

# 1998 SESSION

## HOUSE SUBSTITUTE

986646180

### SENATE BILL NO. 22

AMENDMENT IN THE NATURE OF A SUBSTITUTE  
(Proposed by the House Committee on Privileges and Elections  
on March 4, 1998)

(Patron Prior to Substitute—Senator Watkins)

*A BILL to amend and reenact §§ 2.1-639.15, 2.1-639.41, and 2.1-786 of the Code of Virginia, relating to disclosure reports filed by state and local officers and employees, General Assembly members, and lobbyists.*

**Be it enacted by the General Assembly of Virginia:**

**1. That §§ 2.1-639.15, 2.1-639.41, and 2.1-786 of the Code of Virginia are amended and reenacted as follows:**

§ 2.1-639.15. Disclosure form.

The disclosure form to be used for filings required by § 2.1-639.13 A and D, and § 2.1-639.14 A and D shall be substantially as follows:

#### STATEMENT OF ECONOMIC INTERESTS.

Name .....  
Office or position held or sought .....  
Home address .....  
Names of members of immediate family .....

#### DEFINITIONS AND EXPLANATORY MATERIAL.

"Immediate family" means (i) a spouse and (ii) any other person residing in the same household as the officer or employee, who is a dependent of the officer or employee or of whom the officer or employee is a dependent.

"Dependent" means any person, whether or not related by blood or marriage, who receives from the officer or employee, or provides to the officer or employee, more than one-half of his financial support.

"Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise, association, trust or foundation, or any other individual or entity carrying on a business or profession, whether or not for profit.

"Close financial association" does not mean an association based on the receipt of retirement benefits or deferred compensation from a business by which the person filing this statement is no longer employed. "Close financial association" does not include an association based on the receipt of compensation for work performed by the person filing as an independent contractor of a business that represents an entity before any state governmental agency when the person filing has had no communications with the state governmental agency.

"Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item having monetary value. It includes services as well as gifts of transportation, local travel, lodgings and meals, whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the expense has been incurred. "Gift" shall not include any offer of a ticket

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56 or other admission or pass unless the ticket, admission, or pass is  
57 used. "Gift" shall not include honorary degrees and presents from  
58 relatives. "Relative" means the donee's spouse, child, uncle, aunt,  
59 niece, or nephew; a person to whom the donee is engaged to be  
60 married; the donee's or his spouse's parent, grandparent,  
61 grandchild, brother, or sister; or the donee's brother's or  
62 sister's spouse.

63  
64 TRUST. If you or your immediate family, separately or together,  
65 are the only beneficiaries of a trust, treat the trust's assets  
66 as if you own them directly. If you or your immediate family has  
67 a proportional interest in a trust, treat that proportion of the  
68 trust's assets as if you own them directly. For example, if you  
69 and your immediate family have a one-third interest in a trust,  
70 complete your Statement as if you own one-third of each of the  
71 trust's assets. If you or a member of your immediate family  
72 created a trust and can revoke it without the beneficiaries'  
73 consent, treat its assets as if you own them directly.

74  
75 REPORT TO THE BEST OF INFORMATION AND BELIEF. Information  
76 required on this Statement must be provided on the basis of the  
77 best knowledge, information and belief of the individual filing  
78 the Statement as of the date of this report unless otherwise  
79 stated.

80  
81 COMPLETE ITEMS 1 THROUGH 10. REFER TO SCHEDULES ONLY IF DIRECTED.

82  
83 You may attach additional explanatory information.

84  
85 1. Offices and Directorships.

86 Are you or a member of your immediate family a paid officer  
87 or paid director of a business?

88 EITHER check NO / / OR check YES / / and complete  
89 Schedule A.

90 2. Personal Liabilities.

91 Do you or a member of your immediate family owe more than  
92 \$10,000 to any one creditor including contingent liabilities?  
93 (Exclude debts to any government and loans secured by recorded  
94 liens on property at least equal in value to the loan.)

95 EITHER check NO / / OR check YES / / and complete  
96 Schedule B.

97 3. Securities.

98 Do you or a member of your immediate family, directly or  
99 indirectly, separately or together, own securities valued  
100 in excess of \$10,000 invested in one business? Account for  
101 mutual funds, limited partnerships and trusts.

102 EITHER check NO / / OR check YES / / and complete  
103 Schedule C.

104 4. Payments for Talks, Meetings, and Publications.

105 During the past 12 months did you receive lodging,  
106 transportation, money, or anything else of value with a  
107 combined value exceeding \$200 for a single talk, meeting,  
108 or published work in your capacity as an officer or employee of  
109 your agency?

110 EITHER check NO / / OR check YES / / and complete  
111 Schedule D.

112 5. Gifts.

During the past 12 months did a business, government, or individual other than a relative or personal friend furnish you with any gift or ~~gifts the total value of which exceeded \$50~~ provide you entertainment at a single event, which gift or event exceeded \$50 in value, or which gifts or events in any combination exceeded \$100 in total value, and for which you neither paid nor rendered services in exchange? Account for all business entertainment (except if related to your private profession or occupation) even if unrelated to your official duties.

EITHER check NO / / OR check YES / / and complete Schedule E.

6. Salary and Wages.

List each employer that pays you or a member of your immediate family salary or wages in excess of \$10,000 annually. (Exclude state or local government or advisory agencies.)

If no reportable salary or wages, check here / /.

.....  
.....  
.....

7. Business Interests.

Do you or a member of your immediate family, separately or together, operate your own business, or own or control an interest in excess of \$10,000 in a business?

EITHER check NO / / OR check YES / / and complete Schedule F.

8. Payments for Representation and Other Services.

8A. Did you represent any businesses before any state governmental agencies, excluding courts or judges, for which you received total compensation during the past 12 months in excess of \$1,000, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers? (Officers and employees of local governmental and advisory agencies do NOT need to answer this question or complete Schedule G-1.)

EITHER check NO / / OR check YES / / and complete Schedule G-1.

8B. Subject to the same exceptions as in 8A, did persons with whom you have a close financial association (partners, associates or others) represent any businesses before any state governmental agency for which total compensation was received during the past 12 months in excess of \$1,000? (Officers and employees of local governmental and advisory agencies do NOT need to answer this question or complete Schedule G-2.)

EITHER check NO / / OR check YES / / and complete Schedule G-2.

8C. Did you or persons with whom you have a close financial association furnish services to businesses operating in Virginia for which total compensation in excess of \$1,000 was received during the past 12 months?

EITHER check NO / / OR check YES / / and complete Schedule G-3.

9. Real Estate.

9A. State Officers and Employees.

Do you or a member of your immediate family hold an interest,

169 including a partnership interest, valued at \$10,000 or more in  
170 real property (other than your principal residence) for which  
171 you have not already listed the full address on Schedule F?  
172 Account for real estate held in trust.

173 EITHER check NO / / OR check YES / / and complete  
174 Schedule H-1.

175 9B. Local Officers and Employees.

176 Do you or a member of your immediate family hold an interest,  
177 including a partnership interest, valued at \$10,000 or more in  
178 real property located in the county, city or town in which you  
179 serve or in a county, city or town contiguous to the county,  
180 city or town in which you serve (other than your principal  
181 residence) for which you have not already listed the full  
182 address on Schedule F? Account for real estate held in trust.

