

1 VIRGINIA ACTS OF ASSEMBLY — CHAPTER

2 *An Act to amend and reenact §§ 2.1-639.15 and 2.1-639.41 of the Code of Virginia, relating to the*
3 *conflict of interests laws; disclosure forms.*

4 [S 813]

5 Approved

6 **Be it enacted by the General Assembly of Virginia:**7 **1. That §§ 2.1-639.15 and 2.1-639.41 of the Code of Virginia are amended and reenacted as**
8 **follows:**

9 § 2.1-639.15. Disclosure form.

10 The disclosure form to be used for filings required by § 2.1-639.13 A and D, and § 2.1-639.14 A and
11 D shall be substantially as follows:12 STATEMENT OF ECONOMIC INTERESTS.
1314
15 Name
16 Office or position held or sought
17 Home address
18 Names of members of immediate family
1920 DEFINITIONS AND EXPLANATORY MATERIAL.
2122 "Immediate family" means (i) a spouse and (ii) any other person
23 residing in the same household as the officer or employee, who
24 is a dependent of the officer or employee or of whom the officer
25 or employee is a dependent.
2627 "Dependent" means any person, whether or not related by blood or
28 marriage, who receives from the officer or employee, or provides
29 to the officer or employee, more than one-half of his financial
30 support.
3132 "Business" means a corporation, partnership, sole proprietorship,
33 firm, enterprise, franchise, association, trust or foundation, or
34 any other individual or entity carrying on a business or
35 profession, whether or not for profit.
3637 "*Close financial association*" does not mean an association based
38 *on the receipt of retirement benefits or deferred compensation from*
39 *a business by which the person filing this statement is no longer*
40 *employed. "Close financial association" does not include an*
41 *association based on the receipt of compensation for work performed*
42 *by the person filing as an independent contractor of a business that*
43 *represents an entity before any state governmental agency when the*
44 *person filing has had no communications with the state governmental*
45 *agency.*
4647 "Gift" means any gratuity, favor, discount, entertainment,
48 hospitality, loan, forbearance, or other item having monetary value.
49 It includes services as well as gifts of transportation, local
50 travel, lodgings and meals, whether provided in-kind, by purchase
51 of a ticket, payment in advance or reimbursement after the expense
52 has been incurred. "Gift" shall not include any offer of a ticket

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or other admission or pass unless the ticket, admission, or pass is used. "Gift" shall not include honorary degrees and presents from relatives. "Relative" means the donee's spouse, child, uncle, aunt, niece, or nephew; a person to whom the donee is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother, or sister; or the donee's brother's or sister's spouse.

TRUST. If you or your immediate family, separately or together, are the only beneficiaries of a trust, treat the trust's assets as if you own them directly. If you or your immediate family has a proportional interest in a trust, treat that proportion of the trust's assets as if you own them directly. For example, if you and your immediate family have a one-third interest in a trust, complete your Statement as if you own one-third of each of the trust's assets. If you or a member of your immediate family created a trust and can revoke it without the beneficiaries' consent, treat its assets as if you own them directly.

REPORT TO THE BEST OF INFORMATION AND BELIEF. Information required on this Statement must be provided on the basis of the best knowledge, information and belief of the individual filing the Statement as of the date of this report unless otherwise stated.

COMPLETE ITEMS 1 THROUGH 10. REFER TO SCHEDULES ONLY IF DIRECTED.

You may attach additional explanatory information.

1. Offices and Directorships.

Are you or a member of your immediate family a paid officer or paid director of a business?

EITHER check NO / / OR check YES / / and complete Schedule A.

2. Personal Liabilities.

Do you or a member of your immediate family owe more than \$10,000 to any one creditor including contingent liabilities? (Exclude debts to any government and loans secured by recorded liens on property at least equal in value to the loan.)

EITHER check NO / / OR check YES / / and complete Schedule B.

3. Securities.

Do you or a member of your immediate family, directly or indirectly, separately or together, own securities valued in excess of \$10,000 invested in one business? Account for mutual funds, limited partnerships and trusts.

EITHER check NO / / OR check YES / / and complete Schedule C.

4. Payments for Talks, Meetings, and Publications.

During the past 12 months did you receive lodging, transportation, money, or anything else of value with a combined value exceeding \$200 for a single talk, meeting, or published work in your capacity as an officer or employee of your agency?

EITHER check NO / / OR check YES / / and complete Schedule D.

