

065370308

HOUSE BILL NO. 542**AMENDMENT IN THE NATURE OF A SUBSTITUTE**(Proposed by the House Committee on Rules
on February 8, 2006)

(Patron Prior to Substitute—Delegate Griffith)

*A BILL to amend and reenact §§ 2.2-3114, 2.2-3117, 2.2-3118, 30-110, and 30-111 of the Code of Virginia, relating to the State and Local Government and General Assembly Conflict of Interest Acts.***Be it enacted by the General Assembly of Virginia:****1. That §§ 2.2-3114, 2.2-3117, 2.2-3118, 30-110, and 30-111 of the Code of Virginia are amended and reenacted as follows:**

§ 2.2-3114. Disclosure by state officers and employees.

A. The Governor, Lieutenant Governor, Attorney General, Justices of the Supreme Court, judges of the Court of Appeals, judges of any circuit court, judges and substitute judges of any district court, members of the State Corporation Commission, members of the Virginia Workers' Compensation Commission, members of the Commonwealth Transportation Board, members of the Board of Trustees of the Virginia Retirement System, and members of the State Lottery Board and other persons occupying such offices or positions of trust or employment in state government, including members of the governing bodies of authorities, as may be designated by the Governor or, in the case of officers or employees of the legislative branch, by the Joint Rules Committee of the General Assembly, shall file, as a condition to assuming office or employment, a disclosure statement of their personal interests and such other information as is specified on the form set forth in § 2.2-3117 and thereafter shall file such a statement annually on or before January 15. *When the filing deadline falls on a Saturday, Sunday, or legal holiday, the disclosure statement shall be filed on the next day that is not a Saturday, Sunday, or legal holiday.*

B. Nonsalaried citizen members of all policy and supervisory boards, commissions and councils in the executive branch of state government, other than the Commonwealth Transportation Board, members of the Board of Trustees of the Virginia Retirement System, and the State Lottery Board, shall file, as a condition to assuming office, a disclosure form of their personal interests and such other information as is specified on the form set forth in § 2.2-3118 and thereafter shall file such form annually on or before January 15. *When the filing deadline falls on a Saturday, Sunday, or legal holiday, the disclosure statement shall be filed on the next day that is not a Saturday, Sunday, or legal holiday.* Nonsalaried citizen members of other boards, commissions and councils, including advisory boards and authorities, may be required to file a disclosure form if so designated by the Governor, in which case the form shall be that set forth in § 2.2-3118.

C. The disclosure forms required by subsections A and B shall be provided by the Secretary of the Commonwealth to each officer and employee so designated, including officers appointed by legislative authorities, not later than November 30 of each year. Disclosure forms shall be filed and maintained as public records for five years in the Office of the Secretary of the Commonwealth.

D. Candidates for the offices of Governor, Lieutenant Governor or Attorney General shall file a disclosure statement of their personal interests as required by § 24.2-502.

E. Any officer or employee of state government who has a personal interest in any transaction before the governmental or advisory agency of which he is an officer or employee and who is disqualified from participating in that transaction pursuant to subdivision A 1 of § 2.2-3112, or otherwise elects to disqualify himself, shall forthwith make disclosure of the existence of his interest, including the full name and address of the business and the address or parcel number for the real estate if the interest involves a business or real estate, and his disclosure shall also be reflected in the public records of the agency for five years in the office of the administrative head of the officer's or employee's governmental agency or advisory agency or, if the agency has a clerk, in the clerk's office.

F. An officer or employee of state government who is required to declare his interest pursuant to subdivision A 2 of § 2.2-3112, shall declare his interest by stating (i) the transaction involved, (ii) the nature of the officer's or employee's personal interest affected by the transaction, (iii) that he is a member of a business, profession, occupation, or group the members of which are affected by the transaction, and (iv) that he is able to participate in the transaction fairly, objectively, and in the public interest. The officer or employee shall either make his declaration orally to be recorded in written minutes for his agency or file a signed written declaration with the clerk or administrative head of his governmental or advisory agency, as appropriate, who shall, in either case, retain and make available for public inspection such declaration for a period of five years from the date of recording or receipt. If reasonable time is not available to comply with the provisions of this subsection prior to participation in the transaction, the officer or employee shall prepare and file the required declaration by the end of the

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60 next business day.

61 G. An officer or employee of state government who is required to declare his interest pursuant to
62 subdivision A 3 of § 2.2-3112, shall declare his interest by stating (i) the transaction involved, (ii) that a
63 party to the transaction is a client of his firm, (iii) that he does not personally represent or provide
64 services to the client, and (iv) that he is able to participate in the transaction fairly, objectively, and in
65 the public interest. The officer or employee shall either make his declaration orally to be recorded in
66 written minutes for his agency or file a signed written declaration with the clerk or administrative head
67 of his governmental or advisory agency, as appropriate, who shall, in either case, retain and make
68 available for public inspection such declaration for a period of five years from the date of recording or
69 receipt. If reasonable time is not available to comply with the provisions of this subsection prior to
70 participation in the transaction, the officer or employee shall prepare and file the required declaration by
71 the end of the next business day.

72 § 2.2-3117. Disclosure form.

73 The disclosure form to be used for filings required by § 2.2-3114 A and D, and § 2.2-3115 A and D
74 shall be substantially as follows:

75 STATEMENT OF ECONOMIC INTERESTS.

76
77 Name
78 Office or position held or sought
79 Home address
80 Names of members of immediate family

81
82 DEFINITIONS AND EXPLANATORY MATERIAL.

83
84 "Immediate family" means (i) a spouse and (ii) any other person
85 residing in the same household as the officer or employee, who
86 is a dependent of the officer or employee or of whom the officer
87 or employee is a dependent.

88 "Dependent" means any person, whether or not related by blood or
89 marriage, who receives from the officer or employee, or provides
90 to the officer or employee, more than one-half of his financial
91 support.

92
93 "Business" means a corporation, partnership, sole proprietorship,
94 firm, enterprise, franchise, association, trust or foundation, or
95 any other individual or entity carrying on a business or
96 profession, whether or not for profit.

97
98 "Close financial association" means an association in which the person
99 filing shares significant financial involvement with an individual
100 and the filer would reasonably be expected to be aware of the
101 individual's business activities and would have access to the
102 necessary records either directly or through the individual.
103 "Close financial association" does not mean an association based
104 on (i) the receipt of retirement benefits or deferred compensation
105 from a business by which the person filing this statement is no
106 longer employed, or ~~or~~ ~~"Close financial association" does not include an~~
107 ~~association based on~~ (ii) the receipt of compensation for work performed
108 by the person filing as an independent contractor of a business
109 that represents an entity before any state governmental agency when
110 the person filing has had no communications with the state
111 governmental agency.

112
113 "Contingent liability" means a liability that is not presently fixed
114 or determined, but may become fixed or determined in the future with
115 the occurrence of some certain event.

116
117 "Gift" means any gratuity, favor, discount, entertainment,

hospitality, loan, forbearance, or other item having monetary value. It includes services as well as gifts of transportation, local travel, lodgings and meals, whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the expense has been incurred. "Gift" shall not include any offer of a ticket or other admission or pass unless the ticket, admission, or pass is used. "Gift" shall not include honorary degrees and presents from relatives. "Relative" means the donee's spouse, child, uncle, aunt, niece, or nephew; a person to whom the donee is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother, or sister; or the donee's brother's or sister's spouse.

TRUST. If you or your immediate family, separately or together, are the only beneficiaries of a trust, treat the trust's assets as if you own them directly. If you or your immediate family has a proportional interest in a trust, treat that proportion of the trust's assets as if you own them directly. For example, if you and your immediate family have a one-third interest in a trust, complete your Statement as if you own one-third of each of the trust's assets. If you or a member of your immediate family created a trust and can revoke it without the beneficiaries' consent, treat its assets as if you own them directly.

