

095011680

**HOUSE BILL NO. 2652**

Offered January 23, 2009

*A BILL to amend and reenact §§ 30-101, 30-103, and 30-111 of the Code of Virginia and to amend the Code of Virginia by adding in Article 2 of Chapter 31 of Title 2.2 a section numbered 2.2-3104.3, by adding in Chapter 9.3 of Title 24.2 an article numbered 7.1, consisting of sections numbered 24.2-952.8 through 24.2-952.12, and by adding in Article 8 of Chapter 9.3 of Title 24.2 a section numbered 24.2-953.6, relating to the conduct of certain public officials including the acceptance of gifts and limitations on the giving and acceptance of campaign contributions.*

Patrons—Joannou, Phillips, Alexander, Barlow, Bowling, Dance, Englin, Johnson, Marsden, Melvin, Morgan, Nichols, Plum, Pollard, Scott, J.M., Valentine, Ward, Ware, O. and Watts

Referred to Committee on Privileges and Elections

**Be it enacted by the General Assembly of Virginia:**

**1. That §§ 30-101, 30-103, and 30-111 of the Code of Virginia are amended and reenacted and that the Code of Virginia is amended by adding in Article 2 of Chapter 31 of Title 2.2 a section numbered 2.2-3104.3, by adding in Chapter 9.3 of Title 24.2 an article numbered 7.1, consisting of sections numbered 24.2-952.8 through 24.2-952.12, and by adding in Article 8 of Chapter 9.3 of Title 24.2 a section numbered 24.2-953.6 as follows:**

**§ 2.2-3104.3. Prohibited conduct relating to gifts.**

*A. For the purposes of this section, "gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item having monetary value. It includes services as well as gifts of transportation, local travel, lodgings and meals, whether provided in-kind, by purchase of a ticket, payment in advance, or reimbursement after the expense has been incurred. "Gift" shall not include any offer of a ticket or other admission or pass unless the ticket, admission, or pass is used. "Gift" shall not include honorary degrees and presents from relatives. For the purpose of this definition, "relative" means the donee's spouse, child, uncle, aunt, niece, or nephew; a person to whom the donee is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother, or sister; or the donee's brother's or sister's spouse. "Gift" shall not include an award given by an employer in the private sector for work done for that employer*

*B. The Governor, Lieutenant Governor, and Attorney General shall not accept a gift from any person.*

*C. The provisions of the statement of economic interests as set out in § 2.2-3117 with respect to gifts in Item 5 and Schedule E shall not be applicable to the Governor, Lieutenant Governor, and Attorney General.*

**§ 30-101. Definitions.**

*As used in this chapter, unless the context requires a different meaning:*

*"Advisory agency" means any board, commission, committee or post which does not exercise any sovereign power or duty, but is appointed by a governmental agency or officer or is created by law for the purpose of making studies or recommendations, or advising or consulting with a governmental agency.*

*"Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise, association, trust or foundation, or any other individual or entity carrying on a business or profession, whether or not for profit.*

*"Contract" means any agreement to which a governmental agency is a party, or any agreement on behalf of a governmental agency which involves the payment of money appropriated by the General Assembly or a political subdivision, whether or not such agreement is executed in the name of the Commonwealth of Virginia, or some political subdivision thereof. "Contract" includes a subcontract only when the contract of which it is a part is with the legislator's own governmental agency.*

*"Financial institution" means any bank, trust company, savings institution, industrial loan association, consumer finance company, credit union, broker-dealer as defined in subsection A of § 13.1-501, or investment company or advisor registered under the federal Investment Advisors Act or Investment Company Act of 1940.*

*"Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item having monetary value. It includes services as well as gifts of transportation, local travel, lodgings and meals, whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the expense has been incurred. "Gift" shall not include any offer of a ticket or other admission or pass unless the ticket, admission, or pass is used. "Gift" shall not include honorary degrees and presents from*

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58 relatives. For the purpose of this definition, "relative" means the donee's spouse, child, uncle, aunt,  
59 niece, or nephew; a person to whom the donee is engaged to be married; the donee's or his spouse's  
60 parent, grandparent, grandchild, brother, or sister; or the donee's brother's or sister's spouse. *"Gift" shall*  
61 *not include an award given by an employer in the private sector for work done for that employer.*

62 "Governmental agency" means each component part of the legislative, executive or judicial branches  
63 of state and local government, including each office, department, authority, post, commission,  
64 committee, and each institution or board created by law to exercise some regulatory or sovereign power  
65 or duty as distinguished from purely advisory powers or duties.

66 "Immediate family" means (i) a spouse and (ii) any other person residing in the same household as  
67 the legislator, who is a dependent of the legislator or of whom the legislator is a dependent.

68 "Dependent" means a son, daughter, father, mother, brother, sister or other person, whether or not  
69 related by blood or marriage, if such person receives from the legislator, or provides to the legislator,  
70 more than one-half of his financial support.

71 "Legislator" means a member of the General Assembly.

