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HOUSE BILL NO. 481**AMENDMENT IN THE NATURE OF A SUBSTITUTE**

(Proposed by the Senate Committee on General Laws and Technology
on February 27, 2012)

(Patron Prior to Substitute—Delegate Iaquinto)

A BILL to amend and reenact §§ 2.2-3112, 2.2-3115, 2.2-3117, and 2.2-3120 of the Code of Virginia, relating to the State and Local Government Conflict of Interests Act; disclosure forms.

Be it enacted by the General Assembly of Virginia:

1. That §§ 2.2-3112, 2.2-3115, 2.2-3117, and 2.2-3120 of the Code of Virginia are amended and reenacted as follows:

§ 2.2-3112. Prohibited conduct concerning personal interest in a transaction; exceptions.

A. Each officer and employee of any state or local governmental or advisory agency who has a personal interest in a transaction:

1. Shall disqualify himself from participating in the transaction if (i) the transaction has application solely to property or a business or governmental agency in which he has a personal interest or a business that has a parent-subsidiary or affiliated business entity relationship with the business in which he has a personal interest or (ii) he is unable to participate pursuant to subdivision 2, 3 or 4. Any disqualification under the provisions of this subdivision shall be recorded in the public records of the officer's or employee's governmental or advisory agency. The officer or employee shall disclose his personal interest as required by *subsection E of § 2.2-3114* ~~E~~ or *subsection F of § 2.2-3115* ~~E~~ and shall not vote or in any manner act on behalf of his agency in the transaction. The officer or employee shall be prohibited from (i) attending any portion of a closed meeting authorized by the Virginia Freedom of Information Act (§ 2.2-3700 et seq.) when the matter in which he has a personal interest is discussed and (ii) discussing the matter in which he has a personal interest with other governmental officers or employees at any time;

2. May participate in the transaction if he is a member of a business, profession, occupation, or group of three or more persons the members of which are affected by the transaction, and he complies with the declaration requirements of *subsection F of § 2.2-3114* ~~F~~ or *subsection H of § 2.2-3115* ~~G~~;

3. May participate in the transaction when a party to the transaction is a client of his firm if he does not personally represent or provide services to such client and he complies with the declaration requirements of *subsection G of § 2.2-3114* ~~G~~ or *subsection I of § 2.2-3115* ~~H~~; or

4. May participate in the transaction if it affects the public generally, even though his personal interest, as a member of the public, may also be affected by that transaction.

B. Disqualification under the provisions of this section shall not prevent any employee having a personal interest in a transaction in which his agency is involved from representing himself or a member of his immediate family in such transaction provided he does not receive compensation for such representation and provided he complies with the disqualification and relevant disclosure requirements of this chapter.

C. Notwithstanding any other provision of law, if disqualifications of officers or employees in accordance with this section leave less than the number required by law to act, the remaining member or members shall constitute a quorum for the conduct of business and have authority to act for the agency by majority vote, unless a unanimous vote of all members is required by law, in which case authority to act shall require a unanimous vote of remaining members. Notwithstanding any provisions of this chapter to the contrary, members of a local governing body whose sole interest in any proposed sale, contract of sale, exchange, lease or conveyance is by virtue of their employment by a business involved in a proposed sale, contract of sale, exchange, lease or conveyance, and where such member's or members' vote is essential to a constitutional majority required pursuant to Article VII, Section 9 of the Constitution of Virginia and § 15.2-2100, such member or members of the local governing body may vote and participate in the deliberations of the governing body concerning whether to approve, enter into or execute such sale, contract of sale, exchange, lease or conveyance. Official action taken under circumstances that violate this section may be rescinded by the agency on such terms as the interests of the agency and innocent third parties require.

D. The provisions of subsection A shall not prevent an officer or employee from participating in a transaction merely because such officer or employee is a party in a legal proceeding of a civil nature concerning such transaction.

E. The provisions of subsection A shall not prevent an employee from participating in a transaction regarding textbooks or other educational material for students at state institutions of higher education, when those textbooks or materials have been authored or otherwise created by the employee.

§ 2.2-3115. Disclosure by local government officers and employees.

60 A. The members of every governing body and school board of each county and city and of towns
61 with populations in excess of 3,500 shall file, as a condition to assuming office or employment, a
62 disclosure statement of their personal interests and other information as is specified on the form set forth
63 in § 2.2-3117 and thereafter shall file such a statement annually on or before January 15.

