall be filed and maintained as public records for five
713 years in the office of the ~~clerk of the governing body of the county or city~~ *Virginia Conflict of Interest*
714 *and Ethics Advisory Council.*

715 E. Candidates for membership in the governing body or school board of any county, city or town
716 with a population of more than 3,500 persons shall file a disclosure statement of their personal interests
717 as required by § 24.2-502.

718 F. Any officer or employee of local government who has a personal interest in any transaction before
719 the governmental or advisory agency of which he is an officer or employee and who is disqualified
720 from participating in that transaction pursuant to subdivision A 1 of § 2.2-3112 or otherwise elects to

disqualify himself, shall forthwith make disclosure of the existence of his interest, including the full name and address of the business and the address or parcel number for the real estate if the interest involves a business or real estate, and his disclosure shall be reflected in the public records of the agency for five years in the office of the administrative head of the officer's or employee's governmental or advisory agency.

G. In addition to any disclosure required by subsections A and B, in each county and city and in towns with populations in excess of 3,500, members of planning commissions, boards of zoning appeals, real estate assessors, and all county, city and town managers or executive officers shall make annual disclosures of all their interests in real estate located in the county, city or town in which they are elected, appointed, or employed. Such disclosure shall include any business in which such persons own an interest, or from which income is received, if the primary purpose of the business is to own, develop or derive compensation through the sale, exchange or development of real estate in the county, city or town. Such disclosure shall be filed as a condition to assuming office or employment, and thereafter shall be filed annually with the clerk of the governing body of such county, city or town *Virginia Conflict of Interest and Ethics Advisory Council* on or before January 15. Such disclosures shall be filed and maintained as public records for five years. Forms for the filing of such reports shall be prepared and distributed by the Secretary of the Commonwealth *Virginia Conflict of Interest and Ethics Advisory Council* to the clerk of each governing body.

H. An officer or employee of local government who is required to declare his interest pursuant to subdivision A 2 of § 2.2-3112 shall declare his interest by stating (i) the transaction involved, (ii) the nature of the officer's or employee's personal interest affected by the transaction, (iii) that he is a member of a business, profession, occupation, or group the members of which are affected by the transaction, and (iv) that he is able to participate in the transaction fairly, objectively, and in the public interest. The officer or employee shall either make his declaration orally to be recorded in written minutes of his agency or file a signed written declaration with the clerk or administrative head of his governmental or advisory agency, as appropriate, who shall, in either case, retain and make available for public inspection such declaration for a period of five years from the date of recording or receipt. If reasonable time is not available to comply with the provisions of this subsection prior to participation in the transaction, the officer or employee shall prepare and file the required declaration by the end of the next business day. The officer or employee shall also orally disclose the existence of the interest during each meeting of the governmental or advisory agency at which the transaction is discussed and such disclosure shall be recorded in the minutes of the meeting.

I. An officer or employee of local government who is required to declare his interest pursuant to subdivision A 3 of § 2.2-3112, shall declare his interest by stating (i) the transaction involved, (ii) that a party to the transaction is a client of his firm, (iii) that he does not personally represent or provide services to the client, and (iv) that he is able to participate in the transaction fairly, objectively, and in the public interest. The officer or employee shall either make his declaration orally to be recorded in written minutes for his agency or file a signed written declaration with the clerk or administrative head of his governmental or advisory agency, as appropriate, who shall, in either case, retain and make available for public inspection such declaration for a period of five years from the date of recording or receipt. If reasonable time is not available to comply with the provisions of this subsection prior to participation in the transaction, the officer or employee shall prepare and file the required declaration by the end of the next business day.

§ 2.2-3116. Disclosure by certain constitutional officers.

For the purposes of this chapter, holders of the constitutional offices of treasurer, sheriff, attorney for the Commonwealth, clerk of the circuit court and commissioner of the revenue of each county and city, shall be deemed to be local officers and shall be required to file the Statement of Economic Interests set forth in § 2.2-3117. These officers shall file statements pursuant to § 2.2-3115 and candidates shall file statements as required by § 24.2-502. *These officers shall be subject to the prohibition on certain gifts set forth in subsection B of § 2.2-3103.1.*

§ 2.2-3117. Disclosure form.

The disclosure form to be used for filings required by subsections A and D of § 2.2-3114 and subsections A and E of § 2.2-3115 shall be substantially as follows:

STATEMENT OF ECONOMIC INTERESTS.

Name

Office or position held or sought

Address

Names of members of immediate family

DEFINITIONS AND EXPLANATORY MATERIAL.

"Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise, association, trust or foundation, or any other individual or entity carrying on a business or profession,

whether or not for profit.

"Close financial association" means an association in which the person filing shares significant financial involvement with an individual and the filer would reasonably be expected to be aware of the individual's business activities and would have access to the necessary records either directly or through the individual. "Close financial association" does not mean an association based on (i) the receipt of retirement benefits or deferred compensation from a business by which the person filing this statement is no longer employed, or (ii) the receipt of compensation for work performed by the person filing as an independent contractor of a business that represents an entity before any state governmental agency when the person filing has had no communications with the state governmental agency.

"Contingent liability" means a liability that is not presently fixed or determined, but may become fixed or determined in the future with the occurrence of some certain event.

"Dependent" means any person, whether or not related by blood or marriage, who receives from the officer or employee, or provides to the officer or employee, more than one-half of his financial support.

"Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item having monetary value. It includes services as well as gifts of transportation, local travel, lodgings and meals, whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the expense has been incurred. "Gift" shall does not include (i) any offer of a ticket, coupon, or other admission or pass unless the ticket, coupon, admission, or pass is used; "Gift" shall not include; (ii) honorary degrees and presents; any athletic, merit, or need-based scholarship or any other financial aid awarded by a public or private school, institution of higher education, or other educational program pursuant to such school, institution, or program's financial aid standards and procedures applicable to the general public; (iv) a campaign contribution properly received and reported pursuant to Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2; (v) any gift related to the private profession or occupation of an officer or employee or of a member of his immediate family; or (vi) gifts from relatives or personal friends. "Relative" means the donee's spouse, child, uncle, aunt, niece, or nephew; a person to whom the donee is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother, or sister; or the donee's brother's or sister's spouse. "Personal friend" does not include any person that the filer knows or has reason to know is (a) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4 of Title 2.2; (b) a lobbyist's principal as defined in § 2.2-419; (c) for an officer or employee of a local governmental or advisory agency, a person, organization, or business who is a party to or is seeking to become a party to a contract with the local agency of which he is an officer or an employee; or (d) for an officer or employee of a state governmental or advisory agency, a person, organization, or business who is a party to or is seeking to become a party to a contract with the Commonwealth. "Person, organization, or business" includes individuals who are officers, directors, or owners of or who have a controlling ownership interest in such organization or business.

"Immediate family" means (i) a spouse and, (ii) any other person residing child who resides in the same household as the officer or employee, and who is a dependent of the officer or employee or of whom the officer or employee is a dependent.

TRUST. If you or your immediate family, separately or together, are the only beneficiaries of a trust, treat the trust's assets as if you own them directly. If you or your immediate family has a proportional interest in a trust, treat that proportion of the trust's assets as if you own them directly. For example, if you and your immediate family have a one-third interest in a trust, complete your Statement as if you own one-third of each of the trust's assets. If you or a member of your immediate family created a trust and can revoke it without the beneficiaries' consent, treat its assets as if you own them directly.

REPORT TO THE BEST OF INFORMATION AND BELIEF. Information required on this Statement must be provided on the basis of the best knowledge, information and belief of the individual filing the Statement as of the date of this report unless otherwise stated.

COMPLETE ITEMS 1 THROUGH 10. REFER TO SCHEDULES ONLY IF DIRECTED.

You may attach additional explanatory information.

1. Offices and Directorships.

Are you or a member of your immediate family a paid officer or paid director of a business?

EITHER check NO / / OR check YES / / and complete Schedule A.

2. Personal Liabilities.

Do you or a member of your immediate family owe more than \$10,000 \$5,000 to any one creditor including contingent liabilities? (Exclude debts to any government and loans secured by recorded liens on property at least equal in value to the loan.)

EITHER check NO / / OR check YES / / and complete Schedule B.

3. Securities.

Do you or a member of your immediate family, directly or indirectly, separately or together, own securities valued in excess of \$10,000 \$5,000 invested in one business? Account for mutual funds, limited partnerships and trusts.

EITHER check NO / / OR check YES / / and complete Schedule C.

4. Payments for Talks, Meetings, and Publications.

During the past ~~12~~ six months did you receive *in your capacity as an officer or employee of your agency* lodging, transportation, money, or anything else of value with a combined value exceeding \$200 (i) for a single talk, meeting, or published work ~~in your capacity as an officer or employee of your agency~~ or (ii) for a meeting, conference, or event where your attendance at the meeting, conference, or event was designed to (a) educate you on issues relevant to your duties as an officer or employee of your agency or (b) enhance your knowledge and skills relative to your duties as an officer or employee of your agency?

EITHER check NO / / OR check YES / / and complete Schedule D.

5. Gifts.

During the past ~~12~~ six months did a business, government, or individual other than a relative or personal friend (i) furnish you *or a member of your immediate family* with any gift or entertainment at a single event, and the value received ~~by you~~ exceeded \$50 ~~in value~~ or (ii) furnish you *or a member of your immediate family* with gifts or entertainment in any combination and the *total* value received ~~by you~~ exceeded \$100 ~~in total value~~, and for which you *or the member of your immediate family* neither paid nor rendered services in exchange? Account for entertainment events only if the average value per person attending the event exceeded \$50 ~~in value~~. Account for all business entertainment (except if related to ~~your~~ the private profession or occupation of you or the member of your immediate family who received such business entertainment) even if unrelated to your official duties.

EITHER check NO / / OR check YES / / and complete Schedule E.

6. Salary and Wages.

List each employer that pays you or a member of your immediate family salary or wages in excess of ~~\$10,000~~ \$5,000 annually. (Exclude state or local government or advisory agencies.)

If no reportable salary or wages, check here / /.

7. Business Interests.

Do you or a member of your immediate family, separately or together, operate your own business, or own or control an interest in excess of ~~\$10,000~~ \$5,000 in a business?

EITHER check NO / / OR check YES / / and complete Schedule F.

8. Payments for Representation and Other Services.

8A. Did you represent, excluding activity defined as lobbying in § 2.2-419, any businesses before any state governmental agencies, excluding courts or judges, for which you received total compensation during the past ~~12~~ six months in excess of \$1,000, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers? (Officers and employees of local governmental and advisory agencies do NOT need to answer this question or complete Schedule G-1.)

EITHER check NO / / OR check YES / / and complete Schedule G-1.

8B. Subject to the same exceptions as in 8A, did persons with whom you have a close financial association (partners, associates or others) represent, excluding activity defined as lobbying in § 2.2-419, any businesses before any state governmental agency for which total compensation was received during the past ~~12~~ six months in excess of \$1,000? (Officers and employees of local governmental and advisory agencies do NOT need to answer this question or complete Schedule G-2.)

EITHER check NO / / OR check YES / / and complete Schedule G-2.

8C. Did you or persons with whom you have a close financial association furnish services to businesses operating in Virginia pursuant to an agreement between you and such businesses, or between persons with whom you have a close financial association and such businesses for which total compensation in excess of \$1,000 was received during the past ~~12~~ six months? *Services reported under this provision shall not include services involving the representation of businesses that are reported under item 8A or 8B.*

EITHER check NO / / OR check YES / / and complete Schedule G-3.