5. Gifts.

During the past 12 months did a business, government, or individual other than a relative or personal friend furnish you with any gift or gifts the total value of which exceeded ~~\$200~~ \$100 and for which you neither paid nor rendered services in exchange? Account for all business entertainment

(except if related to your private profession or occupation)

even if unrelated to your official duties.

EITHER check NO / / OR check YES / / and complete

Schedule E.

6. Salary and Wages.

List each employer that pays you or a member of your immediate family salary or wages in excess of \$10,000 annually. (Exclude state or local government or advisory agencies.)

If no reportable salary or wages, check here / /.

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7. Business Interests.

Do you or a member of your immediate family separately or together, operate your own business, or own or control an interest in excess of \$10,000 in a business?

EITHER check NO / / OR check YES / / and complete

Schedule F.

8. Payments for Representation.

8A. Did you represent any businesses before any state governmental agencies, excluding courts or judges, for which you received total compensation during the past 12 months in excess of \$1,000, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers? (Officers and employees of local governmental and advisory agencies do NOT need to answer this question or complete Schedule G-1.)

EITHER check NO / / OR check YES / / and complete

Schedule G-1.

8B. Subject to the same exceptions as in 8A, did persons with whom you have a close financial association (partners, associates or others) represent any businesses before any state governmental agency for which total compensation was received during the past 12 months in excess of \$1,000? (Officers and employees of local governmental and advisory agencies do NOT need to answer this question or complete Schedule G-2.)

EITHER check NO / / OR check YES / / and complete

Schedule G-2.

8C. Did you or persons with whom you have a close financial association furnish services to businesses operating in Virginia for which total compensation in excess of \$1,000 was received during the past 12 months?

EITHER check NO / / OR check YES / / and complete

Schedule G-3.

9. Real Estate.

9A. State Officers and Employees.

Do you or a member of your immediate family hold an interest,

including a partnership interest, valued at \$10,000 or more in real property (other than your principal residence) for which you have not already listed the full address on Schedule F? Account for real estate held in trust.

EITHER check NO / / OR check YES / / and complete Schedule H-1.

9B. Local Officers and Employees.

Do you or a member of your immediate family hold an interest, including a partnership interest, valued at \$10,000 or more in real property located in the county, city or town in which you serve or in a county, city or town contiguous to the county, city or town in which you serve (other than your principal residence) for which you have not already listed the full address on Schedule F? Account for real estate held in trust.

EITHER check NO / / OR check YES / / and complete Schedule H-2.

10. Real Estate Contracts with Government Agencies.

Do you or a member of your immediate family hold an interest valued at more than \$10,000 in real estate, including a corporate, partnership, or trust interest, option, easement, or land contract, which real estate is the subject of a contract, whether pending or completed within the past twelve months, with a governmental agency? If the real estate contract provides for the leasing of the property to a governmental agency, do you or a member of your immediate family hold an interest in the real estate valued at more than \$1,000? Account for all such contracts whether or not your interest is reported in schedules F, H-1, or H-2. This requirement to disclose an interest in a lease does not apply to an interest derived through an ownership interest in a business unless the ownership interest exceeds three percent of the total equity of the business.

EITHER check NO / / OR check YES / / and complete Schedule I.

Statements of Economic Interests are open for public inspection.

AFFIRMATION BY ALL FILERS

I swear or affirm that the foregoing information is full, true and correct to the best of my knowledge.

Signature.....

Commonwealth of Virginia

.....of.....to wit:

The foregoing disclosure form was acknowledged before me

This.....day of.....19.. by

Notary Public

My commission expires.....

(Return only if needed to complete Statement.)

SCHEDULES

to

STATEMENT OF ECONOMIC INTERESTS

NAME.....

SCHEDULE A - OFFICES AND DIRECTORSHIPS.

Identify each business of which you or a member of your immediate family is a paid officer or paid director.

Name of Business	Address of Business	Position Held
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RETURN TO ITEM 2

SCHEDULE B - PERSONAL LIABILITIES.

Report personal liability by checking each category. Report only debts in excess of \$10,000. Do not report debts to any government. Do not report loans secured by recorded liens on property at least equal in value to the loan. Report contingent liabilities below and indicate which debts are contingent.

1. My personal debts are as follows:

Check appropriate categories	Check one \$10,001 to \$50,000	More than \$50,000
Banks
Savings institutions
Other loan or finance companies
Insurance companies
Stock, commodity or other brokerage companies
Other businesses:		
(State principal business activity for each creditor.)
.....
.....
Individual creditors:		
(State principal business or occupation of each creditor.)
.....
.....

