986646180

SENATE BILL NO. 22

AMENDMENT IN THE NATURE OF A SUBSTITUTE

(Proposed by the House Committee on Privileges and Elections on March 4, 1998)

(Patron Prior to Substitute—Senator Watkins)

A BILL to amend and reenact §§ 2.1-639.15, 2.1-639.41, and 2.1-786 of the Code of Virginia, relating to disclosure reports filed by state and local officers and employees, General Assembly members, and lobbyists.

Be it enacted by the General Assembly of Virginia:

1. That §§ 2.1-639.15, 2.1-639.41, and 2.1-786 of the Code of Virginia are amended and reenacted as follows:

§ 2.1-639.15. Disclosure form.

The disclosure form to be used for filings required by § 2.1-639.13 A and D, and § 2.1-639.14 A and D shall be substantially as follows:

STATEMENT OF ECONOMIC INTERESTS.

Name ....

Office or position held or sought ....

Home address ....

Names of members of immediate family ....

DEFINITIONS AND EXPLANATORY MATERIAL.

"Immediate family" means (i) a spouse and (ii) any other person residing in the same household as the officer or employee, who is a dependent of the officer or employee or of whom the officer or employee is a dependent.

"Dependent" means any person, whether or not related by blood or marriage, who receives from the officer or employee, or provides to the officer or employee, more than one-half of his financial support.

"Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise, association, trust or foundation, or any other individual or entity carrying on a business or profession, whether or not for profit.

"Close financial association" does not mean an association based on the receipt of retirement benefits or deferred compensation from a business by which the person filing this statement is no longer employed. "Close financial association" does not include an association based on the receipt of compensation for work performed by the person filing as an independent contractor of a business that represents an entity before any state governmental agency when the person filing has had no communications with the state governmental agency.

"Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item having monetary value. It includes services as well as gifts of transportation, local travel, lodgings and meals, whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the expense has been incurred. "Gift" shall not include any offer of a ticket or other admission or pass unless the ticket, admission, or pass is used. "Gift" shall not include honorary degrees and presents from relatives. "Relative" means the donee's spouse, child, uncle, aunt, niece, or nephew; a person to whom the donee is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother, or sister; or the donee's brother's or sister's spouse.

TRUST. If you or your immediate family, separately or together, are the only beneficiaries of a trust, treat the trust's assets as if you own them directly. If you or your immediate family has a proportional interest in a trust, treat that proportion of the trust's assets as if you own them directly. For example, if you and your immediate family have a one-third interest in a trust, complete your Statement as if you own one-third of each of the trust's assets. If you or a member of your immediate family created a trust and can revoke it without the beneficiaries' consent, treat its assets as if you own them directly.

REPORT TO THE BEST OF INFORMATION AND BELIEF. Information required on this Statement must be provided on the basis of the best knowledge, information and belief of the individual filing the Statement as of the date of this report unless otherwise stated.

COMPLETE ITEMS 1 THROUGH 10. REFER TO SCHEDULES ONLY IF DIRECTED.

You may attach additional explanatory information.

1. Offices and Directorships.
 Are you or a member of your immediate family a paid officer or paid director of a business?
 EITHER check NO / / OR check YES / / and complete Schedule A.
2. Personal Liabilities.
 Do you or a member of your immediate family owe more than $10,000 to any one creditor including contingent liabilities? (Exclude debts to any government and loans secured by recorded liens on property at least equal in value to the loan.)
 EITHER check NO / / OR check YES / / and complete Schedule B.
3. Securities.
 Do you or a member of your immediate family, directly or indirectly, separately or together, own securities valued in excess of $10,000 invested in one business? Account for mutual funds, limited partnerships and trusts.
 EITHER check NO / / OR check YES / / and complete Schedule C.
4. Payments for Talks, Meetings, and Publications.
 During the past 12 months did you receive lodging, transportation, money, or anything else of value with a combined value exceeding $200 for a single talk, meeting, or published work in your capacity as an officer or employee of your agency?
 EITHER check NO / / OR check YES / / and complete Schedule D.
5. Gifts.

During the past 12 months did a business, government, or individual other than a relative or personal friend furnish you with any gift or ~~gifts the total value of which exceeded $50~~ *provide you entertainment at a single event, which gift or event exceeded $50 in value, or which gifts or events in any combination exceeded $100 in total value,* and for which you neither paid nor rendered services in exchange? Account for all business entertainment (except if related to your private profession or occupation) even if unrelated to your official duties.

EITHER check NO / / OR check YES / / and complete Schedule E.

6. Salary and Wages.

List each employer that pays you or a member of your immediate family salary or wages in excess of $10,000 annually. (Exclude state or local government or advisory agencies.)

If no reportable salary or wages, check here / /.

....

....

....

7. Business Interests.

Do you or a member of your immediate family, separately or together, operate your own business, or own or control an interest in excess of $10,000 in a business?

EITHER check NO / / OR check YES / / and complete Schedule F.

8. Payments for Representation *and Other Services*.

8A. Did you represent any businesses before any state governmental agencies, excluding courts or judges, for which you received total compensation during the past 12 months in excess of $1,000, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers? (Officers and employees of local governmental and advisory agencies do NOT need to answer this question or complete Schedule G-1.)

EITHER check NO / / OR check YES / / and complete Schedule G-1.

8B. Subject to the same exceptions as in 8A, did persons with whom you have a close financial association (partners, associates or others) represent any businesses before any state governmental agency for which total compensation was received during the past 12 months in excess of $1,000? (Officers and employees of local governmental and advisory agencies do NOT need to answer this question or complete Schedule G-2.)

EITHER check NO / / OR check YES / / and complete Schedule G-2.

