

1997 SESSION

INTRODUCED

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SENATE BILL NO. 813

Offered January 9, 1997

A BILL to amend and reenact §§ 2.1-639.15 and 2.1-639.41 of the Code of Virginia, relating to the conflict of interests laws; disclosure forms.

Patrons—Miller, K.G., Benedetti, Bolling, Earley, Hanger, Martin, Norment, Schrock, Stolle and Waddell

Referred to the Committee on General Laws

Be it enacted by the General Assembly of Virginia:

1. That §§ 2.1-639.15 and 2.1-639.41 of the Code of Virginia are amended and reenacted as follows:

§ 2.1-639.15. Disclosure form.

The disclosure form to be used for filings required by § 2.1-639.13 A and D, and § 2.1-639.14 A and D shall be substantially as follows:

STATEMENT OF ECONOMIC INTERESTS.

Name
Office or position held or sought
Home address
Names of members of immediate family

DEFINITIONS AND EXPLANATORY MATERIAL.

"Immediate family" means (i) a spouse and (ii) any other person residing in the same household as the officer or employee, who is a dependent of the officer or employee or of whom the officer or employee is a dependent.

"Dependent" means any person, whether or not related by blood or marriage, who receives from the officer or employee, or provides to the officer or employee, more than one-half of his financial support.

"Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise, association, trust or foundation, or any other individual or entity carrying on a business or profession, whether or not for profit.

"Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item having monetary value. It includes services as well as gifts of transportation, local travel, lodgings and meals, whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the expense has been incurred. "Gift" shall not include any offer of a ticket or other admission or pass unless the ticket, admission, or pass is used. "Gift" shall not include honorary degrees and presents from relatives. "Relative" means the donee's spouse, child, uncle, aunt, niece, or nephew; a person to whom the donee is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother, or sister; or the donee's brother's or sister's spouse.

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56 TRUST. If you or your immediate family, separately or together,
57 are the only beneficiaries of a trust, treat the trust's assets
58 as if you own them directly. If you or your immediate family has
59 a proportional interest in a trust, treat that proportion of the
60 trust's assets as if you own them directly. For example, if you
61 and your immediate family have a one-third interest in a trust,
62 complete your Statement as if you own one-third of each of the
63 trust's assets. If you or a member of your immediate family
64 created a trust and can revoke it without the beneficiaries'
65 consent, treat its assets as if you own them directly.

66
67 REPORT TO THE BEST OF INFORMATION AND BELIEF. Information
68 required on this Statement must be provided on the basis of the
69 best knowledge, information and belief of the individual filing
70 the Statement as of the date of this report unless otherwise
71 stated.

72
73 COMPLETE ITEMS 1 THROUGH 10. REFER TO SCHEDULES ONLY IF DIRECTED.

74
75 You may attach additional explanatory information.

76
77 1. Offices and Directorships.

78 Are you or a member of your immediate family a paid officer
79 or paid director of a business?

80 EITHER check NO / / OR check YES / / and complete
81 Schedule A.

82 2. Personal Liabilities.

83 Do you or a member of your immediate family owe more than
84 \$10,000 to any one creditor including contingent liabilities?
85 (Exclude debts to any government and loans secured by recorded
86 liens on property at least equal in value to the loan.)

87 EITHER check NO / / OR check YES / / and complete
88 Schedule B.

89 3. Securities.

90 Do you or a member of your immediate family, directly or
91 indirectly, separately or together, own securities valued
92 in excess of \$10,000 invested in one business? Account for
93 mutual funds, limited partnerships and trusts.

94 EITHER check NO / / OR check YES / / and complete
95 Schedule C.

96 4. Payments for Talks, Meetings, and Publications.

97 During the past 12 months did you receive lodging,
98 transportation, money, or anything else of value with a
99 combined value exceeding \$200 for a single talk, meeting,
100 or published work in your capacity as an officer or employee of
101 your agency?

102 EITHER check NO / / OR check YES / / and complete
103 Schedule D.

104 5. Gifts.

105 During the past 12 months did a business, government, or
106 individual other than a relative or personal friend furnish
107 you with any gift or gifts the total value of which
108 exceeded ~~\$200~~ \$25 and for which you neither paid nor
109 rendered services in exchange? Account for all business
110 entertainment (except if related to your private profession
111 or occupation) even if unrelated to your official duties.

112 EITHER check NO / / OR check YES / / and complete

Schedule E.

6. Salary and Wages.

List each employer that pays you or a member of your immediate family salary or wages in excess of \$10,000 annually. (Exclude state or local government or advisory agencies.)

If no reportable salary or wages, check here / /.

.....