9. Real Estate.

9A. State Officers and Employees.

Do you or a member of your immediate family hold an interest, including a partnership interest, valued at ~~\$10,000~~ ~~or~~ more *than* \$5,000 in real property (other than your principal residence) for which you have not already listed the full address on Schedule F? Account for real estate held in trust.

EITHER check NO / / OR check YES / / and complete Schedule H-1.

9B. Local Officers and Employees.

Do you or a member of your immediate family hold an interest, including a partnership interest, or option, easement, or land contract, valued at ~~\$10,000~~ ~~or~~ more *than* \$5,000 in real property (other than

905 your principal residence) for which you have not already listed the full address on Schedule F? Account
 906 for real estate held in trust.

907 EITHER check NO / / OR check YES / / and complete Schedule H-2.

908 10. Real Estate Contracts with Governmental Agencies.

909 Do you or a member of your immediate family hold an interest valued at more than ~~\$10,000~~ \$5,000
 910 in real estate, including a corporate, partnership, or trust interest, option, easement, or land contract,
 911 which real estate is the subject of a contract, whether pending or completed within the past ~~12~~ six
 912 months, with a governmental agency? If the real estate contract provides for the leasing of the property
 913 to a governmental agency, do you or a member of your immediate family hold an interest in the real
 914 estate valued at more than \$1,000? Account for all such contracts whether or not your interest is
 915 reported in Schedule F, H-1, or H-2. This requirement to disclose an interest in a lease does not apply
 916 to an interest derived through an ownership interest in a business unless the ownership interest exceeds
 917 three percent of the total equity of the business.

918 EITHER check NO / / OR check YES / / and complete Schedule I.

919 Statements of Economic Interests are open for public inspection.

920 AFFIRMATION BY ALL FILERS.

921 I swear or affirm that the foregoing information is full, true and correct to the best of my knowledge.

922 Signature

923 (Return only if needed to complete Statement.)

924 SCHEDULES

925 to

926 STATEMENT OF ECONOMIC INTERESTS.

927 NAME

928 SCHEDULE A - OFFICES AND DIRECTORSHIPS.

929 Identify each business of which you or a member of your immediate family is a paid officer or paid
 930 director.

931 _____

932 _____

933 Name of Business Address of Business Position Held *and by Whom*

934 _____

935 _____

936 _____

937 _____

938 _____

939 RETURN TO ITEM 2

940 SCHEDULE B - PERSONAL LIABILITIES.

941 Report personal liability by checking each category. Report only debts in excess of ~~\$10,000~~ \$5,000.
 942 Do not report debts to any government. Do not report loans secured by recorded liens on property at
 943 least equal in value to the loan.

944 Report contingent liabilities below and indicate which debts are contingent.

945 1. My personal debts are as follows:

946 _____

947 _____

948 Check one

949 Check \$10,001

950 appropriate \$5,001 to More than

951 categories \$50,000 \$50,000

952 Banks _____

953 Savings institutions _____

954 Other loan or finance companies _____

955 Insurance companies _____

956 Stock, commodity or other brokerage companies _____

957 Other businesses: _____

958 (State principal business activity for each

959 creditor *and its name*.) _____

960 _____

961 _____

962 Individual creditors:

963 (State principal business or occupation of

each creditor *and its name.*)

2. The personal debts of the members of my immediate family are as follows:

Check appropriate categories	Check one	
	\$10,001 \$5,001 to \$50,000	More than \$50,000
Banks	_____	_____
Savings institutions	_____	_____
Other loan or finance companies	_____	_____
Insurance companies	_____	_____
Stock, commodity or other brokerage companies	_____	_____
Other businesses: (State principal business activity for each creditor <i>and its name.</i>)	_____	_____
	_____	_____
	_____	_____
Individual creditors: (State principal business or occupation of each creditor <i>and its name.</i>)	_____	_____
	_____	_____
	_____	_____

RETURN TO ITEM 3

SCHEDULE C - SECURITIES.

"Securities" INCLUDES stocks, bonds, mutual funds, limited partnerships, and commodity futures contracts.	"Securities" EXCLUDES certificates of deposit, money market funds, annuity contracts, and insurance policies.
---	--

Identify each business or Virginia governmental entity in which you or a member of your immediate family, directly or indirectly, separately or together, own securities valued in excess of ~~\$10,000~~ \$5,000. Name each ~~entity~~ issuer and type of security individually.

Do not list U.S. Bonds or other government securities not issued by the Commonwealth of Virginia or its authorities, agencies, or local governments. Do not list organizations that do not do business in this Commonwealth, but most major businesses conduct business in Virginia. Account for securities held in trust.

If no reportable securities, check here / /.

Name of Issuer	Type of Entity Entity	Type of Security (stocks, bonds, mutual funds, etc.)	Check one		
			\$10,001 \$5,001 to \$50,000	\$50,001 to \$250,000	More than \$250,000
_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____

RETURN TO ITEM 4

SCHEDULE D - PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.

List each source from which you received during the past ~~42~~ six months *in your capacity as an officer or employee of your agency* lodging, transportation, money, or any other thing of value

HOUSE
SUBSTITUTE

HB1211H2

1021 (excluding meals or drinks coincident with a meeting) with combined value exceeding \$200 (i) for your
 1022 presentation of a single talk, participation in one meeting, or publication of a work in your capacity as
 1023 an officer or employee of your agency or (ii) for your attendance at a meeting, conference, or event
 1024 where your attendance at the meeting, conference, or event was designed to (a) educate you on issues
 1025 relevant to your duties as an officer or employee of your agency or (b) enhance your knowledge and
 1026 skills relative to your duties as an officer or employee of your agency. Any lodging, transportation,
 1027 money, or other thing of value received by an officer or employee that does not satisfy the provisions of
 1028 clause (i), (ii) (a), or (ii) (b) shall be listed as a gift on Schedule E.

1029 List payments or reimbursements by an advisory or governmental agency only for meetings or travel
 1030 outside the Commonwealth.

1031 List a payment even if you donated it to charity.

1032 Do not list information about a payment if you returned it within 60 days or if you received it from
 1033 an employer already listed under Item 6 or from a source of income listed on Schedule F.

1034 If no payment must be listed, check here / /.

1035				
1036				
1037				Type of payment
1038				(e.g. honoraria,
1039				travel reimburse-
1040	Payer	Approximate Value	Circumstances	ment, etc.)
1041				
1042				
1043				
1044				
1045				

1046 RETURN TO ITEM 5

1047 SCHEDULE E - GIFTS.

1048 List each business, governmental entity, or individual that, during the past 12 six months, (i)
 1049 furnished you or a member of your immediate family with any gift or entertainment at a single event,
 1050 and the value received by you exceeded \$50, in value or (ii) furnished you or a member of your
 1051 immediate family with gifts or entertainment in any combination and the total value received by you
 1052 exceeded \$100 in total value, and for which you or the member of your immediate family neither paid
 1053 nor rendered services in exchange. List each such gift or event. Do not list entertainment events unless
 1054 the average value per person attending the event exceeded \$50 in value. Do not list business
 1055 entertainment related to your the private profession or occupation of you or the member of your
 1056 immediate family who received such business entertainment. Do not list gifts or other things of value
 1057 given by a relative or personal friend for reasons clearly unrelated to your public position. Do not list
 1058 campaign contributions publicly reported as required by Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2 of
 1059 the Code of Virginia.

1060					
1061					
1062		Name of Business,	City or	Exact	
1063	Name of	Organization, or	County	Gift or	Approximate
1064	Recipient	Individual	and State	Event	Value
1065					
1066					
1067					
1068					
1069					

1070 RETURN TO ITEM 6

1071 SCHEDULE F - BUSINESS INTERESTS.

1072 Complete this Schedule for each self-owned or family-owned business (including rental property, a
 1073 farm, or consulting work), partnership, or corporation in which you or a member of your immediate
 1074 family, separately or together, own an interest having a value in excess of \$10,000 \$5,000.

1075 If the enterprise is owned or operated under a trade, partnership, or corporate name, list that name;
 1076 otherwise, merely explain the nature of the enterprise. If rental property is owned or operated under a
 1077 trade, partnership, or corporate name, list the name only; otherwise, give the address of each property.
 1078 Account for business interests held in trust.

1079

1080

1081	Name of Business,	Gross Income
1082	Corporation,	
1083	Partnership, City or Nature of Enterprise	\$50,001 More
1084	Farm; Address of County (farming, law, rental	\$50,000 to than
1085	Rental Property and State property, etc.)	or less \$250,000 \$250,000
1086		
1087		
1088		
1089		
1090		

RETURN TO ITEM 8

1091

1092 SCHEDULE G-1 - PAYMENTS FOR REPRESENTATION BY YOU.

1093 List the businesses you represented, excluding activity defined as lobbying in § 2.2-419, before any
 1094 state governmental agency, excluding any court or judge, for which you received total compensation
 1095 during the past ~~42~~ six months in excess of \$1,000, excluding compensation for other services to such
 1096 businesses and representation consisting solely of the filing of mandatory papers and subsequent
 1097 representation regarding the mandatory papers filed by you.

1098 Identify each business, the nature of the representation and the amount received by dollar category
 1099 from each such business. You may state the type, rather than name, of the business if you are required
 1100 by law not to reveal the name of the business represented by you.

1101 Only STATE officers and employees should complete this Schedule.

1102

1103

1104		Pur-	Amount Received
1105		pose	
1106	Name Type	of Name	
1107	of of	Repre- of	\$1,001 \$10,001 \$50,001 \$100,001 \$250,001
1108	Busi- Busi-	senta- Agen-	to to to to and
1109	ness ness	tion cy	\$10,000 \$50,000 \$100,000 \$250,000 over
1110			
1111			
1112			
1113			
1114			

1115 If you have received \$250,001 or more from a single business within the reporting period, indicate
 1116 the amount received, rounded to the nearest \$10,000.

1117

Amount Received: _____.

1118

1119 SCHEDULE G-2 - PAYMENTS FOR REPRESENTATION BY ASSOCIATES.

1120 List the businesses that have been represented, excluding activity defined as lobbying in § 2.2-419,
 1121 before any state governmental agency, excluding any court or judge, by persons who are your partners,
 1122 associates or others with whom you have a close financial association and who received total
 1123 compensation in excess of \$1,000 for such representation during the past ~~42~~ six months, excluding
 1124 representation consisting solely of the filing of mandatory papers and subsequent representation
 1125 regarding the mandatory papers filed by your partners, associates or others with whom you have a close
 financial association.

1126 Identify such businesses by type and also name the state governmental agencies before which such
 1127 person appeared on behalf of such businesses.

1128 Only STATE officers and employees should complete this Schedule.

1129

1130

1131	Type of business	Name of state governmental agency
------	------------------	-----------------------------------

1132

1133

1134

1135

1136

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SCHEDULE G-3 - PAYMENTS FOR *OTHER* SERVICES GENERALLY.

1138 Indicate below types of businesses that operate in Virginia to which services were furnished by you
 1139 or persons with whom you have a close financial association pursuant to an agreement between you and
 1140 such businesses, or between persons with whom you have a close financial association and such
 1141 businesses and for which total compensation in excess of \$1,000 was received during the past 12 six
 1142 months. *Services reported in this Schedule shall not include services involving the representation of*
 1143 *businesses that are reported in Schedule G-1 or G-2.*

1144 Identify opposite each category of businesses listed below (i) the type of business, (ii) the type of
 1145 service rendered and (iii) the value by dollar category of the compensation received for all businesses
 1146 falling within each category.

