

# 1997 RECONVENED SESSION

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## VIRGINIA ACTS OF ASSEMBLY — CHAPTER

*An Act to amend and reenact §§ 2.1-639.15 and 2.1-639.41 of the Code of Virginia, relating to the conflict of interests laws; disclosure forms.*

[H 2090]

Approved

**Be it enacted by the General Assembly of Virginia:**

**1. That §§ 2.1-639.15 and 2.1-639.41 of the Code of Virginia are amended and reenacted as follows:**

§ 2.1-639.15. Disclosure form.

The disclosure form to be used for filings required by § 2.1-639.13 A and D, and § 2.1-639.14 A and D shall be substantially as follows:

### STATEMENT OF ECONOMIC INTERESTS.

Name .....  
Office or position held or sought .....  
Home address .....  
Names of members of immediate family .....

### DEFINITIONS AND EXPLANATORY MATERIAL.

"Immediate family" means (i) a spouse and (ii) any other person residing in the same household as the officer or employee, who is a dependent of the officer or employee or of whom the officer or employee is a dependent.

"Dependent" means any person, whether or not related by blood or marriage, who receives from the officer or employee, or provides to the officer or employee, more than one-half of his financial support.

"Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise, association, trust or foundation, or any other individual or entity carrying on a business or profession, whether or not for profit.

"Close financial association" does not mean an association based on the receipt of retirement benefits or deferred compensation from a business by which the person filing this statement is no longer employed. "Close financial association" does not include an association based on the receipt of compensation for work performed by the person filing as an independent contractor of a business that represents an entity before any state governmental agency when the person filing has had no communications with the state governmental agency.

"Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item having monetary value. It includes services as well as gifts of transportation, local travel, lodgings and meals, whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the expense has been incurred. "Gift" shall not include any offer of a ticket

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HB2090ER

or other admission or pass unless the ticket, admission, or pass is used. "Gift" shall not include honorary degrees and presents from relatives. "Relative" means the donee's spouse, child, uncle, aunt, niece, or nephew; a person to whom the donee is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother, or sister; or the donee's brother's or sister's spouse.

TRUST. If you or your immediate family, separately or together, are the only beneficiaries of a trust, treat the trust's assets as if you own them directly. If you or your immediate family has a proportional interest in a trust, treat that proportion of the trust's assets as if you own them directly. For example, if you and your immediate family have a one-third interest in a trust, complete your Statement as if you own one-third of each of the trust's assets. If you or a member of your immediate family created a trust and can revoke it without the beneficiaries' consent, treat its assets as if you own them directly.

REPORT TO THE BEST OF INFORMATION AND BELIEF. Information required on this Statement must be provided on the basis of the best knowledge, information and belief of the individual filing the Statement as of the date of this report unless otherwise stated.

COMPLETE ITEMS 1 THROUGH 10. REFER TO SCHEDULES ONLY IF DIRECTED.

You may attach additional explanatory information.

1. Offices and Directorships.

Are you or a member of your immediate family a paid officer or paid director of a business?

EITHER check NO / / OR check YES / / and complete Schedule A.

2. Personal Liabilities.

Do you or a member of your immediate family owe more than \$10,000 to any one creditor including contingent liabilities? (Exclude debts to any government and loans secured by recorded liens on property at least equal in value to the loan.)

EITHER check NO / / OR check YES / / and complete Schedule B.

3. Securities.

Do you or a member of your immediate family, directly or indirectly, separately or together, own securities valued in excess of \$10,000 invested in one business? Account for mutual funds, limited partnerships and trusts.

EITHER check NO / / OR check YES / / and complete Schedule C.

4. Payments for Talks, Meetings, and Publications.

During the past 12 months did you receive lodging, transportation, money, or anything else of value with a combined value exceeding \$200 for a single talk, meeting, or published work in your capacity as an officer or employee of your agency?

EITHER check NO / / OR check YES / / and complete

Schedule D.

5. Gifts.

During the past 12 months did a business, government, or individual other than a relative or personal friend furnish you with any gift or gifts the total value of which exceeded ~~\$200~~ \$50 and for which you neither paid nor rendered services in exchange? Account for all business entertainment (except if related to your private profession or occupation) even if unrelated to your official duties.

EITHER check NO / / OR check YES / / and complete Schedule E.

6. Salary and Wages.

List each employer that pays you or a member of your immediate family salary or wages in excess of \$10,000 annually. (Exclude state or local government or advisory agencies.)

If no reportable salary or wages, check here / /.

.....  
.....  
.....

7. Business Interests.

Do you or a member of your immediate family separately or together, operate your own business, or own or control an interest in excess of \$10,000 in a business?

EITHER check NO / / OR check YES / / and complete Schedule F.

8. Payments for Representation.

8A. Did you represent any businesses before any state governmental agencies, excluding courts or judges, for which you received total compensation during the past 12 months in excess of \$1,000, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers *and subsequent representation regarding the mandatory papers*? (Officers and employees of local governmental and advisory agencies do NOT need to answer this question or complete Schedule G-1.)

EITHER check NO / / OR check YES / / and complete Schedule G-1.

8B. Subject to the same exceptions as in 8A, did persons with whom you have a close financial association (partners, associates or others) represent any businesses before any state governmental agency for which total compensation was received during the past 12 months in excess of \$1,000? (Officers and employees of local governmental and advisory agencies do NOT need to answer this question or complete Schedule G-2.)

EITHER check NO / / OR check YES / / and complete Schedule G-2.

