

1997 SESSION

INTRODUCED

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HB2309

973301488

HOUSE BILL NO. 2309

Offered January 17, 1997

A BILL to amend and reenact §§ 2.1-639.15 and 2.1-639.41 of the Code of Virginia, relating to the conflict of interests laws; definitions and disclosure forms.

Patrons—Wilkins, Drake, Dudley, Ingram and Wardrup

Referred to Committee on Rules

Be it enacted by the General Assembly of Virginia:

1. That §§ 2.1-639.15 and 2.1-639.41 of the Code of Virginia are amended and reenacted as follows:

§ 2.1-639.15. Disclosure form.

The disclosure form to be used for filings required by § 2.1-639.13 A and D, and § 2.1-639.14 A and D shall be substantially as follows:

STATEMENT OF ECONOMIC INTERESTS.

Name .....  
Office or position held or sought .....  
Home address .....  
Names of members of immediate family .....

DEFINITIONS AND EXPLANATORY MATERIAL.

"Immediate family" means (i) a spouse and (ii) any other person residing in the same household as the officer or employee, who is a dependent of the officer or employee or of whom the officer or employee is a dependent.

"Dependent" means any person, whether or not related by blood or marriage, who receives from the officer or employee, or provides to the officer or employee, more than one-half of his financial support.

"Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise, association, trust or foundation, or any other individual or entity carrying on a business or profession, whether or not for profit.

"Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item having monetary value. It includes services as well as gifts of transportation, local travel, lodgings and meals, whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the expense has been incurred. "Gift" shall not include any offer of a ticket or other admission or pass unless the ticket, admission, or pass is used. "Gift" shall not include honorary degrees and presents from relatives. "Relative" means the donee's spouse, child, uncle, aunt, niece, or nephew; a person to whom the donee is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother, or sister; or the donee's brother's or sister's spouse.

TRUST. If you or your immediate family, separately or together,

56 are the only beneficiaries of a trust, treat the trust's assets  
57 as if you own them directly. If you or your immediate family has  
58 a proportional interest in a trust, treat that proportion of the  
59 trust's assets as if you own them directly. For example, if you  
60 and your immediate family have a one-third interest in a trust,  
61 complete your Statement as if you own one-third of each of the  
62 trust's assets. If you or a member of your immediate family  
63 created a trust and can revoke it without the beneficiaries'  
64 consent, treat its assets as if you own them directly.

65  
66 REPORT TO THE BEST OF INFORMATION AND BELIEF. Information  
67 required on this Statement must be provided on the basis of the  
68 best knowledge, information and belief of the individual filing  
69 the Statement as of the date of this report unless otherwise  
70 stated.

71  
72 COMPLETE ITEMS 1 THROUGH 10. REFER TO SCHEDULES ONLY IF DIRECTED.

73  
74 You may attach additional explanatory information.

75  
76 1. Offices and Directorships.

77 Are you or a member of your immediate family a paid officer  
78 or paid director of a business?

79 EITHER check NO / / OR check YES / / and complete  
80 Schedule A.

81 2. Personal Liabilities.

82 Do you or a member of your immediate family owe more than  
83 \$10,000 to any one creditor including contingent liabilities?  
84 (Exclude debts to any government and loans secured by recorded  
85 liens on property at least equal in value to the loan.)

86 EITHER check NO / / OR check YES / / and complete  
87 Schedule B.

88 3. Securities.

89 Do you or a member of your immediate family, directly or  
90 indirectly, separately or together, own securities valued  
91 in excess of \$10,000 invested in one business? Account for  
92 mutual funds, limited partnerships and trusts.

93 EITHER check NO / / OR check YES / / and complete  
94 Schedule C.

95 4. Payments for Talks, Meetings, and Publications.

96 During the past 12 months did you receive lodging,  
97 transportation, money, or anything else of value with a  
98 combined value exceeding \$200 for a single talk, meeting,  
99 or published work in your capacity as an officer or employee of  
100 your agency?

101 EITHER check NO / / OR check YES / / and complete  
102 Schedule D.

103 5. Gifts.

104 During the past 12 months did a business, government, or  
105 individual other than a relative ~~or personal friend~~ furnish  
106 you with any gift or gifts the total value of which  
107 exceeded \$200 and for which you neither paid nor rendered  
108 services in exchange? Account for all business entertainment  
109

110 (except if related to your private profession or occupation)

111  
112 even if unrelated to your official duties.

EITHER check NO / / OR check YES / / and complete  
Schedule E.

6. Salary and Wages.

List each employer that pays you or a member of your immediate family salary or wages in excess of \$10,000 annually. (Exclude state or local government or advisory agencies.)

If no reportable salary or wages, check here / /.

.....  
.....  
.....

7. Business Interests.

Do you or a member of your immediate family separately or together, operate your own business, or own or control an interest in excess of \$10,000 in a business?

EITHER check NO / / OR check YES / / and complete  
Schedule F.

8. Payments for Representation.

8A. Did you represent any businesses before any state governmental agencies, excluding courts or judges, for which you received total compensation during the past 12 months in excess of \$1,000, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers? (Officers and employees of local governmental and advisory agencies do NOT need to answer this question or complete Schedule G-1.)