7. Business Interests.

Do you or a member of your immediate family separately or together, operate your own business, or own or control an interest in excess of \$10,000 in a business?

EITHER check NO / / OR check YES / / and complete
 Schedule F.

8. Payments for Representation.

8A. Did you represent any businesses before any state governmental agencies, excluding courts or judges, for which you received total compensation during the past 12 months in excess of \$1,000, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers? (Officers and employees of local governmental and advisory agencies do NOT need to answer this question or complete Schedule G-1.)

EITHER check NO / / OR check YES / / and complete
 Schedule G-1.

8B. Subject to the same exceptions as in 8A, did persons with whom you have a close financial association (partners, associates or others) represent any businesses before any state governmental agency for which total compensation was received during the past 12 months in excess of \$1,000? (Officers and employees of local governmental and advisory agencies do NOT need to answer this question or complete Schedule G-2.)

EITHER check NO / / OR check YES / / and complete
 Schedule G-2.

8C. Did you or persons with whom you have a close financial association furnish services to businesses operating in Virginia for which total compensation in excess of \$1,000 was received during the past 12 months?

EITHER check NO / / OR check YES / / and complete
 Schedule G-3.

9. Real Estate.

9A. State Officers and Employees.

Do you or a member of your immediate family hold an interest, including a partnership interest, valued at \$10,000 or more in real property (other than your principal residence) for which you have not already listed the full address on Schedule F? Account for real estate held in trust.

EITHER check NO / / OR check YES / / and complete
 Schedule H-1.

9B. Local Officers and Employees.

Do you or a member of your immediate family hold an interest, including a partnership interest, valued at \$10,000 or more in real property located in the county, city or town in which you serve or in a county, city or town contiguous to the county, city or town in which you serve (other than your principal

169 residence) for which you have not already listed the full
170 address on Schedule F? Account for real estate held in trust.
171 EITHER check NO / / OR check YES / / and complete
172 Schedule H-2.

173 10. Real Estate Contracts with Government Agencies.

174 Do you or a member of your immediate family hold an interest
175 valued at more than \$10,000 in real estate, including a
176 corporate, partnership, or trust interest, option,
177 easement, or land contract, which real estate is the
178 subject of a contract, whether pending or completed within
179 the past twelve months, with a governmental agency? If the
180 real estate contract provides for the leasing of the property
181 to a governmental agency, do you or a member of your immediate
182 family hold an interest in the real estate valued at more than
183 \$1,000? Account for all such contracts whether or not your
184 interest is reported in schedules F, H-1, or H-2. This
185 requirement to disclose an interest in a lease does not apply
186 to an interest derived through an ownership interest in a
187 business unless the ownership interest exceeds three percent
188 of the total equity of the business.
189 EITHER check NO / / OR check YES / / and complete
190 Schedule I.

191
192 Statements of Economic Interests are open for public inspection.
193

194 AFFIRMATION BY ALL FILERS

195
196 I swear or affirm that the foregoing information is full, true and
197 correct to the best of my knowledge.

198
199 Signature.....
200 Commonwealth of Virginia
201of.....to wit:
202 The foregoing disclosure form was acknowledged before me
203 This.....day of.....19.. by
204 Notary Public
205 My commission expires.....
206 (Return only if needed to complete Statement.)

207
208 SCHEDULES

209 to

210 STATEMENT OF ECONOMIC INTERESTS

211
212 NAME.....
213

214 SCHEDULE A - OFFICES AND DIRECTORSHIPS.

215
216 Identify each business of which you or a member of your
217 immediate family is a paid officer or paid director.

218
219 -----
220 -----

221
222 Name of Business Address of Business Position Held
223
224
225
226

226
 227
 228

229 -----
 230 -----

231 RETURN TO ITEM 2

232

233 SCHEDULE B - PERSONAL LIABILITIES.

234

235 Report personal liability by checking each category. Report only
 236 debts in excess of \$10,000. Do not report debts to any government.

237 Do not report loans secured by recorded liens on property at least
 238 equal in value to the loan.

239 Report contingent liabilities below and indicate which debts are
 240 contingent.

241

242 1. My personal debts are as follows:

243

244 -----
 245 -----

246

	Check	Check one
	appropriate	\$10,001 to More than
	categories	\$50,000 \$50,000

250

251 Banks
 252 Savings institutions
 253 Other loan or finance companies
 254 Insurance companies
 255 Stock, commodity or other brokerage
 256 companies
 257 Other businesses:

258 (State principal business activity for each
 259 creditor.)

260
 261
 262 Individual creditors:

263 (State principal business or
 264 occupation of each creditor.)

