

1998 SESSION

SENATE SUBSTITUTE

986652755

SENATE BILL NO. 22

AMENDMENT IN THE NATURE OF A SUBSTITUTE

(Proposed by the Joint Conference Committee  
on March 13, 1998)

(Patron Prior to Substitute-Senator Watkins)

*A BILL to amend and reenact §§ 2.1-639.15, 2.1-639.41, and 2.1-786 of the Code of Virginia, relating to disclosure reports filed by state and local officers and employees, General Assembly members, and lobbyists.*

**Be it enacted by the General Assembly of Virginia:**

**1. That §§ 2.1-639.15, 2.1-639.41, and 2.1-786 of the Code of Virginia are amended and reenacted as follows:**

§ 2.1-639.15. Disclosure form.

The disclosure form to be used for filings required by § 2.1-639.13 A and D, and § 2.1-639.14 A and D shall be substantially as follows:

STATEMENT OF ECONOMIC INTERESTS.

Name .....  
Office or position held or sought .....  
Home address .....  
Names of members of immediate family .....

DEFINITIONS AND EXPLANATORY MATERIAL.

"Immediate family" means (i) a spouse and (ii) any other person residing in the same household as the officer or employee, who is a dependent of the officer or employee or of whom the officer or employee is a dependent.

"Dependent" means any person, whether or not related by blood or marriage, who receives from the officer or employee, or provides to the officer or employee, more than one-half of his financial support.

"Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise, association, trust or foundation, or any other individual or entity carrying on a business or profession, whether or not for profit.

"Close financial association" does not mean an association based on the receipt of retirement benefits or deferred compensation from a business by which the person filing this statement is no longer employed. "Close financial association" does not include an association based on the receipt of compensation for work performed by the person filing as an independent contractor of a business that represents an entity before any state governmental agency when the person filing has had no communications with the state governmental agency.

"Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item having monetary value. It includes services as well as gifts of transportation, local travel, lodgings and meals, whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the expense has been incurred. "Gift" shall not include any offer of a ticket

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56 or other admission or pass unless the ticket, admission, or pass is  
57 used. "Gift" shall not include honorary degrees and presents from  
58 relatives. "Relative" means the donee's spouse, child, uncle, aunt,  
59 niece, or nephew; a person to whom the donee is engaged to be  
60 married; the donee's or his spouse's parent, grandparent,  
61 grandchild, brother, or sister; or the donee's brother's or  
62 sister's spouse.

63  
64 TRUST. If you or your immediate family, separately or together,  
65 are the only beneficiaries of a trust, treat the trust's assets  
66 as if you own them directly. If you or your immediate family has  
67 a proportional interest in a trust, treat that proportion of the  
68 trust's assets as if you own them directly. For example, if you  
69 and your immediate family have a one-third interest in a trust,  
70 complete your Statement as if you own one-third of each of the  
71 trust's assets. If you or a member of your immediate family  
72 created a trust and can revoke it without the beneficiaries'  
73 consent, treat its assets as if you own them directly.

74  
75 REPORT TO THE BEST OF INFORMATION AND BELIEF. Information  
76 required on this Statement must be provided on the basis of the  
77 best knowledge, information and belief of the individual filing  
78 the Statement as of the date of this report unless otherwise  
79 stated.

80  
81 COMPLETE ITEMS 1 THROUGH 10. REFER TO SCHEDULES ONLY IF DIRECTED.

82  
83 You may attach additional explanatory information.

84  
85 1. Offices and Directorships.

86 Are you or a member of your immediate family a paid officer  
87 or paid director of a business?

88 EITHER check NO / / OR check YES / / and complete  
89 Schedule A.

90 2. Personal Liabilities.

91 Do you or a member of your immediate family owe more than  
92 \$10,000 to any one creditor including contingent liabilities?  
93 (Exclude debts to any government and loans secured by recorded  
94 liens on property at least equal in value to the loan.)

95 EITHER check NO / / OR check YES / / and complete  
96 Schedule B.

97 3. Securities.

98 Do you or a member of your immediate family, directly or  
99 indirectly, separately or together, own securities valued  
100 in excess of \$10,000 invested in one business? Account for  
101 mutual funds, limited partnerships and trusts.

102 EITHER check NO / / OR check YES / / and complete  
103 Schedule C.

104 4. Payments for Talks, Meetings, and Publications.

105 During the past 12 months did you receive lodging,  
106 transportation, money, or anything else of value with a  
107 combined value exceeding \$200 for a single talk, meeting,  
108 or published work in your capacity as an officer or employee of  
109 your agency?

110 EITHER check NO / / OR check YES / / and complete  
111 Schedule D.

112 5. Gifts.

During the past 12 months did a business, government, or individual other than a relative or personal friend (i) furnish you with any gift or gifts ~~the total value of which exceeded \$50~~ entertainment at a single event, and the value received by you exceeded \$50 in value or (ii) furnish you

with gifts or entertainment in any combination and the value

received by you exceeded \$100 in total value; and for

which you neither paid nor rendered services in exchange?

Account for entertainment events only if the average value

per person attending the event exceeded \$50 in value.

Account for all business entertainment (except if related to your private profession or occupation) even if unrelated to your official duties.

EITHER check NO / / OR check YES / / and complete

Schedule E.

6. Salary and Wages.

List each employer that pays you or a member of your immediate family salary or wages in excess of \$10,000 annually. (Exclude state or local government or advisory agencies.)

If no reportable salary or wages, check here / /.

.....  
.....  
.....

7. Business Interests.

Do you or a member of your immediate family, separately or together, operate your own business, or own or control an interest in excess of \$10,000 in a business?

EITHER check NO / / OR check YES / / and complete

Schedule F.

8. Payments for Representation and Other Services.

8A. Did you represent any businesses before any state governmental agencies, excluding courts or judges, for which you received total compensation during the past 12 months in excess of \$1,000, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers? (Officers and employees of local governmental and advisory agencies do NOT need to answer this question or complete Schedule G-1.)

EITHER check NO / / OR check YES / / and complete

Schedule G-1.

8B. Subject to the same exceptions as in 8A, did persons with whom you have a close financial association (partners, associates or others) represent any businesses before any state governmental agency for which total compensation was received during the past 12 months in excess of \$1,000? (Officers and employees of local governmental and advisory agencies do NOT need to answer this question or complete Schedule G-2.)

EITHER check NO / / OR check YES / / and complete

Schedule G-2.

