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HOUSE BILL NO. 1211

Offered January 17, 2014

A *BILL to amend and reenact §§ 2.2-419, 2.2-423, 2.2-426, 2.2-428, 2.2-3101, 2.2-3104, 2.2-3114, 2.2-3115, 2.2-3117, 2.2-3118.1, 2.2-3121, 30-101, 30-110, 30-111, 30-114, 30-117, 30-118, and 30-124 of the Code of Virginia and to amend the Code of Virginia by adding a section numbered 2.2-3103.1, by adding in Article 2 of Chapter 13 of Title 30 a section numbered 30-103.1, by adding in Chapter 13 of Title 30 an article numbered 6, consisting of sections numbered 30-129.1, 30-129.2, and 30-129.3, and by adding in Title 30 a chapter numbered 55, consisting of sections numbered 30-348 through 30-351, relating to the State and Local Government Conflict of Interests Act and General Assembly Conflicts of Interests Act; establishing the Virginia Conflict of Interest and Ethics Advisory Council.*

Patrons—Gilbert, Albo, McClellan, Toscano, Anderson, Austin, Bell, Richard P., Bell, Robert B., Berg, Byron, Campbell, Comstock, Cox, Davis, Fariss, Fowler, Greason, Habeeb, Head, Helsel, Herring, Hodges, Ingram, Jones, Keam, Knight, Landes, LaRock, Leftwich, Lingamfelter, Loupassi, Minchew, Morris, O'Bannon, O'Quinn, Orrock, Ramadan, Ransone, Robinson, Rush, Rust, Stolle, Torian, Wilt, Wright, Yancey and Yost

Referred to Committee for Courts of Justice

Be it enacted by the General Assembly of Virginia:

1. That §§ 2.2-419, 2.2-423, 2.2-426, 2.2-428, 2.2-3101, 2.2-3104, 2.2-3114, 2.2-3115, 2.2-3117, 2.2-3118.1, 2.2-3121, 30-101, 30-110, 30-111, 30-114, 30-117, 30-118, and 30-124 of the Code of Virginia are amended and reenacted and that the Code of Virginia is amended by adding a section numbered 2.2-3103.1, by adding in Article 2 of Chapter 13 of Title 30 a section numbered 30-103.1, by adding in Chapter 13 of Title 30 an article numbered 6, consisting of sections numbered 30-129.1, 30-129.2, and 30-129.3, and by adding in Title 30 a chapter numbered 55, consisting of sections numbered 30-348 through 30-351, as follows:

§ 2.2-419. Definitions.

As used in this article, unless the context requires a different meaning:

"Anything of value" means:

1. A pecuniary item, including money, or a bank bill or note;
 2. A promissory note, bill of exchange, order, draft, warrant, check, or bond given for the payment of money;
 3. A contract, agreement, promise, or other obligation for an advance, conveyance, forgiveness of indebtedness, deposit, distribution, loan, payment, gift, pledge, or transfer of money;
 4. A stock, bond, note, or other investment interest in an entity;
 5. A receipt given for the payment of money or other property;
 6. A right in action;
 7. A gift, tangible good, chattel, or an interest in a gift, tangible good, or chattel;
 8. A loan or forgiveness of indebtedness;
 9. A work of art, antique, or collectible;
 10. An automobile or other means of personal transportation;
 11. Real property or an interest in real property, including title to realty, a fee simple or partial interest, present or future, contingent or vested within realty, a leasehold interest, or other beneficial interest in realty;
 12. An honorarium or compensation for services;
 13. A rebate or discount in the price of anything of value unless the rebate or discount is made in the ordinary course of business to a member of the public without regard to that person's status as an executive or legislative official, or the sale or trade of something for reasonable compensation that would ordinarily not be available to a member of the public;
 14. A promise or offer of employment; or
 15. Any other thing of value that is pecuniary or compensatory in value to a person.
- "Anything of value" does not mean a campaign contribution properly received and reported pursuant to Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2.
- "Compensation" means:
1. An advance, conveyance, forgiveness of indebtedness, deposit, distribution, loan, payment, gift, pledge, or transfer of money or anything of value; or
 2. A contract, agreement, promise or other obligation for an advance, conveyance, forgiveness of

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55 indebtedness, deposit, distribution, loan, payment, gift, pledge, or transfer of money or anything of
56 value, for services rendered or to be rendered.

57 "Compensation" does not mean reimbursement of expenses if the reimbursement does not exceed the
58 amount actually expended for the expenses and it is substantiated by an itemization of expenses.

59 "Executive action" means the proposal, drafting, development, consideration, amendment, adoption,
60 approval, promulgation, issuance, modification, rejection, or postponement by an executive agency or
61 official of legislation or executive orders issued by the Governor.

62 "Executive agency" means an agency, board, commission, or other body in the executive branch of
63 state government. "Executive agency" includes the State Corporation Commission, the Virginia Workers'
64 Compensation Commission, and the State Lottery Department.

65 "Executive official" means:

- 66 1. The Governor;
- 67 2. The Lieutenant Governor;
- 68 3. The Attorney General;
- 69 4. Any officer or employee of the office of the Governor or Lieutenant Governor other than a
70 clerical or secretarial employee;
- 71 5. The Governor's Secretaries, the Deputy Secretaries, and the chief executive officer of each
72 executive agency; or
- 73 6. Members of supervisory and policy boards, commissions and councils, as defined in § 2.2-2100,
74 however selected.

75 "Expenditure" means:

- 76 1. A purchase, payment, distribution, loan, forgiveness of a loan or payment of a loan by a third
77 party, advance, deposit, transfer of funds, a promise to make a payment, or a gift of money or anything
78 of value for any purpose;
- 79 2. A payment to a lobbyist for salary, fee, reimbursement for expenses, or other purpose by a person
80 employing, retaining, or contracting for the services of the lobbyist separately or jointly with other
81 persons;
- 82 3. A payment in support of or assistance to a lobbyist or the lobbyist's activities, including the direct
83 payment of expenses incurred at the request or suggestion of the lobbyist;
- 84 4. A payment that directly benefits an executive or legislative official or a member of the official's
85 immediate family;
- 86 5. A payment, including compensation, payment, or reimbursement for the services, time, or expenses
87 of an employee for or in connection with direct communication with an executive or legislative official;
- 88 6. A payment for or in connection with soliciting or urging other persons to enter into direct
89 communication with an executive or legislative official; or
- 90 7. A payment or reimbursement for categories of expenditures required to be reported pursuant to
91 this chapter.

92 "Expenditure" does not mean a campaign contribution properly received and reported pursuant to
93 Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2.

94 "Fair market value" means the price that a good or service would bring between a willing seller and
95 a willing buyer in the open market after negotiations. If the fair market value cannot be determined, the
96 actual price paid for the good or service shall be given consideration.

97 "Gift" means anything of value to the extent that a consideration of equal or greater value is not
98 received.

99 "Gift" does not mean:

- 100 1. Printed informational or promotional material;
- 101 2. A gift that is not used and, no later than sixty days after receipt, is returned to the donor or
102 delivered to a charitable organization and is not claimed as a charitable contribution for federal income
103 tax purposes;
- 104 3. A gift, devise, or inheritance from an individual's spouse, child, parent, grandparent, brother, sister,
105 parent-in-law, brother-in-law, sister-in-law, nephew, niece, aunt, uncle, or first cousin or the spouse of
106 that individual, if the donor is not acting as the agent or intermediary for someone other than a person
107 covered by this subdivision; or
- 108 4. A gift of a value of ~~\$25~~ \$50 or less.

109 "Immediate family" means (i) the spouse and (ii) any ~~other person~~ child who resides in the same
110 household as the executive or legislative official and is the dependent of the official.

111 "Legislative action" means:

- 112 1. Preparation, research, drafting, introduction, consideration, modification, amendment, approval,
113 passage, enactment, tabling, postponement, defeat, or rejection of a bill, resolution, amendment, motion,
114 report, nomination, appointment, or other matter by the General Assembly or a legislative official;
- 115 2. Action by the Governor in approving, vetoing, or recommending amendments for a bill passed by
116 the General Assembly; or

3. Action by the General Assembly in overriding or sustaining a veto by the Governor, considering amendments recommended by the Governor, or considering, confirming, or rejecting an appointment of the Governor.

"Legislative official" means:

1. A member or member-elect of the General Assembly;
2. A member of a committee, subcommittee, commission, or other entity established by and responsible to the General Assembly or either house of the General Assembly; or
3. Persons employed by the General Assembly or an entity established by and responsible to the General Assembly.

"Lobbying" means:

1. Influencing or attempting to influence executive or legislative action through oral or written communication with an executive or legislative official; or
2. Solicitation of others to influence an executive or legislative official.

"Lobbying" does not mean:

1. Requests for appointments, information on the status of pending executive and legislative actions, or other ministerial contacts if there is no attempt to influence executive or legislative actions;
2. Responses to published notices soliciting public comment submitted to the public official designated in the notice to receive the responses;
3. The solicitation of an association by its members to influence legislative or executive action; or
4. Communications between an association and its members and communications between a principal and its lobbyists.

"Lobbyist" means:

1. An individual who is employed and receives payments, or who contracts for economic consideration, including reimbursement for reasonable travel and living expenses, for the purpose of lobbying;
2. An individual who represents an organization, association, or other group for the purpose of lobbying; or
3. A local government employee who lobbies.

"Lobbyist's principal" or "principal" means the entity on whose behalf the lobbyist influences or attempts to influence executive or legislative action. An organization whose employees conduct lobbying activities on its behalf is both a principal and an employer of the lobbyists. In the case of a coalition or association that employs or retains others to conduct lobbying activities on behalf of its membership, the principal is the coalition or association and not its individual members.

"Local government" means:

1. Any county, city, town, or other local or regional political subdivision;
2. Any school division;
3. Any organization or entity that exercises governmental powers that is established pursuant to an interstate compact; or
4. Any organization composed of members representing entities listed in subdivisions 1, 2, or 3 of this definition.

"Local government employee" means a public employee of a local government.

"Person" means an individual, proprietorship, firm, partnership, joint venture, joint stock company, syndicate, business trust, estate, company, corporation, association, club, committee, organization, or group of persons acting in concert.

"Value" means the actual cost or fair market value of an item or items, whichever is greater. If the fair market value cannot be determined, the actual amount paid for the item or items shall be given consideration.

§ 2.2-423. Contents of registration statement.

A. The registration statement shall be on a form provided by the ~~Secretary of the Commonwealth~~ *Virginia Conflict of Interest and Ethics Advisory Council* and include the following information:

1. The name and business address and telephone number of the lobbyist;
2. The name and business address and telephone number of the person who will keep custody of the lobbyist's and the lobbyist's principal's accounts and records required to comply with this article, and the location and telephone number for the place where the accounts and records are kept;
3. The name and business address and telephone number of the lobbyist's principal;
4. The kind of business of the lobbyist's principal;
5. For each principal, the full name of the individual to whom the lobbyist reports;
6. For each principal, a statement whether the lobbyist is employed or retained and whether exclusively for the purpose of lobbying;
7. The position held by the lobbyist if he is a part-time or full-time employee of the principal;
8. The full name and business address and telephone number of each lobbyist employed by or

178 representing the lobbyist's principal;

179 9. An identification of the subject matter (with as much specificity as possible) with regard to which
180 the lobbyist or lobbyist's principal will engage in lobbying; and

181 10. The statement of the lobbyist, which shall be signed either originally or by electronic signature as
182 authorized by the Uniform Electronic Transactions Act (§ 59.1-479 et seq.), that the information
183 contained on the registration statement is true and correct; and

184 11. A statement by which a principal may elect to waive the principal signature requirement on
185 disclosure filings submitted by their registered lobbyist after the filing of the registration statement.

186 B. Whenever any change, modification or addition to his status as a lobbyist is made, the lobbyist
187 shall, within one week of such change, modification or addition, furnish full information regarding the
188 same to the ~~Secretary of the Commonwealth Council~~ on forms provided by the ~~Secretary Council~~.

189 C. The ~~Secretary of the Commonwealth Council~~ shall furnish a copy of this article to any individual
190 offering to register as a lobbyist and shall mail by certified mail a copy of this article and a copy of the
191 information furnished by the lobbyist to the person whom the lobbyist represents to be his principal.

192 D. If the principal to whom the information is sent under subsection C does not, within 10 days of
193 such mailing, file an affidavit, signed by the person or duly authorized agent of the person, denying that
194 the lobbyist appears on his behalf, such person shall be deemed to have appointed the ~~Secretary of the~~
195 ~~Commonwealth Virginia Conflict of Interest and Ethics Advisory Council~~ his agent for service of
196 process in any prosecution arising for violation of this article. If such affidavit is filed, the ~~Secretary~~
197 ~~Council~~ shall notify the attorney for the Commonwealth of the City of Richmond.

198 **§ 2.2-426. Lobbyist reporting; penalty.**

199 A. Each lobbyist shall file with the *Virginia Conflict of Interest and Ethics Advisory Council* a
200 separate ~~annual~~ *semiannual* report of expenditures, including gifts, for each principal for whom he
201 lobbies by ~~July~~ April 1 for the preceding ~~12-month~~ *six-month* period complete through ~~April 30~~ the last
202 day of February and by October 1 for the preceding *six-month* period complete through the last day of
203 August.

204 B. Each principal who expends more than \$500 to employ or compensate multiple lobbyists shall be
205 responsible for filing a consolidated lobbyist report pursuant to this section in any case in which the
206 lobbyists are each exempt under the provisions of subdivision 7 or 8 of § 2.2-420 from the reporting
207 requirements of this section.

208 C. The report shall be on a form provided by the ~~Secretary of the Commonwealth Virginia Conflict~~
209 ~~of Interest and Ethics Advisory Council~~, which shall be substantially as follows and shall be
210 accompanied by instructions provided by the ~~Secretary Council~~.