265
 266
 267

268 -----
 269 -----

270

271 2. The personal debts of the members of my immediate family are as
 272 follows:

273 -----
 274 -----
 275 -----

276

277 Check Check one

278 appropriate \$10,001 to More than

279 categories \$50,000 \$50,000

280

281 Banks

282 Savings institutions
 283 Other loan or finance companies
 284 Insurance companies
 285 Stock, commodity or other brokerage
 286 companies
 287 Other businesses:
 288 (State principal business activity
 289 for each creditor.)
 290
 291
 292 Individual creditors:
 293 (State principal business or
 294 occupation of each creditor.)
 295
 296
 297

298 -----
 299 -----

300 RETURN TO ITEM 3

301
 302 SCHEDULE C - SECURITIES.
 303

304 "Securities" INCLUDES stocks, bonds, 305 mutual funds, money market funds, 306 limited partnerships, and commodity 307 futures contracts.	"Securities" EXCLUDES certificates of deposit, annuity contracts, and insurance policies.
--	--

308
 309 Identify each business or Virginia governmental entity in which you
 310 or a member of your immediate family, directly or indirectly,
 311 separately or together, own securities valued in excess of \$10,000.
 312

313 Do not list U.S. Bonds or other government securities not issued
 314 by the Commonwealth of Virginia or its authorities, agencies, or
 315 local governments. Do not list organizations that do not do
 316 business in this Commonwealth, but most major businesses conduct
 317 business in Virginia. Account for securities held in trust.
 318

319 If no reportable securities, check here / /

320 -----
 321 -----

Name of Issuer	Type of Entity	Type of Security (stocks, bonds, mutual or money market funds, \$10,001 to etc.)	Check one More \$50,000	than \$50,000
327
328
329
330

331
 332 -----
 333 -----

334 RETURN TO ITEM 4
 335

336 SCHEDULE D - PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.

337
 338 List each source from which you received during the past 12 months

lodging, transportation, money, or any other thing of value (excluding meals or drinks coincident with a meeting) with combined value exceeding \$200 for your presentation of a single talk, participation in one meeting, or publication of a work in your capacity as an officer or employee of your agency.

List payments or reimbursements by an advisory or governmental agency only for meetings or travel outside the Commonwealth.

List a payment even if you donated it to charity.

Do not list information about a payment if you returned it within 60 days or if you received it from an employer already listed under Item 6 or from a source of income listed on Schedule F.

If no payment must be listed, check here / /

Payer	Approximate Value	Circumstances	Type of payment (e.g. honoraria, travel reimbursement, etc.)
.....
.....
.....
.....

RETURN TO ITEM 5

SCHEDULE E - GIFTS.

List each business, governmental entity, or individual that furnished you with any gift or gifts whose total value exceeded ~~\$200~~ \$25 during the past 12 months and for which you neither paid nor rendered services in exchange. Do not list business entertainment related to your private profession or occupation. Do not list gifts from a relative or from a personal friend given for reasons clearly unrelated to your public position. Do not list campaign contributions publicly reported as required by Chapter 9 (§ 24.2-900 et seq.) of Title 24.2 of the Code of Virginia.

Name of Business, Organization, or Individual	City or County and State	Approximate Value
.....
.....
.....
.....

RETURN TO ITEM 6

SCHEDULE F - BUSINESS INTERESTS.

Complete this Schedule for each self or family owned business (including rental property, a farm, or consulting work), partnership, or corporation in which you or a member of your immediate family, separately or together, own an interest having a value in excess of \$10,000.

If the enterprise is owned or operated under a trade, partnership, or corporate name, list that name; otherwise merely explain the nature of the enterprise. If rental property is owned or operated under a trade, partnership, or corporate name, list the name only; otherwise, give the address of each property. Account for business interests held in trust.

Name of Business, Corporation, Partnership, Farm; Address of Rental Property	City or County and State	Nature of Enterprise (farming, law, rental property, etc.)	\$50,000 or less	More than \$50,000
.....
.....
.....

RETURN TO ITEM 8

SCHEDULE G-1 - PAYMENTS FOR REPRESENTATION BY YOU.

List the businesses you represented before any state governmental agency, excluding any court or judge, for which you received total compensation during the past 12 months in excess of \$1,000, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers.

Identify each business, the nature of the representation and the amount estimated compensation received by category from each such business.

Only STATE officers and employees should complete this Schedule.

~~Amount Received~~

Name of Type of Purpose of Name of ~~\$1,001 to More than~~

Business Business Representation Agency ~~\$10,000~~ ~~\$10,000~~
Estimated Compensation

.....

SCHEDULE G-2 - PAYMENTS FOR REPRESENTATION BY ASSOCIATES.