REPORT TO THE BEST OF INFORMATION AND BELIEF. Information required on this Statement must be provided on the basis of the best knowledge, information and belief of the individual filing the Statement as of the date of this report unless otherwise stated.

COMPLETE ITEMS 1 THROUGH 10. REFER TO SCHEDULES ONLY IF DIRECTED.

You may attach additional explanatory information.

1. Offices and Directorships.

Are you or a member of your immediate family a paid officer or paid director of a business?

EITHER check NO / / OR check YES / / and complete Schedule A.

2. Personal Liabilities.

Do you or a member of your immediate family owe more than \$10,000 to any one creditor including contingent liabilities? (Exclude debts to any government and loans secured by recorded liens on property at least equal in value to the loan.)

EITHER check NO / / OR check YES / / and complete Schedule B.

3. Securities.

Do you or a member of your immediate family, directly or indirectly, separately or together, own securities valued in excess of \$10,000 invested in one business? Account for mutual funds, limited partnerships and trusts.

EITHER check NO / / OR check YES / / and complete Schedule C.

4. Payments for Talks, Meetings, and Publications.

During the past 12 months did you receive lodging, transportation, money, or anything else of value with a

combined value exceeding \$200 for a single talk, meeting,
or published work in your capacity as an officer or employee of
your agency?
EITHER check NO / / OR check YES / / and complete
Schedule D.

5. Gifts.
During the past 12 months did a business, government, or
individual other than a relative or personal friend (i) furnish
you with any gift or entertainment at a single event, and the
value received by you exceeded \$50 in value or (ii) furnish you
with gifts or entertainment in any combination and the value
received by you exceeded \$100 in total value; and for
which you neither paid nor rendered services in exchange?
Account for entertainment events only if the average value
per person attending the event exceeded \$50 in value.
Account for all business entertainment (except if related to
your private profession or occupation) even if unrelated
to your official duties.
EITHER check NO / / OR check YES / / and complete
Schedule E.

6. Salary and Wages.
List each employer that pays you or a member of your immediate
family salary or wages in excess of \$10,000 annually. (Exclude state or
local government or advisory agencies.) If no reportable salary or wages,
check here / /.
.....
.....
.....

7. Business Interests.
Do you or a member of your immediate family, separately or
together, operate your own business, or own or control an
interest in excess of \$10,000 in a business?
EITHER check NO / / OR check YES / / and complete
Schedule F.

8. Payments for Representation and Other Services.

8A. Did you represent, *excluding activity defined as lobbying in*
§ 2.2-419, any businesses before any state governmental
agencies, excluding courts or judges, for which you received
total compensation during the past 12 months in excess of
\$1,000, excluding compensation for other services to such
businesses and representation consisting solely of the filing
of mandatory papers and subsequent representation regarding the
mandatory papers? (Officers and employees of local
governmental and advisory agencies do NOT need to answer this
question or complete Schedule G-1.)
EITHER check NO / / OR check YES / / and complete
Schedule G-1.

8B. Subject to the same exceptions as in 8A, did persons with whom
you have a close financial association (partners, associates or
others) represent, *excluding activity defined as lobbying in*
§ 2.2-419, any businesses before any state governmental
agency for which total compensation was received during the past
12 months in excess of \$1,000? (Officers and employees of local
governmental and advisory agencies do NOT need to answer this
question or complete Schedule G-2.)
EITHER check NO / / OR check YES / / and complete
Schedule G-2.

- 8C. Did you or persons with whom you have a close financial association furnish services to businesses operating in Virginia pursuant to an agreement between you and such businesses, or between persons with whom you have a close financial association and such businesses for which total compensation in excess of \$1,000 was received during the past 12 months?
EITHER check NO / / OR check YES / / and complete Schedule G-3.
9. Real Estate.
- 9A. State Officers and Employees.
Do you or a member of your immediate family hold an interest, including a partnership interest, valued at \$10,000 or more in real property (other than your principal residence) for which you have not already listed the full address on Schedule F? Account for real estate held in trust.
EITHER check NO / / OR check YES / / and complete Schedule H-1.
- 9B. Local Officers and Employees.
Do you or a member of your immediate family hold an interest, including a partnership interest, valued at \$10,000 or more in real property located in the county, city or town in which you serve or in a county, city or town contiguous to the county, city or town in which you serve (other than your principal residence) for which you have not already listed the full address on Schedule F? Account for real estate held in trust.
EITHER check NO / / OR check YES / / and complete Schedule H-2.
10. Real Estate Contracts with Governmental Agencies.
Do you or a member of your immediate family hold an interest valued at more than \$10,000 in real estate, including a corporate, partnership, or trust interest, option, easement, or land contract, which real estate is the subject of a contract, whether pending or completed within the past 12 months, with a governmental agency? If the real estate contract provides for the leasing of the property to a governmental agency, do you or a member of your immediate family hold an interest in the real estate valued at more than \$1,000? Account for all such contracts whether or not your interest is reported in Schedule F, H-1, or H-2. This requirement to disclose an interest in a lease does not apply to an interest derived through an ownership interest in a business unless the ownership interest exceeds three percent of the total equity of the business.
EITHER check NO / / OR check YES / / and complete Schedule I.

Statements of Economic Interests are open for public inspection.

AFFIRMATION BY ALL FILERS.

I swear or affirm that the foregoing information is full, true and correct to the best of my knowledge.

Signature.....

Commonwealth of Virginia

.....of.....to wit:

The foregoing disclosure form was acknowledged before me

287 This.....day of....., 19.., by
 288 Notary Public
 289 My commission expires.....
 290

291 (Return only if needed to complete Statement.)
 292

293 SCHEDULES
 294 TO
 295 STATEMENT OF ECONOMIC INTERESTS.
 296
 297 NAME.....
 298

299 SCHEDULE A - OFFICES AND DIRECTORSHIPS.
 300

301 Identify each business of which you or a member of your
 302 immediate family is a paid officer or paid director.
 303

304 -----
 305 -----

| 306 | 307 Name of Business | 308 Address of Business | 309 Position Held |
|-----|----------------------|-------------------------|-------------------|
| 310 | | | |
| 311 | | | |
| 312 | | | |
| 313 | ----- | ----- | ----- |
| 314 | ----- | ----- | ----- |

315 RETURN TO ITEM 2
 316

317 SCHEDULE B - PERSONAL LIABILITIES.
 318

319 Report personal liability by checking each category. Report
 320 only debts in excess of \$10,000. Do not report debts to any
 321 government. Do not report loans secured by recorded liens on
 322 property at least equal in value to the loan.

323 Report contingent liabilities below and indicate which debts
 324 are contingent.
 325

326 1. My personal debts are as follows:
 327

328 -----
 329 -----

| 330 | 331 Check | 332 Check one |
|-----|-------------|-----------------------|
| 333 | appropriate | \$10,001 to More than |
| 334 | categories | \$50,000 \$50,000 |

| | | | |
|-----|-------------------------------------|-------|-------|
| 335 | Banks | | |
| 336 | Savings institutions | | |
| 337 | Other loan or finance companies | | |
| 338 | Insurance companies | | |
| 339 | Stock, commodity or other brokerage | | |
| 340 | companies | | |
| 341 | Other businesses: | | |
| 342 | (State principal business activity | | |
| 343 | for each creditor.) | | |

.....

.....

Individual creditors:

(State principal business or

occupation of each creditor.)

.....