64 The members of the governing body of any authority established in any county or city, or part or
65 combination thereof, and having the power to issue bonds or expend funds in excess of \$10,000 in any
66 fiscal year, shall file, as a condition to assuming office, a disclosure statement of their personal interests
67 and other information as is specified on the form set forth in § 2.2-3118 and thereafter shall file such a
68 statement annually on or before January 15, unless the governing body of the jurisdiction that appoints
69 the members requires that the members file the form set forth in § 2.2-3117.

70 Persons occupying such positions of trust appointed by governing bodies and persons occupying such
71 positions of employment with governing bodies as may be designated to file by ordinance of the
72 governing body shall file, as a condition to assuming office or employment, a disclosure statement of
73 their personal interests and other information as is specified on the form set forth in § 2.2-3117 and
74 thereafter shall file such a statement annually on or before January 15.

75 Persons occupying such positions of trust appointed by school boards and persons occupying such
76 positions of employment with school boards as may be designated to file by an adopted policy of the
77 school board shall file, as a condition to assuming office or employment, a disclosure statement of their
78 personal interests and other information as is specified on the form set forth in § 2.2-3117 and thereafter
79 shall file such a statement annually on or before January 15.

80 B. Nonsalaried citizen members of local boards, commissions and councils as may be designated by
81 the governing body shall file, as a condition to assuming office, a disclosure form of their personal
82 interests and such other information as is specified on the form set forth in § 2.2-3118 and thereafter
83 shall file such form annually on or before January 15.

84 C. *No person shall be mandated to file any disclosure not otherwise required by this article.*

85 D. The disclosure forms required by subsections A and B shall be provided by the Secretary of the
86 Commonwealth to the clerks of the governing bodies and school boards not later than November 30 of
87 each year, and the clerks of the governing body and school board shall distribute the forms to
88 designated individuals no later than December 10 of each year. Forms shall be filed and maintained as
89 public records for five years in the office of the clerk of the respective governing body or school board.
90 Forms filed by members of governing bodies of authorities shall be filed and maintained as public
91 records for five years in the office of the clerk of the governing body of the county or city.

92 ~~D.E.~~ Candidates for membership in the governing body or school board of any county, city or town
93 with a population of more than 3,500 persons shall file a disclosure statement of their personal interests
94 as required by § 24.2-502.

95 ~~E.F.~~ Any officer or employee of local government who has a personal interest in any transaction
96 before the governmental or advisory agency of which he is an officer or employee and who is
97 disqualified from participating in that transaction pursuant to subdivision A 1 of § 2.2-3112 or otherwise
98 elects to disqualify himself, shall forthwith make disclosure of the existence of his interest, including the
99 full name and address of the business and the address or parcel number for the real estate if the interest
100 involves a business or real estate, and his disclosure shall be reflected in the public records of the
101 agency for five years in the office of the administrative head of the officer's or employee's governmental
102 or advisory agency.

103 ~~F.G.~~ In addition to any disclosure required by subsections A and B, in each county and city and in
104 towns with populations in excess of 3,500, members of planning commissions, boards of zoning appeals,
105 real estate assessors, and all county, city and town managers or executive officers shall make annual
106 disclosures of all their interests in real estate located in the county, city or town in which they are
107 elected, appointed, or employed. Such disclosure shall include any business in which such persons own
108 an interest, or from which income is received, if the primary purpose of the business is to own, develop
109 or derive compensation through the sale, exchange or development of real estate in the county, city or
110 town. Such disclosure shall be filed as a condition to assuming office or employment, and thereafter
111 shall be filed annually with the clerk of the governing body of such county, city or town on or before
112 January 15. Such disclosures shall be filed and maintained as public records for five years. Forms for
113 the filing of such reports shall be prepared and distributed by the Secretary of the Commonwealth to the
114 clerk of each governing body.

115 ~~G.H.~~ An officer or employee of local government who is required to declare his interest pursuant to
116 subdivision A 2 of § 2.2-3112 shall declare his interest by stating (i) the transaction involved, (ii) the
117 nature of the officer's or employee's personal interest affected by the transaction, (iii) that he is a
118 member of a business, profession, occupation, or group the members of which are affected by the
119 transaction, and (iv) that he is able to participate in the transaction fairly, objectively, and in the public
120 interest. The officer or employee shall either make his declaration orally to be recorded in written
121 minutes of his agency or file a signed written declaration with the clerk or administrative head of his

122 governmental or advisory agency, as appropriate, who shall, in either case, retain and make available for
123 public inspection such declaration for a period of five years from the date of recording or receipt. If
124 reasonable time is not available to comply with the provisions of this subsection prior to participation in
125 the transaction, the officer or employee shall prepare and file the required declaration by the end of the
126 next business day. The officer or employee shall also orally disclose the existence of the interest during
127 each meeting of the governmental or advisory agency at which the transaction is discussed and such
128 disclosure shall be recorded in the minutes of the meeting.