8C. Did you or persons with whom you have a close financial association furnish services to businesses operating in Virginia for which total compensation in excess of \$1,000 was received during the past 12 months?

EITHER check NO / / OR check YES / / and complete Schedule G-3.

9. Real Estate.

9A. State Officers and Employees.

Do you or a member of your immediate family hold an interest, including a partnership interest, valued at \$10,000 or more in

real property (other than your principal residence) for which  
you have not already listed the full address on Schedule F?  
Account for real estate held in trust.

EITHER check NO / / OR check YES / / and complete  
Schedule H-1.

9B. Local Officers and Employees.

Do you or a member of your immediate family hold an interest,  
including a partnership interest, valued at \$10,000 or more in  
real property located in the county, city or town in which you  
serve or in a county, city or town contiguous to the county,  
city or town in which you serve (other than your principal  
residence) for which you have not already listed the full  
address on Schedule F? Account for real estate held in trust.

EITHER check NO / / OR check YES / / and complete  
Schedule H-2.

10. Real Estate Contracts with Government Agencies.

Do you or a member of your immediate family hold an interest  
valued at more than \$10,000 in real estate, including a  
corporate, partnership, or trust interest, option,  
easement, or land contract, which real estate is the  
subject of a contract, whether pending or completed within  
the past twelve months, with a governmental agency? If the  
real estate contract provides for the leasing of the property  
to a governmental agency, do you or a member of your immediate  
family hold an interest in the real estate valued at more than  
\$1,000? Account for all such contracts whether or not your  
interest is reported in schedules F, H-1, or H-2. This  
requirement to disclose an interest in a lease does not apply  
to an interest derived through an ownership interest in a  
business unless the ownership interest exceeds three percent  
of the total equity of the business.

EITHER check NO / / OR check YES / / and complete  
Schedule I.

Statements of Economic Interests are open for public inspection.

AFFIRMATION BY ALL FILERS

I swear or affirm that the foregoing information is full, true and  
correct to the best of my knowledge.

Signature.....

Commonwealth of Virginia

.....of.....to wit:

The foregoing disclosure form was acknowledged before me

This.....day of.....19.. by .....

Notary Public

My commission expires.....

(Return only if needed to complete Statement.)

SCHEDULES

to

STATEMENT OF ECONOMIC INTERESTS

NAME.....

SCHEDULE A - OFFICES AND DIRECTORSHIPS.

Identify each business of which you or a member of your immediate family is a paid officer or paid director.

Name of Business	Address of Business	Position Held
.....	.....	.....
.....	.....	.....
.....	.....	.....
.....	.....	.....

RETURN TO ITEM 2

SCHEDULE B - PERSONAL LIABILITIES.

Report personal liability by checking each category. Report only debts in excess of \$10,000. Do not report debts to any government. Do not report loans secured by recorded liens on property at least equal in value to the loan. Report contingent liabilities below and indicate which debts are contingent.

1. My personal debts are as follows:

Check appropriate categories	Check one \$10,001 to \$50,000	More than \$50,000
Banks	.....	.....
Savings institutions	.....	.....
Other loan or finance companies	.....	.....
Insurance companies	.....	.....
Stock, commodity or other brokerage companies	.....	.....
Other businesses:		
(State principal business activity for each creditor.)	.....	.....
.....	.....	.....
.....	.....	.....
Individual creditors:		
(State principal business or occupation of each creditor.)	.....	.....
.....	.....	.....
.....	.....	.....

2. The personal debts of the members of my immediate family are as follows:

Check appropriate categories	Check one \$10,001 to \$50,000	Check one More than \$50,000
Banks	.....	.....
Savings institutions	.....	.....
Other loan or finance companies	.....	.....
Insurance companies	.....	.....
Stock, commodity or other brokerage companies	.....	.....
Other businesses:		
(State principal business activity for each creditor.)	.....	.....
.....	.....	.....
.....	.....	.....
Individual creditors:		
(State principal business or occupation of each creditor.)	.....	.....
.....	.....	.....
.....	.....	.....

RETURN TO ITEM 3

#### SCHEDULE C - SECURITIES.

"Securities" INCLUDES stocks, bonds, mutual funds, money market funds, limited partnerships, and commodity futures contracts.	"Securities" EXCLUDES certificates of deposit, annuity contracts, and insurance policies.
---	---

Identify each business or Virginia governmental entity in which you or a member of your immediate family, directly or indirectly, separately or together, own securities valued in excess of \$10,000.

Do not list U.S. Bonds or other government securities not issued by the Commonwealth of Virginia or its authorities, agencies, or local governments. Do not list organizations that do not do business in this Commonwealth, but most major businesses conduct business in Virginia. Account for securities held in trust.

If no reportable securities, check here / /

Type of	Type of Security (stocks, bonds, mutual or money market funds, \$10,001 to	Check one More than
---------	--	---------------------

333	Name of Issuer	Entity	etc.)	\$50,000	\$50,000
334	.....	.....	.....	.....	.....
335	.....	.....	.....	.....	.....
336	.....	.....	.....	.....	.....
337	.....	.....	.....	.....	.....