8C. Did you or persons with whom you have a close financial association furnish services to businesses operating in Virginia for which total compensation in excess of $1,000 was received during the past 12 months?

EITHER check NO / / OR check YES / / and complete Schedule G-3.

9. Real Estate.

9A. State Officers and Employees.

Do you or a member of your immediate family hold an interest, including a partnership interest, valued at $10,000 or more in real property (other than your principal residence) for which you have not already listed the full address on Schedule F? Account for real estate held in trust.

EITHER check NO / / OR check YES / / and complete Schedule H-1.

9B. Local Officers and Employees.

Do you or a member of your immediate family hold an interest, including a partnership interest, valued at $10,000 or more in real property located in the county, city or town in which you serve or in a county, city or town contiguous to the county, city or town in which you serve (other than your principal residence) for which you have not already listed the full address on Schedule F? Account for real estate held in trust.

EITHER check NO / / OR check YES / / and complete Schedule H-2.

10. Real Estate Contracts with Government*al* Agencies.

Do you or a member of your immediate family hold an interest valued at more than $10,000 in real estate, including a corporate, partnership, or trust interest, option, easement, or land contract, which real estate is the subject of a contract, whether pending or completed within the past ~~twelve~~ *12* months, with a governmental agency? If the real estate contract provides for the leasing of the property to a governmental agency, do you or a member of your immediate family hold an interest in the real estate valued at more than $1,000? Account for all such contracts whether or not your interest is reported in ~~s~~*S*chedule~~s~~ F, H-1, or H-2. This requirement to disclose an interest in a lease does not apply to an interest derived through an ownership interest in a business unless the ownership interest exceeds three percent of the total equity of the business.

EITHER check NO / / OR check YES / / and complete Schedule I.

Statements of Economic Interests are open for public inspection.

AFFIRMATION BY ALL FILERS.

I swear or affirm that the foregoing information is full, true and correct to the best of my knowledge.

Signature...
Commonwealth of Virginia
......of.........to wit:
The foregoing disclosure form was acknowledged before me
This........day of................, 19.., by ....,
Notary Public
My commission expires..............................
(Return only if needed to complete Statement.)

SCHEDULES
TO
STATEMENT OF ECONOMIC INTERESTS.

NAME......................

SCHEDULE A - OFFICES AND DIRECTORSHIPS.

Identify each business of which you or a member of your immediate family is a paid officer or paid director.

Name of Business	Address of Business	Position Held
....	....	....
....	....	....
....	....	....
....	....	....

RETURN TO ITEM 2

SCHEDULE B - PERSONAL LIABILITIES.

Report personal liability by checking each category. Report only debts in excess of $10,000. Do not report debts to any government. Do not report loans secured by recorded liens on property at least equal in value to the loan.

Report contingent liabilities below and indicate which debts are contingent.

1. My personal debts are as follows:

Check appropriate categories	Check one $10,001 to $50,000	 More than $50,000
Banks	....	....
Savings institutions	....	....
Other loan or finance companies	....	....
Insurance companies	....	....
Stock, commodity or other brokerage companies	....	....
Other businesses: (State principal business activity for each creditor.)	....	....
....	....	....
....	....	....
Individual creditors: (State principal business or occupation of each creditor.)	....	....
....	....	....
....	....	....

HOUSE SUBSTITUTE

SB22H1

2. The personal debts of the members of my immediate family are as follows:

Check appropriate categories	Check one: $10,001 to $50,000	Check one: More than $50,000
Banks	....	....
Savings institutions	....	....
Other loan or finance companies	....	....
Insurance companies	....	....
Stock, commodity or other brokerage companies	....	....
Other businesses:		
(State principal business activity for each creditor.)	....	....
....	....	....
....	....	....
Individual creditors:		
(State principal business or occupation of each creditor.)	....	....
....	....	....
....	....	....

RETURN TO ITEM 3

SCHEDULE C - SECURITIES.

"Securities" INCLUDES stocks, bonds, mutual funds, money market funds, limited partnerships, and commodity futures contracts.

"Securities" EXCLUDES certificates of deposit, annuity contracts, and insurance policies.

Identify each business or Virginia governmental entity in which you or a member of your immediate family, directly or indirectly, separately or together, own securities valued in excess of $10,000.

Do not list U.S. Bonds or other government securities not issued by the Commonwealth of Virginia or its authorities, agencies, or local governments. Do not list organizations that do not do business in this Commonwealth, but most major businesses conduct business in Virginia. Account for securities held in trust.

If no reportable securities, check here / /.

Name of Issuer	Type of Entity	Type of Security (stocks, bonds, mutual or money market funds, etc.)	Check one: $10,001 to $50,000	Check one: More than $50,000

....	....	....	....	....
....	....	....	....	....
....	....	....	....	....
....	....	....	....	....

RETURN TO ITEM 4

SCHEDULE D - PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.

List each source from which you received during the past 12 months lodging, transportation, money, or any other thing of value (excluding meals or drinks coincident with a meeting) with combined value exceeding $200 for your presentation of a single talk, participation in one meeting, or publication of a work in your capacity as an officer or employee of your agency.

List payments or reimbursements by an advisory or governmental agency only for meetings or travel outside the Commonwealth.

List a payment even if you donated it to charity.

Do not list information about a payment if you returned it within 60 days or if you received it from an employer already listed under Item 6 or from a source of income listed on Schedule F.

If no payment must be listed, check here / *.*/

Payer	Approximate Value	Circumstances	Type of ~~p~~*P*ayment (e.g., ~~H~~*h*onoraria, travel reimburse-ment, etc.)
....	....	....	....
....	....	....	....
....	....	....	....
....	....	....	....

RETURN TO ITEM 5

SCHEDULE E - GIFTS.

