

970997757

SENATE BILL NO. 813

AMENDMENT IN THE NATURE OF A SUBSTITUTE

(Proposed by the Senate Committee on General Laws

on January 29, 1997)

(Patron Prior to Substitute—Senator Miller, K.G.)

A BILL to amend and reenact §§ 2.1-639.15 and 2.1-639.41 of the Code of Virginia, relating to the conflict of interests laws; disclosure forms.

Be it enacted by the General Assembly of Virginia:

1. That §§ 2.1-639.15 and 2.1-639.41 of the Code of Virginia are amended and reenacted as follows:

§ 2.1-639.15. Disclosure form.

The disclosure form to be used for filings required by § 2.1-639.13 A and D, and § 2.1-639.14 A and D shall be substantially as follows:

STATEMENT OF ECONOMIC INTERESTS.

Name
Office or position held or sought
Home address
Names of members of immediate family

DEFINITIONS AND EXPLANATORY MATERIAL.

"Immediate family" means (i) a spouse and (ii) any other person residing in the same household as the officer or employee, who is a dependent of the officer or employee or of whom the officer or employee is a dependent.

"Dependent" means any person, whether or not related by blood or marriage, who receives from the officer or employee, or provides to the officer or employee, more than one-half of his financial support.

"Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise, association, trust or foundation, or any other individual or entity carrying on a business or profession, whether or not for profit.

"Close financial association" does not mean an association based on the receipt of retirement benefits or deferred compensation from a business by which the person filing this statement is no longer employed. "Close financial association" does not include an association based on the receipt of compensation for work performed by the person filing as an independent contractor of a business that represents an entity before any state governmental agency when the person filing has had no communications with the state governmental agency.

"Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item having monetary value. It includes services as well as gifts of transportation, local travel, lodgings and meals, whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the expense has been incurred. "Gift" shall not include any offer of a ticket

56 or other admission or pass unless the ticket, admission, or pass is
57 used. "Gift" shall not include honorary degrees and presents from
58 relatives. "Relative" means the donee's spouse, child, uncle, aunt,
59 niece, or nephew; a person to whom the donee is
60 engaged to be married; the donee's or his spouse's parent,
61 grandparent, grandchild, brother, or sister; or the donee's
62 brother's or sister's spouse.

63
64

65 TRUST. If you or your immediate family, separately or together,
66 are the only beneficiaries of a trust, treat the trust's assets
67 as if you own them directly. If you or your immediate family has
68 a proportional interest in a trust, treat that proportion of the
69 trust's assets as if you own them directly. For example, if you
70 and your immediate family have a one-third interest in a trust,
71 complete your Statement as if you own one-third of each of the
72 trust's assets. If you or a member of your immediate family
73 created a trust and can revoke it without the beneficiaries'
74 consent, treat its assets as if you own them directly.

75

76 REPORT TO THE BEST OF INFORMATION AND BELIEF. Information
77 required on this Statement must be provided on the basis of the
78 best knowledge, information and belief of the individual filing
79 the Statement as of the date of this report unless otherwise
80 stated.

81

82 COMPLETE ITEMS 1 THROUGH 10. REFER TO SCHEDULES ONLY IF DIRECTED.

83

84 You may attach additional explanatory information.

85

86 1. Offices and Directorships.

87 Are you or a member of your immediate family a paid officer
88 or paid director of a business?

89 EITHER check NO / / OR check YES / / and complete
90 Schedule A.

91 2. Personal Liabilities.

92 Do you or a member of your immediate family owe more than
93 \$10,000 to any one creditor including contingent liabilities?
94 (Exclude debts to any government and loans secured by recorded
95 liens on property at least equal in value to the loan.)

96 EITHER check NO / / OR check YES / / and complete
97 Schedule B.

98 3. Securities.

99 Do you or a member of your immediate family, directly or
100 indirectly, separately or together, own securities valued
101 in excess of \$10,000 invested in one business? Account for
102 mutual funds, limited partnerships and trusts.

103 EITHER check NO / / OR check YES / / and complete
104 Schedule C.

105 4. Payments for Talks, Meetings, and Publications.

106 During the past 12 months did you receive lodging,
107 transportation, money, or anything else of value with a
108 combined value exceeding \$200 for a single talk, meeting,
109 or published work in your capacity as an officer or employee of
110 your agency?

111 EITHER check NO / / OR check YES / / and complete
112 Schedule D.

5. Gifts.

During the past 12 months did a business, government, or individual other than a relative or personal friend furnish you with any gift or gifts the total value of which exceeded ~~\$200~~ \$100 and for which you neither paid nor rendered services in exchange? Account for all business entertainment

(except if related to your private profession or occupation)

even if unrelated to your official duties.

EITHER check NO / / OR check YES / / and complete

Schedule E.

6. Salary and Wages.

List each employer that pays you or a member of your immediate family salary or wages in excess of \$10,000 annually. (Exclude state or local government or advisory agencies.)

If no reportable salary or wages, check here / /.

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7. Business Interests.

Do you or a member of your immediate family separately or together, operate your own business, or own or control an interest in excess of \$10,000 in a business?