72 "Personal interest" means a financial benefit or liability accruing to a legislator or to a member of his  
73 immediate family. Such interest shall exist by reason of (i) ownership in a business if the ownership  
74 interest exceeds three percent of the total equity of the business; (ii) annual income that exceeds, or may  
75 reasonably be anticipated to exceed, \$10,000 from ownership in real or personal property or a business;  
76 (iii) salary, other compensation, fringe benefits, or benefits from the use of property, or any combination  
77 thereof, paid or provided by a business that exceeds, or may reasonably be anticipated to exceed,  
78 \$10,000 annually; (iv) ownership of real or personal property if the interest exceeds \$10,000 in value  
79 and excluding ownership in a business, income, or salary, other compensation, fringe benefits or benefits  
80 from the use of property; or (v) personal liability incurred or assumed on behalf of a business if the  
81 liability exceeds three percent of the asset value of the business.

82 "Personal interest in a contract" means a personal interest which a legislator has in a contract with a  
83 governmental agency, whether due to his being a party to the contract or due to a personal interest in a  
84 business which is a party to the contract.

85 "Personal interest in a transaction" means a personal interest of a legislator in any matter considered  
86 by the General Assembly. Such personal interest exists when an officer or employee or a member of his  
87 immediate family has a personal interest in property or a business, or represents any individual or  
88 business and such property, business or represented individual or business (i) is the subject of the  
89 transaction or (ii) may realize a reasonably foreseeable direct or indirect benefit or detriment as a result  
90 of the action of the agency considering the transaction. A "personal interest in a transaction" exists only  
91 if the legislator or member of his immediate family or an individual or business represented by the  
92 legislator is affected in a way that is substantially different from the general public or from persons  
93 comprising a profession, occupation, trade, business or other comparable and generally recognizable  
94 class or group of which he or the individual or business he represents is a member.

95 "Transaction" means any matter considered by the General Assembly, whether in a committee,  
96 subcommittee, or other entity of the General Assembly or before the General Assembly itself, on which  
97 official action is taken or contemplated.

98 § 30-103. Prohibited conduct.

99 No legislator shall:

100 1. Solicit or accept money or other thing of value for services performed within the scope of his  
101 official duties, except the compensation, expenses or other remuneration paid to him by the General  
102 Assembly. This prohibition shall not apply to the acceptance of special benefits which may be  
103 authorized by law;

104 2. Offer or accept any money or other thing of value for or in consideration of obtaining  
105 employment, appointment, or promotion of any person with any governmental or advisory agency;

106 3. Offer or accept any money or other thing of value for or in consideration of the use of his public  
107 position to obtain a contract for any person or business with any governmental or advisory agency;

108 4. Use for his own economic benefit or that of another party confidential information which he has  
109 acquired by reason of his public position and which is not available to the public;

110 5. Accept any money, loan, gift, favor, service, or business or professional opportunity that  
111 reasonably tends to influence him in the performance of his official duties. This subdivision shall not  
112 apply to any political contribution actually used for political campaign or constituent service purposes  
113 and reported as required by Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2;

114 6. Accept any business or professional opportunity when he knows that there is a reasonable  
115 likelihood that the opportunity is being afforded him to influence him in the performance of his official  
116 duties;

117 7. During the one year after the termination of his service as a legislator, represent a client or act in  
118 a representative capacity on behalf of any person or group, for compensation, on any matter before the  
119 General Assembly or any agency of the legislative branch of government. The prohibitions of this

subdivision shall apply only to persons engaged in activities that would require registration as a lobbyist under § 2.2-422. Any person subject to the provisions of this subdivision may apply to the Attorney General, as provided in § 30-122, for an advisory opinion as to the application of the restriction imposed by this subdivision on any post-public employment position or opportunity;

8. Accept any honoraria for any appearance, speech, or article in which the legislator provides expertise or opinions related to the performance of his official duties. The term "honoraria" shall not include any payment for or reimbursement to such person for his actual travel, lodging, or subsistence expenses incurred in connection with such appearance, speech, or article or in the alternative a payment of money or anything of value not in excess of the per diem deduction allowable under § 162 of the Internal Revenue Code, as amended from time to time;

9. Accept appointment to serve on a body or board of any corporation, company or other legal entity, vested with the management of the corporation, company or entity, and on which two other members of the General Assembly already serve, which is operated for profit and regulated by the State Corporation Commission as (i) a financial institution, (ii) a mortgage lender or broker, (iii) any business under Chapter 5 (§ 13.1-501 et seq.) of Title 13.1, (iv) any business under Title 38.2, or (v) any business under Title 56; or

10. Accept a gift from a *any person who has interests that may be substantially affected by the performance of the legislator's official duties under circumstances where the timing and nature of the gift would cause a reasonable person to question the legislator's impartiality in the matter affecting the donor.* Violations of this subdivision shall not be subject to criminal law penalties; or

~~11. Accept gifts from sources on a basis so frequent as to raise an appearance of the use of his public office for private gain. Violations of this subdivision shall not be subject to criminal law penalties.~~

§ 30-111. Disclosure form.