EITHER check NO / / OR check YES / / and complete  
Schedule G-1.

8B. Subject to the same exceptions as in 8A, did persons with whom you have a close financial association (partners, associates or others) represent any businesses before any state governmental agency for which total compensation was received during the past 12 months in excess of \$1,000? (Officers and employees of local governmental and advisory agencies do NOT need to answer this question or complete Schedule G-2.)

EITHER check NO / / OR check YES / / and complete  
Schedule G-2.

8C. Did you or persons with whom you have a close financial association furnish services to businesses operating in Virginia for which total compensation in excess of \$1,000 was received during the past 12 months?

EITHER check NO / / OR check YES / / and complete  
Schedule G-3.

9. Real Estate.

9A. State Officers and Employees.

Do you or a member of your immediate family hold an interest, including a partnership interest, valued at \$10,000 or more in real property (other than your principal residence) for which you have not already listed the full address on Schedule F?

Account for real estate held in trust.  
EITHER check NO / / OR check YES / / and complete  
Schedule H-1.

9B. Local Officers and Employees.

Do you or a member of your immediate family hold an interest, including a partnership interest, valued at \$10,000 or more in real property located in the county, city or town in which you serve or in a county, city or town contiguous to the county,

city or town in which you serve (other than your principal residence) for which you have not already listed the full address on Schedule F? Account for real estate held in trust. EITHER check NO / / OR check YES / / and complete Schedule H-2.

10. Real Estate Contracts with Government Agencies.

Do you or a member of your immediate family hold an interest valued at more than \$10,000 in real estate, including a corporate, partnership, or trust interest, option, easement, or land contract, which real estate is the subject of a contract, whether pending or completed within the past twelve months, with a governmental agency? If the real estate contract provides for the leasing of the property to a governmental agency, do you or a member of your immediate family hold an interest in the real estate valued at more than \$1,000? Account for all such contracts whether or not your interest is reported in schedules F, H-1, or H-2. This requirement to disclose an interest in a lease does not apply to an interest derived through an ownership interest in a business unless the ownership interest exceeds three percent of the total equity of the business. EITHER check NO / / OR check YES / / and complete Schedule I.

Statements of Economic Interests are open for public inspection.

AFFIRMATION BY ALL FILERS

I swear or affirm that the foregoing information is full, true and correct to the best of my knowledge.

Signature.....

Commonwealth of Virginia

.....of.....to wit:

The foregoing disclosure form was acknowledged before me

This.....day of.....19.. by .....

Notary Public

My commission expires.....

(Return only if needed to complete Statement.)

SCHEDULES

to

STATEMENT OF ECONOMIC INTERESTS

NAME.....

SCHEDULE A - OFFICES AND DIRECTORSHIPS.

Identify each business of which you or a member of your immediate family is a paid officer or paid director.

-----  
-----

Name of Business	Address of Business	Position Held
.....	.....	.....

RETURN TO ITEM 2

SCHEDULE B - PERSONAL LIABILITIES.

Report personal liability by checking each category. Report only debts in excess of \$10,000. Do not report debts to any government. Do not report loans secured by recorded liens on property at least equal in value to the loan. Report contingent liabilities below and indicate which debts are contingent.

1. My personal debts are as follows:

	Check appropriate categories	Check one \$10,001 to \$50,000	More than \$50,000
Banks			
Savings institutions			
Other loan or finance companies			
Insurance companies			
Stock, commodity or other brokerage companies			
Other businesses: (State principal business activity for each creditor.)			
Individual creditors: (State principal business or occupation of each creditor.)			

2. The personal debts of the members of my immediate family are as follows:

	Check appropriate categories	Check one \$10,001 to \$50,000	More than \$50,000
--	------------------------------------	--------------------------------------	-----------------------

282 Banks .....  
 283 Savings institutions .....  
 284 Other loan or finance companies .....  
 285 Insurance companies .....  
 286 Stock, commodity or other brokerage  
 287 companies .....  
 288 Other businesses:  
 289 (State principal business activity  
 290 for each creditor.) .....  
 291 .....  
 292 .....  
 293 Individual creditors:  
 294 (State principal business or  
 295 occupation of each creditor.) .....  
 296 .....  
 297 .....  
 298 .....  
 299 -----  
 300 -----

301 RETURN TO ITEM 3

302  
303 SCHEDULE C - SECURITIES.

304  
 305 "Securities" INCLUDES stocks, bonds, "Securities" EXCLUDES  
 306 mutual funds, money market funds, certificates of deposit,  
 307 limited partnerships, and commodity annuity contracts, and  
 308 futures contracts. insurance policies.  
 309

310 Identify each business or Virginia governmental entity in which you  
 311 or a member of your immediate family, directly or indirectly,  
 312 separately or together, own securities valued in excess of \$10,000.  
 313

314 Do not list U.S. Bonds or other government securities not issued  
 315 by the Commonwealth of Virginia or its authorities, agencies, or  
 316 local governments. Do not list organizations that do not do  
 317 business in this Commonwealth, but most major businesses conduct  
 318 business in Virginia. Account for securities held in trust.  
 319

320 If no reportable securities, check here / /

321 -----  
 322 -----

	Type of	Type of Security	Check one
		(stocks, bonds, mutual	More
		or money market funds, \$10,001 to	than
		etc.)	\$50,000 \$50,000
326 Name of Issuer	Type of		
327	Entity		
328	.....	.....	.....
329	.....	.....	.....
330	.....	.....	.....
331	.....	.....	.....