		Value of Compensation						
	Check							
	if	Type						
	ser-	of						
	vices	ser-						
	were	vice	\$1,001	\$10,001	\$50,001	\$100,001	\$250,001	
	ren-	ren-	to	to	to	to	and	
	dered	dered	\$10,000	\$50,000	\$100,000	\$250,000	over	
1156	Electric utilities							
1157	Gas utilities							
1158	Telephone utilities							
1159	Water utilities							
1160	Cable television							
1161	companies							
1162	Interstate							
1163	transportation							
1164	companies							
1165	Intrastate							
1166	transportation							
1167	companies							
1168	Oil or gas retail							
1169	companies							
1170	Banks							
1171	Savings institutions							
1172	Loan or finance							
1173	companies							
1174	Manufacturing							
1175	companies (state							
1176	type of product,							
1177	e.g., textile,							
1178	furniture, etc.)							
1179	Mining companies							
1180	Life insurance							
1181	companies							
1182	Casualty insurance							
1183	companies							
1184	Other insurance							
1185	companies							
1186	Retail companies							
1187	Beer, wine or liquor							
1188	companies or							
1189	distributors							
1190	Trade associations							
1191	Professional							
1192	associations							
1193	Associations of							
1194	public employees							

1195	or officials	_____	_____	_____	_____	_____	_____
1196	Counties, cities	_____	_____	_____	_____	_____	_____
1197	or towns	_____	_____	_____	_____	_____	_____
1198	Labor organizations	_____	_____	_____	_____	_____	_____
1199	Other	_____	_____	_____	_____	_____	_____

1200

1201

RETURN TO ITEM 9

1202 SCHEDULE H-1 - REAL ESTATE - STATE OFFICERS AND EMPLOYEES.

1203 List real estate other than your principal residence in which you or a member of your immediate
 1204 family holds an interest, including a partnership interest, option, easement, or land contract, valued at
 1205 \$10,000 ~~or~~ more than \$5,000. Each parcel shall be listed individually.

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SCHEDULE H-2 - REAL ESTATE - LOCAL OFFICERS AND EMPLOYEES.

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SCHEDULE I - REAL ESTATE CONTRACTS WITH GOVERNMENTAL AGENCIES.

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1250

1251

1252

List all contracts, whether pending or completed within the past ~~12~~ six months, with a governmental agency for the sale or exchange of real estate in which you or a member of your immediate family holds an interest, including a corporate, partnership or trust interest, option, easement, or land contract, valued at more than \$10,000 ~~or more~~. List all contracts with a governmental agency for the lease of real estate in which you or a member of your immediate family holds such an interest valued at more than \$1,000 ~~or more~~. This requirement to disclose an interest in a lease does not apply to an interest derived through an ownership interest in a business unless the ownership interest exceeds three percent of the total equity of the business.

State officers and employees report contracts with state agencies.

Local officers and employees report contracts with local agencies.

1253			
1254	List your real estate		
1255	interest and the		
1256	person or entity,		
1257	including the type		
1258	of entity, which		
1259	is party to		
1260	the contract.		State the annual
1261	Describe any		income from the
1262	management role and	List each governmental	contract, and the
1263	the percentage	agency which is a	amount, if any, of
1264	ownership	party to the contract	income you or any
1265	interest you or your	and indicate the	immediate family
1266	immediate family	county or city where	member derives
1267	member has in the real	the real estate	annually from the
1268	estate or entity.	is located.	contract.
1269	_____	_____	_____
1270	_____	_____	_____
1271	_____	_____	_____
1272	_____	_____	_____
1273	_____	_____	_____
1274	_____	_____	_____

1275 **§ 2.2-3118. Disclosure form; certain citizen members.**

1276 A. The financial disclosure form to be used for filings required pursuant to subsection B of
 1277 § 2.2-3114 and subsection B of § 2.2-3115 shall be signed by the filer either originally or by electronic
 1278 signature as authorized by the Uniform Electronic Transactions Act (§ 59.1-479 et seq.) filed in
 1279 accordance with the provisions of § 30-349. The financial disclosure form shall be substantially as
 1280 follows:

1281 **DEFINITIONS AND EXPLANATORY MATERIAL.**

1282 "Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise,
 1283 association, trust or foundation, or any other individual or entity carrying on a business or profession,
 1284 whether or not for profit.

1285 "Close financial association" means an association in which the person filing shares significant
 1286 financial involvement with an individual and the filer would reasonably be expected to be aware of the
 1287 individual's business activities and would have access to the necessary records either directly or through
 1288 the individual. "Close financial association" does not mean an association based on (i) the receipt of
 1289 retirement benefits or deferred compensation from a business by which the person filing this statement is
 1290 no longer employed, or (ii) the receipt of compensation for work performed by the person filing as an
 1291 independent contractor of a business that represents an entity before any state governmental agency
 1292 when the person filing has no communications with the state governmental agency.

1293 "Contingent liability" means a liability that is not presently fixed or determined, but may become
 1294 fixed or determined in the future with the occurrence of some certain event.

1295 "Immediate family" means (i) a spouse and, (ii) any other person residing child who resides in the
 1296 same household as the filer; and who is a dependent of the filer or of whom the filer is a dependent.

1297 "Dependent" means any person, whether or not related by blood or marriage, who receives from the
 1298 filer, or provides to the filer, more than one-half of his financial support.

1299 "Personal interest" means, for the purposes of this form only, a personal and financial benefit or
 1300 liability accruing to a filer or a member of his immediate family. Such interest shall exist by reason of
 1301 (i) ownership in real or personal property, tangible or intangible; (ii) ownership in a business; (iii)
 1302 income from a business; or (iv) personal liability on behalf of a business; however, unless the ownership
 1303 interest in a business exceeds three percent of the total equity of the business, or the liability on behalf
 1304 of a business exceeds three percent of the total assets of the business, or the annual income, and/or
 1305 property or use of such property, from the business exceeds \$10,000 or may reasonably be anticipated to
 1306 exceed \$10,000, such interest shall not constitute a "personal interest."

1307 Name

1308 Office or position held or to be held

1309

1310 Address

1311 **I. FINANCIAL INTERESTS**

My personal interests and those of my immediate family are as follows:
 Include all forms of personal interests held at the time of filing: real estate, stocks, bonds, equity interests in proprietorships and partnerships. You may exclude:

1. Deposits and interest bearing accounts in banks, savings institutions and other institutions accepting such deposits or accounts;

2. Interests in any business, other than a news medium, representing less than three percent of the total equity value of the business;

3. Liability on behalf of any business representing less than three percent of the total assets of such business; and

4. Income (other than from salary) less than \$10,000 annually from any business. You need not state the value of any interest. You must state the name or principal business activity of each business in which you have a personal interest.

A. My personal interests are:

1. Residence, address, or, if no address, location

2. Other real estate, address, or, if no address, location

3. Name or principal business activity of each business in which stock, bond or equity interest is held

B. The personal interests of my immediate family are:

1. Real estate, address or, if no address, location

2. Name or principal business activity of each business in which stock, bond or equity interest is held

II. OFFICES, DIRECTORSHIPS AND SALARIED EMPLOYMENTS

The paid offices, paid directorships and salaried employments which I hold or which members of my immediate family hold and the businesses from which I or members of my immediate family receive retirement benefits are as follows:

(You need not state any dollar amounts.)

A. My paid offices, paid directorships and salaried employments are:

Position held	Name of business
_____	_____
_____	_____
_____	_____

B. The paid offices, paid directorships and salaried employments of members of my immediate family are:

Position held	Name of business
_____	_____
_____	_____
_____	_____

III. BUSINESSES TO WHICH SERVICES WERE FURNISHED

A. The businesses I have represented, excluding activity defined as lobbying in § 2.2-419, before any state governmental agency, excluding any court or judge, for which I have received total compensation in excess of \$1,000 during the preceding year, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers, are as follows:

Identify businesses by name and name the state governmental agencies before which you appeared on behalf of such businesses.

Name of business	Name of governmental agency
_____	_____
_____	_____
_____	_____

B. The businesses that, to my knowledge, have been represented, excluding activity defined as lobbying in § 2.2-419, before any state governmental agency, excluding any court or judge, by persons

1371 with whom I have a close financial association and who received total compensation in excess of \$1,000
 1372 during the preceding year, excluding compensation for other services to such businesses and
 1373 representation consisting solely of the filing of mandatory papers, are as follows:

1374 Identify businesses by type and name the state governmental agencies before which such person
 1375 appeared on behalf of such businesses.

1376		
1377		
1378	Type of business	Name of state governmental agency
1379		
1380		
1381		
1382		

1383 C. All other businesses listed below that operate in Virginia to which services were furnished
 1384 pursuant to an agreement between you and such businesses and for which total compensation in excess
 1385 of \$1, 000 was received during the preceding year:

1386 Check each category of business to which services were furnished.

1387		
1388		
1389	Electric utilities	
1390	Gas utilities	
1391	Telephone utilities	
1392	Water utilities	
1393	Cable television companies	
1394	Intrastate transportation companies	
1395	Interstate transportation companies	
1396	Oil or gas retail companies	
1397	Banks	
1398	Savings institutions	
1399	Loan or finance companies	
1400	Manufacturing companies (state type	
1401	of product, e.g., textile, furniture,	
1402	etc.)	
1403	Mining companies	
1404	Life insurance companies	
1405	Casualty insurance companies	
1406	Other insurance companies	
1407	Retail companies	
1408	Beer, wine or liquor companies or	
1409	distributors	
1410	Trade associations	
1411	Professional associations	
1412	Associations of public employees or	
1413	officials	
1414	Counties, cities or towns	
1415	Labor organizations	
1416		

1417 IV. COMPENSATION FOR EXPENSES

1418 The persons, associations, or other sources other than my governmental agency from which I or a
 1419 member of my immediate family received remuneration in excess of \$200 during the preceding year, in
 1420 cash or otherwise, as honorariums or payment of expenses in connection with my attendance at any
 1421 meeting or other function to which I was invited in my official capacity are as follows:

1422			
1423			
1424		Description	Amount of remuneration
1425	Name of Source	of occasion	for each occasion
1426			
1427			
1428			

B. The provisions of Part III A and B of the disclosure form prescribed by this section shall not be applicable to officers and employees of local governmental and local advisory agencies.

C. Except for real estate located within the county, city or town in which the officer or employee serves or a county, city or town contiguous to the county, city or town in which the officer or employee serves, officers and employees of local governmental or advisory agencies shall not be required to disclose under Part I of the form any other interests in real estate.

§ 2.2-3118.1. Special provisions for individuals serving in or seeking multiple positions or offices; reappointees.

A. The filing of a single current statement of economic interests by a state officer or employee required to file the form prescribed in § 2.2-3117 shall suffice for the purposes of this chapter as filing for all state positions or offices held or sought by such individual during a single reporting period. The filing of a single current financial disclosure statement by a state officer or employee required to file the form prescribed in § 2.2-3118 shall suffice for the purposes of this chapter as filing for all state positions or offices held or sought by such individual and requiring the filing of the § 2.2-3118 form during a single reporting period.