183 EITHER check NO / / OR check YES / / and complete  
184 Schedule H-2.

185 10. Real Estate Contracts with Governmental Agencies.

186 Do you or a member of your immediate family hold an interest  
187 valued at more than \$10,000 in real estate, including a  
188 corporate, partnership, or trust interest, option,  
189 easement, or land contract, which real estate is the  
190 subject of a contract, whether pending or completed within  
191 the past ~~twelve~~ 12 months, with a governmental agency? If the  
192 real estate contract provides for the leasing of the property  
193 to a governmental agency, do you or a member of your immediate  
194 family hold an interest in the real estate valued at more than  
195 \$1,000? Account for all such contracts whether or not your  
196 interest is reported in ~~sSchedules~~ F, H-1, or H-2. This  
197 requirement to disclose an interest in a lease does not apply  
198 to an interest derived through an ownership interest in a  
199 business unless the ownership interest exceeds three percent  
200 of the total equity of the business.

201 EITHER check NO / / OR check YES / / and complete Schedule I.

202

203 Statements of Economic Interests are open for public inspection.

204

205 AFFIRMATION BY ALL FILERS.

206

207 I swear or affirm that the foregoing information is full, true  
208 and correct to the best of my knowledge.

209

210 Signature.....

211 Commonwealth of Virginia

212 .....of.....to wit:

213 The foregoing disclosure form was acknowledged before me

214 This.....day of....., 19.., by .....

215 Notary Public

216 My commission expires.....

217 (Return only if needed to complete Statement.)

218

219 SCHEDULES

220 TO

221 STATEMENT OF ECONOMIC INTERESTS.

222

223 NAME.....

224

225 SCHEDULE A - OFFICES AND DIRECTORSHIPS.

Identify each business of which you or a member of your immediate family is a paid officer or paid director.

Name of Business	Address of Business	Position Held
.....	.....	.....
.....	.....	.....
.....	.....	.....
.....	.....	.....

RETURN TO ITEM 2

#### SCHEDULE B - PERSONAL LIABILITIES.

Report personal liability by checking each category. Report only debts in excess of \$10,000. Do not report debts to any government. Do not report loans secured by recorded liens on property at least equal in value to the loan.

Report contingent liabilities below and indicate which debts are contingent.

1. My personal debts are as follows:

Check appropriate categories	Check one \$10,001 to \$50,000	Check one More than \$50,000
Banks	.....	.....
Savings institutions	.....	.....
Other loan or finance companies	.....	.....
Insurance companies	.....	.....
Stock, commodity or other brokerage companies	.....	.....
Other businesses:		
(State principal business activity for each creditor.)	.....	.....
.....	.....	.....
.....	.....	.....
Individual creditors:		
(State principal business or occupation of each creditor.)	.....	.....
.....	.....	.....
.....	.....	.....

282 2. The personal debts of the members of my immediate family are as  
 283 follows:

284 -----  
 285 -----  
 286 -----

287  
 288 Check Check one  
 289 appropriate \$10,001 to More than  
 290 categories \$50,000 \$50,000

291  
 292 Banks .....  
 293 Savings institutions .....  
 294 Other loan or finance companies .....  
 295 Insurance companies .....  
 296 Stock, commodity or other brokerage  
 297 companies .....  
 298 Other businesses:

299 (State principal business activity  
 300 for each creditor.) .....  
 301 .....  
 302 .....

303 Individual creditors:  
 304 (State principal business or  
 305 occupation of each creditor.) .....  
 306 .....  
 307 .....  
 308 -----

309 -----  
 310 -----

311 RETURN TO ITEM 3  
 312

313 SCHEDULE C - SECURITIES.  
 314

315 "Securities" INCLUDES stocks, bonds, "Securities" EXCLUDES  
 316 mutual funds, money market funds, certificates of deposit,  
 317 limited partnerships, and commodity annuity contracts, and  
 318 futures contracts. insurance policies.

319  
 320 Identify each business or Virginia governmental entity in which  
 321 you or a member of your immediate family, directly or indirectly,  
 322 separately or together, own securities valued in excess of  
 323 \$10,000.  
 324

325 Do not list U.S. Bonds or other government securities not issued  
 326 by the Commonwealth of Virginia or its authorities, agencies, or  
 327 local governments. Do not list organizations that do not do  
 328 business in this Commonwealth, but most major businesses conduct  
 329 business in Virginia. Account for securities held in trust.  
 330

331 If no reportable securities, check here / /.  
 332 -----  
 333 -----

334

335 Type of Security Check one  
 336 (stocks, bonds, mutual \$10,001 More  
 337 or money market funds, to than  
 338 Name of Issuer Entity etc.) \$50,000 \$50,000

339 .....  
 340 .....  
 341 .....  
 342 .....  
 343 .....

344 -----  
 345 -----

346 RETURN TO ITEM 4

347  
 348 SCHEDULE D - PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.

349  
 350 List each source from which you received during the past 12 months  
 351 lodging, transportation, money, or any other thing of value  
 352 (excluding meals or drinks coincident with a meeting) with  
 353 combined value exceeding \$200 for your presentation of a single  
 354 talk, participation in one meeting, or publication of a work in  
 355 your capacity as an officer or employee of your agency.

356  
 357 List payments or reimbursements by an advisory or governmental  
 358 agency only for meetings or travel outside the Commonwealth.

359  
 360 List a payment even if you donated it to charity.

361  
 362 Do not list information about a payment if you returned it within  
 363 60 days or if you received it from an employer already listed  
 364 under Item 6 or from a source of income listed on Schedule F.

365  
 366 If no payment must be listed, check here / /.

367 -----  
 368 -----

369				Type of Payment (e.g., honoraria, travel reimburse- ment, etc.)
370				
371				
372				
373	Payer	Approximate Value	Circumstances	
374	.....	.....	.....	.....
375	.....	.....	.....	.....
376	.....	.....	.....	.....
377	.....	.....	.....	.....

378  
 379 -----

380 -----  
 381 RETURN TO ITEM 5

382  
 383 SCHEDULE E - GIFTS.

384  
 385 List each business, governmental entity, or individual that,  
 386 during the past 12 months, furnished you with any gift or  
 387 ~~gifts whose total value exceeded \$50 during the past 12 months~~  
 388 ~~provided you entertainment at a single event, which gift or~~  
 389 ~~event exceeded \$50 in value, or which gifts or events in any~~  
 390 ~~combination exceeded \$100 in total value, and for which you~~  
 391 ~~neither paid nor rendered services in exchange. List each~~  
 392 ~~such gift or event. Do not list business entertainment~~  
 393 ~~related to your private profession or occupation. Do not~~  
 394 ~~list gifts from or other things of value given by a relative~~

395 or ~~from~~ a personal friend ~~given~~ for reasons clearly unrelated  
396 to your public position. Do not list campaign contributions  
397 publicly reported as required by Chapter 9 (§ 24.2-900 et seq.)  
398 of Title 24.2 of the Code of Virginia.