EITHER check NO / / OR check YES / / and complete

Schedule F.

8. Payments for Representation.

- 8A. Did you represent any businesses before any state governmental agencies, excluding courts or judges, for which you received total compensation during the past 12 months in excess of \$1,000, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers *and subsequent representation regarding the*

mandatory papers? (Officers and employees of local governmental and advisory agencies do NOT need to answer this question or complete Schedule G-1.)

EITHER check NO / / OR check YES / / and complete

Schedule G-1.

- 8B. Subject to the same exceptions as in 8A, did persons with whom you have a close financial association (partners, associates or others) represent any businesses before any state governmental agency for which total compensation was received during the past 12 months in excess of \$1,000? (Officers and employees of local governmental and advisory agencies do NOT need to answer this question or complete Schedule G-2.)

EITHER check NO / / OR check YES / / and complete

Schedule G-2.

- 8C. Did you or persons with whom you have a close financial association furnish services to businesses operating in Virginia for which total compensation in excess of \$1,000 was received during the past 12 months?

EITHER check NO / / OR check YES / / and complete

Schedule G-3.

9. Real Estate.

- 9A. State Officers and Employees.

169 Do you or a member of your immediate family hold an interest,
170 including a partnership interest, valued at \$10,000 or more in
171 real property (other than your principal residence) for which
172 you have not already listed the full address on Schedule F?

173 Account for real estate held in trust.

174 EITHER check NO / / OR check YES / / and complete
175 Schedule H-1.

176 9B. Local Officers and Employees.

177 Do you or a member of your immediate family hold an interest,
178 including a partnership interest, valued at \$10,000 or more in
179 real property located in the county, city or town in which you
180 serve or in a county, city or town contiguous to the county,
181 city or town in which you serve (other than your principal
182 residence) for which you have not already listed the full
183 address on Schedule F? Account for real estate held in trust.

184 EITHER check NO / / OR check YES / / and complete
185 Schedule H-2.

186 10. Real Estate Contracts with Government Agencies.

187 Do you or a member of your immediate family hold an interest
188 valued at more than \$10,000 in real estate, including a
189 corporate, partnership, or trust interest, option,
190 easement, or land contract, which real estate is the
191 subject of a contract, whether pending or completed within
192 the past twelve months, with a governmental agency? If the
193 real estate contract provides for the leasing of the property
194 to a governmental agency, do you or a member of your immediate
195 family hold an interest in the real estate valued at more than
196 \$1,000? Account for all such contracts whether or not your
197 interest is reported in schedules F, H-1, or H-2. This
198 requirement to disclose an interest in a lease does not apply
199 to an interest derived through an ownership interest in a
200 business unless the ownership interest exceeds three percent
201 of the total equity of the business.

202 EITHER check NO / / OR check YES / / and complete
203 Schedule I.

204

205 Statements of Economic Interests are open for public inspection.

206

207 AFFIRMATION BY ALL FILERS

208

209 I swear or affirm that the foregoing information is full, true and
210 correct to the best of my knowledge.

211

212 Signature.....

213 Commonwealth of Virginia

214of.....to wit:

215 The foregoing disclosure form was acknowledged before me

216 This.....day of.....19.. by

217 Notary Public

218 My commission expires.....

219 (Return only if needed to complete Statement.)

220

221 SCHEDULES

222

to

223 STATEMENT OF ECONOMIC INTERESTS

224

225 NAME.....

SCHEDULE A - OFFICES AND DIRECTORSHIPS.

Identify each business of which you or a member of your immediate family is a paid officer or paid director.

Name of Business	Address of Business	Position Held
.....
.....
.....
.....

RETURN TO ITEM 2

SCHEDULE B - PERSONAL LIABILITIES.

Report personal liability by checking each category. Report only debts in excess of \$10,000. Do not report debts to any government. Do not report loans secured by recorded liens on property at least equal in value to the loan. Report contingent liabilities below and indicate which debts are contingent.

1. My personal debts are as follows:

Check appropriate categories	Check one	
	\$10,001 to \$50,000	More than \$50,000
Banks
Savings institutions
Other loan or finance companies
Insurance companies
Stock, commodity or other brokerage companies
Other businesses:		
(State principal business activity for each creditor.)
.....
.....
Individual creditors:		
(State principal business or occupation of each creditor.)
.....
.....

282 -----
 283
 284 2. The personal debts of the members of my immediate family are as
 285 follows:
 286
 287 -----
 288 -----

289
 290 Check Check one
 291 appropriate \$10,001 to More than
 292 categories \$50,000 \$50,000
 293
 294 Banks
 295 Savings institutions
 296 Other loan or finance companies
 297 Insurance companies
 298 Stock, commodity or other brokerage
 299 companies
 300 Other businesses:
 301 (State principal business activity
 302 for each creditor.)
 303
 304
 305 Individual creditors:
 306 (State principal business or
 307 occupation of each creditor.)
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 309
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311 -----
 312 -----

313 RETURN TO ITEM 3

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 315 SCHEDULE C - SECURITIES.