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341 RETURN TO ITEM 4

342  
343 SCHEDULE D - PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.  
344

345 List each source from which you received during the past 12 months  
346 lodging, transportation, money, or any other thing of value  
347 (excluding meals or drinks coincident with a meeting) with  
348 combined value exceeding \$200 for your presentation of a single  
349 talk, participation in one meeting, or publication of a work in  
350 your capacity as an officer or employee of your agency.  
351

352 List payments or reimbursements by an advisory or governmental  
353 agency only for meetings or travel outside the Commonwealth.  
354

355 List a payment even if you donated it to charity.  
356

357 Do not list information about a payment if you returned it within  
358 60 days or if you received it from an employer already listed  
359 under Item 6 or from a source of income listed on Schedule F.  
360

361 If no payment must be listed, check here / /  
362 -----  
363 -----

364				Type of payment (e.g. honoraria, travel reimburse- ment, etc.)
365				
366				
367				
368	Payer	Approximate Value	Circumstances	
369	.....	.....	.....	.....
370	.....	.....	.....	.....
371	.....	.....	.....	.....
372	.....	.....	.....	.....

373  
374 -----

375 -----  
376 RETURN TO ITEM 5

377  
378 SCHEDULE E - GIFTS.  
379

380 List each business, governmental entity, or individual that  
381 furnished you with any gift or gifts whose total value  
382 exceeded ~~\$200~~ \$50 during the past 12 months and for which you  
383 neither paid nor rendered services in exchange. Do not list  
384 business entertainment related to your private profession or  
385 occupation. Do not list gifts from a relative or from a  
386 personal friend given for reasons clearly unrelated to  
387 your public position. Do not list campaign contributions  
388 publicly reported as required by Chapter 9 (§ 24.2-900 et seq.)

of Title 24.2 of the Code of Virginia.

Name of Business, Organization, or Individual	City or County and State	Approximate Value
.....	.....	.....
.....	.....	.....
.....	.....	.....
.....	.....	.....

RETURN TO ITEM 6

#### SCHEDULE F - BUSINESS INTERESTS.

Complete this Schedule for each self or family owned business (including rental property, a farm, or consulting work), partnership, or corporation in which you or a member of your immediate family, separately or together, own an interest having a value in excess of \$10,000.

If the enterprise is owned or operated under a trade, partnership, or corporate name, list that name; otherwise merely explain the nature of the enterprise. If rental property is owned or operated under a trade, partnership, or corporate name, list the name only; otherwise, give the address of each property. Account for business interests held in trust.

Name of Business, Corporation, Partnership, Farm; Address of Rental Property	City or County and State	Nature of Enterprise (farming, law, rental property, etc.)	\$50,000 or less	More than \$50,000
.....	.....	.....	.....	.....
.....	.....	.....	.....	.....
.....	.....	.....	.....	.....

RETURN TO ITEM 8

#### SCHEDULE G-1 - PAYMENTS FOR REPRESENTATION BY YOU.

List the businesses you represented before any state governmental agency, excluding any court or judge, for which you received total compensation during the past 12 months in excess of \$1,000, excluding compensation for other services to such businesses and



representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers filed by you.

Identify each business, the nature of the representation and the amount received by dollar category from each such business. You may state the type, rather than name, of the business if you are required by law not to reveal the name of the business represented by you.

Only STATE officers and employees should complete this Schedule.

				Amount Received				
Name	Type	Purpose	Name	<del>\$1,001</del>	<del>More</del>			
of	of	pose	of	to	than			
Business	Business	of Representation	Agency	<del>\$10,000</del>	<del>\$10,000</del>			
				\$1,001	\$10,001	\$50,001	\$100,001	\$250,001
				to	to	to	to	and
				\$10,000	\$50,000	\$100,000	\$250,000	over
....	....	....	....	.....	.....	.....	.....	.....
....	....	....	....	.....	.....	.....	.....	.....
....	....	....	....	.....	.....	.....	.....	.....
....	....	....	....	.....	.....	.....	.....	.....

#### SCHEDULE G-2 - PAYMENTS FOR REPRESENTATION BY ASSOCIATES.

List the businesses that have been represented before any state governmental agency, excluding any court or judge, by persons who are your partners, associates or others with whom you have a close financial association and who received total compensation in excess of \$1,000 for such representation during the past 12 months, excluding representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers filed by your partners, associates or others with whom you have a close financial association.

Identify such businesses by type and also name the state governmental agencies before which such person appeared on behalf of such businesses.

Only STATE officers and employees should complete this Schedule.

Type of business	Name of state governmental agency
------------------	-----------------------------------

501 .....  
 502 .....  
 503 .....  
 504 .....  
 505 .....

506 -----  
 507 -----

508  
 509 SCHEDULE G-3 - PAYMENTS FOR REPRESENTATION GENERALLY.  
 510

511 Indicate below types of businesses that operate in Virginia to  
 512 which services were furnished by you or persons with whom you have  
 513 a close financial association and for which total compensation in  
 514 excess of \$1,000 was received during the past 12 months.  
 515

516 Identify opposite each category of businesses listed below (i) the  
 517 type of business, (ii) the type of service rendered and (iii) the  
 518 value *by dollar category* of the compensation received for all  
 519 businesses falling within each category.  
 520

521 -----  
 522 -----

		Value of Compensation				
Check if ser- vices were ren- dered	Type of ser- vices ren- dered	<del>\$1,001</del> <del>More</del>				
		<del>to</del> <del>than</del>				
		<del>\$10,000</del> <del>\$10,000</del>				
		<del>\$1,001</del>	<del>\$10,001</del>	<del>\$50,001</del>	<del>\$100,001</del>	<del>\$250,001</del>
		<del>to</del>	<del>to</del>	<del>to</del>	<del>to</del>	<del>and</del>
		<del>\$10,000</del>	<del>\$50,000</del>	<del>\$100,000</del>	<del>\$250,000</del>	<del>over</del>
Electric						
utilities	.....	.....	.....	.....	.....	.....
Gas util-						
ities	.....	.....	.....	.....	.....	.....
Telephone						
utilities	.....	.....	.....	.....	.....	.....
Water util-						
ities	.....	.....	.....	.....	.....	.....
Cable tele-						
vision						
companies	.....	.....	.....	.....	.....	.....
Interstate						
transporta						
tion com-						
panies	.....	.....	.....	.....	.....	.....
Intrastate						
transporta						
tion com-						
panies	.....	.....	.....	.....	.....	.....
Oil or gas						
retail com-						
panies	.....	.....	.....	.....	.....	.....
Banks	.....	.....	.....	.....	.....	.....