List each business, governmental entity, or individual that*, during the past 12 months,* furnished you with any gift or ~~gifts whose total value exceeded $50 during the past 12 months~~ *provided you entertainment at a single event, which gift or event exceeded $50 in value, or which gifts or events in any combination exceeded $100 in total value,* and for which you neither paid nor rendered services in exchange. *List each such gift or event.* Do not list business entertainment related to your private profession or occupation. Do not list gifts ~~from~~ *or other things of value given by* a relative

HOUSE SUBSTITUTE

SB22H1

or ~~from a~~ personal friend ~~given~~ for reasons clearly unrelated to your public position. Do not list campaign contributions publicly reported as required by Chapter 9 (§ 24.2-900 et seq.) of Title 24.2 of the Code of Virginia.

Name of Business, Organization, or Individual	City or County and State	*Gift or Event*	Approximate Value
....	....	....	....
....	....	....	....
....	....	....	....
....	....	....	....

RETURN TO ITEM 6

SCHEDULE F - BUSINESS INTERESTS.

Complete this Schedule for each self- or family-owned business (including rental property, a farm, or consulting work), partnership, or corporation in which you or a member of your immediate family, separately or together, own an interest having a value in excess of $10,000.

If the enterprise is owned or operated under a trade, partnership, or corporate name, list that name; otherwise, merely explain the nature of the enterprise. If rental property is owned or operated under a trade, partnership, or corporate name, list the name only; otherwise, give the address of each property. Account for business interests held in trust.

Name of Business, Corporation, Partnership, Farm; Address of Rental Property	City or County and State	Nature of Enterprise (farming, law, rental property, etc.)	Gross Income $50,000 or less	Gross Income More than $50,000
....	....	....	....	....
....	....	....	....	....
....	....	....	....	....
....	....	....	....	....

RETURN TO ITEM 8

SCHEDULE G-1 - PAYMENTS FOR REPRESENTATION BY YOU.

List the businesses you represented before any state governmental agency, excluding any court or judge, for which you received total compensation during the past 12 months in excess of $1,000, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers filed by you.

Identify each business, the nature of the representation and the amount received by dollar category from each such business. You may state the type, rather than name, of the business if you are required by law not to reveal the name of the business represented by you.

Only STATE officers and employees should complete this Schedule.

Name of Business	Type of Business	Purpose of Representation	Name of Agency	Amount Received				
				$1,001 to $10,000	$10,001 to $50,000	$50,001 to $100,000	$100,001 to $250,000	$250,001 and over
....	....	....	.....	....	....	....	....	....
....	....	....	.....	....	....	....	....	....
....	....	....	.....	....	....	....	....	....
....	....	....	.....	....	....	....	....	....

SCHEDULE G-2 - PAYMENTS FOR REPRESENTATION BY ASSOCIATES.

List the businesses that have been represented before any state governmental agency, excluding any court or judge, by persons who are your partners, associates or others with whom you have a close financial association and who received total

compensation in excess of $1,000 for such representation during the past 12 months, excluding representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers filed by your partners, associates or others with whom you have a close financial association.

Identify such businesses by type and also name the state governmental agencies before which such person appeared on behalf of such businesses.

Only STATE officers and employees should complete this Schedule.

Type of ~~b~~*B*usiness   Name of ~~s~~*S*tate ~~g~~*G*overnment*al* ~~a~~*A*gency

....	....
....	....
....	....
....	....

SCHEDULE G-3 - PAYMENTS FOR ~~REPRESENTATION~~ *SERVICES* GENERALLY.

Indicate below types of businesses that operate in Virginia to which services were furnished by you or persons with whom you have a close financial association and for which total compensation in excess of $1,000 was received during the past 12 months.

Identify opposite each category of businesses listed below (i) the type of business, (ii) the type of service rendered and (iii) the value by dollar category of the compensation received for all businesses falling within each category.

	Check if services were rendered	Type of service rendered	Value of Compensation				
			$1,001 to $10,000	$10,001 to $50,000	$50,001 to $100,000	$100,001 to $250,000	$250,001 and over
Electric utilities	......	.....	......	......	....	....	....
Gas utilities	......	.....	......	......	....	....	....
Telephone utilities	......	.....	......	......	....	....	....
Water utilities	......	.....	......	......	....	....	....
Cable television companies	......	.....	......	......	....	....	....
Interstate transportation companies	......	.....	......	......	....	....	....
Intrastate transportation companies	.....	.....	......	......	....	....	....
Oil or gas retail companies	.....	.....	......	......	....	....	....
Banks	.....	.....	......	......	....	....	....
Savings institutions	.....	.....	......	......	....	....	....
Loan or fi-							

nance companies ....
Manufacturing companies (state type of product, e.g., textile, furniture, etc.)..... ....
Mining companies ....
Life insurance companies ....
Casualty insurance companies ....
Other insurance companies ....
Retail companies ....
Beer, wine or liquor companies or distributors..... ....
Trade associations ....
Professional associations..... ....
Associations of public employees or officials ....
Counties, cities or towns ....
Labor organizations ....
Other ....

RETURN TO ITEM 9

SCHEDULE H-1 - REAL ESTATE - STATE OFFICERS AND EMPLOYEES.

List real estate other than your principal residence in which you or a member of your immediate family holds an interest, including a partnership interest, option, easement, or land contract, valued at $10,000 or more. You may list each parcel of real estate individually if you wish.

List each location (state, and county or city) where you own real estate.	Describe the type of real estate you own in each location (business, recreational, apartment, commercial, open land, etc.).	If the real estate is owned or recorded in a name other than your own, list that name.
....	....	....
....	....	....
....	....	....
....	....	....
....	....	....