129 *H.I.* An officer or employee of local government who is required to declare his interest pursuant to
130 subdivision A 3 of § 2.2-3112, shall declare his interest by stating (i) the transaction involved, (ii) that a
131 party to the transaction is a client of his firm, (iii) that he does not personally represent or provide
132 services to the client, and (iv) that he is able to participate in the transaction fairly, objectively, and in
133 the public interest. The officer or employee shall either make his declaration orally to be recorded in
134 written minutes for his agency or file a signed written declaration with the clerk or administrative head
135 of his governmental or advisory agency, as appropriate, who shall, in either case, retain and make
136 available for public inspection such declaration for a period of five years from the date of recording or
137 receipt. If reasonable time is not available to comply with the provisions of this subsection prior to
138 participation in the transaction, the officer or employee shall prepare and file the required declaration by
139 the end of the next business day.

140 § 2.2-3117. Disclosure form.

141 The disclosure form to be used for filings required by *subsections A and D* of § 2.2-3114 A and D,
142 and *subsections A and E* of § 2.2-3115 A and D shall be substantially as follows:

143 STATEMENT OF ECONOMIC INTERESTS.

- 144 Name
- 145 Office or position held or sought
- 146 Address
- 147 Names of members of immediate family

148 DEFINITIONS AND EXPLANATORY MATERIAL.

149 "Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise,
150 association, trust or foundation, or any other individual or entity carrying on a business or profession,
151 whether or not for profit.

152 "Close financial association" means an association in which the person filing shares significant
153 financial involvement with an individual and the filer would reasonably be expected to be aware of the
154 individual's business activities and would have access to the necessary records either directly or through
155 the individual. "Close financial association" does not mean an association based on (i) the receipt of
156 retirement benefits or deferred compensation from a business by which the person filing this statement is
157 no longer employed, or (ii) the receipt of compensation for work performed by the person filing as an
158 independent contractor of a business that represents an entity before any state governmental agency
159 when the person filing has had no communications with the state governmental agency.

160 "Contingent liability" means a liability that is not presently fixed or determined, but may become
161 fixed or determined in the future with the occurrence of some certain event.

162 "Dependent" means any person, whether or not related by blood or marriage, who receives from the
163 officer or employee, or provides to the officer or employee, more than one-half of his financial support.

164 "Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item
165 having monetary value. It includes services as well as gifts of transportation, local travel, lodgings and
166 meals, whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the
167 expense has been incurred. "Gift" shall not include any offer of a ticket or other admission or pass
168 unless the ticket, admission, or pass is used. "Gift" shall not include honorary degrees and presents from
169 relatives. "Relative" means the donee's spouse, child, uncle, aunt, niece, or nephew; a person to whom
170 the donee is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother,
171 or sister; or the donee's brother's or sister's spouse.

172 "Immediate family" means (i) a spouse and (ii) any other person residing in the same household as
173 the officer or employee, who is a dependent of the officer or employee or of whom the officer or
174 employee is a dependent.

175 TRUST. If you or your immediate family, separately or together, are the only beneficiaries of a trust,
176 treat the trust's assets as if you own them directly. If you or your immediate family has a proportional
177 interest in a trust, treat that proportion of the trust's assets as if you own them directly. For example, if
178 you and your immediate family have a one-third interest in a trust, complete your Statement as if you
179 own one-third of each of the trust's assets. If you or a member of your immediate family created a trust
180 and can revoke it without the beneficiaries' consent, treat its assets as if you own them directly.