557 Savings  
 558 institu-  
 559 tions .....  
 560 Loan or fi-  
 561 nance com-  
 562 panies .....  
 563 Manufactur-  
 564 ing com-  
 565 panies (state  
 566 type of pro-  
 567 duct, e.g.,  
 568 textile,  
 569 furniture  
 570 etc.) .....  
 571 Mining com-  
 572 panies .....  
 573 Life insur-  
 574 ance com-  
 575 panies .....  
 576 Casualty in-  
 577 surance com-  
 578 panies .....  
 579 Other insur-  
 580 ance com-  
 581 panies .....  
 582 Retail com-  
 583 panies .....  
 584 Beer, wine  
 585 or liquor  
 586 companies or  
 587 distribu-  
 588 tors .....  
 589 Trade asso-  
 590 ciations .....  
 591 Professional  
 592 associa-  
 593 tions .....  
 594 Associa-  
 595 tions of  
 596 public  
 597 employees  
 598 or  
 599 officials .....  
 600 Counties,  
 601 cities or  
 602 towns .....  
 603 Labor  
 604 organi-  
 605 zations .....  
 606 Other .....  
 607  
 608 -----  
 609 -----

RETURN TO ITEM 9

610  
 611  
 612 SCHEDULE H-1 - REAL ESTATE - STATE OFFICERS AND EMPLOYEES.

List real estate other than your principal residence in which you or a member of your immediate family hold an interest, including a partnership interest, option, easement, or land contract, valued at \$10,000 or more. You may list each parcel of real estate individually if you wish.

List each location (state, and county or city) where you own real estate.	Describe the type of real estate you own in each location (business, recreational, apartment, commercial, open land, etc.).	If the real estate is owned or recorded in a name other than your own, list that name.
.....	.....	.....
.....	.....	.....
.....	.....	.....
.....	.....	.....
.....	.....	.....

#### SCHEDULE H-2 - REAL ESTATE - LOCAL OFFICERS AND EMPLOYEES.

List real estate located in your county, city, or town, and any contiguous county, city, or town other than your principal residence in which you or a member of your immediate family hold an interest, including a partnership interest, option, easement, or land contract, valued at \$10,000 or more. You may list each parcel of real estate individually if you wish.

List the counties and cities in which you own real estate.	Describe the type of real estate you own in each county or city (business, recreational, apartment, commercial, open land, etc.).	If the real estate is owned or recorded in a name other than your own, list that name.
.....	.....	.....
.....	.....	.....
.....	.....	.....
.....	.....	.....
.....	.....	.....

RETURN TO ITEM 10

#### SCHEDULE I - REAL ESTATE CONTRACTS WITH GOVERNMENT AGENCIES.

List all contracts, whether pending or completed within the

669 past twelve months, with a governmental agency for the sale  
 670 or exchange of real estate in which you or a member of  
 671 your immediate family holds an interest, including a corporate,  
 672 partnership or trust interest, option, easement, or land contract,  
 673 valued at \$10,000 or more. List all contracts with a  
 674 governmental agency for the lease of real estate in which you or a  
 675 member of your immediate family holds such an interest valued at  
 676 \$1,000 or more. This requirement to disclose an interest in a  
 677 lease does not apply to an interest derived through an ownership  
 678 interest in a business unless the ownership interest exceeds three  
 679 percent of the total equity of the business.  
 680 State officers and employees report contracts with state agencies.  
 681 Local officers and employees report contracts with local agencies.  
 682

683 List your real	List each	State the annual
684 estate interest	governmental agency	income from the
685 and the person	which is a party to	contract, and the
686 or entity,	the contract and in-	amount, if any, of
687 including the	indicate the county	income you or any
688 type of entity,	or city where the real	immediate family
689 which is party	estate is located.	member derives
690 to the contract.		annually from the
691 Describe any		contract.
692 management role		
693 and the percentage		
694 ownership interest		
695 you or your		
696 immediate family		
697 member has in the		
698 real estate or entity.		
699 .....	.....	.....
700 .....	.....	.....
701 .....	.....	.....
702 .....	.....	.....
703 .....	.....	.....
704 -----	-----	-----
705 -----	-----	-----

706 § 2.1-639.41. Disclosure form.

707 A. The disclosure form to be used for filings required by § 2.1-639.40 A and B shall be substantially  
 708 as follows:  
 709

710 STATEMENT OF ECONOMIC INTERESTS.

711 Name .....

712 Office or position held or sought .....

713 Home address .....

714 Names of members of immediate family .....

715

716

717

718 DEFINITIONS AND EXPLANATORY MATERIAL.

719

720 "Immediate family" means (i) a spouse and (ii) any other person  
 721 residing in the same household as the legislator, who is a dependent  
 722 of the legislator or of whom the legislator is a dependent.  
 723

724 "Dependent" means any person, whether or not related by blood or

725 marriage, who receives from the legislator, or provides to the  
726 legislator, more than one-half of his financial support.