List the businesses that have been represented before any state governmental agency, excluding any court or judge, by persons who are your partners, associates or others with whom you have a close financial association and who received total compensation in excess of \$1,000 for such representation during the past 12 months, excluding representation consisting solely of the filing of mandatory papers.

Identify such businesses by type and also name the state governmental agencies before which such person appeared on behalf of such businesses.

Only STATE officers and employees should complete this Schedule.

Type of business	Name of state governmental agency
.....
.....
.....
.....

SCHEDULE G-3 - PAYMENTS FOR REPRESENTATION GENERALLY.

Indicate below types of businesses that operate in Virginia to which services were furnished by you or persons with whom you have a close financial association and for which total compensation in excess of \$1,000 was received during the past 12 months.

Identify opposite each category of businesses listed below (i) the type of business, (ii) the type of service rendered and (iii) the ~~value of the estimated~~ compensation received for all businesses falling within each category.

~~Value of Compensation~~

	Check if	Type of	\$1,001—More
	services	service	to—than
	were rendered	rendered	\$10,000—\$10,000
			<i>Estimated compensation</i>
508			
509			
510			
511			
512			
513	Electric utilities
514	Gas utilities
515	Telephone utilities
516	Water utilities
517	Cable television		
518	companies
519	Interstate		
520	transportation		
521	companies
522	Intrastate		
523	transportation		
524	companies
525	Oil or gas		
526	retail		
527	companies
528	Banks
529	Savings		
530	institutions
531	Loan or finance		
532	companies
533	Manufacturing		
534	companies		
535	(state type of		
536	product,		
537	e.g., textile,		
538	furniture,		
539	etc.)
540	Mining companies
541	Life insurance		
542	companies
543	Casualty insurance		
544	companies
545	Other insurance		
546	companies
547	Retail companies
548	Beer, wine or		
549	liquor companies		
550	or distributors
551	Trade associations
552	Professional		
553	associations
554	Associations of		
555	public employees		
556	or officials
557	Counties, cities or		
558	towns
559	Labor organizations
560	Other
561			
562	-----		
563	-----		
564			

SCHEDULE H-1 - REAL ESTATE - STATE OFFICERS AND EMPLOYEES.

List real estate other than your principal residence in which you or a member of your immediate family hold an interest, including a partnership interest, option, easement, or land contract, valued at \$10,000 or more. You may list each parcel of real estate individually if you wish.

List each location (state, and county or city) where you own real estate.	Describe the type of real estate you own in each location (business, recreational, apartment, commercial, open land, etc.).	If the real estate is owned or recorded in a name other than your own, list that name.
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.....
.....
.....
.....

SCHEDULE H-2 - REAL ESTATE - LOCAL OFFICERS AND EMPLOYEES.

List real estate located in your county, city, or town, and any contiguous county, city, or town other than your principal residence in which you or a member of your immediate family hold an interest, including a partnership interest, option, easement, or land contract, valued at \$10,000 or more. You may list each parcel of real estate individually if you wish.

List the counties and cities in which you own real estate.	Describe the type of real estate you own in each county or city (business, recreational, apartment, commercial, open land, etc.).	If the real estate is owned or recorded in a name other than your own, list that name.
.....
.....
.....
.....
.....

RETURN TO ITEM 10

SCHEDULE I - REAL ESTATE CONTRACTS WITH GOVERNMENT AGENCIES.

List all contracts, whether pending or completed within the past twelve months, with a governmental agency for the sale

621 or exchange of real estate in which you or a member of
622 your immediate family holds an interest, including a corporate,
623 partnership or trust interest, option, easement, or land contract,
624 valued at \$10,000 or more. List all contracts with a
625 governmental agency for the lease of real estate in which you or a
626 member of your immediate family holds such an interest valued at
627 \$1,000 or more. This requirement to disclose an interest in a
628 lease does not apply to an interest derived through an ownership
629 interest in a business unless the ownership interest exceeds three
630 percent of the total equity of the business.

631 State officers and employees report contracts with state agencies.
632 Local officers and employees report contracts with local agencies.

633			
634	List your real	List each	State the annual
635	estate interest	governmental agency	income from the
636	and the person	which is a party to	contract, and the
637	or entity,	the contract and in-	amount, if any, of
638	including the	dicade the county	income you or any
639	type of entity,	or city where the real	immediate family
640	which is party	estate is located.	member derives
641	to the contract.		annually from the
642	Describe any		contract.
643	management role		
644	and the percentage		
645	ownership interest		
646	you or your		
647	immediate family		
648	member has in the		
649	real estate or entity.		
650
651
652
653
654
655

656
657 § 2.1-639.41. Disclosure form.
658 A. The disclosure form to be used for filings required by § 2.1-639.40 A and B shall be substantially
659 as follows:

660
661 STATEMENT OF ECONOMIC INTERESTS.