8C. Did you or persons with whom you have a close financial

169 association furnish services to businesses operating in  
170 Virginia for which total compensation in excess of \$1,000  
171 was received during the past 12 months?  
172 EITHER check NO / / OR check YES / / and complete  
173 Schedule G-3.  
174 9. Real Estate.  
175 9A. State Officers and Employees.  
176 Do you or a member of your immediate family hold an interest,  
177 including a partnership interest, valued at \$10,000 or more in  
178 real property (other than your principal residence) for which  
179 you have not already listed the full address on Schedule F?  
180 Account for real estate held in trust.  
181 EITHER check NO / / OR check YES / / and complete  
182 Schedule H-1.  
183 9B. Local Officers and Employees.  
184 Do you or a member of your immediate family hold an interest,  
185 including a partnership interest, valued at \$10,000 or more in  
186 real property located in the county, city or town in which you  
187 serve or in a county, city or town contiguous to the county,  
188 city or town in which you serve (other than your principal  
189 residence) for which you have not already listed the full  
190 address on Schedule F? Account for real estate held in trust.  
191 EITHER check NO / / OR check YES / / and complete  
192 Schedule H-2.  
193 10. Real Estate Contracts with Governmental Agencies.  
194 Do you or a member of your immediate family hold an interest  
195 valued at more than \$10,000 in real estate, including a  
196 corporate, partnership, or trust interest, option,  
197 easement, or land contract, which real estate is the  
198 subject of a contract, whether pending or completed within  
199 the past ~~twelve~~ 12 months, with a governmental agency? If the  
200 real estate contract provides for the leasing of the property  
201 to a governmental agency, do you or a member of your immediate  
202 family hold an interest in the real estate valued at more than  
203 \$1,000? Account for all such contracts whether or not your  
204 interest is reported in ~~sSchedules~~ F, H-1, or H-2. This  
205 requirement to disclose an interest in a lease does not apply  
206 to an interest derived through an ownership interest in a  
207 business unless the ownership interest exceeds three percent  
208 of the total equity of the business.  
209 EITHER check NO / / OR check YES / / and complete Schedule I.

210  
211 Statements of Economic Interests are open for public inspection.  
212

213 AFFIRMATION BY ALL FILERS.  
214

215 I swear or affirm that the foregoing information is full, true  
216 and correct to the best of my knowledge.  
217

218 Signature.....  
219 Commonwealth of Virginia  
220 .....of.....to wit:  
221 The foregoing disclosure form was acknowledged before me  
222 This.....day of....., 19.., by .....,  
223 Notary Public  
224 My commission expires.....  
225 (Return only if needed to complete Statement.)

SCHEDULES  
TO  
STATEMENT OF ECONOMIC INTERESTS.

NAME.....

SCHEDULE A - OFFICES AND DIRECTORSHIPS.

Identify each business of which you or a member of your  
immediate family is a paid officer or paid director.

Name of Business	Address of Business	Position Held
.....	.....	.....
.....	.....	.....
.....	.....	.....
.....	.....	.....

RETURN TO ITEM 2

SCHEDULE B - PERSONAL LIABILITIES.

Report personal liability by checking each category. Report  
only debts in excess of \$10,000. Do not report debts to any  
government. Do not report loans secured by recorded liens on  
property at least equal in value to the loan.

Report contingent liabilities below and indicate which debts  
are contingent.

1. My personal debts are as follows:

Check appropriate categories	Check one \$10,001 to \$50,000	More than \$50,000
Banks	.....	.....
Savings institutions	.....	.....
Other loan or finance companies	.....	.....
Insurance companies	.....	.....
Stock, commodity or other brokerage companies	.....	.....
Other businesses: (State principal business activity for each creditor.)	.....	.....
.....	.....	.....
.....	.....	.....
Individual creditors:		

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282 (State principal business or  
 283 occupation of each creditor.) .....  
 284 .....  
 285 .....  
 286

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 289

290 2. The personal debts of the members of my immediate family are as  
 291 follows:  
 292

293 -----  
 294 -----  
 295

	Check	Check one
	appropriate	\$10,001 to More than
	categories	\$50,000 \$50,000

299

300 Banks .....  
 301 Savings institutions .....  
 302 Other loan or finance companies .....  
 303 Insurance companies .....  
 304 Stock, commodity or other brokerage  
 305 companies .....  
 306 Other businesses:  
 307 (State principal business activity  
 308 for each creditor.) .....  
 309 .....  
 310 .....  
 311 Individual creditors:  
 312 (State principal business or  
 313 occupation of each creditor.) .....  
 314 .....  
 315 .....  
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RETURN TO ITEM 3

#### SCHEDULE C - SECURITIES.

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323 "Securities" INCLUDES stocks, bonds,

324 mutual funds, ~~money market funds~~,

325 limited partnerships, and commodity

326 futures contracts.

327

328

329 policies.

330

331 Identify each business or Virginia governmental entity in which

332 you or a member of your immediate family, directly or indirectly,

333 separately or together, own securities valued in excess of

334 \$10,000.

335

336 Do not list U.S. Bonds or other government securities not issued

337 by the Commonwealth of Virginia or its authorities, agencies, or

338 local governments. Do not list organizations that do not do

business in this Commonwealth, but most major businesses conduct

business in Virginia. Account for securities held in trust.

If no reportable securities, check here / /.

Name of Issuer	Type of Entity	Type of Security (stocks, bonds, mutual funds, or money market funds, etc.)	Check one \$10,001 to \$50,000 More than \$50,000
.....	.....	.....	.....
.....	.....	.....	.....
.....	.....	.....	.....
.....	.....	.....	.....

RETURN TO ITEM 4

#### SCHEDULE D - PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.

List each source from which you received during the past 12 months lodging, transportation, money, or any other thing of value (excluding meals or drinks coincident with a meeting) with combined value exceeding \$200 for your presentation of a single talk, participation in one meeting, or publication of a work in your capacity as an officer or employee of your agency.

List payments or reimbursements by an advisory or governmental agency only for meetings or travel outside the Commonwealth.

List a payment even if you donated it to charity.

Do not list information about a payment if you returned it within 60 days or if you received it from an employer already listed under Item 6 or from a source of income listed on Schedule F.

If no payment must be listed, check here / /.

Payer	Approximate Value	Circumstances	Type of Payment (e.g., honoraria, travel reimbursement, etc.)
.....	.....	.....	.....
.....	.....	.....	.....
.....	.....	.....	.....
.....	.....	.....	.....

RETURN TO ITEM 5

#### SCHEDULE E - GIFTS.

List each business, governmental entity, or individual that, during the past 12 months, (i) furnished you with any gift or gifts whose total value exceeded \$50 during the past 12 months entertainment at a single event and the value received by you exceeded \$50 in value, or (ii) furnished you with gifts or entertainment in any combination and the value received by you exceeded \$100 in total value; and for which you neither paid nor rendered services in exchange. List each such gift or event. Do not list entertainment events unless the average value per person attending the event exceeded \$50 in value. Do not list business entertainment related to your private profession or occupation. Do not list gifts from or other things of value given by a relative or from a personal friend given for reasons clearly unrelated to your public position. Do not list campaign contributions publicly reported as required by Chapter 9 (§ 24.2-900 et seq.) of Title 24.2 of the Code of Virginia.

Name of Business, Organization, or Individual	City or County and State	Gift or Event	Approximate Value
.....	.....	.....	.....
.....	.....	.....	.....
.....	.....	.....	.....
.....	.....	.....	.....

RETURN TO ITEM 6

#### SCHEDULE F - BUSINESS INTERESTS.

Complete this Schedule for each self- or family-owned business (including rental property, a farm, or consulting work), partnership, or corporation in which you or a member of your immediate family, separately or together, own an interest having a value in excess of \$10,000.