211 LOBBYIST'S DISCLOSURE STATEMENT

212 PART I:

- 213 (1) PRINCIPAL:
214 In Part I, item 2a, provide the name of the individual
215 authorizing your employment as a lobbyist. The lobbyist filing
216 this statement MAY NOT list his name in item 2a. THE INDIVIDUAL
217 LISTED IN PART I, ITEM 2A, MUST SIGN THE PRINCIPAL'S STATEMENT.
218 (2a) Name:
219 (2b) Permanent Business Address:
220 (2c) Business Telephone:
221 (3) Provide a list of executive and legislative actions (with as
222 much specificity as possible) for which you lobbied and a
223 description of activities conducted.
224
225
226
227 (4) INCORPORATED FILINGS: If you are filing an incorporated
228 disclosure statement, please complete the following:
229 Individual filing financial information:
230 Individuals to be included in the filing:
231
232 (5) Please indicate which schedules will be attached to your
233 disclosure statement:
234 [] Schedule A: Entertainment Expenses
235 [] Schedule B: Gifts
236 [] Schedule C: Other Expenses

- (6) EXPENDITURE TOTALS:
- | | |
|----------------------------------------------|----------|
| a) ENTERTAINMENT | \$ |
| b) GIFTS | \$ |
| c) OFFICE EXPENSES | \$ |
| d) COMMUNICATIONS | \$ |
| e) PERSONAL LIVING AND TRAVEL EXPENSES | \$ |
| f) COMPENSATION OF LOBBYISTS | \$ |
| g) HONORARIA | \$ |
| h) REGISTRATION COSTS | \$ |
| i) OTHER | \$ |
| TOTAL | \$ |
- PART II:
- (1a) NAME OF LOBBYIST:
- (1b) Permanent Business Address:
- (1c) Business Telephone:
- (2) As a lobbyist, you are (check one)
- ☐ EMPLOYED (on the payroll of the principal)
- ☐ RETAINED (not on the payroll of the principal, however compensated)
- ☐ NOT COMPENSATED (not compensated; expenses may be reimbursed)
- (3) List all lobbyists other than yourself who registered to represent your principal.
-
-
-
- (4) If you selected "EMPLOYED" as your answer to Part II, item 2, provide your job title.
-
- PLEASE NOTE: Some lobbyists are not individually compensated for lobbying activities. This may occur when several members of a firm represent a single principal. The principal, in turn, makes a single payment to the firm. If this describes your situation, do not answer Part II, items 5a and 5b. Instead, complete Part III, items 1 and 2.
- (5a) What was the DOLLAR AMOUNT OF YOUR COMPENSATION as a lobbyist? (If you have job responsibilities other than those involving lobbying, you may have to prorate to determine the part of your salary attributable to your lobbying activities.) Transfer your answer to this item to Part I, item 6f.
- (5b) Explain how you arrived at your answer to Part II, item 5a.
-
-
-
- PART III:
- PLEASE NOTE: If you answered Part II, items 5a and 5b, you WILL NOT complete this section.
- (1) List all members of your firm, organization, association, corporation, or other entity who furnished lobbying services to your principal.
-
-
-
- (2) Indicate the total amount paid to your firm, organization, association, corporation or other entity for services rendered. Transfer your answer to this item to Part I, item 6f.....

SCHEDULE A

ENTERTAINMENT EXPENSES

293 PLEASE NOTE: Any single entertainment event included in the expense
 294 totals of the principal, with a value greater than \$50, should be
 295 itemized below. Transfer any totals from this schedule to Part I,
 296 item 6a. (Please duplicate as needed.)
 297 Date and Location of Event:
 298
 299
 300 Description of Event:
 301
 302
 303 Total Number of Persons Attending:
 304
 305 Names of Legislative and Executive Officials Attending: (List names
 306 only if the average value for each person attending the event was
 307 greater than \$50.)
 308
 309
 310
 311
 312 Food \$
 313 Beverages \$
 314 Transportation of Legislative and Executive Officials \$
 315 Lodging of Legislative and Executive Officials \$
 316 Performers, Speakers, Etc. \$
 317 Displays \$
 318 Rentals \$
 319 Service Personnel \$
 320 Miscellaneous \$
 321 TOTAL \$

SCHEDULE B

GIFTS

324 PLEASE NOTE: Any single gift reported in the expense totals of the
 325 principal, with a value greater than \$50, should be itemized below.
 326 (Report meals, entertainment and travel under Schedule A.) Transfer
 327 any totals from this schedule to Part I, item 6b. (Please duplicate
 328 as needed.)

329	Name of each			
330	legislative or			
331	executive official			Cost of
332	Date	Description	who is a recipient	individual
333	of gift:	of gift:	of a gift:	gift:
334	\$
335	\$
336	\$
337	\$
338	TOTAL COST TO PRINCIPAL			\$

SCHEDULE C

OTHER EXPENSES

341 PLEASE NOTE: This section is provided for any lobbying-related
 342 expenses not covered in Part I, items 6a - 6h. An example of an
 343 expenditure to be listed on schedule C would be the rental of a
 344 bill box during the General Assembly session. Transfer the total
 345 from this schedule to Part I, item 6i. (Please duplicate as needed.)

346	DATE OF EXPENSE	DESCRIPTION OF EXPENSE	AMOUNT
347	\$
348	\$
349	\$

..... \$
 \$
 \$
 \$
 \$
 \$
 TOTAL "OTHER" EXPENSES \$

PART IV: STATEMENTS

Both the lobbyist and principal officer must sign the disclosure statement, attesting to its completeness and accuracy. The following items are mandatory and if they are not properly completed, the entire filing will be rejected and returned to the lobbyist:

- (1) All signatures on the statement must be ORIGINAL in the format specified in the instructions provided by the Secretary that accompany this form. No stamps, or other reproductions of the individual's signature will be accepted.
- (2) An individual MAY NOT sign the disclosure statement as lobbyist and principal officer.

STATEMENT OF LOBBYIST

I, the undersigned registered lobbyist, do state that the information furnished on this disclosure statement and on all accompanying attachments required to be made thereto is, to the best of my knowledge and belief, complete and accurate.

.....
Signature of lobbyist

.....
Date

STATEMENT OF PRINCIPAL

I, the undersigned principal (or an authorized official thereof), do state that the information furnished on this disclosure statement and on all accompanying attachments required to be made thereto is, to the best of my knowledge and belief, complete and accurate.

.....
Signature of principal

.....
Date

D. A person who signs the disclosure statement knowing it to contain a material misstatement of fact shall be *is* guilty of a Class 5 felony.

E. Each lobbyist shall send to each legislative and executive official who is required to be identified by name on Schedule A or B of the Lobbyist's Disclosure Form a copy of Schedule A or B or a summary of the information pertaining to that official. Copies or summaries shall be provided to the official by ~~December 15~~ *March 10* for the preceding ~~12-month~~ *six-month* period complete through ~~November 30~~ *the last day of February and by September 10 for the preceding six-month period complete through the last day of August.*

§ 2.2-428. Standards for automated preparation and transmittal of lobbyists' disclosure statements; database.

A. The ~~Secretary~~ *Virginia Conflict of Interest and Ethics Advisory Council* shall accept any lobbyist's disclosure statement required by § 2.2-426 filed by computer or electronic means in accordance with the standards approved by the ~~Secretary Council~~ and using software meeting standards approved by the ~~Secretary Council~~. The ~~Secretary Council~~ may provide software to filers without charge or at a reasonable cost. The ~~Secretary Council~~ may prescribe the method of execution and certification of electronically filed statements and the procedures for receiving statements in the office of the ~~Secretary Council~~.

B. The ~~Secretary Council~~ shall establish a lobbyist disclosure database, available to the public, from required disclosure statements filed electronically and may enter into that database information from required disclosure statements filed by other methods.

§ 2.2-3101. Definitions.

As used in this chapter:

"Advisory agency" means any board, commission, committee or post which does not exercise any sovereign power or duty, but is appointed by a governmental agency or officer or is created by law for the purpose of making studies or recommendations, or advising or consulting with a governmental agency.

"Affiliated business entity relationship" means a relationship, other than a parent-subsidary relationship, that exists when (i) one business entity has a controlling ownership interest in the other business entity, (ii) a controlling owner in one entity is also a controlling owner in the other entity, or (iii) there is shared management or control between the business entities. Factors that may be considered in determining the existence of an affiliated business entity relationship include that the same person or substantially the same person owns or manages the two entities, there are common or commingled funds or assets, the business entities share the use of the same offices or employees, or otherwise share activities, resources or personnel on a regular basis, or there is otherwise a close working relationship between the entities.

"Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise, association, trust or foundation, or any other individual or entity carrying on a business or profession, whether or not for profit.

"Contract" means any agreement to which a governmental agency is a party, or any agreement on behalf of a governmental agency that involves the payment of money appropriated by the General Assembly or political subdivision, whether or not such agreement is executed in the name of the Commonwealth, or some political subdivision thereof. "Contract" includes a subcontract only when the contract of which it is a part is with the officer's or employee's own governmental agency.

"Council" means the Virginia Conflict of Interest and Ethics Advisory Council established in § 30-348.

"Dependent" means a son, daughter, father, mother, brother, sister or other person, whether or not related by blood or marriage, if such person receives from the officer or employee, or provides to the officer or employee, more than one-half of his financial support.

"Employee" means all persons employed by a governmental or advisory agency, unless otherwise limited by the context of its use.

"Financial institution" means any bank, trust company, savings institution, industrial loan association, consumer finance company, credit union, broker-dealer as defined in § 13.1-501, or investment company or advisor registered under the federal Investment Advisors Act or Investment Company Act of 1940.

"Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item having monetary value. It includes services as well as gifts of transportation, local travel, lodgings and meals, whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the expense has been incurred. "Gift" shall not include any offer of a ticket or other admission or pass unless the ticket, admission, or pass is used. "Gift" shall not include honorary degrees and presents from relatives. For the purpose of this definition, "relative" means the donee's spouse, child, uncle, aunt, niece, or nephew; a person to whom the donee is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother, or sister; or the donee's brother's or sister's spouse *both tangible and intangible gifts*. A *"tangible gift"* means a physical item, object, currency, or other negotiable instrument of value that upon the happening of a certain event or expiration of a given date retains its form or value. An *"intangible gift"* means a physical item or object of value that upon the happening of a certain event or expiration of a given date loses its form or value. *"Intangible gifts" include, but are not limited to, entertainment, food, and tickets or other access to social or recreational events.*

"Governmental agency" means each component part of the legislative, executive or judicial branches of state and local government, including each office, department, authority, post, commission, committee, and each institution or board created by law to exercise some regulatory or sovereign power or duty as distinguished from purely advisory powers or duties. Corporations organized or controlled by the Virginia Retirement System are "governmental agencies" for purposes of this chapter.

"Immediate family" means (i) a spouse and (ii) any ~~other person~~ *child* residing in the same household as the officer or employee, who is a dependent of the officer or employee ~~or of whom the officer or employee is a dependent~~.

"Officer" means any person appointed or elected to any governmental or advisory agency including local school boards, whether or not he receives compensation or other emolument of office. Unless the context requires otherwise, "officer" includes members of the judiciary.

"Parent-subsidary relationship" means a relationship that exists when one corporation directly or indirectly owns shares possessing more than 50 percent of the voting power of another corporation.

"Personal interest" means a financial benefit or liability accruing to an officer or employee or to a member of his immediate family. Such interest shall exist by reason of (i) ownership in a business if the ownership interest exceeds three percent of the total equity of the business; (ii) annual income that

exceeds, or may reasonably be anticipated to exceed, ~~\$10,000~~ \$5,000 from ownership in real or personal property or a business; (iii) salary, other compensation, fringe benefits, or benefits from the use of property, or any combination thereof, paid or provided by a business or governmental agency that exceeds, or may reasonably be anticipated to exceed, ~~\$10,000~~ \$5,000 annually; (iv) ownership of real or personal property if the interest exceeds ~~\$10,000~~ \$5,000 in value and excluding ownership in a business, income, or salary, other compensation, fringe benefits or benefits from the use of property; (v) personal liability incurred or assumed on behalf of a business if the liability exceeds three percent of the asset value of the business; or (vi) an option for ownership of a business or real or personal property if the ownership interest will consist of (i) or (iv) above.

"Personal interest in a contract" means a personal interest that an officer or employee has in a contract with a governmental agency, whether due to his being a party to the contract or due to a personal interest in a business that is a party to the contract.

"Personal interest in a transaction" means a personal interest of an officer or employee in any matter considered by his agency. Such personal interest exists when an officer or employee or a member of his immediate family has a personal interest in property or a business or governmental agency, or represents or provides services to any individual or business and such property, business or represented or served individual or business (i) is the subject of the transaction or (ii) may realize a reasonably foreseeable direct or indirect benefit or detriment as a result of the action of the agency considering the transaction. Notwithstanding the above, such personal interest in a transaction shall not be deemed to exist where (a) an elected member of a local governing body serves without remuneration as a member of the board of trustees of a not-for-profit entity and such elected member or member of his immediate family has no personal interest related to the not-for-profit entity or (b) an officer, employee, or elected member of a local governing body is appointed by such local governing body to serve on a governmental agency, or an officer, employee, or elected member of a separate local governmental agency formed by a local governing body is appointed to serve on a governmental agency, and the personal interest in the transaction of the governmental agency is the result of the salary, other compensation, fringe benefits, or benefits provided by the local governing body or the separate governmental agency to the officer, employee, elected member, or member of his immediate family.

"State and local government officers and employees" shall not include members of the General Assembly.

"State filer" means those officers and employees required to file a disclosure statement of their personal interests pursuant to subsection A or B of § 2.2-3114.

"Transaction" means any matter considered by any governmental or advisory agency, whether in a committee, subcommittee, or other entity of that agency or before the agency itself, on which official action is taken or contemplated.

§ 2.2-3103.1. Certain gifts prohibited.

A. No officer or employee of a state or local governmental or advisory agency or candidate required to file the disclosure form prescribed in § 2.2-3117 shall solicit, accept, or receive within any calendar year any single gift with a value in excess of \$250 from any lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4. The provisions of this section apply to gifts reportable on Schedule E-2 of the disclosure form prescribed in § 2.2-3117. The provisions of this section do not apply to items reportable on Schedule D or E-1 of the disclosure form prescribed in § 2.2-3117.

B. The \$250 limitation imposed in accordance with this section shall be adjusted by the Virginia Conflict of Interest and Ethics Advisory Council every five years, as of January 1 of that year, in an amount equal to the annual increases for that five-year period in the United States Average Consumer Price Index for all items, all urban consumers (CPI-U), as published by the Bureau of Labor Statistics of the U.S. Department of Labor.

§ 2.2-3104. Prohibited conduct for certain officers and employees of state government.

For one year after the termination of public employment or service, no state officer or employee shall, before the agency of which he was an officer or employee, represent a client or act in a representative capacity on behalf of any person or group, for compensation, on matters related to legislation, executive orders, or regulations promulgated by the agency of which he was an officer or employee. This prohibition shall be in addition to the prohibitions contained in § 2.2-3103.

For the purposes of this section, "state officer or employee" shall mean (i) the Governor, Lieutenant Governor, Attorney General, and officers appointed by the Governor, whether confirmation by the General Assembly or by either house thereof is required or not, who are regularly employed on a full-time salaried basis; those officers and employees of executive branch agencies who report directly to the agency head; and those at the level immediately below those who report directly to the agency head and are at a payband 6 or higher and (ii) the officers and professional employees of the legislative branch designated by the joint rules committee of the General Assembly. For the purposes of this section, the General Assembly and the legislative branch agencies shall be deemed one agency.