332 .....  
 333 -----  
 334 -----

335 RETURN TO ITEM 4

336  
 337 SCHEDULE D - PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.  
 338

List each source from which you received during the past 12 months lodging, transportation, money, or any other thing of value (excluding meals or drinks coincident with a meeting) with combined value exceeding \$200 for your presentation of a single talk, participation in one meeting, or publication of a work in your capacity as an officer or employee of your agency.

List payments or reimbursements by an advisory or governmental agency only for meetings or travel outside the Commonwealth.

List a payment even if you donated it to charity.

Do not list information about a payment if you returned it within 60 days or if you received it from an employer already listed under Item 6 or from a source of income listed on Schedule F.

If no payment must be listed, check here / /

				Type of payment (e.g. honoraria, travel reimburse- ment, etc.)
Payer	Approximate Value	Circumstances		
.....	.....	.....		.....
.....	.....	.....		.....
.....	.....	.....		.....
.....	.....	.....		.....

RETURN TO ITEM 5

#### SCHEDULE E - GIFTS.

List each business, governmental entity, or individual that furnished you with any gift or gifts whose total value exceeded \$200 during the past 12 months and for which you neither paid nor rendered services in exchange. Do not list

business entertainment related to your private profession or

occupation. Do not list gifts from a relative ~~or from a~~

~~personal friend given for reasons clearly unrelated to~~

~~your public position~~. Do not list campaign contributions publicly reported as required by Chapter 9 (§ 24.2-900 et seq.) of Title 24.2 of the Code of Virginia.

Name of Business, Organization, or Individual	City or County and State	Approximate Value
.....	.....	.....

395 .....  
396 .....  
397 .....  
398 .....

399 -----  
400 -----

401 RETURN TO ITEM 6  
402

403 SCHEDULE F - BUSINESS INTERESTS.  
404

405 Complete this Schedule for each self or family owned business  
406 (including rental property, a farm, or consulting work),  
407 partnership, or corporation in which you or a member of your  
408 immediate family, separately or together, own an interest having  
409 a value in excess of \$10,000.  
410

411 If the enterprise is owned or operated under a trade, partnership,  
412 or corporate name, list that name; otherwise merely explain the  
413 nature of the enterprise. If rental property is owned or operated  
414 under a trade, partnership, or corporate name, list the name only;  
415 otherwise, give the address of each property. Account for business  
416 interests held in trust.  
417

418 -----  
419 -----

420  
421 Name of Gross income  
422 Business,  
423 Corporation,  
424 Partnership,  
425 Farm; Address City or Nature of Enterprise  
426 of Rental County (farming, law, \$50,000 More than  
427 Property and State rental property, etc.) or less \$50,000  
428

429 .....  
430 .....  
431 .....  
432

433 -----  
434 -----

435 RETURN TO ITEM 8  
436

437 SCHEDULE G-1 - PAYMENTS FOR REPRESENTATION BY YOU.  
438

439 List the businesses you represented before any state governmental  
440 agency, excluding any court or judge, for which you received  
441 total compensation during the past 12 months in excess of \$1,000,  
442 excluding compensation for other services to such businesses and  
443 representation consisting solely of the filing of mandatory  
444 papers.  
445

446 Identify each business, the nature of the representation and the  
447 amount received by category from each such business.  
448

449 Only STATE officers and employees should complete this Schedule.  
450

451 -----



## Amount Received

Name of Business	Type of Business	Purpose of Representation	Name of Agency	\$1,001 to \$10,000	More than \$10,000
.....	.....	.....	.....	.....	.....
.....	.....	.....	.....	.....	.....
.....	.....	.....	.....	.....	.....
.....	.....	.....	.....	.....	.....

## SCHEDULE G-2 - PAYMENTS FOR REPRESENTATION BY ASSOCIATES.

List the businesses that have been represented before any state governmental agency, excluding any court or judge, by persons who are your partners, associates or others with whom you have a close financial association and who received total compensation in excess of \$1,000 for such representation during the past 12 months, excluding representation consisting solely of the filing of mandatory papers.

Identify such businesses by type and also name the state governmental agencies before which such person appeared on behalf of such businesses.

Only STATE officers and employees should complete this Schedule.

Type of business	Name of state governmental agency
.....	.....
.....	.....
.....	.....
.....	.....

## SCHEDULE G-3 - PAYMENTS FOR REPRESENTATION GENERALLY.

Indicate below types of businesses that operate in Virginia to which services were furnished by you or persons with whom you have a close financial association and for which total compensation in excess of \$1,000 was received during the past 12 months.

Identify opposite each category of businesses listed below (i) the type of business, (ii) the type of service rendered and (iii) the value of the compensation received for all businesses falling within each category.