181 REPORT TO THE BEST OF INFORMATION AND BELIEF. Information required on this
182 Statement must be provided on the basis of the best knowledge, information and belief of the individual

- 183 filing the Statement as of the date of this report unless otherwise stated.
- 184 COMPLETE ITEMS 1 THROUGH 10. REFER TO SCHEDULES ONLY IF DIRECTED.
- 185 You may attach additional explanatory information.
- 186 1. Offices and Directorships.
- 187 Are you or a member of your immediate family a paid officer or paid director of a business?
- 188 EITHER check NO // OR check YES // and complete Schedule A.
- 189 2. Personal Liabilities.
- 190 Do you or a member of your immediate family owe more than \$10,000 to any one creditor including
- 191 contingent liabilities? (Exclude debts to any government and loans secured by recorded liens on property
- 192 at least equal in value to the loan.)
- 193 EITHER check NO // OR check YES // and complete Schedule B.
- 194 3. Securities.
- 195 Do you or a member of your immediate family, directly or indirectly, separately or together, own
- 196 securities valued in excess of \$10,000 invested in one business? Account for mutual funds, limited
- 197 partnerships and trusts.
- 198 EITHER check NO // OR check YES // and complete Schedule C.
- 199 4. Payments for Talks, Meetings, and Publications.
- 200 During the past 12 months did you receive lodging, transportation, money, or anything else of value
- 201 with a combined value exceeding \$200 for a single talk, meeting, or published work in your capacity as
- 202 an officer or employee of your agency?
- 203 EITHER check NO // OR check YES // and complete Schedule D.
- 204 5. Gifts.
- 205 During the past 12 months did a business, government, or individual other than a relative or personal
- 206 friend (i) furnish you with any gift or entertainment at a single event, and the value received by you
- 207 exceeded \$50 in value or (ii) furnish you with gifts or entertainment in any combination and the value
- 208 received by you exceeded \$100 in total value; and for which you neither paid nor rendered services in
- 209 exchange? Account for entertainment events only if the average value per person attending the event
- 210 exceeded \$50 in value. Account for all business entertainment (except if related to your private
- 211 profession or occupation) even if unrelated to your official duties.
- 212 EITHER check NO // OR check YES // and complete Schedule E.
- 213 6. Salary and Wages.
- 214 List each employer that pays you or a member of your immediate family salary or wages in excess
- 215 of \$10,000 annually. (Exclude state or local government or advisory agencies.)
- 216 If no reportable salary or wages, check here // .
- 217 _____
- 218 _____
- 219 _____
- 220 7. Business Interests.
- 221 Do you or a member of your immediate family, separately or together, operate your own business, or
- 222 own or control an interest in excess of \$10,000 in a business?
- 223 EITHER check NO // OR check YES // and complete Schedule F.
- 224 8. Payments for Representation and Other Services.
- 225 8A. Did you represent, excluding activity defined as lobbying in § 2.2-419, any businesses before any
- 226 state governmental agencies, excluding courts or judges, for which you received total compensation
- 227 during the past 12 months in excess of \$1,000, excluding compensation for other services to such
- 228 businesses and representation consisting solely of the filing of mandatory papers and subsequent
- 229 representation regarding the mandatory papers? (Officers and employees of local governmental and
- 230 advisory agencies do NOT need to answer this question or complete Schedule G-1.)
- 231 EITHER check NO // OR check YES // and complete Schedule G-1.
- 232 8B. Subject to the same exceptions as in 8A, did persons with whom you have a close financial
- 233 association (partners, associates or others) represent, excluding activity defined as lobbying in § 2.2-419,
- 234 any businesses before any state governmental agency for which total compensation was received during
- 235 the past 12 months in excess of \$1,000? (Officers and employees of local governmental and advisory
- 236 agencies do NOT need to answer this question or complete Schedule G-2.)
- 237 EITHER check NO // OR check YES // and complete Schedule G-2.
- 238 8C. Did you or persons with whom you have a close financial association furnish services to
- 239 businesses operating in Virginia pursuant to an agreement between you and such businesses, or between
- 240 persons with whom you have a close financial association and such businesses for which total
- 241 compensation in excess of \$1,000 was received during the past 12 months?
- 242 EITHER check NO // OR check YES // and complete Schedule G-3.
- 243 9. Real Estate.
- 244 9A. State Officers and Employees.

245 Do you or a member of your immediate family hold an interest, including a partnership interest,
246 valued at \$10,000 or more in real property (other than your principal residence) for which you have not
247 already listed the full address on Schedule F? Account for real estate held in trust.

248 EITHER check NO // OR check YES // and complete Schedule H-1.

249 9B. Local Officers and Employees.

250 Do you or a member of your immediate family hold an interest, including a partnership interest, or
251 option, easement, or land contract, valued at \$10,000 or more in real property (other than your principal
252 residence) for which you have not already listed the full address on Schedule F? Account for real estate
253 held in trust.