531 Any person subject to the provisions of this section may apply to the *Council or* Attorney General,
532 as provided in § 2.2-3121 *or* 2.2-3126, for an advisory opinion as to the application of the restriction
533 imposed by this section on any post-public employment position or opportunity.

534 **§ 2.2-3114. Disclosure by state officers and employees.**

535 A. The Governor, Lieutenant Governor, Attorney General, Justices of the Supreme Court, judges of
536 the Court of Appeals, judges of any circuit court, judges and substitute judges of any district court,
537 members of the State Corporation Commission, members of the Virginia Workers' Compensation
538 Commission, members of the Commonwealth Transportation Board, members of the Board of Trustees
539 of the Virginia Retirement System, and members of the State Lottery Board and other persons
540 occupying such offices or positions of trust or employment in state government, including members of
541 the governing bodies of authorities, as may be designated by the Governor or, in the case of officers or
542 employees of the legislative branch, by the Joint Rules Committee of the General Assembly, shall file
543 *with the Council*, as a condition to assuming office or employment, a disclosure statement of their
544 personal interests and such other information as is specified on the form set forth in § 2.2-3117 and
545 thereafter shall file such a statement ~~annually on or before January 15~~ *semiannually by April 1 for the*
546 *preceding six-month period complete through the last day of February and by October 1 for the*
547 *preceding six-month period complete through the last day of August.* When the filing deadline falls on a
548 Saturday, Sunday, or legal holiday, the disclosure statement shall be filed on the next day that is not a
549 Saturday, Sunday, or legal holiday.

550 B. Nonsalaried citizen members of all policy and supervisory boards, commissions and councils in
551 the executive branch of state government, other than the Commonwealth Transportation Board, members
552 of the Board of Trustees of the Virginia Retirement System, and the State Lottery Board, shall file *with*
553 *the Council*, as a condition to assuming office, a disclosure form of their personal interests and such
554 other information as is specified on the form set forth in § 2.2-3118 and thereafter shall file such form
555 annually on or before January 15. When the filing deadline falls on a Saturday, Sunday, or legal
556 holiday, the disclosure statement shall be filed on the next day that is not a Saturday, Sunday, or legal
557 holiday. Nonsalaried citizen members of other boards, commissions and councils, including advisory
558 boards and authorities, may be required to file a disclosure form if so designated by the Governor, in
559 which case the form shall be that set forth in § 2.2-3118.

560 C. The disclosure forms required by subsections A and B shall be provided by the ~~Secretary of the~~
561 ~~Commonwealth Council~~ to each officer and employee so designated, including officers appointed by
562 legislative authorities, ~~not later than November 30 of each year at least 30 days prior to the filing~~
563 ~~deadline.~~ Disclosure forms shall be filed and maintained as public records for five years in the ~~Office~~
564 ~~office of the Secretary of the Commonwealth Council.~~

565 D. Candidates for the offices of Governor, Lieutenant Governor or Attorney General shall file a
566 disclosure statement of their personal interests as required by § 24.2-502.

567 E. Any officer or employee of state government who has a personal interest in any transaction before
568 the governmental or advisory agency of which he is an officer or employee and who is disqualified
569 from participating in that transaction pursuant to subdivision A 1 of § 2.2-3112, or otherwise elects to
570 disqualify himself, shall forthwith make disclosure of the existence of his interest, including the full
571 name and address of the business and the address or parcel number for the real estate if the interest
572 involves a business or real estate, and his disclosure shall also be reflected in the public records of the
573 agency for five years in the office of the administrative head of the officer's or employee's governmental
574 agency or advisory agency or, if the agency has a clerk, in the clerk's office.

575 F. An officer or employee of state government who is required to declare his interest pursuant to
576 subdivision A 2 of § 2.2-3112, shall declare his interest by stating (i) the transaction involved, (ii) the
577 nature of the officer's or employee's personal interest affected by the transaction, (iii) that he is a
578 member of a business, profession, occupation, or group the members of which are affected by the
579 transaction, and (iv) that he is able to participate in the transaction fairly, objectively, and in the public
580 interest. The officer or employee shall either make his declaration orally to be recorded in written
581 minutes for his agency or file a signed written declaration with the clerk or administrative head of his
582 governmental or advisory agency, as appropriate, who shall, in either case, retain and make available for
583 public inspection such declaration for a period of five years from the date of recording or receipt. If
584 reasonable time is not available to comply with the provisions of this subsection prior to participation in
585 the transaction, the officer or employee shall prepare and file the required declaration by the end of the
586 next business day.

587 G. An officer or employee of state government who is required to declare his interest pursuant to
588 subdivision A 3 of § 2.2-3112, shall declare his interest by stating (i) the transaction involved, (ii) that a
589 party to the transaction is a client of his firm, (iii) that he does not personally represent or provide
590 services to the client, and (iv) that he is able to participate in the transaction fairly, objectively, and in
591 the public interest. The officer or employee shall either make his declaration orally to be recorded in
592 written minutes for his agency or file a signed written declaration with the clerk or administrative head

of his governmental or advisory agency, as appropriate, who shall, in either case, retain and make available for public inspection such declaration for a period of five years from the date of recording or receipt. If reasonable time is not available to comply with the provisions of this subsection prior to participation in the transaction, the officer or employee shall prepare and file the required declaration by the end of the next business day.

§ 2.2-3115. Disclosure by local government officers and employees.

A. The members of every governing body and school board of each county and city and of towns with populations in excess of 3,500 shall file *with the Council*, as a condition to assuming office or employment, a disclosure statement of their personal interests and other information as is specified on the form set forth in § 2.2-3117 and thereafter shall file such a statement ~~annually on or before January 15~~ *semiannually by April 1 for the preceding six-month period complete through the last day of February and by October 1 for the preceding six-month period complete through the last day of August.*

The members of the governing body of any authority established in any county or city, or part or combination thereof, and having the power to issue bonds or expend funds in excess of \$10,000 in any fiscal year, shall file *with the Virginia Conflict of Interest and Ethics Advisory Council*, as a condition to assuming office, a disclosure statement of their personal interests and other information as is specified on the form set forth in § 2.2-3118 and thereafter shall file such a statement annually on or before January 15, unless the governing body of the jurisdiction that appoints the members requires that the members file the form set forth in § 2.2-3117 *semiannually by April 1 for the preceding six-month period complete through the last day of February and by October 1 for the preceding six-month period complete through the last day of August.*

Persons occupying such positions of trust appointed by governing bodies and persons occupying such positions of employment with governing bodies as may be designated to file by ordinance of the governing body shall file *with the Virginia Conflict of Interest and Ethics Advisory Council*, as a condition to assuming office or employment, a disclosure statement of their personal interests and other information as is specified on the form set forth in § 2.2-3117 and thereafter shall file such a statement ~~annually on or before January 15~~ *semiannually by April 1 for the preceding six-month period complete through the last day of February and by October 1 for the preceding six-month period complete through the last day of August.*

Persons occupying such positions of trust appointed by school boards and persons occupying such positions of employment with school boards as may be designated to file by an adopted policy of the school board shall file *with the Virginia Conflict of Interest and Ethics Advisory Council*, as a condition to assuming office or employment, a disclosure statement of their personal interests and other information as is specified on the form set forth in § 2.2-3117 and thereafter shall file such a statement ~~annually on or before January 15~~ *semiannually by April 1 for the preceding six-month period complete through the last day of February and by October 1 for the preceding six-month period complete through the last day of August.*

B. Nonsalaried citizen members of local boards, commissions and councils as may be designated by the governing body shall file *with the Virginia Conflict of Interest and Ethics Advisory Council*, as a condition to assuming office, a disclosure form of their personal interests and such other information as is specified on the form set forth in § 2.2-3118 and thereafter shall file such form annually on or before January 15.

C. No person shall be mandated to file any disclosure not otherwise required by this article.

D. The disclosure forms required by subsections A and B shall be provided by the ~~Secretary of the Commonwealth~~ *Virginia Conflict of Interest and Ethics Advisory Council* to the clerks of the governing bodies and school boards ~~not later than November 30 of each year at least 30 days prior to the filing deadline~~, and the clerks of the governing body and school board shall distribute the forms to designated individuals ~~no later than December 10 of each year at least 20 days prior to the filing deadline~~. Forms shall be filed and maintained as public records for five years in the office of the ~~clerk of the respective governing body or school board~~ *Virginia Conflict of Interest and Ethics Advisory Council*. Forms filed by members of governing bodies of authorities shall be filed and maintained as public records for five years in the office of the ~~clerk of the governing body of the county or city~~ *Virginia Conflict of Interest and Ethics Advisory Council*.

E. Candidates for membership in the governing body or school board of any county, city or town with a population of more than 3,500 persons shall file a disclosure statement of their personal interests as required by § 24.2-502.

F. Any officer or employee of local government who has a personal interest in any transaction before the governmental or advisory agency of which he is an officer or employee and who is disqualified from participating in that transaction pursuant to subdivision A 1 of § 2.2-3112 or otherwise elects to disqualify himself, shall forthwith make disclosure of the existence of his interest, including the full name and address of the business and the address or parcel number for the real estate if the interest

involves a business or real estate, and his disclosure shall be reflected in the public records of the agency for five years in the office of the administrative head of the officer's or employee's governmental or advisory agency.

G. In addition to any disclosure required by subsections A and B, in each county and city and in towns with populations in excess of 3,500, members of planning commissions, boards of zoning appeals, real estate assessors, and all county, city and town managers or executive officers shall make annual disclosures of all their interests in real estate located in the county, city or town in which they are elected, appointed, or employed. Such disclosure shall include any business in which such persons own an interest, or from which income is received, if the primary purpose of the business is to own, develop or derive compensation through the sale, exchange or development of real estate in the county, city or town. Such disclosure shall be filed as a condition to assuming office or employment, and thereafter shall be filed annually with the clerk of the governing body of such county, city or town *Virginia Conflict of Interest and Ethics Advisory Council* on or before January 15. Such disclosures shall be filed and maintained as public records for five years. Forms for the filing of such reports shall be prepared and distributed by the ~~Secretary of the Commonwealth~~ *Virginia Conflict of Interest and Ethics Advisory Council* to the clerk of each governing body.

H. An officer or employee of local government who is required to declare his interest pursuant to subdivision A 2 of § 2.2-3112 shall declare his interest by stating (i) the transaction involved, (ii) the nature of the officer's or employee's personal interest affected by the transaction, (iii) that he is a member of a business, profession, occupation, or group the members of which are affected by the transaction, and (iv) that he is able to participate in the transaction fairly, objectively, and in the public interest. The officer or employee shall either make his declaration orally to be recorded in written minutes of his agency or file a signed written declaration with the clerk or administrative head of his governmental or advisory agency, as appropriate, who shall, in either case, retain and make available for public inspection such declaration for a period of five years from the date of recording or receipt. If reasonable time is not available to comply with the provisions of this subsection prior to participation in the transaction, the officer or employee shall prepare and file the required declaration by the end of the next business day. The officer or employee shall also orally disclose the existence of the interest during each meeting of the governmental or advisory agency at which the transaction is discussed and such disclosure shall be recorded in the minutes of the meeting.

I. An officer or employee of local government who is required to declare his interest pursuant to subdivision A 3 of § 2.2-3112, shall declare his interest by stating (i) the transaction involved, (ii) that a party to the transaction is a client of his firm, (iii) that he does not personally represent or provide services to the client, and (iv) that he is able to participate in the transaction fairly, objectively, and in the public interest. The officer or employee shall either make his declaration orally to be recorded in written minutes for his agency or file a signed written declaration with the clerk or administrative head of his governmental or advisory agency, as appropriate, who shall, in either case, retain and make available for public inspection such declaration for a period of five years from the date of recording or receipt. If reasonable time is not available to comply with the provisions of this subsection prior to participation in the transaction, the officer or employee shall prepare and file the required declaration by the end of the next business day.

§ 2.2-3117. Disclosure form.

The disclosure form to be used for filings required by subsections A and D of § 2.2-3114 and subsections A and E of § 2.2-3115 shall be substantially as follows:

STATEMENT OF ECONOMIC INTERESTS.

Name

Office or position held or sought

Address

Names of members of immediate family

DEFINITIONS AND EXPLANATORY MATERIAL.

"Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise, association, trust or foundation, or any other individual or entity carrying on a business or profession, whether or not for profit.

"Close financial association" means an association in which the person filing shares significant financial involvement with an individual and the filer would reasonably be expected to be aware of the individual's business activities and would have access to the necessary records either directly or through the individual. "Close financial association" does not mean an association based on (i) the receipt of retirement benefits or deferred compensation from a business by which the person filing this statement is no longer employed, or (ii) the receipt of compensation for work performed by the person filing as an independent contractor of a business that represents an entity before any state governmental agency when the person filing has had no communications with the state governmental agency.

"Contingent liability" means a liability that is not presently fixed or determined, but may become fixed or determined in the future with the occurrence of some certain event.

"Dependent" means any person, whether or not related by blood or marriage, who receives from the officer or employee, or provides to the officer or employee, more than one-half of his financial support.

"Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item having monetary value. It includes services as well as gifts of transportation, local travel, lodgings and meals, whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the expense has been incurred. "Gift" shall not include any offer of a ticket or other admission or pass unless the ticket, admission, or pass is used. "Gift" shall not include honorary degrees and presents from relatives. "Relative" means the donee's spouse, child, uncle, aunt, niece, or nephew; a person to whom the donee is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother, or sister; or the donee's brother's or sister's spouse. *tangible or intangible gift. A "tangible gift" means a physical item, object, currency, or other negotiable instrument of value that upon the happening of a certain event or expiration of a given date retains its form or value. An "intangible gift" means a physical item or object of value that upon the happening of a certain event or expiration of a given date loses its form or value. "Intangible gifts" include, but are not limited to, entertainment, food, and tickets or other access to social or recreational events.*

"Immediate family" means (i) a spouse and (ii) any ~~other person~~ *child* residing in the same household as the officer or employee, who is a dependent of the officer or employee ~~or of whom the officer or employee is a dependent.~~

TRUST. If you or your immediate family, separately or together, are the only beneficiaries of a trust, treat the trust's assets as if you own them directly. If you or your immediate family has a proportional interest in a trust, treat that proportion of the trust's assets as if you own them directly. For example, if you and your immediate family have a one-third interest in a trust, complete your Statement as if you own one-third of each of the trust's assets. If you or a member of your immediate family created a trust and can revoke it without the beneficiaries' consent, treat its assets as if you own them directly.