A. The disclosure form to be used for filings required by subsections A and B of § 30-110 shall be substantially as follows:

#### STATEMENT OF ECONOMIC INTERESTS.

Name .....  
Office or position held or sought .....  
Home address .....  
Names of members of immediate family .....

#### DEFINITIONS AND EXPLANATORY MATERIAL.

"Immediate family" means (i) a spouse and (ii) any other person residing in the same household as the legislator, who is a dependent of the legislator or of whom the legislator is a dependent.

"Dependent" means any person, whether or not related by blood or marriage, who receives from the legislator, or provides to the legislator, more than one-half of his financial support.

"Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise, association, trust or foundation, or any other individual or entity carrying on a business or profession, whether or not for profit.

"Close financial association" means an association in which the filer shares significant financial involvement with an individual and the filer would reasonably be expected to be aware of the individual's business activities and would have access to the necessary records either directly or through the individual. "Close financial association" does not mean an association based on (i) the receipt of retirement benefits or deferred compensation from a business by which the legislator is no longer employed, or (ii) the receipt of compensation for work performed by the legislator as an independent contractor of a business that represents an entity before any state governmental agency when the legislator has had no communications with the state governmental agency.

"Contingent liability" means a liability that is not presently fixed or determined, but may become fixed or determined in the future with the occurrence of some certain event.

"Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item having monetary value. It includes services as well

178 as gifts of transportation, local travel, lodgings and meals, whether  
179 provided in-kind, by purchase of a ticket, payment in advance or reimbursement  
180 after the expense has been incurred. "Gift" shall not include any offer of a  
181 ticket or other admission or pass unless the ticket, admission, or pass is  
182 used.

183 ~~"Gift" shall not include honorary degrees and presents from relatives.~~

184 ~~"Relative" means the donee's spouse, child, uncle, aunt, niece, or nephew; a~~  
185 ~~person to whom the donee is engaged to be married; the donee's or his spouse's~~  
186 ~~parent, grandparent, grandchild, brother, or sister; or the donee's brother's~~  
187 ~~or sister's spouse.~~

188 ~~"Lobbyist relationship" means (i) an engagement, agreement, or representation~~  
189 ~~that relates to legal services, consulting services, or public relations~~  
190 ~~services, whether gratuitous or for compensation, between a member or~~  
191 ~~member-elect and any person who is, or has been within the prior calendar~~  
192 ~~year, registered as a lobbyist with the Secretary of the Commonwealth, or (ii)~~  
193 ~~a greater than three percent ownership interest by a member or member-~~ elect  
194 ~~in a business that employs, or engages as an independent contractor, any~~  
195 ~~person who is, or has been within the prior calendar year, registered as a~~  
196 ~~lobbyist with the Secretary of the Commonwealth. The disclosure of a lobbyist~~  
197 ~~relationship shall not (i) constitute a waiver of any attorney-client or other~~  
198 ~~privilege, (ii) require a waiver of any attorney-client or other privilege~~  
199 ~~for a third party, or (iii) be required where a member or member-elect is~~  
200 ~~employed or engaged by a person and such person also employs or engages a~~  
201 ~~person in a lobbyist relationship so long as the member or member-elect has no~~  
202 ~~financial interest in the lobbyist relationship.~~

203 TRUST. If you or your immediate family, separately or together, are the only  
204 beneficiaries of a trust, treat the trust's assets as if you own them  
205 directly. If you or your immediate family has a proportional interest in a  
206 trust, treat that proportion of the trust's assets as if you own them  
207 directly. For example, if you and your immediate family have a one-third  
208 interest in a trust, complete your Statement as if you own one-third of each  
209 of the trust's assets. If you or a member of your immediate family created a  
210 trust and can revoke it without the beneficiaries' consent, treat its assets  
211 as if you own them directly.

212 REPORT TO THE BEST OF INFORMATION AND BELIEF. Information required on this  
213 Statement must be provided on the basis of the best knowledge, information and  
214 belief of the individual filing the Statement as of the date of this report  
215 unless otherwise stated.

216 COMPLETE ITEMS 1 THROUGH 11. REFER TO SCHEDULES ONLY IF DIRECTED.

217 You may attach additional explanatory information.

218 1. Offices and Directorships.

219 Are you or a member of your immediate family a paid officer or paid director  
220 of a business?

221 EITHER check NO / / OR check YES / / and complete Schedule A.

222 2. Personal Liabilities.

223 Do you or a member of your immediate family owe more than \$10,000 to any one  
224 creditor including contingent liabilities? (Exclude debts to any government  
225 and loans secured by recorded liens on property at least equal in value to the  
226 loan.)

227 EITHER check NO / / OR check YES / / and complete Schedule B.

228 3. Securities.

229 Do you or a member of your immediate family, directly or indirectly,  
230 separately or together, own securities valued in excess of \$10,000 invested in  
231 one business? Account for mutual funds, limited partnerships and trusts.

232 EITHER check NO / / OR check YES / / and complete Schedule C.

233 4. Payments for Talks, Meetings, and Publications.