B. Any individual who has met the requirement for ~~annually~~ *periodically* filing a statement provided in § 2.2-3117 or 2.2-3118 shall not be required to file an additional statement upon such individual's reappointment to the same office or position for which he is required to file, provided such reappointment occurs within *six months after filing a statement pursuant to § 2.2-3117 and within 12 months after the annual filing a statement pursuant to § 2.2-3118.*

§ 2.2-3121. Advisory opinions.

A. A state officer or employee shall not be prosecuted for a knowing violation of this chapter if the alleged violation resulted from his good faith reliance on a written opinion of the Attorney General *or the Virginia Conflict of Interest and Ethics Advisory Council* made in response to his written request for such opinion and the opinion was made after a full disclosure of the facts.

B. A local officer or employee shall not be prosecuted for a knowing violation of this chapter if the alleged violation resulted from his good faith reliance on a written opinion of the attorney for the Commonwealth *or the Council* made in response to his written request for such opinion and the opinion was made after a full disclosure of the facts. The written opinion shall be a public record and shall be released upon request.

C. If any officer or employee serving at the local level of government is charged with a knowing violation of this chapter, and the alleged violation resulted from his reliance upon a written opinion of his city, county or town attorney, made after a full disclosure of the facts, that such action was not in violation of this chapter, then the officer or employee shall have the right to introduce a copy of the opinion at his trial as evidence that he did not knowingly violate this chapter.

§ 2.2-3131. Exemptions.

A. The requirements of § 2.2-3130 shall not apply to state filers with a state agency who have taken an equivalent ethics orientation course through another state agency within the time periods set forth in subdivision 1 or 2 of § 2.2-3130, as applicable.

B. State agencies may jointly conduct and state filers from more than one state agency may jointly attend an orientation course required by § 2.2-3128, as long as the course content is relevant to the official duties of the attending state filers.

C. Before conducting each orientation course required by § 2.2-3128, state agencies shall consult with the Attorney General *and the Virginia Conflict of Interest and Ethics Advisory Council* regarding appropriate course content.

§ 30-100. Declaration of legislative policy; construction.

The General Assembly, recognizing that our system of representative government is dependent in part upon (i) citizen legislative members representing fully the public in the legislative process and (ii) its citizens maintaining the highest trust in their public officers, finds and declares that the citizens are entitled to be assured that the judgment of the members of the General Assembly will not be compromised or affected by inappropriate conflicts.

The provisions of this chapter do not preclude prosecution for any violation of any criminal law of the Commonwealth, including Articles 2 (Bribery and Related Offenses, § 18.2-438 et seq.) and 3 (Bribery of Public Servants and Party Officials, § 18.2-446 et seq.) of Chapter 10 of Title 18.2, and do not constitute a defense to any prosecution for such a violation.

This chapter shall apply to the members of the General Assembly.

This chapter shall be liberally construed to accomplish its purpose.

§ 30-101. Definitions.

As used in this chapter, unless the context requires a different meaning:

"Advisory agency" means any board, commission, committee or post which does not exercise any

1490 sovereign power or duty, but is appointed by a governmental agency or officer or is created by law for
1491 the purpose of making studies or recommendations, or advising or consulting with a governmental
1492 agency.

1493 "Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise,
1494 association, trust or foundation, or any other individual or entity carrying on a business or profession,
1495 whether or not for profit.

1496 "Contract" means any agreement to which a governmental agency is a party, or any agreement on
1497 behalf of a governmental agency ~~which~~ that involves the payment of money appropriated by the General
1498 Assembly or a political subdivision, whether or not such agreement is executed in the name of the
1499 Commonwealth of Virginia, or some political subdivision thereof. "Contract" includes a subcontract only
1500 when the contract of which it is a part is with the legislator's own governmental agency.

1501 "Council" means the Virginia Conflict of Interest and Ethics Advisory Council established in
1502 § 30-348.

1503 "Financial institution" means any bank, trust company, savings institution, industrial loan association,
1504 consumer finance company, credit union, broker-dealer as defined in subsection A of § 13.1-501, or
1505 investment company or advisor registered under the federal Investment Advisors Act or Investment
1506 Company Act of 1940.

1507 "Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item
1508 having monetary value. It includes services as well as gifts of transportation, ~~local travel~~, lodgings and
1509 meals, whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the
1510 expense has been incurred. "Gift" ~~shall~~ does not include (i) any offer of a ticket, coupon, or other
1511 admission or pass unless the ticket, coupon, admission, or pass is used: "Gift" ~~shall not include~~; (ii)
1512 honorary degrees ~~and presents~~; (iii) any athletic, merit, or need-based scholarship or any other financial
1513 aid awarded by a public or private school, institution of higher education, or other educational program
1514 pursuant to such school, institution, or program's financial aid standards and procedures applicable to
1515 the general public; (iv) a campaign contribution properly received and reported pursuant to Chapter 9.3
1516 (§ 24.2-945 et seq.) of Title 24.2; (v) any gift related to the private profession or occupation of a
1517 legislator or of a member of his immediate family; or (vi) gifts from relatives or personal friends. For
1518 the purpose of this definition, "relative" means the donee's spouse, child, uncle, aunt, niece, or nephew;
1519 a person to whom the donee is engaged to be married; the donee's or his spouse's parent, grandparent,
1520 grandchild, brother, or sister; or the donee's brother's or sister's spouse. For the purpose of this
1521 definition, "personal friend" does not include any person that the filer knows or has reason to know is
1522 (a) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4 of Title 2.2; (b) a
1523 lobbyist's principal as defined in § 2.2-419; or (c) a person, organization, or business who is a party to
1524 or is seeking to become a party to a contract with the Commonwealth. For purposes of this definition,
1525 "person, organization, or business" includes individuals who are officers, directors, or owners of or who
1526 have a controlling ownership interest in such organization or business.

1527 "Governmental agency" means each component part of the legislative, executive or judicial branches
1528 of state and local government, including each office, department, authority, post, commission,
1529 committee, and each institution or board created by law to exercise some regulatory or sovereign power
1530 or duty as distinguished from purely advisory powers or duties.

1531 "Immediate family" means (i) a spouse and, (ii) any other person residing child who resides in the
1532 same household as the legislator, and who is a dependent of the legislator ~~or of whom the legislator is a~~
1533 dependent. "Dependent" means a son, daughter, father, mother, brother, sister or other person, whether
1534 or not related by blood or marriage, if such person receives from the legislator, or provides to the
1535 legislator, more than one-half of his financial support.

1536 "Legislator" means a member of the General Assembly.

1537 "Personal interest" means a financial benefit or liability accruing to a legislator or to a member of his
1538 immediate family. Such interest shall exist by reason of (i) ownership in a business if the ownership
1539 interest exceeds three percent of the total equity of the business; (ii) annual income that exceeds, or may
1540 reasonably be anticipated to exceed, ~~\$10,000~~ \$5,000 from ownership in real or personal property or a
1541 business; (iii) salary, other compensation, fringe benefits, or benefits from the use of property, or any
1542 combination thereof, paid or provided by a business or governmental agency that exceeds, or may
1543 reasonably be anticipated to exceed, ~~\$10,000~~ \$5,000 annually; (iv) ownership of real or personal
1544 property if the interest exceeds ~~\$10,000~~ \$5,000 in value and excluding ownership in a business, income,
1545 or salary, other compensation, fringe benefits or benefits from the use of property; ~~or~~ (v) personal
1546 liability incurred or assumed on behalf of a business if the liability exceeds three percent of the asset
1547 value of the business; or (vi) an option for ownership of a business or real or personal property if the
1548 ownership interest will consist of clause (i) or (iv).

1549 "Personal interest in a contract" means a personal interest ~~which~~ that a legislator has in a contract
1550 with a governmental agency, whether due to his being a party to the contract or due to a personal
1551 interest in a business ~~which~~ that is a party to the contract.

"Personal interest in a transaction" means a personal interest of a legislator in any matter considered by the General Assembly. Such personal interest exists when an officer or employee or a member of his immediate family has a personal interest in property or a business, or represents or provides services to any individual or business and such property, business or represented or served individual or business (i) is the subject of the transaction or (ii) may realize a reasonably foreseeable direct or indirect benefit or detriment as a result of the action of the agency considering the transaction. A "personal interest in a transaction" exists only if the legislator or member of his immediate family or an individual or business represented or served by the legislator is affected in a way that is substantially different from the general public or from persons comprising a profession, occupation, trade, business or other comparable and generally recognizable class or group of which he or the individual or business he represents or serves is a member.

"Transaction" means any matter considered by the General Assembly, whether in a committee, subcommittee, or other entity of the General Assembly or before the General Assembly itself, on which official action is taken or contemplated.

§ 30-103.1. Certain gifts prohibited.

A. For purposes of this section:

"Intangible gift" means a thing of temporary value or a thing that upon the happening of a certain event or expiration of a given date loses its value. "Intangible gift" includes entertainment, hospitality, a ticket, admission, or pass, transportation, lodgings, and meals that are reportable on Schedule E of the disclosure form prescribed in § 2.2-3117.

"Tangible gift" means a thing of value that does not lose its value upon the happening of a certain event or expiration of a given date. "Tangible gift" includes currency, negotiable instruments, securities, stock options, or other financial instruments that are reportable on Schedule E of the disclosure form prescribed in § 2.2-3117. "Tangible gift" does not include payments or reimbursements received for any intangible gift.

B. A legislator or candidate for the General Assembly required to file the disclosure form prescribed in § 30-111 (i) shall not solicit, accept, or receive within any calendar year any single tangible gift with a value in excess of \$250 or a combination of tangible gifts with an aggregate value in excess of \$250 from any person that he knows or has reason to know is (a) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4 of Title 2.2; (b) a lobbyist's principal as defined in § 2.2-419; or (c) a person, organization, or business who is a party to or is seeking to become a party to a contract with the Commonwealth; (ii) shall report any tangible gift with a value of \$250 or less or any intangible gift received from any person listed in clause (i) on Schedule E of such disclosure form; and (iii) shall report any payments for talks, meetings, and publications on Schedule D-1 of such disclosure form. For purposes of this subsection, "person, organization, or business" includes individuals who are officers, directors, or owners of or who have a controlling ownership interest in such organization or business.

C. The \$250 limitation imposed in accordance with this section shall be adjusted by the Council every five years, as of January 1 of that year, in an amount equal to the annual increases for that five-year period in the United States Average Consumer Price Index for all items, all urban consumers (CPI-U), as published by the Bureau of Labor Statistics of the U.S. Department of Labor, rounded to the nearest whole dollar.

§ 30-110. Disclosure.

A. Every legislator and legislator-elect shall file, as a condition to assuming office, a disclosure statement of his personal interests and such other information as is specified on the form set forth in § 30-111 and thereafter shall file such a statement ~~annually on or before January 8~~ *semiannually by December 15 for the preceding six-month period complete through the last day of October and by June 15 for the preceding six-month period complete through the last day of April.* When the filing deadline falls on a Saturday, Sunday, or legal holiday, the disclosure statement shall be filed on the next day that is not a Saturday, Sunday, or legal holiday. Disclosure forms shall be provided by the clerk of the appropriate house to each legislator and legislator-elect not later than November 30 of each year *Virginia Conflict of Interest and Ethics Advisory Council at least 30 days prior to the filing deadline.* Members of the Senate shall file their disclosure forms with the Clerk of the Senate and members of the House of Delegates shall file their disclosure forms with the Clerk of the House of Delegates *Virginia Conflict of Interest and Ethics Advisory Council.* The disclosure forms of the members of the General Assembly shall be maintained as public records for five years in the office of the clerk of the appropriate house *Virginia Conflict of Interest and Ethics Advisory Council.*

B. Candidates for the General Assembly shall file a disclosure statement of their personal interests as required by §§ 24.2-500 through 24.2-503.