2. The personal debts of the members of my immediate family are as follows:

| | Check | Check one |
|-------------------------------------|-------------|-------------|
| | appropriate | \$10,001 to |
| | categories | \$50,000 |
| | | More than |
| | | \$50,000 |
| Banks | | |
| Savings institutions | | |
| Other loan or finance companies | | |
| Insurance companies | | |
| Stock, commodity or other brokerage | | |
| companies | | |
| Other businesses: | | |
| (State principal business activity | | |
| for each creditor.) | | |
| | | |
| | | |
| Individual creditors: | | |
| (State principal business or | | |
| occupation of each creditor.) | | |
| | | |

RETURN TO ITEM 3

SCHEDULE C - SECURITIES.

| | |
|--------------------------------------|-----------------------------|
| "Securities" INCLUDES stocks, bonds, | "Securities" EXCLUDES |
| mutual funds, limited partnerships, | certificates of deposit, |
| and commodity futures contracts. | money market funds, annuity |
| | contracts, and insurance |
| | policies. |

Identify each business or Virginia governmental entity in which you or a member of your immediate family, directly or indirectly, separately or together, own securities valued in excess of \$10,000. Name each entity and type of security individually.

Do not list U.S. Bonds or other government securities not issued by the Commonwealth of Virginia or its authorities, agencies, or local governments. Do not list organizations that do not do

400 business in this Commonwealth, but most major businesses conduct
 401 business in Virginia. Account for securities held in trust.

402
 403 If no reportable securities, check here / /.

404 -----
 405 -----

406
 407 Check one
 408 Type of Security \$10,001 ~~More~~\$50,001 -
 409 More
 410 Type of (stocks, bonds, mutual to ~~than~~to -
 411 than
 412 Name of Issuer Entity funds, etc.) \$50,000 ~~\$50,000~~\$250,000 -
 413 \$250,000
 414 -
 415 ..
 416 -
 417 ..
 418 -
 419 ..
 420 -
 421 ..

422
 423 -----
 424 -----

425 RETURN TO ITEM 4
 426

427 SCHEDULE D - PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.
 428

429 List each source from which you received during the past 12 months
 430 lodging, transportation, money, or any other thing of value
 431 (excluding meals or drinks coincident with a meeting) with
 432 combined value exceeding \$200 for your presentation of a single
 433 talk, participation in one meeting, or publication of a work in
 434 your capacity as an officer or employee of your agency.

435
 436 List payments or reimbursements by an advisory or governmental
 437 agency only for meetings or travel outside the Commonwealth.

438
 439 List a payment even if you donated it to charity.

440
 441 Do not list information about a payment if you returned it within
 442 60 days or if you received it from an employer already listed
 443 under Item 6 or from a source of income listed on Schedule F.

444
 445 If no payment must be listed, check here / /.

446 -----
 447 -----

448
 449 Type of Payment
 450 (e.g.,
 451 Travel reimburse-
 452 Payer Approximate Value Circumstances ment, etc.)
 453
 454
 455
 456

 RETURN TO ITEM 5

SCHEDULE E - GIFTS.

List each business, governmental entity, or individual that, during the past 12 months, (i) furnished you with any gift or entertainment at a single event and the value received by you exceeded \$50 in value, or (ii) furnished you with gifts or entertainment in any combination and the value received by you exceeded \$100 in total value; and for which you neither paid nor rendered services in exchange. List each such gift or event. Do not list entertainment events unless the average value per person attending the event exceeded \$50 in value. Do not list business entertainment related to your private profession or occupation. Do not list gifts or other things of value given by a relative or personal friend for reasons clearly unrelated to your public position. Do not list campaign contributions publicly reported as required by Chapter 9 (§ 24.2-900 et seq.) of Title 24.2 of the Code of Virginia.

| Name of Business, Organization, or Individual | City or County and State | Gift or Event | Approximate Value |
|---|--------------------------------|------------------|-------------------|
| | | | |
| | | | |
| | | | |
| | | | |

RETURN TO ITEM 6

SCHEDULE F - BUSINESS INTERESTS.

Complete this Schedule for each self-owned or family-owned business (including rental property, a farm, or consulting work), partnership, or corporation in which you or a member of your immediate family, separately or together, own an interest having a value in excess of \$10,000.

If the enterprise is owned or operated under a trade, partnership, or corporate name, list that name; otherwise, merely explain the nature of the enterprise. If rental property is owned or operated under a trade, partnership, or corporate name, list the name only; otherwise, give the address of each property. Account for business interests held in trust.

| | | | Gross Income | |
|-----|---------------|-----------|--------------------------------|--|
| 513 | Name of | | | |
| 514 | Business, | | | |
| 515 | Corporation, | | | |
| 516 | Partnership, | | | |
| 517 | Farm; Address | City or | Nature of Enterprise | |
| 518 | of Rental | County | (farming, law, | \$50,000 More than \$50,001 Mo- |
| 519 | re | | | |
| 520 | Property | and State | rental property, etc.) or less | \$50,000 to th- |
| 521 | an | | | |
| 522 | | | | \$250,000 \$25- |
| 523 | 0,000 | | | |
| 524 | | | | |
| 525 | | | | |
| 526 | | | | |
| 527 | | | | |
| 528 | | | | |
| 529 | | | | |

530 -----

531 -----

532 RETURN TO ITEM 8

533

534 SCHEDULE G-1 - PAYMENTS FOR REPRESENTATION BY YOU.

535

536 List the businesses you represented, *excluding activity*

537 *defined as lobbying in § 2.2-419*, before any state governmental

538 agency, excluding any court or judge, for which you received

539 total compensation during the past 12 months in excess of \$1,000,

540 excluding compensation for other services to such businesses and

541 representation consisting solely of the filing of mandatory

542 papers and subsequent representation regarding the mandatory

543 papers filed by you.

544

545 Identify each business, the nature of the representation and the

546 amount received by dollar category from each such business. You

547 may state the type, rather than name, of the business if you are

548 required by law not to reveal the name of the business

549 represented by you.

550

551 Only STATE officers and employees should complete this Schedule.

552 -----

553 -----

| 556 | Name | Type | Pur- | Name | Amount Received | | | | |
|-----|-------|-------|---------|-------|-----------------|----------|-----------|-----------|-----------|
| 557 | of | of | pose | of | | | | | |
| 558 | Busi- | Busi- | of | Agen- | | | | | |
| 559 | ness | ness | Repre- | cy | \$1,001 | \$10,001 | \$50,001 | \$100,001 | \$250,001 |
| 560 | | | sentat- | | to | to | to | to | and |
| 561 | | | tion | | \$10,000 | \$50,000 | \$100,000 | \$250,000 | over |

562

563

564

565

566

567 -----

568 If you have received \$250,001 or more from a single business within

569 the reporting period, indicate the amount received, rounded to the

570 nearest \$10,000. Amount Received:<eb>_____.

571 SCHEDULE G-2 - PAYMENTS FOR REPRESENTATION BY ASSOCIATES.

572

573 List the businesses that have been represented, *excluding activity*
 574 *defined as lobbying in § 2.2-419*, before any state
 575 governmental agency, excluding any court or judge, by persons
 576 who are your partners, associates or others with whom you have
 577 a close financial association and who received total
 578 compensation in excess of \$1,000 for such representation during
 579 the past 12 months, excluding representation consisting solely
 580 of the filing of mandatory papers and subsequent representation
 581 regarding the mandatory papers filed by your partners,
 582 associates or others with whom you have a close financial
 583 association.

584

585 Identify such businesses by type and also name the state
 586 governmental agencies before which such person appeared on
 587 behalf of such businesses.

588

589 Only STATE officers and employees should complete this Schedule.

590

591

592

593

594 Type of Business Name of State Governmental Agency

595

596

597

598

599

600

601

602

603 SCHEDULE G-3 - PAYMENTS FOR SERVICES GENERALLY.

604

605 Indicate below types of businesses that operate in Virginia to
 606 which services were furnished by you or persons with whom you
 607 have a close financial association *pursuant to an agreement between you*
 608 *and such businesses, or between persons with whom you have a close financia-*

609 1

610 *association and such businesses* and for which total compen-
 611 sation in excess of \$1,000 was received during the past 12 months.