REPORT TO THE BEST OF INFORMATION AND BELIEF. Information required on this Statement must be provided on the basis of the best knowledge, information and belief of the individual filing the Statement as of the date of this report unless otherwise stated.

COMPLETE ITEMS 1 THROUGH 10. REFER TO SCHEDULES ONLY IF DIRECTED.

You may attach additional explanatory information.

1. Offices and Directorships.

Are you or a member of your immediate family a paid officer or paid director of a business?

EITHER check NO / / OR check YES / / and complete Schedule A.

2. Personal Liabilities.

Do you or a member of your immediate family owe more than ~~\$10,000~~ \$5,000 to any one creditor including contingent liabilities? (Exclude debts to any government and loans secured by recorded liens on property at least equal in value to the loan.)

EITHER check NO / / OR check YES / / and complete Schedule B.

3. Securities.

Do you or a member of your immediate family, directly or indirectly, separately or together, own securities valued in excess of ~~\$10,000~~ \$5,000 invested in one business? Account for mutual funds, limited partnerships and trusts.

EITHER check NO / / OR check YES / / and complete Schedule C.

4. Payments for Talks, Meetings, and Publications.

During the past ~~12~~ six months did you receive lodging, transportation, money, or anything else of value with a combined value exceeding \$200 for a single talk, meeting, or published work in your capacity as an officer or employee of your agency?

EITHER check NO / / OR check YES / / and complete Schedule D.

5. 5A. Entertainment and Intangible Gifts.

During the past ~~12~~ six months did a business, government, or individual other than a relative or personal friend (i) furnish you *or a member of your immediate family* with any ~~gift or~~ entertainment at a single event, *or intangible gift* and the value received ~~by you~~ exceeded \$50 in value or (ii) furnish you ~~with gifts or~~ such entertainment *or intangible gifts* in any combination and the ~~total~~ value received ~~by you~~ exceeded \$100 ~~in total value~~, and for which you *or the member of your immediate family* neither paid nor rendered services in exchange? Account for entertainment events only if the average value per person attending the event exceeded \$50 ~~in value~~. Account for all business entertainment (except if related to ~~your~~ the private profession or occupation of you *or the member of your immediate family who received such business entertainment*) even if unrelated to your official duties. *For the purposes of this Item, "personal friend" does not include any lobbyist, any lobbyist's principal, or any business, government, or individual that is transacting or seeking to transact business with the Commonwealth or*

776 *its agencies, departments, or political subdivisions within 12 months from the date of the gift that the*
 777 *filer knows or has reason to know is a lobbyist, lobbyist's principal, or business, government, or*
 778 *individual that is transacting or seeking to transact business with the Commonwealth or its agencies,*
 779 *departments, or political subdivisions within 12 months from the date of the gift.*

780 EITHER check NO / / OR check YES / / and complete Schedule E-1.

781 5B. Tangible Gifts.

782 *During the past six months did a business, government, or individual other than a relative or*
 783 *personal friend (i) furnish you or a member of your immediate family with any tangible gift and the*
 784 *value received exceeded \$50 or (ii) furnish you or a member of your immediate family with such*
 785 *tangible gifts in any combination and the total value received exceeded \$100, and for which you or the*
 786 *member of your immediate family neither paid nor rendered services in exchange? For the purposes of*
 787 *this Item, "personal friend" does not include any lobbyist, any lobbyist's principal, or any business,*
 788 *government, or individual that is transacting or seeking to transact business with the Commonwealth or*
 789 *its agencies, departments, or political subdivisions within 12 months from the date of the gift that the*
 790 *filer knows or has reason to know is a lobbyist, lobbyist's principal, or business, government, or*
 791 *individual that is transacting or seeking to transact business with the Commonwealth or its agencies,*
 792 *departments, or political subdivisions within 12 months from the date of the gift.*

793 EITHER check NO / / OR check YES / / and complete Schedule E-2.

794 6. Salary and Wages.

795 List each employer that pays you or a member of your immediate family salary or wages in excess
 796 of \$10,000 \$5,000 annually. (Exclude state or local government or advisory agencies.)

797 If no reportable salary or wages, check here / /.

798 _____
 799 _____

800

801 7. Business Interests.

802 Do you or a member of your immediate family, separately or together, operate your own business, or
 803 own or control an interest in excess of \$10,000 \$5,000 in a business?

804 EITHER check NO / / OR check YES / / and complete Schedule F.

805 8. Payments for Representation and Other Services.

806 8A. Did you represent, excluding activity defined as lobbying in § 2.2-419, any businesses before any
 807 state governmental agencies, excluding courts or judges, for which you received total compensation
 808 during the past 12 six months in excess of \$1,000, excluding compensation for other services to such
 809 businesses and representation consisting solely of the filing of mandatory papers and subsequent
 810 representation regarding the mandatory papers? (Officers and employees of local governmental and
 811 advisory agencies do NOT need to answer this question or complete Schedule G-1.)

812 EITHER check NO / / OR check YES / / and complete Schedule G-1.

813 8B. Subject to the same exceptions as in 8A, did persons with whom you have a close financial
 814 association (partners, associates or others) represent, excluding activity defined as lobbying in § 2.2-419,
 815 any businesses before any state governmental agency for which total compensation was received during
 816 the past 12 six months in excess of \$1,000? (Officers and employees of local governmental and advisory
 817 agencies do NOT need to answer this question or complete Schedule G-2.)

818 EITHER check NO / / OR check YES / / and complete Schedule G-2.

819 8C. Did you or persons with whom you have a close financial association furnish services to
 820 businesses operating in Virginia pursuant to an agreement between you and such businesses, or between
 821 persons with whom you have a close financial association and such businesses for which total
 822 compensation in excess of \$1,000 was received during the past 12 six months?

823 EITHER check NO / / OR check YES / / and complete Schedule G-3.

824 9. Real Estate.

825 9A. State Officers and Employees.

826 Do you or a member of your immediate family hold an interest, including a partnership interest,
 827 valued at \$10,000 or more than \$5,000 in real property (other than your principal residence) for which
 828 you have not already listed the full address on Schedule F? Account for real estate held in trust.

829 EITHER check NO / / OR check YES / / and complete Schedule H-1.

830 9B. Local Officers and Employees.

831 Do you or a member of your immediate family hold an interest, including a partnership interest, or
 832 option, easement, or land contract, valued at \$10,000 or more than \$5,000 in real property (other than
 833 your principal residence) for which you have not already listed the full address on Schedule F? Account
 834 for real estate held in trust.

835 EITHER check NO / / OR check YES / / and complete Schedule H-2.

836 10. Real Estate Contracts with Governmental Agencies.

837 Do you or a member of your immediate family hold an interest valued at more than \$10,000 \$5,000

in real estate, including a corporate, partnership, or trust interest, option, easement, or land contract, which real estate is the subject of a contract, whether pending or completed within the past 12 months, with a governmental agency? If the real estate contract provides for the leasing of the property to a governmental agency, do you or a member of your immediate family hold an interest in the real estate valued at more than \$1,000? Account for all such contracts whether or not your interest is reported in Schedule F, H-1, or H-2. This requirement to disclose an interest in a lease does not apply to an interest derived through an ownership interest in a business unless the ownership interest exceeds three percent of the total equity of the business.

EITHER check NO / / OR check YES / / and complete Schedule I.

Statements of Economic Interests are open for public inspection.

AFFIRMATION BY ALL FILERS.

I swear or affirm that the foregoing information is full, true and correct to the best of my knowledge.

Signature

(Return only if needed to complete Statement.)

SCHEDULES

to

STATEMENT OF ECONOMIC INTERESTS.

NAME

SCHEDULE A - OFFICES AND DIRECTORSHIPS.

Identify each business of which you or a member of your immediate family is a paid officer or paid director.

Name of Business	Address of Business	Position Held and by Whom
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

RETURN TO ITEM 2

SCHEDULE B - PERSONAL LIABILITIES.

Report personal liability by checking each category. Report only debts in excess of \$10,000 \$5,000. Do not report debts to any government. Do not report loans secured by recorded liens on property at least equal in value to the loan.

Report contingent liabilities below and indicate which debts are contingent.

1. My personal debts are as follows:

Check appropriate categories	Check one	
	\$10,001 \$5,001 to \$50,000	More than \$50,000
Banks	_____	_____
Savings institutions	_____	_____
Other loan or finance companies	_____	_____
Insurance companies	_____	_____
Stock, commodity or other brokerage companies	_____	_____
Other businesses: (State principal business activity for each creditor and its name.)	_____	_____
_____	_____	_____
_____	_____	_____
Individual creditors: (State principal business or occupation of each creditor and its name.)	_____	_____
_____	_____	_____
_____	_____	_____

2. The personal debts of the members of my immediate family are as follows:

		Check one	
		\$10,001	
Check appropriate categories		\$5,001 to \$50,000	More than \$50,000
Banks		_____	_____
Savings institutions		_____	_____
Other loan or finance companies		_____	_____
Insurance companies		_____	_____
Stock, commodity or other brokerage companies		_____	_____
Other businesses:			
(State principal business activity for each creditor <i>and its name</i> .)		_____	_____
		_____	_____
		_____	_____
Individual creditors:			
(State principal business or occupation of each creditor <i>and its name</i> .)		_____	_____
		_____	_____
		_____	_____

RETURN TO ITEM 3

SCHEDULE C - SECURITIES.

"Securities" INCLUDES stocks, bonds, mutual funds, limited partnerships, and commodity futures contracts. "Securities" EXCLUDES certificates of deposit, money market funds, annuity contracts, and insurance policies.

Identify each business or Virginia governmental entity in which you or a member of your immediate family, directly or indirectly, separately or together, own securities valued in excess of ~~\$10,000~~ \$5,000. Name each entity and type of security individually.

Do not list U.S. Bonds or other government securities not issued by the Commonwealth of Virginia or its authorities, agencies, or local governments. Do not list organizations that do not do business in this Commonwealth, but most major businesses conduct business in Virginia. Account for securities held in trust.

If no reportable securities, check here / /.

		Check one		
		\$10,001		
		\$5,001 to \$50,000	\$50,001 to \$250,000	More than \$250,000
Name of Issuer	Type of Entity	Type of Security (stocks, bonds, mutual funds, etc.)		
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____

RETURN TO ITEM 4

SCHEDULE D - PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.

List each source from which you received during the past ~~12~~ six months lodging, transportation, money, or any other thing of value (~~excluding meals or drinks coincident with a meeting~~) with combined value exceeding \$200 for your presentation of a single talk, participation in one meeting, or publication of a work in your capacity as an officer or employee of your agency.

List payments or reimbursements by an advisory or governmental agency only for meetings or travel outside the Commonwealth.

List a payment even if you donated it to charity.

Do not list information about a payment if you returned it within 60 days or if you received it from an employer already listed under Item 6 or from a source of income listed on Schedule F. If no payment must be listed, check here / /.

Payer	Approximate Value	Circumstances	Type of payment (e.g. honoraria, travel reimburse- ment, etc.)

RETURN TO ITEM-5 5A

SCHEDULE E-1 - ENTERTAINMENT AND INTANGIBLE GIFTS.

List each business, governmental entity, or individual that, during the past 12 six months, (i) furnished you or a member of your immediate family with any gift or entertainment at a single event, or intangible gift and the value received by you exceeded \$50, in value or (ii) furnished you or a member of your immediate family with gifts or such entertainment or gifts in any combination and the total value received by you exceeded \$100 in total value, and for which you or the member of your immediate family neither paid nor rendered services in exchange. List each such gift or event. Do not list entertainment events unless the average value per person attending the event exceeded \$50 in value. Do not list business entertainment related to your the private profession or occupation of you or the member of your immediate family who received such business entertainment. Do not list gifts or other things of value given by a relative or personal friend for reasons clearly unrelated to your public position. Do not list campaign contributions publicly reported as required by Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2 of the Code of Virginia. For the purposes of this Schedule, "personal friend" does not include any lobbyist, any lobbyist's principal, or any business, government, or individual that is transacting or seeking to transact business with the Commonwealth or its agencies, departments, or political subdivisions within 12 months from the date of the gift that the filer knows or has reason to know is a lobbyist, lobbyist's principal, or business, government, or individual that is transacting or seeking to transact business with the Commonwealth or its agencies, departments, or political subdivisions within 12 months from the date of the gift.

Name of Recipient	Name of Business, Organization, or Individual	City or County and State	Exact Gift or Event	Approximate Value

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SCHEDULE E-2 - TANGIBLE GIFTS.

List each business, governmental entity, or individual that, during the past six months, (i) furnished you or a member of your immediate family with any tangible gift and the value received exceeded \$50 or (ii) furnished you or a member of your immediate family with such gifts in any combination and the total value received exceeded \$100, and for which you or the member of your immediate family neither paid nor rendered services in exchange. List each such gift. Do not list gifts given by a relative or personal friend for reasons clearly unrelated to your public position. For the purposes of this Schedule, "personal friend" does not include any lobbyist, any lobbyist's principal, or any business, government, or individual that is transacting or seeking to transact business with the Commonwealth or its agencies, departments, or political subdivisions within 12 months from the date of the gift that the filer knows or has reason to know is a lobbyist, lobbyist's principal, or business, government, or individual that is transacting or seeking to transact business with the Commonwealth or its agencies, departments, or political subdivisions within 12 months from the date of the gift.

1013					
1014		<i>Name of Business,</i>	<i>City or</i>	<i>Exact</i>	
1015	<i>Name of</i>	<i>Organization, or</i>	<i>County</i>	<i>Gift or</i>	<i>Approximate</i>
1016	<i>Recipient</i>	<i>Individual</i>	<i>and State</i>	<i>Event</i>	<i>Value</i>
1017	_____	_____	_____	_____	_____
1018	_____	_____	_____	_____	_____
1019	_____	_____	_____	_____	_____
1020	_____	_____	_____	_____	_____
1021	_____	_____	_____	_____	_____

RETURN TO ITEM 6

SCHEDULE F - BUSINESS INTERESTS.

Complete this Schedule for each self-owned or family-owned business (including rental property, a farm, or consulting work), partnership, or corporation in which you or a member of your immediate family, separately or together, own an interest having a value in excess of \$10,000 \$5,000.

If the enterprise is owned or operated under a trade, partnership, or corporate name, list that name; otherwise, merely explain the nature of the enterprise. If rental property is owned or operated under a trade, partnership, or corporate name, list the name only; otherwise, give the address of each property. Account for business interests held in trust.