C. Any legislator who has a personal interest in any transaction pending before the General Assembly and who is disqualified from participating in that transaction pursuant to § 30-108 and the rules of his house shall disclose his interest in accordance with the applicable rule of his house.

1613 **§ 30-111. Disclosure form.**

1614 A. The disclosure form to be used for filings required by subsections A and B of § 30-110 shall be
1615 substantially as follows:

1616 STATEMENT OF ECONOMIC INTERESTS.

1617 Name

1618 Office or position held or sought

1619 ~~Home address~~ Address

1620 Names of members of immediate family

1621 **DEFINITIONS AND EXPLANATORY MATERIAL.**

1622 "Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise,
1623 association, trust or foundation, or any other individual or entity carrying on a business or profession,
1624 whether or not for profit.

1625 "Close financial association" means an association in which the filer shares significant financial
1626 involvement with an individual and the filer would reasonably be expected to be aware of the
1627 individual's business activities and would have access to the necessary records either directly or through
1628 the individual. "Close financial association" does not mean an association based on (i) the receipt of
1629 retirement benefits or deferred compensation from a business by which the legislator is no longer
1630 employed, or (ii) the receipt of compensation for work performed by the legislator as an independent
1631 contractor of a business that represents an entity before any state governmental agency when the
1632 legislator has had no communications with the state governmental agency.

1633 "Contingent liability" means a liability that is not presently fixed or determined, but may become
1634 fixed or determined in the future with the occurrence of some certain event.

1635 "Dependent" means any person, whether or not related by blood or marriage, who receives from the
1636 legislator, or provides to the legislator, more than one-half of his financial support.

1637 "Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item
1638 having monetary value. It includes services as well as gifts of transportation, local travel, lodgings and
1639 meals, whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the
1640 expense has been incurred. "Gift" shall not include (i) any offer of a ticket, coupon, or other
1641 admission or pass unless the ticket, coupon, admission, or pass is used; "Gift" shall not include; (ii)
1642 honorary degrees and presents; (iii) any athletic, merit, or need-based scholarship or any other financial
1643 aid awarded by a public or private school, institution of higher education, or other educational program
1644 pursuant to such school, institution, or program's financial aid standards and procedures applicable to
1645 the general public; (iv) a campaign contribution properly received and reported pursuant to Chapter 9.3
1646 (§ 24.2-945 et seq.) of Title 24.2; (v) any gift related to the private profession or occupation of a
1647 legislator or of a member of his immediate family; or (vi) gifts from relatives or personal friends.

1648 "Relative" means the donee's spouse, child, uncle, aunt, niece, or nephew; a person to whom the donee
1649 is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother, or sister;
1650 or the donee's brother's or sister's spouse. "Personal friend" does not include any person that the filer
1651 knows or has reason to know is (a) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of
1652 Chapter 4 of Title 2.2; (b) a lobbyist's principal as defined in § 2.2-419; or (c) a person, organization,
1653 or business who is a party to or is seeking to become a party to a contract with the Commonwealth.
1654 "Person, organization, or business" includes individuals who are officers, directors, or owners of or who
1655 have a controlling ownership interest in such organization or business.

1656 "Immediate family" means (i) a spouse and, (ii) any other person residing child who resides in the
1657 same household as the legislator; and who is a dependent of the legislator or of whom the legislator is a
1658 dependent.

1659 "Lobbyist relationship" means (i) an engagement, agreement, or representation that relates to legal
1660 services, consulting services, or public relations services, whether gratuitous or for compensation,
1661 between a member or member-elect and any person who is, or has been within the prior calendar year,
1662 registered as a lobbyist with the Secretary of the Commonwealth Virginia Conflict of Interest and Ethics
1663 Advisory Council, or (ii) a greater than three percent ownership interest by a member or member-elect in
1664 a business that employs, or engages as an independent contractor, any person who is, or has been within
1665 the prior calendar year, registered as a lobbyist with the Secretary of the Commonwealth Council. The
1666 disclosure of a lobbyist relationship shall not (i) (a) constitute a waiver of any attorney-client or other
1667 privilege, (ii) (b) require a waiver of any attorney-client or other privilege for a third party, or (iii) (c)
1668 be required where a member or member-elect is employed or engaged by a person and such person also
1669 employs or engages a person in a lobbyist relationship so long as the member or member-elect has no
1670 financial interest in the lobbyist relationship.

1671 **TRUST.** If you or your immediate family, separately or together, are the only beneficiaries of a trust,
1672 treat the trust's assets as if you own them directly. If you or your immediate family has a proportional
1673 interest in a trust, treat that proportion of the trust's assets as if you own them directly. For example, if

you and your immediate family have a one-third interest in a trust, complete your Statement as if you own one-third of each of the trust's assets. If you or a member of your immediate family created a trust and can revoke it without the beneficiaries' consent, treat its assets as if you own them directly.

REPORT TO THE BEST OF INFORMATION AND BELIEF. Information required on this Statement must be provided on the basis of the best knowledge, information and belief of the individual filing the Statement as of the date of this report unless otherwise stated.

COMPLETE ITEMS 1 THROUGH 11. REFER TO SCHEDULES ONLY IF DIRECTED.

You may attach additional explanatory information.

1. Offices and Directorships.

Are you or a member of your immediate family a paid officer or paid director of a business?

EITHER check NO / / OR check YES / / and complete Schedule A.

2. Personal Liabilities.

Do you or a member of your immediate family owe more than ~~\$10,000~~ \$5,000 to any one creditor including contingent liabilities? (Exclude debts to any government and loans secured by recorded liens on property at least equal in value to the loan.)

EITHER check NO / / OR check YES / / and complete Schedule B.

3. Securities.

Do you or a member of your immediate family, directly or indirectly, separately or together, own securities valued in excess of ~~\$10,000~~ \$5,000 invested in one business? Account for mutual funds, limited partnerships and trusts.

EITHER check NO / / OR check YES / / and complete Schedule C.

4. Payments for Talks, Meetings, and Publications.

During the past ~~12~~ six months did you receive *in your capacity as a legislator* lodging, transportation, money, or anything else of value with a combined value exceeding \$200 (i) for a single talk, meeting, or published work ~~in your capacity as a legislator~~ or (ii) for a meeting, conference, or event where your attendance at the meeting, conference, or event was designed to (a) educate you on issues relevant to your duties as a legislator, including issues faced by your constituents, or (b) enhance your knowledge and skills relative to your duties as a legislator? Do not include payments and reimbursements from the Commonwealth for meetings attended in your capacity as a legislator; see Question 11 and Schedule D2 to report such meetings.

EITHER check NO / / OR check YES / / and complete Schedule D.

5. Gifts.

During the past ~~12~~ six months did a business, government, or individual other than a relative or personal friend (i) furnish you *or a member of your immediate family* with any gift or entertainment at a single event, and the value received ~~by you~~ exceeded \$50 ~~in value~~ or (ii) furnish you *or a member of your immediate family* with gifts or entertainment in any combination and the total value received ~~by you~~ exceeded \$100 ~~in total value~~, and for which you *or the member of your immediate family* neither paid nor rendered services in exchange? Account for entertainment events only if the average value per person attending the event exceeded \$50 ~~in value~~. Account for all business entertainment (except if related to ~~your~~ the private profession or occupation of you or the member of your immediate family who received such business entertainment) even if unrelated to your official duties.

EITHER check NO / / OR check YES / / and complete Schedule E.

6. Salary and Wages.

List each employer that pays you or a member of your immediate family salary or wages in excess of ~~\$10,000~~ \$5,000 annually. (Exclude any salary received as a member of the General Assembly pursuant to § 30-19.11.)

If no reportable salary or wages, check here / /.

7. Business Interests and Lobbyist Relationships.

7A. Do you or a member of your immediate family, separately or together, operate your own business, or own or control an interest in excess of ~~\$10,000~~ \$5,000 in a business?

EITHER check NO / / OR check YES / / and complete Schedule F-1.

7B. Do you have a lobbyist relationship as that term is defined above?

EITHER check NO / / OR check YES / / and complete Schedule F-2.

8. Payments for Representation and Other Services.

8A. Did you represent any businesses before any state governmental agencies, excluding courts or judges, for which you received total compensation during the past ~~12~~ six months in excess of \$1,000, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers?

1735 EITHER check NO / / OR check YES / / and complete Schedule G-1.

1736 8B. Subject to the same exceptions as in 8A, did persons with whom you have a close financial
1737 association (partners, associates or others) represent any businesses before any state governmental agency
1738 for which total compensation was received during the past ~~12~~ six months in excess of \$1,000?

1739 EITHER check NO / / OR check YES / / and complete Schedule G-2.

1740 8C. Did you or persons with whom you have a close financial association furnish services to
1741 businesses operating in Virginia, pursuant to an agreement between you and such businesses, or between
1742 persons with whom you have a close financial association and such businesses for which total
1743 compensation in excess of \$1,000 was received during the past ~~12~~ six months? Services reported under
1744 this provision shall not include services involving the representation of businesses that are reported
1745 under question 8A or 8B above.

1746 EITHER check NO / / OR check YES / / and complete Schedule G-3.

1747 9. Real Estate.

1748 Do you or a member of your immediate family hold an interest, including a partnership interest,
1749 valued at ~~\$10,000~~ or more *than* \$5,000 in real property (other than your principal residence) for which
1750 you have not already listed the full address on Schedule F? Account for real estate held in trust.

1751 EITHER check NO / / OR check YES / / and complete Schedule H.

1752 10. Real Estate Contracts with State Governmental Agencies.

1753 Do you or a member of your immediate family hold an interest valued at more than ~~\$10,000~~ \$5,000
1754 in real estate, including a corporate, partnership, or trust interest, option, easement, or land contract,
1755 which real estate is the subject of a contract, whether pending or completed within the past ~~12~~ six
1756 months, with a state governmental agency?

1757 If the real estate contract provides for the leasing of the property to a state governmental agency, do
1758 you or a member of your immediate family hold an interest in the real estate, including a corporate,
1759 partnership, or trust interest, option, easement, or land contract valued at more than \$1,000? Account for
1760 all such contracts whether or not your interest is reported in Schedule F or H. This requirement to
1761 disclose an interest in a lease does not apply to an interest derived through an ownership interest in a
1762 business unless the ownership interest exceeds three percent of the total equity of the business.

1763 EITHER check NO / / OR check YES / / and complete Schedule I.

1764 11. Payments by the Commonwealth for Meetings.

1765 During the past ~~12~~ six months did you receive lodging, transportation, money, or anything else of
1766 value with a combined value exceeding \$200 from the Commonwealth for a single meeting attended
1767 out-of-state in your capacity as a legislator? Do not include reimbursements from the Commonwealth for
1768 meetings attended in the Commonwealth.