234 During the past 12 months did you receive lodging, transportation, money, or

anything else of value with a combined value exceeding \$200 for a single talk, meeting, or published work in your capacity as a legislator? Do not include payments and reimbursements from the Commonwealth for meetings attended in your capacity as a legislator; see Question 11 and Schedule D2 to report such meetings.

EITHER check NO / / OR check YES / / and complete Schedule D.

#### 5. Gifts. *[Repealed.]*

~~During the past 12 months did a business, government, or individual other than a relative or personal friend (i) furnish you with any gift or entertainment at a single event, and the value received by you exceeded \$50 in value or (ii) furnish you with gifts or entertainment in any combination and the value received by you exceeded \$100 in total value; and for which you neither paid nor rendered services in exchange? Account for entertainment events only if the average value per person attending the event exceeded \$50 in value. Account for all business entertainment (except if related to your private profession or occupation) even if unrelated to your official duties. EITHER check NO / / OR check YES / / and complete Schedule E.~~

#### ~~6. Salary and Wages.~~

~~List each employer that pays you or a member of your immediate family salary or wages in excess of \$10,000 annually. (Exclude state or local government or advisory agencies.)~~

~~If no reportable salary or wages, check here / / .~~

#### 7. Business Interests and Lobbyist Relationships.

7A. Do you or a member of your immediate family, separately or together, operate your own business, or own or control an interest in excess of \$10,000 in a business?

EITHER check NO / / OR check YES / / and complete Schedule F-1.

7B. Do you have a lobbyist relationship as that term is defined above?

EITHER check NO / / OR check YES / / and complete Schedule F-2.

#### 8. Payments for Representation and Other Services.

8A. Did you represent any businesses before any state governmental agencies, excluding courts or judges, for which you received total compensation during the past 12 months in excess of \$1,000, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers?

EITHER check NO / / OR check YES / / and complete Schedule G-1.

8B. Subject to the same exceptions as in 8A, did persons with whom you have a close financial association (partners, associates or others) represent any businesses before any state governmental agency for which total compensation was received during the past 12 months in excess of \$1,000?

EITHER check NO / / OR check YES / / and complete Schedule G-2.

8C. Did you or persons with whom you have a close financial association furnish services to businesses operating in Virginia, pursuant to an agreement between you and such businesses, or between persons with whom you have a close financial association and such businesses for which total compensation in excess of \$1,000 was received during the past 12 months? Services reported under this provision shall not include services involving the representation of businesses that are reported under question 8A or 8B above.

EITHER check NO / / OR check YES / / and complete Schedule G-3.

#### 9. Real Estate.

Do you or a member of your immediate family hold an interest, including a partnership interest, valued at \$10,000 or more in real property (other than your principal residence) for which you have not already listed the full address on Schedule F? Account for real estate held in trust.

EITHER check NO / / OR check YES / / and complete Schedule H.

291 10. Real Estate Contracts with State Governmental Agencies.  
 292 Do you or a member of your immediate family hold an interest valued at more  
 293 than \$10,000 in real estate, including a corporate, partnership, or trust  
 294 interest, option, easement, or land contract, which real estate is the subject  
 295 of a contract, whether pending or completed within the past 12 months, with a  
 296 state governmental agency?

297 If the real estate contract provides for the leasing of the property to a  
 298 state governmental agency, do you or a member of your immediate family hold an  
 299 interest in the real estate, including a corporate, partnership, or trust  
 300 interest, option, easement, or land contract valued at more than \$1,000?

301 Account for all such contracts whether or not your interest is reported in  
 302 Schedule F or H. This requirement to disclose an interest in a lease does not  
 303 apply to an interest derived through an ownership interest in a business  
 304 unless the ownership interest exceeds three percent of the total equity of the  
 305 business.

306 EITHER check NO / / OR check YES / / and complete Schedule I.

307 11. Payments by the Commonwealth for Meetings.

308 During the past 12 months did you receive lodging, transportation, money, or  
 309 anything else of value with a combined value exceeding \$200 from the  
 310 Commonwealth for a single meeting attended out-of-state in your capacity as a  
 311 legislator? Do not include reimbursements from the Commonwealth for meetings  
 312 attended in the Commonwealth.

313 EITHER check NO / / OR check YES / / and complete Schedule D-2.

314 Statements of Economic Interests are open for public inspection.

315 AFFIRMATION.

316 In accordance with the rules of the house in which I serve, if I receive a  
 317 request that this disclosure statement be corrected, augmented, or revised in  
 318 any respect, I hereby pledge that I shall respond promptly to the request. I  
 319 understand that if a determination is made that the statement is insufficient,  
 320 I will satisfy such request or be subjected to disciplinary action of my  
 321 house.

322 I swear or affirm that the foregoing information is full, true and correct to  
 323 the best of my knowledge.

324 Signature \_\_\_\_\_

325 Commonwealth of Virginia

326 \_\_\_\_\_ of \_\_\_\_\_ to wit:

327 The foregoing disclosure form was acknowledged before me

328 This \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, by \_\_\_\_\_

329 Notary Public

330 My commission expires \_\_\_\_\_

331 (Return only if needed to complete Statement.)