2. The personal debts of the members of my immediate family are as follows:

	Check appropriate categories	Check one \$10,001 to \$50,000	More than \$50,000
Banks	
Savings institutions	
Other loan or finance companies	
Insurance companies	
Stock, commodity or other brokerage companies	
Other businesses: (State principal business activity for each creditor.)	
.....	
.....	
Individual creditors: (State principal business or occupation of each creditor.)	
.....	
.....	

RETURN TO ITEM 3

SCHEDULE C - SECURITIES.

"Securities" INCLUDES stocks, bonds, mutual funds, money market funds, limited partnerships, and commodity futures contracts.	"Securities" EXCLUDES certificates of deposit, annuity contracts, and insurance policies.
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Identify each business or Virginia governmental entity in which you or a member of your immediate family, directly or indirectly, separately or together, own securities valued in excess of \$10,000.

Do not list U.S. Bonds or other government securities not issued by the Commonwealth of Virginia or its authorities, agencies, or local governments. Do not list organizations that do not do business in this Commonwealth, but most major businesses conduct business in Virginia. Account for securities held in trust.

If no reportable securities, check here / /

Type of Security (stocks, bonds, mutual	Check one More
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Name of Issuer	Type of Entity	or money market funds, etc.)	\$10,001 to \$50,000	than \$50,000
.....
.....
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.....

RETURN TO ITEM 4

SCHEDULE D - PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.

List each source from which you received during the past 12 months lodging, transportation, money, or any other thing of value (excluding meals or drinks coincident with a meeting) with combined value exceeding \$200 for your presentation of a single talk, participation in one meeting, or publication of a work in your capacity as an officer or employee of your agency.

List payments or reimbursements by an advisory or governmental agency only for meetings or travel outside the Commonwealth.

List a payment even if you donated it to charity.

Do not list information about a payment if you returned it within 60 days or if you received it from an employer already listed under Item 6 or from a source of income listed on Schedule F.

If no payment must be listed, check here / /

Type of payment
(e.g. Honoraria,
travel reimburse-
ment, etc.)

Payer	Approximate Value	Circumstances	Type of payment (e.g. Honoraria, travel reimburse- ment, etc.)
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RETURN TO ITEM 5

SCHEDULE E - GIFTS.

List each business, governmental entity, or individual that furnished you with any gift or gifts whose total value exceeded ~~\$200~~ \$100 during the past 12 months and for which you neither paid nor rendered services in exchange. Do not list

business entertainment related to your private profession or

occupation. Do not list gifts from a relative or from a

personal friend given for reasons clearly unrelated to
 your public position. Do not list campaign contributions
 publicly reported as required by Chapter 9 (§ 24.2-900 et seq.)
 of Title 24.2 of the Code of Virginia.

Name of Business, Organization, or Individual	City or County and State	Approximate Value
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RETURN TO ITEM 6

SCHEDULE F - BUSINESS INTERESTS.

Complete this Schedule for each self or family owned business
 (including rental property, a farm, or consulting work),
 partnership, or corporation in which you or a member of your
 immediate family, separately or together, own an interest having
 a value in excess of \$10,000.

If the enterprise is owned or operated under a trade, partnership,
 or corporate name, list that name; otherwise merely explain the
 nature of the enterprise. If rental property is owned or operated
 under a trade, partnership, or corporate name, list the name only;
 otherwise, give the address of each property. Account for business
 interests held in trust.

Name of Business, Corporation, Partnership, Farm; Address of Rental Property	City or County and State	Nature of Enterprise (farming, law, rental property, etc.)	\$50,000 or less	More than \$50,000
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RETURN TO ITEM 8

SCHEDULE G-1 - PAYMENTS FOR REPRESENTATION BY YOU.

List the businesses you represented before any state governmental agency, excluding any court or judge, for which you received total compensation during the past 12 months in excess of \$1,000, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers filed by you.

Identify each business, the nature of the representation and the amount received by dollar category from each such business. You may state the type, rather than name, of the business if you are required by law not to reveal the name of the business represented by you.

Only STATE officers and employees should complete this Schedule.

Name of Busi- ness	Type of Busi- ness	Pur- pose of Repre- sentation	Name of Agen- cy	Amount Received				
				\$1,001 to \$10,000	More than \$10,000			
				\$1,001 to \$10,000	\$10,001 to \$50,000	\$50,001 to \$100,000	\$100,001 to \$250,000	\$250,001 and over
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SCHEDULE G-2 - PAYMENTS FOR REPRESENTATION BY ASSOCIATES.