254 EITHER check NO // OR check YES // and complete Schedule H-2.

255 10. Real Estate Contracts with Governmental Agencies.

256 Do you or a member of your immediate family hold an interest valued at more than \$10,000 in real
257 estate, including a corporate, partnership, or trust interest, option, easement, or land contract, which real
258 estate is the subject of a contract, whether pending or completed within the past 12 months, with a
259 governmental agency? If the real estate contract provides for the leasing of the property to a
260 governmental agency, do you or a member of your immediate family hold an interest in the real estate
261 valued at more than \$1,000? Account for all such contracts whether or not your interest is reported in
262 Schedule F, H-1, or H-2. This requirement to disclose an interest in a lease does not apply to an interest
263 derived through an ownership interest in a business unless the ownership interest exceeds three percent
264 of the total equity of the business.

265 EITHER check NO // OR check YES // and complete Schedule I.

266 Statements of Economic Interests are open for public inspection. AFFIRMATION BY ALL FILERS.

267 I swear or affirm that the foregoing information is full, true and correct to the best of my knowledge.

268 Signature

269 Commonwealth of Virginia

270 of to wit:

271 ~~The foregoing disclosure form was acknowledged before me~~

272 ~~This day of, 20. . . , by~~

273 ~~Notary Public~~

274 ~~My commission expires~~

275 ~~-(Return only if needed to complete Statement.)~~

276 SCHEDULES

277 to

278 STATEMENT OF ECONOMIC INTERESTS.

279 NAME

280 SCHEDULE A - OFFICES AND DIRECTORSHIPS.

281 Identify each business of which you or a member of your immediate family is a paid officer or paid
282 director.

283 _____

284 _____

285 Name of Business Address of Business Position Held

286 _____

287 _____

288 _____

289 _____

290 _____

291 RETURN TO ITEM 2

292 SCHEDULE B - PERSONAL LIABILITIES.

293 Report personal liability by checking each category. Report only debts in excess of \$10,000. Do not
294 report debts to any government. Do not report loans secured by recorded liens on property at least equal
295 in value to the loan.

296 Report contingent liabilities below and indicate which debts are contingent.

297 1. My personal debts are as follows:

298 _____

299			
300	Check		Check one
301	appropriate	\$10,001 to	More than
302	categories	\$50,000	\$50,000
303	Banks	_____	_____

SENATE SUBSTITUTE

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304	Savings institutions	_____	_____
305	Other loan or finance companies	_____	_____
306	Insurance companies	_____	_____
307	Stock, commodity or other brokerage companies	_____	_____
308	Other businesses:		
309	(State principal business activity for each		
310	creditor.)	_____	_____
311		_____	_____
312		_____	_____
313	Individual creditors:		
314	(State principal business or		
315	occupation of each creditor.)	_____	_____
316		_____	_____
317		_____	_____

2. The personal debts of the members of my immediate family are as follows:

321	_____		
322	Check	Check one	
323	appropriate	\$10,001 to	More than
324	categories	\$50,000	\$50,000
325	Banks	_____	_____
326	Savings institutions	_____	_____
327	Other loan or finance companies	_____	_____
328	Insurance companies	_____	_____
329	Stock, commodity or other brokerage companies	_____	_____
330	Other businesses:		
331	(State principal business activity for each		
332	creditor.)	_____	_____
333		_____	_____
334		_____	_____
335	Individual creditors:		
336	(State principal business or		
337	occupation of each creditor.)	_____	_____
338		_____	_____
339		_____	_____

RETURN TO ITEM 3

SCHEDULE C - SECURITIES.

"Securities" INCLUDES stocks, bonds, mutual funds, limited partnerships, and commodity futures contracts, and insurance policies.

"Securities" EXCLUDES certificates of deposit, money market funds, annuity contracts, and insurance policies.

Identify each business or Virginia governmental entity in which you or a member of your immediate family, directly or indirectly, separately or together, own securities valued in excess of \$10,000. Name each entity and type of security individually.

Do not list U.S. Bonds or other government securities not issued by the Commonwealth of Virginia or its authorities, agencies, or local governments. Do not list organizations that do not do business in this Commonwealth, but most major businesses conduct business in Virginia. Account for securities held in trust.

If no reportable securities, check here / /.