SCHEDULE H-2 - REAL ESTATE - LOCAL OFFICERS AND EMPLOYEES.

List real estate located in your county, city, or town, and any contiguous county, city, or town other than your principal residence in which you or a member of your immediate family hold*s* an interest, including a partnership interest, option, easement, or land contract, valued at $10,000 or more. You may list each parcel of real estate individually if you wish.

List each location (state, and county or city) where you own real estate.	Describe the type of real estate you own in each location (business, recreational, apartment, commercial, open land, etc.).	If the real estate is owned or recorded in a name other than your own, list that name.
....	....	....
....	....	....
....	....	....
....	....	....
....	....	....

RETURN TO ITEM 10

SCHEDULE I - REAL ESTATE CONTRACTS WITH GOVERNMENT*AL* AGENCIES.

List all contracts, whether pending or completed within the past ~~twelve~~ *12* months, with a governmental agency for the sale or exchange of real estate in which you or a member of your immediate family holds an interest, including a corporate, partnership or trust interest, option, easement, or land contract, valued at $10,000 or more. List all contracts with a governmental agency for the lease of real estate in which you or a member of your immediate family holds such an interest valued at $1,000 or more. This requirement to disclose an interest in a lease does not apply to an interest derived through an ownership interest in a business unless the ownership interest exceeds three percent of the total equity of the business.

State officers and employees report contracts with state agencies.

Local officers and employees report contracts with local agencies.

List your real estate interest and the person or entity, including the type of entity, which is party to the contract. Describe any management role and the percentage ownership interest you or your immediate family member has in the real estate or entity.	List each governmental agency which is a party to the contract and indicate the county or city where the real estate is located.	State the annual income from the contract, and the amount, if any, of income you or any immediate family member derives annually from the contract.
....	....	....
....	....	....
....	....	....
....	....	....
....	....	....

§ 2.1-639.41. Disclosure form.

A. The disclosure form to be used for filings required by § 2.1-639.40 A and B shall be substantially as follows:

STATEMENT OF ECONOMIC INTERESTS.

Name ....

Office or position held or sought ....

Home address ....

Names of members of immediate family ....

DEFINITIONS AND EXPLANATORY MATERIAL.

"Immediate family" means (i) a spouse and (ii) any other person residing in the same household as the legislator, who is a dependent of the legislator or of whom the legislator is a dependent.

"Dependent" means any person, whether or not related by blood or marriage, who receives from the legislator, or provides to the legislator, more than one-half of his financial support.

"Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise, association, trust or foundation, or any other individual or entity carrying on a business or profession, whether or not for profit.

"Close financial association" does not mean an association based

HOUSE SUBSTITUTE

SB22H1

on the receipt of retirement benefits or deferred compensation from a business by which the legislator is no longer employed. "Close financial association" does not include an association based on the receipt of compensation for work performed by the legislator as an independent contractor of a business that represents an entity before any state governmental agency when the legislator has had no communications with the state governmental agency.

"Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item having monetary value. It includes services as well as gifts of transportation, local travel, lodgings and meals, whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the expense has been incurred. "Gift" shall not include any offer of a ticket or other admission or pass unless the ticket, admission, or pass is used. "Gift" shall not include honorary degrees and presents from relatives. "Relative" means the donee's spouse, child, uncle, aunt, niece, or nephew; a person to whom the donee is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother, or sister; or the donee's brother's or sister's spouse.

TRUST. If you or your immediate family, separately or together, are the only beneficiaries of a trust, treat the trust's assets as if you own them directly. If you or your immediate family has a proportional interest in a trust, treat that proportion of the trust's assets as if you own them directly. For example, if you and your immediate family have a one-third interest in a trust, complete your Statement as if you own one-third of each of the trust's assets. If you or a member of your immediate family created a trust and can revoke it without the beneficiaries' consent, treat its assets as if you own them directly.

REPORT TO THE BEST OF INFORMATION AND BELIEF. Information required on this Statement must be provided on the basis of the best knowledge, information and belief of the individual filing the Statement as of the date of this report unless otherwise stated.

COMPLETE ITEMS 1 THROUGH 10. REFER TO SCHEDULES ONLY IF DIRECTED.

You may attach additional explanatory information.

1. Offices and Directorships.
   Are you or a member of your immediate family a paid officer or paid director of a business?
   EITHER check NO / / OR check YES / / and complete Schedule A.
2. Personal Liabilities.
   Do you or a member of your immediate family owe more than $10,000 to any one creditor including contingent liabilities? (Exclude debts to any government and loans secured by recorded liens on property at least equal in value to the loan.)
   EITHER check NO / / OR check YES / / and complete Schedule B.
3. Securities.
   Do you or a member of your immediate family, directly or indirectly, separately or together, own securities valued in excess of $10,000 invested in one business? Account for mutual funds, limited partnerships and trusts.

EITHER check NO / / OR check YES / / and complete Schedule C.

4. Payments for Talks, Meetings, and Publications.

During the past 12 months did you receive lodging, transportation, money, or anything else of value with a combined value exceeding $200 for a single talk, meeting, or published work in your capacity as a legislator?

EITHER check NO / / OR check YES / / and complete Schedule D.

5. Gifts.

During the past 12 months did a business, government, or individual other than a relative or personal friend furnish you with any gift or ~~gifts the total value of which exceeded $50~~ *provide you entertainment at a single event, which*

gift or event exceeded $50 in value , or which gifts or events in any combination exceeded $100 in total value, and for which you neither paid nor rendered services in exchange? Account for all business entertainment (except if related to your private profession or occupation) even if unrelated to your official duties.

EITHER check NO / / OR check YES / / and complete Schedule E.

6. Salary and Wages.

List each employer that pays you or a member of your immediate family salary or wages in excess of $10,000 annually. (Exclude state or local government or advisory agencies.)