List the businesses that have been represented before any state governmental agency, excluding any court or judge, by persons who are your partners, associates or others with whom you have a close financial association and who received total compensation in excess of \$1,000 for such representation during the past 12 months, excluding representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers filed by your partners, associates or others with whom you have a close financial association.

Identify such businesses by type and also name the state governmental agencies before which such person appeared on behalf of such businesses.

Only STATE officers and employees should complete this Schedule.

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557 tion com-
 558 panies
 559 Oil or gas
 560 retail com-
 561 panies
 562 Banks
 563 Savings
 564 institutions
 565 Loan or fi-
 566 nance com-
 567 panies
 568 Manufactur-
 569 ing com-
 570 panies (state
 571 type of pro-
 572 duct, e.g.,
 573 textile, fur-
 574 niture etc.)
 575 Mining com-
 576 panies
 577 Life insur-
 578 ance com-
 579 panies
 580 Casualty in-
 581 surance com-
 582 panies
 583 Other insur-
 584 ance com-
 585 panies
 586 Retail com-
 587 panies
 588 Beer, wine
 589 or liquor
 590 companies or
 591 distributors
 592 Trade asso-
 593 ciations
 594 Professional
 595 associations
 596 Associations
 597 of public
 598 employees or
 599 officials
 600 Counties,
 601 cities or
 602 towns
 603 Labor organi-
 604 zations
 605 Other
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RETURN TO ITEM 9

SCHEDULE H-1 - REAL ESTATE - STATE OFFICERS AND EMPLOYEES.

List real estate other than your principal residence in which you or a member of your immediate family hold an interest, including a partnership interest, option, easement, or land contract, valued at \$10,000 or more. You may list each parcel of real estate individually if you wish.

List each location (state, and county or city) where you own real estate.	Describe the type of real estate you own in each location (business, recreational, apartment, commercial, open land, etc.).	If the real estate is owned or recorded in a name other than your own, list that name.
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SCHEDULE H-2 - REAL ESTATE - LOCAL OFFICERS AND EMPLOYEES.

List real estate located in your county, city, or town, and any contiguous county, city, or town other than your principal residence in which you or a member of your immediate family hold an interest, including a partnership interest, option, easement, or land contract, valued at \$10,000 or more. You may list each parcel of real estate individually if you wish.

List the counties and cities in which you own real estate.	Describe the type of real estate you own in each county or city (business, recreational, apartment, commercial, open land, etc.).	If the real estate is owned or recorded in a name other than your own, list that name.
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RETURN TO ITEM 10

SCHEDULE I - REAL ESTATE CONTRACTS WITH GOVERNMENT AGENCIES.

List all contracts, whether pending or completed within the past twelve months, with a governmental agency for the sale or exchange of real estate in which you or a member of

your immediate family holds an interest, including a corporate, partnership or trust interest, option, easement, or land contract, valued at \$10,000 or more. List all contracts with a governmental agency for the lease of real estate in which you or a member of your immediate family holds such an interest valued at \$1,000 or more. This requirement to disclose an interest in a lease does not apply to an interest derived through an ownership interest in a business unless the ownership interest exceeds three percent of the total equity of the business. State officers and employees report contracts with state agencies. Local officers and employees report contracts with local agencies.

List your real estate interest and the person or entity, including the type of entity, which is party to the contract. Describe any management role and the percentage ownership interest you or your immediate family member has in the real estate or entity.	List each governmental agency which is a party to the contract and indicate the county or city where the real estate is located.	State the annual income from the contract, and the amount, if any, of income you or any immediate family member derives annually from the contract.
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§ 2.1-639.41. Disclosure form.

A. The disclosure form to be used for filings required by § 2.1-639.40 A and B shall be substantially as follows:

STATEMENT OF ECONOMIC INTERESTS.

Name
 Office or position held or sought
 Home address
 Names of members of immediate family

DEFINITIONS AND EXPLANATORY MATERIAL.

"Immediate family" means (i) a spouse and (ii) any other person residing in the same household as the legislator, who is a dependent of the legislator or of whom the legislator is a dependent.

"Dependent" means any person, whether or not related by blood or marriage, who receives from the legislator, or provides to the legislator, more than one-half of his financial support.

"Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise, association, trust or foundation, or any other individual or entity carrying on a business or profession, whether or not for profit.