356	_____				
357			Check one		
358		Type of Security	\$10,001	\$50,001	More
359	Type of	(stocks, bonds, mutual	to	to	than
360	Name of Issuer	Entity funds, etc.)	\$50,000	\$250,000	\$250,000

361 _____
 362 _____
 363 _____
 364 _____
 365 _____

RETURN TO ITEM 4

366
 367 **SCHEDULE D - PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.**

368 List each source from which you received during the past 12 months lodging, transportation, money,
 369 or any other thing of value (excluding meals or drinks coincident with a meeting) with combined value
 370 exceeding \$200 for your presentation of a single talk, participation in one meeting, or publication of a
 371 work in your capacity as an officer or employee of your agency.

372 List payments or reimbursements by an advisory or governmental agency only for meetings or travel
 373 outside the Commonwealth.

374 List a payment even if you donated it to charity.

375 Do not list information about a payment if you returned it within 60 days or if you received it from
 376 an employer already listed under Item 6 or from a source of income listed on Schedule F.

377 If no payment must be listed, check here //.

378 _____

379				Type of payment (e.g. honoraria, travel reimburse- ment, etc.)
380	Payer	Approximate Value	Circumstances	
381	_____	_____	_____	_____
382	_____	_____	_____	_____
383	_____	_____	_____	_____
384	_____	_____	_____	_____
385	_____	_____	_____	_____
386	_____	_____	_____	_____
387	_____	_____	_____	_____
388	_____	_____	_____	_____

RETURN TO ITEM 5

389
 390 **SCHEDULE E - GIFTS.**

391 List each business, governmental entity, or individual that, during the past 12 months, (i) furnished
 392 you with any gift or entertainment at a single event and the value received by you exceeded \$50 in
 393 value, or (ii) furnished you with gifts or entertainment in any combination and the value received by
 394 you exceeded \$100 in total value; and for which you neither paid nor rendered services in exchange.
 395 List each such gift or event. Do not list entertainment events unless the average value per person
 396 attending the event exceeded \$50 in value. Do not list business entertainment related to your private
 397 profession or occupation. Do not list gifts or other things of value given by a relative or personal friend
 398 for reasons clearly unrelated to your public position. Do not list campaign contributions publicly
 399 reported as required by Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2 of the Code of Virginia.

400				
401	Name of Business, Organization, or Individual	City or County and State	Gift or Event	Approximate Value
402	_____	_____	_____	_____
403	_____	_____	_____	_____
404	_____	_____	_____	_____
405	_____	_____	_____	_____
406	_____	_____	_____	_____
407	_____	_____	_____	_____
408	_____	_____	_____	_____
409	_____	_____	_____	_____

RETURN TO ITEM 6

410
 411 **SCHEDULE F - BUSINESS INTERESTS.**

412 Complete this Schedule for each self-owned or family-owned business (including rental property, a
 413 farm, or consulting work), partnership, or corporation in which you or a member of your immediate
 414 family, separately or together, own an interest having a value in excess of \$10,000.

415 If the enterprise is owned or operated under a trade, partnership, or corporate name, list that name;
 416 otherwise, merely explain the nature of the enterprise. If rental property is owned or operated under a
 417 trade, partnership, or corporate name, list the name only; otherwise, give the address of each property.
 418 Account for business interests held in trust.

419 _____

420								
421	Name of Business,							Gross Income
422	Corporation,							
423	Partnership,	City or	Nature of Enterprise		\$50,001	More		
424	Farm; Address of	County	(farming, law, rental	\$50,000	to	than		
425	Rental Property	and State	property, etc.)	or less	\$250,000	\$250,000		
426	_____	_____	_____	_____	_____	_____		
427	_____	_____	_____	_____	_____	_____		
428	_____	_____	_____	_____	_____	_____		
429	_____	_____	_____	_____	_____	_____		
430	_____	_____	_____	_____	_____	_____		

RETURN TO ITEM 8

SCHEDULE G-1 - PAYMENTS FOR REPRESENTATION BY YOU.

List the businesses you represented, excluding activity defined as lobbying in § 2.2-419, before any state governmental agency, excluding any court or judge, for which you received total compensation during the past 12 months in excess of \$1,000, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers filed by you.

Identify each business, the nature of the representation and the amount received by dollar category from each such business. You may state the type, rather than name, of the business if you are required by law not to reveal the name of the business represented by you.

Only STATE officers and employees should complete this Schedule.

