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HOUSE BILL NO. 2090

AMENDMENT IN THE NATURE OF A SUBSTITUTE

(Proposed by the House Committee on Privileges and Elections)

(Patron Prior to Substitute—Delegate Watkins)

House Amendments in [] — February 3, 1997

A BILL to amend and reenact §§ 2.1-639.15 and 2.1-639.41 of the Code of Virginia, relating to the conflict of interests laws; disclosure forms.

Be it enacted by the General Assembly of Virginia:

1. That §§ 2.1-639.15 and 2.1-639.41 of the Code of Virginia are amended and reenacted as follows:

§ 2.1-639.15. Disclosure form.

The disclosure form to be used for filings required by § 2.1-639.13 A and D, and § 2.1-639.14 A and D shall be substantially as follows:

STATEMENT OF ECONOMIC INTERESTS.

Name
Office or position held or sought
Home address
Names of members of immediate family

DEFINITIONS AND EXPLANATORY MATERIAL.

"Immediate family" means (i) a spouse and (ii) any other person residing in the same household as the officer or employee, who is a dependent of the officer or employee or of whom the officer or employee is a dependent.

"Dependent" means any person, whether or not related by blood or marriage, who receives from the officer or employee, or provides to the officer or employee, more than one-half of his financial support.

"Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise, association, trust or foundation, or any other individual or entity carrying on a business or profession, whether or not for profit.

"Close financial association" does not mean an association based on the receipt of retirement benefits or deferred compensation from a business by which the person filing this statement is no longer employed. "Close financial association" does not include an association based on the receipt of compensation for work performed by the person filing as an independent contractor of a business that represents an entity before any state governmental agency when the person filing has had no communications with the state governmental agency.

"Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item having monetary value. It includes services as well as gifts of transportation, local travel, lodgings and meals, whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the expense has been incurred. "Gift" shall not include any offer of a ticket or other admission or pass unless the ticket, admission, or pass is

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56 used. "Gift" shall not include honorary degrees and presents from
57 relatives. "Relative" means the donee's spouse, child, uncle, aunt,
58 niece, or nephew; a person to whom the donee is
59 engaged to be married; the donee's or his spouse's parent,
60 grandparent, grandchild, brother, or sister; or the donee's
61 brother's or sister's spouse.

62
63
64 TRUST. If you or your immediate family, separately or together,
65 are the only beneficiaries of a trust, treat the trust's assets
66 as if you own them directly. If you or your immediate family has
67 a proportional interest in a trust, treat that proportion of the
68 trust's assets as if you own them directly. For example, if you
69 and your immediate family have a one-third interest in a trust,
70 complete your Statement as if you own one-third of each of the
71 trust's assets. If you or a member of your immediate family
72 created a trust and can revoke it without the beneficiaries'
73 consent, treat its assets as if you own them directly.

74
75 REPORT TO THE BEST OF INFORMATION AND BELIEF. Information
76 required on this Statement must be provided on the basis of the
77 best knowledge, information and belief of the individual filing
78 the Statement as of the date of this report unless otherwise
79 stated.

80
81 COMPLETE ITEMS 1 THROUGH 10. REFER TO SCHEDULES ONLY IF DIRECTED.

82
83 You may attach additional explanatory information.

84
85 1. Offices and Directorships.

86 Are you or a member of your immediate family a paid officer
87 or paid director of a business?

88 EITHER check NO / / OR check YES / / and complete
89 Schedule A.

90 2. Personal Liabilities.

91 Do you or a member of your immediate family owe more than
92 \$10,000 to any one creditor including contingent liabilities?
93 (Exclude debts to any government and loans secured by recorded
94 liens on property at least equal in value to the loan.)

95 EITHER check NO / / OR check YES / / and complete
96 Schedule B.

97 3. Securities.

98 Do you or a member of your immediate family, directly or
99 indirectly, separately or together, own securities valued
100 in excess of \$10,000 invested in one business? Account for
101 mutual funds, limited partnerships and trusts.

102 EITHER check NO / / OR check YES / / and complete
103 Schedule C.

104 4. Payments for Talks, Meetings, and Publications.

105 During the past 12 months did you receive lodging,
106 transportation, money, or anything else of value with a
107 combined value exceeding \$200 for a single talk, meeting,
108 or published work in your capacity as an officer or employee of
109 your agency?

110 EITHER check NO / / OR check YES / / and complete
111 Schedule D.

112 5. Gifts.

During the past 12 months did a business, government, or individual other than a relative or personal friend furnish you with any gift or gifts the total value of which exceeded ~~\$200~~ \$100 and for which you neither paid nor rendered services in exchange? Account for all business entertainment (except if related to your private profession or occupation) even if unrelated to your official duties.
EITHER check NO / / OR check YES / / and complete Schedule E.