"Close financial association" does not mean an association based on the receipt of retirement benefits or deferred compensation from a business by which the legislator is no longer employed. "Close financial association" does not include an association based on the receipt of compensation for work performed by the legislator as an independent contractor of a business that represents an entity before any state governmental agency when the legislator has had no communications with the state governmental agency.

"Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item having monetary value. It includes services as well as gifts of transportation, local travel, lodgings and meals, whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the expense has been incurred. "Gift" shall not include any offer of a ticket or other admission or pass unless the ticket, admission, or pass is used. "Gift" shall not include honorary degrees and presents from relatives. "Relative" means the donee's spouse, child, uncle, aunt, niece, or nephew; a person to whom the donee is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother, or sister; or the donee's brother's or sister's spouse.

TRUST. If you or your immediate family, separately or together, are the only beneficiaries of a trust, treat the trust's assets as if you own them directly. If you or your immediate family has a proportional interest in a trust, treat that proportion of the trust's assets as if you own them directly. For example, if you and your immediate family have a one-third interest in a trust, complete your Statement as if you own one-third of each of the trust's assets. If you or a member of your immediate family created a trust and can revoke it without the beneficiaries' consent, treat its assets as if you own them directly.

REPORT TO THE BEST OF INFORMATION AND BELIEF. Information required on this Statement must be provided on the basis of the best knowledge, information and belief of the individual filing the Statement as of the date of this report unless otherwise stated.

COMPLETE ITEMS 1 THROUGH 10. REFER TO SCHEDULES ONLY IF DIRECTED.

You may attach additional explanatory information.

1. Offices and Directorships.

Are you or a member of your immediate family a paid officer or paid director of a business?

EITHER check NO / / OR check YES / / and complete Schedule A.

2. Personal Liabilities.

Do you or a member of your immediate family owe more than \$10,000 to any one creditor including contingent liabilities?

781 (Exclude debts to any government and loans secured by recorded
 782 liens on property at least equal in value to the loan.)
 783 EITHER check NO / / OR check YES / / and complete Schedule B.
 784 3. Securities.
 785 Do you or a member of your immediate family, directly or
 786 indirectly, separately or together, own securities valued in
 787 excess of \$10,000 invested in one business? Account for mutual
 788 funds, limited partnerships and trusts.
 789 EITHER check NO / / OR check YES / / and complete Schedule C.
 790 4. Payments for Talks, Meetings, and Publications.
 791 During the past 12 months did you receive lodging,
 792 transportation, money, or anything else of value with a
 793 combined value exceeding \$200 for a single talk, meeting, or
 794 published work in your capacity as a legislator?
 795 EITHER check NO / / OR check YES / / and complete Schedule D.
 796 5. Gifts.
 797 During the past 12 months did a business, government, or
 798 individual other than a relative or personal friend furnish
 799 you with any gift or gifts the total value of which exceeded
 800 ~~\$200~~ \$100 and for which you neither paid nor rendered services
 801 in exchange? Account for all business entertainment (except if
 802 related to your private profession or occupation) even if
 803 unrelated to your official duties.
 804 EITHER check NO / / OR check YES / / and complete Schedule E.
 805 6. Salary and Wages.
 806 List each employer that pays you or a member of your immediate
 807 family salary or wages in excess of \$10,000 annually. (Exclude
 808 state or local government or advisory agencies.)
 809 If no reportable salary or wages, check here / /.
 810
 811
 812
 813
 814
 815 7. Business Interests.
 816 Do you or a member of your immediate family separately or
 817 together, operate your own business, or own or control an
 818 interest in excess of \$10,000 in a business?
 819 EITHER check NO / / OR check YES / / and complete Schedule F.
 820 8. Payments for Representation.
 821 8A. Did you represent any businesses before any state governmental
 822 agencies, excluding courts or judges, for which you received
 823 total compensation during the past 12 months in excess of \$1,000,
 824 excluding compensation for other services to such businesses and
 825 representation consisting solely of the filing of mandatory
 826 papers and subsequent representation regarding the mandatory
 827 papers?
 828 EITHER check NO / / OR check YES / / and complete Schedule G-1.
 829 8B. Subject to the same exceptions as in 8A, did persons with whom
 830 you have a close financial association (partners, associates or
 831 others) represent any businesses before any state governmental
 832 agency for which total compensation was received during the past
 833 12 months in excess of \$1,000?
 834 EITHER check NO / / OR check YES / / and complete Schedule G-2.
 835 8C. Did you or persons with whom you have a close financial
 836 association furnish services to businesses operating in Virginia

for which total compensation in excess of \$1,000 was received during the past 12 months?
EITHER check NO / / OR check YES / / and complete Schedule G-3.