If the enterprise is owned or operated under a trade, partnership, or corporate name, list that name; otherwise, merely explain the nature of the enterprise. If rental property is owned or operated under a trade, partnership, or corporate name, list the name only; otherwise, give the address of each property. Account for business interests held in trust.

Name of	Gross Income
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452 Business,  
 453 Corporation,  
 454 Partnership,  
 455 Farm; Address City or Nature of Enterprise  
 456 of Rental County (farming, law, \$50,000 More than  
 457 Property and State rental property, etc.) or less \$50,000  
 458  
 459 .....  
 460 .....  
 461 .....  
 462 .....  
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 465 -----

466 RETURN TO ITEM 8

467  
468 SCHEDULE G-1 - PAYMENTS FOR REPRESENTATION BY YOU.

469  
 470 List the businesses you represented before any state governmental  
 471 agency, excluding any court or judge, for which you received  
 472 total compensation during the past 12 months in excess of \$1,000,  
 473 excluding compensation for other services to such businesses and  
 474 representation consisting solely of the filing of mandatory  
 475 papers and subsequent representation regarding the mandatory  
 476 papers filed by you.  
 477

478 Identify each business, the nature of the representation and the  
 479 amount received by dollar category from each such business. You  
 480 may state the type, rather than name, of the business if you are  
 481 required by law not to reveal the name of the business  
 482 represented by you.  
 483

484 Only STATE officers and employees should complete this Schedule.

485 -----  
486 -----

Name of Busi- ness	Type of Busi- ness	Pur- pose of Repre- sentation	Name of Agen- cy	Amount Received				
				\$1,001 to \$10,000	\$10,001 to \$50,000	\$50,001 to \$100,000	\$100,001 to \$250,000	\$250,001 and over
489								
490								
491								
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501  
502 SCHEDULE G-2 - PAYMENTS FOR REPRESENTATION BY ASSOCIATES.

503  
 504 List the businesses that have been represented before any state  
 505 governmental agency, excluding any court or judge, by persons  
 506 who are your partners, associates or others with whom you have  
 507 a close financial association and who received total

508  
 509 compensation in excess of \$1,000 for such representation during  
 510 the past 12 months, excluding representation consisting solely  
 511 of the filing of mandatory papers and subsequent representation  
 512 regarding the mandatory papers filed by your partners,  
 513 associates or others with whom you have a close financial  
 514 association.

515  
 516 Identify such businesses by type and also name the state  
 517 governmental agencies before which such person appeared on  
 518 behalf of such businesses.

519  
 520 Only STATE officers and employees should complete this Schedule.

521 -----  
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 523 -----

524  
 525 Type of ~~b~~Business                      Name of ~~s~~State ~~g~~Governmental ~~a~~Agency  
 526  
 527 .....  
 528 .....  
 529 .....  
 530 .....  
 531

532 -----  
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534  
 535 SCHEDULE G-3 - PAYMENTS FOR ~~REPRESENTATION~~ SERVICES GENERALLY.

536  
 537 Indicate below types of businesses that operate in Virginia to  
 538 which services were furnished by you or persons with whom you  
 539 have a close financial association and for which total compen-  
 540 sation in excess of \$1,000 was received during the past 12 months.

541  
 542 Identify opposite each category of businesses listed below (i)  
 543 the type of business, (ii) the type of service rendered and  
 544 (iii) the value by dollar category of the compensation received  
 545 for all businesses falling within each category.

546  
 547 -----  
 548 -----

549  
 550 Check    Type                      Value of Compensation  
 551        if        of  
 552        ser-    ser-  
 553        vices    vice  
 554        were    ren-    \$1,001    \$10,001    \$50,001    \$100,001    \$250,001  
 555        ren-    dered    to        to        to        to        and  
 556        dered        \$10,000    \$50,000    \$100,000    \$250,000        over  
 557 Electric  
 558 utilities .....  
 559 Gas util-  
 560 ities .....  
 561 Telephone  
 562 utilities .....  
 563 Water util-  
 564 ities .....

565 Cable tele-  
 566 vision  
 567 companies .....  
 568 Interstate  
 569  
 570 transporta-  
 571 tion com-  
 572 panies .....  
 573 Intrastate  
 574 transporta-  
 575 tion com-  
 576 panies .....  
 577 Oil or gas  
 578 retail com-  
 579 panies .....  
 580 Banks .....  
 581 Savings  
 582 institutions.....  
 583 Loan or fi-  
 584 nance com-  
 585 panies .....  
 586 Manufactur-  
 587 ing com-  
 588 panies (state  
 589 type of pro-  
 590 duct, e.g.,  
 591 textile, fur-  
 592 niture, etc.).....  
 593 Mining com-  
 594 panies .....  
 595 Life insur-  
 596 ance com-  
 597 panies .....  
 598 Casualty in-  
 599 surance com-  
 600 panies .....  
 601 Other insur-  
 602 ance com-  
 603 panies .....  
 604 Retail com-  
 605 panies .....  
 606 Beer, wine  
 607 or liquor  
 608 companies or  
 609 distributors.....  
 610 Trade asso-  
 611 ciations .....  
 612 Professional  
 613 associations.....  
 614 Associations  
 615 of public  
 616 employees or  
 617 officials .....  
 618 Counties,  
 619 cities or  
 620 towns .....

621 Labor organi-  
622 zations .....  
623 Other .....  
624

625 -----  
626 -----  
627 RETURN TO ITEM 9  
628

629 SCHEDULE H-1 - REAL ESTATE - STATE OFFICERS AND EMPLOYEES.  
630

631 List real estate other than your principal residence in which  
632 you or a member of your immediate family holds an interest,  
633 including a partnership interest, option, easement, or land  
634 contract, valued at \$10,000 or more. You may list each parcel  
635 of real estate individually if you wish.  
636

637 -----  
638 -----  
639

640 List each location	Describe the type of	If the real estate
641 (state, and county	real estate you own	is owned or recorded
642 or city) where you	in each location	in a name other than
643 own real estate.	(business, recreational,	your own, list that
644	apartment, commercial,	name.
645	open land, etc.).	

646 .....	.....	.....
647 .....	.....	.....
648 .....	.....	.....
649 .....	.....	.....
650 .....	.....	.....

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652 -----  
653

654 SCHEDULE H-2 - REAL ESTATE - LOCAL OFFICERS AND EMPLOYEES.  
655

656 List real estate located in your county, city, or town, and any  
657 contiguous county, city, or town other than your principal  
658 residence in which you or a member of your immediate family holds  
659 an interest, including a partnership interest, option, easement,  
660 or land contract, valued at \$10,000 or more. You may list each  
661 parcel of real estate individually if you wish.  
662

663 -----  
664 -----  
665

665 List each location	Describe the type of	If the real estate
666 (state, and county	real estate you own	is owned or recorded
667 or city) where you	in each location	in a name other than
668 own real estate.	(business, recreational,	your own, list that
669	apartment, commercial,	name.
670	open land, etc.).	

671 .....	.....	.....
672 .....	.....	.....
673 .....	.....	.....
674 .....	.....	.....
675 .....	.....	.....

676 -----  
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RETURN TO ITEM 10

SCHEDULE I - REAL ESTATE CONTRACTS WITH GOVERNMENTAL AGENCIES.