332 SCHEDULES

333 TO

334 STATEMENT OF ECONOMIC INTERESTS.

335 NAME \_\_\_\_\_

336 SCHEDULE A - OFFICES AND DIRECTORSHIPS.

337 Identify each business of which you or a member of your immediate family is a  
 338 paid officer or paid director.

339 -----

340 -----

341 Name of Business Address of Business Position Held

342 -----

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344 -----

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347 -----

-----  
 RETURN TO ITEM 2

SCHEDULE B - PERSONAL LIABILITIES.

Report personal liability by checking each category. Report only debts in excess of \$10,000. Do not report debts to any government. Do not report loans secured by recorded liens on property at least equal in value to the loan.

Report contingent liabilities below and indicate which debts are contingent.

1. My personal debts are as follows:

Check appropriate categories	Check one \$10,001 to \$50,000	More than \$50,000
Banks	_____	_____
Savings institutions	_____	_____
Other loan or finance companies	_____	_____
Insurance companies	_____	_____
Stock, commodity or other brokerage companies	_____	_____
Other businesses: (State principal business activity for each creditor.)	_____	_____
_____	_____	_____
_____	_____	_____
Individual creditors: (State principal business or occupation of each creditor.)	_____	_____
_____	_____	_____
_____	_____	_____

2. The personal debts of the members of my immediate family are as follows:

Check appropriate categories	Check one \$10,001 to \$50,000	More than \$50,000
Banks	_____	_____
Savings institutions	_____	_____
Other loan or finance companies	_____	_____
Insurance companies	_____	_____
Stock, commodity or other brokerage companies	_____	_____
Other businesses: (State principal business activity for each creditor.)	_____	_____
_____	_____	_____
_____	_____	_____
Individual creditors: (State principal business or occupation of each creditor.)	_____	_____
_____	_____	_____
_____	_____	_____

RETURN TO ITEM 3

SCHEDULE C - SECURITIES.

"Securities" INCLUDES stocks, bonds, "Securities" EXCLUDES mutual funds, limited partnerships, certificates of deposit, and commodity futures contracts. money market funds, annuity contracts, and insurance policies.

405 Identify each business or Virginia governmental entity in which you or a  
 406 member of your immediate family, directly or indirectly, separately or  
 407 together, own securities valued in excess of \$10,000. Name each entity and  
 408 type of security individually.  
 409 Do not list U.S. Bonds or other government securities not issued by the  
 410 Commonwealth of Virginia or its authorities, agencies, or local governments.  
 411 Do not list organizations that do not do business in this Commonwealth, but  
 412 most major businesses conduct business in Virginia. Account for securities  
 413 held in trust.  
 414 If no reportable securities, check here / / .

415 -----  
 416 -----  
 417 Check one  
 418 Type of Security  
 419 Type of (stocks, bonds, mutual \$10,001 to More than  
 420 Entity funds, etc.) \$50,000 \$50,000  
 421 Name of Issuer ----- ----- ----- -----  
 422 ----- ----- ----- ----- -----  
 423 ----- ----- ----- ----- -----  
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428 RETURN TO ITEM 4

429 SCHEDULE D-1 - PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.

430 List each source from which you received during the past 12 months lodging, transportation, money,  
 431 or any other thing of value (excluding meals or drinks coincident with a meeting) with a combined  
 432 value exceeding \$200 for your presentation of a single talk, participation in one meeting, or publication  
 433 of a work in your capacity as a legislator. Do not list payments or reimbursements by the  
 434 Commonwealth. (See Schedule D-2 for such payments or reimbursements.) List a payment even if you  
 435 donated it to charity. Do not list information about a payment if you returned it within 60 days or if you  
 436 received it from an employer already listed under Item 6 or from a source of income listed on Schedule  
 437 F.

438 If no payment must be listed, check here / / .

439 -----  
 440 -----  
 441 Type of Payment  
 442 (e.g. Honoraria  
 443 Travel reimburse-  
 444 Payer Approximate Value Circumstances ment, etc.)  
 445 ----- ----- ----- -----  
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452 RETURN TO ITEM 5 6

453 SCHEDULE D-2 - PAYMENTS BY THE COMMONWEALTH FOR MEETINGS.

454 List each meeting for which the Commonwealth provided payments or reimbursements during the  
 455 past 12 months to you for lodging, transportation, money, or any other thing of value (excluding meals  
 456 or drinks coincident with a meeting) with a combined value exceeding \$200 for your participation in  
 457 your capacity as a legislator. Do not list payments or reimbursements by the Commonwealth for  
 458 meetings or travel within the Commonwealth.

459 If no payment must be listed, check here / / .

460 -----  
 461 -----  
 462 Type of Payment



473 SCHEDULE E - GIFTS.

Do not list entertainment events unless the average value per person attending the event exceeded \$50 in value. Do not list business entertainment related to your private profession or occupation. Do not list gifts or other things of value given by a relative or personal friend for reasons clearly unrelated to your public position. Do not list campaign contributions publicly reported as required by Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2 of the Code of Virginia.