1769 EITHER check NO / / OR check YES / / and complete Schedule D-2.

1770 *For Statements filed in January 2016 and each two years thereafter, complete the following*
1771 *statement indicating whether you completed the ethics orientation sessions provided pursuant to law:*

1772 *I certify that I completed ethics training as required by § 30-129.1. YES / / or NO / /.*

1773 Statements of Economic Interests are open for public inspection.

1774 AFFIRMATION.

1775 In accordance with the rules of the house in which I serve, if I receive a request that this disclosure
1776 statement be corrected, augmented, or revised in any respect, I hereby pledge that I shall respond
1777 promptly to the request. I understand that if a determination is made that the statement is insufficient, I
1778 will satisfy such request or be subjected to disciplinary action of my house.

1779 I swear or affirm that the foregoing information is full, true and correct to the best of my knowledge.

1780 Signature _____ (Such signature shall be deemed to constitute a
1781 valid notarization and shall have the same effect as if performed by a notary public.)

1782 Commonwealth of Virginia

1783 _____ of _____ to wit:

1784 ~~The foregoing disclosure form was acknowledged before me~~

1785 ~~This _____ day of _____, 20____, by _____~~

1786 ~~_____~~ Notary Public

1787 ~~My commission expires _____~~

1788 (Return only if needed to complete Statement.)

1789 SCHEDULES

1790 to

1791 STATEMENT OF ECONOMIC INTERESTS.

1792 NAME _____

1793 SCHEDULE A - OFFICES AND DIRECTORSHIPS.

1794 Identify each business of which you or a member of your immediate family is a paid officer or paid
1795 director.

Name of Business	Address of Business	Position Held <i>and by Whom</i>

RETURN TO ITEM 2

SCHEDULE B - PERSONAL LIABILITIES.

Report personal liability by checking each category. Report only debts in excess of ~~\$10,000~~ \$5,000. Do not report debts to any government. Do not report loans secured by recorded liens on property at least equal in value to the loan.

Report contingent liabilities below and indicate which debts are contingent.

1. My personal debts are as follows:

Check appropriate categories	Check one	
	\$10,001 \$5,001 to \$50,000	More than \$50,000
Banks		
Savings institutions		
Other loan or finance companies		
Insurance companies		
Stock, commodity or other brokerage companies		
Other businesses:		
(State principal business activity for each creditor <i>and its name</i> .)		
Individual creditors:		
(State principal business or occupation of each creditor <i>and its name</i> .)		

2. The personal debts of the members of my immediate family are as follows:

Check appropriate categories	Check one	
	\$10,001 \$5,001 to \$50,000	More than \$50,000
Banks		
Savings institutions		
Other loan or finance companies		
Insurance companies		
Stock, commodity or other brokerage companies		
Other businesses:		
(State principal business activity for each creditor <i>and its name</i> .)		

1853	_____	_____	_____
1854	_____	_____	_____
1855	Individual creditors:		
1856	(State principal business or occupation of		
1857	each creditor <i>and its name.</i>)		
1858	_____	_____	_____
1859	_____	_____	_____
1860	_____	_____	_____
1861	_____	_____	_____

RETURN TO ITEM 3

SCHEDULE C - SECURITIES.

"Securities" INCLUDES stocks, bonds, mutual funds, limited partnerships, and commodity futures contracts. "Securities" EXCLUDES certificates of deposit, money market funds, annuity contracts, and insurance policies.

Identify each business or Virginia governmental entity in which you or a member of your immediate family, directly or indirectly, separately or together, own securities valued in excess of ~~\$10,000~~ \$5,000. Name each ~~entity~~ issuer and type of security individually.

Do not list U.S. Bonds or other government securities not issued by the Commonwealth of Virginia or its authorities, agencies, or local governments. Do not list organizations that do not do business in this Commonwealth, but most major businesses conduct business in Virginia. Account for securities held in trust.

If no reportable securities, check here / /.

1876	_____				
1877	_____				
1878	Check one				
1879	\$10,001				
1880	\$5,001				
1881	Type of	Type of Security	\$5,001	\$50,001	More
1882	Name of Issuer	(stocks, bonds, mutual funds, etc.)	to	to	than
1883	_____	_____	\$50,000	\$250,000	\$250,000
1884	_____	_____	_____	_____	_____
1885	_____	_____	_____	_____	_____
1886	_____	_____	_____	_____	_____
1887	_____				

RETURN TO ITEM 4

SCHEDULE D-1 - PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.

List each source from which you received during the past ~~12~~ six months *in your capacity as a legislator* lodging, transportation, money, or any other thing of value ~~(excluding meals or drinks coincident with a meeting)~~ with a combined value exceeding \$200 (i) for your presentation of a single talk, participation in one meeting, or publication of a work ~~in your capacity as a legislator~~ or (ii) for your attendance at a meeting, conference, or event where your attendance at the meeting, conference, or event was designed to (a) educate you on issues relevant to your duties as a legislator, including issues faced by your constituents, or (b) enhance your knowledge and skills relative to your duties as a legislator. Any lodging, transportation, money, or other thing of value received by a legislator that does not satisfy the criteria of clause (i), (ii) (a), or (ii) (b) shall be listed as a gift on Schedule E. Do not list payments or reimbursements by the Commonwealth. (See Schedule D-2 for such payments or reimbursements.) List a payment even if you donated it to charity. Do not list information about a payment if you returned it within 60 days or if you received it from an employer already listed under Item 6 or from a source of income listed on Schedule F.

If no payment must be listed, check here / /.

1904	_____			
1905	_____			
1906	Type of Payment			
1907	(e.g., Honoraria,			
1908	Travel reimburse-			
1909	Payer	Approximate Value	Circumstances	ment, etc.)
1910	_____	_____	_____	_____
1911	_____	_____	_____	_____

RETURN TO ITEM 5

SCHEDULE D-2 - PAYMENTS BY THE COMMONWEALTH FOR MEETINGS.

List each meeting for which the Commonwealth provided payments or reimbursements during the past ~~12~~ six months to you for lodging, transportation, money, or any other thing of value (~~excluding meals or drinks coincident with a meeting~~) with a combined value exceeding \$200 for your participation in your capacity as a legislator. Do not list payments or reimbursements by the Commonwealth for meetings or travel within the Commonwealth.

If no payment must be listed, check here / /.

			Type of Payment (e.g., Travel reimbursement, etc.)
Payer	Approximate Value	Circumstances	

SCHEDULE E - GIFTS.

List each business, governmental entity, or individual that, during the past ~~12~~ six months, (i) furnished you *or a member of your immediate family* with any gift or entertainment at a single event, and the value received ~~by you~~ exceeded \$50; ~~in value~~ or (ii) furnished you *or a member of your immediate family* with gifts or entertainment in any combination and the *total* value received ~~by you~~ exceeded \$100 ~~in total value~~; and for which you *or the member of your immediate family* neither paid nor rendered services in exchange. List each such gift or event.

Do not list entertainment events unless the average value per person attending the event exceeded \$50 ~~in value~~. Do not list business entertainment related to ~~you~~ the private profession or occupation of *you or the member of your immediate family who received such business entertainment*. Do not list gifts or other things of value given by a relative or personal friend for reasons clearly unrelated to your public position. Do not list campaign contributions publicly reported as required by Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2 of the Code of Virginia.

	Name of Business, Organization, or Individual	City or County and State	Exact Gift or Event	Approximate Value
Name of Recipient				

RETURN TO ITEM 6

SCHEDULE F-1 - BUSINESS INTERESTS.

Complete this Schedule for each self-owned or family-owned business (including rental property, a farm, or consulting work), partnership, or corporation in which you or a member of your immediate family, separately or together, own an interest having a value in excess of ~~\$10,000~~ \$5,000.

If the enterprise is owned or operated under a trade, partnership, or corporate name, list that name; otherwise, merely explain the nature of the enterprise. If rental property is owned or operated under a trade, partnership, or corporate name, list the name only; otherwise, give the address of each property. Account for business interests held in trust.

Name of
Business
Corporation,

1971	Partnership,		Nature of	Gross income		
1972	Farm;		Enterprise			
1973	Address of	City or	(farming,		\$50,001	More
1974	Rental	County	law, rental	\$50,000	to	than
1975	Property	and State	property, etc.)	or less	\$250,000	\$250,000
1976						
1977						
1978						
1979						
1980						

RETURN TO ITEM 8

SCHEDULE F-2 - LOBBYIST RELATIONSHIPS AND PAYMENTS.

Complete this Schedule for each lobbyist relationship with the following:

(i) any person who is, or has been within the prior calendar year, registered as a lobbyist with the Secretary of the Commonwealth Virginia Conflict of Interest and Ethics Advisory Council, or

(ii) any business in which you have a greater than three percent ownership interest and that business employs, or engages as an independent contractor, any person who is, or has been within the prior calendar year, registered as a lobbyist with the of the Commonwealth Council.

1989					
1990					
1991	Payments to				
1992	Lobbyist				
1993	More than				
1994	List each person	Describe each	Dates of	\$10,000	\$10,001
1995	or business	relationship	relationship	or less	\$10,000
1996					
1997					
1998					
1999					
2000					
2001					

THE DISCLOSURE OF A LOBBYIST RELATIONSHIP SHALL NOT (I) CONSTITUTE A WAIVER OF ANY ATTORNEY-CLIENT OR OTHER PRIVILEGE, (II) REQUIRE A WAIVER OF ANY ATTORNEY-CLIENT OR OTHER PRIVILEGE FOR A THIRD PARTY, OR (III) BE REQUIRED WHERE A MEMBER OR MEMBER-ELECT IS EMPLOYED OR ENGAGED BY A PERSON AND SUCH PERSON ALSO EMPLOYS OR ENGAGES A PERSON IN A LOBBYIST RELATIONSHIP SO LONG AS THE MEMBER OR MEMBER-ELECT HAS NO FINANCIAL INTEREST IN THE LOBBYIST RELATIONSHIP.

SCHEDULE G-1 - PAYMENTS FOR REPRESENTATION BY YOU.

List the businesses you represented before any state governmental agency, excluding any court or judge, for which you received total compensation during the past 12 *six* months in excess of \$1,000, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers filed by you.

Identify each business, the nature of the representation and the amount received by dollar category from each such business. You may state the type, rather than name, of the business if you are required by law not to reveal the name of the business represented by you.

[illegible]

SCHEDULE G-2 - PAYMENTS FOR REPRESENTATION BY ASSOCIATES.

Identify such businesses by type and also name the state governmental agencies before which such person appeared on behalf of such businesses.

Name of State Governmental Agency

Indicate below types of businesses that operate in Virginia to which services were furnished by you or persons with whom you have a close financial association pursuant to an agreement between you and such businesses, or between persons with whom you have a close financial association and such businesses and for which total compensation in excess of \$1,000 was received during the past ~~12~~ *six* months. Services reported in this Schedule shall not include services involving the representation of businesses that are reported in Schedule G-1 or G-2 above.

Identify opposite each category of businesses listed below (i) the type of business, (ii) the type of service rendered and (iii) the value by dollar category of the compensation received for all businesses falling within each category.