If no reportable salary or wages, check here / /.

....

....

....

7. Business Interests.

Do you or a member of your immediate family, separately or together, operate your own business, or own or control an interest in excess of $10,000 in a business?

EITHER check NO / / OR check YES / / and complete Schedule F.

8. Payments for Representation *and Other Services*.

8A. Did you represent any businesses before any state governmental agencies, excluding courts or judges, for which you received total compensation during the past 12 months in excess of $1,000, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers?

EITHER check NO / / OR check YES / / and complete Schedule G-1.

8B. Subject to the same exceptions as in 8A, did persons with whom you have a close financial association (partners, associates or others) represent any businesses before any state governmental agency for which total compensation was received during the past 12 months in excess of $1,000?

EITHER check NO / / OR check YES / / and complete Schedule G-2.

8C. Did you or persons with whom you have a close financial association furnish services to businesses operating in Virginia for which total compensation in excess of $1,000 was received during the past 12 months?

EITHER check NO / / OR check YES / / and complete Schedule G-3.

9. Real Estate.

Do you or a member of your immediate family hold an interest, including a partnership interest, valued at $10,000 or more in

HOUSE SUBSTITUTE

SB22H1

real property (other than your principal residence) for which you have not already listed the full address on Schedule F? Account for real estate held in trust.

EITHER check NO / / OR check YES / / and complete Schedule H.

10. Real Estate Contracts with State Governmental Agencies.

Do you or a member of your immediate family hold an interest valued at more than $10,000 in real estate, including a corporate, partnership, or trust interest, option, easement, or land contract, which real estate is the subject of a contract, whether pending or completed within the past ~~twelve~~ *12* months, with a state governmental agency? If the real estate contract provides for the leasing of the property to a state governmental agency, do you or a member of your immediate family hold an interest in the real estate, including a corporate, partnership, or trust interest, option, easement, or land contract valued at more than $1,000? Account for all such contracts whether or not your interest is reported in Schedule~~s~~ F or H. This requirement to disclose an interest in a lease does not apply to an interest derived through an ownership interest in a business unless the ownership interest exceeds three percent of the total equity of the business.

EITHER check NO / / OR check YES / / and complete Schedule I.

Statements of Economic Interests are open for public inspection.

AFFIRMATION.

In accordance with the rules of the house in which I serve, if I receive a request that this disclosure statement be corrected, augmented, or revised in any respect, I hereby pledge that I shall respond promptly to the request. I understand that if a determination is made that the statement is insufficient, I will satisfy such request or be subjected to disciplinary action of my house.

I swear or affirm that the foregoing information is full, true and correct to the best of my knowledge.

Signature ....
Commonwealth of Virginia
.... of .... to wit:
The foregoing disclosure form was acknowledged before me
This .... day of ...., 19. . ., by ....,
Notary Public
My commission expires ....
(Return only if needed to complete Statement.)

SCHEDULES
TO
STATEMENT OF ECONOMIC INTERESTS.

NAME ....

SCHEDULE A - OFFICES AND DIRECTORSHIPS.

Identify each business of which you or a member of your immediate family is a paid officer or paid director.

Name of Business	Address of Business	Position Held
....	....	....
....	....	....
....	....	....
....	....	....

RETURN TO ITEM 2

SCHEDULE B - PERSONAL LIABILITIES.

Report personal liability by checking each category. Report only debts in excess of $10,000. Do not report debts to any government. Do not report loans secured by recorded liens on property at least equal in value to the loan.

Report contingent liabilities below and indicate which debts are contingent.

1. My personal debts are as follows:

Check appropriate categories	Check one $10,001 to $50,000	More than $50,000
Banks	....	....
Savings institutions	....	....
Other loan or finance companies	....	....
Insurance companies	....	....
Stock, commodity or other brokerage companies	....	....
Other businesses: (State principal business activity for each creditor.)	....	....
....	....	....
....	....	....
Individual creditors: (State principal business or occupation of each creditor.)	....	....
....	....	....
....	....	....

---

2. The personal debts of the members of my immediate family are as follows:

HOUSE SUBSTITUTE

SB22H1

Check appropriate categories	Check one $10,001 to $50,000	 More than $50,000
Banks	....	....
Savings institutions	....	....
Other loan or finance companies	....	....
Insurance companies	....	....
Stock, commodity or other brokerage companies	....	....
Other businesses:		
(State principal business activity for each creditor.)......................	....	....
....	....	....
....	....	....
Individual creditors:		
(State principal business or occupation of each creditor.)	....	....
....	....	....
....	....	....

RETURN TO ITEM 3

SCHEDULE C - SECURITIES.

"Securities" INCLUDES stocks, bonds, mutual funds, money market funds, limited partnerships, and commodity futures contracts.

"Securities" EXCLUDES certificates of deposit, annuity contracts, and insurance policies.

Identify each business or Virginia governmental entity in which you or a member of your immediate family, directly or indirectly, separately or together, own securities valued in excess of $10,000.

Do not list U.S. Bonds or other government securities not issued by the Commonwealth of Virginia or its authorities, agencies, or local governments. Do not list organizations that do not do business in this Commonwealth, but most major businesses conduct business in Virginia. Account for securities held in trust.

If no reportable securities, check here / /.

Name of Issuer	Type of Entity	Type of Security (stocks, bonds, mutual or money market funds, etc.)	Check one $10,001 to $50,000	 More than $50,000
....	....	....	....	....
....	....	....	....	....
....	....	....	....	....
....	....	....	....	....

RETURN TO ITEM 4

SCHEDULE D - PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.