727  
728 "Business" means a corporation, partnership, sole proprietorship,  
729 firm, enterprise, franchise, association, trust or foundation, or  
730 any other individual or entity carrying on a business or profession,  
731 whether or not for profit.

732  
733 *"Close financial association" does not mean an association based on*  
734 *the receipt of retirement benefits or deferred compensation from a*  
735 *business by which the legislator is no longer employed. "Close*  
736 *financial association" does not include an association based on the*  
737 *receipt of compensation for work performed by the legislator as an*  
738 *independent contractor of a business that represents an entity before*  
739 *any state governmental agency when the legislator has had no*  
740 *communications with the state governmental agency.*

741  
742 "Gift" means any gratuity, favor, discount, entertainment,  
743 hospitality, loan, forbearance, or other item having monetary value.  
744 It includes services as well as gifts of transportation, local  
745 travel, lodgings and meals, whether provided in-kind, by purchase  
746 of a ticket, payment in advance or reimbursement after the expense  
747 has been incurred. "Gift" shall not include any offer of a ticket  
748 or other admission or pass unless the ticket, admission, or pass is  
749 used. "Gift" shall not include honorary degrees and presents from  
750 relatives. "Relative" means the donee's spouse, child, uncle, aunt,  
751 niece, or nephew; a person to whom the donee is  
752 engaged to be married; the donee's or his spouse's parent,  
753 grandparent, grandchild, brother, or sister; or the donee's brother's  
754 or sister's spouse.

755  
756 TRUST. If you or your immediate family, separately or together, are  
757 the only beneficiaries of a trust, treat the trust's assets as if  
758 you own them directly. If you or your immediate family has a  
759 proportional interest in a trust, treat that proportion of the  
760 trust's assets as if you own them directly. For example, if you  
761 and your immediate family have a one-third interest in a trust,  
762 complete your Statement as if you own one-third of each of the  
763 trust's assets. If you or a member of your immediate family created  
764 a trust and can revoke it without the beneficiaries' consent, treat  
765 its assets as if you own them directly.

766  
767 REPORT TO THE BEST OF INFORMATION AND BELIEF. Information required  
768 on this Statement must be provided on the basis of the best  
769 knowledge, information and belief of the individual filing the  
770 Statement as of the date of this report unless otherwise stated.

771  
772 COMPLETE ITEMS 1 THROUGH 10. REFER TO SCHEDULES ONLY IF DIRECTED.

773  
774 You may attach additional explanatory information.

775  
776 1. Offices and Directorships.

777  
778 Are you or a member of your immediate family a paid officer  
779 or paid director of a business?  
780 EITHER check NO / / OR check YES / / and complete Schedule A.

- 781 2. Personal Liabilities.  
 782 Do you or a member of your immediate family owe more than  
 783 \$10,000 to any one creditor including contingent liabilities?  
 784 (Exclude debts to any government and loans secured by recorded  
 785 liens on property at least equal in value to the loan.)  
 786 EITHER check NO / / OR check YES / / and complete Schedule B.
- 787 3. Securities.  
 788 Do you or a member of your immediate family, directly or  
 789 indirectly, separately or together, own securities valued in  
 790 excess of \$10,000 invested in one business? Account for mutual  
 791 funds, limited partnerships and trusts.  
 792 EITHER check NO / / OR check YES / / and complete Schedule C.
- 793 4. Payments for Talks, Meetings, and Publications.  
 794 During the past 12 months did you receive lodging, trans-  
 795 portation, money, or anything else of value with a combined  
 796 value exceeding \$200 for a single talk, meeting, or published  
 797 work in your capacity as a legislator?  
 798 EITHER check NO / / OR check YES / / and complete Schedule D.
- 799 5. Gifts.  
 800 During the past 12 months did a business, government, or  
 801 individual other than a relative or personal friend furnish  
 802 you with any gift or gifts the total value of which exceeded  
 803 ~~\$200~~ \$50 and for which you neither paid nor rendered services  
 804 in exchange? Account for all business entertainment (except if  
 805 related to your private profession or occupation) even if  
 806 unrelated to your official duties.  
 807 EITHER check NO / / OR check YES / / and complete Schedule E.
- 808 6. Salary and Wages.  
 809 List each employer that pays you or a member of your immediate  
 810 family salary or wages in excess of \$10,000 annually. (Exclude  
 811 state or local government or advisory agencies.)  
 812 If no reportable salary or wages, check here / /.  
 813 .....  
 814 .....  
 815 .....  
 816 .....  
 817 .....
- 818 7. Business Interests.  
 819 Do you or a member of your immediate family separately or together,  
 820 operate your own business, or own or control an interest in excess  
 821 of \$10,000 in a business?  
 822 EITHER check NO / / OR check YES / / and complete Schedule F.
- 823 8. Payments for Representation.  
 824 8A. Did you represent any businesses before any state governmental  
 825 agencies, excluding courts or judges, for which you received total  
 826 compensation during the past 12 months in excess of \$1,000,  
 827 excluding compensation for other services to such businesses and  
 828 representation consisting solely of the filing of mandatory papers  
 829 and subsequent representation regarding the mandatory papers?  
 830 EITHER check NO / / OR check YES / / and complete Schedule G-1.
- 831 8B. Subject to the same exceptions as in 8A, did persons with whom  
 832 you have a close financial association (partners, associates or  
 833 others) represent any businesses before any state governmental  
 834 agency for which total compensation was received during the past  
 835 12 months in excess of \$1,000?  
 836 EITHER check NO / / OR check YES / / and complete Schedule G-2.