399 -----  
400 -----

401				
402	Name of Business,	City or		
403	Organization, or	County	Gift or	
404	Individual	and State	Event	Approximate Value
405	.....	.....	.....	.....
406	.....	.....	.....	.....
407	.....	.....	.....	.....
408	.....	.....	.....	.....

409 -----  
410 -----

411 -----  
412 RETURN TO ITEM 6

413  
414 SCHEDULE F - BUSINESS INTERESTS.

415  
416 Complete this Schedule for each self- or family-owned business  
417 (including rental property, a farm, or consulting work),  
418 partnership, or corporation in which you or a member of your  
419 immediate family, separately or together, own an interest having  
420 a value in excess of \$10,000.

421  
422 If the enterprise is owned or operated under a trade, partnership,  
423 or corporate name, list that name; otherwise, merely explain the  
424 nature of the enterprise. If rental property is owned or  
425 operated under a trade, partnership, or corporate name, list the  
426 name only; otherwise, give the address of each property. Account  
427 for business interests held in trust.

428  
429 -----  
430 -----

431					
432	Name of			Gross Income	
433	Business,				
434	Corporation,				
435	Partnership,				
436	Farm; Address	City or	Nature of Enterprise		
437	of Rental	County	(farming, law, \$50,000	More than	
438	Property	and State	rental property, etc.) or less	\$50,000	
439	.....	.....	.....	.....	.....
440	.....	.....	.....	.....	.....
441	.....	.....	.....	.....	.....
442	.....	.....	.....	.....	.....
443	.....	.....	.....	.....	.....

444  
445 -----  
446 -----

447 RETURN TO ITEM 8

448  
449 SCHEDULE G-1 - PAYMENTS FOR REPRESENTATION BY YOU.

450  
451 List the businesses you represented before any state governmental



agency, excluding any court or judge, for which you received total compensation during the past 12 months in excess of \$1,000, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers filed by you.

Identify each business, the nature of the representation and the amount received by dollar category from each such business. You may state the type, rather than name, of the business if you are required by law not to reveal the name of the business represented by you.

Only STATE officers and employees should complete this Schedule.

Name of Business	Type of Business	Purpose of Representation	Name of Agency	Amount Received
				\$1,001 to \$10,000
				\$10,001 to \$50,000
				\$50,001 to \$100,000
				\$100,001 to \$250,000
				\$250,001 and over

#### SCHEDULE G-2 - PAYMENTS FOR REPRESENTATION BY ASSOCIATES.

List the businesses that have been represented before any state governmental agency, excluding any court or judge, by persons who are your partners, associates or others with whom you have a close financial association and who received total

compensation in excess of \$1,000 for such representation during the past 12 months, excluding representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers filed by your partners, associates or others with whom you have a close financial association.

Identify such businesses by type and also name the state governmental agencies before which such person appeared on behalf of such businesses.

Only STATE officers and employees should complete this Schedule.

Type of Business                      Name of State Governmental Agency

508 .....  
 509 .....  
 510 .....  
 511 .....  
 512 .....  
 513 -----  
 514 -----  
 515 .....  
 516 SCHEDULE G-3 - PAYMENTS FOR ~~REPRESENTATION~~ SERVICES GENERALLY.  
 517 .....  
 518 Indicate below types of businesses that operate in Virginia to  
 519 which services were furnished by you or persons with whom you  
 520 have a close financial association and for which total compen-  
 521 sation in excess of \$1,000 was received during the past 12 months.  
 522 .....  
 523 Identify opposite each category of businesses listed below (i)  
 524 the type of business, (ii) the type of service rendered and  
 525 (iii) the value by dollar category of the compensation received  
 526 for all businesses falling within each category.  
 527 .....  
 528 -----  
 529 -----  
 530 .....  
 531 Check Type Value of Compensation  
 532 if of  
 533 ser- ser-  
 534 vices vice  
 535 were ren- \$1,001 \$10,001 \$50,001 \$100,001 \$250,001  
 536 ren- dered to to to to and  
 537 dered \$10,000 \$50,000 \$100,000 \$250,000 over  
 538 Electric  
 539 utilities .....  
 540 Gas util-  
 541 ities .....  
 542 Telephone  
 543 utilities .....  
 544 Water util-  
 545 ities .....  
 546 Cable tele-  
 547 vision  
 548 companies .....  
 549 Interstate  
 550 .....  
 551 transporta-  
 552 tion com-  
 553 panies .....  
 554 Intrastate  
 555 transporta-  
 556 tion com-  
 557 panies .....  
 558 Oil or gas  
 559 retail com-  
 560 panies .....  
 561 Banks .....  
 562 Savings  
 563 institutions.....  
 564 Loan or fi-

565 nance com-  
 566 panies .....  
 567 Manufactur-  
 568 ing com-  
 569 panies (state  
 570 type of pro-  
 571 duct, e.g.,  
 572 textile, fur-  
 573 niture, etc.).....  
 574 Mining com-  
 575 panies .....  
 576 Life insur-  
 577 ance com-  
 578 panies .....  
 579 Casualty in-  
 580 surance com-  
 581 panies .....  
 582 Other insur-  
 583 ance com-  
 584 panies .....  
 585 Retail com-  
 586 panies .....  
 587 Beer, wine  
 588 or liquor  
 589 companies or  
 590 distributors.....  
 591 Trade asso-  
 592 ciations .....  
 593 Professional  
 594 associations.....  
 595 Associations  
 596 of public  
 597 employees or  
 598 officials .....  
 599 Counties,  
 600 cities or  
 601 towns .....  
 602 Labor organi-  
 603 zations .....  
 604 Other .....  
 605  
 606 -----  
 607 -----

RETURN TO ITEM 9

608  
609  
610 SCHEDULE H-1 - REAL ESTATE - STATE OFFICERS AND EMPLOYEES.

611  
 612 List real estate other than your principal residence in which  
 613 you or a member of your immediate family holds an interest,  
 614 including a partnership interest, option, easement, or land  
 615 contract, valued at \$10,000 or more. You may list each parcel  
 616 of real estate individually if you wish.  
 617  
 618 -----  
 619 -----  
 620

621	List each location	Describe the type of	If the real estate
622	(state, and county	real estate you own	is owned or recorded
623	or city) where you	in each location	in a name other than
624	own real estate.	(business, recreational,	your own, list that
625		apartment, commercial,	name.
626		open land, etc.).	
627	.....	.....	.....
628	.....	.....	.....
629	.....	.....	.....
630	.....	.....	.....
631	.....	.....	.....
632	-----	-----	-----
633	-----	-----	-----

634  
635 SCHEDULE H-2 - REAL ESTATE - LOCAL OFFICERS AND EMPLOYEES.

636  
637 List real estate located in your county, city, or town, and any  
638 contiguous county, city, or town other than your principal  
639 residence in which you or a member of your immediate family holds  
640 an interest, including a partnership interest, option, easement,  
641 or land contract, valued at \$10,000 or more. You may list each  
642 parcel of real estate individually if you wish.