List each source from which you received during the past 12 months lodging, transportation, money, or any other thing of value (excluding meals or drinks coincident with a meeting) with combined value exceeding $200 for your presentation of a single talk, participation in one meeting, or publication of a work in your capacity as a legislator.

List payments or reimbursements by the Commonwealth only for meetings or travel outside the Commonwealth.

List a payment even if you donated it to charity.

Do not list information about a payment if you returned it within 60 days or if you received it from an employer already listed under Item 6 or from a source of income listed on Schedule F.

If no payment must be listed, check here / /.

Payer	Approximate Value	Circumstances	Type of ~~payment~~ *Payment* (e.g.*,* ~~Honoraria~~ *honoraria*, travel reimbursement, etc.)
....	....	....	....
....	....	....	....
....	....	....	....
....	....	....	....

RETURN TO ITEM 5

SCHEDULE E - GIFTS.

List each business, governmental entity, or individual that*, during the past 12 months,* furnished you with any gift or ~~gifts whose total value exceeded $50 during the past 12 months~~ *provided you entertainment at a single event, which gift or event exceeded $50 in value , or which gifts or events in any combination exceeded $100 in total value,* and for which you neither paid nor rendered services in exchange. *List each such gift or event.* Do not list business entertainment related to your private profession or occupation. Do not list gifts or other things of value given by a relative or personal friend for reasons clearly unrelated to your public position.

Do not list campaign contributions publicly reported as

HOUSE SUBSTITUTE

SB22H1

required by Chapter 9 *(§ 24.2-900 et seq.)* of Title 24.2 of the Code of Virginia.

Name of Business, Organization, or Individual	City or County and State	*Gift or Event*	Approximate Value
....	....	....	....
....	....	....	....
....	....	....	....
....	....	....	....

RETURN TO ITEM 6

SCHEDULE F - BUSINESS INTERESTS.

Complete this Schedule for each self- or family-owned business (including rental property, a farm, or consulting work), partnership, or corporation in which you or a member of your immediate family, separately or together, own an interest having a value in excess of $10,000.

If the enterprise is owned or operated under a trade, partnership, or corporate name, list that name; otherwise, merely explain the nature of the enterprise. If rental property is owned or operated under a trade, partnership, or corporate name, list the name only; otherwise, give the address of each property. Account for business interests held in trust.

Name of Business, Corporation, Partnership, Farm; Address of Rental Property	City or County and State	Nature of Enterprise (farming, law, rental property, etc.)	Gross Income $50,000 or less	Gross Income More than $50,000
....	....	....	....	....
....	....	....	....	....
....	....	....	....	....
....	....	....	....	....

RETURN TO ITEM 8

SCHEDULE G-1 - PAYMENTS FOR REPRESENTATION BY YOU.

List the businesses you represented before any state governmental agency, excluding any court or judge, for which you received total compensation during the past 12 months in excess of $1,000, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers filed by you.

Identify each business, the nature of the representation and the amount received by dollar category from each such business. You may state the type, rather than name, of the business if you are required by law not to reveal the name of the business represented by you.

Name of Business	Type of Business	Purpose of Representation	Name of Agency	Amount Received $1,001 to $10,000	Amount Received $10,001 to $50,000	Amount Received $50,001 to $100,000	Amount Received $100,001 to $250,000	Amount Received $250,001 and over
....	....	....	.....	....	....	....	....	....
....	....	....	.....	....	....	....	....	....
....	....	....	.....	....	....	....	....	....
....	....	....	.....	....	....	....	....	....

SCHEDULE G-2 - PAYMENTS FOR REPRESENTATION BY ASSOCIATES.

List the businesses that have been represented before any state governmental agency, excluding any court or judge, by persons who are your partners, associates or others with whom you have a close financial association and who received total compensation in excess of $1,000 for such representation during the past 12 months, excluding representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers filed by your partners, associates or others with whom you have a close financial association.

Identify such businesses by type and also name the state governmental agencies before which such person appeared on behalf of such businesses.

Type of ~~business~~ *Business*	Name of ~~state government agency~~ *State Governmental Agency*
....	....
....	....
....	....
....	....

SCHEDULE G-3 - PAYMENTS FOR REPRESENTATION *AND OTHER SERVICES* GENERALLY.

Indicate below types of businesses that operate in Virginia to which services were furnished by you or persons with whom you have a close financial association and for which total compensation in excess of $1,000 was received during the past 12 months.

Identify opposite each category of businesses listed below (i) the type of business, (ii) the type of service rendered and (iii) the value by dollar category of the compensation received for all businesses falling within each category.

	Check if services were rendered	Type of service rendered	Value of Compensation				
			$1,001 to $10,000	$10,001 to $50,000	$50,001 to $100,000	$100,001 to $250,000	$250,001 and over
Electric utilities	.....	.....	....	....	....	....	....
Gas utilities	.....	.....	....	....	....	....	....
Telephone utilities	.....	.....	....	....	....	....	....
Water utilities	.....	.....	....	....	....	....	....
Cable television companies	.....	.....	....	....	....	....	....
Interstate transportation companies	.....	.....	....	....	....	....	....
Intrastate transportation companies	.....	.....	....	....	....	....	....
Oil or gas retail companies	.....	.....	....	....	....	....	....
Banks	.....	.....	....	....	....	....	....
Savings institutions	.....	.....	....	....	....	....	....
Loan or finance companies	.....	.....	....	....	....	....	....
Manufacturing companies (state type of product,							

e.g., textile, furniture, etc.) ....

Mining companies ....

Life insurance companies ....

Casualty insurance companies ....

Other insurance companies ....

Retail companies ....

Beer, wine or liquor companies or distribtors ....

Trade associations ....

Professional associations ....

Associations of public employees or officials ....

Counties, cities or towns ....