662
663 Name
664 Office or position held or sought
665 Home address
666 Names of members of immediate family
667

668 DEFINITIONS AND EXPLANATORY MATERIAL.

669
670 "Immediate family" means (i) a spouse and (ii) any other person
671 residing in the same household as the legislator, who is a dependent
672 of the legislator or of whom the legislator is a dependent.
673

674 "Dependent" means any person, whether or not related by blood or
675 marriage, who receives from the legislator, or provides to the
676 legislator, more than one-half of his financial support.
677

"Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise, association, trust or foundation, or any other individual or entity carrying on a business or profession, whether or not for profit.

"Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item having monetary value. It includes services as well as gifts of transportation, local travel, lodgings and meals, whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the expense has been incurred. "Gift" shall not include any offer of a ticket or other admission or pass unless the ticket, admission, or pass is used. "Gift" shall not include honorary degrees and presents from relatives. "Relative" means the donee's spouse, child, uncle, aunt, niece, or nephew; a person to whom the donee is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother, or sister; or the donee's brother's or sister's spouse.

TRUST. If you or your immediate family, separately or together, are the only beneficiaries of a trust, treat the trust's assets as if you own them directly. If you or your immediate family has a proportional interest in a trust, treat that proportion of the trust's assets as if you own them directly. For example, if you and your immediate family have a one-third interest in a trust, complete your Statement as if you own one-third of each of the trust's assets. If you or a member of your immediate family created a trust and can revoke it without the beneficiaries' consent, treat its assets as if you own them directly.

REPORT TO THE BEST OF INFORMATION AND BELIEF. Information required on this Statement must be provided on the basis of the best knowledge, information and belief of the individual filing the Statement as of the date of this report unless otherwise stated.

COMPLETE ITEMS 1 THROUGH 10. REFER TO SCHEDULES ONLY IF DIRECTED.

You may attach additional explanatory information.

1. Offices and Directorships.

Are you or a member of your immediate family a paid officer or paid director of a business?

EITHER check NO / / OR check YES / / and complete Schedule A.

2. Personal Liabilities.

Do you or a member of your immediate family owe more than \$10,000 to any one creditor including contingent liabilities? (Exclude debts to any government and loans secured by recorded liens on property at least equal in value to the loan.)

EITHER check NO / / OR check YES / / and complete Schedule B.

3. Securities.

Do you or a member of your immediate family, directly or indirectly, separately or together, own securities valued in excess of \$10,000 invested in one business? Account for mutual funds, limited partnerships and trusts.

EITHER check NO / / OR check YES / / and complete Schedule C.

734 4. Payments for Talks, Meetings, and Publications.
735 During the past 12 months did you receive lodging, transportation,
736 money, or anything else of value with a combined value exceeding
737 \$200 for a single talk, meeting, or published work in your capacity
738 as a legislator?
739 EITHER check NO / / OR check YES / / and complete Schedule D.
740 5. Gifts.
741 During the past 12 months did a business, government, or individual
742 other than a relative or personal friend furnish you with any gift
743 or gifts the total value of which exceeded ~~\$200~~ \$25 and for
744 which you neither paid nor rendered services in exchange?
745 Account for all business entertainment (except if related to your
746 private profession or occupation) even if unrelated to your
747 official duties.
748 EITHER check NO / / OR check YES / / and complete Schedule E.
749 6. Salary and Wages.
750 List each employer that pays you or a member of your immediate
751 family salary or wages in excess of \$10,000 annually. (Exclude
752 state or local government or advisory agencies.)
753 If no reportable salary or wages, check here / /.
754
755
756
757
758
759 7. Business Interests.
760 Do you or a member of your immediate family separately or together,
761 operate your own business, or own or control an interest in excess
762 of \$10,000 in a business?
763 EITHER check NO / / OR check YES / / and complete Schedule F.
764 8. Payments for Representation.
765 8A. Did you represent any businesses before any state governmental
766 agencies, excluding courts or judges, for which you received total
767 compensation during the past 12 months in excess of \$1,000,
768 excluding compensation for other services to such businesses and
769 representation consisting solely of the filing of mandatory papers?
770 EITHER check NO / / OR check YES / / and complete Schedule G-1.
771 8B. Subject to the same exceptions as in 8A, did persons with whom
772 you have a close financial association (partners, associates or
773 others) represent any businesses before any state governmental
774 agency for which total compensation was received during the past
775 12 months in excess of \$1,000?
776 EITHER check NO / / OR check YES / / and complete Schedule G-2.
777 8C. Did you or persons with whom you have a close financial
778 association furnish services to businesses operating in Virginia
779 for which total compensation in excess of \$1,000 was received
780 during the past 12 months?
781 EITHER check NO / / OR check YES / / and complete Schedule G-3.
782 9. Real Estate.
783 Do you or a member of your immediate family hold an interest,
784 including a partnership interest, valued at \$10,000 or more in real
785 property (other than your principal residence) for which you have
786 not already listed the full address on Schedule F? Account for real
787 estate held in trust.
788 EITHER check NO / / OR check YES / / and complete Schedule H.
789 10. Real Estate Contracts with State Governmental Agencies.
790 Do you or a member of your immediate family hold an interest valued