316
 317 "Securities" INCLUDES stocks, bonds, "Securities" EXCLUDES
 318 mutual funds, money market funds, certificates of deposit,
 319 limited partnerships, and commodity annuity contracts, and
 320 futures contracts. insurance policies.
 321

322 Identify each business or Virginia governmental entity in which you
 323 or a member of your immediate family, directly or indirectly,
 324 separately or together, own securities valued in excess of \$10,000.
 325

326 Do not list U.S. Bonds or other government securities not issued
 327 by the Commonwealth of Virginia or its authorities, agencies, or
 328 local governments. Do not list organizations that do not do
 329 business in this Commonwealth, but most major businesses conduct
 330 business in Virginia. Account for securities held in trust.
 331

332 If no reportable securities, check here / /

333 -----
 334 -----

335
 336 Type of Security Check one
 337 (stocks, bonds, mutual More
 338 Type of or money market funds, \$10,001 to than

339	Name of Issuer	Entity	etc.)	\$50,000	\$50,000
340
341
342
343

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347 RETURN TO ITEM 4

348

349 SCHEDULE D - PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.

350

351 List each source from which you received during the past 12 months
 352 lodging, transportation, money, or any other thing of value
 353 (excluding meals or drinks coincident with a meeting) with
 354 combined value exceeding \$200 for your presentation of a single
 355 talk, participation in one meeting, or publication of a work in
 356 your capacity as an officer or employee of your agency.

357

358 List payments or reimbursements by an advisory or governmental
 359 agency only for meetings or travel outside the Commonwealth.

360

361 List a payment even if you donated it to charity.

362

363 Do not list information about a payment if you returned it within
 364 60 days or if you received it from an employer already listed
 365 under Item 6 or from a source of income listed on Schedule F.

366

367 If no payment must be listed, check here / /

368 -----

369 -----

370

371				Type of payment
372				(e.g. honoraria,
373				travel reimburse-
374	Payer	Approximate Value	Circumstances	ment, etc.)

375
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382 RETURN TO ITEM 5

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384 SCHEDULE E - GIFTS.

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386 List each business, governmental entity, or individual that
 387 furnished you with any gift or gifts whose total value
 388 exceeded ~~\$200~~ \$100 during the past 12 months and for which you
 389 neither paid nor rendered services in exchange. Do not list

390

391 business entertainment related to your private profession or

392

393 occupation. Do not list gifts from a relative or from a

394

395 personal friend given for reasons clearly unrelated to
396
397 your public position. Do not list campaign contributions
398 publicly reported as required by Chapter 9 (§ 24.2-900 et seq.)
399 of Title 24.2 of the Code of Virginia.

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402
403 Name of Business,
404 Organization, or City or County
405 Individual and State Approximate Value
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413 RETURN TO ITEM 6

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415 SCHEDULE F - BUSINESS INTERESTS.

416
417 Complete this Schedule for each self or family owned business
418 (including rental property, a farm, or consulting work),
419 partnership, or corporation in which you or a member of your
420 immediate family, separately or together, own an interest having
421 a value in excess of \$10,000.

422
423 If the enterprise is owned or operated under a trade, partnership,
424 or corporate name, list that name; otherwise merely explain the
425 nature of the enterprise. If rental property is owned or operated
426 under a trade, partnership, or corporate name, list the name only;
427 otherwise, give the address of each property. Account for business
428 interests held in trust.

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431 -----

432
433 Name of Gross income
434 Business,
435 Corporation,
436 Partnership,
437 Farm; Address City or Nature of Enterprise
438 of Rental County (farming, law, \$50,000 More than
439 Property and State rental property, etc.) or less \$50,000

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447 RETURN TO ITEM 8

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449 SCHEDULE G-1 - PAYMENTS FOR REPRESENTATION BY YOU.

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451 List the businesses you represented before any state governmental

agency, excluding any court or judge, for which you received total compensation during the past 12 months in excess of \$1,000, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers filed by you.

Identify each business, the nature of the representation and the amount received by dollar category from each such business. You may state the type, rather than name, of the business if you are required by law not to reveal the name of the business represented by you.

Only STATE officers and employees should complete this Schedule.

Name of Business	Type of Business	Purpose of Representation	Name of Agency	Amount Received				
				\$1,001 More	to than			
				\$10,000	\$10,000			
				\$1,001	\$10,001	\$50,001	\$100,001	\$250,001
				to	to	to	to	and
				\$10,000	\$50,000	\$100,000	\$250,000	over
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SCHEDULE G-2 - PAYMENTS FOR REPRESENTATION BY ASSOCIATES.

List the businesses that have been represented before any state governmental agency, excluding any court or judge, by persons who are your partners, associates or others with whom you have a close financial association and who received total compensation in excess of \$1,000 for such representation during the past 12 months, excluding representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers filed by your partners, associates or others with whom you have a close financial association.

Identify such businesses by type and also name the state governmental agencies before which such person appeared on behalf of such businesses.