612

613 Identify opposite each category of businesses listed below (i)
 614 the type of business, (ii) the type of service rendered and
 615 (iii) the value by dollar category of the compensation received
 616 for all businesses falling within each category.

617

618

619

620

| Check | Type | Value of Compensation | | | | |
|-------|------|-----------------------|----------|----------|-----------|-----------|
| if | of | | | | | |
| ser- | ser- | | | | | |
| vices | vice | | | | | |
| were | ren- | \$1,001 | \$10,001 | \$50,001 | \$100,001 | \$250,001 |

| | ren- | dered | to | to | to | to | and |
|-----|--------------------|-------|----------|----------|-----------|-----------|-------|
| | dered | | \$10,000 | \$50,000 | \$100,000 | \$250,000 | over |
| 626 | | | | | | | |
| 627 | | | | | | | |
| 628 | Electric | | | | | | |
| 629 | utilities | | | | | | |
| 630 | Gas util- | | | | | | |
| 631 | ities | | | | | | |
| 632 | Telephone | | | | | | |
| 633 | utilities | | | | | | |
| 634 | Water util- | | | | | | |
| 635 | ities | | | | | | |
| 636 | Cable tele- | | | | | | |
| 637 | vision | | | | | | |
| 638 | companies | | | | | | |
| 639 | Interstate | | | | | | |
| 640 | transporta- | | | | | | |
| 641 | tion com- | | | | | | |
| 642 | panies | | | | | | |
| 643 | Intrastate | | | | | | |
| 644 | transporta- | | | | | | |
| 645 | tion com- | | | | | | |
| 646 | panies | | | | | | |
| 647 | Oil or gas | | | | | | |
| 648 | retail com- | | | | | | |
| 649 | panies | | | | | | |
| 650 | Banks | | | | | | |
| 651 | Savings | | | | | | |
| 652 | institutions..... | | | | | | |
| 653 | Loan or fi- | | | | | | |
| 654 | nance com- | | | | | | |
| 655 | panies | | | | | | |
| 656 | | | | | | | |
| 657 | | | | | | | |
| 658 | Manufactur- | | | | | | |
| 659 | ing com- | | | | | | |
| 660 | panies (state | | | | | | |
| 661 | type of pro- | | | | | | |
| 662 | duct, e.g., | | | | | | |
| 663 | textile, fur- | | | | | | |
| 664 | niture, etc.)..... | | | | | | |
| 665 | Mining com- | | | | | | |
| 666 | panies | | | | | | |
| 667 | Life insur- | | | | | | |
| 668 | ance com- | | | | | | |
| 669 | panies | | | | | | |
| 670 | Casualty in- | | | | | | |
| 671 | surance com- | | | | | | |
| 672 | panies | | | | | | |
| 673 | Other insur- | | | | | | |
| 674 | ance com- | | | | | | |
| 675 | panies | | | | | | |
| 676 | Retail com- | | | | | | |
| 677 | panies | | | | | | |
| 678 | Beer, wine | | | | | | |
| 679 | or liquor | | | | | | |
| 680 | companies or | | | | | | |
| 681 | distributors..... | | | | | | |
| 682 | Trade asso- | | | | | | |

683 ciations
 684 Professional
 685 associations.....
 686 Associations
 687 of public
 688 employees or
 689 officials
 690 Counties,
 691 cities or
 692 towns
 693 Labor organi-
 694 zations
 695 Other
 696
 697 -----
 698 -----

RETURN TO ITEM 9

SCHEDULE H-1 - REAL ESTATE - STATE OFFICERS AND EMPLOYEES.

List real estate other than your principal residence in which
 you or a member of your immediate family holds an interest,
 including a partnership interest, option, easement, or land
 contract, valued at \$10,000 or more. You may list each parcel
 of real estate individually if you wish.

| List each location (state, and county or city) where you own real estate. | Describe the type of real estate you own in each location (business, recreational, apartment, commercial, open land, etc.). | If the real estate is owned or recorded in a name other than your own, list that name. |
|--|--|--|
|--|--|--|

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| | | |

SCHEDULE H-2 - REAL ESTATE - LOCAL OFFICERS AND EMPLOYEES.

List real estate located in your county, city, or town, and any
 contiguous county, city, or town other than your principal
 residence in which you or a member of your immediate family holds
 an interest, including a partnership interest, option, easement,
 or land contract, valued at \$10,000 or more. You may list each
 parcel of real estate individually if you wish.

| List each location (state, and county | Describe the type of real estate you own | If the real estate is owned or recorded |
|--|---|--|
|--|---|--|

739 or city) where you in each location in a name other than
 740 own real estate. (business, recreational, your own, list that
 741 apartment, commercial, name.
 742 open land, etc.).

743
 744
 745
 746
 747
 748 -----
 749 -----

750 RETURN TO ITEM 10
 751

752 SCHEDULE I - REAL ESTATE CONTRACTS WITH GOVERNMENTAL AGENCIES.
 753

754 List all contracts, whether pending or completed within the
 755 past 12 months, with a governmental agency for the sale
 756 or exchange of real estate in which you or a member of
 757 your immediate family holds an interest, including a corporate,
 758 partnership or trust interest, option, easement, or land
 759 contract, valued at \$10,000 or more. List all contracts with a
 760 governmental agency for the lease of real estate in which you or
 761 a member of your immediate family holds such an interest valued
 762 at \$1,000 or more. This requirement to disclose an interest in a
 763 lease does not apply to an interest derived through an ownership
 764 interest in a business unless the ownership interest exceeds
 765 three percent of the total equity of the business.

766 State officers and employees report contracts with state agencies.
 767 Local officers and employees report contracts with local agencies.
 768 -----
 769 -----

770
 771 List your real List each State the annual
 772 estate interest governmental agency income from the
 773 and the person which is a party to contract, and the
 774 or entity, the contract and in- amount, if any, of
 775 including the dicate the county income you or any
 776 type of entity, or city where the real immediate family
 777 which is party estate is located. member derives
 778 to the contract. annually from the
 779 Describe any contract.
 780 management role
 781 and the percentage
 782 ownership interest
 783 you or your
 784 immediate family
 785 member has in the
 786 real estate or entity.

787
 788
 789
 790
 791
 792 -----
 793 -----

794 § 2.2-3118. Disclosure form; certain citizen members.
 795 A. The financial disclosure form to be used for filings required pursuant to subsection B of

§ 2.2-3114 and subsection B of § 2.2-3115 shall be substantially as follows:

DEFINITIONS AND EXPLANATORY MATERIAL.

"Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise, association, trust or foundation, or any other individual or entity carrying on a business or profession, whether or not for profit.

"Close financial association" means an association in which the person filing shares significant financial involvement with an individual and the filer would reasonably be expected to be aware of the individual's business activities and would have access to the necessary records either directly or through the individual. "Close financial association" does not mean an association based on (i) the receipt of retirement benefits or deferred compensation from a business by which the person filing this statement is no longer employed, or (ii) the receipt of compensation for work performed by the person filing as an independent contractor of a business that represents an entity before any state governmental agency when the person filing has no communications with the state governmental agency.

"Contingent liability" means a liability that is not presently fixed or determined, but may become fixed or determined in the future with the occurrence of some certain event.

"Immediate family" means (i) a spouse and (ii) any other person residing in the same household as the filer, who is a dependent of the filer or of whom the filer is a dependent.

"Dependent" means any person, whether or not related by blood or marriage, who receives from the filer, or provides to the filer, more than one-half of his financial support.

"Personal interest" means, for the purposes of this form only, a personal and financial benefit or liability accruing to a filer or a member of his immediate family. Such interest shall exist by reason of (i) ownership in real or personal property, tangible or intangible; (ii) ownership in a business; (iii) income from a business; or (iv) personal liability on behalf of a business; however, unless the ownership interest in a business exceeds three percent of the total equity of the business, or the liability on behalf of a business exceeds three percent of the total assets of the business, or the annual income, and/or property or use of such property, from the business exceeds \$10,000 or may reasonably be anticipated to exceed \$10,000, such interest shall not constitute a "personal interest."

