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HOUSE BILL NO. 2824**AMENDMENT IN THE NATURE OF A SUBSTITUTE**

(Proposed by the House Committee on Health, Welfare and Institutions
on January 28, 1997)

(Patron Prior to Substitute—Delegate Weatherholtz)

A BILL to amend and reenact §§ 15.1-1682 and 19.2-389 of the Code of Virginia and to amend the Code of Virginia by adding sections numbered 37.1-184.1 and 37.1-197.2, relating to background checks.

Be it enacted by the General Assembly of Virginia:

1. That §§ 15.1-1682 and 19.2-389 of the Code of Virginia are amended and reenacted and that the Code of Virginia is amended by adding sections numbered 37.1-184.1 and 37.1-197.2 as follows:

§ 15.1-1682. Behavioral health authorities; powers and duties.

Every authority shall be deemed to be a public instrumentality, exercising public and essential governmental functions to provide for the public mental health, welfare, convenience and prosperity of the residents and such other persons who might be served by the authority and to provide behavioral health care and related services to such residents and persons. An authority is authorized to exercise the following powers:

1. Review and evaluate all existing and proposed public community mental health, mental retardation, and substance abuse services and facilities available to serve the community and such private services and facilities as receive funds through the authority and advise the locality as to its findings.

2. Within amounts allocated by local, state, federal, Medicaid, and other payers, execute programs and services for consumers in need.

3. Make and enter into all contracts or agreements, as the authority may determine, which are necessary or incidental to the performance of its duties and to the execution of powers granted by this chapter, including contracts with any federal agency, the Commonwealth, or with any unit thereof, behavioral health providers, insurers, and managed care/health care networks on such terms and conditions as the authority may approve.

4. Make rules or regulations concerning the rendition or operation of services and facilities under its direction or supervision, subject to applicable standards or regulations promulgated by the State Mental Health, Mental Retardation and Substance Abuse Services Board.

5. Appoint a chief executive officer of the behavioral health authority and prescribe his duties. The compensation of such chief executive officer shall be fixed by the authority and he shall serve at the pleasure of the authority.

6. Empower the chief executive officer to maintain a complement of professional staff to operate the behavioral health authority's service delivery system.

7. Prescribe a reasonable schedule of fees for services provided by personnel or facilities under the jurisdiction or supervision of the authority and collection of the same. Every authority shall institute a reimbursement system to maximize the collection of fees from persons receiving services under the jurisdiction or supervision of the authority consistent with the provisions of § 37.1-202.1 and from responsible third-party payers.

8. Accept loans, grants or assistance from the federal government, the Commonwealth, any municipality thereof, or from any other sources, public or private, to carry out any of its purposes and enter into any agreement or contract regarding or relating to the acceptance or use or repayment of any such loan, grant or assistance.

9. Notwithstanding any provision of law to the contrary, disburse funds allocated to it in accordance with applicable regulations.

10. Develop joint annual written agreements, consistent with policies and procedures established by the State Board, with local school divisions; health departments; boards of social services; housing agencies, where they exist; courts; sheriffs; area agencies on aging and regional Department of Rehabilitative Services offices. The agreements shall specify what services will be provided to consumers. All participating agencies shall develop and implement the agreements and shall review the agreements annually.

11. Fulfill all other duties specified in the Code of Virginia pertaining to community services boards including: § 37.1-65.1 - judicial certification of eligibility for admission of mentally retarded persons; §§ 37.1-67.1 through 37.1-67.6 - involuntary detention; § 37.1-197.1 - prescription team; § 37.1-197.2 - background checks; § 37.1-198 - plans and budgets; § 37.1-199 - allocation of funds by the Department of Mental Health, Mental Retardation, and Substance Abuse Services; and § 37.1-202.1 - consumer liability for expenses of services.

12. Fulfill all applicable rules, regulations and standards pertaining to the rendition of mental health,

HOUSE SUBSTITUTE

HB2824H1

60 mental retardation, and substance abuse services including, but not limited to, confidentiality, human
61 research assurances, service and facility licensing, and client rights' protection.