Labor organizations..... ....

Other ....

RETURN TO ITEM 9

SCHEDULE H - REAL ESTATE.

List real estate other than your principal residence in which you or a member of your immediate family ~~hold~~ *holds* an interest, including a partnership interest, option, easement, or land contract, valued at $10,000 or more. You may list each parcel of real estate individually if you wish.

List each location (state, and county | Describe the type of real estate you own | If the real estate is owned or recorded

HOUSE SUBSTITUTE

SB22H1

or city) where you own real estate.	in each location (business, recreational, apartment, commercial, open land, etc.).	in a name other than your own, list that name.
....	....	....
....	....	....
....	....	....
....	....	....
....	....	....

RETURN TO ITEM 10

SCHEDULE I - REAL ESTATE CONTRACTS WITH STATE GOVERNMENTAL AGENCIES.

List all contracts, whether pending or completed within the past ~~twelve~~ *12* months, with a state governmental agency for the sale or exchange of real estate in which you or a member of your immediate family holds an interest, including a corporate, partnership or trust interest, option, easement, or land contract, valued at $10,000 or more. List all contracts with a state governmental agency for the lease of real estate in which you or a member of your immediate family holds such an interest valued at $1,000 or more. This requirement to disclose an interest in a lease does not apply to an interest derived through an ownership interest in a business unless the ownership interest exceeds three percent of the total equity of the business.

List your real estate interest and the person or entity, including the type of entity, which is party to the contract. Describe any management role and the percentage ownership interest you or your immediate family member has in the real estate or entity.	List each governmental agency which is a party to the contract and indicate the county or city where the real estate is located.	State the annual income from the contract, and the amount, if any, of income you or any immediate family member derives annually from the contract.
....	....	....
....	....	....
....	....	....
....	....	....
....	....	....

B. Any legislator who makes a knowing misstatement of a material fact on the Statement of Economic Interests shall be subject to disciplinary action for such violations by the house in which the legislator sits.

C. In accordance with the rules of each house, the Statement of Economic Interests of all members of each house shall be reviewed. If a legislator's Statement is found to be inadequate as filed, the legislator shall be notified in writing~~,~~ *and* directed to file an amended Statement correcting the indicated deficiencies, and a time *shall be* set within which such amendment shall be filed. If the Statement of Economic Interests, in either its original or amended form, is found to be adequate as filed, the legislator's filing shall be deemed in full compliance with this section as to the information disclosed thereon.

D. Ten percent of the membership of a house, on the basis of newly discovered facts, may in writing request the house in which those members sit, in accordance with the rules of that house, to review the Statement of Economic Interests of another member of that house in order to determine the adequacy of his filing. In accordance with the rules of each house, each Statement of Economic Interests shall be promptly reviewed, the adequacy of the filing determined, and notice given in writing to the legislator whose Statement is in issue. Should it be determined that the Statement requires correction, augmentation or revision, the legislator involved shall be directed to make the changes required within such time as shall be set under the rules of each house.

If a legislator, after having been notified in writing in accordance with the rules of the house in which he sits that his Statement is inadequate as filed, fails to amend his Statement so as to come into compliance within the time limit set, he shall be subject to disciplinary action by the house in which he sits. No legislator shall vote on any question relating to his own Statement.

§ 2.1-786. Lobbyist reporting.

A. Each lobbyist shall file a separate annual report of expenditures, including gifts, for each principal for whom he lobbies by July 1 for the preceding twelve-month period ~~ending~~ ~~May~~ ~~1~~ *complete through April 30*.

B. Each principal who expends more than $500 to employ or compensate multiple lobbyists shall be responsible for filing a consolidated lobbyist report pursuant to this section in any case in which the lobbyists are each exempt under the provisions of subdivision 7 or 8 of § 2.1-781 from the reporting requirements of this section.

C. The report shall be on a form provided by the Secretary of the Commonwealth which shall be substantially as follows and shall be accompanied by instructions provided by the Secretary.

```
                 LOBBYIST'S DISCLOSURE STATEMENT

PART I:
(1)     PRINCIPAL: .................................................
              In Part I, item 2a, provide the name of the individual
        authorizing your employment as a lobbyist.  The lobbyist
        filing this statement MAY NOT list his name in item 2a.  THE
        INDIVIDUAL LISTED IN PART I, ITEM 2A, MUST SIGN THE
        PRINCIPAL'S STATEMENT.
(2a)    Name: ......................................................
(2b)    Permanent Business Address: ................................
(2c)    Business Telephone: ........................................
(3)     Provide a list of executive and legislative actions (with
        as much specificity as possible) for which you lobbied and
        a description of activities conducted.
        ............................................................
        ............................................................
        ............................................................
(4)     INCORPORATED FILINGS:  If you are filing an incorporated
        disclosure statement, please complete the following:
        Individual filing financial information: ...................
        Individuals to be included in the filing: ..................
        ............................................................
(5)     Please indicate which schedules will be attached to your
        disclosure statement:
        [ ] Schedule A:  Entertainment Expenses
        [ ] Schedule B:  Gifts
        [ ] Schedule C:  Other Expenses
```

(6) EXPENDITURE TOTALS:

a) ENTERTAINMENT.................................$.........
b) GIFTS...$.........
c) OFFICE EXPENSES...............................$.........
d) COMMUNICATIONS................................$.........
e) PERSONAL LIVING AND TRAVEL EXPENSES...........$.........
f) COMPENSATION OF LOBBYISTS.....................$.........
g) HONORARIA.....................................$.........
h) REGISTRATION COSTS............................$.........
i) OTHER...$.........
TOTAL..$.........