508
 509 Only STATE officers and employees should complete this Schedule.
 510

511 -----
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 513

514 Type of business Name of state governmental agency
 515
 516
 517
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 522 -----
 523

524 SCHEDULE G-3 - PAYMENTS FOR REPRESENTATION GENERALLY.
 525

526 Indicate below types of businesses that operate in Virginia to
 527 which services were furnished by you or persons with whom you have
 528 a close financial association and for which total compensation in
 529 excess of \$1,000 was received during the past 12 months.
 530

531 Identify opposite each category of businesses listed below (i) the
 532 type of business, (ii) the type of service rendered and (iii) the
 533 value *by dollar category* of the compensation received for all
 534

535 businesses falling within each category.
 536

537 -----
 538 -----
 539

			Value of Compensation				
	Check	Type	\$1,001	More			
	if	of					
	ser-	ser-	to	than			
	vices	vices	\$10,000	\$10,000			
	were	ren-					
	ren-	dered					
	dered		\$1,001	\$10,001	\$50,001	\$100,001	\$250,001
			to	to	to	to	and
			\$10,000	\$50,000	\$100,000	\$250,000	over
551 Electric							
552 utilities
553 Gas util-							
554 ities
555 Telephone							
556 utilities
557 Water util-							
558 ities
559 Cable tele-							
560 vision							
561 companies
562 Interstate							
563							
564 transporta							

565	tion com-							
566	panies
567	Intrastate							
568	transporta							
569	tion com-							
570	panies
571	Oil or gas							
572	retail com-							
573	panies
574	Banks
575	Savings							
576	institutions
577	Loan or fi-							
578	nance com-							
579	panies
580	Manufactur-							
581	ing com-							
582	panies (state							
583	type of pro-							
584	duct, e.g.,							
585	textile, fur-							
586	niture etc.)
587	Mining com-							
588	panies
589	Life insur-							
590	ance com-							
591	panies
592	Casualty in-							
593	surance com-							
594	panies
595	Other insur-							
596	ance com-							
597	panies
598	Retail com-							
599	panies
600	Beer, wine							
601	or liquor							
602	companies or							
603	distributors
604	Trade asso-							
605	ciations
606	Professional							
607	associations
608	Associations							
609	of public							
610	employees or							
611	officials
612	Counties,							
613	cities or							
614	towns
615	Labor organi-							
616	zations
617	Other
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620	-----							

RETURN TO ITEM 9

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SCHEDULE H-1 - REAL ESTATE - STATE OFFICERS AND EMPLOYEES.

List real estate other than your principal residence in which you or a member of your immediate family hold an interest, including a partnership interest, option, easement, or land contract, valued at \$10,000 or more. You may list each parcel of real estate individually if you wish.

List each location (state, and county or city) where you own real estate.	Describe the type of real estate you own in each location (business, recreational, apartment, commercial, open land, etc.).	If the real estate is owned or recorded in a name other than your own, list that name.
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SCHEDULE H-2 - REAL ESTATE - LOCAL OFFICERS AND EMPLOYEES.

List real estate located in your county, city, or town, and any contiguous county, city, or town other than your principal residence in which you or a member of your immediate family hold an interest, including a partnership interest, option, easement, or land contract, valued at \$10,000 or more. You may list each parcel of real estate individually if you wish.

List the counties and cities in which you own real estate.	Describe the type of real estate you own in each county or city (business, recreational, apartment, commercial, open land, etc.).	If the real estate is owned or recorded in a name other than your own, list that name.
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RETURN TO ITEM 10

SCHEDULE I - REAL ESTATE CONTRACTS WITH GOVERNMENT AGENCIES.

List all contracts, whether pending or completed within the past twelve months, with a governmental agency for the sale

or exchange of real estate in which you or a member of your immediate family holds an interest, including a corporate, partnership or trust interest, option, easement, or land contract, valued at \$10,000 or more. List all contracts with a governmental agency for the lease of real estate in which you or a member of your immediate family holds such an interest valued at \$1,000 or more. This requirement to disclose an interest in a lease does not apply to an interest derived through an ownership interest in a business unless the ownership interest exceeds three percent of the total equity of the business. State officers and employees report contracts with state agencies. Local officers and employees report contracts with local agencies.

List your real estate interest and the person or entity, including the type of entity, which is party to the contract. Describe any management role and the percentage ownership interest you or your immediate family member has in the real estate or entity.	List each governmental agency which is a party to the contract and indicate the county or city where the real estate is located.	State the annual income from the contract, and the amount, if any, of income you or any immediate family member derives annually from the contract.
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§ 2.1-639.41. Disclosure form.

A. The disclosure form to be used for filings required by § 2.1-639.40 A and B shall be substantially as follows:

STATEMENT OF ECONOMIC INTERESTS.

Name
 Office or position held or sought
 Home address
 Names of members of immediate family

DEFINITIONS AND EXPLANATORY MATERIAL.

"Immediate family" means (i) a spouse and (ii) any other person residing in the same household as the legislator, who is a dependent of the legislator or of whom the legislator is a dependent.

"Dependent" means any person, whether or not related by blood or marriage, who receives from the legislator, or provides to the legislator, more than one-half of his financial support.