62 13. As a public instrumentality, ensure compliance with all applicable organizational and
63 administrative rules, regulations and standards pertaining to human resources; equal employment; fair
64 labor practices; public procurement; risk management; and governmental finance and accounting
65 requirements.

66 14. Make loans and provide other assistance to corporations, partnerships, associations, joint ventures
67 or other entities in carrying out any activities authorized by this chapter.

68 15. Transact its business, locate its offices and control, directly or through stock or nonstock
69 corporations or other entities, facilities that will assist the authority in carrying out the purposes and
70 intent of this chapter, including without limitations the power to own or operate, directly or indirectly,
71 behavioral health facilities in its service area.

72 16. Plan, design, construct, renovate, enlarge, equip, maintain and operate programs for the purpose
73 of providing behavioral health care and related services and other appropriate purposes.

74 17. Acquire property, real or personal, by purchase, gift, devise on such terms and conditions, and in
75 such manner as it may deem proper, and such rights, easements or estates therein as may be necessary
76 for its purposes, and sell, lease and dispose of the same, or any portion thereof or interest therein,
77 whenever it shall become expedient to do so.

78 18. Participate in joint ventures with individuals, corporations, partnerships, associations or other
79 entities for providing behavioral health care or related services or other activities that the authority may
80 undertake to the extent that such undertakings assist the authority in carrying out the purposes and intent
81 of this chapter.

82 19. Conduct or engage in any lawful business, activity, effort or project, necessary or convenient for
83 the purposes of the authority or for the exercise of any of its powers.

84 20. As a public instrumentality, operationalize its administrative management infrastructure in whole
85 or in part independent of the local governing body; however, nothing in the chapter precludes behavioral
86 health authorities from acquiring support services through existing government entities.

87 21. Operationalize capital improvements and bonding through existing economic or industrial
88 development authorities.

89 22. Establish retirement, group life insurance, and group accident and sickness insurance plans or
90 systems for its employees in the same manner as cities, counties and towns are permitted under
91 § 51.1-801.

92 23. Make an annual report to the State Mental Health, Mental Retardation and Substance Abuse
93 Services Board of the authority's activities.

94 24. Ensure a continuation of all client services during any transition period.

95 § 19.2-389. Dissemination of criminal history record information.

96 A. Criminal history record information shall be disseminated, whether directly or through an
97 intermediary, only to:

98 1. Authorized officers or employees of criminal justice agencies, as defined by § 9-169, for purposes
99 of the administration of criminal justice and the screening of an employment application or review of
100 employment by a criminal justice agency with respect to its own employees or applicants, and
101 dissemination to the Virginia Parole Board, pursuant to this subdivision, of such information on all
102 state-responsible inmates for the purpose of making parole determinations pursuant to subdivisions 1, 2,
103 3, and 5 of § 53.1-136 shall include collective dissemination by electronic means every thirty days;

104 2. Such other individuals and agencies which require criminal history record information to
105 implement a state or federal statute or executive order of the President of the United States or Governor
106 that expressly refers to criminal conduct and contains requirements and/or exclusions expressly based
107 upon such conduct, except that information concerning the arrest of an individual may not be
108 disseminated to a noncriminal justice agency or individual if an interval of one year has elapsed from
109 the date of the arrest and no disposition of the charge has been recorded and no active prosecution of
110 the charge is pending;

111 3. Individuals and agencies pursuant to a specific agreement with a criminal justice agency to provide
112 services required for the administration of criminal justice pursuant to that agreement which shall
113 specifically authorize access to data, limit the use of data to purposes for which given, and ensure the
114 security and confidentiality of the data;

115 4. Individuals and agencies for the express purpose of research, evaluative, or statistical activities
116 pursuant to an agreement with a criminal justice agency which shall specifically authorize access to data,
117 limit the use of data to research, evaluative, or statistical purposes, and ensure the confidentiality and
118 security of the data;