	Check if ser- vices were ren- dered	Type of ser- vice ren- dered	\$1,001 to \$10,000	\$10,001 to \$50,000	\$50,001 to \$100,000	\$100,001 to \$250,000	\$250,001 and over
Electric utilities							
Gas utilities							
Telephone utilities							
Water utilities							
Cable television companies							
Interstate transportation companies							
Intrastate transportation companies							
Oil or gas retail companies							
Banks							
Savings institutions							
Loan or finance companies							

2087	Manufacturing						
2088	companies (state						
2089	type of product,						
2090	e.g., textile,						
2091	furniture, etc.)						
2092	Mining companies						
2093	Life insurance						
2094	companies						
2095	Casualty insurance						
2096	companies						
2097	Other insurance						
2098	companies						
2099	Retail companies						
2100	Beer, wine or						
2101	liquor companies						
2102	or distributors						
2103	Trade associations						
2104	Professional						
2105	associations						
2106	Associations of						
2107	public employees						
2108	or officials						
2109	Counties, cities						
2110	or towns						
2111	Labor organizations						
2112	Other						

2113
2114 RETURN TO ITEM 9

2115 SCHEDULE H - REAL ESTATE.

2116 List real estate other than your principal residence in which you or a member of your immediate
2117 family holds an interest, including a partnership interest, option, easement, or land contract, valued at
2118 \$40,000 \$5,000 or more. Each parcel ~~must~~ *shall* be listed individually.

2119			
2120			
2121		Describe the type of real	
2122		estate you own in each	
2123	List the location	location (business,	If the real estate is
2124	(state, and county	recreational, apartment,	owned or recorded in
2125	or city where you	commercial, open land,	a name other than your
2126	own real estate	etc.)	own, list that name
2127			
2128			
2129			
2130			
2131			
2132			

2133 RETURN TO ITEM 10

2134 SCHEDULE I - REAL ESTATE CONTRACTS WITH STATE GOVERNMENTAL AGENCIES.

2135 List all contracts, whether pending or completed within the past ~~42~~ *six* months, with a state
2136 governmental agency for the sale or exchange of real estate in which you or a member of your
2137 immediate family holds an interest, including a corporate, partnership or trust interest, option, easement,
2138 or land contract, valued at *more than* \$10,000 ~~or more~~. List all contracts with a state governmental
2139 agency for the lease of real estate in which you or a member of your immediate family holds such an
2140 interest valued at *more than* \$1,000 ~~or more~~. This requirement to disclose an interest in a lease does not
2141 apply to an interest derived through an ownership interest in a business unless the ownership interest
2142 exceeds three percent of the total equity of the business.

2143
2144

2145 List your real
 2146 estate interest and
 2147 the person or entity,
 2148 including the type of
 2149 entity, which is
 2150 party to the contract.
 2151 Describe any
 2152 management role and
 2153 the percentage
 2154 ownership interest
 2155 you or your immediate
 2156 family member has in
 2157 the real estate
 2158 or entity.

List each
 governmental agency
 which is a party to
 the contract and
 indicate the county
 or city where the
 real estate is located.

State the annual
 income from the
 contract, and the
 amount, if any, of
 income you or any
 immediate family
 member derives
 annually from
 the contract.

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2160			
2161			
2162			
2163			
2164			

2165 B. Any legislator who makes a knowing misstatement of a material fact on the Statement of
 2166 Economic Interests shall be subject to disciplinary action for such violations by the house in which the
 2167 legislator sits.

2168 C. ~~In accordance with the rules of each house, the~~ *The* Statement of Economic Interests of all
 2169 members of each house shall be reviewed *by the Council*. If a legislator's Statement is found to be
 2170 inadequate as filed, the legislator shall be notified in writing and directed to file an amended Statement
 2171 correcting the indicated deficiencies, and a time shall be set within which such amendment shall be
 2172 filed. If the Statement of Economic Interests, in either its original or amended form, is found to be
 2173 adequate as filed, the legislator's filing shall be deemed in full compliance with this section as to the
 2174 information disclosed thereon.

2175 D. Ten percent of the membership of a house, on the basis of newly discovered facts, may in writing
 2176 request the house in which those members sit, in accordance with the rules of that house, to review the
 2177 Statement of Economic Interests of another member of that house in order to determine the adequacy of
 2178 his filing. In accordance with the rules of each house, each Statement of Economic Interests shall be
 2179 promptly reviewed, the adequacy of the filing determined, and notice given in writing to the legislator
 2180 whose Statement is in issue. Should it be determined that the Statement requires correction,
 2181 augmentation or revision, the legislator involved shall be directed to make the changes required within
 2182 such time as shall be set under the rules of each house.

2183 If a legislator, after having been notified in writing in accordance with the rules of the house in
 2184 which he sits that his Statement is inadequate as filed, fails to amend his Statement so as to come into
 2185 compliance within the time limit set, he shall be subject to disciplinary action by the house in which he
 2186 sits. No legislator shall vote on any question relating to his own Statement.

2187 **§ 30-112. Senate and House Ethics Advisory Panels; membership; terms; quorum;**
 2188 **compensation and expenses.**

2189 A. The Senate Ethics Advisory Panel and the House Ethics Advisory Panel are established in the
 2190 legislative branch of state government. The provisions of §§ 30-112 through 30-119 shall be applicable
 2191 to each panel.

2192 B. The Senate Ethics Advisory Panel shall be composed of five nonlegislative citizen members: three
 2193 of whom shall be former members of the Senate; and two of whom shall be citizens of the
 2194 Commonwealth at large who have not previously held such office. All members of the Panel shall be
 2195 citizens of the Commonwealth. No member shall engage in activities requiring him to register as a
 2196 lobbyist under § 2.2-422 during his tenure on the Panel.

2197 The members shall be nominated by the Committee on Rules of the Senate and confirmed by the
 2198 Senate *by a majority vote of (i) the members present of the majority party and (ii) the members present*
 2199 *of the minority party*. After initial appointments, all appointments shall be for terms of four years each
 2200 except for unexpired terms. Nominations shall be made so as to assure bipartisan representation on the
 2201 Panel.

2202 C. The House Ethics Advisory Panel shall be composed of five nonlegislative citizen members: one
 2203 of whom shall be a retired justice or judge of a court of record; two of whom shall be former members
 2204 of the House of Delegates; and two of whom shall be citizens of the Commonwealth at large, at least

2205 one of whom shall not have previously held such office. All members of the Panel shall be citizens of
2206 the Commonwealth. No member shall engage in activities requiring him to register as a lobbyist under
2207 § 2.2-422 during his tenure on the Panel.

2208 The members shall be nominated by the Speaker of the House of Delegates and confirmed by the
2209 House of Delegates *by a majority vote of (i) the members present of the majority party and (ii) the*
2210 *members present of the minority party.* After initial appointments, all appointments shall be for terms of
2211 four years each except for unexpired terms. Nominations shall be made so as to assure bipartisan
2212 representation on the Panel.

2213 D. Each panel shall elect its own chairman and vice-chairman from among its membership.

2214 E. No member shall serve more than three successive four-year terms. Vacancies shall be filled only
2215 for the unexpired term. Vacancies shall be filled in the same manner as the original appointments. The
2216 remainder of any term to which a member is appointed to fill a vacancy shall not constitute a term in
2217 determining the member's eligibility for reappointment.

2218 F. Three members shall constitute a quorum on each panel. A vacancy shall not impair the right of
2219 the remaining members to exercise all powers of the Panel. Meetings of each panel shall be held at the
2220 call of the chairman or whenever the majority of the members so request.

2221 G. The members of each panel, while serving on the business of the Panel, are performing legislative
2222 duties and shall be entitled to the compensation and reimbursement of expenses to which members of
2223 the General Assembly are entitled when performing legislative duties pursuant to §§ 2.2-2813, 2.2-2825,
2224 and 30-19.12. Funding for the cost of compensation and expenses of the members of the Senate Ethics
2225 Advisory Panel shall be provided by the Office of the Clerk of the Senate and the funding for the cost
2226 of compensation and expenses of the House Ethics Advisory Panel shall be provided by the Office of
2227 the Clerk of the House of Delegates.

2228 **§ 30-114. Filing of complaints; procedures; disposition.**

2229 A. In response to the signed and sworn complaint of any citizen of the Commonwealth, which is
2230 subscribed by the maker as true under penalty of perjury, submitted to the Panel, the Panel shall inquire
2231 into any alleged violation of Articles 2 (§ 30-102 et seq.) through 5 (§ 30-109 et seq.) ~~of this chapter~~ by
2232 any member of the respective house of the General Assembly in his current term or his immediate prior
2233 term. Complaints shall be filed with the ~~Director of the Division of Legislative Services Virginia~~
2234 ~~Conflict of Interest and Ethics Advisory Council, who~~ which shall promptly (i) submit the complaint to
2235 the chairman of the appropriate Panel and (ii) forward a copy of the complaint to the legislator named
2236 in the complaint. The chairman shall promptly notify the Panel of the complaint. No complaint shall be
2237 filed with the Panel 60 or fewer days before a primary election or other nominating event or before a
2238 general election in which the cited legislator is running for office, and the Panel shall not accept or act
2239 on any complaint received during this period.

2240 B. The Panel shall determine, during its preliminary investigation, whether the facts stated in the
2241 complaint taken as true are sufficient to show a violation of Articles 2 (§ 30-102 et seq.) through 5
2242 (§ 30-109 et seq.) ~~of this chapter~~. If the facts, as stated in the complaint, fail to give rise to such a
2243 violation, then the Panel shall dismiss the complaint. If the facts, as stated in the complaint, give rise to
2244 such a violation, then the Panel shall request that the complainant appear and testify under oath as to the
2245 complaint and the allegations therein. After hearing the testimony and reviewing any other evidence
2246 provided by the complainant, the Panel shall dismiss the complaint if the Panel fails to find by a
2247 preponderance of the evidence that such violation has occurred. If the Panel finds otherwise, it shall
2248 proceed with the inquiry.

2249 C. If after such preliminary investigation, the Panel determines to proceed with an inquiry into the
2250 conduct of any legislator, the Panel (i) shall immediately notify in writing the individual who filed the
2251 complaint and the cited legislator as to the fact of the inquiry and the charges against the legislator and
2252 (ii) shall schedule one or more hearings on the matter. The legislator shall have the right to present
2253 evidence, cross-examine witnesses, face and examine the accuser, and be represented by counsel at any
2254 hearings. In its discretion, the Panel may grant the legislator any other rights or privileges not
2255 specifically enumerated in this subsection. Once the Panel has determined to proceed with an inquiry, its
2256 meetings and hearings shall be open to the public.

2257 D. Once the Panel determines to proceed with an inquiry into the conduct of any legislator, the Panel
2258 shall complete its investigations and dispose of the matter as provided in § 30-116 notwithstanding the
2259 resignation of the legislator during the course of the Panel's proceedings.

2260 **§ 30-117. Confidentiality of proceedings.**

2261 All proceedings during the investigation of any complaint by the Panel shall be confidential. This
2262 rule of confidentiality shall apply to Panel members and their staff ~~and~~, the Committee on Privileges and
2263 Elections and its staff, *and the Virginia Conflict of Interest and Ethics Advisory Council.*

2264 **§ 30-118. Staff for Panel.**

2265 The Panel may hire staff and outside counsel to assist the Panel and to conduct examinations of
2266 witnesses, subject to the approval of the President Pro Tempore of the Senate for the Senate Ethics

Advisory Panel and subject to the approval of the Speaker of the House of Delegates for the House Ethics Advisory Panel. The Panel may have the Director of the Division of Legislative Services, and such additional staff as he may assign, assist the Panel during its preliminary investigation and during its proceedings.

§ 30-124. Advisory opinions.