List all contracts, whether pending or completed within the past ~~twelve~~ 12 months, with a governmental agency for the sale or exchange of real estate in which you or a member of your immediate family holds an interest, including a corporate, partnership or trust interest, option, easement, or land contract, valued at \$10,000 or more. List all contracts with a governmental agency for the lease of real estate in which you or a member of your immediate family holds such an interest valued at \$1,000 or more. This requirement to disclose an interest in a lease does not apply to an interest derived through an ownership interest in a business unless the ownership interest exceeds three percent of the total equity of the business.

State officers and employees report contracts with state agencies.

Local officers and employees report contracts with local agencies.

List your real estate interest and the person or entity, including the type of entity, which is party to the contract. Describe any management role and the percentage ownership interest you or your immediate family member has in the real estate or entity.	List each governmental agency which is a party to the contract and indicate the county or city where the real estate is located.	State the annual income from the contract, and the amount, if any, of income you or any immediate family member derives annually from the contract.
.....	.....	.....
.....	.....	.....
.....	.....	.....
.....	.....	.....
.....	.....	.....

§ 2.1-639.41. Disclosure form.

A. The disclosure form to be used for filings required by § 2.1-639.40 A and B shall be substantially as follows:

STATEMENT OF ECONOMIC INTERESTS.

Name .....  
Office or position held or sought .....  
Home address .....

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734 Names of members of immediate family .....  
735

736 DEFINITIONS AND EXPLANATORY MATERIAL.  
737

738 "Immediate family" means (i) a spouse and (ii) any other person  
739 residing in the same household as the legislator, who is a dependent  
740 of the legislator or of whom the legislator is a dependent.  
741

742 "Dependent" means any person, whether or not related by blood or  
743 marriage, who receives from the legislator, or provides to the  
744 legislator, more than one-half of his financial support.  
745

746 "Business" means a corporation, partnership, sole proprietorship,  
747 firm, enterprise, franchise, association, trust or foundation, or  
748 any other individual or entity carrying on a business or profession,  
749 whether or not for profit.  
750

751  
752 "Close financial association" does not mean an association based  
753 on the receipt of retirement benefits or deferred compensation from  
754 a business by which the legislator is no longer employed. "Close  
755 financial association" does not include an association based on the  
756 receipt of compensation for work performed by the legislator as an  
757 independent contractor of a business that represents an entity  
758 before any state governmental agency when the legislator has had no  
759 communications with the state governmental agency.  
760

761 "Gift" means any gratuity, favor, discount, entertainment,  
762 hospitality, loan, forbearance, or other item having monetary value.  
763 It includes services as well as gifts of transportation, local  
764 travel, lodgings and meals, whether provided in-kind, by purchase  
765 of a ticket, payment in advance or reimbursement after the expense  
766 has been incurred. "Gift" shall not include any offer of a ticket  
767 or other admission or pass unless the ticket, admission, or pass is  
768 used. "Gift" shall not include honorary degrees and presents from  
769 relatives. "Relative" means the donee's spouse, child, uncle, aunt,  
770 niece, or nephew; a person to whom the donee is engaged to be  
771 married; the donee's or his spouse's parent, grandparent,  
772 grandchild, brother, or sister; or the donee's brother's or  
773 sister's spouse.  
774

775 TRUST. If you or your immediate family, separately or together, are  
776 the only beneficiaries of a trust, treat the trust's assets as if  
777 you own them directly. If you or your immediate family has a  
778 proportional interest in a trust, treat that proportion of the  
779 trust's assets as if you own them directly. For example, if you  
780 and your immediate family have a one-third interest in a trust,  
781 complete your Statement as if you own one-third of each of the  
782 trust's assets. If you or a member of your immediate family created  
783 a trust and can revoke it without the beneficiaries' consent, treat  
784 its assets as if you own them directly.  
785

786 REPORT TO THE BEST OF INFORMATION AND BELIEF. Information required  
787 on this Statement must be provided on the basis of the best  
788 knowledge, information and belief of the individual filing the  
789 Statement as of the date of this report unless otherwise stated.  
790

791 COMPLETE ITEMS 1 THROUGH 10. REFER TO SCHEDULES ONLY IF DIRECTED.

792

793 You may attach additional explanatory information.

794

795 1. Offices and Directorships.

796 Are you or a member of your immediate family a paid officer or  
797 paid director of a business?

798 EITHER check NO / / OR check YES / / and complete Schedule A.

799 2. Personal Liabilities.

800 Do you or a member of your immediate family owe more than  
801 \$10,000 to any one creditor including contingent liabilities?  
802 (Exclude debts to any government and loans secured by recorded  
803 liens on property at least equal in value to the loan.)

804 EITHER check NO / / OR check YES / / and complete Schedule B.

805 3. Securities.

806 Do you or a member of your immediate family, directly or  
807 indirectly, separately or together, own securities valued in  
808 excess of \$10,000 invested in one business? Account for mutual  
809 funds, limited partnerships and trusts.

810 EITHER check NO / / OR check YES / / and complete Schedule C.

811 4. Payments for Talks, Meetings, and Publications.

812 During the past 12 months did you receive lodging,  
813 transportation, money, or anything else of value with a  
814 combined value exceeding \$200 for a single talk, meeting, or  
815 published work in your capacity as a legislator?

816 EITHER check NO / / OR check YES / / and complete Schedule D.

817 5. Gifts.

818 During the past 12 months did a business, government, or  
819 individual other than a relative or personal friend (i) furnish  
820 you with any gift or ~~gifts the total value of which~~  
821 ~~exceeded \$50 entertainment at a single event, and the value~~  
822 ~~received by you exceeded \$50 in value or (ii) furnish you~~

823  
824 *with gifts or entertainment in any combination and the value*

825  
826 *received by you exceeded \$100 in total value; and for*

827  
828 *which you neither paid nor rendered services in exchange?*

829 *Account for entertainment events only if the average value*

830  
831 *per person attending the event exceeded \$50 in value.*

832  
833 *Account for all business entertainment (except if related to*

834  
835 *your private profession or occupation) even if unrelated*

836  
837 *to your official duties.*

838 EITHER check NO / / OR check YES / / and complete Schedule E.

839 6. Salary and Wages.

840 List each employer that pays you or a member of your immediate  
841 family salary or wages in excess of \$10,000 annually. (Exclude  
842 state or local government or advisory agencies.)

843 If no reportable salary or wages, check here / /.

844 .....

845 .....

846 .....