734

735 "Business" means a corporation, partnership, sole proprietorship,
736 firm, enterprise, franchise, association, trust or foundation, or
737 any other individual or entity carrying on a business or profession,
738 whether or not for profit.

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740

741 "Close financial association" does not mean an association based on the recei-
742 pt of retirement benefits or deferred compensation from a business by which th-
743 e legislator is no longer employed. "Close
744 financial association" does not include an association based on the receipt of-
745 compensation for work performed by the legislator as an independent contracto-
746 r of a business that represents an entity before any state
747 governmental agency when the legislator has had no communications with the sta-
748 te governmental agency.

749

750

751 "Gift" means any gratuity, favor, discount, entertainment,
752 hospitality, loan, forbearance, or other item having monetary value.
753 It includes services as well as gifts of transportation, local
754 travel, lodgings and meals, whether provided in-kind, by purchase
755 of a ticket, payment in advance or reimbursement after the expense
756 has been incurred. "Gift" shall not include any offer of a ticket
757 or other admission or pass unless the ticket, admission, or pass is
758 used. "Gift" shall not include honorary degrees and presents from
759 relatives. "Relative" means the donee's spouse, child, uncle, aunt,
760 niece, or nephew; a person to whom the donee is
761 engaged to be married; the donee's or his spouse's parent, grandparent,
762 grandchild, brother, or sister; or the donee's brother's or sister's
763 spouse.

764

765 TRUST. If you or your immediate family, separately or together, are
766 the only beneficiaries of a trust, treat the trust's assets as if
767 you own them directly. If you or your immediate family has a
768 proportional interest in a trust, treat that proportion of the
769 trust's assets as if you own them directly. For example, if you
770 and your immediate family have a one-third interest in a trust,
771 complete your Statement as if you own one-third of each of the
772 trust's assets. If you or a member of your immediate family created
773 a trust and can revoke it without the beneficiaries' consent, treat
774 its assets as if you own them directly.

775

776 REPORT TO THE BEST OF INFORMATION AND BELIEF. Information required
777 on this Statement must be provided on the basis of the best
778 knowledge, information and belief of the individual filing the
779 Statement as of the date of this report unless otherwise stated.

780

781 COMPLETE ITEMS 1 THROUGH 10. REFER TO SCHEDULES ONLY IF DIRECTED.

782

783 You may attach additional explanatory information.

784

785 1. Offices and Directorships.

786

787 Are you or a member of your immediate family a paid officer or paid
788 director of a business?

789 EITHER check NO / / OR check YES / / and complete Schedule A.

790

2. Personal Liabilities.

791 Do you or a member of your immediate family owe more than \$10,000
792 to any one creditor including contingent liabilities? (Exclude debts
793 to any government and loans secured by recorded liens on property
794 at least equal in value to the loan.)
795 EITHER check NO / / OR check YES / / and complete Schedule B.
796 3. Securities.
797 Do you or a member of your immediate family, directly or
798 indirectly, separately or together, own securities valued in
799 excess of \$10,000 invested in one business? Account for mutual
800 funds, limited partnerships and trusts.
801 EITHER check NO / / OR check YES / / and complete Schedule C.
802 4. Payments for Talks, Meetings, and Publications.
803 During the past 12 months did you receive lodging, transportation,
804 money, or anything else of value with a combined value exceeding
805 \$200 for a single talk, meeting, or published work in your capacity
806 as a legislator?
807 EITHER check NO / / OR check YES / / and complete Schedule D.
808 5. Gifts.
809 During the past 12 months did a business, government, or individual
810 other than a relative or personal friend furnish you with any gift
811 or gifts the total value of which exceeded ~~\$200~~ \$100 and for which you
812 neither paid nor rendered services in exchange? Account for all
813 business entertainment (except if related to your private profession
814 or occupation) even if unrelated to your official duties.
815 EITHER check NO / / OR check YES / / and complete Schedule E.
816 6. Salary and Wages.
817 List each employer that pays you or a member of your immediate
818 family salary or wages in excess of \$10,000 annually. (Exclude
819 state or local government or advisory agencies.)
820 If no reportable salary or wages, check here / /.
821
822
823
824
825
826
827 7. Business Interests.
828 Do you or a member of your immediate family separately or together,
829 operate your own business, or own or control an interest in excess
830 of \$10,000 in a business?
831 EITHER check NO / / OR check YES / / and complete Schedule F.
832 8. Payments for Representation.
833 8A. Did you represent any businesses before any state governmental
834 agencies, excluding courts or judges, for which you received total
835 compensation during the past 12 months in excess of \$1,000,
836 excluding compensation for other services to such businesses and
837 representation consisting solely of the filing of mandatory papers
838
839 *and subsequent representation regarding the mandatory papers?*
840 EITHER check NO / / OR check YES / / and complete Schedule G-1.
841 8B. Subject to the same exceptions as in 8A, did persons with whom
842 you have a close financial association (partners, associates or
843 others) represent any businesses before any state governmental
844 agency for which total compensation was received during the past
845 12 months in excess of \$1,000?
846 EITHER check NO / / OR check YES / / and complete Schedule G-2.