PART II:

(1a) NAME OF LOBBYIST: ....
(1b) Permanent Business Address: ....
(1c) Business Telephone: ....
(2) As a lobbyist, you are (check one)
[ ] EMPLOYED (on the payroll of the principal)
[ ] RETAINED (not on the payroll of the principal, however compensated)
[ ] NOT COMPENSATED (not compensated; expenses may be reimbursed)
(3) List all lobbyists other than yourself who registered to represent your principal.
....
....
....
(4) If you selected "EMPLOYED" as your answer to Part II, item 2, provide your job title.
....
(5) If you selected "NOT COMPENSATED" as your answer to Part II, item 2, please indicate why you received no compensation.
....
....
....

PLEASE NOTE: Some lobbyists are not individually compensated for lobbying activities. This may occur when several members of a firm represent a single principal. The principal, in turn, makes a single payment to the firm. If this describes your situation, do not answer Part II, items 6a and 6b. Instead, complete Part III, items 1 and 2.

(6a) What was the DOLLAR AMOUNT OF YOUR COMPENSATION as a lobbyist? (If you have job responsibilities other than *those* involving lobbying, you may have to prorate to determine the ~~those~~ part of your salary attributable to your lobbying activities.) Transfer your answer to this item to Part I, item 6f.
(6b) Explain how you arrived at your answer to Part II, item 6a.
....
....
....

PART III:

PLEASE NOTE: If you answered Part II, items 6a and 6b, you WILL NOT complete this section.

(1) List all members of your firm, organization, association, corporation, or other entity who furnished lobbying services to your principal.

....
....
....

(2) Indicate the total amount paid to your firm, organization, association, corporation or other entity for services rendered. Transfer your answer to this item to Part I, item 6f.

SCHEDULE A
ENTERTAINMENT EXPENSES

PLEASE NOTE: Any single entertainment event included in the expense totals of the principal, with a value greater than $50, should be itemized below. Transfer any totals from this schedule to Part I, item 6a. (Please duplicate as needed.)

Date and Location of Event:

....
....

Description of Event:

....
....

Number of Legislative and Executive Officials Invited:

....

Number of Legislative and Executive Officials Attending:

....

Names of Legislative and Executive Officials Attending: (~~You are not required to list names for any event attended by more than 10 legislative and executive officials~~ *List names only if the average value for each person attending the event was greater the $50*.)

....
....
....

Food..$........
Beverages...$........
Transportation of Legislative and Executive
Officials...$........
Lodging of Legislative and Executive Officials................$........
Performers, Speakers, Etc.....................................$........
Displays..$........
Rentals...$........
Service Personnel...$........
Miscellaneous...$........
TOTAL...$........

SCHEDULE B
GIFTS

PLEASE NOTE: Any single gift reported in the expense totals of the principal, with a value greater than $25, should be itemized below. (Report meals, entertainment and travel under Schedule A.) Transfer any totals from this schedule to Part I, item 6b. (Please duplicate as needed.)

Date Description Name of each legislative or Cost of

of gift:	of gift:	executive official who is a recipient of a gift:	individual gift:
....	....	....	$.........
....	....	....	$.........
....	....	....	$.........
....	....	....	$.........
....	....	....	$.........

TOTAL COST TO PRINCIPAL............................... $.........

SCHEDULE C
OTHER EXPENSES

PLEASE NOTE: This section is provided for any lobbying-related expenses not covered in Part I, items 6a - 6h. An example of an expenditure to be listed on Schedule C would be the rental of a bill box during the General Assembly session. Transfer the total from this schedule to Part I, item 6i. (Please duplicate as needed.)

DATE OF EXPENSE	DESCRIPTION OF EXPENSE	AMOUNT
....	....	$..........
....	....	$..........
....	....	$..........
....	....	$..........
....	....	$..........
....	....	$..........
....	....	$..........
....	....	$..........
....	....	$..........
TOTAL "OTHER" EXPENSES	....	$..........

PART IV: STATEMENTS

Both the lobbyist and principal officer must sign the disclosure statement, attesting to its completeness and accuracy. The following items are mandatory and if they are not properly completed, the entire filing will be rejected and returned to the lobbyist:

(1) All signatures on the statement must be ORIGINAL. No facsimiles, stamps, or other reproductions of the individual's signature will be accepted.

(2) An individual MAY NOT sign the disclosure statement as lobbyist and principal officer.

STATEMENT OF LOBBYIST

I, the undersigned registered lobbyist, do state that the information furnished on this disclosure statement and on all accompanying attachments required to be made thereto is, to the best of my knowledge and belief, complete and accurate.

....
Signature of lobbyist

....
Date

STATEMENT OF PRINCIPAL

I, the undersigned principal (or an authorized official thereof), do state that the information furnished on this disclosure statement and on all accompanying attachments required to be made thereto is, to the best of my knowledge and belief, complete and accurate.

....
Signature of principal
....
Date

D. A person who signs the disclosure statement knowing it to contain a material misstatement of fact shall be guilty of a Class 5 felony.

E. Each lobbyist shall send to each legislative and executive official who is required to be identified by name on Schedule A or B of the Lobbyist's Disclosure Form a copy of Schedule A or B or a summary of the information pertaining to that official. Copies or summaries shall be provided to the official ~~twice a year: by July 1 for the preceding five-month period ending May 1; and~~ by January 5 for the preceding ~~seven-month~~ *twelve-month* period ~~ending~~ *complete through* December 31.

2. That the information required to be provided by subsection E of § 2.1-786 to certain officials by January 5, 1999, shall cover the preceding twelve-month period complete through December 31, 1998; and that the provisions of this act shall be applicable to statements of economic interests and lobbyists' disclosure statements filed on and after the effective date of this act and to the entire report period covered by any such statement.

3. That an emergency exists and this act is in force from its passage.