643  
644 -----  
645 -----

646	List each location	Describe the type of	If the real estate
647	(state, and county	real estate you own	is owned or recorded
648	or city) where you	in each location	in a name other than
649	own real estate.	(business, recreational,	your own, list that
650		apartment, commercial,	name.
651		open land, etc.).	
652	.....	.....	.....
653	.....	.....	.....
654	.....	.....	.....
655	.....	.....	.....
656	.....	.....	.....
657	-----	-----	-----
658	-----	-----	-----

659 RETURN TO ITEM 10

660  
661 SCHEDULE I - REAL ESTATE CONTRACTS WITH GOVERNMENTAL AGENCIES.

662  
663 List all contracts, whether pending or completed within the  
664 past ~~twelve~~ 12 months, with a governmental agency for the sale  
665 or exchange of real estate in which you or a member of  
666 your immediate family holds an interest, including a corporate,  
667 partnership or trust interest, option, easement, or land  
668 contract, valued at \$10,000 or more. List all contracts with a  
669 governmental agency for the lease of real estate in which you or  
670 a member of your immediate family holds such an interest valued  
671 at \$1,000 or more. This requirement to disclose an interest in a  
672 lease does not apply to an interest derived through an ownership  
673 interest in a business unless the ownership interest exceeds  
674 three percent of the total equity of the business.

675  
676 State officers and employees report contracts with state agencies.  
677

678 Local officers and employees report contracts with local agencies.

679 -----  
680 -----

681			
682	List your real	List each	State the annual
683	estate interest	governmental agency	income from the
684	and the person	which is a party to	contract, and the
685	or entity,	the contract and in-	amount, if any, of
686	including the	dicade the county	income you or any
687	type of entity,	or city where the real	immediate family
688	which is party	estate is located.	member derives
689	to the contract.		annually from the
690	Describe any		contract.
691	management role		
692	and the percentage		
693	ownership interest		
694	you or your		
695	immediate family		
696	member has in the		
697	real estate or entity.		
698	.....	.....	.....
699	.....	.....	.....
700	.....	.....	.....
701	.....	.....	.....
702	.....	.....	.....
703	-----	-----	-----
704	-----	-----	-----

705 § 2.1-639.41. Disclosure form.  
706 A. The disclosure form to be used for filings required by § 2.1-639.40 A and B shall be substantially  
707 as follows:  
708

709 STATEMENT OF ECONOMIC INTERESTS.

710  
711 Name .....  
712 Office or position held or sought .....  
713 Home address .....  
714 Names of members of immediate family .....

715 DEFINITIONS AND EXPLANATORY MATERIAL.

716  
717 "Immediate family" means (i) a spouse and (ii) any other person  
718 residing in the same household as the legislator, who is a dependent  
719 of the legislator or of whom the legislator is a dependent.  
720

721  
722 "Dependent" means any person, whether or not related by blood or  
723 marriage, who receives from the legislator, or provides to the  
724 legislator, more than one-half of his financial support.  
725

726  
727 "Business" means a corporation, partnership, sole proprietorship,  
728 firm, enterprise, franchise, association, trust or foundation, or  
729 any other individual or entity carrying on a business or profession,  
730 whether or not for profit.  
731

732  
733 "Close financial association" does not mean an association based

734 on the receipt of retirement benefits or deferred compensation from  
735 a business by which the legislator is no longer employed. "Close  
736 financial association" does not include an association based on the  
737 receipt of compensation for work performed by the legislator as an  
738 independent contractor of a business that represents an entity  
739 before any state governmental agency when the legislator has had no  
740 communications with the state governmental agency.

741  
742 "Gift" means any gratuity, favor, discount, entertainment,  
743 hospitality, loan, forbearance, or other item having monetary value.  
744 It includes services as well as gifts of transportation, local  
745 travel, lodgings and meals, whether provided in-kind, by purchase  
746 of a ticket, payment in advance or reimbursement after the expense  
747 has been incurred. "Gift" shall not include any offer of a ticket  
748 or other admission or pass unless the ticket, admission, or pass is  
749 used. "Gift" shall not include honorary degrees and presents from  
750 relatives. "Relative" means the donee's spouse, child, uncle, aunt,  
751 niece, or nephew; a person to whom the donee is engaged to be  
752 married; the donee's or his spouse's parent, grandparent,  
753 grandchild, brother, or sister; or the donee's brother's or  
754 sister's spouse.

755  
756 TRUST. If you or your immediate family, separately or together, are  
757 the only beneficiaries of a trust, treat the trust's assets as if  
758 you own them directly. If you or your immediate family has a  
759 proportional interest in a trust, treat that proportion of the  
760 trust's assets as if you own them directly. For example, if you  
761 and your immediate family have a one-third interest in a trust,  
762 complete your Statement as if you own one-third of each of the  
763 trust's assets. If you or a member of your immediate family created  
764 a trust and can revoke it without the beneficiaries' consent, treat  
765 its assets as if you own them directly.

766  
767 REPORT TO THE BEST OF INFORMATION AND BELIEF. Information required  
768 on this Statement must be provided on the basis of the best  
769 knowledge, information and belief of the individual filing the  
770 Statement as of the date of this report unless otherwise stated.

771  
772 COMPLETE ITEMS 1 THROUGH 10. REFER TO SCHEDULES ONLY IF DIRECTED.

773  
774 You may attach additional explanatory information.

- 775  
776 1. Offices and Directorships.  
777 Are you or a member of your immediate family a paid officer or  
778 paid director of a business?  
779 EITHER check NO / / OR check YES / / and complete Schedule A.  
780 2. Personal Liabilities.  
781 Do you or a member of your immediate family owe more than  
782 \$10,000 to any one creditor including contingent liabilities?  
783 (Exclude debts to any government and loans secured by recorded  
784 liens on property at least equal in value to the loan.)  
785 EITHER check NO / / OR check YES / / and complete Schedule B.  
786 3. Securities.  
787 Do you or a member of your immediate family, directly or  
788 indirectly, separately or together, own securities valued in  
789 excess of \$10,000 invested in one business? Account for mutual  
790 funds, limited partnerships and trusts.