847 8C. Did you or persons with whom you have a close financial
848 association furnish services to businesses operating in Virginia
849 for which total compensation in excess of \$1,000 was received
850 during the past 12 months?

851 EITHER check NO / / OR check YES / / and complete Schedule G-3.

852 9. Real Estate.

853 Do you or a member of your immediate family hold an interest,
854 including a partnership interest, valued at \$10,000 or more in real
855 property (other than your principal residence) for which you have
856 not already listed the full address on Schedule F? Account for real
857 estate held in trust.

858 EITHER check NO / / OR check YES / / and complete Schedule H.

859 10. Real Estate Contracts with State Governmental Agencies.

860 Do you or a member of your immediate family hold an interest valued
861 at more than \$10,000 in real estate, including a corporate,
862 partnership, or trust interest, option, easement, or land contract,
863 which real estate is the subject of a contract, whether pending or
864 completed within the past twelve months, with a state governmental
865 agency? If the real estate contract provides for the leasing of the
866 property to a state governmental agency, do you or a member of your
867 immediate family hold an interest in the real estate, including a
868 corporate, partnership, or trust interest, option, easement, or land
869 contract valued at more than \$1,000? Account for all such contracts
870 whether or not your interest is reported in Schedules F or H. This
871 requirement to disclose an interest in a lease does not apply to an
872 interest derived through an ownership interest in a business unless
873 the ownership interest exceeds three percent of the total equity of
874 the business.

875 EITHER check NO / / OR check YES / / and complete Schedule I.

876
877 Statements of Economic Interests are open for public inspection.
878

879 AFFIRMATION

880
881 In accordance with the rules of the house in which I serve, if I
882 receive a request that this disclosure statement be corrected,
883 augmented, or revised in any respect, I hereby pledge that I shall
884 respond promptly to the request. I understand that if a
885 determination is made that the statement is insufficient, I will
886 satisfy such request or be subjected to disciplinary action of
887 my house.

888
889 I swear or affirm that the foregoing information is full, true and
890 correct to the best of my knowledge.

891
892 Signature
893 Commonwealth of Virginia
894 of to wit:
895 The foregoing disclosure form was acknowledged before me
896 This day of 19. . . by
897 Notary Public
898 My commission expires
899 (Return only if needed to complete Statement.)
900

901 SCHEDULES
902 to
903 STATEMENT OF ECONOMIC INTERESTS

NAME

SCHEDULE A - OFFICES AND DIRECTORSHIPS.

Identify each business of which you or a member of
your immediate family is a paid officer or paid director.

Name of Business	Address of Business	Position Held
.....
.....
.....
.....

RETURN TO ITEM 2

SCHEDULE B - PERSONAL LIABILITIES.

Report personal liability by checking each category. Report only
debts in excess of \$10,000. Do not report debts to any government.
Do not report loans secured by recorded liens on property at
least equal in value to the loan.
Report contingent liabilities below and indicate which debts are
contingent.

1. My personal debts are as follows:

Check appropriate categories	Check one \$10,001 to \$50,000	More than \$50,000
Banks
Savings institutions
Other loan or finance companies
Insurance companies
Stock, commodity or other brokerage companies
Other businesses:		
(State principal business activity for each creditor.)
.....
.....
Individual creditors:		
(State principal business or occupation of each creditor.)
.....
.....

960 2. The personal debts of the members of my immediate family are as
 961 follows:

962 -----
 963 -----
 964 -----

965
 966 Check one
 967 appropriate \$10,001 to More than
 968 categories \$50,000 \$50,000

969
 970 Banks
 971 Savings institutions
 972 Other loan or finance companies
 973 Insurance companies
 974 Stock, commodity or other brokerage
 975 companies
 976 Other businesses:
 977 (State principal business activity for each
 978 creditor.)
 979
 980
 981 Individual creditors:
 982 (State principal business or occupation of
 983 each creditor.)
 984
 985
 986

987 RETURN TO ITEM 3

988
 989 SCHEDULE C - SECURITIES.

990
 991 "Securities" INCLUDES stocks, bonds, "Securities" EXCLUDES
 992 mutual funds, money market funds, certificates of deposit,
 993 limited partnerships, and commodity annuity contracts, and
 994 futures contracts. insurance policies.

995
 996 Identify each business or Virginia governmental entity in which you
 997 or a member of your immediate family, directly or indirectly,
 998 separately or together, own securities valued in excess of \$10,000.

999
 1000 Do not list U.S. Bonds or other government securities not issued
 1001 by the Commonwealth of Virginia or its authorities, agencies, or
 1002 local governments. Do not list organizations that do not do
 1003 business in this Commonwealth, but most major businesses conduct
 1004 business in Virginia. Account for securities held in trust.