494 ~~RETURN TO ITEM 6~~

499 If the enterprise is owned or operated under a trade, partnership, or corporate name, list that name;  
500 otherwise, merely explain the nature of the enterprise. If rental property is owned or operated under a  
501 trade, partnership, or corporate name, list the name only; otherwise, give the address of each property.  
502 Account for business interests held in trust.

505	Name of			Gross income		
506	Business		Nature of			
507	Corporation		Enterprise			
508	Partnership,		(farming,			
509	Farm;		law,			
510	Address of	City or	rental	\$50,000	\$50,001	More
511	Rental	County	property,	or less	to	than
512	Property	and State	etc.)		\$250,000	\$250,000
513	-----	-----	-----	-----	-----	-----
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 524 RETURN TO ITEM 8  
 525 SCHEDULE F-2 - LOBBYIST RELATIONSHIPS AND PAYMENTS.  
 526 Complete this Schedule for each lobbyist relationship with the following:  
 527 (i) any person who is, or has been within the prior calendar year, registered as a lobbyist with the  
 528 Secretary of the Commonwealth, or  
 529 (ii) any business in which you have a greater than three percent ownership interest and that business  
 530 employs, or engages as an independent contractor, any person who is, or has been within the prior  
 531 calendar year, registered as a lobbyist with the Secretary of the Commonwealth.  
 532 -----

533 -----  
 534 List each person                      Describe                      Dates of  
 535 or business                      each                      relationship  
 536                      relationship  
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558 THE DISCLOSURE OF A LOBBYIST RELATIONSHIP SHALL NOT (I) CONSTITUTE A  
 559 WAIVER OF ANY ATTORNEY-CLIENT OR OTHER PRIVILEGE, (II) REQUIRE A WAIVER OF  
 560 ANY ATTORNEY-CLIENT OR OTHER PRIVILEGE FOR A THIRD PARTY, OR (III) BE  
 561 REQUIRED WHERE A MEMBER OR MEMBER-ELECT IS EMPLOYED OR ENGAGED BY A  
 562 PERSON AND SUCH PERSON ALSO EMPLOYS OR ENGAGES A PERSON IN A LOBBYIST  
 563 RELATIONSHIP SO LONG AS THE MEMBER OR MEMBER-ELECT HAS NO FINANCIAL  
 564 INTEREST IN THE LOBBYIST RELATIONSHIP.

565 SCHEDULE G-1 - PAYMENTS FOR REPRESENTATION BY YOU.

566 List the businesses you represented before any state governmental agency, excluding any court or  
 567 judge, for which you received total compensation during the past 12 months in excess of \$1,000,  
 568 excluding compensation for other services to such businesses and representation consisting solely of the  
 569 filing of mandatory papers and subsequent representation regarding the mandatory papers filed by you.  
 570 Identify each business, the nature of the representation and the amount received by dollar category  
 571 from each such business. You may state the type, rather than name, of the business if you are required  
 572 by law not to reveal the name of the business represented by you.

573 -----  
 574 -----  
 575 Amount Received  
 576 Name    Type    Pur-    Name  
 577 of       of       pose    of  
 578 Busi-    Busi-    of       Agen-

ness ness Repre- cy  
senta-  
tion

\$1,001 \$10,001 \$50,001 \$100,001 \$250,001  
to to to to and  
\$10,000 \$50,000 \$100,000 \$250,000 over

If you have received \$250,001 or more from a single business within the reporting period, indicate the amount received, rounded to the nearest \$10,000. Amount Received: \_\_\_\_\_.

#### SCHEDULE G-2 - PAYMENTS FOR REPRESENTATION BY ASSOCIATES.

List the businesses that have been represented before any state governmental agency, excluding any court or judge, by persons who are your partners, associates or others with whom you have a close financial association and who received total compensation in excess of \$1,000 for such representation during the past 12 months, excluding representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers filed by your partners, associates or others with whom you have a close financial association.

Identify such businesses by type and also name the state governmental agencies before which such person appeared on behalf of such businesses.

Type of Business	Name of State Governmental Agency
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____

#### SCHEDULE G-3 - PAYMENTS FOR OTHER SERVICES GENERALLY.

Indicate below types of businesses that operate in Virginia to which services were furnished by you or persons with whom you have a close financial association pursuant to an agreement between you and such businesses, or between persons with whom you have a close financial association and such businesses and for which total compensation in excess of \$1,000 was received during the past 12 months. Services reported in this Schedule shall not include services involving the representation of businesses that are reported in Schedule G-1 or G-2 above.

Identify opposite each category of businesses listed below (i) the type of business, (ii) the type of service rendered and (iii) the value by dollar category of the compensation received for all businesses falling within each category.