Name

Office or position held or to be held

.....

Address

I. FINANCIAL INTERESTS

My personal interests and those of my immediate family are as follows:

Include all forms of personal interests held at the time of filing: real estate, stocks, bonds, equity interests in proprietorships and partnerships. You may exclude:

1. Deposits and interest bearing accounts in banks, savings institutions and other institutions accepting such deposits or accounts;

2. Interests in any business, other than a news medium, representing less than three percent of the total equity value of the business;

3. Liability on behalf of any business representing less than three percent of the total assets of such business; and

4. Income (other than from salary) less than \$10,000 annually from any business. You need not state the value of any interest. You must state the name or principal business activity of each business in which you have a personal interest.

A. My personal interests are:

1. Residence, address, or, if no address, location

2. Other real estate, address, or, if no address, location

3. Name or principal business activity of each business in which stock, bond or equity interest is held

B. The personal interests of my immediate family are:

1. Real estate, address or, if no address, location

2. Name or principal business activity of each business in which

854 stock, bond or equity interest is held
855

856 II. OFFICES, DIRECTORSHIPS AND SALARIED EMPLOYMENTS
857

858 The paid offices, paid directorships and salaried employments which
859 I hold or which members of my immediate family hold and the
860 businesses from which I or members of my immediate family receive
861 retirement benefits are as follows:

862 (You need not state any dollar amounts.)

863 A. My paid offices, paid directorships and salaried employments are:

864 -----
865 -----

| 866 | 867 | 868 | 869 | 870 | 871 | 872 |
|-----|-----|---------------|-----|-----|------------------|-----|
| | | Position held | | | Name of business | |
| | | | | | | |
| | | | | | | |
| | | | | | | |

873 -----
874 -----

875
876 B. The paid offices, paid directorships and salaried employments of
877 members of my immediate family are:

878 -----
879 -----

| 880 | 881 | 882 | 883 | 884 | 885 | 886 |
|-----|-----|---------------|-----|-----|------------------|-----|
| | | Position held | | | Name of business | |
| | | | | | | |
| | | | | | | |
| | | | | | | |

887 -----
888 -----

889
890 III. BUSINESSES TO WHICH SERVICES WERE FURNISHED
891

892 A. The businesses I have represented, *excluding activity defined as*
893 *lobbying in § 2.2-419*, before any state governmental agency,
894 excluding any court or judge, for which I have received total
895 compensation in excess of \$1,000 during the preceding year, excluding
896 compensation for other services to such businesses and representation
897 consisting solely of the filing of mandatory papers, are as follows:

898 Identify businesses by name and name the state governmental
899 agencies before which you appeared on behalf of such businesses.

900 -----
901 -----

902 -----
903 -----

| 904 | 905 | 906 | 907 | 908 |
|------------------|-----|-----------------------------|-----|-----|
| Name of business | | Name of governmental agency | | |
| | | | | |
| | | | | |
| | | | | |

909 -----
910 -----

B. The businesses that, to my knowledge, have been represented, excluding activity defined as lobbying in § 2.2-419, before any state governmental agency, excluding any court or judge, by persons with whom I have a close financial association and who received total compensation in excess of \$1,000 during the preceding year, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers, are as follows:

Identify businesses by type and name the state governmental agencies before which such person appeared on behalf of such businesses.

| Type of business | Name of state governmental agency |
|------------------|-----------------------------------|
| | |
| | |

C. All other businesses listed below that operate in Virginia to which services were furnished pursuant to an agreement between you and such businesses and for which total compensation in excess of \$1000 was received during the preceding year:

Check each category of business to which services were furnished.

| | |
|---|-------|
| Electric utilities | |
| Gas utilities | |
| Telephone utilities | |
| Water utilities | |
| Cable television companies | |
| Intrastate transportation companies | |
| Interstate transportation companies | |
| Oil or gas retail companies | |
| Banks | |
| Savings institutions | |
| Loan or finance companies | |
| Manufacturing companies (state type of product, e.g., textile, furniture, etc.) | |
| Mining companies | |
| Life insurance companies | |
| Casualty insurance companies | |
| Other insurance companies | |
| Retail companies | |
| Beer, wine or liquor companies or distributors | |
| Trade associations | |
| Professional associations | |
| Associations of public employees or officials | |
| Counties, cities or towns | |
| Labor organizations | |

IV. COMPENSATION FOR EXPENSES

The persons, associations, or other sources other than my governmental agency from which I or a member of my immediate family received remuneration in excess of \$200 during the preceding year, in cash or otherwise, as honorariums or payment of expenses in connection with my attendance at any meeting or other function to which I was invited in my official capacity are as follows:

| Name of Source | Description of occasion | Amount of remuneration for each occasion |
|----------------|-------------------------|--|
| | | |
| | | |

B. The provisions of Part III A and B of the disclosure form prescribed by this section shall not be applicable to officers and employees of local governmental and local advisory agencies.

C. Except for real estate located within the county, city or town in which the officer or employee serves or a county, city or town contiguous to the county, city or town in which the officer or employee serves, officers and employees of local governmental or advisory agencies shall not be required to disclose under Part I of the form any other interests in real estate.

§ 30-110. Disclosure.

A. Every legislator and legislator-elect shall file, as a condition to assuming office, a disclosure statement of his personal interests and such other information as is specified on the form set forth in § 30-111 and thereafter shall file such a statement annually on or before January 8. *When the filing deadline falls on a Saturday, Sunday, or legal holiday, the disclosure statement shall be filed on the next day that is not a Saturday, Sunday, or legal holiday.* Disclosure forms shall be provided by the clerk of the appropriate house to each legislator and legislator-elect not later than November 30 of each year. Members of the Senate shall file their disclosure forms with the Clerk of the Senate and members of the House of Delegates shall file their disclosure forms with the Clerk of the House of Delegates. The disclosure forms of the members of the General Assembly shall be maintained as public records for five years in the office of the clerk of the appropriate house.

B. Candidates for the General Assembly shall file a disclosure statement of their personal interests as required by §§ 24.2-500 through 24.2-503.

C. Any legislator who has a personal interest in any transaction pending before the General Assembly and who is disqualified from participating in that transaction pursuant to § 30-108 and the rules of his house shall disclose his interest in accordance with the applicable rule of his house.

§ 30-111. Disclosure form.

A. The disclosure form to be used for filings required by subsections A and B of § 30-110 shall be substantially as follows:

STATEMENT OF ECONOMIC INTERESTS.

Name

Office or position held or sought

Home address

Names of members of immediate family

DEFINITIONS AND EXPLANATORY MATERIAL.

"Immediate family" means (i) a spouse and (ii) any other person residing in the same household as the legislator, who is a dependent of the legislator or of whom the legislator is a dependent.

"Dependent" means any person, whether or not related by blood or marriage, who receives from the legislator, or provides to the legislator, more than one-half of his financial support.

"Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise, association, trust or foundation, or any other individual or entity carrying on a business or profession, whether or not for profit.

"Close financial association" means an association in which the filer shares significant financial involvement with an individual and the filer would reasonably be expected to be aware of the individual's business activities and would have access to the necessary records either directly or through the individual. "Close financial association" does not mean an association based on (i) the receipt of retirement benefits or deferred compensation from a business by which the legislator is no longer employed, ~~"Close financial association" does not include an association based on~~ or (ii) the receipt of compensation for work performed by the legislator as an independent contractor of a business that represents an entity before any state governmental agency when the legislator has had no communications with the state governmental agency.