119 5. Agencies of state or federal government which are authorized by state or federal statute or
120 executive order of the President of the United States or Governor to conduct investigations determining
121 employment suitability or eligibility for security clearances allowing access to classified information;

122 6. Individuals and agencies where authorized by court order or court rule;

123 7. Agencies of any political subdivision of the Commonwealth for the conduct of investigations of
124 applicants for public employment, permit, or license whenever, in the interest of public welfare or
125 safety, it is necessary to determine under a duly enacted ordinance if the past criminal conduct of a
126 person with a conviction record would be compatible with the nature of the employment, permit, or
127 license under consideration;

128 8. Public or private agencies when and as required by federal or state law or interstate compact to
129 investigate applicants for foster or adoptive parenthood subject to the restriction that the data shall not
130 be further disseminated by the agency to any party other than a federal or state authority or court as
131 may be required to comply with an express requirement of law for such further dissemination;

132 9. To the extent permitted by federal law or regulation, public service companies as defined in
133 § 56-1, for the conduct of investigations of applicants for employment when such employment involves
134 personal contact with the public or when past criminal conduct of an applicant would be incompatible
135 with the nature of the employment under consideration;

136 10. The appropriate authority for purposes of granting citizenship and for purposes of international
137 travel, including but not limited to, issuing visas and passports;

138 11. A person requesting a copy of his own criminal history record information as defined in § 9-169
139 at his cost, except that criminal history record information shall be supplied at no charge to a person
140 who has applied to be a volunteer (i) with a Virginia affiliate of Big Brothers/Big Sisters of America,(ii)
141 with a volunteer fire company or volunteer rescue squad, (iii) as a court-appointed special advocate, or
142 (iv) with the Volunteer Emergency Families for Children;

143 12. Administrators and board presidents of and applicants for licensure or registration as a child
144 welfare agency as defined in § 63.1-195 for dissemination to the Commissioner of Social Services'
145 representative pursuant to § 63.1-198 for the conduct of investigations with respect to employees of and
146 volunteers at such facilities, caretakers, and other adults living in family day-care homes or homes
147 approved by family day-care systems, and foster and adoptive parent applicants of private child-placing
148 agencies, pursuant to § 63.1-198.1, subject to the restriction that the data shall not be further
149 disseminated by the facility or agency to any party other than the data subject, the Commissioner of
150 Social Services' representative or a federal or state authority or court as may be required to comply with
151 an express requirement of law for such further dissemination;

152 13. The school boards of the Commonwealth for the purpose of screening individuals who are
153 offered or who accept public school employment;

154 14. The State Lottery Department for the conduct of investigations as set forth in the State Lottery
155 Law (§ 58.1-4000 et seq.);

156 15. Licensed nursing homes, hospitals and home care organizations for the conduct of investigations
157 of applicants for compensated employment in licensed nursing homes pursuant to § 32.1-126.01, hospital
158 pharmacies pursuant to § 32.1-126.02, and home care organizations pursuant to § 32.1-162.9:1, subject to
159 the limitations set out in subsection E;

160 16. Licensed homes for adults, licensed district homes for adults, and licensed adult day-care centers
161 for the conduct of investigations of applicants for compensated employment in licensed homes for adults
162 pursuant to § 63.1-173.2, in licensed district homes for adults pursuant to § 63.1-189.1, and in licensed
163 adult day-care centers pursuant to § 63.1-194.13, subject to the limitations set out in subsection F;

164 17. The Alcoholic Beverage Control Board for the conduct of investigations as set forth in
165 § 4.1-103.1;

166 18. The State Board of Elections and authorized officers and employees thereof in the course of
167 conducting necessary investigations with respect to registered voters, limited to any record of felony
168 convictions;

169 19. The Commissioner of the Department of Mental Health, Mental Retardation and Substance Abuse
170 Services for those individuals who are committed to the custody of the Commissioner pursuant to
171 §§ 19.2-169.2, 19.2-169.6, 19.2-176, 19.2-177.1, 19.2-182.2, 19.2-182.3, 19.2-182.8 and 19.2-182.9 for
172 the purpose of placement, evaluation, and treatment planning;