837 8C. Did you or persons with whom you have a close financial  
838 association furnish services to businesses operating in Virginia  
839 for which total compensation in excess of \$1,000 was received  
840 during the past 12 months?  
841 EITHER check NO / / OR check YES / / and complete Schedule G-3.  
842 9. Real Estate.  
843 Do you or a member of your immediate family hold an interest,  
844 including a partnership interest, valued at \$10,000 or more in real  
845 property (other than your principal residence) for which you have  
846 not already listed the full address on Schedule F? Account for real  
847 estate held in trust.  
848 EITHER check NO / / OR check YES / / and complete Schedule H.  
849 10. Real Estate Contracts with State Governmental Agencies.  
850 Do you or a member of your immediate family hold an interest valued  
851 at more than \$10,000 in real estate, including a corporate,  
852 partnership, or trust interest, option, easement, or land contract,  
853 which real estate is the subject of a contract, whether pending or  
854 completed within the past twelve months, with a state governmental  
855 agency? If the real estate contract provides for the leasing of the  
856 property to a state governmental agency, do you or a member of your  
857 immediate family hold an interest in the real estate, including a  
858 corporate, partnership, or trust interest, option, easement, or land  
859 contract valued at more than \$1,000? Account for all such contracts  
860 whether or not your interest is reported in Schedules F or H. This  
861 requirement to disclose an interest in a lease does not apply to an  
862 interest derived through an ownership interest in a business unless  
863 the ownership interest exceeds three percent of the total equity of  
864 the business.  
865 EITHER check NO / / OR check YES / / and complete Schedule I.  
866  
867 Statements of Economic Interests are open for public inspection.  
868

# AFFIRMATION

871 In accordance with the rules of the house in which I serve, if I  
872 receive a request that this disclosure statement be corrected,  
873 augmented, or revised in any respect, I hereby pledge that I shall  
874 respond promptly to the request. I understand that if a  
875 determination is made that the statement is insufficient,  
876 I will satisfy such request or be subjected to disciplinary action  
877 of my house.  
878

879 I swear or affirm that the foregoing information is full, true and  
880 correct to the best of my knowledge.  
881

882 Signature .....  
883 Commonwealth of Virginia  
884 ..... of ..... to wit:  
885 The foregoing disclosure form was acknowledged before me  
886 This ..... day of ..... 19. . . by .....  
887 Notary Public  
888 My commission expires .....  
889 (Return only if needed to complete Statement.)  
890

# SCHEDULES

to



## STATEMENT OF ECONOMIC INTERESTS

NAME .....

## SCHEDULE A - OFFICES AND DIRECTORSHIPS.

Identify each business of which you or a member of  
your immediate family is a paid officer or paid director.

Name of Business	Address of Business	Position Held
.....	.....	.....
.....	.....	.....
.....	.....	.....
.....	.....	.....

RETURN TO ITEM 2

## SCHEDULE B - PERSONAL LIABILITIES.

Report personal liability by checking each category. Report only  
debts in excess of \$10,000. Do not report debts to any government  
Do not report loans secured by recorded liens on property at  
least equal in value to the loan.  
Report contingent liabilities below and indicate which debts are  
contingent.

1. My personal debts are as follows:

Check appropriate categories	Check one \$10,001 to \$50,000	Check one More than \$50,000
Banks	.....	.....
Savings institutions	.....	.....
Other loan or finance companies	.....	.....
Insurance companies	.....	.....
Stock, commodity or other brokerage companies	.....	.....
Other businesses:		
(State principal business activity for each creditor.)	.....	.....
.....	.....	.....
.....	.....	.....
Individual creditors:		
(State principal business or occupation of each creditor.)	.....	.....
.....	.....	.....
.....	.....	.....

2. The personal debts of the members of my immediate family are as follows:

Check appropriate categories	\$10,001 to \$50,000	Check one More than \$50,000
Banks	.....	.....
Savings institutions	.....	.....
Other loan or finance companies	.....	.....
Insurance companies	.....	.....
Stock, commodity or other brokerage companies	.....	.....
Other businesses:		
(State principal business activity for each creditor.)	.....	.....
.....	.....	.....
.....	.....	.....
Individual creditors:		
(State principal business or occupation of each creditor.)	.....	.....
.....	.....	.....
.....	.....	.....

RETURN TO ITEM 3

#### SCHEDULE C - SECURITIES.

"Securities" INCLUDES stocks, bonds, mutual funds, money market funds, limited partnerships, and commodity futures contracts.	"Securities" EXCLUDES certificates of deposit, annuity contracts, and insurance policies.
---	---

Identify each business or Virginia governmental entity in which you or a member of your immediate family, directly or indirectly, separately or together, own securities valued in excess of \$10,000.

Do not list U.S. Bonds or other government securities not issued by the Commonwealth of Virginia or its authorities, agencies, or local governments. Do not list organizations that do not do business in this Commonwealth, but most major businesses conduct business in Virginia. Account for securities held in trust.

If no reportable securities, check here / /

Name of Issuer	Type of Entity	Type of Security (stocks, bonds, mutual or money market funds, etc.)	\$10,001 to \$50,000	Check one More than \$50,000
.....	.....	.....	.....	.....