1033	Name of Business,			Gross Income		
1034	Corporation,					
1035	Partnership,	City or	Nature of Enterprise	\$50,001	More	
1036	Farm; Address of	County	(farming, law, rental	\$50,000	to	than
1037	Rental Property	and State	property, etc.)	or less	\$250,000	\$250,000
1038	_____	_____	_____	_____	_____	_____
1039	_____	_____	_____	_____	_____	_____
1040	_____	_____	_____	_____	_____	_____
1041	_____	_____	_____	_____	_____	_____

RETURN TO ITEM 8

SCHEDULE G-1 - PAYMENTS FOR REPRESENTATION BY YOU.

List the businesses you represented, excluding activity defined as lobbying in § 2.2-419, before any state governmental agency, excluding any court or judge, for which you received total compensation during the past 42 six months in excess of \$1,000, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers filed by you.

Identify each business, the nature of the representation and the amount received by dollar category from each such business. You may state the type, rather than name, of the business if you are required by law not to reveal the name of the business represented by you.

Only STATE officers and employees should complete this Schedule.

1056	Pur-				Amount Received				
1057	pose								
1058	Name	Type	of	Name					
1059	of	of	Repre-	of	\$1,001	\$10,001	\$50,001	\$100,001	\$250,001
1060	Busi-	Busi-	sentat-	Agen-	to	to	to	to	and
1061	ness	ness	tion	cy	\$10,000	\$50,000	\$100,000	\$250,000	over
1062	_____	_____	_____	_____	_____	_____	_____	_____	_____
1063	_____	_____	_____	_____	_____	_____	_____	_____	_____
1064	_____	_____	_____	_____	_____	_____	_____	_____	_____
1065	_____	_____	_____	_____	_____	_____	_____	_____	_____

If you have received \$250,001 or more from a single business within the reporting period, indicate the amount received, rounded to the nearest \$10,000.

Amount Received: _____.

SCHEDULE G-2 - PAYMENTS FOR REPRESENTATION BY ASSOCIATES.

List the businesses that have been represented, excluding activity defined as lobbying in § 2.2-419, before any state governmental agency, excluding any court or judge, by persons who are your partners, associates or others with whom you have a close financial association and who received total compensation in excess of \$1,000 for such representation during the past ~~12~~ *six* months, excluding representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers filed by your partners, associates or others with whom you have a close financial association.

Identify such businesses by type and also name the state governmental agencies before which such person appeared on behalf of such businesses.

Only STATE officers and employees should complete this Schedule.

Type of business	Name of state governmental agency

SCHEDULE G-3 - PAYMENTS FOR SERVICES GENERALLY.

Indicate below types of businesses that operate in Virginia to which services were furnished by you or persons with whom you have a close financial association pursuant to an agreement between you and such businesses, or between persons with whom you have a close financial association and such businesses and for which total compensation in excess of \$1,000 was received during the past ~~12~~ *six* months.

Identify opposite each category of businesses listed below (i) the type of business, (ii) the type of service rendered and (iii) the value by dollar category of the compensation received for all businesses falling within each category.

	Check if ser- vices were ren- dered	Type of ser- vice ren- dered	\$1,001 to \$10,000	\$10,001 to \$50,000	\$50,001 to \$100,000	\$100,001 to \$250,000	\$250,001 and over
Electric utilities							
Gas utilities							
Telephone utilities							
Water utilities							
Cable television							
companies							
Interstate							
transportation							
companies							
Intrastate							
transportation							
companies							
Oil or gas retail							
companies							
Banks							
Savings institutions							
Loan or finance							
companies							
Manufacturing							
companies (state							
type of product,							
e.g., textile,							

1129	furniture, etc.)	_____	_____	_____	_____	_____	_____
1130	Mining companies	_____	_____	_____	_____	_____	_____
1131	Life insurance	_____	_____	_____	_____	_____	_____
1132	companies	_____	_____	_____	_____	_____	_____
1133	Casualty insurance	_____	_____	_____	_____	_____	_____
1134	companies	_____	_____	_____	_____	_____	_____
1135	Other insurance	_____	_____	_____	_____	_____	_____
1136	companies	_____	_____	_____	_____	_____	_____
1137	Retail companies	_____	_____	_____	_____	_____	_____
1138	Beer, wine or liquor	_____	_____	_____	_____	_____	_____
1139	companies or	_____	_____	_____	_____	_____	_____
1140	distributors	_____	_____	_____	_____	_____	_____
1141	Trade associations	_____	_____	_____	_____	_____	_____
1142	Professional	_____	_____	_____	_____	_____	_____
1143	associations	_____	_____	_____	_____	_____	_____
1144	Associations of	_____	_____	_____	_____	_____	_____
1145	public employees	_____	_____	_____	_____	_____	_____
1146	or officials	_____	_____	_____	_____	_____	_____
1147	Counties, cities	_____	_____	_____	_____	_____	_____
1148	or towns	_____	_____	_____	_____	_____	_____
1149	Labor organizations	_____	_____	_____	_____	_____	_____
1150	Other	_____	_____	_____	_____	_____	_____

1151 _____
 1152 _____ RETURN TO ITEM 9

1153 SCHEDULE H-1 - REAL ESTATE - STATE OFFICERS AND EMPLOYEES.

1154 List real estate other than your principal residence in which you or a member of your immediate
 1155 family holds an interest, including a partnership interest, option, easement, or land contract, valued at
 1156 \$40,000 or more than \$5,000. Each parcel shall be listed individually.

1157	_____	_____	_____
1158	_____	_____	_____
1159		Describe the type of real	
1160	List each location	estate you own in each	If the real estate is
1161	(state, and county	location (business, recre-	owned or recorded in
1162	or city) where you	ational, apartment, com-	a name other than your
1163	own real estate.	mercial, open land, etc.).	own, list that name.
1164	_____	_____	_____
1165	_____	_____	_____
1166	_____	_____	_____
1167	_____	_____	_____
1168	_____	_____	_____

1169 _____
 1170 SCHEDULE H-2 - REAL ESTATE - LOCAL OFFICERS AND EMPLOYEES.

1171 List real estate other than your principal residence in which you or a member of your immediate
 1172 family holds an interest, including a partnership interest or option, easement, or land contract, valued at
 1173 \$40,000 or more than \$5,000. Each parcel shall be listed individually. Also list the names of any
 1174 co-owners of such property, if applicable.

1175	_____	_____	_____	_____
1176	_____	_____	_____	_____
1177		Describe the type		
1178		of real estate		
1179		you own in		
1180		each location	If the real estate	
1181	List each location	(business,	is owned or rec-	
1182	(state, and county	recreational,	orded in a name	
1183	or city) where	apartment, com-	other than your	List the names
1184	you own real	mercial, open	own, list that	of any co-owners,
1185	estate.	land, etc.).	name.	if applicable.

SCHEDULE I - REAL ESTATE CONTRACTS WITH GOVERNMENTAL AGENCIES.

List all contracts, whether pending or completed within the past ~~42~~ *six* months, with a governmental agency for the sale or exchange of real estate in which you or a member of your immediate family holds an interest, including a corporate, partnership or trust interest, option, easement, or land contract, valued at *more than* \$10,000 ~~or more~~. List all contracts with a governmental agency for the lease of real estate in which you or a member of your immediate family holds such an interest valued at *more than* \$1,000 ~~or more~~. This requirement to disclose an interest in a lease does not apply to an interest derived through an ownership interest in a business unless the ownership interest exceeds three percent of the total equity of the business.

State officers and employees report contracts with state agencies.

Local officers and employees report contracts with local agencies.

List your real estate interest and the person or entity, including the type of entity, which is party to the contract.

Describe any management role and the percentage ownership interest you or your immediate family member has in the real estate or entity.

List each governmental agency which is a party to the contract and indicate the county or city where the real estate is located.

State the annual income from the contract, and the amount, if any, of income you or any immediate family member derives annually from the contract.

§ 2.2-3118.1. Special provisions for individuals serving in or seeking multiple positions or offices; reappointees.

A. The filing of a single current statement of economic interests by a state officer or employee required to file the form prescribed in § 2.2-3117 shall suffice for the purposes of this chapter as filing for all state positions or offices held or sought by such individual during a single reporting period. The filing of a single current financial disclosure statement by a state officer or employee required to file the form prescribed in § 2.2-3118 shall suffice for the purposes of this chapter as filing for all state positions or offices held or sought by such individual and requiring the filing of the § 2.2-3118 form during a single reporting period.

B. Any individual who has met the requirement for ~~annually~~ *periodically* filing a statement provided in § 2.2-3117 or 2.2-3118 shall not be required to file an additional statement upon such individual's reappointment to the same office or position for which he is required to file, provided such reappointment occurs within *six months after filing a statement pursuant to § 2.2-3117 and within 12 months after the annual filing a statement pursuant to § 2.2-3118.*

§ 2.2-3121. Advisory opinions.

A. A state officer or employee shall not be prosecuted for a knowing violation of this chapter if the alleged violation resulted from his good faith reliance on a written opinion of the Attorney General *or the Virginia Conflict of Interest and Ethics Advisory Council* made in response to his written request for such opinion and the opinion was made after a full disclosure of the facts.

1245 B. A local officer or employee shall not be prosecuted for a knowing violation of this chapter if the
 1246 alleged violation resulted from his good faith reliance on a written opinion of the attorney for the
 1247 Commonwealth *or the Council* made in response to his written request for such opinion and the opinion
 1248 was made after a full disclosure of the facts. The written opinion shall be a public record and shall be
 1249 released upon request.

1250 C. If any officer or employee serving at the local level of government is charged with a knowing
 1251 violation of this chapter, and the alleged violation resulted from his reliance upon a written opinion of
 1252 his city, county or town attorney *or the Council*, made after a full disclosure of the facts, that such
 1253 action was not in violation of this chapter, then the officer or employee shall have the right to introduce
 1254 a copy of the opinion at his trial as evidence that he did not knowingly violate this chapter.

1255 **§ 30-101. Definitions.**

1256 As used in this chapter, unless the context requires a different meaning:

1257 "Advisory agency" means any board, commission, committee or post which does not exercise any
 1258 sovereign power or duty, but is appointed by a governmental agency or officer or is created by law for
 1259 the purpose of making studies or recommendations, or advising or consulting with a governmental
 1260 agency.

1261 "Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise,
 1262 association, trust or foundation, or any other individual or entity carrying on a business or profession,
 1263 whether or not for profit.

1264 "Contract" means any agreement to which a governmental agency is a party, or any agreement on
 1265 behalf of a governmental agency which involves the payment of money appropriated by the General
 1266 Assembly or a political subdivision, whether or not such agreement is executed in the name of the
 1267 Commonwealth of Virginia, or some political subdivision thereof. "Contract" includes a subcontract only
 1268 when the contract of which it is a part is with the legislator's own governmental agency.

1269 "*Council*" means the Virginia Conflict of Interest and Ethics Advisory Council established in §
 1270 30-348.

1271 "Financial institution" means any bank, trust company, savings institution, industrial loan association,
 1272 consumer finance company, credit union, broker-dealer as defined in subsection A of § 13.1-501, or
 1273 investment company or advisor registered under the federal Investment Advisors Act or Investment
 1274 Company Act of 1940.

1275 "Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item
 1276 having monetary value. It includes services as well as gifts of transportation, local travel, lodgings and
 1277 meals, whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the
 1278 expense has been incurred. "Gift" shall not include any offer of a ticket or other admission or pass
 1279 unless the ticket, admission, or pass is used. "Gift" shall not include honorary degrees and presents from
 1280 relatives. For the purpose of this definition, "relative" means the donee's spouse, child, uncle, aunt,
 1281 niece, or nephew; a person to whom the donee is engaged to be married; the donee's or his spouse's
 1282 parent, grandparent, grandchild, brother, or sister; or the donee's brother's or sister's spouse *both tangible*
 1283 *and intangible gifts*. A "tangible gift" means a physical item, object, currency, or other negotiable
 1284 instrument of value that upon the happening of a certain event or expiration of a given date retains its
 1285 form or value. An "intangible gift" means a physical item or object of value that upon the happening of
 1286 a certain event or expiration of a given date loses its form or value. "Intangible gifts" include, but are
 1287 not limited to, entertainment, food, and tickets or other access to social or recreational events.

1288 "Governmental agency" means each component part of the legislative, executive or judicial branches
 1289 of state and local government, including each office, department, authority, post, commission,
 1290 committee, and each institution or board created by law to exercise some regulatory or sovereign power
 1291 or duty as distinguished from purely advisory powers or duties.

1292 "Immediate family" means (i) a spouse and (ii) any ~~other person~~ *child* residing in the same
 1293 household as the legislator, who is a dependent of the legislator ~~or of whom the legislator is a~~
 1294 ~~dependent~~. "Dependent" means a son, daughter, father, mother, brother, sister or other person, whether
 1295 or not related by blood or marriage, if such person receives from the legislator, ~~or provides to the~~
 1296 ~~legislator~~, more than one-half of his financial support.

1297 "Legislator" means a member of the General Assembly.

1298 "Personal interest" means a financial benefit or liability accruing to a legislator or to a member of his
 1299 immediate family. Such interest shall exist by reason of (i) ownership in a business if the ownership
 1300 interest exceeds three percent of the total equity of the business; (ii) annual income that exceeds, or may
 1301 reasonably be anticipated to exceed, ~~\$10,000~~ \$5,000 from ownership in real or personal property or a
 1302 business; (iii) salary, other compensation, fringe benefits, or benefits from the use of property, or any
 1303 combination thereof, paid or provided by a business that exceeds, or may reasonably be anticipated to
 1304 exceed, ~~\$10,000~~ \$5,000 annually; (iv) ownership of real or personal property if the interest exceeds
 1305 ~~\$10,000~~ \$5,000 in value and excluding ownership in a business, income, or salary, other compensation,
 1306 fringe benefits or benefits from the use of property; or (v) personal liability incurred or assumed on

behalf of a business if the liability exceeds three percent of the asset value of the business.

"Personal interest in a contract" means a personal interest which a legislator has in a contract with a governmental agency, whether due to his being a party to the contract or due to a personal interest in a business which is a party to the contract.

"Personal interest in a transaction" means a personal interest of a legislator in any matter considered by the General Assembly. Such personal interest exists when an officer or employee or a member of his immediate family has a personal interest in property or a business, or represents any individual or business and such property, business or represented individual or business (i) is the subject of the transaction or (ii) may realize a reasonably foreseeable direct or indirect benefit or detriment as a result of the action of the agency considering the transaction. A "personal interest in a transaction" exists only if the legislator or member of his immediate family or an individual or business represented by the legislator is affected in a way that is substantially different from the general public or from persons comprising a profession, occupation, trade, business or other comparable and generally recognizable class or group of which he or the individual or business he represents is a member.

"Transaction" means any matter considered by the General Assembly, whether in a committee, subcommittee, or other entity of the General Assembly or before the General Assembly itself, on which official action is taken or contemplated.