173 20. Any alcohol safety action program certified by the Commission on the Virginia Alcohol Safety
174 Action Program for (i) assessments of habitual offenders under § 46.2-360, (ii) interventions with first
175 offenders under § 18.2-251, or (iii) services to offenders under § 18.2-266 or § 18.2-266.1;

176 21. Residential facilities for juveniles regulated or operated by the Department of Social Services, the
177 Department of Education, or the Department of Mental Health, Mental Retardation and Substance Abuse
178 Services for the purpose of determining applicants' fitness for employment or for providing volunteer or
179 contractual services;

180 22. The Department of Mental Health, Mental Retardation and Substance Abuse Services and
181 facilities operated by the Department for the purpose of determining an individual's fitness for
182 employment pursuant to departmental instructions;

183 23. Pursuant to § 22.1-296.3, the governing boards or administrators of private or parochial
184 elementary or secondary schools which are accredited by a statewide accrediting organization
185 recognized, prior to January 1, 1996, by the State Board of Education; ~~and~~

186 24. *Authorized directors or the directors' designees of community services boards for the purpose of*
187 *determining an individual's fitness for employment or providing services pursuant to § 37.1-197.2;*

188 25. *Authorized directors of behavioral health authorities as defined in § 15.1-1677 for the purpose of*
189 *determining an individual's fitness for employment or providing services pursuant to § 37.1-197.2;*

190 26. *Authorized directors or the directors' designees of agencies that provide services under contract*
191 *with community services boards or behavioral health authorities for the purpose of determining an*
192 *individual's fitness for employment or providing services pursuant to § 37.1-197.2;*

193 27. *Authorized directors or the directors' designees of agencies licensed under § 37.1-179 and*
194 *providing residential services to adults with mental retardation under the Mental Retardation Waiver*
195 *program for the purpose of determining the individual's fitness for employment or providing services*
196 *pursuant to § 37.1-184.1; and*

197 24-28. Other entities as otherwise provided by law.

198 Upon an ex parte motion of a defendant in a felony case and upon the showing that the records
199 requested may be relevant to such case, the court shall enter an order requiring the Central Criminal
200 Records Exchange to furnish the defendant, as soon as practicable, copies of any records of persons
201 designated in the order on whom a report has been made under the provisions of this chapter.

202 Notwithstanding any other provision of this chapter to the contrary, upon a written request sworn to
203 before an officer authorized to take acknowledgments, the Central Criminal Records Exchange or the
204 criminal justice agency in cases of offenses not required to be reported to the Exchange, shall furnish a
205 copy of conviction data covering the person named in the request to the person making the request;
206 however, such person on whom the data is being obtained shall consent in writing, under oath, to the
207 making of such request. A person receiving a copy of his own conviction data may utilize or further
208 disseminate that data as he deems appropriate. In the event no conviction data is maintained on the data
209 subject, the person making the request shall be furnished at his cost a certification to that effect.

210 B. Use of criminal history record information disseminated to noncriminal justice agencies under this
211 section shall be limited to the purposes for which it was given and may not be disseminated further.

212 C. No criminal justice agency or person shall confirm the existence or nonexistence of criminal
213 history record information for employment or licensing inquiries except as provided by law.

214 D. Criminal justice agencies shall establish procedures to query the Central Criminal Records
215 Exchange prior to dissemination of any criminal history record information on offenses required to be
216 reported to the Central Criminal Records Exchange to ensure that the most up-to-date disposition data is
217 being used. Inquiries of the Exchange shall be made prior to any dissemination except in those cases
218 where time is of the essence and the normal response time of the Exchange would exceed the necessary
219 time period. A criminal justice agency to whom a request has been made for the dissemination of
220 criminal history record information that is required to be reported to the Central Criminal Records
221 Exchange may direct the inquirer to the Central Criminal Records Exchange for such dissemination.
222 Dissemination of information regarding offenses not required to be reported to the Exchange shall be
223 made by the criminal justice agency maintaining the record as required by § 15.1-135.1.