1005 .....  
 1006 .....  
 1007 .....  
 1008 -----  
 1009 -----

1010  
 1011 RETURN TO ITEM 4  
 1012

1013 SCHEDULE D - PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.  
 1014

1015 List each source from which you received during the past 12  
 1016 months lodging, transportation, money, or any other thing of  
 1017 value (excluding meals or drinks coincident with a meeting) with  
 1018 combined value exceeding \$200 for your presentation of a single  
 1019 talk, participation in one meeting, or publication of a work in  
 1020 your capacity as a legislator.  
 1021

1022 List payments or reimbursements by the Commonwealth only for  
 1023 meetings or travel outside the Commonwealth.  
 1024

1025 List a payment even if you donated it to charity.  
 1026

1027 Do not list information about a payment if you returned it within  
 1028 60 days or if you received it from an employer already listed  
 1029 under Item 6 or from a source of income listed on Schedule F.  
 1030

1031 If no payment must be listed, check here / /  
 1032  
 1033 -----  
 1034 -----

			Type of payment (e.g. Honoraria, travel reimburse- ment, etc.)
1036	Payer	Approximate Value	Circumstances
1037	.....	.....	.....
1038	.....	.....	.....
1039	.....	.....	.....
1040	.....	.....	.....
1041	.....	.....	.....
1042	.....	.....	.....
1043	.....	.....	.....
1044	-----	-----	-----
1045	-----	-----	-----

1046  
 1047 RETURN TO ITEM 5  
 1048

1049 SCHEDULE E - GIFTS.  
 1050

1051 List each business, governmental entity, or individual that  
 1052 furnished you with any gift or gifts whose total value exceeded  
 1053 ~~\$200~~ \$50 during the past 12 months and for which you neither paid  
 1054 nor rendered services in exchange. Do not list business  
 1055 entertainment related to your private profession or occupation.  
 1056 Do not list gifts or other things of value given by a relative or  
 1057 personal friend for reasons clearly unrelated to your public  
 1058 position. Do not list campaign contributions publicly reported as  
 1059 required by Chapter 9 of Title 24.2 of the Code of Virginia.  
 1060

1061 -----  
 1062 -----  
 1063  
 1064 Name of Business,  
 1065 Organization, or City or County  
 1066 Individual and State Approximate Value  
 1067 .....  
 1068 .....  
 1069 .....  
 1070 .....  
 1071 -----

1072 -----  
 1073  
 1074 RETURN TO ITEM 6  
 1075

1076 SCHEDULE F - BUSINESS INTERESTS.

1077  
 1078 Complete this Schedule for each self or family owned business  
 1079 (including rental property, a farm, or consulting work),  
 1080 partnership, or corporation in which you or a member of your  
 1081 immediate family, separately or together, own an interest having  
 1082 a value in excess of \$10,000.  
 1083

1084 If the enterprise is owned or operated under a trade,  
 1085 partnership, or corporate name, list that name; otherwise merely  
 1086 explain the nature of the enterprise. If rental property is owned  
 1087 or operated under a trade, partnership, or corporate name, list  
 1088 the name only; otherwise, give the address of each property.  
 1089 Account for business interests held in trust.  
 1090

1091 -----  
 1092 -----  
 1093  
 1094 Name of Business, Gross income  
 1095 Corporation,  
 1096 Partnership, Nature of Enterprise \$50,000 More  
 1097 Farm; Address of City or County (farming, law, rental or than  
 1098 Rental Property and State property, etc.) less \$50,000  
 1099 .....  
 1100 .....  
 1101 .....  
 1102 .....  
 1103 -----

1104 -----  
 1105  
 1106 RETURN TO ITEM 8  
 1107

1108 SCHEDULE G-1 - PAYMENTS FOR REPRESENTATION BY YOU.

1109  
 1110 List the businesses you represented before any state governmental  
 1111 agency, excluding any court or judge, for which you received  
 1112 total compensation during the past 12 months in excess of \$1,000,  
 1113 excluding compensation for other services to such businesses and  
 1114 representation consisting solely of the filing of mandatory  
 1115 papers and subsequent representation regarding the mandatory  
 1116 papers filed by you.

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\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

List the businesses that have been represented before any state governmental agency, excluding any court or judge, by persons who are your partners, associates or others with whom you have a close financial association and who received total compensation in excess of \$1,000 for such representation during the past 12 months, excluding representation consisting solely of the filing of mandatory papers and subsequent representation regarding the

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1173 SCHEDULE G-3 - PAYMENTS FOR REPRESENTATION GENERALLY.

1174

1175 Indicate below types of businesses that operate in Virginia to  
 1176 which services were furnished by you or persons with whom you  
 1177 have a close financial association and for which total  
 1178 compensation in excess of \$1,000 was received during the past 12  
 1179 months.

1180

1181 Identify opposite each category of businesses listed below (i)  
 1182 the type of business, (ii) the type of service rendered and (iii)  
 1183 the value *by dollar category* of the compensation received for all  
 1184

1185

businesses falling within each category.

1186

1187 -----

1188 -----

1189

1190

Value of Compensation

1191

Check Type ~~\$1,001~~ More

1192

if of

1193

ser- ser- to than

1194

vices vice ~~\$10,000~~ ~~\$10,000~~

1195

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dered \$1,001 \$10,001 \$50,001 \$100,001 \$250,001

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to to to to and

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\$10,000 \$50,000 \$100,000 \$250,000 over

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Electric

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utilities ..... ..

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Gas util-

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Telephone

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utilities ..... ..

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Water util-

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Cable tele-

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companies ..... ..

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Interstate

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tion com-

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panies ..... ..