- 791 EITHER check NO / / OR check YES / / and complete Schedule C.  
 792 4. Payments for Talks, Meetings, and Publications.  
 793 During the past 12 months did you receive lodging,  
 794 transportation, money, or anything else of value with a  
 795 combined value exceeding \$200 for a single talk, meeting, or  
 796 published work in your capacity as a legislator?  
 797 EITHER check NO / / OR check YES / / and complete Schedule D.  
 798 5. Gifts.  
 799 During the past 12 months did a business, government, or  
 800 individual other than a relative or personal friend furnish  
 801 you with any gift or ~~gifts the total value of which exceeded~~  
 802 ~~\$50~~ provide you entertainment at a single event, which  
 803  
 804 *gift or event exceeded \$50 in value , or which gifts or events*  
 805 *in any combination exceeded \$100 in total value, and for which*  
 806 *you neither paid nor rendered services in exchange? Account for*  
 807 *all business entertainment (except if related to your private*  
 808 *profession or occupation) even if unrelated to your official*  
 809 *duties.*  
 810 EITHER check NO / / OR check YES / / and complete Schedule E.  
 811 6. Salary and Wages.  
 812 List each employer that pays you or a member of your immediate  
 813 family salary or wages in excess of \$10,000 annually. (Exclude  
 814 state or local government or advisory agencies.)  
 815 If no reportable salary or wages, check here / / .  
 816 .....  
 817 .....  
 818 .....  
 819 7. Business Interests.  
 820 Do you or a member of your immediate family, separately or  
 821 together, operate your own business, or own or control an  
 822 interest in excess of \$10,000 in a business?  
 823 EITHER check NO / / OR check YES / / and complete Schedule F.  
 824 8. Payments for Representation and Other Services.  
 825 8A. Did you represent any businesses before any state governmental  
 826 agencies, excluding courts or judges, for which you received  
 827 total compensation during the past 12 months in excess of  
 828 \$1,000, excluding compensation for other services to such  
 829 businesses and representation consisting solely of the filing  
 830 of mandatory papers and subsequent representation regarding  
 831 the mandatory papers?  
 832 EITHER check NO / / OR check YES / / and complete Schedule G-1.  
 833 8B. Subject to the same exceptions as in 8A, did persons with whom  
 834 you have a close financial association (partners, associates or  
 835 others) represent any businesses before any state governmental  
 836 agency for which total compensation was received during the  
 837 past 12 months in excess of \$1,000?  
 838 EITHER check NO / / OR check YES / / and complete Schedule G-2.  
 839 8C. Did you or persons with whom you have a close financial  
 840 association furnish services to businesses operating in  
 841 Virginia for which total compensation in excess of \$1,000 was  
 842 received during the past 12 months?  
 843 EITHER check NO / / OR check YES / / and complete Schedule G-3.  
 844 9. Real Estate.  
 845 Do you or a member of your immediate family hold an interest,  
 846 including a partnership interest, valued at \$10,000 or more in

847 real property (other than your principal residence) for which  
848 you have not already listed the full address on Schedule F?  
849 Account for real estate held in trust.  
850 EITHER check NO / / OR check YES / / and complete Schedule H.  
851 10. Real Estate Contracts with State Governmental Agencies.  
852 Do you or a member of your immediate family hold an interest  
853 valued at more than \$10,000 in real estate, including a  
854 corporate, partnership, or trust interest, option, easement,  
855 or land contract, which real estate is the subject of a  
856 contract, whether pending or completed within the past ~~twelve~~ 12  
857 months, with a state governmental agency? If the real estate  
858 contract provides for the leasing of the property to a state  
859 governmental agency, do you or a member of your immediate  
860 family hold an interest in the real estate, including a  
861 corporate, partnership, or trust interest, option, easement,  
862 or land contract valued at more than \$1,000? Account for all  
863 such contracts whether or not your interest is reported in  
864 Schedules F or H. This requirement to disclose an interest in  
865 a lease does not apply to an interest derived through an  
866 ownership interest in a business unless the ownership  
867 interest exceeds three percent of the total equity of the  
868 business.  
869 EITHER check NO / / OR check YES / / and complete Schedule I.

870  
871 Statements of Economic Interests are open for public inspection.  
872

873 AFFIRMATION.  
874

875 In accordance with the rules of the house in which I serve, if I  
876 receive a request that this disclosure statement be corrected,  
877 augmented, or revised in any respect, I hereby pledge that I shall  
878 respond promptly to the request. I understand that if a  
879 determination is made that the statement is insufficient, I will  
880 satisfy such request or be subjected to disciplinary action of  
881 my house.

882  
883 I swear or affirm that the foregoing information is full, true and  
884 correct to the best of my knowledge.

885  
886 Signature .....  
887 Commonwealth of Virginia  
888 ..... of ..... to wit:  
889 The foregoing disclosure form was acknowledged before me  
890 This ..... day of ....., 19. . ., by .....,  
891 Notary Public  
892 My commission expires .....  
893 (Return only if needed to complete Statement.)

894  
895 SCHEDULES  
896 TO  
897 STATEMENT OF ECONOMIC INTERESTS.  
898  
899 NAME .....

900  
901 SCHEDULE A - OFFICES AND DIRECTORSHIPS.  
902

903 Identify each business of which you or a member of



your immediate family is a paid officer or paid director.

Name of Business	Address of Business	Position Held
.....	.....	.....
.....	.....	.....
.....	.....	.....
.....	.....	.....

RETURN TO ITEM 2

#### SCHEDULE B - PERSONAL LIABILITIES.

Report personal liability by checking each category. Report only debts in excess of \$10,000. Do not report debts to any government. Do not report loans secured by recorded liens on property at least equal in value to the loan.

Report contingent liabilities below and indicate which debts are contingent.

#### 1. My personal debts are as follows:

Check appropriate categories	Check one \$10,001 to \$50,000	More than \$50,000
Banks	.....	.....
Savings institutions	.....	.....
Other loan or finance companies	.....	.....
Insurance companies	.....	.....
Stock, commodity or other brokerage companies	.....	.....
Other businesses:		
(State principal business activity for each creditor.)	.....	.....
.....	.....	.....
.....	.....	.....
Individual creditors:		
(State principal business or occupation of each creditor.)	.....	.....
.....	.....	.....
.....	.....	.....

#### 2. The personal debts of the members of my immediate family are as follows:

982 -----

983 -----

984 RETURN TO ITEM 3

985

**986** SCHEDULE C - SECURITIES.

987

988	"Securities" INCLUDES stocks, bonds,	"Securities" EXCLUDES
989	mutual funds, money market funds,	certificates of deposit,
990	limited partnerships, and commodity	annuity contracts, and
991	futures contracts.	insurance policies.

992

993 Identify each business or Virginia governmental entity in which you  
994 or a member of your immediate family, directly or indirectly,  
995 separately or together, own securities valued in excess of \$10,000.

996

**997** Do not list U.S. Bonds or other government securities not issued

998 by the Commonwealth of Virginia or its authorities, agencies, or

999 local governments. Do not list organizations that do not do

**1000** business in this Commonwealth, but most major businesses conduct

**1001** business in Virginia. Account for securities held in trust.

1002

**1003** If no reportable securities, check here / /.

**1004** -----

**1005** -----

1006

1007	Type of Security	Check one
------	------------------	-----------

1008 (stocks, bonds, mutual \$10,001 More

1009 Type of or money market funds, to than

1010	Name of Issuer Entity etc.)	\$50,000	\$50,000
------	-----------------------------	----------	----------

**1011** .....

1012

1013

1014

1015 -----

1016 -----

RETURN TO ITEM 4

SCHEDULE D - PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.

List each source from which you received during the past 12 months lodging, transportation, money, or any other thing of value (excluding meals or drinks coincident with a meeting) with combined value exceeding \$200 for your presentation of a single talk, participation in one meeting, or publication of a work in your capacity as a legislator.

List payments or reimbursements by the Commonwealth only for meetings or travel outside the Commonwealth.

List a payment even if you donated it to charity.

Do not list information about a payment if you returned it within 60 days or if you received it from an employer already listed under Item 6 or from a source of income listed on Schedule F.

If no payment must be listed, check here / /.

-----

-----

Payer	Approximate Value	Circumstances	Type of <del>payment</del> Payment (e.g., <del>Honoraria</del>  honoraria, travel reim- bursement, etc.)
.....	.....	.....	.....
.....	.....	.....	.....
.....	.....	.....	.....
.....	.....	.....	.....