- 847 7. Business Interests.  
848 Do you or a member of your immediate family, separately or  
849 together, operate your own business, or own or control an  
850 interest in excess of \$10,000 in a business?  
851 EITHER check NO / / OR check YES / / and complete Schedule F.
- 852 8. Payments for Representation *and Other Services*.  
853 8A. Did you represent any businesses before any state governmental  
854 agencies, excluding courts or judges, for which you received  
855 total compensation during the past 12 months in excess of  
856 \$1,000, excluding compensation for other services to such  
857 businesses and representation consisting solely of the filing  
858 of mandatory papers and subsequent representation regarding  
859 the mandatory papers?  
860 EITHER check NO / / OR check YES / / and complete Schedule G-1.
- 861 8B. Subject to the same exceptions as in 8A, did persons with whom  
862 you have a close financial association (partners, associates or  
863 others) represent any businesses before any state governmental  
864 agency for which total compensation was received during the  
865 past 12 months in excess of \$1,000?  
866 EITHER check NO / / OR check YES / / and complete Schedule G-2.
- 867 8C. Did you or persons with whom you have a close financial  
868 association furnish services to businesses operating in  
869 Virginia for which total compensation in excess of \$1,000 was  
870 received during the past 12 months?  
871 EITHER check NO / / OR check YES / / and complete Schedule G-3.
- 872 9. Real Estate.  
873 Do you or a member of your immediate family hold an interest,  
874 including a partnership interest, valued at \$10,000 or more in  
875 real property (other than your principal residence) for which  
876 you have not already listed the full address on Schedule F?  
877 Account for real estate held in trust.  
878 EITHER check NO / / OR check YES / / and complete Schedule H.
- 879 10. Real Estate Contracts with State Governmental Agencies.  
880 Do you or a member of your immediate family hold an interest  
881 valued at more than \$10,000 in real estate, including a  
882 corporate, partnership, or trust interest, option, easement,  
883 or land contract, which real estate is the subject of a  
884 contract, whether pending or completed within the past ~~twelve~~ 12  
885 months, with a state governmental agency? If the real estate  
886 contract provides for the leasing of the property to a state  
887 governmental agency, do you or a member of your immediate  
888 family hold an interest in the real estate, including a  
889 corporate, partnership, or trust interest, option, easement,  
890 or land contract valued at more than \$1,000? Account for all  
891 such contracts whether or not your interest is reported in  
892 Schedules F or H. This requirement to disclose an interest in  
893 a lease does not apply to an interest derived through an  
894 ownership interest in a business unless the ownership  
895 interest exceeds three percent of the total equity of the  
896 business.  
897 EITHER check NO / / OR check YES / / and complete Schedule I.

898  
899 Statements of Economic Interests are open for public inspection.

900

901 AFFIRMATION.

902

903 In accordance with the rules of the house in which I serve, if I



904 receive a request that this disclosure statement be corrected,  
 905 augmented, or revised in any respect, I hereby pledge that I shall  
 906 respond promptly to the request. I understand that if a  
 907 determination is made that the statement is insufficient, I will  
 908 satisfy such request or be subjected to disciplinary action of  
 909 my house.

910  
 911 I swear or affirm that the foregoing information is full, true and  
 912 correct to the best of my knowledge.

913  
 914 Signature .....  
 915 Commonwealth of Virginia  
 916 ..... of ..... to wit:  
 917 The foregoing disclosure form was acknowledged before me  
 918 This ..... day of ....., 19. . ., by .....,  
 919 Notary Public  
 920 My commission expires .....  
 921 (Return only if needed to complete Statement.)

922  
 923 SCHEDULES  
 924 TO  
 925 STATEMENT OF ECONOMIC INTERESTS.

926  
 927 NAME .....  
 928

929 SCHEDULE A - OFFICES AND DIRECTORSHIPS.  
 930

931 Identify each business of which you or a member of  
 932 your immediate family is a paid officer or paid director.

933  
 934 -----  
 935 -----

936	937	938	939	940	941
	Name of Business	Address of Business	Position Held		
	.....	.....	.....		
	.....	.....	.....		
	.....	.....	.....		
	.....	.....	.....		

942 -----  
 943 -----

944 RETURN TO ITEM 2

945  
 946 SCHEDULE B - PERSONAL LIABILITIES.  
 947

948 Report personal liability by checking each category. Report only  
 949 debts in excess of \$10,000. Do not report debts to any  
 950 government. Do not report loans secured by recorded liens on  
 951 property at least equal in value to the loan.

952  
 953 Report contingent liabilities below and indicate which debts are  
 954 contingent.

955  
 956 1. My personal debts are as follows:  
 957

958 -----  
 959 -----

960			
961	Check	Check one	
962	appropriate	\$10,001 to	More than
963	categories	\$50,000	\$50,000
964	Banks	.....	.....
965	Savings institutions	.....	.....
966	Other loan or finance companies	.....	.....
967	Insurance companies	.....	.....
968	Stock, commodity or other brokerage		
969	companies	.....	.....
970	Other businesses:		
971	(State principal business activity		
972	for each creditor.)	.....	.....
973	.....	.....	.....
974	.....	.....	.....
975	Individual creditors:		
976	(State principal business or occupation		
977	of each creditor.)	.....	.....
978	.....	.....	.....
979	.....	.....	.....

980  
981 -----  
982 -----

983  
984 2. The personal debts of the members of my immediate family are  
985 as follows:

986  
987 -----  
988 -----

989			
990	Check	Check one	
991	appropriate	\$10,001 to	More than
992	categories	\$50,000	\$50,000
993			
994	Banks	.....	.....
995	Savings institutions	.....	.....
996	Other loan or finance companies	.....	.....
997	Insurance companies	.....	.....
998	Stock, commodity or other brokerage		
999	companies	.....	.....
1000	Other businesses:		
1001	(State principal business activity for		
1002	each creditor.).....	.....	.....
1003	.....	.....	.....
1004	.....	.....	.....
1005	Individual creditors:		
1006	(State principal business or occupation		
1007	of each creditor.)	.....	.....
1008	.....	.....	.....
1009	.....	.....	.....

1010 -----  
1011 -----

1012 RETURN TO ITEM 3

1013  
1014 SCHEDULE C - SECURITIES.

1015  
1016 "Securities" INCLUDES stocks, bonds, "Securities" EXCLUDES

1017 mutual funds, ~~money market funds~~, certificates of deposit,  
 1018 limited partnerships, and commodity ~~money market funds~~, annuity  
 1019 futures contracts. contracts, and insurance  
 1020  
 1021 policies.  
 1022

1023 Identify each business or Virginia governmental entity in which you  
 1024 or a member of your immediate family, directly or indirectly,  
 1025 separately or together, own securities valued in excess of \$10,000.  
 1026

1027 Do not list U.S. Bonds or other government securities not issued  
 1028 by the Commonwealth of Virginia or its authorities, agencies, or  
 1029 local governments. Do not list organizations that do not do  
 1030 business in this Commonwealth, but most major businesses conduct  
 1031 business in Virginia. Account for securities held in trust.  
 1032

1033 If no reportable securities, check here / /.  
 1034 -----  
 1035 -----  
 1036

	Type of	Type of Security	Check one
		(stocks, bonds, mutual \$10,001	More
		<del>or money market</del> funds, to	than
1039	Type of	etc.)	\$50,000 \$50,000
1040	Name of Issuer	Entity	
1041	.....	.....	.....
1042	.....	.....	.....
1043	.....	.....	.....
1044	.....	.....	.....