224 E. Criminal history information provided to licensed nursing homes, hospitals and to home care
225 organizations pursuant to subdivision A 15 shall be limited to the convictions on file with the Exchange
226 for any offense specified in §§ 32.1-126.01, 32.1-126.02 and 32.1-162.9:1.

227 F. Criminal history information provided to licensed adult care residences, licensed district homes for
228 adults, and licensed adult day-care centers pursuant to subdivision A 16 shall be limited to the
229 convictions on file with the Exchange for any offense specified in §§ 63.1-173.2, 63.1-189.1 or
230 § 63.1-194.13.

231 § 37.1-184.1. *Background check required.*

232 A. *Any agency licensed under this section and providing residential services to adults with mental*
233 *retardation under the Mental Retardation Waiver program shall require the provision of fingerprinting*
234 *and personal descriptive information from any applicant who accepts employment in any direct client*
235 *care position and who was not employed in that agency prior to July 1, 1997. The fingerprints and*
236 *personal descriptive information shall be forwarded through the Central Criminal Records Exchange to*
237 *the Federal Bureau of Investigation for the purpose of obtaining criminal history record information*
238 *regarding such applicant.*

239 *The Central Criminal Records Exchange, upon receipt of an individual's record or notification that*
240 *no record exists, shall submit a report to the requesting authorized director or director's designee of the*
241 *agency. If an individual is denied employment because of information appearing on his criminal history*
242 *record, the agency shall provide to the applicant, upon written request, a copy of the information*
243 *obtained from the Central Criminal Records Exchange that resulted in the denial of employment to the*
244 *applicant. The information provided to the agency shall not be disseminated except as provided in this*

245 section.

246 B. Those applicants listed in subsection A also shall provide the agency a copy of information from
247 the central registry maintained pursuant to § 63.1-248.8 on any investigation of child abuse or neglect
248 undertaken on him.

249 C. The cost of obtaining the criminal history record and central registry record shall be borne by
250 the applicant, unless the agency, at its option, decides to pay such cost.

251 D. As used in this section, "direct client care position" means any position with a job description
252 that includes responsibility for (i) treatment, case management, health, safety, development or well-being
253 of a resident, or (ii) immediately supervising a person in a position with such responsibility.

254 § 37.1-197.2. Background check required.

255 A. Every community services board shall require the provision of fingerprinting and personal
256 descriptive information from any applicant who accepts employment (i) in any direct client care position
257 with the community services board, or (ii) in any direct client care position with any program operated
258 under contract with the community services board to provide services, who was not employed by that
259 community services board prior to July 1, 1997. The fingerprints and personal descriptive information
260 shall be forwarded through the Central Criminal Records Exchange to the Federal Bureau of
261 Investigation for the purpose of obtaining criminal history record information regarding such applicant.

262 The Central Criminal Records Exchange, upon receipt of an individual's record or notification that
263 no record exists, shall submit a report to the requesting authorized director or director's designee of the
264 community services board or the employing agency, respectively. If any individual is denied employment
265 because of information appearing on his criminal history record, the community services board or
266 employing agency shall provide to the applicant, upon written request, a copy of the information
267 obtained from the Central Criminal Records Exchange that resulted in the denial of employment to the
268 applicant. The information provided to the community services board or employing agency shall not be
269 disseminated except as provided in this section.

270 B. Those applicants listed in subsection A also shall provide the community services board or
271 employing agency, respectively, a copy of information from the central registry maintained pursuant to
272 § 63.1-248.8 on any investigation of child abuse or neglect undertaken on him.

273 C. The cost of obtaining the criminal history record and the central registry record shall be borne
274 by the applicant, unless the community services board or employing agency, at its option, decides to pay
275 such cost.

276 D. As used in this section, the term "direct client care position" means any position with a job
277 description that includes responsibility for (i) treatment, case management, health, safety, development
278 or well-being of a client, or (ii) immediately supervising a person in a position with such responsibility.