1005
 1006 If no reportable securities, check here / /

1007 -----
 1008 -----
 1009 -----

1010 Type of Security Check one
 1011 (stocks, bonds, mutual or More
 1012 money market funds, \$10,001 to than
 1013 Name of Issuer Entity etc.) \$50,000 \$50,000
 1014
 1015
 1016

1017
 1018 -----
 1019 -----

1020
 1021 RETURN TO ITEM 4
 1022

1023 SCHEDULE D - PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.
 1024

1025 List each source from which you received during the past 12
 1026 months lodging, transportation, money, or any other thing of
 1027 value (excluding meals or drinks coincident with a meeting) with
 1028 combined value exceeding \$200 for your presentation of a single
 1029 talk, participation in one meeting, or publication of a work in
 1030 your capacity as a legislator.

1031
 1032 List payments or reimbursements by the Commonwealth only for
 1033 meetings or travel outside the Commonwealth.

1034
 1035 List a payment even if you donated it to charity.

1036
 1037 Do not list information about a payment if you returned it within
 1038 60 days or if you received it from an employer already listed
 1039 under Item 6 or from a source of income listed on Schedule F.

1040
 1041 If no payment must be listed, check here / /
 1042

1043 -----
 1044 -----

1045				Type of payment (e.g. honoraria, travel reimburse- ment, etc.)
1046	Payer	Approximate Value	Circumstances	
1047
1048
1049
1050
1051
1052
1053
1054

1055 -----
 1056
 1057 RETURN TO ITEM 5
 1058

1059 SCHEDULE E - GIFTS.
 1060

1061 List each business, governmental entity, or individual that
 1062 furnished you with any gift or gifts whose total value exceeded
 1063 ~~\$200~~ \$100 during the past 12 months and for which you neither paid nor
 1064 rendered services in exchange. Do not list business entertainment

1065
 1066 related to your private profession or occupation. Do not list
 1067 gifts or other things of value given by a relative or personal

1068
 1069 friend for reasons clearly unrelated to your public position. Do
 1070 not list campaign contributions publicly reported as required by
 1071 Chapter 9 of Title 24.2 of the Code of Virginia.

1072

1073 -----
1074 -----
1075 -----
1076 Name of Business,
1077 Organization, or City or County
1078 Individual and State Approximate Value
1079
1080
1081
1082
1083 -----
1084 -----

1085 -----
1086 RETURN TO ITEM 6

1087 -----
1088 SCHEDULE F - BUSINESS INTERESTS.

1089 -----
1090 Complete this Schedule for each self or family owned business
1091 (including rental property, a farm, or consulting work),
1092 partnership, or corporation in which you or a member of your
1093 immediate family, separately or together, own an interest having
1094 a value in excess of \$10,000.

1095 -----
1096 If the enterprise is owned or operated under a trade,
1097 partnership, or corporate name, list that name; otherwise merely
1098 explain the nature of the enterprise. If rental property is owned
1099 or operated under a trade, partnership, or corporate name, list
1100 the name only; otherwise, give the address of each property.
1101 Account for business interests held in trust.

1102 -----
1103 -----
1104 -----

1105 -----
1106 Name of Business, Gross income
1107 Corporation,
1108 Partnership, Nature of Enterprise \$50,000 More
1109 Farm; Address of City or County (farming, law, rental or than
1110 Rental Property and State property, etc.) less \$50,000
1111
1112
1113
1114
1115 -----

1116 -----
1117 -----
1118 RETURN TO ITEM 8

1119 -----
1120 SCHEDULE G-1 - PAYMENTS FOR REPRESENTATION BY YOU.

1121 -----
1122 List the businesses you represented before any state governmental
1123 agency, excluding any court or judge, for which you received
1124 total compensation during the past 12 months in excess of \$1,000,
1125 excluding compensation for other services to such businesses and
1126 representation consisting solely of the filing of mandatory
1127 papers and subsequent representation regarding the mandatory
1128 papers filed by you.
1129 -----

Identify each business, the nature of the representation and the amount received by dollar category from each such business. You may state the type, rather than name, of the business if you are required by law not to reveal the name of the business represented by you.

Name of Business	Type of Business	Purpose of Representation	Name of Agency	\$1,001 to \$10,000	More than \$10,000				
				\$1,001 to \$10,000	\$10,001 to \$50,000	\$50,001 to \$100,000	\$100,001 to \$250,000	\$250,001 and over	
....
....
....
....

SCHEDULE G-2 - PAYMENTS FOR REPRESENTATION BY ASSOCIATES.

List the businesses that have been represented before any state governmental agency, excluding any court or judge, by persons who are your partners, associates or others with whom you have a close financial association and who received total compensation in excess of \$1,000 for such representation during the past 12 months, excluding representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers filed by your partners, associates or others with whom you have a close financial association.

Identify such businesses by type and also name the state governmental agencies before which such person appeared on behalf of such businesses.

Type of business	Name of state governmental agency
.....
.....
.....
.....