1045 -----  
 1046 -----  
 1047 RETURN TO ITEM 4  
 1048

1049 SCHEDULE D - PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.  
 1050

1051 List each source from which you received during the past 12  
 1052 months lodging, transportation, money, or any other thing of  
 1053 value (excluding meals or drinks coincident with a meeting) with  
 1054 combined value exceeding \$200 for your presentation of a single  
 1055 talk, participation in one meeting, or publication of a work in  
 1056 your capacity as a legislator.  
 1057

1058 List payments or reimbursements by the Commonwealth only for  
 1059 meetings or travel outside the Commonwealth.  
 1060

1061 List a payment even if you donated it to charity.  
 1062

1063 Do not list information about a payment if you returned it  
 1064 within 60 days or if you received it from an employer already  
 1065 listed under Item 6 or from a source of income listed on  
 1066 Schedule F.  
 1067

1068 If no payment must be listed, check here / /.  
 1069 -----  
 1070 -----  
 1071 -----  
 1072



1143	-----				
1144	-----				
1145					
1146	Name of Business,			Gross Income	
1147	Corporation,				
1148	Partnership,		Nature of Enterprise	\$50,000	More
1149	Farm; Address	City or	(farming, law, rental	or	than
1150	of Rental	County and	property, etc.)	less	\$50,000
1151	Property	State			
1152	.....	.....	.....	.....	.....
1153	.....	.....	.....	.....	.....
1154	.....	.....	.....	.....	.....
1155	.....	.....	.....	.....	.....
1156	.....	.....	.....	.....	.....

**1160** SCHEDULE G-1 - PAYMENTS FOR REPRESENTATION BY YOU.

1162 List the businesses you represented before any state governmental  
1163 agency, excluding any court or judge, for which you received  
1164 total compensation during the past 12 months in excess of \$1,000,  
1165 excluding compensation for other services to such businesses and  
1166 representation consisting solely of the filing of mandatory  
1167 papers and subsequent representation regarding the mandatory  
1168 papers filed by you.

1170 Identify each business, the nature of the representation and the  
1171 amount received by dollar category from each such business. You  
1172 may state the type, rather than name, of the business if you are  
1173 required by law not to reveal the name of the business  
1174 represented by you.

**1176** -----  
**1177** -----

<b>1179</b>	Name	Type	Pur-	Name	Amount Received				
<b>1180</b>	of	of	pose	of					
<b>1181</b>	Busi-	Busi-	of	Agen-					
<b>1182</b>	ness	ness	Repre-	cy	\$1,001	\$10,001	\$50,001	\$100,001	\$250,001
<b>1183</b>					to	to	to	to	and
<b>1184</b>	tion				\$10,000	\$50,000	\$100,000	\$250,000	over
<b>1185</b>									

1186 .....  
 1187 .....  
 1188 .....  
 1189 .....  
 1190 -----  
 1191 -----

1192  
 1193 SCHEDULE G-2 - PAYMENTS FOR REPRESENTATION BY ASSOCIATES.  
 1194

1195 List the businesses that have been represented before any state  
 1196 governmental agency, excluding any court or judge, by persons who  
 1197 are your partners, associates or others with whom you have a  
 1198 close financial association and who received total compensation  
 1199 in excess of \$1,000 for such representation during the past 12  
 1200 months, excluding representation consisting solely of the filing  
 1201 of mandatory papers and subsequent representation regarding the  
 1202 mandatory papers filed by your partners, associates or others  
 1203 with whom you have a close financial association.  
 1204

1205 Identify such businesses by type and also name the state  
 1206 governmental agencies before which such person appeared on behalf  
 1207 of such businesses.  
 1208

1209 -----  
 1210 -----

1211	Type of <del>business</del>	Name of <del>state government agency</del>
1212		
1213	<i>Business</i>	<i>State Governmental Agency</i>
1214	.....	.....
1215	.....	.....
1216	.....	.....
1217	.....	.....

1218 -----  
 1219 -----

1220  
 1221 SCHEDULE G-3 - PAYMENTS FOR REPRESENTATION AND OTHER SERVICES  
 1222 GENERALLY.  
 1223

1224 Indicate below types of businesses that operate in Virginia to  
 1225 which services were furnished by you or persons with whom you  
 1226 have a close financial association and for which total  
 1227 compensation in excess of \$1,000 was received during the past 12  
 1228 months.  
 1229

1230 Identify opposite each category of businesses listed below (i)  
 1231 the type of business, (ii) the type of service rendered and (iii)  
 1232 the value by dollar category of the compensation received for all  
 1233 businesses falling within each category.  
 1234

1235 -----  
 1236 -----

1237							
1238	Check	Type					
1239	if	of					
1240	ser-	ser-					
1241	vices	vice					
1242	were	ren-	\$1,001	\$10,001	\$50,001	\$100,001	\$250,001

1243		ren-	dered	to	to	to	to	and
1244		dered		\$10,000	\$50,000	\$100,000	\$250,000	over
1245	Electric							
1246	utilities	.....	.....	.....	.....	.....	.....	.....
1247	Gas util-							
1248	ities	.....	.....	.....	.....	.....	.....	.....
1249	Telephone							
1250	utilities	.....	.....	.....	.....	.....	.....	.....
1251	Water util-							
1252	ities	.....	.....	.....	.....	.....	.....	.....
1253	Cable tele-							
1254	vision							
1255	companies	.....	.....	.....	.....	.....	.....	.....
1256	Interstate							
1257								
1258	transporta-							
1259	tion com-							
1260	panies	.....	.....	.....	.....	.....	.....	.....
1261	Intrastate							
1262	transporta-							
1263	tion com-							
1264	panies	.....	.....	.....	.....	.....	.....	.....
1265	Oil or gas							
1266	retail com-							
1267	panies	.....	.....	.....	.....	.....	.....	.....
1268	Banks	.....	.....	.....	.....	.....	.....	.....
1269	Savings							
1270	insti-							
1271	tutions	.....	.....	.....	.....	.....	.....	.....
1272	Loan or fi-							
1273	nance com-							
1274	panies	.....	.....	.....	.....	.....	.....	.....
1275	Manufac-							
1276	turing com-							
1277	panies							
1278	(state type							
1279	of product,							
1280	e.g., tex-							
1281	tile, furni-							
1282	ture, etc.)	.....	.....	.....	.....	.....	.....	.....
1283	Mining com-							
1284	panies	.....	.....	.....	.....	.....	.....	.....
1285	Life insur-							
1286	ance com-							
1287	panies	.....	.....	.....	.....	.....	.....	.....
1288	Casualty in-							
1289	surance com-							
1290	panies	.....	.....	.....	.....	.....	.....	.....
1291	Other insur-							
1292	ance com-							
1293	panies	.....	.....	.....	.....	.....	.....	.....
1294	Retail com-							
1295	panies	.....	.....	.....	.....	.....	.....	.....
1296	Beer, wine							
1297	or liquor							
1298	companies							

1299 or distrib-  
 1300 tors .....  
 1301 Trade asso-  
 1302 ciations .....  
 1303 Profes-  
 1304 sional asso-  
 1305 ciations .....  
 1306 Associa-  
 1307 tions of  
 1308 public em-  
 1309 ployees or  
 1310 officials .....  
 1311 Counties,  
 1312 cities or  
 1313 towns .....  
 1314 Labor or-  
 1315  
 1316 ganizations.....  
 1317 Other .....  
 1318  
 1319 -----  
 1320 -----  
 1321  
 1322

RETURN TO ITEM 9

1323 SCHEDULE H - REAL ESTATE.

1324  
 1325 List real estate other than your principal residence in which  
 1326 you or a member of your immediate family ~~hold~~ holds an interest,  
 1327 including a partnership interest, option, easement, or land  
 1328 contract, valued at \$10,000 or more. You may list each parcel  
 1329 of real estate individually if you wish.  
 1330

1331 -----  
 1332 -----  
 1333

1334	List each location	Describe the type of	If the real estate
1335	(state, and county	real estate you own	is owned or recorded
1336	or city) where you	in each location	in a name other than
1337	own real estate.	(business, recreational,	your own, list that
1338		apartment, commercial,	name.
1339		open land, etc.).	