9. Real Estate.

Do you or a member of your immediate family hold an interest, including a partnership interest, valued at \$10,000 or more in real property (other than your principal residence) for which you have not already listed the full address on Schedule F? Account for real estate held in trust.

EITHER check NO / / OR check YES / / and complete Schedule H.

10. Real Estate Contracts with State Governmental Agencies.

Do you or a member of your immediate family hold an interest valued at more than \$10,000 in real estate, including a corporate, partnership, or trust interest, option, easement, or land contract, which real estate is the subject of a contract, whether pending or completed within the past twelve months, with a state governmental agency? If the real estate contract provides for the leasing of the property to a state governmental agency, do you or a member of your immediate family hold an interest in the real estate, including a corporate, partnership, or trust interest, option, easement, or land contract valued at more than \$1,000? Account for all such contracts whether or not your interest is reported in Schedules F or H. This requirement to disclose an interest in a lease does not apply to an interest derived through an ownership interest in a business unless the ownership interest exceeds three percent of the total equity of the business.

EITHER check NO / / OR check YES / / and complete Schedule I.

Statements of Economic Interests are open for public inspection.

AFFIRMATION

In accordance with the rules of the house in which I serve, if I receive a request that this disclosure statement be corrected, augmented, or revised in any respect, I hereby pledge that I shall respond promptly to the request. I understand that if a determination is made that the statement is insufficient, I will satisfy such request or be subjected to disciplinary action of my house.

I swear or affirm that the foregoing information is full, true and correct to the best of my knowledge.

Signature
Commonwealth of Virginia
..... of to wit:
The foregoing disclosure form was acknowledged before me
This day of 19. . . by
Notary Public
My commission expires
(Return only if needed to complete Statement.)

SCHEDULES

to

STATEMENT OF ECONOMIC INTERESTS

NAME

SCHEDULE A - OFFICES AND DIRECTORSHIPS.

Identify each business of which you or a member of
your immediate family is a paid officer or paid director.

Name of Business	Address of Business	Position Held
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.....
.....

RETURN TO ITEM 2

SCHEDULE B - PERSONAL LIABILITIES.

Report personal liability by checking each category. Report only
debts in excess of \$10,000. Do not report debts to any government.
Do not report loans secured by recorded liens on property at
least equal in value to the loan.
Report contingent liabilities below and indicate which debts are
contingent.

1. My personal debts are as follows:

Check appropriate categories	Check one \$10,001 to \$50,000	More than \$50,000
Banks
Savings institutions
Other loan or finance companies
Insurance companies
Stock, commodity or other brokerage companies
Other businesses:		
(State principal business activity for each creditor.)
.....
.....
Individual creditors:		
(State principal business or occupation of each creditor.)
.....
.....

2. The personal debts of the members of my immediate family are as

949 follows:

950 -----

951 -----

952 -----

953	954	955	956	957
	Check		Check one	
	appropriate	\$10,001 to	More than	
	categories	\$50,000	\$50,000	
958	Banks	
959	Savings institutions	
960	Other loan or finance companies	
961	Insurance companies	
962	Stock, commodity or other brokerage	
963	companies	
964	Other businesses:			
965	(State principal business activity for each			
966	creditor.)	
967	
968	
969	Individual creditors:			
970	(State principal business or occupation of			
971	each creditor.)	
972	
973	

974 -----

975 -----

976 RETURN TO ITEM 3

977

978 SCHEDULE C - SECURITIES.

979	"Securities" INCLUDES stocks, bonds,	"Securities" EXCLUDES
980	mutual funds, money market funds,	certificates of deposit,
981	limited partnerships, and commodity	annuity contracts, and
982	futures contracts.	insurance policies.

983

984

985 Identify each business or Virginia governmental entity in which you

986 or a member of your immediate family, directly or indirectly,

987 separately or together, own securities valued in excess of \$10,000.

988

989 Do not list U.S. Bonds or other government securities not issued

990 by the Commonwealth of Virginia or its authorities, agencies, or

991 local governments. Do not list organizations that do not do

992 business in this Commonwealth, but most major businesses conduct

993 business in Virginia. Account for securities held in trust.