508	-----				
509					
510			Value of	Compensation	
511		Check if	\$1,001	More	
512		services	to	than	
513		were rendered	\$10,000	\$10,000	
514	Electric utilities	.....	.....	.....	
515	Gas utilities	.....	.....	.....	
516	Telephone utilities	.....	.....	.....	
517	Water utilities	.....	.....	.....	
518	Cable television				
519	companies	.....	.....	.....	
520	Interstate				
521	transportation				
522	companies	.....	.....	.....	
523	Intrastate				
524	transportation				
525	companies	.....	.....	.....	
526	Oil or gas				
527	retail				
528	companies	.....	.....	.....	
529	Banks	.....	.....	.....	
530	Savings				
531	institutions	.....	.....	.....	
532	Loan or finance				
533	companies	.....	.....	.....	
534	Manufacturing				
535	companies				
536	(state type of				
537	product,				
538	e.g., textile,				
539	furniture,				
540	etc.)	.....	.....	.....	
541	Mining companies	.....	.....	.....	
542	Life insurance				
543	companies	.....	.....	.....	
544	Casualty insurance				
545	companies	.....	.....	.....	
546	Other insurance				
547	companies	.....	.....	.....	
548	Retail companies	.....	.....	.....	
549	Beer, wine or				
550	liquor companies				
551	or distributors	.....	.....	.....	
552	Trade associations	.....	.....	.....	
553	Professional				
554	associations	.....	.....	.....	
555	Associations of				
556	public employees				
557	or officials	.....	.....	.....	
558	Counties, cities or				
559	towns	.....	.....	.....	
560	Labor organizations	.....	.....	.....	
561	Other	.....	.....	.....	
562					
563	-----				
564	-----				

RETURN TO ITEM 9

## SCHEDULE H-1 - REAL ESTATE - STATE OFFICERS AND EMPLOYEES.

List real estate other than your principal residence in which you or a member of your immediate family hold an interest, including a partnership interest, option, easement, or land contract, valued at \$10,000 or more. You may list each parcel of real estate individually if you wish.

List each location (state, and county or city) where you own real estate.	Describe the type of real estate you own in each location (business, recreational, apartment, commercial, open land, etc.).	If the real estate is owned or recorded in a name other than your own, list that name.
.....	.....	.....
.....	.....	.....
.....	.....	.....
.....	.....	.....
.....	.....	.....

## SCHEDULE H-2 - REAL ESTATE - LOCAL OFFICERS AND EMPLOYEES.

List real estate located in your county, city, or town, and any contiguous county, city, or town other than your principal residence in which you or a member of your immediate family hold an interest, including a partnership interest, option, easement, or land contract, valued at \$10,000 or more. You may list each parcel of real estate individually if you wish.

List the counties and cities in which you own real estate.	Describe the type of real estate you own in each county or city (business, recreational, apartment, commercial, open land, etc.).	If the real estate is owned or recorded in a name other than your own, list that name.
.....	.....	.....
.....	.....	.....
.....	.....	.....
.....	.....	.....
.....	.....	.....

RETURN TO ITEM 10

## SCHEDULE I - REAL ESTATE CONTRACTS WITH GOVERNMENT AGENCIES.

List all contracts, whether pending or completed within the

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621 past twelve months, with a governmental agency for the sale  
 622 or exchange of real estate in which you or a member of  
 623 your immediate family holds an interest, including a corporate,  
 624 partnership or trust interest, option, easement, or land contract,  
 625 valued at \$10,000 or more. List all contracts with a  
 626 governmental agency for the lease of real estate in which you or a  
 627 member of your immediate family holds such an interest valued at  
 628 \$1,000 or more. This requirement to disclose an interest in a  
 629 lease does not apply to an interest derived through an ownership  
 630 interest in a business unless the ownership interest exceeds three  
 631 percent of the total equity of the business.

632 State officers and employees report contracts with state agencies.

633 Local officers and employees report contracts with local agencies.

634  
 635 List your real                      List each                      State the annual  
 636 estate interest                      governmental agency                      income from the  
 637 and the person                      which is a party to                      contract, and the  
 638 or entity,                      the contract and in-                      amount, if any, of  
 639 including the                      dicate the county                      income you or any  
 640 type of entity,                      or city where the real                      immediate family  
 641 which is party                      estate is located.                      member derives  
 642 to the contract.                                           annually from the  
 643 Describe any                                           contract.  
 644 management role  
 645 and the percentage  
 646 ownership interest  
 647 you or your  
 648 immediate family  
 649 member has in the  
 650 real estate or entity.

651 .....  
 652 .....  
 653 .....  
 654 .....  
 655 .....  
 656 .....

657  
 658 § 2.1-639.41. Disclosure form.

659 A. The disclosure form to be used for filings required by § 2.1-639.40 A and B shall be substantially  
 660 as follows:

661  
 662 STATEMENT OF ECONOMIC INTERESTS.  
 663  
 664 Name .....  
 665 Office or position held or sought .....  
 666 Home address .....  
 667 Names of members of immediate family .....

668  
 669 DEFINITIONS AND EXPLANATORY MATERIAL.  
 670

671 "Immediate family" means (i) a spouse and (ii) any other person  
 672 residing in the same household as the legislator, who is a dependent  
 673 of the legislator or of whom the legislator is a dependent.  
 674

675 "Dependent" means any person, whether or not related by blood or  
 676 marriage, who receives from the legislator, or provides to the  
 677 legislator, more than one-half of his financial support.

"Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise, association, trust or foundation, or any other individual or entity carrying on a business or profession, whether or not for profit.

"Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item having monetary value. It includes services as well as gifts of transportation, local travel, lodgings and meals, whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the expense has been incurred. "Gift" shall not include any offer of a ticket or other admission or pass unless the ticket, admission, or pass is used. "Gift" shall not include honorary degrees and presents from relatives. "Relative" means the donee's spouse, child, uncle, aunt, niece, or nephew; a person to whom the donee is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother, or sister; or the donee's brother's or sister's spouse.

TRUST. If you or your immediate family, separately or together, are the only beneficiaries of a trust, treat the trust's assets as if you own them directly. If you or your immediate family has a proportional interest in a trust, treat that proportion of the trust's assets as if you own them directly. For example, if you and your immediate family have a one-third interest in a trust, complete your Statement as if you own one-third of each of the trust's assets. If you or a member of your immediate family created a trust and can revoke it without the beneficiaries' consent, treat its assets as if you own them directly.

REPORT TO THE BEST OF INFORMATION AND BELIEF. Information required on this Statement must be provided on the basis of the best knowledge, information and belief of the individual filing the Statement as of the date of this report unless otherwise stated.

COMPLETE ITEMS 1 THROUGH 10. REFER TO SCHEDULES ONLY IF DIRECTED.

You may attach additional explanatory information.

1. Offices and Directorships.

Are you or a member of your immediate family a paid officer or paid director of a business?

EITHER check NO / / OR check YES / / and complete Schedule A.

2. Personal Liabilities.

Do you or a member of your immediate family owe more than \$10,000 to any one creditor including contingent liabilities? (Exclude debts to any government and loans secured by recorded liens on property at least equal in value to the loan.)

EITHER check NO / / OR check YES / / and complete Schedule B.

3. Securities.

Do you or a member of your immediate family, directly or indirectly, separately or together, own securities valued in excess of \$10,000 invested in one business? Account for mutual funds, limited partnerships and trusts.

734 EITHER check NO / / OR check YES / / and complete Schedule C.  
735 4. Payments for Talks, Meetings, and Publications.  
736 During the past 12 months did you receive lodging, transportation,  
737 money, or anything else of value with a combined value exceeding  
738 \$200 for a single talk, meeting, or published work in your capacity  
739 as a legislator?  
740 EITHER check NO / / OR check YES / / and complete Schedule D.  
741 5. Gifts.  
742 During the past 12 months did a business, government, or individual  
743 other than a relative ~~or personal friend~~ furnish you with any gift  
744 or gifts the total value of which exceeded \$200 and for which you  
745 neither paid nor rendered services in exchange? Account for all  
746 business entertainment (except if related to your private profession  
747  
748 or occupation) even if unrelated to your official duties.  
749 EITHER check NO / / OR check YES / / and complete Schedule E.  
750 6. Salary and Wages.  
751 List each employer that pays you or a member of your immediate  
752 family salary or wages in excess of \$10,000 annually. (Exclude  
753 state or local government or advisory agencies.)  
754 If no reportable salary or wages, check here / /.  
755  
756 .....  
757 .....  
758 .....  
759  
760 7. Business Interests.  
761 Do you or a member of your immediate family separately or together,  
762 operate your own business, or own or control an interest in excess  
763 of \$10,000 in a business?  
764 EITHER check NO / / OR check YES / / and complete Schedule F.  
765 8. Payments for Representation.  
766 8A. Did you represent any businesses before any state governmental  
767 agencies, excluding courts or judges, for which you received total  
768 compensation during the past 12 months in excess of \$1,000,  
769 excluding compensation for other services to such businesses and  
770 representation consisting solely of the filing of mandatory papers?  
771 EITHER check NO / / OR check YES / / and complete Schedule G-1.  
772 8B. Subject to the same exceptions as in 8A, did persons with whom  
773 you have a close financial association (partners, associates or  
774 others) represent any businesses before any state governmental  
775 agency for which total compensation was received during the past  
776 12 months in excess of \$1,000?  
777 EITHER check NO / / OR check YES / / and complete Schedule G-2.  
778 8C. Did you or persons with whom you have a close financial  
779 association furnish services to businesses operating in Virginia  
780 for which total compensation in excess of \$1,000 was received  
781 during the past 12 months?  
782 EITHER check NO / / OR check YES / / and complete Schedule G-3.  
783 9. Real Estate.  
784 Do you or a member of your immediate family hold an interest,  
785 including a partnership interest, valued at \$10,000 or more in real  
786 property (other than your principal residence) for which you have  
787 not already listed the full address on Schedule F? Account for real  
788 estate held in trust.  
789 EITHER check NO / / OR check YES / / and complete Schedule H.  
790 10. Real Estate Contracts with State Governmental Agencies.