1340	.....	.....	.....
1341	.....	.....	.....
1342	.....	.....	.....
1343	.....	.....	.....
1344	.....	.....	.....

1345  
 1346 -----  
 1347 -----  
 1348

RETURN TO ITEM 10

1350 SCHEDULE I - REAL ESTATE CONTRACTS WITH STATE GOVERNMENTAL AGENCIES.

1351  
 1352 List all contracts, whether pending or completed within the past  
 1353 ~~twelve~~ 12 months, with a state governmental agency for the sale or  
 1354 exchange of real estate in which you or a member of your  
 1355 immediate family holds an interest, including a corporate,



partnership or trust interest, option, easement, or land contract, valued at \$10,000 or more. List all contracts with a state governmental agency for the lease of real estate in which you or a member of your immediate family holds such an interest valued at \$1,000 or more. This requirement to disclose an interest in a lease does not apply to an interest derived through an ownership interest in a business unless the ownership interest exceeds three percent of the total equity of the business.

List your real estate interest and the person or entity, including the type of entity, which is party to the contract. Describe any management role and the percentage ownership interest you or your immediate family member has in the real estate or entity.	List each governmental agency which is a party to the contract and indicate the county or city where the real estate is located.	State the annual income from the contract, and the amount, if any, of income you or any immediate family member derives annually from the contract.
.....	.....	.....
.....	.....	.....
.....	.....	.....
.....	.....	.....
.....	.....	.....

B. Any legislator who makes a knowing misstatement of a material fact on the Statement of Economic Interests shall be subject to disciplinary action for such violations by the house in which the legislator sits.

C. In accordance with the rules of each house, the Statement of Economic Interests of all members of each house shall be reviewed. If a legislator's Statement is found to be inadequate as filed, the legislator shall be notified in writing, and directed to file an amended Statement correcting the indicated deficiencies, and a time *shall be* set within which such amendment shall be filed. If the Statement of Economic Interests, in either its original or amended form, is found to be adequate as filed, the legislator's filing shall be deemed in full compliance with this section as to the information disclosed thereon.

D. Ten percent of the membership of a house, on the basis of newly discovered facts, may in writing request the house in which those members sit, in accordance with the rules of that house, to review the Statement of Economic Interests of another member of that house in order to determine the adequacy of his filing. In accordance with the rules of each house, each Statement of Economic Interests shall be promptly reviewed, the adequacy of the filing determined, and notice given in writing to the legislator whose Statement is in issue. Should it be determined that the Statement requires correction, augmentation or revision, the legislator involved shall be directed to make the changes required within such time as shall be set under the rules of each house.

If a legislator, after having been notified in writing in accordance with the rules of the house in which he sits that his Statement is inadequate as filed, fails to amend his Statement so as to come into compliance within the time limit set, he shall be subject to disciplinary action by the house in which he sits. No legislator shall vote on any question relating to his own Statement.

§ 2.1-786. Lobbyist reporting.

1414 A. Each lobbyist shall file a separate annual report of expenditures, including gifts, for each principal  
 1415 for whom he lobbies by July 1 for the preceding twelve-month period ~~ending May 1~~ *complete through*  
 1416 *April 30.*

1417 B. Each principal who expends more than \$500 to employ or compensate multiple lobbyists shall be  
 1418 responsible for filing a consolidated lobbyist report pursuant to this section in any case in which the  
 1419 lobbyists are each exempt under the provisions of subdivision 7 or 8 of § 2.1-781 from the reporting  
 1420 requirements of this section.

1421 C. The report shall be on a form provided by the Secretary of the Commonwealth which shall be  
 1422 substantially as follows and shall be accompanied by instructions provided by the Secretary.

1423

1424

# LOBBYIST'S DISCLOSURE STATEMENT

1425

1426 PART I:

1427 (1) PRINCIPAL: .....  
 1428 In Part I, item 2a, provide the name of the individual  
 1429 authorizing your employment as a lobbyist. The lobbyist  
 1430 filing this statement MAY NOT list his name in item 2a. THE  
 1431 INDIVIDUAL LISTED IN PART I, ITEM 2A, MUST SIGN THE  
 1432 PRINCIPAL'S STATEMENT.

1433 (2a) Name: .....

1434 (2b) Permanent Business Address: .....

1435 (2c) Business Telephone: .....

1436 (3) Provide a list of executive and legislative actions (with  
 1437 as much specificity as possible) for which you lobbied and  
 1438 a description of activities conducted.

1439 .....

1440 .....

1441 .....

1442 (4) INCORPORATED FILINGS: If you are filing an incorporated  
 1443 disclosure statement, please complete the following:

1444 Individual filing financial information: .....

1445 Individuals to be included in the filing: .....

1446 .....

1447 (5) Please indicate which schedules will be attached to your  
 1448 disclosure statement:

1449 [ ] Schedule A: Entertainment Expenses

1450 [ ] Schedule B: Gifts

1451 [ ] Schedule C: Other Expenses

1452 (6) EXPENDITURE TOTALS:

1453 a) ENTERTAINMENT.....\$.....

1454 b) GIFTS.....\$.....

1455 c) OFFICE EXPENSES.....\$.....

1456 d) COMMUNICATIONS.....\$.....

1457 e) PERSONAL LIVING AND TRAVEL EXPENSES.....\$.....

1458 f) COMPENSATION OF LOBBYISTS.....\$.....

1459 g) HONORARIA.....\$.....

1460 h) REGISTRATION COSTS.....\$.....

1461 i) OTHER.....\$.....

1462 TOTAL.....\$.....

1463

1464 PART II:

1465 (1a) NAME OF LOBBYIST: .....

1466 (1b) Permanent Business Address: .....

1467 (1c) Business Telephone: .....

1468 (2) As a lobbyist, you are (check one)

1469 [ ] EMPLOYED (on the payroll of the principal)

1470 [ ] RETAINED (not on the payroll of the principal,

1471                   however compensated)

1472           [ ] NOT COMPENSATED (not compensated; expenses may be

1473                   reimbursed)

1474 (3) List all lobbyists other than yourself who registered to

1475       represent your principal.

1476       .....

1477       .....

1478       .....

1479 (4) If you selected "EMPLOYED" as your answer to Part II, item 2,

1480       provide your job title.

1481       .....

1482 (5) If you selected "NOT COMPENSATED" as your answer to Part II,

1483       item 2, please indicate why you received no compensation.