1186
 1187 SCHEDULE G-3 - PAYMENTS FOR REPRESENTATION GENERALLY.
 1188
 1189 Indicate below types of businesses that operate in Virginia to
 1190 which services were furnished by you or persons with whom you
 1191 have a close financial association and for which total
 1192 compensation in excess of \$1,000 was received during the past 12
 1193 months.
 1194
 1195 Identify opposite each category of businesses listed below (i)
 1196 the type of business, (ii) the type of service rendered and (iii)
 1197 the value *by dollar category* of the compensation received for all
 1198
 1199 businesses falling within each category.
 1200
 1201 -----
 1202 -----
 1203
 1204 Value of Compensation
 1205 Check Type \$1,001—More
 1206 if of
 1207 ser- ser- to—than
 1208 vices vices \$10,000 \$10,000
 1209 were ren-
 1210
 1211 ren- dered
 1212 \$1,001 \$10,001 \$50,001 \$100,001 \$250,001
 1213 to to to to and
 1214 \$10,000 \$50,000 \$100,000 \$250,000 over
 1215 Electric
 1216 utilities
 1217 Gas util-
 1218 ities
 1219 Telephone
 1220 utilities
 1221 Water util-
 1222 ities
 1223 Cable tele-
 1224 vision
 1225 companies
 1226 Interstate
 1227
 1228 transporta
 1229 tion com-
 1230 panies
 1231 Intrastate
 1232 transporta
 1233 tion com-
 1234 panies
 1235 Oil or gas
 1236 retail com-
 1237 panies
 1238 Banks
 1239 Savings
 1240 institutions
 1241 Loan or fi-
 1242 nance com-

1243	panies
1244	Manufactur-						
1245	ing com-						
1246	panies (state						
1247	type of pro-						
1248	duct, e.g.,						
1249	textile, fur-						
1250	niture etc.)
1251	Mining com-						
1252	panies
1253	Life insur-						
1254	ance com-						
1255	panies
1256	Casualty in-						
1257	surance com-						
1258	panies
1259	Other insur-						
1260	ance com-						
1261	panies
1262	Retail com-						
1263	panies
1264	Beer, wine						
1265	or liquor						
1266	companies or						
1267	distributors
1268	Trade asso-						
1269	ciations
1270	Professional						
1271	associations
1272	Associations						
1273	of public						
1274	employees or						
1275	officials
1276	Counties,						
1277	cities or						
1278	towns
1279	Labor organi-						
1280	zations
1281	Other
1282							
1283	-----						
1284	-----						

RETURN TO ITEM 10

SCHEDULE I - REAL ESTATE CONTRACTS WITH STATE GOVERNMENTAL AGENCIES.

List all contracts, whether pending or completed within the past twelve months, with a state governmental agency for the sale or exchange of real estate in which you or a member of your immediate family holds an interest, including a corporate, partnership or trust interest, option, easement, or land contract, valued at \$10,000 or more. List all contracts with a state governmental agency for the lease of real estate in which you or a member of your immediate family holds such an interest valued at \$1,000 or more. This requirement to disclose an

1299 interest in a lease does not apply to an interest derived through
1300 an ownership interest in a business unless the ownership interest
1301 exceeds three percent of the total equity of the business.

1302 -----
1303 -----
1304 -----

1305			
1306	List your real	List each	State the annual
1307	estate interest and	governmental agency	income from the
1308	the person or entity,	which is a party to	contract, and the
1309	including the type of	the contract and	amount, if any,
1310	entity, which is	indicate the county	of income you or
1311	party to the contract.	or city where the	any immediate
1312	Describe any	real estate is	family member
1313	management role and	located.	derives annually
1314	the percentage		from the
1315	ownership interest		contract.
1316	you or your immediate		
1317	family member has in		
1318	the real estate		
1319	or entity.		

1320
1321
1322
1323
1324

1325 -----
1326 -----

1327
1328 B. Any legislator who makes a knowing misstatement of a material fact on the Statement of
1329 Economic Interests shall be subject to disciplinary action for such violations by the house in which the
1330 legislator sits.

1331 C. In accordance with the rules of each house, the Statement of Economic Interests of all members
1332 of each house shall be reviewed. If a legislator's Statement is found to be inadequate as filed, the
1333 legislator shall be notified in writing, directed to file an amended Statement correcting the indicated
1334 deficiencies, and a time set within which such amendment shall be filed. If the Statement of Economic
1335 Interests, in either its original or amended form, is found to be adequate as filed, the legislator's filing
1336 shall be deemed in full compliance with this section as to the information disclosed thereon.

1337 D. Ten percent of the membership of a house, on the basis of newly discovered facts, may in writing
1338 request the house in which those members sit, in accordance with the rules of that house, to review the
1339 Statement of Economic Interests of another member of that house in order to determine the adequacy of
1340 his filing. In accordance with the rules of each house, each Statement of Economic Interests shall be
1341 promptly reviewed, the adequacy of the filing determined, and notice given in writing to the legislator
1342 whose Statement is in issue. Should it be determined that the Statement requires correction,
1343 augmentation or revision, the legislator involved shall be directed to make the changes required within
1344 such time as shall be set under the rules of each house.

1345 If a legislator, after having been notified in writing in accordance with the rules of the house in
1346 which he sits that his Statement is inadequate as filed, fails to amend his Statement so as to come into
1347 compliance within the time limit set, he shall be subject to disciplinary action by the house in which he
1348 sits. No legislator shall vote on any question relating to his own Statement.