Do you or a member of your immediate family hold an interest valued at more than \$10,000 in real estate, including a corporate, partnership, or trust interest, option, easement, or land contract, which real estate is the subject of a contract, whether pending or completed within the past twelve months, with a state governmental agency? If the real estate contract provides for the leasing of the property to a state governmental agency, do you or a member of your immediate family hold an interest in the real estate, including a corporate, partnership, or trust interest, option, easement, or land contract valued at more than \$1,000? Account for all such contracts whether or not your interest is reported in Schedules F or H. This requirement to disclose an interest in a lease does not apply to an interest derived through an ownership interest in a business unless the ownership interest exceeds three percent of the total equity of the business.

EITHER check NO / / OR check YES / / and complete Schedule I.

Statements of Economic Interests are open for public inspection.

#### AFFIRMATION

In accordance with the rules of the house in which I serve, if I receive a request that this disclosure statement be corrected, augmented, or revised in any respect, I hereby pledge that I shall respond promptly to the request. I understand that if a determination is made that the statement is insufficient, I will satisfy such request or be subjected to disciplinary action of my house.

I swear or affirm that the foregoing information is full, true and correct to the best of my knowledge.

Signature .....  
 Commonwealth of Virginia  
 ..... of ..... to wit:  
 The foregoing disclosure form was acknowledged before me  
 This ..... day of ..... 19. . . by .....  
 Notary Public  
 My commission expires .....  
 (Return only if needed to complete Statement.)

#### SCHEDULES

to

#### STATEMENT OF ECONOMIC INTERESTS

NAME .....

#### SCHEDULE A - OFFICES AND DIRECTORSHIPS.

Identify each business of which you or a member of your immediate family is a paid officer or paid director.

Name of Business	Address of Business	Position Held
------------------	---------------------	---------------

847 .....  
 848 .....  
 849 .....  
 850 .....  
 851 -----  
 852 -----  
 853  
 854 RETURN TO ITEM 2  
 855  
 856 SCHEDULE B - PERSONAL LIABILITIES.  
 857  
 858 Report personal liability by checking each category. Report only  
 859 debts in excess of \$10,000. Do not report debts to any government.  
 860 Do not report loans secured by recorded liens on property at  
 861 least equal in value to the loan.  
 862 Report contingent liabilities below and indicate which debts are  
 863 contingent.  
 864  
 865 1. My personal debts are as follows:  
 866  
 867 -----  
 868 -----  
 869  

Check	Check one	
appropriate	\$10,001 to	More than
categories	\$50,000	\$50,000
Banks	.....	.....
Savings institutions	.....	.....
Other loan or finance companies	.....	.....
Insurance companies	.....	.....
Stock, commodity or other brokerage		
companies	.....	.....
Other businesses:		
(State principal business activity for each		
creditor.)	.....	.....
.....	.....	.....
.....	.....	.....
Individual creditors:		
(State principal business or occupation of		
each creditor.)	.....	.....
.....	.....	.....
.....	.....	.....

  
 890  
 891 2. The personal debts of the members of my immediate family are as  
 892 follows:  
 893  
 894 -----  
 895 -----  
 896  

Check	Check one	
appropriate	\$10,001 to	More than
categories	\$50,000	\$50,000
Banks	.....	.....
Savings institutions	.....	.....
Other loan or finance companies	.....	.....



904 Insurance companies .....  
 905 Stock, commodity or other brokerage .....  
 906 companies .....  
 907 Other businesses:  
 908 (State principal business activity for each  
 909 creditor.) .....  
 910 .....  
 911 .....  
 912 Individual creditors:  
 913 (State principal business or occupation of  
 914 each creditor.) .....  
 915 .....  
 916 .....

917  
918 RETURN TO ITEM 3  
919

920 SCHEDULE C - SECURITIES.

921  
 922 "Securities" INCLUDES stocks, bonds, "Securities" EXCLUDES  
 923 mutual funds, money market funds, certificates of deposit,  
 924 limited partnerships, and commodity annuity contracts, and  
 925 futures contracts. insurance policies.  
 926

927 Identify each business or Virginia governmental entity in which you  
 928 or a member of your immediate family, directly or indirectly,  
 929 separately or together, own securities valued in excess of \$10,000.  
 930

931 Do not list U.S. Bonds or other government securities not issued  
 932 by the Commonwealth of Virginia or its authorities, agencies, or  
 933 local governments. Do not list organizations that do not do  
 934 business in this Commonwealth, but most major businesses conduct  
 935 business in Virginia. Account for securities held in trust.  
 936

937 If no reportable securities, check here / /

938  
939 -----

940	941	942	943	944	945	946	947	948	949
	Name of Issuer	Type of Entity	Type of Security (stocks, bonds, mutual or money market funds, etc.)	Check one \$10,001 to \$50,000	More than \$50,000				
941									
942									
943									
944									
945									
946									
947									
948									
949									

950  
951  
952 RETURN TO ITEM 4  
953

954 SCHEDULE D - PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.

955  
 956 List each source from which you received during the past 12  
 957 months lodging, transportation, money, or any other thing of  
 958 value (excluding meals or drinks coincident with a meeting) with  
 959 combined value exceeding \$200 for your presentation of a single

960 talk, participation in one meeting, or publication of a work in  
961 your capacity as a legislator.

962  
963 List payments or reimbursements by the Commonwealth only for  
964 meetings or travel outside the Commonwealth.