1484       .....

1485       .....

1486       .....

1487 PLEASE NOTE: Some lobbyists are not individually compensated for

1488 lobbying activities. This may occur when several members of a firm

1489 represent a single principal. The principal, in turn, makes a

1490 single payment to the firm. If this describes your situation, do

1491 not answer Part II, items 6a and 6b. Instead, complete Part III,

1492 items 1 and 2.

1493 (6a) What was the DOLLAR AMOUNT OF YOUR COMPENSATION as a lobbyist?

1494       (If you have job responsibilities other than *those* involving

1495       lobbying, you may have to prorate to determine the ~~those~~ part

1496       of your salary attributable to your lobbying activities.)

1497       Transfer your answer to this item to Part I, item 6f.

1498 (6b) Explain how you arrived at your answer to Part II, item 6a.

1499       .....

1500       .....

1501       .....

1502

1503 PART III:

1504 PLEASE NOTE: If you answered Part II, items 6a and 6b, you WILL NOT

1505 complete this section.

1506 (1) List all members of your firm, organization, association,

1507       corporation, or other entity who furnished lobbying services to

1508       your principal.

1509       .....

1510       .....

1511       .....

1512 (2) Indicate the total amount paid to your firm, organization,

1513       association, corporation or other entity for services rendered.

1514       Transfer your answer to this item to Part I, item 6f.

1515

1516                                   SCHEDULE A

1517                                   ENTERTAINMENT EXPENSES

1518

1519 PLEASE NOTE: Any single entertainment event included in the expense

1520 totals of the principal, with a value greater than \$50, should be

1521 itemized below. Transfer any totals from this schedule to Part I,

1522       item 6a. (Please duplicate as needed.)

1523       Date and Location of Event:

1524       .....

1525       .....

1526       Description of Event:

1527  
 1528 .....  
 1529 .....  
 1530 Number of Legislative and Executive Officials Invited:  
 1531  
 1532 .....  
 1533 Number of Legislative and Executive Officials Attending:  
 1534  
 1535 .....  
 1536 Names of Legislative and Executive Officials Attending: (~~You are not~~  
 1537 ~~required to list names for any event attended by more than 10~~  
 1538 ~~legislative and executive officials~~ List names only if the average  
 1539 value for each person attending the event was greater the \$50.)  
 1540 .....  
 1541 .....  
 1542 .....  
 1543 Food.....\$.....  
 1544 Beverages.....\$.....  
 1545 Transportation of Legislative and Executive  
 1546  
 1547 Officials.....\$.....  
 1548 Lodging of Legislative and Executive Officials.....\$.....  
 1549 Performers, Speakers, Etc.....\$.....  
 1550 Displays.....\$.....  
 1551 Rentals.....\$.....  
 1552 Service Personnel.....\$.....  
 1553 Miscellaneous.....\$.....  
 1554 TOTAL.....\$.....

1555  
 1556 SCHEDULE B  
 1557 GIFTS  
 1558

1559 PLEASE NOTE: Any single gift reported in the expense totals of the  
 1560 principal, with a value greater than \$25, should be itemized below.  
 1561 (Report meals, entertainment and travel under Schedule A.) Transfer  
 1562 any totals from this schedule to Part I, item 6b. (Please duplicate  
 1563 as needed.)

Date of gift:	Description of gift:	Name of each legislative or executive official who is a recipient of a gift:	Cost of individual gift:
1564	.....	.....	\$.....
1565	.....	.....	\$.....
1566	.....	.....	\$.....
1567	.....	.....	\$.....
1568	.....	.....	\$.....
1569	.....	.....	\$.....
1570	.....	.....	\$.....
1571	.....	.....	\$.....
1572	.....	.....	\$.....
1573	TOTAL COST TO PRINCIPAL.....		\$.....

1574  
 1575 SCHEDULE C  
 1576 OTHER EXPENSES

1577 PLEASE NOTE: This section is provided for any lobbying-related  
 1578 expenses not covered in Part I, items 6a - 6h. An example of an  
 1579 expenditure to be listed on Schedule C would be the rental of a bill  
 1580 box during the General Assembly session. Transfer the total from  
 1581 this schedule to Part I, item 6i. (Please duplicate as needed.)  
 1582

DATE OF EXPENSE	DESCRIPTION OF EXPENSE	AMOUNT
1583		

1584 ..... \$.....  
 1585 ..... \$.....  
 1586 ..... \$.....  
 1587 ..... \$.....  
 1588 ..... \$.....  
 1589 ..... \$.....  
 1590 ..... \$.....  
 1591 ..... \$.....  
 1592 ..... \$.....  
 1593 TOTAL "OTHER" EXPENSES..... \$.....  
 1594

1595 PART IV: STATEMENTS

1596 Both the lobbyist and principal officer must sign the disclosure  
 1597 statement, attesting to its completeness and accuracy. The following  
 1598 items are mandatory and if they are not properly completed, the  
 1599 entire filing will be rejected and returned to the lobbyist:

- 1600 (1) All signatures on the statement must be ORIGINAL. No  
 1601 facsimiles, stamps, or other reproductions of the individual's  
 1602 signature will be accepted.  
 1603 (2) An individual MAY NOT sign the disclosure statement as lobbyist  
 1604 and principal officer.  
 1605  
 1606

1607 STATEMENT OF LOBBYIST

1608 I, the undersigned registered lobbyist, do state that the information  
 1609 furnished on this disclosure statement and on all accompanying  
 1610 attachments required to be made thereto is, to the best of my  
 1611 knowledge and belief, complete and accurate.  
 1612  
 1613

1615 .....  
 1616 Signature of lobbyist  
 1617 .....  
 1618 Date  
 1619

1620 STATEMENT OF PRINCIPAL

1621 I, the undersigned principal (or an authorized official thereof), do  
 1622 state that the information furnished on this disclosure statement and  
 1623 on all accompanying attachments required to be made thereto is, to  
 1624 the best of my knowledge and belief, complete and accurate.  
 1625  
 1626

1629 .....  
 1630 Signature of principal  
 1631 .....  
 1632 Date  
 1633

1634 D. A person who signs the disclosure statement knowing it to contain a material misstatement of fact  
 1635 shall be guilty of a Class 5 felony.

1636 E. Each lobbyist shall send to each legislative and executive official who is required to be identified  
 1637 by name on Schedule A or B of the Lobbyist's Disclosure Form a copy of Schedule A or B or a  
 1638 summary of the information pertaining to that official. Copies or summaries shall be provided to the  
 1639 official ~~twice a year: by July 1 for the preceding five-month period ending May 1; and by January 5 for~~  
 1640 the preceding ~~seven-month~~ *twelve-month* period ~~ending complete through~~ December 31.

1641 2. That the information required to be provided by subsection E of § 2.1-786 to certain officials by  
1642 January 5, 1999, shall cover the preceding twelve-month period complete through December 31,  
1643 1998; and that the provisions of this act shall be applicable to statements of economic interests and  
1644 lobbyists' disclosure statements filed on and after the effective date of this act and to the entire  
1645 report period covered by any such statement.  
1646 3. That an emergency exists and this act is in force from its passage.