§ 30-103.1. Certain gifts prohibited.

A. No legislator or candidate for the General Assembly required to file the disclosure form prescribed in § 30-111 shall solicit, accept, or receive within any calendar year any single gift with a value in excess of \$250 from any lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4 of Title 2.2. The provisions of this section apply to gifts reportable on Schedule E-2 of the disclosure form prescribed in § 30-111. The provisions of this section do not apply to items reportable on Schedule D-1, D-2, or E-1 of the disclosure form prescribed in § 30-111.

B. The \$250 limitation imposed in accordance with this section shall be adjusted by the Virginia Conflict of Interest and Ethics Advisory Council every five years, as of January 1 of that year, in an amount equal to the annual increases for that five-year period in the United States Average Consumer Price Index for all items, all urban consumers (CPI-U), as published by the Bureau of Labor Statistics of the U.S. Department of Labor.

§ 30-110. Disclosure.

A. Every legislator and legislator-elect shall file, as a condition to assuming office, a disclosure statement of his personal interests and such other information as is specified on the form set forth in § 30-111 and thereafter shall file such a statement ~~annually on or before January 8~~ *semiannually by April 1 for the preceding six-month period complete through the last day of February and by October 1 for the preceding six-month period complete through the last day of August.* When the filing deadline falls on a Saturday, Sunday, or legal holiday, the disclosure statement shall be filed on the next day that is not a Saturday, Sunday, or legal holiday. Disclosure forms shall be provided by the clerk of the appropriate house to each legislator and legislator-elect not later than November 30 of each year *Virginia Conflict of Interest and Ethics Advisory Council at least 30 days prior to the filing deadline.* Members of the Senate shall file their disclosure forms with the Clerk of the Senate and members of the House of Delegates shall file their disclosure forms with the Clerk of the House of Delegates *Virginia Conflict of Interest and Ethics Advisory Council.* The disclosure forms of the members of the General Assembly shall be maintained as public records for five years in the office of the clerk of the appropriate house *Virginia Conflict of Interest and Ethics Advisory Council.*

B. Candidates for the General Assembly shall file a disclosure statement of their personal interests as required by §§ 24.2-500 through 24.2-503.

C. Any legislator who has a personal interest in any transaction pending before the General Assembly and who is disqualified from participating in that transaction pursuant to § 30-108 and the rules of his house shall disclose his interest in accordance with the applicable rule of his house.

§ 30-111. Disclosure form.

A. The disclosure form to be used for filings required by subsections A and B of § 30-110 shall be substantially as follows:

STATEMENT OF ECONOMIC INTERESTS.

Name
Office or position held or sought
Home address
Names of members of immediate family

DEFINITIONS AND EXPLANATORY MATERIAL.

"Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise, association, trust or foundation, or any other individual or entity carrying on a business or profession, whether or not for profit.

1368 "Close financial association" means an association in which the filer shares significant financial
1369 involvement with an individual and the filer would reasonably be expected to be aware of the
1370 individual's business activities and would have access to the necessary records either directly or through
1371 the individual. "Close financial association" does not mean an association based on (i) the receipt of
1372 retirement benefits or deferred compensation from a business by which the legislator is no longer
1373 employed, or (ii) the receipt of compensation for work performed by the legislator as an independent
1374 contractor of a business that represents an entity before any state governmental agency when the
1375 legislator has had no communications with the state governmental agency.

1376 "Contingent liability" means a liability that is not presently fixed or determined, but may become
1377 fixed or determined in the future with the occurrence of some certain event.

1378 "Dependent" means any person, whether or not related by blood or marriage, who receives from the
1379 legislator, or provides to the legislator, more than one-half of his financial support.

1380 "Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item
1381 having monetary value. It includes services as well as gifts of transportation, local travel, lodgings and
1382 meals, whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the
1383 expense has been incurred. "Gift" shall not include any offer of a ticket or other admission or pass
1384 unless the ticket, admission, or pass is used. "Gift" shall not include honorary degrees and presents from
1385 relatives. "Relative" means the donee's spouse, child, uncle, aunt, niece, or nephew; a person to whom
1386 the donee is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother,
1387 or sister; or the donee's brother's or sister's spouse. *both tangible and intangible gifts. A "tangible gift"*
1388 *means a physical item, object, currency, or other negotiable instrument of value that upon the happening*
1389 *of a certain event or expiration of a given date retains its form or value. An "intangible gift" means a*
1390 *physical item or object of value that upon the happening of a certain event or expiration of a given date*
1391 *loses its form or value. "Intangible gifts" include, but are not limited to, entertainment, food, and tickets*
1392 *or other access to social or recreational events.*

1393 "Immediate family" means (i) a spouse and (ii) any ~~other person~~ *child* residing in the same
1394 household as the legislator, who is a dependent of the legislator ~~or of whom the legislator is a~~
1395 ~~dependent.~~

1396 "Lobbyist relationship" means (i) an engagement, agreement, or representation that relates to legal
1397 services, consulting services, or public relations services, whether gratuitous or for compensation,
1398 between a member or member-elect and any person who is, or has been within the prior calendar year,
1399 registered as a lobbyist with the ~~Secretary of the Commonwealth~~ *Virginia Conflict of Interest and Ethics*
1400 *Advisory Council*, or (ii) a greater than three percent ownership interest by a member or member-elect in
1401 a business that employs, or engages as an independent contractor, any person who is, or has been within
1402 the prior calendar year, registered as a lobbyist with the ~~Secretary of the Commonwealth~~ *Council*. The
1403 disclosure of a lobbyist relationship shall not (i) constitute a waiver of any attorney-client or other
1404 privilege, (ii) require a waiver of any attorney-client or other privilege for a third party, or (iii) be
1405 required where a member or member-elect is employed or engaged by a person and such person also
1406 employs or engages a person in a lobbyist relationship so long as the member or member-elect has no
1407 financial interest in the lobbyist relationship.

1408 TRUST. If you or your immediate family, separately or together, are the only beneficiaries of a trust,
1409 treat the trust's assets as if you own them directly. If you or your immediate family has a proportional
1410 interest in a trust, treat that proportion of the trust's assets as if you own them directly. For example, if
1411 you and your immediate family have a one-third interest in a trust, complete your Statement as if you
1412 own one-third of each of the trust's assets. If you or a member of your immediate family created a trust
1413 and can revoke it without the beneficiaries' consent, treat its assets as if you own them directly.

1414 REPORT TO THE BEST OF INFORMATION AND BELIEF. Information required on this
1415 Statement must be provided on the basis of the best knowledge, information and belief of the individual
1416 filing the Statement as of the date of this report unless otherwise stated.

1417 COMPLETE ITEMS 1 THROUGH 11. REFER TO SCHEDULES ONLY IF DIRECTED.

1418 You may attach additional explanatory information.

1419 1. Offices and Directorships.

1420 Are you or a member of your immediate family a paid officer or paid director of a business?

1421 EITHER check NO / / OR check YES / / and complete Schedule A.

1422 2. Personal Liabilities.

1423 Do you or a member of your immediate family owe more than ~~\$10,000~~ \$5,000 to any one creditor
1424 including contingent liabilities? (Exclude debts to any government and loans secured by recorded liens
1425 on property at least equal in value to the loan.)

1426 EITHER check NO / / OR check YES / / and complete Schedule B.

1427 3. Securities.

1428 Do you or a member of your immediate family, directly or indirectly, separately or together, own
1429 securities valued in excess of ~~\$10,000~~ \$5,000 invested in one business? Account for mutual funds,

limited partnerships and trusts.

EITHER check NO / / OR check YES / / and complete Schedule C.

4. Payments for Talks, Meetings, and Publications.

During the past ~~12~~ six months did you receive lodging, transportation, money, or anything else of value with a combined value exceeding \$200 for a single talk, meeting, or published work in your capacity as a legislator? Do not include payments and reimbursements from the Commonwealth for meetings attended in your capacity as a legislator; see Question 11 and Schedule D2 to report such meetings.

EITHER check NO / / OR check YES / / and complete Schedule D.

5. 5A. Entertainment and Intangible Gifts.

During the past ~~12~~ six months did a business, government, or individual other than a relative or personal friend (i) furnish you or a member of your immediate family with any ~~gift or~~ entertainment at a single event, or intangible gift and the value received ~~by you~~ exceeded \$50 ~~in value~~ or (ii) furnish you or a member of your immediate family with ~~gifts or~~ such entertainment or gifts in any combination and the total value received ~~by you~~ exceeded \$100 ~~in total value~~; and for which you or the member of your immediate family neither paid nor rendered services in exchange? Account for entertainment events only if the average value per person attending the event exceeded \$50 ~~in value~~. Account for all business entertainment (except if related to ~~your~~ the private profession or occupation of you or the member of your immediate family who received such business entertainment) even if unrelated to your official duties. For the purposes of this Item, "personal friend" does not include any lobbyist, any lobbyist's principal, or any business, government, or individual that is transacting or seeking to transact business with the Commonwealth or its agencies, departments, or political subdivisions within 12 months from the date of the gift that the filer knows or has reason to know is a lobbyist, lobbyist's principal, or business, government, or individual that is transacting or seeking to transact business with the Commonwealth or its agencies, departments, or political subdivisions within 12 months from the date of the gift.

EITHER check NO / / OR check YES / / and complete Schedule ~~E~~ E-1.

5B. Tangible Gifts.

During the past six months did a business, government, or individual other than a relative or personal friend (i) furnish you or a member of your immediate family with any tangible gift and the value received exceeded \$50 or (ii) furnish you or a member of your immediate family with such gifts in any combination and the total value received exceeded \$100, and for which you or the member of your immediate family neither paid nor rendered services in exchange? For the purposes of this Item, "personal friend" does not include any lobbyist, any lobbyist's principal, or any business, government, or individual that is transacting or seeking to transact business with the Commonwealth or its agencies, departments, or political subdivisions within 12 months from the date of the gift that the filer knows or has reason to know is a lobbyist, lobbyist's principal, or business, government, or individual that is transacting or seeking to transact business with the Commonwealth or its agencies, departments, or political subdivisions within 12 months from the date of the gift.

EITHER check NO / / OR check YES / / and complete Schedule E-2.

6. Salary and Wages.

List each employer that pays you or a member of your immediate family salary or wages in excess of ~~\$10,000~~ \$5,000 annually. (Exclude any salary received as a member of the General Assembly pursuant to § 30-19.11.)

If no reportable salary or wages, check here / /.

7. Business Interests and Lobbyist Relationships.

7A. Do you or a member of your immediate family, separately or together, operate your own business, or own or control an interest in excess of ~~\$10,000~~ \$5,000 in a business?

EITHER check NO / / OR check YES / / and complete Schedule F-1.

7B. Do you have a lobbyist relationship as that term is defined above?

EITHER check NO / / OR check YES / / and complete Schedule F-2.

8. Payments for Representation and Other Services.

8A. Did you represent any businesses before any state governmental agencies, excluding courts or judges, for which you received total compensation during the past ~~12~~ six months in excess of \$1,000, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers?

EITHER check NO / / OR check YES / / and complete Schedule G-1.

8B. Subject to the same exceptions as in 8A, did persons with whom you have a close financial

1491 association (partners, associates or others) represent any businesses before any state governmental agency
 1492 for which total compensation was received during the past ~~12~~ six months in excess of \$1,000?

1493 EITHER check NO / / OR check YES / / and complete Schedule G-2.

1494 8C. Did you or persons with whom you have a close financial association furnish services to
 1495 businesses operating in Virginia, pursuant to an agreement between you and such businesses, or between
 1496 persons with whom you have a close financial association and such businesses for which total
 1497 compensation in excess of \$1,000 was received during the past ~~12~~ six months? Services reported under
 1498 this provision shall not include services involving the representation of businesses that are reported
 1499 under question 8A or 8B above.

1500 EITHER check NO / / OR check YES / / and complete Schedule G-3.

1501 9. Real Estate.

1502 Do you or a member of your immediate family hold an interest, including a partnership interest,
 1503 valued at ~~\$10,000~~ or more *than* \$5,000 in real property (other than your principal residence) for which
 1504 you have not already listed the full address on Schedule F? Account for real estate held in trust.

1505 EITHER check NO / / OR check YES / / and complete Schedule H.

1506 10. Real Estate Contracts with State Governmental Agencies.

1507 Do you or a member of your immediate family hold an interest valued at more than ~~\$10,000~~ \$5,000
 1508 in real estate, including a corporate, partnership, or trust interest, option, easement, or land contract,
 1509 which real estate is the subject of a contract, whether pending or completed within the past ~~12~~ six
 1510 months, with a state governmental agency?

1511 If the real estate contract provides for the leasing of the property to a state governmental agency, do
 1512 you or a member of your immediate family hold an interest in the real estate, including a corporate,
 1513 partnership, or trust interest, option, easement, or land contract valued at more than \$1,000? Account for
 1514 all such contracts whether or not your interest is reported in Schedule F or H. This requirement to
 1515 disclose an interest in a lease does not apply to an interest derived through an ownership interest in a
 1516 business unless the ownership interest exceeds three percent of the total equity of the business.

1517 EITHER check NO / / OR check YES / / and complete Schedule I.

1518 11. Payments by the Commonwealth for Meetings.

1519 During the past ~~12~~ six months did you receive lodging, transportation, money, or anything else of
 1520 value with a combined value exceeding \$200 from the Commonwealth for a single meeting attended
 1521 out-of-state in your capacity as a legislator? Do not include reimbursements from the Commonwealth for
 1522 meetings attended in the Commonwealth.

1523 EITHER check NO / / OR check YES / / and complete Schedule D-2.

1524 *For Statements filed in January 2016 and each two years thereafter, complete the following*
 1525 *statement indicating whether you completed the ethics orientation sessions provided pursuant to law:*

1526 *I certify that I completed ethics training as required by § 30-129.1. YES / / or NO / /.*

1527 Statements of Economic Interests are open for public inspection.

1528 AFFIRMATION.

1529 In accordance with the rules of the house in which I serve, if I receive a request that this disclosure
 1530 statement be corrected, augmented, or revised in any respect, I hereby pledge that I shall respond
 1531 promptly to the request. I understand that if a determination is made that the statement is insufficient, I
 1532 will satisfy such request or be subjected to disciplinary action of my house.

1533 I swear or affirm that the foregoing information is full, true and correct to the best of my knowledge.

1534 Signature _____

1535 Commonwealth of Virginia

1536 _____ of _____ to wit:

1537 ~~The foregoing disclosure form was acknowledged before me~~

1538 ~~This _____ day of _____, 20____, by _____~~

1539 _____ Notary Public

1540 ~~My commission expires _____~~

1541 (Return only if needed to complete Statement.)