965  
966 List a payment even if you donated it to charity.

967  
968 Do not list information about a payment if you returned it within  
969 60 days or if you received it from an employer already listed  
970 under Item 6 or from a source of income listed on Schedule F.

971  
972 If no payment must be listed, check here / /

973  
974 -----  
975 -----

976				Type of payment (e.g. honoraria, travel reimburse- ment, etc.)
977	Payer	Approximate Value	Circumstances	
978	.....	.....	.....	.....
979	.....	.....	.....	.....
980	.....	.....	.....	.....
981	.....	.....	.....	.....
982	.....	.....	.....	.....
983	.....	.....	.....	.....
984	.....	.....	.....	.....
985	-----	-----	-----	-----
986	-----	-----	-----	-----

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RETURN TO ITEM 5

990 SCHEDULE E - GIFTS.

991  
992 List each business, governmental entity, or individual that  
993 furnished you with any gift or gifts whose total value exceeded  
994 \$200 during the past 12 months and for which you neither paid nor  
995 rendered services in exchange. Do not list business entertainment

996  
997 related to your private profession or occupation. Do not list  
998 gifts or other things of value given by a relative or personal  
999 ~~friend for reasons clearly unrelated to your public position~~. Do  
1000 not list campaign contributions publicly reported as required by  
1001 Chapter 9 of Title 24.2 of the Code of Virginia.

1002  
1003 -----  
1004 -----

1005			
1006	Name of Business,		
1007	Organization, or	City or County	
1008	Individual	and State	Approximate Value
1009	.....	.....	.....
1010	.....	.....	.....
1011	.....	.....	.....
1012	.....	.....	.....
1013	-----	-----	-----
1014	-----	-----	-----

1015  
1016

RETURN TO ITEM 6

SCHEDULE F - BUSINESS INTERESTS.

Complete this Schedule for each self or family owned business (including rental property, a farm, or consulting work), partnership, or corporation in which you or a member of your immediate family, separately or together, own an interest having a value in excess of \$10,000.

If the enterprise is owned or operated under a trade, partnership, or corporate name, list that name; otherwise merely explain the nature of the enterprise. If rental property is owned or operated under a trade, partnership, or corporate name, list the name only; otherwise, give the address of each property. Account for business interests held in trust.

Name of Business, Corporation, Partnership, Farm; Address of Rental Property	City or County and State	Nature of Enterprise (farming, law, rental property, etc.)	Gross income \$50,000 or less More than \$50,000
.....	.....	.....	.....
.....	.....	.....	.....
.....	.....	.....	.....
.....	.....	.....	.....

RETURN TO ITEM 8

SCHEDULE G-1 - PAYMENTS FOR REPRESENTATION BY YOU.

List the businesses you represented before any state governmental agency, excluding any court or judge, for which you received total compensation during the past 12 months in excess of \$1,000, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers.

Identify each business, the nature of the representation and the amount received by category from each such business.

Name of Business	Type of Business	Purpose of Representation	Name of Agency	Amount Received \$1,001 to \$10,000	More than \$10,000
.....	.....	.....	.....	.....	.....
.....	.....	.....	.....	.....	.....
.....	.....	.....	.....	.....	.....
.....	.....	.....	.....	.....	.....

1073 -----

1074

1075 SCHEDULE G-2 - PAYMENTS FOR REPRESENTATION BY ASSOCIATES.

1076

1077 List the businesses that have been represented before any state  
 1078 governmental agency, excluding any court or judge, by persons who  
 1079 are your partners, associates or others with whom you have a  
 1080 close financial association and who received total compensation  
 1081 in excess of \$1,000 for such representation during the past 12  
 1082 months, excluding representation consisting solely of the filing  
 1083 of mandatory papers.

1084

1085 Identify such businesses by type and also name the state  
 1086 governmental agencies before which such person appeared on behalf  
 1087 of such businesses.

1088

1089 -----

1090 -----

1091 Type of business Name of state governmental agency

1092 ..... ..

1093 ..... ..

1094 ..... ..

1095 ..... ..

1096 -----

1097 -----

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1099 SCHEDULE G-3 - PAYMENTS FOR REPRESENTATION GENERALLY.

1100

1101 Indicate below types of businesses that operate in Virginia to  
 1102 which services were furnished by you or persons with whom you  
 1103 have a close financial association and for which total  
 1104 compensation in excess of \$1,000 was received during the past 12  
 1105 months.

1106

1107 Identify opposite each category of businesses listed below (i)  
 1108 the type of business, (ii) the type of service rendered and (iii)  
 1109 the value of the compensation received for all businesses falling  
 1110 within each category.

1111

1112 -----

1113 -----

1114

1115 Value of Compensation

1116 Check if Type of \$1,001 More  
 1117 services service to than  
 1118 were rendered rendered \$10,000 \$10,000

1119 Electric utilities ..... ..

1120 Gas utilities ..... ..

1121 Telephone utilities ..... ..

1122 Water utilities ..... ..

1123 Cable television ..... ..

1124 companies ..... ..

1125 Interstate ..... ..

1126 transportation companies..... ..

1127 Intrastate ..... ..

1128 transportation companies..... ..