Value of Compensation

Check if ser- vices were ren- dered	Type of ser- vice ren- dered
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____

\$1,001 \$10,001 \$50,001 \$100,001 \$250,001  
to to to to and  
\$10,000 \$50,000 \$100,000 \$250,000 over

Electric utilities	_____	_____	_____	_____	_____	_____
Gas utilities	_____	_____	_____	_____	_____	_____
Telephone utilities	_____	_____	_____	_____	_____	_____
Water utilities	_____	_____	_____	_____	_____	_____
Cable television	_____	_____	_____	_____	_____	_____

637	companies	_____	_____	_____	_____	_____	_____	_____
638	Interstate							
639	transportation							
640	companies	_____	_____	_____	_____	_____	_____	_____
641	Intrastate							
642	transportation							
643	companies	_____	_____	_____	_____	_____	_____	_____
644	Oil or gas retail							
645	companies	_____	_____	_____	_____	_____	_____	_____
646	Banks	_____	_____	_____	_____	_____	_____	_____
647	Savings institutions	_____	_____	_____	_____	_____	_____	_____
648	Loan or finance							
649	companies	_____	_____	_____	_____	_____	_____	_____
650	Manufacturing							
651	companies (state							
652	type of product,							
653	e.g., textile,							
654	furniture, etc.)	_____	_____	_____	_____	_____	_____	_____
655	Mining companies	_____	_____	_____	_____	_____	_____	_____
656	Life insurance							
657	companies	_____	_____	_____	_____	_____	_____	_____
658	Casualty insurance							
659	companies	_____	_____	_____	_____	_____	_____	_____
660	Other insurance							
661	companies	_____	_____	_____	_____	_____	_____	_____
662	Retail companies	_____	_____	_____	_____	_____	_____	_____
663	Beer, wine or liquor							
664	companies or							
665	distributors	_____	_____	_____	_____	_____	_____	_____
666	Trade associations	_____	_____	_____	_____	_____	_____	_____
667	Professional							
668	associations	_____	_____	_____	_____	_____	_____	_____
669	Associations of							
670	public employees or							
671	officials	_____	_____	_____	_____	_____	_____	_____
672	Counties, cities or							
673	towns	_____	_____	_____	_____	_____	_____	_____
674	Labor organizations	_____	_____	_____	_____	_____	_____	_____
675	Other	_____	_____	_____	_____	_____	_____	_____

676 RETURN TO ITEM 9

677 SCHEDULE H - REAL ESTATE.

678 List real estate other than your principal residence in which you or a member of your immediate  
 679 family holds an interest, including a partnership interest, option, easement, or land contract, valued at  
 680 \$10,000 or more. You may list each parcel of real estate individually if you wish.

681 -----

682 -----

683	List the location	Describe the type of real	If the real estate is
684	(state, and county	estate you own in each	owned or recorded in
685	or city where you	location (business,	a name other than your
686	own real estate	recreational, apartment,	own, list that name
687		commercial, open land,	
688		etc.)	

689 -----

690 -----

691 -----

692 -----

693 -----

RETURN TO ITEM 10  
SCHEDULE I - REAL ESTATE CONTRACTS WITH STATE GOVERNMENTAL AGENCIES.

List your real estate interest and the person or entity, including the type of entity, which is party to the contract. Describe any management role and the percentage ownership interest you or your immediate family member has in the real estate or entity.	List each governmental agency which is a party to the contract and indicate the county or city where the real estate is located.	State the annual income from the contract, and the amount, if any, of income you or any immediate family member derives annually from the contract.
-----	-----	-----
-----	-----	-----
-----	-----	-----
-----	-----	-----
-----	-----	-----

B. Any legislator who makes a knowing misstatement of a material fact on the Statement of Economic Interests shall be subject to disciplinary action for such violations by the house in which the legislator sits.

C. In accordance with the rules of each house, the Statement of Economic Interests of all members of each house shall be reviewed. If a legislator's Statement is found to be inadequate as filed, the legislator shall be notified in writing and directed to file an amended Statement correcting the indicated deficiencies, and a time shall be set within which such amendment shall be filed. If the Statement of Economic Interests, in either its original or amended form, is found to be adequate as filed, the legislator's filing shall be deemed in full compliance with this section as to the information disclosed thereon.

D. Ten percent of the membership of a house, on the basis of newly discovered facts, may in writing request the house in which those members sit, in accordance with the rules of that house, to review the Statement of Economic Interests of another member of that house in order to determine the adequacy of his filing. In accordance with the rules of each house, each Statement of Economic Interests shall be promptly reviewed, the adequacy of the filing determined, and notice given in writing to the legislator whose Statement is in issue. Should it be determined that the Statement requires correction, augmentation or revision, the legislator involved shall be directed to make the changes required within such time as shall be set under the rules of each house.

If a legislator, after having been notified in writing in accordance with the rules of the house in which he sits that his Statement is inadequate as filed, fails to amend his Statement so as to come into compliance within the time limit set, he shall be subject to disciplinary action by the house in which he sits. No legislator shall vote on any question relating to his own Statement.

Article 7.1.

§ 24.2-952.8. Limits on contributions to candidates for statewide office and the General Assembly.

A. No person or committee shall make contributions to a candidate for Governor, Lieutenant Governor, or Attorney General that, in the aggregate, exceed \$2,500 for any one candidate in any one election.

B. No person or committee shall make contributions to a candidate for the General Assembly that, in the aggregate, exceed \$1,000 for any one candidate in any one election.