-----

RETURN TO ITEM 5

SCHEDULE E - GIFTS.

List each business, governmental entity, or individual that, during the past 12 months, furnished you with any gift or ~~gifts whose total value exceeded \$50 during the past 12 months~~ provided you entertainment at a single event, which gift or event exceeded \$50 in value, or which gifts or events in any combination exceeded \$100 in total value, and for which you neither paid nor rendered services in exchange. List each such gift or event. Do not list business entertainment related to your private profession or occupation. Do not list gifts or other things of value given by a relative or personal

friend for reasons clearly unrelated to your public position.

Do not list campaign contributions publicly reported as

HOUSE  
SUBSTITUTE

SB22H1

1073 required by Chapter 9 (§ 24.2-900 et seq.) of Title 24.2 of  
 1074 the Code of Virginia.

1075 -----  
 1076 -----  
 1077 -----  
 1078 -----  
 1079 Name of Business, City or  
 1080 Organization, or County Gift or  
 1081 Individual and State Event Approximate Value  
 1082 .....  
 1083 .....  
 1084 .....  
 1085 .....  
 1086 -----  
 1087 -----  
 1088 -----

1089 RETURN TO ITEM 6

1090  
 1091 SCHEDULE F - BUSINESS INTERESTS.

1092  
 1093 Complete this Schedule for each self- or family-owned business  
 1094 (including rental property, a farm, or consulting work),  
 1095 partnership, or corporation in which you or a member of your  
 1096 immediate family, separately or together, own an interest having  
 1097 a value in excess of \$10,000.

1098  
 1099 If the enterprise is owned or operated under a trade,  
 1100 partnership, or corporate name, list that name; otherwise, merely  
 1101 explain the nature of the enterprise. If rental property is  
 1102 owned or operated under a trade, partnership, or corporate name,  
 1103 list the name only; otherwise, give the address of each property.  
 1104 Account for business interests held in trust.

1105 -----  
 1106 -----  
 1107 -----  
 1108 -----  
 1109 Name of Business, Gross Income  
 1110 Corporation,  
 1111 Partnership, Nature of Enterprise \$50,000 More  
 1112 Farm; Address City or (farming, law, rental or than  
 1113 of Rental County and property, etc.) less \$50,000  
 1114 Property State  
 1115 .....  
 1116 .....  
 1117 .....  
 1118 .....  
 1119 -----  
 1120 -----

1121 RETURN TO ITEM 8

1122  
 1123 SCHEDULE G-1 - PAYMENTS FOR REPRESENTATION BY YOU.

1124  
 1125 List the businesses you represented before any state governmental  
 1126 agency, excluding any court or judge, for which you received  
 1127 total compensation during the past 12 months in excess of \$1,000,  
 1128 excluding compensation for other services to such businesses and  
 1129 representation consisting solely of the filing of mandatory

papers and subsequent representation regarding the mandatory papers filed by you.

Identify each business, the nature of the representation and the amount received by dollar category from each such business. You may state the type, rather than name, of the business if you are required by law not to reveal the name of the business represented by you.

Name of Business	Type of Business	Purpose of Representation	Name of Agency	\$1,001 to \$10,000	\$10,001 to \$50,000	\$50,001 to \$100,000	\$100,001 to \$250,000	\$250,001 and over
.....	.....	.....	.....	.....	.....	.....	.....	.....
.....	.....	.....	.....	.....	.....	.....	.....	.....
.....	.....	.....	.....	.....	.....	.....	.....	.....
.....	.....	.....	.....	.....	.....	.....	.....	.....

#### SCHEDULE G-2 - PAYMENTS FOR REPRESENTATION BY ASSOCIATES.

List the businesses that have been represented before any state governmental agency, excluding any court or judge, by persons who are your partners, associates or others with whom you have a close financial association and who received total compensation in excess of \$1,000 for such representation during the past 12 months, excluding representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers filed by your partners, associates or others with whom you have a close financial association.

Identify such businesses by type and also name the state governmental agencies before which such person appeared on behalf of such businesses.

Type of <del>business</del>	Name of <del>state government agency</del>
<i>Business</i>	<i>State Governmental Agency</i>
.....	.....
.....	.....
.....	.....
.....	.....

#### SCHEDULE G-3 - PAYMENTS FOR REPRESENTATION AND OTHER SERVICES GENERALLY.

1186  
 1187 Indicate below types of businesses that operate in Virginia to  
 1188 which services were furnished by you or persons with whom you  
 1189 have a close financial association and for which total  
 1190 compensation in excess of \$1,000 was received during the past 12  
 1191 months.  
 1192

1193 Identify opposite each category of businesses listed below (i)  
 1194 the type of business, (ii) the type of service rendered and (iii)  
 1195 the value by dollar category of the compensation received for all  
 1196 businesses falling within each category.  
 1197

1198 -----  
 1199 -----  
 1200  
 1201 Check Type Value of Compensation  
 1202 if of  
 1203 ser- ser-  
 1204 vices vice  
 1205 were ren- \$1,001 \$10,001 \$50,001 \$100,001 \$250,001  
 1206 ren- dered to to to to and  
 1207 dered \$10,000 \$50,000 \$100,000 \$250,000 over  
 1208 Electric  
 1209 utilities .....  
 1210 Gas util-  
 1211 ities .....  
 1212 Telephone  
 1213 utilities .....  
 1214 Water util-  
 1215 ities .....  
 1216 Cable tele-  
 1217 vision  
 1218 companies .....  
 1219 Interstate  
 1220  
 1221 transporta-  
 1222 tion com-  
 1223 panies .....  
 1224 Intrastate  
 1225 transporta-  
 1226 tion com-  
 1227 panies .....  
 1228 Oil or gas  
 1229 retail com-  
 1230 panies .....  
 1231 Banks .....  
 1232 Savings  
 1233 insti-  
 1234 tutions .....  
 1235 Loan or fi-  
 1236 nance com-  
 1237 panies .....  
 1238 Manufac-  
 1239 turing com-  
 1240 panies  
 1241 (state type  
 1242 of product,

1243 e.g., tex-  
 1244 tile, furni-  
 1245 ture, etc.) .....  
 1246 Mining com-  
 1247 panies .....  
 1248 Life insur-  
 1249 ance com-  
 1250 panies .....  
 1251 Casualty in-  
 1252 surance com-  
 1253 panies .....  
 1254 Other insur-  
 1255 ance com-  
 1256 panies .....  
 1257 Retail com-  
 1258 panies .....  
 1259 Beer, wine  
 1260 or liquor  
 1261 companies  
 1262 or distrib-  
 1263 tors .....  
 1264 Trade asso-  
 1265 ciations .....  
 1266 Profes-  
 1267 sional asso-  
 1268 ciations .....  
 1269 Associa-  
 1270 tions of  
 1271 public em-  
 1272 ployees or  
 1273 officials .....  
 1274 Counties,  
 1275 cities or  
 1276 towns .....  
 1277 Labor or-  
 1278 ganizations.....  
 1279 Other .....  
 1280  
 1281  
 1282 -----  
 1283 -----

RETURN TO ITEM 9

1285  
 1286 SCHEDULE H - REAL ESTATE.