Name		Purpose	Name	Amount Received				
of	of	of	of	\$1,001	\$10,001	\$50,001	\$100,001	\$250,001
Busi-	Busi-	Repre-	Agen-	to	to	to	to	and
ness	ness	tion	cy	\$10,000	\$50,000	\$100,000	\$250,000	over
_____	_____	_____	_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____	_____	_____	_____

If you have received \$250,001 or more from a single business within the reporting period, indicate the amount received, rounded to the nearest \$10,000.

Amount Received: _____.

SCHEDULE G-2 - PAYMENTS FOR REPRESENTATION BY ASSOCIATES.

List the businesses that have been represented, excluding activity defined as lobbying in § 2.2-419, before any state governmental agency, excluding any court or judge, by persons who are your partners, associates or others with whom you have a close financial association and who received total compensation in excess of \$1,000 for such representation during the past 12 months, excluding representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers filed by your partners, associates or others with whom you have a close financial association.

Identify such businesses by type and also name the state governmental agencies before which such person appeared on behalf of such businesses.

Only STATE officers and employees should complete this Schedule.

471	Type of business	Name of state governmental agency
472	_____	_____
473	_____	_____
474	_____	_____
475	_____	_____

SCHEDULE G-3 - PAYMENTS FOR SERVICES GENERALLY.

Indicate below types of businesses that operate in Virginia to which services were furnished by you

479 or persons with whom you have a close financial association pursuant to an agreement between you and
 480 such businesses, or between persons with whom you have a close financial association and such
 481 businesses and for which total compensation in excess of \$1,000 was received during the past 12
 482 months.

483 Identify opposite each category of businesses listed below (i) the type of business, (ii) the type of
 484 service rendered and (iii) the value by dollar category of the compensation received for all businesses
 485 falling within each category.

486								
487								
488		Check	Value of Compensation					
489		if	Type					
490		ser-	of					
491		vices	ser-					
492		were	vice	\$1,001	\$10,001	\$50,001	\$100,001	\$250,001
493		ren-	ren-	to	to	to	to	and
494		dered	dered	\$10,000	\$50,000	\$100,000	\$250,000	over
495	Electric utilities	_____	_____	_____	_____	_____	_____	_____
496	Gas utilities	_____	_____	_____	_____	_____	_____	_____
497	Telephone utilities	_____	_____	_____	_____	_____	_____	_____
498	Water utilities	_____	_____	_____	_____	_____	_____	_____
499	Cable television	_____	_____	_____	_____	_____	_____	_____
500	companies	_____	_____	_____	_____	_____	_____	_____
501	Interstate	_____	_____	_____	_____	_____	_____	_____
502	transportation	_____	_____	_____	_____	_____	_____	_____
503	companies	_____	_____	_____	_____	_____	_____	_____
504	Intrastate	_____	_____	_____	_____	_____	_____	_____
505	transportation	_____	_____	_____	_____	_____	_____	_____
506	companies	_____	_____	_____	_____	_____	_____	_____
507	Oil or gas retail	_____	_____	_____	_____	_____	_____	_____
508	companies	_____	_____	_____	_____	_____	_____	_____
509	Banks	_____	_____	_____	_____	_____	_____	_____
510	Savings institutions	_____	_____	_____	_____	_____	_____	_____
511	Loan or finance	_____	_____	_____	_____	_____	_____	_____
512	companies	_____	_____	_____	_____	_____	_____	_____
513	Manufacturing	_____	_____	_____	_____	_____	_____	_____
514	companies (state	_____	_____	_____	_____	_____	_____	_____
515	type of product,	_____	_____	_____	_____	_____	_____	_____
516	e.g., textile,	_____	_____	_____	_____	_____	_____	_____
517	furniture, etc.)	_____	_____	_____	_____	_____	_____	_____
518	Mining companies	_____	_____	_____	_____	_____	_____	_____
519	Life insurance	_____	_____	_____	_____	_____	_____	_____
520	companies	_____	_____	_____	_____	_____	_____	_____
521	Casualty insurance	_____	_____	_____	_____	_____	_____	_____
522	companies	_____	_____	_____	_____	_____	_____	_____
523	Other insurance	_____	_____	_____	_____	_____	_____	_____
524	companies	_____	_____	_____	_____	_____	_____	_____
525	Retail companies	_____	_____	_____	_____	_____	_____	_____
526	Beer, wine or liquor	_____	_____	_____	_____	_____	_____	_____
527	companies or	_____	_____	_____	_____	_____	_____	_____
528	distributors	_____	_____	_____	_____	_____	_____	_____
529	Trade associations	_____	_____	_____	_____	_____	_____	_____
530	Professional	_____	_____	_____	_____	_____	_____	_____
531	associations	_____	_____	_____	_____	_____	_____	_____
532	Associations of	_____	_____	_____	_____	_____	_____	_____
533	public employees	_____	_____	_____	_____	_____	_____	_____
534	or officials	_____	_____	_____	_____	_____	_____	_____
535	Counties, cities	_____	_____	_____	_____	_____	_____	_____