"Contingent liability" means a liability that is not presently fixed or determined, but may become fixed or determined in the future with the occurrence of some certain event.

"Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item having monetary value. It includes services as well as gifts of transportation, local travel, lodgings and meals, whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the expense has been incurred. "Gift" shall not include any offer of a ticket or other admission or pass unless the ticket, admission, or pass is used. "Gift" shall not include honorary degrees and presents from relatives. "Relative" means the donee's spouse, child, uncle, aunt, niece, or nephew; a person to whom the donee is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother, or sister; or the donee's brother's or sister's spouse.

"Lobbyist relationship" means (i) an engagement, agreement, or representation that relates to legal services, consulting services, or public relations services, whether gratuitous or for compensation, between a member or member-elect and any person who is, or has been within the prior calendar year, registered as a lobbyist with the Secretary of the Commonwealth, or (ii) a greater than three percent ownership interest by a member or member-elect in a business that employs, or engages as an independent contractor, any person who is, or has been within the prior calendar year, registered as a lobbyist with the Secretary of the Commonwealth. The disclosure of a lobbyist relationship shall not (i) constitute a waiver of any attorney-client or other privilege, (ii) require a waiver of any attorney-client or other privilege for a third party, or (iii) be required where a member or member-elect is employed or engaged by a person and such person also employs or engages a person in a lobbyist relationship so long as the member or member-elect has no financial interest in the lobbyist relationship.

1082 TRUST. If you or your immediate family, separately or together, are
1083 the only beneficiaries of a trust, treat the trust's assets as if
1084 you own them directly. If you or your immediate family has a
1085 proportional interest in a trust, treat that proportion of the
1086 trust's assets as if you own them directly. For example, if you
1087 and your immediate family have a one-third interest in a trust,
1088 complete your Statement as if you own one-third of each of the
1089 trust's assets. If you or a member of your immediate family created
1090 a trust and can revoke it without the beneficiaries' consent, treat
1091 its assets as if you own them directly.
1092

1093 REPORT TO THE BEST OF INFORMATION AND BELIEF. Information required
1094 on this Statement must be provided on the basis of the best
1095 knowledge, information and belief of the individual filing the
1096 Statement as of the date of this report unless otherwise stated.
1097

1098 COMPLETE ITEMS 1 THROUGH 10. REFER TO SCHEDULES ONLY IF DIRECTED.
1099 You may attach additional explanatory information.

1100 1. Offices and Directorships.
1101 Are you or a member of your immediate family a paid officer or
1102 paid director of a business?
1103 EITHER check NO / / OR check YES / / and complete Schedule A.

1104 2. Personal Liabilities.
1105 Do you or a member of your immediate family owe more than
1106 \$10,000 to any one creditor including contingent liabilities?
1107 (Exclude debts to any government and loans secured by recorded
1108 liens on property at least equal in value to the loan.)
1109 EITHER check NO / / OR check YES / / and complete Schedule B.

1110 3. Securities.
1111 Do you or a member of your immediate family, directly or
1112 indirectly, separately or together, own securities valued in
1113 excess of \$10,000 invested in one business? Account for mutual
1114 funds, limited partnerships and trusts.
1115 EITHER check NO / / OR check YES / / and complete Schedule C.

1116 4. Payments for Talks, Meetings, and Publications.
1117 During the past 12 months did you receive lodging,
1118 transportation, money, or anything else of value with a
1119 combined value exceeding \$200 for a single talk, meeting, or
1120 published work in your capacity as a legislator?
1121 EITHER check NO / / OR check YES / / and complete Schedule D.

1122 5. Gifts.
1123 During the past 12 months did a business, government, or
1124 individual other than a relative or personal friend (i) furnish
1125 you with any gift or entertainment at a single event, and the
1126 value received by you exceeded \$50 in value or (ii) furnish you
1127 with gifts or entertainment in any combination and the value
1128 received by you exceeded \$100 in total value; and for
1129 which you neither paid nor rendered services in exchange?
1130 Account for entertainment events only if the average value
1131 per person attending the event exceeded \$50 in value.
1132 Account for all business entertainment (except if related to
1133 your private profession or occupation) even if unrelated
1134 to your official duties.
1135 EITHER check NO / / OR check YES / / and complete Schedule E.

1136 6. Salary and Wages.
1137 List each employer that pays you or a member of your immediate
1138 family salary or wages in excess of \$10,000 annually. (Exclude

state or local government or advisory agencies.) If no reportable salary or wages, check here / / .

.....

.....

.....

7. Business Interests and Lobbyist Relationships.

7A. Do you or a member of your immediate family, separately or together, operate your own business, or own or control an interest in excess of \$10,000 in a business?

EITHER check NO / / OR check YES / / and complete Schedule F-1.

7B. Do you have a lobbyist relationship as that term is defined above?

EITHER check NO / / OR check YES / / and complete Schedule F-2.

8. Payments for Representation and Other Services.

8A. Did you represent any businesses before any state governmental agencies, excluding courts or judges, for which you received total compensation during the past 12 months in excess of \$1,000, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers?

EITHER check NO / / OR check YES / / and complete Schedule G-1.

8B. Subject to the same exceptions as in 8A, did persons with whom you have a close financial association (partners, associates or others) represent any businesses before any state governmental agency for which total compensation was received during the past 12 months in excess of \$1,000?

EITHER check NO / / OR check YES / / and complete Schedule G-2.

8C. Did you or persons with whom you have a close financial association furnish services to businesses operating in Virginia pursuant to an agreement between you and such businesses, or between persons with whom you have a close financial association and such businesses for which total compensation in excess of \$1,000 was - received during the past 12 months?

EITHER check NO / / OR check YES / / and complete Schedule G-3.

9. Real Estate.

Do you or a member of your immediate family hold an interest, including a partnership interest, valued at \$10,000 or more in real property (other than your principal residence) for which you have not already listed the full address on Schedule F?

Account for real estate held in trust.

EITHER check NO / / OR check YES / / and complete Schedule H.

10. Real Estate Contracts with State Governmental Agencies.

Do you or a member of your immediate family hold an interest valued at more than \$10,000 in real estate, including a corporate, partnership, or trust interest, option, easement, or land contract, which real estate is the subject of a contract, whether pending or completed within the past 12 months, with a state governmental agency? If the real estate contract provides for the leasing of the property to a state governmental agency, do you or a member of your immediate family hold an interest in the real estate, including a corporate, partnership, or trust interest, option, easement, or land contract valued at more than \$1,000? Account for all such contracts whether or not your interest is reported in Schedule F or H. This requirement to disclose an

1195 interest in a lease does not apply to an interest derived
1196 through an ownership interest in a business unless the ownership
1197 interest exceeds three percent of the total equity of the
1198 business.

1199 EITHER check NO / / OR check YES / / and complete Schedule I.

1200
1201 Statements of Economic Interests are open for public inspection.

1202
1203 AFFIRMATION.

1204
1205 In accordance with the rules of the house in which I serve, if I
1206 receive a request that this disclosure statement be corrected,
1207 augmented, or revised in any respect, I hereby pledge that I shall
1208 respond promptly to the request. I understand that if a
1209 determination is made that the statement is insufficient, I will
1210 satisfy such request or be subjected to disciplinary action of
1211 my house.

1212
1213 I swear or affirm that the foregoing information is full, true and
1214 correct to the best of my knowledge.

1215
1216 Signature

1217 Commonwealth of Virginia

1218 of to wit:

1219 The foregoing disclosure form was acknowledged before me

1220 This day of, 20. . ., by,

1221 Notary Public

1222 My commission expires

1223 (Return only if needed to complete Statement.)

1224
1225 SCHEDULES

1226 TO

1227 STATEMENT OF ECONOMIC INTERESTS.

1228
1229 NAME

1230
1231 SCHEDULE A - OFFICES AND DIRECTORSHIPS.