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Intrastate

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tion com-

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panies ..... ..

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Oil or gas

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retail com-

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panies ..... ..

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Banks

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Savings

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tions ..... ..

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Loan or fi-

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nance com-

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panies ..... ..

1229 Manufactur-  
 1230 ing com-  
 1231 panies (state  
 1232 type of pro-  
 1233 duct, e.g.,  
 1234 textile,  
 1235 furniture  
 1236 etc.) .....  
 1237 Mining com-  
 1238 panies .....  
 1239 Life insur-  
 1240 ance com-  
 1241 panies .....  
 1242 Casualty in-  
 1243 surance com-  
 1244 panies .....  
 1245 Other insur-  
 1246 ance com-  
 1247 panies .....  
 1248 Retail com-  
 1249 panies .....  
 1250 Beer, wine  
 1251 or liquor  
 1252 companies or  
 1253 distribu-  
 1254 tors .....  
 1255 Trade asso-  
 1256 ciations .....  
 1257 Professional  
 1258 associa-  
 1259 tions .....  
 1260 Associa-  
 1261 tions of  
 1262 public  
 1263 employees  
 1264 or  
 1265 officials .....  
 1266 Counties,  
 1267 cities or  
 1268 towns .....  
 1269 Labor  
 1270 organi-  
 1271 zations .....  
 1272 Other .....  
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 1274 -----  
 1275 -----  
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RETURN TO ITEM 9

## SCHEDULE H - REAL ESTATE.

List real estate other than your principal residence in which  
 you or a member of your immediate family hold an interest,  
 including a partnership interest, option, easement, or land  
 contract, valued at \$10,000 or more. You may list each parcel

1285 of real estate individually if you wish.

1286

1287 -----

1288 -----

1289

1290	List each location	Describe the type of	If the real estate
1291	(state, and county	real estate you own	is owned or recorded
1292	or city) where you	in each location	in a name other than
1293	own real estate.	(business, recreational,	your own, list that
1294		apartment, commercial,	name.
1295		open land, etc.).	

1296 ..... ..

1297 ..... ..

1298 ..... ..

1299 ..... ..

1300 ..... ..

1301

1302 -----

1303 -----

1304

1305 RETURN TO ITEM 10

1306

1307 SCHEDULE I - REAL ESTATE CONTRACTS WITH STATE GOVERNMENTAL AGENCIES.

1308

1309 List all contracts, whether pending or completed within the past  
 1310 twelve months, with a state governmental agency for the sale or  
 1311 exchange of real estate in which you or a member of your  
 1312 immediate family holds an interest, including a corporate,  
 1313 partnership or trust interest, option, easement, or land  
 1314 contract, valued at \$10,000 or more. List all contracts with a  
 1315 state governmental agency for the lease of real estate in which  
 1316 you or a member of your immediate family holds such an interest  
 1317 valued at \$1,000 or more. This requirement to disclose an  
 1318 interest in a lease does not apply to an interest derived through  
 1319 an ownership interest in a business unless the ownership interest  
 1320 exceeds three percent of the total equity of the business.

1321

1322 -----

1323 -----

1324

1325	List your real	List each	State the annual
1326	estate interest and	governmental agency	income from the
1327	the person or entity,	which is a party to	contract, and the
1328	including the type of	the contract and	amount, if any,
1329	entity, which is	indicate the county	of income you or
1330	party to the contract.	or city where the	any immediate
1331	Describe any	real estate is	family member
1332	management role and	located.	derives annually
1333	the percentage		from the
1334	ownership interest		contract.
1335	you or your immediate		
1336	family member has in		
1337	the real estate		
1338	or entity.		

1339 ..... ..

1340 ..... ..



1341 .....  
 1342 .....  
 1343 .....  
 1344 -----  
 1345 -----  
 1346 -----

1347 B. Any legislator who makes a knowing misstatement of a material fact on the Statement of  
 1348 Economic Interests shall be subject to disciplinary action for such violations by the house in which the  
 1349 legislator sits.

1350 C. In accordance with the rules of each house, the Statement of Economic Interests of all members  
 1351 of each house shall be reviewed. If a legislator's Statement is found to be inadequate as filed, the  
 1352 legislator shall be notified in writing, directed to file an amended Statement correcting the indicated  
 1353 deficiencies, and a time set within which such amendment shall be filed. If the Statement of Economic  
 1354 Interests, in either its original or amended form, is found to be adequate as filed, the legislator's filing  
 1355 shall be deemed in full compliance with this section as to the information disclosed thereon.

1356 D. Ten percent of the membership of a house, on the basis of newly discovered facts, may in writing  
 1357 request the house in which those members sit, in accordance with the rules of that house, to review the  
 1358 Statement of Economic Interests of another member of that house in order to determine the adequacy of  
 1359 his filing. In accordance with the rules of each house, each Statement of Economic Interests shall be  
 1360 promptly reviewed, the adequacy of the filing determined, and notice given in writing to the legislator  
 1361 whose Statement is in issue. Should it be determined that the Statement requires correction,  
 1362 augmentation or revision, the legislator involved shall be directed to make the changes required within  
 1363 such time as shall be set under the rules of each house.

1364 If a legislator, after having been notified in writing in accordance with the rules of the house in  
 1365 which he sits that his Statement is inadequate as filed, fails to amend his Statement so as to come into  
 1366 compliance within the time limit set, he shall be subject to disciplinary action by the house in which he  
 1367 sits. No legislator shall vote on any question relating to his own Statement.