994

995 If no reportable securities, check here / /

996 -----

997 -----

998 -----

999	1000	1001	1002	1003	1004
	Type of	Type of	Type of Security	Check one	
	Name of Issuer	Entity	(stocks, bonds, mutual or	\$10,001 to	More
			money market funds,	\$50,000	than
			etc.)		\$50,000
1003
1004

1005
 1006
 1007 -----

1008 -----
 1009 RETURN TO ITEM 4
 1010

1011 SCHEDULE D - PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.
 1012

1013 List each source from which you received during the past 12
 1014 months lodging, transportation, money, or any other thing of
 1015 value (excluding meals or drinks coincident with a meeting) with
 1016 combined value exceeding \$200 for your presentation of a single
 1017 talk, participation in one meeting, or publication of a work in
 1018 your capacity as a legislator.
 1019

1020 List payments or reimbursements by the Commonwealth only for
 1021 meetings or travel outside the Commonwealth.
 1022

1023 List a payment even if you donated it to charity.
 1024

1025 Do not list information about a payment if you returned it within
 1026 60 days or if you received it from an employer already listed
 1027 under Item 6 or from a source of income listed on Schedule F.
 1028

1029 If no payment must be listed, check here / /
 1030

1031 -----
 1032 -----

			Type of payment (e.g. Honoraria, travel reimburse- ment, etc.)
1034			
1035			
1036			
1037	Payer	Approximate Value	Circumstances
1038
1039
1040
1041
1042	-----	-----	-----

1043 -----
 1044 RETURN TO ITEM 5
 1045

1046 SCHEDULE E - GIFTS.
 1047

1048 List each business, governmental entity, or individual that
 1049 furnished you with any gift or gifts whose total value exceeded
 1050 ~~\$200~~ \$100 during the past 12 months and for which you neither
 1051 paid nor rendered services in exchange. Do not list business
 1052 entertainment related to your private profession or occupation.
 1053 Do not list gifts or other things of value given by a relative
 1054 or personal friend for reasons clearly unrelated to your public
 1055 position. Do not list campaign contributions publicly reported
 1056 as required by Chapter 9 of Title 24.2 of the Code of Virginia.
 1057

1058 -----
 1059 -----
 1060

1061	Name of Business,		
1062	Organization, or	City or County	
1063	Individual	and State	Approximate Value
1064
1065
1066
1067

1068 -----

1069 -----

1070 RETURN TO ITEM 6

1071

1072 SCHEDULE F - BUSINESS INTERESTS.

1073

1074 Complete this Schedule for each self or family owned business

1075 (including rental property, a farm, or consulting work),

1076 partnership, or corporation in which you or a member of your

1077 immediate family, separately or together, own an interest having

1078 a value in excess of \$10,000.

1079

1080 If the enterprise is owned or operated under a trade,

1081 partnership, or corporate name, list that name; otherwise merely

1082 explain the nature of the enterprise. If rental property is owned

1083 or operated under a trade, partnership, or corporate name, list

1084 the name only; otherwise, give the address of each property.

1085 Account for business interests held in trust.

1086

1087 -----

1088 -----

1089

1090	Name of Business,				
1091	Corporation,				
1092	Partnership,		Nature of Enterprise	\$50,000	More
1093	Farm; Address of	City or County	(farming, law, rental	or	than
1094	Rental Property	and State	property, etc.)	less	\$50,000
1095
1096
1097
1098

1099 -----

1100 -----

1101 RETURN TO ITEM 8

1102

1103 SCHEDULE G-1 - PAYMENTS FOR REPRESENTATION BY YOU.

1104

1105 List the businesses you represented before any state governmental

1106 agency, excluding any court or judge, for which you received

1107 total compensation during the past 12 months in excess of \$1,000,

1108 excluding compensation for other services to such businesses and

1109 representation consisting solely of the filing of mandatory

1110 papers and subsequent representation regarding the mandatory

1111 papers filed by you.

1112

1113 Identify each business, the nature of the representation and the

1114 amount received by dollar category from each such business. You

1115 may state the type, rather than name, of the business if you are

1116 required by law not to reveal the name of the business represented

by you.

				Amount Received				
Name	Type	Pur-	Name	\$1,001	More			
of	of	pose	of	to	than			
Busi-	Busi-	of	Agen-	\$10,000	\$10,000			
ness	ness	Repre-	cy					
		tion						
				\$1,001	\$10,001	\$50,001	\$100,001	\$250,001
				to	to	to	to	and
				\$10,000	\$50,000	\$100,000	\$250,000	over
....
....
....
....

SCHEDULE G-2 - PAYMENTS FOR REPRESENTATION BY ASSOCIATES.