1232
1233 Identify each business of which you or a member of
1234 your immediate family is a paid officer or paid director.

1235 -----
1236 -----

1237 Name of Business Address of Business Position Held

1238

1239

1240

1241

1242 -----

1243 -----

1244 RETURN TO ITEM 2

1245 SCHEDULE B - PERSONAL LIABILITIES.

1246
1247 Report personal liability by checking each category. Report only
1248 debts in excess of \$10,000. Do not report debts to any
1249 government. Do not report loans secured by recorded liens on
1250 property at least equal in value to the loan.

1251 Report contingent liabilities below and indicate which debts are

contingent.

1. My personal debts are as follows:

| Check | | Check one | |
|---|-------|-------------|-----------|
| appropriate | | \$10,001 to | More than |
| categories | | \$50,000 | \$50,000 |
| Banks | | | |
| Savings institutions | | | |
| Other loan or finance companies | | | |
| Insurance companies | | | |
| Stock, commodity or other brokerage | | | |
| companies | | | |
| Other businesses: | | | |
| (State principal business activity | | | |
| for each creditor.) | | | |
| | | | |
| | | | |
| Individual creditors: | | | |
| (State principal business or occupation | | | |
| of each creditor.) | | | |
| | | | |
| | | | |

2. The personal debts of the members of my immediate family are as follows:

| Check | | Check one | |
|---|-------|-------------|-----------|
| appropriate | | \$10,001 to | More than |
| categories | | \$50,000 | \$50,000 |
| Banks | | | |
| Savings institutions | | | |
| Other loan or finance companies | | | |
| Insurance companies | | | |
| Stock, commodity or other brokerage | | | |
| companies | | | |
| Other businesses: | | | |
| (State principal business activity for | | | |
| each creditor.) | | | |
| | | | |
| | | | |
| Individual creditors: | | | |
| (State principal business or occupation | | | |
| of each creditor.) | | | |
| | | | |
| | | | |

RETURN TO ITEM 3

SCHEDULE C - SECURITIES.

| | |
|---|---|
| "Securities" INCLUDES stocks, bonds, mutual funds, limited partnerships, | "Securities" EXCLUDES certificates of deposit, |
|---|---|

1308 and commodity futures contracts. money market funds, annuity
 1309 contracts, and insurance policies.
 1310 Identify each business or Virginia governmental entity in which you
 1311 or a member of your immediate family, directly or indirectly,
 1312 separately or together, own securities valued in excess of \$10,000. Name
 1313 each entity and type of security individually.
 1314

1315 Do not list U.S. Bonds or other government securities not issued
 1316 by the Commonwealth of Virginia or its authorities, agencies, or
 1317 local governments. Do not list organizations that do not do
 1318 business in this Commonwealth, but most major businesses conduct
 1319 business in Virginia. Account for securities held in trust.
 1320

1321 If no reportable securities, check here / /.
 1322

1323 -----
 1324 -----
 1325 Type of Security Check one
 1326 (stocks, bonds, \$10,001 More \$50,001 More
 1327 mutual funds, to than to than
 1328 Name of Issuer Type of Entity etc.) \$50,000 \$250,000 \$250,000
 1329
 1330
 1331
 1332
 1333 -----
 1334 -----

1335 RETURN TO ITEM 4
 1336

1337 SCHEDULE D - PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.
 1338

1339 List each source from which you received during the past 12
 1340 months lodging, transportation, money, or any other thing of
 1341 value (excluding meals or drinks coincident with a meeting) with
 1342 combined value exceeding \$200 for your presentation of a single
 1343 talk, participation in one meeting, or publication of a work in
 1344 your capacity as a legislator. List payments or reimbursements by
 1345 the Commonwealth only for meetings or travel outside the Commonwealth.
 1346 List a payment even if you donated it to charity. Do not list information
 1347 about a payment if you returned it within 60 days or if you received it
 1348 from an employer already listed under Item 6 or from a source of
 1349 income listed on Schedule F.
 1350

1351 If no payment must be listed, check here / /.
 1352

1353 -----
 1354 -----
 1355
 1356 Type of Payment
 1357 (e.g. Honoria,
 1358 Travel reim-
 1359 Payer Approximate Value Circumstances bursement, etc.)
 1360
 1361
 1362
 1363
 1364 -----

 RETURN TO ITEM 5

SCHEDULE E - GIFTS.

List each business, governmental entity, or individual that, during the past 12 months, (i) furnished you with any gift or entertainment at a single event and the value received by you exceeded \$50 in value, or (ii) furnished you with gifts or entertainment in any combination and the value received by you exceeded \$100 in total value; and for which you neither paid nor rendered services in exchange. List each such gift or event. Do not list entertainment events unless the average value per person attending the event exceeded \$50 in value. Do not list business entertainment related to your private profession or occupation. Do not list gifts or other things of value given by a relative or personal friend for reasons clearly unrelated to your public position. Do not list campaign contributions publicly reported as required by Chapter 9 (§ 24.2-900 et seq.) of Title 24.2 of the Code of Virginia.

| Name of Business, Organization, or Individual | City or County and State | Gift or Event | Approximate Value |
|---|--------------------------------|------------------|-------------------|
| | | | |
| | | | |
| | | | |
| | | | |

RETURN TO ITEM 6

SCHEDULE F-1 - BUSINESS INTERESTS.

Complete this Schedule for each self-owned or family-owned business (including rental property, a farm, or consulting work), partnership, or corporation in which you or a member of your immediate family, separately or together, own an interest having a value in excess of \$10,000. If the enterprise is owned or operated under a trade, partnership, or corporate name, list that name; otherwise, merely explain the nature of the enterprise. If rental property is owned or operated under a trade, partnership, or corporate name, list the name only; otherwise, give the address of each property. Account for business interests held in trust.

| Name of Business, Corporation, Partnership, Farm; Address of Rental Property | City or County and State | Nature of Enterprise (farming, law, rental property, etc.) | \$50,000 or less | More than \$50,001 to \$250,000 | More than \$250,000 |
|---|--------------------------------|--|---------------------|---|---------------------------|
| | | | | | |

1421
 1422 ...
 1423
 1424 ...
 1425
 1426 ...

1427 -----
 1428 -----

1429 RETURN TO ITEM 8

1430

1431 SCHEDULE F-2 - LOBBYIST RELATIONSHIPS AND PAYMENTS.

1432 Complete this Schedule for each lobbyist relationship with the
 1433 following:

1434 (i) any person who is, or has been within the prior calendar
 1435 year, registered as a lobbyist with the Secretary of the
 1436 Commonwealth, or

1437 (ii) any business in which you have a greater than three percent
 1438 ownership interest and that business employs, or engages as
 1439 an independent contractor, any person who is, or has been
 1440 within the prior calendar year, registered as a lobbyist with
 1441 the Secretary of the Commonwealth.

1442 -----

1443 -----

| 1444 List each person | 1444 Describe each | 1444 Dates of | 1444 Payments to |
|-----------------------|--------------------|-------------------|-------------------------|
| 1445 or business | 1445 relationship | 1445 relationship | 1445 Lobbyist |
| | | | 1446 \$10,000 More than |
| | | | 1447 or less \$10,001 |

| | | | |
|------------|------------|------------|------------|
| 1448 | 1448 | 1448 | 1448 |
| 1449 | 1449 | 1449 | 1449 |
| 1450 | 1450 | 1450 | 1450 |
| 1451 | 1451 | 1451 | 1451 |
| 1452 | 1452 | 1452 | 1452 |

1453 -----

1454 -----

1455 THE DISCLOSURE OF A LOBBYIST RELATIONSHIP SHALL NOT (I) CONSTITUTE
 1456 A WAIVER OF ANY ATTORNEY-CLIENT OR OTHER PRIVILEGE, (II) REQUIRE A
 1457 WAIVER OF ANY ATTORNEY-CLIENT OR OTHER PRIVILEGE FOR A THIRD PARTY,
 1458 OR (III) BE REQUIRED WHERE A MEMBER OR MEMBER-ELECT IS EMPLOYED OR
 1459 ENGAGED BY A PERSON AND SUCH PERSON ALSO EMPLOYS OR ENGAGES A PERSON
 1460 IN A LOBBYIST RELATIONSHIP SO LONG AS THE MEMBER OR MEMBER-ELECT HAS
 1461 NO FINANCIAL INTEREST IN THE LOBBYIST RELATIONSHIP.