1129 Oil or gas retail ..... ..

1130	companies	.....	.....	.....	.....
1131	Banks	.....	.....	.....	.....
1132	Savings				
1133	institutions	.....	.....	.....	.....
1134	Loan or finance				
1135	companies	.....	.....	.....	.....
1136	Manufacturing companies				
1137	(state type of product,				
1138	e.g., textile, furniture				
1139	etc.)	.....	.....	.....	.....
1140	Mining companies	.....	.....	.....	.....
1141	Life insurance companies.....	.....	.....	.....	.....
1142	Casualty insurance				
1143	companies	.....	.....	.....	.....
1144	Other insurance companies.....	.....	.....	.....	.....
1145	Retail companies	.....	.....	.....	.....
1146	Beer, wine or liquor				
1147	companies or distributors.....	.....	.....	.....	.....
1148	Trade associations	.....	.....	.....	.....
1149	Professional associations.....	.....	.....	.....	.....
1150	Associations of public				
1151	employees or officials	.....	.....	.....	.....
1152	Counties, cities or towns.....	.....	.....	.....	.....
1153	Labor organizations	.....	.....	.....	.....
1154	Other	.....	.....	.....	.....

1155

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RETURN TO ITEM 9

## SCHEDULE H - REAL ESTATE.

List real estate other than your principal residence in which you or a member of your immediate family hold an interest, including a partnership interest, option, easement, or land contract, valued at \$10,000 or more. You may list each parcel of real estate individually if you wish.

List each location	Describe the type of real	If the real estate is
(state, and county	estate you own in each	owned or recorded in
or city) where you	location (business,	a name other than
own real estate.	recreational, apartment,	your own, list that
	commercial, open land,	name.
	etc.).	
.....	.....	.....
.....	.....	.....
.....	.....	.....
.....	.....	.....
.....	.....	.....

RETURN TO ITEM 10

1186 SCHEDULE I - REAL ESTATE CONTRACTS WITH STATE GOVERNMENTAL AGENCIES.

1187

1188 List all contracts, whether pending or completed within the past  
 1189 twelve months, with a state governmental agency for the sale or  
 1190 exchange of real estate in which you or a member of your  
 1191 immediate family holds an interest, including a corporate,  
 1192 partnership or trust interest, option, easement, or land  
 1193 contract, valued at \$10,000 or more. List all contracts with a  
 1194 state governmental agency for the lease of real estate in which  
 1195 you or a member of your immediate family holds such an interest  
 1196 valued at \$1,000 or more. This requirement to disclose an  
 1197 interest in a lease does not apply to an interest derived through  
 1198 an ownership interest in a business unless the ownership interest  
 1199 exceeds three percent of the total equity of the business.

1200

1201 -----

1202 -----

1203

1204	List your real	List each	State the annual
1205	estate interest and	governmental agency	income from the
1206	the person or entity,	which is a party to	contract, and the
1207	including the type of	the contract and	amount, if any,
1208	entity, which is	indicate the county	of income you or
1209	party to the contract.	or city where the	any immediate
1210	Describe any	real estate is	family member
1211	management role and	located.	derives annually
1212	the percentage		from the
1213	ownership interest		contract.
1214	you or your immediate		
1215	family member has in		
1216	the real estate		
1217	or entity.		

1218 ..... ..

1219 ..... ..

1220 ..... ..

1221 ..... ..

1222 ..... ..

1223 -----

1224 -----

1225

1226 B. Any legislator who makes a knowing misstatement of a material fact on the Statement of  
 1227 Economic Interests shall be subject to disciplinary action for such violations by the house in which the  
 1228 legislator sits.

1229 C. In accordance with the rules of each house, the Statement of Economic Interests of all members  
 1230 of each house shall be reviewed. If a legislator's Statement is found to be inadequate as filed, the  
 1231 legislator shall be notified in writing, directed to file an amended Statement correcting the indicated  
 1232 deficiencies, and a time set within which such amendment shall be filed. If the Statement of Economic  
 1233 Interests, in either its original or amended form, is found to be adequate as filed, the legislator's filing  
 1234 shall be deemed in full compliance with this section as to the information disclosed thereon.

1235 D. Ten percent of the membership of a house, on the basis of newly discovered facts, may in writing  
 1236 request the house in which those members sit, in accordance with the rules of that house, to review the  
 1237 Statement of Economic Interests of another member of that house in order to determine the adequacy of  
 1238 his filing. In accordance with the rules of each house, each Statement of Economic Interests shall be  
 1239 promptly reviewed, the adequacy of the filing determined, and notice given in writing to the legislator  
 1240 whose Statement is in issue. Should it be determined that the Statement requires correction,  
 1241 augmentation or revision, the legislator involved shall be directed to make the changes required within  
 1242 such time as shall be set under the rules of each house.

1243 If a legislator, after having been notified in writing in accordance with the rules of the house in

**1244** which he sits that his Statement is inadequate as filed, fails to amend his Statement so as to come into  
**1245** compliance within the time limit set, he shall be subject to disciplinary action by the house in which he  
**1246** sits. No legislator shall vote on any question relating to his own Statement.

**INTRODUCED**

HB2309