at more than \$10,000 in real estate, including a corporate, partnership, or trust interest, option, easement, or land contract, which real estate is the subject of a contract, whether pending or completed within the past twelve months, with a state governmental agency? If the real estate contract provides for the leasing of the property to a state governmental agency, do you or a member of your immediate family hold an interest in the real estate, including a corporate, partnership, or trust interest, option, easement, or land contract valued at more than \$1,000? Account for all such contracts whether or not your interest is reported in Schedules F or H. This requirement to disclose an interest in a lease does not apply to an interest derived through an ownership interest in a business unless the ownership interest exceeds three percent of the total equity of the business.

EITHER check NO / / OR check YES / / and complete Schedule I.

Statements of Economic Interests are open for public inspection.

AFFIRMATION

In accordance with the rules of the house in which I serve, if I receive a request that this disclosure statement be corrected, augmented, or revised in any respect, I hereby pledge that I shall respond promptly to the request. I understand that if a determination is made that the statement is insufficient, I will satisfy such request or be subjected to disciplinary action of my house.

I swear or affirm that the foregoing information is full, true and correct to the best of my knowledge.

Signature
 Commonwealth of Virginia
 of to wit:
 The foregoing disclosure form was acknowledged before me
 This day of 19. . . by
 Notary Public
 My commission expires
 (Return only if needed to complete Statement.)

SCHEDULES

to

STATEMENT OF ECONOMIC INTERESTS

NAME

SCHEDULE A - OFFICES AND DIRECTORSHIPS.

Identify each business of which you or a member of your immediate family is a paid officer or paid director.

Name of Business	Address of Business	Position Held
.....

852
853 RETURN TO ITEM 2

854

856

863

865

868

872 Banks

874	Other loan or finance companies
------------	---------------------------------	-------	-------

876 Stock, commodity or other brokerage

878 Other businesses:

880 creditor.)

882

884 (State principal business or occupation of

886

888

890 2. The personal debts of the members of my immediate family are as

892

894 -----

896 Check Check one

897	appropriated	\$10,000	CO	NOTE	enroll
898	categories	\$50,000		\$50,000	

900 Banks

901	Savings institutions
902	Other loan or finance companies		

Insurance companies

904 Stock, commodity or other brokerage
 905 companies
 906 Other businesses:
 907 (State principal business activity for each
 908 creditor.)
 909
 910
 911 Individual creditors:
 912 (State principal business or occupation of
 913 each creditor.)
 914
 915

916
917 RETURN TO ITEM 3
918

919 SCHEDULE C - SECURITIES.
920

921 "Securities" INCLUDES stocks, bonds,	"Securities" EXCLUDES
922 mutual funds, money market funds,	certificates of deposit,
923 limited partnerships, and commodity	annuity contracts, and
924 futures contracts.	insurance policies.

925
 926 Identify each business or Virginia governmental entity in which you
 927 or a member of your immediate family, directly or indirectly,
 928 separately or together, own securities valued in excess of \$10,000.
 929

930 Do not list U.S. Bonds or other government securities not issued
 931 by the Commonwealth of Virginia or its authorities, agencies, or
 932 local governments. Do not list organizations that do not do
 933 business in this Commonwealth, but most major businesses conduct
 934 business in Virginia. Account for securities held in trust.
 935

936 If no reportable securities, check here / /
937

938 -----
939 -----

940		Type of Security	Check one
941		(stocks, bonds, mutual or	More
942	Type of	money market funds,	\$10,001 to
943	Name of Issuer	Entity	\$50,000
944		etc.)	\$50,000
945
946
947

948 -----
949 -----

950
951 RETURN TO ITEM 4
952

953 SCHEDULE D - PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.
954

955 List each source from which you received during the past 12
 956 months lodging, transportation, money, or any other thing of
 957 value (excluding meals or drinks coincident with a meeting) with
 958 combined value exceeding \$200 for your presentation of a single
 959 talk, participation in one meeting, or publication of a work in

960 your capacity as a legislator.