1542 SCHEDULES

1543 to

1544 STATEMENT OF ECONOMIC INTERESTS.

1545 NAME _____

1546 SCHEDULE A - OFFICES AND DIRECTORSHIPS.

1547 Identify each business of which you or a member of your immediate family is a paid officer or paid
 1548 director.

1549 _____

1550

1551	Name of Business	Address of Business	Position Held and by Whom
------	------------------	---------------------	---------------------------

1552	_____	_____	_____
1553	_____	_____	_____
1554	_____	_____	_____
1555	_____	_____	_____
1556	_____		

RETURN TO ITEM 2

SCHEDULE B - PERSONAL LIABILITIES.

1558 Report personal liability by checking each category. Report only debts in excess of ~~\$10,000~~ \$5,000.
 1559 Do not report debts to any government. Do not report loans secured by recorded liens on property at
 1560 least equal in value to the loan.

1561 Report contingent liabilities below and indicate which debts are contingent.

1562 1. My personal debts are as follows:

1563	_____		
1564	_____		
1565		Check one	
1566		\$10,001	
1567	Check	\$5,001 to	More than
1568	appropriate	\$50,000	\$50,000
1569	categories		
1570	Banks	_____	_____
1571	Savings institutions	_____	_____
1572	Other loan or finance companies	_____	_____
1573	Insurance companies	_____	_____
1574	Stock, commodity or other brokerage	_____	_____
1575	companies	_____	_____
1576	Other businesses:		
1577	(State principal business activity for each		
1578	creditor <i>and its name.</i>)	_____	_____
1579		_____	_____
1580		_____	_____
1581	Individual creditors:		
1582	(State principal business or occupation of		
1583	each creditor <i>and its name.</i>)	_____	_____
1584		_____	_____
1585		_____	_____

1586 2. The personal debts of the members of my immediate family are as follows:

1587	_____		
1588	_____		
1589		Check one	
1590		\$10,001	
1591	Check	\$5,001 to	More than
1592	appropriate	\$50,000	\$50,000
1593	categories		
1594	Banks	_____	_____
1595	Savings institutions	_____	_____
1596	Other loan or finance companies	_____	_____
1597	Insurance companies	_____	_____
1598	Stock, commodity or other brokerage	_____	_____
1599	companies	_____	_____
1600	Other businesses:		
1601	(State principal business activity for each		
1602	creditor <i>and its name.</i>)	_____	_____
1603		_____	_____
1604		_____	_____
1605	Individual creditors:		
1606	(State principal business or occupation of		
1607	each creditor <i>and its name.</i>)	_____	_____
1608		_____	_____

1609 _____
 1610 _____
 1611 _____ RETURN TO ITEM 3

1612 SCHEDULE C - SECURITIES.

1613 "Securities" INCLUDES stocks, bonds, "Securities" EXCLUDES
 1614 mutual funds, limited partnerships, certificates of deposit,
 1615 and commodity futures contracts. money market funds, annuity
 1616 contracts, and insurance policies.

1617 Identify each business or Virginia governmental entity in which you or a member of your immediate
 1618 family, directly or indirectly, separately or together, own securities valued in excess of ~~\$10,000~~ \$5,000.
 1619 Name each entity and type of security individually.

1620 Do not list U.S. Bonds or other government securities not issued by the Commonwealth of Virginia
 1621 or its authorities, agencies, or local governments. Do not list organizations that do not do business in
 1622 this Commonwealth, but most major businesses conduct business in Virginia. Account for securities held
 1623 in trust.

1624 If no reportable securities, check here / /.

1625 _____
 1626 _____
 1627 _____ Check one
 1628 ~~\$10,001~~
 1629 Type of Security \$5,001 \$50,001 More
 1630 (stocks, bonds, to to than
 1631 Name of Issuer Type of Entity mutual funds, etc.) \$50,000 \$250,000 \$250,000
 1632 _____
 1633 _____
 1634 _____
 1635 _____
 1636 _____

1637 _____ RETURN TO ITEM 4
 1638 SCHEDULE D-1 - PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.

1639 List each source from which you received during the past ~~12~~ six months lodging, transportation,
 1640 money, or any other thing of value (~~excluding meals or drinks coincident with a meeting~~) with a
 1641 combined value exceeding \$200 for your presentation of a single talk, participation in one meeting, or
 1642 publication of a work in your capacity as a legislator. Do not list payments or reimbursements by the
 1643 Commonwealth. (See Schedule D-2 for such payments or reimbursements.) List a payment even if you
 1644 donated it to charity. Do not list information about a payment if you returned it within 60 days or if you
 1645 received it from an employer already listed under Item 6 or from a source of income listed on Schedule
 1646 F.

1647 If no payment must be listed, check here / /.

1648 _____
 1649 _____
 1650 _____ Type of Payment
 1651 (e.g., Honoraria,
 1652 Travel reimburse-
 1653 Payer Approximate Value Circumstances ment, etc.)
 1654 _____
 1655 _____
 1656 _____
 1657 _____
 1658 _____

1659 _____ RETURN TO ITEM 5A
 1660 SCHEDULE D-2 - PAYMENTS BY THE COMMONWEALTH FOR MEETINGS.

1661 List each meeting for which the Commonwealth provided payments or reimbursements during the
 1662 past ~~12~~ six months to you for lodging, transportation, money, or any other thing of value (~~excluding~~
 1663 ~~meals or drinks coincident with a meeting~~) with a combined value exceeding \$200 for your participation
 1664 in your capacity as a legislator. Do not list payments or reimbursements by the Commonwealth for
 1665 meetings or travel within the Commonwealth.

1666 If no payment must be listed, check here / /.

1667 _____

			Type of Payment (e.g., Travel reimbursement, etc.)
Payer	Approximate Value	Circumstances	

RETURN TO ITEM 5A

SCHEDULE E-1 - ENTERTAINMENT AND INTANGIBLE GIFTS.

List each business, governmental entity, or individual that, during the past 12 ~~six~~ months, (i) furnished you *or a member of your immediate family* with any gift ~~or~~ entertainment at a single event, *or intangible gift* and the value received ~~by you~~ exceeded \$50, ~~in value~~ or (ii) furnished you *or a member of your immediate family* with gifts ~~or such~~ entertainment *or intangible gifts* in any combination and the total value received ~~by you~~ exceeded \$100 ~~in total value~~; and for which you *or the member of your immediate family* neither paid nor rendered services in exchange. List each such gift or event.

Do not list entertainment events unless the average value per person attending the event exceeded \$50 ~~in value~~. Do not list business entertainment related to ~~your~~ the private profession or occupation of you *or the member of your immediate family who received such business entertainment*. Do not list gifts or other things of value given by a relative or personal friend for reasons clearly unrelated to your public position. Do not list campaign contributions publicly reported as required by Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2 of the Code of Virginia. *For the purposes of this Schedule, "personal friend" does not include any lobbyist, any lobbyist's principal, or any business, government, or individual that is transacting or seeking to transact business with the Commonwealth or its agencies, departments, or political subdivisions within 12 months from the date of the gift that the filer knows or has reason to know is a lobbyist, lobbyist's principal, or business, government, or individual that is transacting or seeking to transact business with the Commonwealth or its agencies, departments, or political subdivisions within 12 months from the date of the gift.*

	Name of Business, Organization, or Individual	City or County and State	Gift or Event	Approximate Value
Name of Recipient				

RETURN TO ITEM 6 5B

SCHEDULE E-2 - TANGIBLE GIFTS.

List each business, governmental entity, or individual that, during the past 12 months, (i) furnished you with any tangible gift and the value received by you exceeded \$50 or (ii) furnished you with such gifts in any combination and the total value received by you exceeded \$100, and for which you neither paid nor rendered services in exchange. List each such gift. Do not list gifts given by a relative or personal friend for reasons clearly unrelated to your public position. *For the purposes of this Schedule, "personal friend" does not include any lobbyist, any lobbyist's principal, or any business, government, or individual that is transacting or seeking to transact business with the Commonwealth or its agencies, departments, or political subdivisions within 12 months from the date of the gift that the filer knows or has reason to know is a lobbyist, lobbyist's principal, or business, government, or individual that is transacting or seeking to transact business with the Commonwealth or its agencies, departments, or political subdivisions within 12 months from the date of the gift.*

	Name of Business, Organization, or Individual	City or County and State	Exact Gift or Event	Approximate Value
Name of Recipient				

1727 _____
 1728 _____
 1729 _____
 1730 _____

1731 RETURN TO ITEM 6

1732 SCHEDULE F-1 - BUSINESS INTERESTS.

1733 Complete this Schedule for each self-owned or family-owned business (including rental property, a
 1734 farm, or consulting work), partnership, or corporation in which you or a member of your immediate
 1735 family, separately or together, own an interest having a value in excess of ~~\$10,000~~ \$5,000.

1736 If the enterprise is owned or operated under a trade, partnership, or corporate name, list that name;
 1737 otherwise, merely explain the nature of the enterprise. If rental property is owned or operated under a
 1738 trade, partnership, or corporate name, list the name only; otherwise, give the address of each property.
 1739 Account for business interests held in trust.

Name of Business Corporation, Partnership, Farm;	City or County and State	Nature of Enterprise (farming, law, rental property, etc.)	Gross income \$50,001 to More than \$250,000
Address of			
Rental			
Property			

1755 RETURN TO ITEM 8

1756 SCHEDULE F-2 - LOBBYIST RELATIONSHIPS AND PAYMENTS.

1757 Complete this Schedule for each lobbyist relationship with the following:

1758 (i) any person who is, or has been within the prior calendar year, registered as a lobbyist with the
 1759 ~~Secretary of the Commonwealth~~ Virginia Conflict of Interest and Ethics Advisory Council, or

1760 (ii) any business in which you have a greater than three percent ownership interest and that business
 1761 employs, or engages as an independent contractor, any person who is, or has been within the prior
 1762 calendar year, registered as a lobbyist with the ~~of the Commonwealth~~ Council.

List each person or business	Describe each relationship	Dates of relationship	Payments to Lobbyist More than \$10,001 \$10,000

1776 THE DISCLOSURE OF A LOBBYIST RELATIONSHIP SHALL NOT (I) CONSTITUTE A
 1777 WAIVER OF ANY ATTORNEY-CLIENT OR OTHER PRIVILEGE, (II) REQUIRE A WAIVER OF
 1778 ANY ATTORNEY-CLIENT OR OTHER PRIVILEGE FOR A THIRD PARTY, OR (III) BE
 1779 REQUIRED WHERE A MEMBER OR MEMBER-ELECT IS EMPLOYED OR ENGAGED BY A
 1780 PERSON AND SUCH PERSON ALSO EMPLOYS OR ENGAGES A PERSON IN A LOBBYIST
 1781 RELATIONSHIP SO LONG AS THE MEMBER OR MEMBER-ELECT HAS NO FINANCIAL
 1782 INTEREST IN THE LOBBYIST RELATIONSHIP.

1783 SCHEDULE G-1 - PAYMENTS FOR REPRESENTATION BY YOU.

1784 List the businesses you represented before any state governmental agency, excluding any court or
 1785 judge, for which you received total compensation during the past ~~12~~ six months in excess of \$1,000,

Identify each business, the nature of the representation and the amount received by dollar category from each such business. You may state the type, rather than name, of the business if you are required by law not to reveal the name of the business represented by you.

If you have received \$250,001 or more from a single business within the reporting period, indicate the amount received, rounded to the nearest \$10,000. Amount Received: _____.

List the businesses that have been represented before any state governmental agency, excluding any court or judge, by persons who are your partners, associates or others with whom you have a close financial association and who received total compensation in excess of \$1,000 for such representation during the past 12 *six* months, excluding representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers filed by your partners, associates or others with whom you have a close financial association.

Type of Business	Name of State Governmental Agency

Indicate below types of businesses that operate in Virginia to which services were furnished by you or persons with whom you have a close financial association pursuant to an agreement between you and such businesses, or between persons with whom you have a close financial association and such businesses and for which total compensation in excess of \$1,000 was received during the past ~~12~~ *six* months. Services reported in this Schedule shall not include services involving the representation of businesses that are reported in Schedule G-1 or G-2 above.

	Check if ser- vices were ren- dered	Type of ser- vice ren- dered	\$1,001 to \$10,000	\$10,001 to \$50,000	\$50,001 to \$100,000	\$100,001 to \$250,000	\$250,001 and over
Electric utilities							
Gas utilities							

1844	Telephone utilities	_____	_____	_____	_____	_____	_____
1845	Water utilities	_____	_____	_____	_____	_____	_____
1846	Cable television	_____	_____	_____	_____	_____	_____
1847	companies	_____	_____	_____	_____	_____	_____
1848	Interstate	_____	_____	_____	_____	_____	_____
1849	transportation	_____	_____	_____	_____	_____	_____
1850	companies	_____	_____	_____	_____	_____	_____
1851	Intrastate	_____	_____	_____	_____	_____	_____
1852	transportation	_____	_____	_____	_____	_____	_____
1853	companies	_____	_____	_____	_____	_____	_____
1854	Oil or gas retail	_____	_____	_____	_____	_____	_____
1855	companies	_____	_____	_____	_____	_____	_____
1856	Banks	_____	_____	_____	_____	_____	_____
1857	Savings	_____	_____	_____	_____	_____	_____
1858	institutions	_____	_____	_____	_____	_____	_____
1859	Loan or finance	_____	_____	_____	_____	_____	_____
1860	companies	_____	_____	_____	_____	_____	_____
1861	Manufacturing	_____	_____	_____	_____	_____	_____
1862	companies (state	_____	_____	_____	_____	_____	_____
1863	type of product,	_____	_____	_____	_____	_____	_____
1864	e.g., textile,	_____	_____	_____	_____	_____	_____
1865	furniture, etc.)	_____	_____	_____	_____	_____	_____
1866	Mining companies	_____	_____	_____	_____	_____	_____
1867	Life insurance	_____	_____	_____	_____	_____	_____
1868	companies	_____	_____	_____	_____	_____	_____
1869	Casualty insurance	_____	_____	_____	_____	_____	_____
1870	companies	_____	_____	_____	_____	_____	_____
1871	Other insurance	_____	_____	_____	_____	_____	_____
1872	companies	_____	_____	_____	_____	_____	_____
1873	Retail companies	_____	_____	_____	_____	_____	_____
1874	Beer, wine or	_____	_____	_____	_____	_____	_____
1875	liquor companies	_____	_____	_____	_____	_____	_____
1876	or distributors	_____	_____	_____	_____	_____	_____
1877	Trade associations	_____	_____	_____	_____	_____	_____
1878	Professional	_____	_____	_____	_____	_____	_____
1879	associations	_____	_____	_____	_____	_____	_____
1880	Associations of	_____	_____	_____	_____	_____	_____
1881	public employees	_____	_____	_____	_____	_____	_____
1882	or officials	_____	_____	_____	_____	_____	_____
1883	Counties, cities	_____	_____	_____	_____	_____	_____
1884	or towns	_____	_____	_____	_____	_____	_____
1885	Labor organizations	_____	_____	_____	_____	_____	_____
1886	Other	_____	_____	_____	_____	_____	_____
1887		_____	_____	_____	_____	_____	_____

RETURN TO ITEM 9

SCHEDULE H - REAL ESTATE.