List the businesses that have been represented before any state governmental agency, excluding any court or judge, by persons who are your partners, associates or others with whom you have a close financial association and who received total compensation in excess of \$1,000 for such representation during the past 12 months, excluding representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers filed by your partners, associates or others with whom you have a close financial association.

Identify such businesses by type and also name the state governmental agencies before which such person appeared on behalf of such businesses.

Type of business	Name of state government agency
.....
.....
.....
.....

SCHEDULE G-3 - PAYMENTS FOR REPRESENTATION GENERALLY.

Indicate below types of businesses that operate in Virginia to which services were furnished by you or persons with whom you have a close financial association and for which total compensation in excess of \$1,000 was received during the past 12 months.

Identify opposite each category of businesses listed below (i)

1229 Life insur-
1230 ance com-
1231 panies
1232 Casualty in-
1233 surance com-
1234 panies
1235 Other insur-
1236 ance com-
1237 panies
1238 Retail com-
1239 panies
1240 Beer, wine
1241 or liquor
1242 companies or
1243 distributors
1244 Trade asso-
1245 ciations
1246 Professional
1247 associations
1248 Associations
1249 of public
1250 employees or
1251 officials
1252 Counties,
1253 cities or
1254 towns
1255 Labor organi-
1256 zations
1257 Other
1258
1259 -----
1260 -----

RETURN TO ITEM 9

1261
1262
1263 SCHEDULE H - REAL ESTATE.
1264

1265 List real estate other than your principal residence in which
1266 you or a member of your immediate family hold an interest,
1267 including a partnership interest, option, easement, or land
1268 contract, valued at \$10,000 or more. You may list each parcel
1269 of real estate individually if you wish.
1270

1271 -----
1272 -----

1273	1274 List each location	1275 Describe the type of	1276 If the real estate
1277	(state, and county	real estate you own	is owned or recorded
1278	or city) where you	in each location	in a name other than
1279	own real estate.	(business, recreational,	your own, list that
1280		apartment, commercial,	name.
1281		open land, etc.).	
1282
1283
1284

RETURN TO ITEM 10

SCHEDULE I - REAL ESTATE CONTRACTS WITH STATE GOVERNMENTAL AGENCIES.

List all contracts, whether pending or completed within the past twelve months, with a state governmental agency for the sale or exchange of real estate in which you or a member of your immediate family holds an interest, including a corporate, partnership or trust interest, option, easement, or land contract, valued at \$10,000 or more. List all contracts with a state governmental agency for the lease of real estate in which you or a member of your immediate family holds such an interest valued at \$1,000 or more. This requirement to disclose an interest in a lease does not apply to an interest derived through an ownership interest in a business unless the ownership interest exceeds three percent of the total equity of the business.

List your real estate interest and the person or entity, including the type of entity, which is party to the contract. Describe any management role and the percentage ownership interest you or your immediate family member has in the real estate or entity.	List each governmental agency which is a party to the contract and indicate the county or city where the real estate is located.	State the annual income from the contract, and the amount, if any, of income you or any immediate family member derives annually from the contract.
.....
.....
.....
.....
.....

B. Any legislator who makes a knowing misstatement of a material fact on the Statement of Economic Interests shall be subject to disciplinary action for such violations by the house in which the legislator sits.

C. In accordance with the rules of each house, the Statement of Economic Interests of all members of each house shall be reviewed. If a legislator's Statement is found to be inadequate as filed, the legislator shall be notified in writing, directed to file an amended Statement correcting the indicated deficiencies, and a time set within which such amendment shall be filed. If the Statement of Economic Interests, in either its original or amended form, is found to be adequate as filed, the legislator's filing shall be deemed in full compliance with this section as to the information disclosed thereon.

D. Ten percent of the membership of a house, on the basis of newly discovered facts, may in writing request the house in which those members sit, in accordance with the rules of that house, to review the Statement of Economic Interests of another member of that house in order to determine the adequacy of his filing. In accordance with the rules of each house, each Statement of Economic Interests shall be

1342 promptly reviewed, the adequacy of the filing determined, and notice given in writing to the legislator
1343 whose Statement is in issue. Should it be determined that the Statement requires correction,
1344 augmentation or revision, the legislator involved shall be directed to make the changes required within
1345 such time as shall be set under the rules of each house.

1346 If a legislator, after having been notified in writing in accordance with the rules of the house in
1347 which he sits that his Statement is inadequate as filed, fails to amend his Statement so as to come into
1348 compliance within the time limit set, he shall be subject to disciplinary action by the house in which he
1349 sits. No legislator shall vote on any question relating to his own Statement.