1287  
 1288 List real estate other than your principal residence in which  
 1289 you or a member of your immediate family ~~hold~~ holds an interest,  
 1290 including a partnership interest, option, easement, or land  
 1291 contract, valued at \$10,000 or more. You may list each parcel  
 1292 of real estate individually if you wish.  
 1293  
 1294 -----  
 1295 -----

1296			
1297	List each location	Describe the type of	If the real estate
1298	(state, and county	real estate you own	is owned or recorded

1299 or city) where you in each location in a name other than  
 1300 own real estate. (business, recreational, your own, list that  
 1301 apartment, commercial, name.  
 1302 open land, etc.).  
 1303 .....  
 1304 .....  
 1305 .....  
 1306 .....  
 1307 .....  
 1308 .....  
 1309 -----  
 1310 -----

1311 RETURN TO ITEM 10

1312

1313 SCHEDULE I - REAL ESTATE CONTRACTS WITH STATE GOVERNMENTAL AGENCIES.

1314  
 1315 List all contracts, whether pending or completed within the past  
 1316 ~~twelve~~ 12 months, with a state governmental agency for the sale or  
 1317 exchange of real estate in which you or a member of your  
 1318 immediate family holds an interest, including a corporate,  
 1319 partnership or trust interest, option, easement, or land  
 1320 contract, valued at \$10,000 or more. List all contracts with a  
 1321 state governmental agency for the lease of real estate in which  
 1322 you or a member of your immediate family holds such an interest  
 1323 valued at \$1,000 or more. This requirement to disclose an  
 1324 interest in a lease does not apply to an interest derived  
 1325 through an ownership interest in a business unless the ownership  
 1326 interest exceeds three percent of the total equity of the  
 1327 business.  
 1328

1329 -----  
 1330 -----  
 1331  
 1332 List your real List each State the annual  
 1333 estate interest and governmental agency income from the  
 1334 the person or entity, which is a party to contract, and the  
 1335 including the type of the contract and amount, if any,  
 1336 entity, which is indicate the county of income you or  
 1337 party to the contract. or city where the any immediate  
 1338 Describe any real estate is family member  
 1339 management role and located. derives annually  
 1340 the percentage from the contract.  
 1341 ownership interest  
 1342 you or your immediate  
 1343 family member has in  
 1344 the real estate  
 1345 or entity.

1346 .....  
 1347 .....  
 1348 .....  
 1349 .....  
 1350 .....  
 1351 -----  
 1352 -----

1353  
 1354 B. Any legislator who makes a knowing misstatement of a material fact on the Statement of  
 1355 Economic Interests shall be subject to disciplinary action for such violations by the house in which the



legislator sits.

C. In accordance with the rules of each house, the Statement of Economic Interests of all members of each house shall be reviewed. If a legislator's Statement is found to be inadequate as filed, the legislator shall be notified in writing, *and* directed to file an amended Statement correcting the indicated deficiencies, and a time *shall be* set within which such amendment shall be filed. If the Statement of Economic Interests, in either its original or amended form, is found to be adequate as filed, the legislator's filing shall be deemed in full compliance with this section as to the information disclosed thereon.

D. Ten percent of the membership of a house, on the basis of newly discovered facts, may in writing request the house in which those members sit, in accordance with the rules of that house, to review the Statement of Economic Interests of another member of that house in order to determine the adequacy of his filing. In accordance with the rules of each house, each Statement of Economic Interests shall be promptly reviewed, the adequacy of the filing determined, and notice given in writing to the legislator whose Statement is in issue. Should it be determined that the Statement requires correction, augmentation or revision, the legislator involved shall be directed to make the changes required within such time as shall be set under the rules of each house.

If a legislator, after having been notified in writing in accordance with the rules of the house in which he sits that his Statement is inadequate as filed, fails to amend his Statement so as to come into compliance within the time limit set, he shall be subject to disciplinary action by the house in which he sits. No legislator shall vote on any question relating to his own Statement.

§ 2.1-786. Lobbyist reporting.

A. Each lobbyist shall file a separate annual report of expenditures, including gifts, for each principal for whom he lobbies by July 1 for the preceding twelve-month period ~~ending May 1~~ *complete through April 30*.

B. Each principal who expends more than \$500 to employ or compensate multiple lobbyists shall be responsible for filing a consolidated lobbyist report pursuant to this section in any case in which the lobbyists are each exempt under the provisions of subdivision 7 or 8 of § 2.1-781 from the reporting requirements of this section.

C. The report shall be on a form provided by the Secretary of the Commonwealth which shall be substantially as follows and shall be accompanied by instructions provided by the Secretary.

#### LOBBYIST'S DISCLOSURE STATEMENT

##### PART I:

- (1) PRINCIPAL: .....  
 In Part I, item 2a, provide the name of the individual authorizing your employment as a lobbyist. The lobbyist filing this statement MAY NOT list his name in item 2a. THE INDIVIDUAL LISTED IN PART I, ITEM 2A, MUST SIGN THE PRINCIPAL'S STATEMENT.
- (2a) Name: .....
- (2b) Permanent Business Address: .....
- (2c) Business Telephone: .....
- (3) Provide a list of executive and legislative actions (with as much specificity as possible) for which you lobbied and a description of activities conducted.  
 .....  
 .....  
 .....
- (4) INCORPORATED FILINGS: If you are filing an incorporated disclosure statement, please complete the following:  
 Individual filing financial information: .....  
 Individuals to be included in the filing: .....  
 .....
- (5) Please indicate which schedules will be attached to your disclosure statement:  
 [ ] Schedule A: Entertainment Expenses  
 [ ] Schedule B: Gifts  
 [ ] Schedule C: Other Expenses

1415 (6) EXPENDITURE TOTALS:  
1416 a) ENTERTAINMENT.....\$.....  
1417 b) GIFTS.....\$.....  
1418 c) OFFICE EXPENSES.....\$.....  
1419 d) COMMUNICATIONS.....\$.....  
1420 e) PERSONAL LIVING AND TRAVEL EXPENSES.....\$.....  
1421 f) COMPENSATION OF LOBBYISTS.....\$.....  
1422 g) HONORARIA.....\$.....  
1423 h) REGISTRATION COSTS.....\$.....  
1424 i) OTHER.....\$.....  
1425 TOTAL.....\$.....  
1426

## 1427 PART II:

1428 (1a) NAME OF LOBBYIST: .....  
1429 (1b) Permanent Business Address: .....  
1430 (1c) Business Telephone: .....  
1431 (2) As a lobbyist, you are (check one)  
1432 [ ] EMPLOYED (on the payroll of the principal)  
1433 [ ] RETAINED (not on the payroll of the principal,  
1434 however compensated)  
1435 [ ] NOT COMPENSATED (not compensated; expenses may be  
1436 reimbursed)  
1437 (3) List all lobbyists other than yourself who registered to  
1438 represent your principal.  
1439 .....  
1440 .....  
1441 .....  
1442 (4) If you selected "EMPLOYED" as your answer to Part II, item 2,  
1443 provide your job title.  
1444 .....  
1445 (5) If you selected "NOT COMPENSATED" as your answer to Part II,  
1446 item 2, please indicate why you received no compensation.  
1447 .....  
1448 .....  
1449 .....