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536 or towns _____
 537 Labor organizations _____
 538 Other _____
 539 _____

RETURN TO ITEM 9

540
541 SCHEDULE H-1 - REAL ESTATE - STATE OFFICERS AND EMPLOYEES.

542 List real estate other than your principal residence in which you or a member of your immediate
543 family holds an interest, including a partnership interest, option, easement, or land contract, valued at
544 \$10,000 or more. Each parcel shall be listed individually.

545 _____
 546
 547 Describe the type of real
 548 List each location estate you own in each If the real estate is
 549 (state, and county location (business, recre- owned or recorded in
 550 or city) where you ational, apartment, com- a name other than your
 551 own real estate. mercial, open land, etc.). own, list that name.
 552 _____
 553 _____
 554 _____
 555 _____
 556 _____

557
558 SCHEDULE H-2 - REAL ESTATE - LOCAL OFFICERS AND EMPLOYEES.

559 List real estate other than your principal residence in which you or a member of your immediate
560 family holds an interest, including a partnership interest or option, easement, or land contract, valued at
561 \$10,000 or more. Each parcel shall be listed individually. Also list the names of any co-owners of such
562 property, if applicable.

563 _____
 564
 565 Describe the type
 566 of real estate
 567 you own in
 568 each location If the real estate
 569 List each location (business, is owned or rec-
 570 (state, and county recreational, orded in a name
 571 or city) where apartment, com- other than your List the names
 572 you own real mercial, open own, list that of any co-owners,
 573 estate. land, etc.). name. if applicable.
 574 _____
 575 _____
 576 _____
 577 _____
 578 _____

579
580 SCHEDULE I - REAL ESTATE CONTRACTS WITH GOVERNMENTAL AGENCIES.

581 List all contracts, whether pending or completed within the past 12 months, with a governmental
582 agency for the sale or exchange of real estate in which you or a member of your immediate family
583 holds an interest, including a corporate, partnership or trust interest, option, easement, or land contract,
584 valued at \$10,000 or more. List all contracts with a governmental agency for the lease of real estate in
585 which you or a member of your immediate family holds such an interest valued at \$1,000 or more. This
586 requirement to disclose an interest in a lease does not apply to an interest derived through an ownership
587 interest in a business unless the ownership interest exceeds three percent of the total equity of the
588 business.

589 State officers and employees report contracts with state agencies.
590 Local officers and employees report contracts with local agencies.

591 _____
592
593 List your real estate

594 interest and the
 595 person or entity,
 596 including the type
 597 of entity, which
 598 is party to
 599 the contract.
 600 Describe any
 601 management role and
 602 the percentage
 603 ownership
 604 interest you or your
 605 immediate family
 606 member has in the real
 607 estate or entity.

List each governmental
 agency which is a
 party to the contract
 and indicate the
 county or city where
 the real estate
 is located.

State the annual
 income from the
 contract, and the
 amount, if any, of
 income you or any
 immediate family
 member derives
 annually from the
 contract.

608	_____	_____	_____
609	_____	_____	_____
610	_____	_____	_____
611	_____	_____	_____
612	_____	_____	_____

613
 614 § 2.2-3120. Knowing violation of chapter a misdemeanor.
 615 Any person who knowingly violates any of the provisions of Articles 2 through 6 (§§ 2.2-3102
 616 through 2.2-3119) of this chapter shall be guilty of a Class 1 misdemeanor, except that any member of a
 617 local governing body who knowingly violates *subsection A of § 2.2-3112 A* or *subsection D or F of*
 618 *§ 2.2-3115 C or E* shall be guilty of a Class 3 misdemeanor. A knowing violation under this section is
 619 one in which the person engages in conduct, performs an act or refuses to perform an act when he
 620 knows that the conduct is prohibited or required by this chapter.