961

962 List payments or reimbursements by the Commonwealth only for
963 meetings or travel outside the Commonwealth.

964

965 List a payment even if you donated it to charity.

966

967 Do not list information about a payment if you returned it within
968 60 days or if you received it from an employer already listed
969 under Item 6 or from a source of income listed on Schedule F.

970

971 If no payment must be listed, check here / /

972

973 -----

974 -----

975

976			Type of payment (e.g. honoraria, travel reimburse- ment, etc.)
977	Payer	Approximate Value	Circumstances
978
979
980
981
982
983
984	-----	-----	-----
985	-----	-----	-----

987 RETURN TO ITEM 5

988

989 SCHEDULE E - GIFTS.

990

991 List each business, governmental entity, or individual that
992 furnished you with any gift or gifts whose total value exceeded
993 \$200 \$25 during the past 12 months and for which you neither
994 paid nor rendered services in exchange. Do not list business
995 entertainment related to your private profession or occupation.
996 Do not list gifts or other things of value given by a relative
997 or personal friend for reasons clearly unrelated to your public
998 position. Do not list campaign contributions publicly reported
999 as required by Chapter 9 of Title 24.2 of the Code of Virginia.

1000

1001 -----

1002 -----

1003

1004	Name of Business, Organization, or Individual	City or County and State	Approximate Value
1005
1006
1007
1008
1009
1010
1011	-----	-----	-----
1012	-----	-----	-----

1013 RETURN TO ITEM 6

1014

1015

1016 SCHEDULE F - BUSINESS INTERESTS.

Complete this Schedule for each self or family owned business (including rental property, a farm, or consulting work), partnership, or corporation in which you or a member of your immediate family, separately or together, own an interest having a value in excess of \$10,000.

If the enterprise is owned or operated under a trade, partnership, or corporate name, list that name; otherwise merely explain the nature of the enterprise. If rental property is owned or operated under a trade, partnership, or corporate name, list the name only; otherwise, give the address of each property. Account for business interests held in trust.

Name of Business, Corporation, Partnership, Farm; Address of Rental Property	City or County and State	Nature of Enterprise (farming, law, rental property, etc.)	\$50,000 or less	More than \$50,000
.....
.....
.....
.....

RETURN TO ITEM 8

SCHEDULE G-1 - PAYMENTS FOR REPRESENTATION BY YOU.

List the businesses you represented before any state governmental agency, excluding any court or judge, for which you received total compensation during the past 12 months in excess of \$1,000, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers.

Identify each business, the nature of the representation and the amount estimated compensation received by category from each such business.

Name of Business	Type of Business	Purpose of Representation	Name of Agency	Amount Received \$1,001 to More than \$10,000 Estimated compensation
.....
.....
.....
.....

1073
1074
1075 SCHEDULE G-2 - PAYMENTS FOR REPRESENTATION BY ASSOCIATES.
1076
1077 List the businesses that have been represented before any state
1078 governmental agency, excluding any court or judge, by persons who
1079 are your partners, associates or others with whom you have a
1080 close financial association and who received total compensation
1081 in excess of \$1,000 for such representation during the past 12
1082 months, excluding representation consisting solely of the filing
1083 of mandatory papers.
1084
1085 Identify such businesses by type and also name the state
1086 governmental agencies before which such person appeared on behalf
1087 of such businesses.
1088
1089
1090
1091 Type of business Name of state governmental agency
1092
1093
1094
1095
1096
1097
1098
1099 SCHEDULE G-3 - PAYMENTS FOR REPRESENTATION GENERALLY.
1100
1101 Indicate below types of businesses that operate in Virginia to
1102 which services were furnished by you or persons with whom you
1103 have a close financial association and for which total
1104 compensation in excess of \$1,000 was received during the past 12
1105 months.
1106
1107 Identify opposite each category of businesses listed below (i)
1108 the type of business, (ii) the type of service rendered and (iii)
1109 the ~~value of the~~ estimated compensation received for all businesses
1110 falling within each category.
1111
1112
1113
1114
1115
1116
1117
1118
1119
1120
1121
1122
1123
1124
1125
1126
1127
1128
1129

	Check if	Type of	Value of Compensation
	services	service	\$1,001 More
	were rendered	rendered	to than
			\$10,000 \$10,000
			Estimated
			compensation
Electric utilities
Gas utilities
Telephone utilities
Water utilities
Cable television			
companies
Interstate			
transportation			
companies