A legislator shall not be prosecuted or disciplined for a violation of this chapter if his alleged violation resulted from his good faith reliance on a written opinion of a committee on standards of conduct established pursuant to § 30-120, ~~or~~ an opinion of the Attorney General as provided in § 30-122, or a formal opinion of the Virginia Conflict of Interest and Ethics Advisory Council established pursuant to § 30-348, and the opinion was made after his full disclosure of the facts.

Article 6.

Ethics Orientation Sessions.

§ 30-129.1. Orientation sessions on ethics and conflicts of interests.

The Virginia Conflict of Interest and Ethics Advisory Council shall conduct an orientation session (i) for new and returning General Assembly members preceding each even-numbered year regular session and (ii) for any new General Assembly member who is elected in a special election and whose term commences after the date of the orientation session provided for in clause (i) and at least six months before the date of the next such orientation session within three months of his election. Attendance at the full orientation session shall be mandatory for newly elected members. Attendance at a refresher session lasting at least two hours shall be mandatory for returning members and may be accomplished by online participation. There shall be no penalty for the failure of a member to attend the full or refresher orientation session, but the member must disclose his attendance pursuant to § 30-111.

§ 30-129.2. Content of orientation sessions.

The orientation session shall provide information and training for the members on ethics and conflicts of interests, on the provisions of the General Assembly Conflicts of Interests Act (§ 30-100 et seq.), on relevant federal law provisions, and on related issues involving lobbying. Refresher sessions may be offered online.

§ 30-129.3. Orientation session preparations.

Those conducting the orientation sessions may call on other agencies in the legislative or executive branches for assistance, may invite experts to assist in the sessions, and shall, upon request of a member who holds a professional license or certification, apply for continuing education credits with the appropriate licensing or certifying entity for the sessions.

CHAPTER 55.

VIRGINIA CONFLICT OF INTEREST AND ETHICS ADVISORY COUNCIL.

§ 30-348. Virginia Conflict of Interest and Ethics Advisory Council; membership; terms; quorum; expenses.

A. The Virginia Conflict of Interest and Ethics Advisory Council (the Council) is hereby created as an advisory council in the legislative branch to encourage and facilitate compliance with the State and Local Government Conflict of Interests Act (§ 2.2-3100 et seq.) and the General Assembly Conflicts of Interests Act (§ 30-100 et seq.) (hereafter the Acts) and the lobbying laws in Article 3 (§ 2.2-418 et seq.) of Chapter 4 of Title 2.2 (hereafter Article 3).

B. The Council shall consist of 15 members as follows: four members appointed by the Speaker of the House of Delegates, one of whom shall be a member of the House of Delegates, one of whom shall be a former member of the House of Delegates, and two of whom shall be nonlegislative citizen members; four members appointed by the Senate Committee on Rules, one of whom shall be a member of the Senate, one of whom shall be a former member of the Senate, and two of whom shall be nonlegislative citizen members; four members appointed by the Governor, two of whom shall be executive branch employees and two of whom shall be nonlegislative citizen members; one member designated by the Attorney General; one member appointed by the Senate Committee on Rules from a list of three nominees submitted by the Virginia Association of Counties; and one member appointed by the Speaker of the House of Delegates from a list of three nominees submitted by the Virginia Municipal League. All members of the Council are subject to confirmation by the General Assembly by a majority vote in each house of (i) the members present of the majority party and (ii) the members present of the minority party.

C. All appointments following the initial staggering of terms shall be for terms of four years, except that appointments to fill vacancies shall be for the unexpired terms in the same manner as the original appointment. No nonlegislative citizen member shall be eligible to serve for more than two successive four-year terms. However, after the expiration of a term of three years or less, or after the expiration of the remainder of a term to which appointed to fill a vacancy, two additional terms may be served by such member if appointed thereto. Legislative members and other state government officials shall serve terms coincident with their terms of office. Legislative members may be reappointed for successive

2328 terms.

2329 *D. The members of the Council shall elect from among their membership a chairman and a*
2330 *vice-chairman for two-year terms. The chairman and vice-chairman may not succeed themselves to the*
2331 *same position. The Council shall hold meetings quarterly or upon the call of the chairman. A majority*
2332 *of the Council shall constitute a quorum.*

2333 *E. Members of the Council shall receive no compensation for their services but shall be reimbursed*
2334 *for all reasonable and necessary expenses incurred in the performance of their duties as provided in*
2335 *§§ 2.2-2813, 2.2-2825, and 30-19.12, as appropriate. Funding for expenses of the members shall be*
2336 *provided from existing appropriations to the Council.*

2337 **§ 30-349. Powers and duties of the Council.**

2338 *The Council shall:*

2339 *1. Review all disclosure forms filed by lobbyists pursuant to Article 3 and by state and local*
2340 *government officers and employees and legislators pursuant to the Acts. The Council shall review all*
2341 *disclosure forms for completeness, which shall include reviewing the information contained on the face*
2342 *of the form to determine if the disclosure form has been fully completed and comparing the disclosures*
2343 *contained in any disclosure form filed by a lobbyist pursuant to § 2.2-426 with other disclosure forms*
2344 *filed with the Council, and be followed by requests for amendments to ensure the completeness of and*
2345 *correction of errors in the forms, if necessary;*

2346 *2. Accept any disclosure forms by computer or electronic means in accordance with the standards*
2347 *approved by the Council and using software meeting standards approved by it. The Council shall*
2348 *provide software to filers without charge and may prescribe the method of execution and certification of*
2349 *electronically filed forms, including the use of an electronic signature as authorized by the Uniform*
2350 *Electronic Transactions Act (§ 59.1-479 et seq.), and the procedures for receiving forms in the office of*
2351 *the Council;*

2352 *3. Beginning July 1, 2015, establish and maintain a searchable electronic database comprising*
2353 *disclosure forms filed pursuant to §§ 2.2-426, 2.2-3117, 2.2-3118, and 30-111. Such database shall be*
2354 *available to the public through the Council's official website;*

2355 *4. Furnish, upon request, formal advisory opinions or guidelines and other appropriate information,*
2356 *including informal advice, regarding ethics and conflicts issues arising under Article 3 or the Acts to*
2357 *any person or to any agency of state or local government, in an expeditious manner. Informal advice*
2358 *given by the Council is confidential, protected by the attorney-client privilege, and is excluded from the*
2359 *provisions of the Virginia Freedom of Information Act (§ 2.2-3700 et seq.);*

2360 *5. Conduct training seminars and educational programs for lobbyists, state and local government*
2361 *officers and employees and legislators, and other interested persons on the requirements of Article 3*
2362 *and the Acts and provide ethics orientation sessions for legislators in compliance with Article 6*
2363 *(§ 30-129.1 et seq.) of Chapter 13;*

2364 *6. Approve orientation courses conducted pursuant to § 2.2-3128 and, upon request, review the*
2365 *educational materials and approve any training or course on the requirements of Article 3 and the Acts*
2366 *conducted for state and local government officers and employees;*

2367 *7. Publish such educational materials as it deems appropriate on the provisions of Article 3 and the*
2368 *Acts;*

2369 *8. Review actions taken in the General Assembly with respect to the discipline of its members for the*
2370 *purpose of offering nonbinding advice;*

2371 *9. Request from any agency of state or local government such assistance, services, and information*
2372 *as will enable the Council to effectively carry out its responsibilities. Information provided to the*
2373 *Council by an agency of state or local government shall not be released to any other party unless*
2374 *authorized by such agency; and*

2375 *10. Report on or before December 1 of each year on its activities and findings regarding Article 3*
2376 *and the Acts, including recommendations for changes in the laws, to the General Assembly and the*
2377 *Governor. The annual report shall be submitted by the chairman as provided in the procedures of the*
2378 *Division of Legislative Automated Systems for the processing of legislative documents and reports and*
2379 *shall be published as a state document.*

2380 **§ 30-350. Staff.**

2381 *Staff assistance to the Council shall be provided by the Division of Legislative Services. Staff shall*
2382 *perform those duties assigned to it by the Council, including those duties enumerated in § 30-349.*

2383 **§ 30-351. Cooperation of agencies of state and local government.**

2384 *Every department, division, board, bureau, commission, authority, or political subdivision of the*
2385 *Commonwealth shall cooperate with, and provide such assistance to, the Council as the Council may*
2386 *request.*

2387 **2. That the initial terms of the nonlegislative citizen members and former legislative members of**
2388 **the Virginia Conflict of Interest and Ethics Advisory Council appointed pursuant to this act shall**
2389 **be staggered as follows: (i) two nonlegislative citizen members, one appointed by the Speaker of**

2390 the House of Delegates and one appointed by the Senate Committee on Rules, for a term of two
2391 years; (ii) two nonlegislative citizen members, one appointed by the Speaker of the House of
2392 Delegates and one appointed by the Governor, for a term of three years; (iii) two nonlegislative
2393 citizen members, one member appointed by the Senate Committee on Rules and one appointed by
2394 the Governor, and two formed legislative members, one appointed by the Speaker of the of the
2395 House of Delegates and one appointed by the Senate Committee on Rules, for a term of four
2396 years; and (iv) the designee of the Attorney General and the appointed representatives of the
2397 Virginia Association of Counties and Virginia Municipal League for a term of one year.
2398 Thereafter, the terms of members shall be for four years.

2399 3. That, if the General Assembly is not in session when initial appointments to the Virginia
2400 Conflict of Interest and Ethics Advisory Council are made, such initial appointments shall be
2401 confirmed at the next succeeding regular session of the General Assembly following such
2402 appointments and the Council may exercise all powers and perform all duties set forth in this act
2403 notwithstanding any provisions of this act requiring confirmation of members appointed to the
2404 Council by the General Assembly.

2405 4. That the Virginia Conflict of Interest and Ethics Advisory Council shall promulgate instructions
2406 for all filers required to file a disclosure form regarding how to complete and file such forms in
2407 accordance with provisions of this act.

2408 5. That the Virginia Conflict of Interest and Ethics Advisory Council shall review the current
2409 statutory disclosure forms located at §§ 2.2-426, 2.2-3117, 2.2-3118, and 30-111 of the Code of
2410 Virginia and promulgate revised forms consistent with the provisions of this act. The Council shall
2411 submit its proposed revised forms to the General Assembly on or before November 15, 2015.

2412 6. That the provisions of this act requiring the filing of disclosure forms with the Virginia Conflict
2413 of Interest and Ethics Advisory Council shall become effective on July 1, 2015, and the first of
2414 such disclosure forms filed with the Council shall be such disclosure forms required to be filed by
2415 December 15, 2015. All filers required to file a disclosure form for any filing period prior to
2416 December 15, 2015, shall file such form with the entity currently responsible for accepting such
2417 filings in the manner currently accepted by such entity.

2418 7. That the provisions of this act do not affect the requirement that each lobbyist required to file a
2419 report of expenditures pursuant to § 2.2-426 of the Code of Virginia shall file such report by July
2420 1, 2014, for the preceding 12-month period complete through the last day of April and shall
2421 thereafter follow the semiannual reporting schedule set forth in § 2.2-426.

2422 8. That the provisions of this act may result in a net increase in periods of imprisonment or
2423 commitment. Pursuant to § 30-19.1:4, the estimated amount of the necessary appropriation is \$0
2424 for periods of imprisonment in state adult correctional facilities and is \$0 for periods of
2425 commitment to the custody of the Department of Juvenile Justice.