1450 PLEASE NOTE: Some lobbyists are not individually compensated for  
1451 lobbying activities. This may occur when several members of a firm  
1452 represent a single principal. The principal, in turn, makes a  
1453 single payment to the firm. If this describes your situation, do  
1454 not answer Part II, items 6a and 6b. Instead, complete Part III,  
1455 items 1 and 2.

1456 (6a) What was the DOLLAR AMOUNT OF YOUR COMPENSATION as a lobbyist?  
1457 (If you have job responsibilities other than *those* involving  
1458 lobbying, you may have to prorate to determine the ~~those~~ part  
1459 of your salary attributable to your lobbying activities.)  
1460 Transfer your answer to this item to Part I, item 6f.  
1461 (6b) Explain how you arrived at your answer to Part II, item 6a.  
1462 .....  
1463 .....  
1464 .....

## 1466 PART III:

1467 PLEASE NOTE: If you answered Part II, items 6a and 6b, you WILL NOT  
1468 complete this section.

1469 (1) List all members of your firm, organization, association,  
1470 corporation, or other entity who furnished lobbying services to  
1471 your principal.

1472 .....  
 1473 .....  
 1474 .....  
 1475 (2) Indicate the total amount paid to your firm, organization,  
 1476 association, corporation or other entity for services rendered.  
 1477 Transfer your answer to this item to Part I, item 6f.  
 1478

1479 SCHEDULE A  
 1480 ENTERTAINMENT EXPENSES  
 1481

1482 PLEASE NOTE: Any single entertainment event included in the expense  
 1483 totals of the principal, with a value greater than \$50, should be  
 1484 itemized below. Transfer any totals from this schedule to Part I,  
 1485 item 6a. (Please duplicate as needed.)

1486 Date and Location of Event:  
 1487 .....  
 1488 .....

1489 Description of Event:  
 1490 .....  
 1491 .....  
 1492 .....

1493 Number of Legislative and Executive Officials Invited:  
 1494 .....  
 1495 .....

1496 Number of Legislative and Executive Officials Attending:  
 1497 .....  
 1498 .....

1499 Names of Legislative and Executive Officials Attending: ~~(You are not~~  
 1500 ~~required to list names for any event attended by more than 10~~  
 1501 ~~legislative and executive officials~~ List names only if the average  
 1502 value for each person attending the event was greater the \$50.)  
 1503 .....  
 1504 .....

1505 .....  
 1506 Food.....\$.....  
 1507 Beverages.....\$.....

1508 Transportation of Legislative and Executive  
 1509

1510 Officials.....\$.....  
 1511 Lodging of Legislative and Executive Officials.....\$.....  
 1512 Performers, Speakers, Etc.....\$.....

1513 Displays.....\$.....  
 1514 Rentals.....\$.....  
 1515 Service Personnel.....\$.....

1516 Miscellaneous.....\$.....  
 1517 TOTAL.....\$.....  
 1518

1519 SCHEDULE B  
 1520 GIFTS  
 1521

1522 PLEASE NOTE: Any single gift reported in the expense totals of the  
 1523 principal, with a value greater than \$25, should be itemized below.  
 1524 (Report meals, entertainment and travel under Schedule A.) Transfer  
 1525 any totals from this schedule to Part I, item 6b. (Please duplicate  
 1526 as needed.)

1527 Date Description Name of each legislative or Cost of

1528	of gift:	of gift:	executive official who is a	individual
1529			recipient of a gift:	gift:
1530	.....	.....	.....	\$.....
1531	.....	.....	.....	\$.....
1532	.....	.....	.....	\$.....
1533	.....	.....	.....	\$.....
1534	.....	.....	.....	\$.....
1535				
1536	TOTAL COST TO PRINCIPAL.....			\$.....

## SCHEDULE C

## OTHER EXPENSES

1540 PLEASE NOTE: This section is provided for any lobbying-related  
 1541 expenses not covered in Part I, items 6a - 6h. An example of an  
 1542 expenditure to be listed on Schedule C would be the rental of a bill  
 1543 box during the General Assembly session. Transfer the total from  
 1544 this schedule to Part I, item 6i. (Please duplicate as needed.)

1546	DATE OF EXPENSE	DESCRIPTION OF EXPENSE	AMOUNT
1547	.....	.....	\$.....
1548	.....	.....	\$.....
1549	.....	.....	\$.....
1550	.....	.....	\$.....
1551	.....	.....	\$.....
1552	.....	.....	\$.....
1553	.....	.....	\$.....
1554	.....	.....	\$.....
1555	.....	.....	\$.....
1556	TOTAL "OTHER" EXPENSES.....		\$.....

## PART IV: STATEMENTS

1559 Both the lobbyist and principal officer must sign the disclosure  
 1560 statement, attesting to its completeness and accuracy. The following  
 1561 items are mandatory and if they are not properly completed, the  
 1562 entire filing will be rejected and returned to the lobbyist:

- 1563 (1) All signatures on the statement must be ORIGINAL. No  
 1564 facsimiles, stamps, or other reproductions of the individual's  
 1565 signature will be accepted.  
 1566 (2) An individual MAY NOT sign the disclosure statement as lobbyist  
 1567 and principal officer.

## STATEMENT OF LOBBYIST

1571 I, the undersigned registered lobbyist, do state that the information  
 1572 furnished on this disclosure statement and on all accompanying  
 1573 attachments required to be made thereto is, to the best of my  
 1574 knowledge and belief, complete and accurate.

1575	.....
1576	Signature of lobbyist
1577	.....
1578	Date

## STATEMENT OF PRINCIPAL

1582 I, the undersigned principal (or an authorized official thereof), do  
 1583 state that the information furnished on this disclosure statement and  
 1584 on all accompanying attachments required to be made thereto is, to

1585 the best of my knowledge and belief, complete and accurate.

1586 .....

1587 Signature of principal

1588 .....

1589 Date

1590  
1591 D. A person who signs the disclosure statement knowing it to contain a material misstatement of fact  
1592 shall be guilty of a Class 5 felony.

1593 E. Each lobbyist shall send to each legislative and executive official who is required to be identified  
1594 by name on Schedule A or B of the Lobbyist's Disclosure Form a copy of Schedule A or B or a  
1595 summary of the information pertaining to that official. Copies or summaries shall be provided to the  
1596 official ~~twice a year: by July 1 for the preceding five-month period ending May 1; and by January 5 for~~  
1597 ~~the preceding seven-month~~ *twelve-month* period ~~ending~~ *complete through* December 31.

1598 **2. That the information required to be provided by subsection E of § 2.1-786 to certain officials by**  
1599 **January 5, 1999, shall cover the preceding twelve-month period complete through December 31,**  
1600 **1998; and that the provisions of this act shall be applicable to statements of economic interests and**  
1601 **lobbyists' disclosure statements filed on and after the effective date of this act and to the entire**  
1602 **report period covered by any such statement.**

1603 **3. That an emergency exists and this act is in force from its passage.**