1130 Intrastate
 1131 transportation
 1132 companies
 1133 Oil or gas retail
 1134 companies
 1135 Banks
 1136 Savings
 1137 institutions
 1138 Loan or finance
 1139 companies
 1140 Manufacturing companies
 1141 (state type of product,
 1142 e.g., textile, furniture
 1143 etc.)
 1144 Mining companies
 1145 Life insurance
 1146 companies
 1147 Casualty insurance
 1148 companies
 1149 Other insurance
 1150 companies
 1151 Retail companies
 1152 Beer, wine or liquor
 1153 companies or
 1154 distributors
 1155 Trade associations
 1156 Professional
 1157 associations
 1158 Associations of
 1159 public employees
 1160 or officials
 1161 Counties, cities or
 1162 towns
 1163 Labor organizations
 1164 Other
 1165
 1166 -----
 1167 -----

RETURN TO ITEM 9

1168
1169
1170 SCHEDULE H - REAL ESTATE.

1171
 1172 List real estate other than your principal residence in which you
 1173 or a member of your immediate family hold an interest, including
 1174 a partnership interest, option, easement, or land contract,
 1175 valued at \$10,000 or more. You may list each parcel of real
 1176 estate individually if you wish.
 1177 -----
 1178 -----

1179
 1180 List each location Describe the type of real If the real estate is
 1181 (state, and county estate you own in each owned or recorded in
 1182 or city) where you location (business, a name other than
 1183 own real estate. recreational, apartment, your own, list that
 1184 commercial, open land, name.
 1185 etc.).

1186
 1187
 1188
 1189
 1190
 1191 -----
 1192 -----

1193
 1194 RETURN TO ITEM 10
 1195

1196 SCHEDULE I - REAL ESTATE CONTRACTS WITH STATE GOVERNMENTAL AGENCIES.

1197
 1198 List all contracts, whether pending or completed within the past
 1199 twelve months, with a state governmental agency for the sale or
 1200 exchange of real estate in which you or a member of your
 1201 immediate family holds an interest, including a corporate,
 1202 partnership or trust interest, option, easement, or land
 1203 contract, valued at \$10,000 or more. List all contracts with a
 1204 state governmental agency for the lease of real estate in which
 1205 you or a member of your immediate family holds such an interest
 1206 valued at \$1,000 or more. This requirement to disclose an
 1207 interest in a lease does not apply to an interest derived through
 1208 an ownership interest in a business unless the ownership interest
 1209 exceeds three percent of the total equity of the business.

1210
 1211 -----
 1212 -----

1213	1214	1215	1216	1217	1218	1219	1220	1221	1222	1223	1224	1225	1226	1227
	List your real	List each												
	estate interest and	governmental agency												
	the person or entity,	which is a party to												
	including the type of	the contract and												
	entity, which is	indicate the county												
	party to the contract.	or city where the												
	Describe any	real estate is												
	management role and	located.												
	the percentage													
	ownership interest													
	you or your immediate													
	family member has in													
	the real estate													
	or entity.													

1228
 1229
 1230
 1231
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 1233 -----
 1234 -----

1235
 1236 B. Any legislator who makes a knowing misstatement of a material fact on the Statement of
 1237 Economic Interests shall be subject to disciplinary action for such violations by the house in which the
 1238 legislator sits.

1239 C. In accordance with the rules of each house, the Statement of Economic Interests of all members
 1240 of each house shall be reviewed. If a legislator's Statement is found to be inadequate as filed, the
 1241 legislator shall be notified in writing, directed to file an amended Statement correcting the indicated
 1242 deficiencies, and a time set within which such amendment shall be filed. If the Statement of Economic

1243 Interests, in either its original or amended form, is found to be adequate as filed, the legislator's filing
1244 shall be deemed in full compliance with this section as to the information disclosed thereon.

1245 D. Ten percent of the membership of a house, on the basis of newly discovered facts, may in writing
1246 request the house in which those members sit, in accordance with the rules of that house, to review the
1247 Statement of Economic Interests of another member of that house in order to determine the adequacy of
1248 his filing. In accordance with the rules of each house, each Statement of Economic Interests shall be
1249 promptly reviewed, the adequacy of the filing determined, and notice given in writing to the legislator
1250 whose Statement is in issue. Should it be determined that the Statement requires correction,
1251 augmentation or revision, the legislator involved shall be directed to make the changes required within
1252 such time as shall be set under the rules of each house.

1253 If a legislator, after having been notified in writing in accordance with the rules of the house in
1254 which he sits that his Statement is inadequate as filed, fails to amend his Statement so as to come into
1255 compliance within the time limit set, he shall be subject to disciplinary action by the house in which he
1256 sits. No legislator shall vote on any question relating to his own Statement.