List real estate other than your principal residence in which you or a member of your immediate family holds an interest, including a partnership interest, option, easement, or land contract, valued at \$40,000 \$5,000 or more. Each parcel must be listed individually.

1893			
1894			
1895		Describe the type of real	
1896		estate you own in each	
1897	List the location	location (business,	If the real estate is
1898	(state, and county	recreational, apartment,	owned or recorded in
1899	or city where you	commercial, open land,	a name other than your
1900	own real estate	etc.)	own, list that name

RETURN TO ITEM 10

SCHEDULE I - REAL ESTATE CONTRACTS WITH STATE GOVERNMENTAL AGENCIES.

List all contracts, whether pending or completed within the past ~~42~~ *six* months, with a state governmental agency for the sale or exchange of real estate in which you or a member of your immediate family holds an interest, including a corporate, partnership or trust interest, option, easement, or land contract, valued at *more than* \$10,000 ~~or more~~. List all contracts with a state governmental agency for the lease of real estate in which you or a member of your immediate family holds such an interest valued at *more than* \$1,000 ~~or more~~. This requirement to disclose an interest in a lease does not apply to an interest derived through an ownership interest in a business unless the ownership interest exceeds three percent of the total equity of the business.

List your real estate interest and the person or entity, including the type of entity, which is party to the contract.

Describe any management role and the percentage ownership interest you or your immediate family member has in the real estate or entity.

List each governmental agency which is a party to the contract and indicate the county or city where the real estate is located.

State the annual income from the contract, and the amount, if any, of income you or any immediate family member derives annually from the contract.

B. Any legislator who makes a knowing misstatement of a material fact on the Statement of Economic Interests shall be subject to disciplinary action for such violations by the house in which the legislator sits.

C. ~~In accordance with the rules of each house, the~~ The Statement of Economic Interests of all members of each house shall be reviewed *by the Council*. If a legislator's Statement is found to be inadequate as filed, the legislator shall be notified in writing and directed to file an amended Statement correcting the indicated deficiencies, and a time shall be set within which such amendment shall be filed. If the Statement of Economic Interests, in either its original or amended form, is found to be adequate as filed, the legislator's filing shall be deemed in full compliance with this section as to the information disclosed thereon.

D. Ten percent of the membership of a house, on the basis of newly discovered facts, may in writing request the house in which those members sit, in accordance with the rules of that house, to review the Statement of Economic Interests of another member of that house in order to determine the adequacy of his filing. In accordance with the rules of each house, each Statement of Economic Interests shall be promptly reviewed, the adequacy of the filing determined, and notice given in writing to the legislator whose Statement is in issue. Should it be determined that the Statement requires correction, augmentation or revision, the legislator involved shall be directed to make the changes required within such time as shall be set under the rules of each house.

If a legislator, after having been notified in writing in accordance with the rules of the house in which he sits that his Statement is inadequate as filed, fails to amend his Statement so as to come into compliance within the time limit set, he shall be subject to disciplinary action by the house in which he

sits. No legislator shall vote on any question relating to his own Statement.

§ 30-114. Filing of complaints; procedures; disposition.

A. In response to the signed and sworn complaint of any citizen of the Commonwealth, which is subscribed by the maker as true under penalty of perjury, submitted to the Panel, the Panel shall inquire into any alleged violation of Articles 2 (§ 30-102 et seq.) through 5 (§ 30-109 et seq.) of this chapter by any member of the respective house of the General Assembly in his current term or his immediate prior term. Complaints shall be filed with the ~~Director of the Division of Legislative Services Virginia~~ *Conflict of Interest and Ethics Advisory Council*, ~~who~~ which shall promptly (i) submit the complaint to the chairman of the appropriate Panel and (ii) forward a copy of the complaint to the legislator named in the complaint. The chairman shall promptly notify the Panel of the complaint. No complaint shall be filed with the Panel 60 or fewer days before a primary election or other nominating event or before a general election in which the cited legislator is running for office, and the Panel shall not accept or act on any complaint received during this period.

B. The Panel shall determine, during its preliminary investigation, whether the facts stated in the complaint taken as true are sufficient to show a violation of Articles 2 (§ 30-102 et seq.) through 5 (§ 30-109 et seq.) of this chapter. If the facts, as stated in the complaint, fail to give rise to such a violation, then the Panel shall dismiss the complaint. If the facts, as stated in the complaint, give rise to such a violation, then the Panel shall request that the complainant appear and testify under oath as to the complaint and the allegations therein. After hearing the testimony and reviewing any other evidence provided by the complainant, the Panel shall dismiss the complaint if the Panel fails to find by a preponderance of the evidence that such violation has occurred. If the Panel finds otherwise, it shall proceed with the inquiry.

C. If after such preliminary investigation, the Panel determines to proceed with an inquiry into the conduct of any legislator, the Panel (i) shall immediately notify in writing the individual who filed the complaint and the cited legislator as to the fact of the inquiry and the charges against the legislator and (ii) shall schedule one or more hearings on the matter. The legislator shall have the right to present evidence, cross-examine witnesses, face and examine the accuser, and be represented by counsel at any hearings. In its discretion, the Panel may grant the legislator any other rights or privileges not specifically enumerated in this subsection. Once the Panel has determined to proceed with an inquiry, its meetings and hearings shall be open to the public.

D. Once the Panel determines to proceed with an inquiry into the conduct of any legislator, the Panel shall complete its investigations and dispose of the matter as provided in § 30-116 notwithstanding the resignation of the legislator during the course of the Panel's proceedings.

§ 30-117. Confidentiality of proceedings.

All proceedings during the investigation of any complaint by the Panel shall be confidential. This rule of confidentiality shall apply to Panel members and their staff and, the Committee on Privileges and Elections and its staff, ~~and the Virginia Conflict of Interest and Ethics Advisory Council.~~

§ 30-118. Staff for Panel.

The Panel may hire staff and outside counsel to assist the Panel and to conduct examinations of witnesses, subject to the approval of the President Pro Tempore of the Senate for the Senate Ethics Advisory Panel and subject to the approval of the Speaker of the House of Delegates for the House Ethics Advisory Panel. ~~The Panel may have the Director of the Division of Legislative Services, and such additional staff as he may assign, assist the Panel during its preliminary investigation and during its proceedings.~~

§ 30-124. Advisory opinions.

A legislator shall not be prosecuted or disciplined for a violation of this chapter if his alleged violation resulted from his good faith reliance on a written opinion of a committee on standards of conduct established pursuant to § 30-120, *a formal opinion of the Virginia Conflict of Interest and Ethics Advisory Council established pursuant to § 30-348*, or an opinion of the Attorney General as provided in § 30-122, and the opinion was made after his full disclosure of the facts.

Article 6.

Ethics Orientation Sessions.

§ 30-129.1. Orientation sessions on ethics and conflicts of interests.

The Virginia Conflict of Interest and Ethics Advisory Council shall conduct an orientation session for new and returning General Assembly members preceding each even-numbered year regular session. Attendance at the full orientation session shall be mandatory for newly elected members. Attendance at a refresher session lasting at least two hours shall be mandatory for returning members and may be accomplished by online participation. There shall be no penalty for the failure of a member to attend the full or refresher orientation session, but the member must disclose his attendance pursuant to § 30-111.

§ 30-129.2. Content of orientation sessions.

The orientation session shall provide information and training for the members on ethics and

conflicts of interests, on the provisions of the General Assembly Conflicts of Interests Act (§ 30-100 et seq.), on relevant federal law provisions, and on related issues involving lobbying. Refresher sessions may be offered online.

§ 30-129.3. Orientation session preparations.

Those conducting the orientation sessions may call on other agencies in the legislative or executive branches for assistance, may invite experts to assist in the sessions, and shall apply for mandatory continuing legal education credits for the sessions.

CHAPTER 55.

VIRGINIA CONFLICT OF INTEREST AND ETHICS ADVISORY COUNCIL.

§ 30-348. Virginia Conflict of Interest and Ethics Advisory Council; membership; terms; quorum; expenses.

A. The Virginia Conflict of Interest and Ethics Advisory Council (the Council) is hereby created as an advisory council in the legislative branch to encourage and facilitate compliance with the State and Local Government Conflict of Interests Act (§ 2.2-3100 et seq.) and the General Assembly Conflicts of Interests Act (§ 30-100 et seq.) (hereafter the Acts) and the lobbying laws in Article 3 (§ 2.2-418 et seq.) of Chapter 4 of Title 2.2 (hereafter Article 3).

B. The Council shall consist of 14 members as follows: four members appointed by the Speaker of the House of Delegates, two of whom shall be members of the House of Delegates and two of whom shall be nonlegislative citizen members; four members appointed by the Senate Committee on Rules, two of whom shall be members of the Senate and two of whom shall be nonlegislative citizen members; four members appointed by the Governor, two of whom shall be executive branch employees and two of whom shall be nonlegislative citizen members; one member designated by the Attorney General; and one member appointed by the Speaker of the House of Delegates from a list of three nominees submitted by the Virginia Association of Counties and a list of three nominees submitted by the Virginia Municipal League.

C. All appointments following the initial staggering of terms shall be for terms of four years, except that appointments to fill vacancies shall be for the unexpired terms in the same manner as the original appointment. No nonlegislative citizen member shall be eligible to serve for more than two successive four-year terms. However, after the expiration of a term of three years or less, or after the expiration of the remainder of a term to which appointed to fill a vacancy, two additional terms may be served by such member if appointed thereto. Legislative members and other state government officials shall serve terms coincident with their terms of office. Legislative members may be reappointed for successive terms.

D. The members of the Council shall elect from among their membership a chairman and a vice-chairman for two-year terms. The chairman and vice-chairman may not succeed themselves to the same position. The Council shall hold meetings quarterly or upon the call of the chairman. A majority of the Council shall constitute a quorum.

E. Members of the Council shall receive no compensation for their services but shall be reimbursed for all reasonable and necessary expenses incurred in the performance of their duties as provided in §§ 2.2-2813, 2.2-2825, and 30-19.12, as appropriate. Funding for expenses of the members shall be provided from existing appropriations to the Council.

§ 30-349. Powers and duties of the Council.

The Council shall:

1. Review all disclosure forms filed by lobbyists pursuant to Article 3 and by state and local government officers and employees and legislators pursuant to the Acts. The Council's review shall include the reading of all disclosure forms for completeness and accuracy and be followed by requests for amendments to assure the completeness of and correction of errors in the forms;

2. Accept any disclosure forms by computer or electronic means in accordance with the standards approved by the Council and using software meeting standards approved by it. The Council shall provide software to filers without charge and may prescribe the method of execution and certification of electronically filed forms and the procedures for receiving forms in the office of the Secretary;

3. Beginning July 1, 2015, establish and maintain a searchable electronic database comprising disclosure forms filed pursuant to §§ 2.2-426, 2.2-3117, and 30-111. Such database shall be available to the public through the Council's official website;

4. Furnish, upon request, formal advisory opinions or guidelines and other appropriate information, including informal advice, regarding ethics and conflicts issues arising under Article 3 or the Acts to any person or to any agency of state or local government, in an expeditious manner. Informal advice given by the Council shall be confidential, protected by the attorney-client privilege, and excluded from the provisions of the Virginia Freedom of Information Act (§ 2.2-3700 et seq.);

5. Conduct training seminars and educational programs for lobbyists, state and local government officers and employees and legislators, and other interested persons on the requirements of Article 3

2083 *and the Acts and provide ethics orientation sessions for legislators in compliance with Article 6*
2084 *(§ 30-129.1 et seq.) of Chapter 13;*

2085 *6. Publish such educational materials as it deems appropriate on the provisions of Article 3 and the*
2086 *Acts;*

2087 *7. Review actions taken in the General Assembly with respect to the discipline of its members for the*
2088 *purpose of offering nonbinding advice;*

2089 *8. Request from any agency of state or local government such assistance, services, and information*
2090 *as will enable the Council to effectively carry out its responsibilities. Information provided to the*
2091 *Council by an agency of state or local government shall not be released to any other party unless*
2092 *authorized by such agency; and*

2093 *9. Report on or before December 1 of each year on its activities and findings regarding Article 3*
2094 *and the Acts, including recommendations for changes in the laws, to the General Assembly and the*
2095 *Governor. The annual report shall be submitted by the chairman as provided in the procedures of the*
2096 *Division of Legislative Automated Systems for the processing of legislative documents and reports and*
2097 *shall be published as a state document.*

2098 **§ 30-350. Staff.**

2099 *The Council shall designate its executive director. Staff assistance to the Council shall be provided*
2100 *by the Division of Legislative Services. Staff shall perform those duties assigned to it by the Council,*
2101 *including those duties enumerated in § 30-349.*

2102 **§ 30-351. Cooperation of agencies of state and local government.**

2103 *Every department, division, board, bureau, commission, authority, or political subdivision of the*
2104 *Commonwealth shall cooperate with, and provide such assistance to, the Council as the Council may*
2105 *request.*

2106 **2. That the initial terms of the nonlegislative citizen members of the Virginia Conflict of Interest**
2107 **and Ethics Advisory Council appointed pursuant to this act shall be staggered as follows: (i) two**
2108 **members, one appointed by the Speaker of the House of Delegates and one appointed by the**
2109 **Senate Committee on Rules, for a term of two years; (ii) two members, one appointed by the**
2110 **Speaker of the House of Delegates and one appointed by the Governor, for a term of three years;**
2111 **(iii) two members, one member appointed by the Senate Committee on Rules and one appointed**
2112 **by the Governor, for a term of four years; and (iv) the designee of the Attorney General and the**
2113 **appointed representative of the Virginia Association of Counties and Virginia Municipal League**
2114 **for a term of one year. Thereafter, the terms of members shall be for four years.**

2115 **3. That the provisions of this act may result in a net increase in periods of imprisonment or**
2116 **commitment. Pursuant to § 30-19.1:4, the estimated amount of the necessary appropriation is \$0**
2117 **for periods of imprisonment in state adult correctional facilities and is \$0 for periods of**
2118 **commitment to the custody of the Department of Juvenile Justice.**