753 C. No candidate shall solicit or accept contributions in excess of the limits set forth in this section.

754 D. The limits on contributions set forth in this section shall not apply to the following:

755 1. Contributions by the candidate to his own campaign;

756 2. Contributions by the candidate's spouse, children, parents, or siblings to the candidate; or

757 3. Contributions by a political party committee to the candidate.

758 E. For purposes of this article, the term "candidate" means the candidate, the candidate's treasurer,  
759 and the candidate's campaign committee. Any contribution to the candidate's treasurer or campaign  
760 committee shall be deemed to be a contribution to the candidate; and the term "committee" shall include  
761 any "political committee" as defined in § 24.2-945.1.

762 F. For the purposes of applying the limits set forth in this section, each primary, general, or special  
763 election, and each method of political party nomination other than a primary, shall constitute a separate  
764 election without regard to whether the candidate is opposed or unopposed in the election or nomination  
765 process. For any independent candidate, the period ending on the regular primary date for the office he  
766 is seeking shall be deemed to be one election and the period following the primary date shall be deemed  
767 to be a second election.

768 G. A contributor may designate all or a portion of a contribution made by him during the 60 days  
769 following the primary date as a contribution for the primary or other nomination process. Contributions  
770 made after the primary date shall be deemed contributions for the special or general election unless  
771 otherwise designated as provided in this subsection.

772 H. A candidate who is defeated in the primary or nomination process and has a campaign deficit  
773 may continue to accept contributions to retire the deficit subject to the limits applicable to the primary  
774 or nomination process.

775 I. The candidate shall report separately (i) contributions received prior to the primary date or  
776 designated for the primary or other nominating method pursuant to subsection G and (ii) contributions  
777 received after the primary date and not so designated. Candidates may otherwise maintain records of  
778 receipts and expenditures for the campaign both before and after the primary date on a continuing  
779 basis.

780 J. Any contribution or portion thereof returned within 60 days after receipt shall not be deemed to  
781 be a contribution for the purposes of applying the limits set forth in this section.

782 § 24.2-952.9. Prohibition on indirect contributions.

783 For purposes of applying the contribution limits set forth in § 24.2-952.8, all direct or indirect  
784 contributions made by a person to benefit a candidate, including any contributions that are knowingly  
785 earmarked or otherwise directed through any other person, political committee, political party  
786 committee, or political action committee shall be treated as contributions from such person to such  
787 candidate.

788 § 24.2-952.10. Aggregation of contributions.

789 For purposes of applying the contribution limits set forth in § 24.2-952.8:

790 1. All contributions made by a person or political action committee whose contribution or  
791 expenditure activity is financed, maintained, or controlled by the same corporation, labor organization,  
792 association, or any other person, including a parent, subsidiary, branch, division, department, or local  
793 unit of such corporation, labor organization, association, or any other person, or by any group of such  
794 persons shall be considered to be made by the same person or political action committee; and

795 2. For entities not described in subdivision 1, two or more entities will be treated as a single entity  
796 sharing the same contribution limit if the entities (i) share the majority of members on their boards of  
797 directors and share two or more officers; (ii) are owned or controlled by the same majority shareholder  
798 or shareholders; (iii) are in a parent-subsidiary relationship; or (iv) have bylaws stating that one  
799 organization has the power to control the other.

800 § 24.2-952.11. Attribution and aggregation of family contributions.

801 For purposes of applying the contribution limits set forth in § 24.2-952.8:

802 1. Contributions by a husband and wife are considered separate contributions and not aggregated;  
803 and

804 2. Contributions by unemancipated children under 18 years of age are considered contributions by  
805 their parents and attributed proportionately to each parent. Fifty percent of the contributions are  
806 attributed to each parent, or, in the case of a single custodial parent, the total amount is attributed to  
807 the parent.

808 § 24.2-952.12. Restrictions on loans.

809 A loan is considered a contribution from the maker and the guarantor of the loan and is subject to  
810 the contribution limits set forth in § 24.2-952.8. A loan to a candidate or the candidate's campaign  
811 committee must be by written agreement. The proceeds of a loan made to a candidate will not be  
812 subject to the contribution limits stated in § 24.2-952.8 if the loan (i) is made by the candidate to his  
813 own campaign committee or (ii) is made by a commercial lending institution in the regular course of  
814 business and on the same terms ordinarily available to members of the public and is secured or

815 *guaranteed only by the candidate.*

816 *§ 24.2-953.6. Violation of contribution limits.*

817 *A candidate whose campaign committee knowingly accepts contributions in excess of the limits*  
818 *imposed in Article 7.1 (§ 24.2-952.8 et seq.) of this chapter shall be subject to a civil penalty up to two*  
819 *times the amount by which the contribution exceeds the limit. A contributor who knowingly makes a*  
820 *contribution in excess of the limits imposed in this chapter shall be subject to a civil penalty up to two*  
821 *times the amount by which the contribution exceeds the limit.*

822 *The attorney for the Commonwealth shall initiate civil proceedings to enforce the civil penalties*  
823 *provided in this chapter. Any civil penalties collected shall be payable to the State Treasurer for deposit*  
824 *to the general fund.*