1462

1463 SCHEDULE G-1 - PAYMENTS FOR REPRESENTATION BY YOU.

1464

1465 List the businesses you represented before any state governmental
 1466 agency, excluding any court or judge, for which you received
 1467 total compensation during the past 12 months in excess of \$1,000,
 1468 excluding compensation for other services to such businesses and
 1469 representation consisting solely of the filing of mandatory
 1470 papers and subsequent representation regarding the mandatory
 1471 papers filed by you.

1472 Identify each business, the nature of the representation and the
 1473 amount received by dollar category from each such business. You
 1474 may state the type, rather than name, of the business if you are
 1475 required by law not to reveal the name of the business
 1476 represented by you.

1477 -----

| Name of Busi- ness | Type of Busi- ness | Pur- pose of Repre- senta- tion | Name of Agen- cy | \$1,001 to \$10,000 | \$10,001 to \$50,000 | \$50,001 to \$100,000 | \$100,001 to \$250,000 | \$250,001 and over |
|-----------------------------|-----------------------------|--|---------------------------|---------------------------|----------------------------|-----------------------------|------------------------------|--------------------------|
| | | | | | | | | |
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| | | | | | | | | |

If you have received \$250,001 or more from a single business within the reporting period, indicate the amount received, rounded to the nearest \$10,000. **Amount Received:**_____.

SCHEDULE G-2 - PAYMENTS FOR REPRESENTATION BY ASSOCIATES.

List the businesses that have been represented before any state governmental agency, excluding any court or judge, by persons who are your partners, associates or others with whom you have a close financial association and who received total compensation in excess of \$1,000 for such representation during the past 12 months, excluding representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers filed by your partners, associates or others with whom you have a close financial association.

Identify such businesses by type and also name the state governmental agencies before which such person appeared on behalf of such businesses.

| Type of Business | Name of State Governmental Agency |
|------------------|-----------------------------------|
| | |
| | |
| | |

SCHEDULE G-3 - PAYMENTS FOR REPRESENTATION AND OTHER SERVICES GENERALLY.

Indicate below types of businesses that operate in Virginia to which services were furnished by you or persons with whom you have a close financial association pursuant to an agreement between you and such businesses, or between persons with whom you have a close financial association and such businesses and for which total compensation in excess of \$1,000 was received during the past 12 months.

Identify opposite each category of businesses listed below (i) the type of business, (ii) the type of service rendered and (iii) the value by dollar category of the compensation received for all

1534 businesses falling within each category.

1535

1536 -----

1537 -----

1538

1539 Check Type Value of Compensation

1540 if of

1541 ser- ser-

1542 vices vice

1543 were ren- \$1,001 \$10,001 \$50,001 \$100,001 \$250,001

1544 ren- dered to to to to and

1545 dered \$10,000 \$50,000 \$100,000 \$250,000 over

1546 Electric

1547 utilities

1548 Gas util-

1549 ities

1550 Telephone

1551 utilities

1552 Water util-

1553 ities

1554 Cable tele-

1555 vision

1556 companies

1557 Interstate

1558 transporta-

1559 tion com-

1560 panies

1561 Intrastate

1562 transporta-

1563 tion com-

1564 panies

1565 Oil or gas

1566 retail com-

1567 panies

1568 Banks

1569 Savings

1570 insti-

1571 tutions

1572 Loan or fi-

1573 nance com-

1574 panies

1575 Manufac-

1576 turing com-

1577 panies

1578 (state type

1579 of product,

1580 e.g., tex-

1581 tile, furni-

1582 ture, etc.)

1583 Mining com-

1584 panies

1585 Life insur-

1586 ance com-

1587 panies

1588 Casualty in-

1589 surance com-

1590 panies

1591 Other insur-
 1592 ance com-
 1593 panies
 1594 Retail com-
 1595 panies
 1596 Beer, wine
 1597 or liquor
 1598 companies
 1599 or distrib-
 1600 tors
 1601 Trade asso-
 1602 ciations
 1603 Profes-
 1604 sional asso-
 1605 ciations
 1606 Associa-
 1607 tions of
 1608 public em-
 1609 ployees or
 1610 officials
 1611 Counties,
 1612 cities or
 1613 towns
 1614 Labor or-
 1615 ganizations.....
 1616 Other
 1617 -----
 1618 -----

RETURN TO ITEM 9

SCHEDULE H - REAL ESTATE.

List real estate other than your principal residence in which
 you or a member of your immediate family holds an interest,
 including a partnership interest, option, easement, or land
 contract, valued at \$10,000 or more. You may list each parcel
 of real estate individually if you wish.

| List each location (state, and county or city) where you own real estate. | Describe the type of real estate you own in each location (business, recreational, apartment, commercial, open land, etc.). | If the real estate is owned or recorded in a name other than your own, list that name. |
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RETURN TO ITEM 10

SCHEDULE I - REAL ESTATE CONTRACTS WITH STATE GOVERNMENTAL AGENCIES.

List all contracts, whether pending or completed within the past

12 months, with a state governmental agency for the sale or exchange of real estate in which you or a member of your immediate family holds an interest, including a corporate, partnership or trust interest, option, easement, or land contract, valued at \$10,000 or more. List all contracts with a state governmental agency for the lease of real estate in which you or a member of your immediate family holds such an interest valued at \$1,000 or more. This requirement to disclose an interest in a lease does not apply to an interest derived through an ownership interest in a business unless the ownership interest exceeds three percent of the total equity of the business.

| List your real estate interest and the person or entity, including the type of entity, which is party to the contract. Describe any management role and the percentage ownership interest you or your immediate family member has in the real estate or entity. | List each governmental agency which is a party to the contract and indicate the county or city where the real estate is located. | State the annual income from the contract, and the amount, if any, of income you or any immediate family member derives annually from the contract. |
|---|--|---|
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B. Any legislator who makes a knowing misstatement of a material fact on the Statement of Economic Interests shall be subject to disciplinary action for such violations by the house in which the legislator sits.

C. In accordance with the rules of each house, the Statement of Economic Interests of all members of each house shall be reviewed. If a legislator's Statement is found to be inadequate as filed, the legislator shall be notified in writing and directed to file an amended Statement correcting the indicated deficiencies, and a time shall be set within which such amendment shall be filed. If the Statement of Economic Interests, in either its original or amended form, is found to be adequate as filed, the legislator's filing shall be deemed in full compliance with this section as to the information disclosed thereon.

D. Ten percent of the membership of a house, on the basis of newly discovered facts, may in writing request the house in which those members sit, in accordance with the rules of that house, to review the Statement of Economic Interests of another member of that house in order to determine the adequacy of his filing. In accordance with the rules of each house, each Statement of Economic Interests shall be promptly reviewed, the adequacy of the filing determined, and notice given in writing to the legislator whose Statement is in issue. Should it be determined that the Statement requires correction, augmentation or revision, the legislator involved shall be directed to make the changes required within such time as shall be set under the rules of each house.

If a legislator, after having been notified in writing in accordance with the rules of the house in which he sits that his Statement is inadequate as filed, fails to amend his Statement so as to come into compliance within the time limit set, he shall be subject to disciplinary action by the house in which he

1705 sits. No legislator shall vote on any question relating to his own Statement.
1706