6. Salary and Wages.

List each employer that pays you or a member of your immediate family salary or wages in excess of \$10,000 annually. (Exclude state or local government or advisory agencies.)
If no reportable salary or wages, check here / /.

.....
.....
.....

7. Business Interests.

Do you or a member of your immediate family separately or together, operate your own business, or own or control an interest in excess of \$10,000 in a business?
EITHER check NO / / OR check YES / / and complete Schedule F.

8. Payments for Representation.

8A. Did you represent any businesses before any state governmental agencies, excluding courts or judges, for which you received total compensation during the past 12 months in excess of \$1,000, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers? (Officers and employees of local governmental and advisory agencies do NOT need to answer this question or complete Schedule G-1.)
EITHER check NO / / OR check YES / / and complete Schedule G-1.

8B. Subject to the same exceptions as in 8A, did persons with whom you have a close financial association (partners, associates or others) represent any businesses before any state governmental agency for which total compensation was received during the past 12 months in excess of \$1,000? (Officers and employees of local governmental and advisory agencies do NOT need to answer this question or complete Schedule G-2.)
EITHER check NO / / OR check YES / / and complete Schedule G-2.

8C. Did you or persons with whom you have a close financial association furnish services to businesses operating in Virginia for which total compensation in excess of \$1,000 was received during the past 12 months?
EITHER check NO / / OR check YES / / and complete Schedule G-3.

9. Real Estate.

9A. State Officers and Employees.

Do you or a member of your immediate family hold an interest, including a partnership interest, valued at \$10,000 or more in real property (other than your principal residence) for which you have not already listed the full address on Schedule F?

169 Account for real estate held in trust.
170 EITHER check NO / / OR check YES / / and complete
171 Schedule H-1.
172 9B. Local Officers and Employees.
173 Do you or a member of your immediate family hold an interest,
174 including a partnership interest, valued at \$10,000 or more in
175 real property located in the county, city or town in which you
176 serve or in a county, city or town contiguous to the county,
177 city or town in which you serve (other than your principal
178 residence) for which you have not already listed the full
179 address on Schedule F? Account for real estate held in trust.
180 EITHER check NO / / OR check YES / / and complete
181 Schedule H-2.
182 10. Real Estate Contracts with Government Agencies.
183 Do you or a member of your immediate family hold an interest
184 valued at more than \$10,000 in real estate, including a
185 corporate, partnership, or trust interest, option,
186 easement, or land contract, which real estate is the
187 subject of a contract, whether pending or completed within
188 the past twelve months, with a governmental agency? If the
189 real estate contract provides for the leasing of the property
190 to a governmental agency, do you or a member of your immediate
191 family hold an interest in the real estate valued at more than
192 \$1,000? Account for all such contracts whether or not your
193 interest is reported in schedules F, H-1, or H-2. This
194 requirement to disclose an interest in a lease does not apply
195 to an interest derived through an ownership interest in a
196 business unless the ownership interest exceeds three percent
197 of the total equity of the business.
198 EITHER check NO / / OR check YES / / and complete
199 Schedule I.
200

201 Statements of Economic Interests are open for public inspection.
202

203 AFFIRMATION BY ALL FILERS
204

205 I swear or affirm that the foregoing information is full, true and
206 correct to the best of my knowledge.
207

208 Signature.....
209 Commonwealth of Virginia

210of.....to wit:

211 The foregoing disclosure form was acknowledged before me

212 This.....day of.....19.. by

213 Notary Public

214 My commission expires.....
215 (Return only if needed to complete Statement.)
216

217 SCHEDULES
218

219 to

220 STATEMENT OF ECONOMIC INTERESTS
221

222 NAME.....
223

224 SCHEDULE A - OFFICES AND DIRECTORSHIPS.
225

Identify each business of which you or a member of your

immediate family is a paid officer or paid director.

Name of Business	Address of Business	Position Held
.....
.....
.....
.....

RETURN TO ITEM 2

SCHEDULE B - PERSONAL LIABILITIES.

Report personal liability by checking each category. Report only debts in excess of \$10,000. Do not report debts to any government. Do not report loans secured by recorded liens on property at least equal in value to the loan. Report contingent liabilities below and indicate which debts are contingent.

1. My personal debts are as follows:

Check appropriate categories	Check one \$10,001 to \$50,000	More than \$50,000
Banks
Savings institutions
Other loan or finance companies
Insurance companies
Stock, commodity or other brokerage companies
Other businesses: (State principal business activity for each creditor.)
.....
.....
Individual creditors: (State principal business or occupation of each creditor.)
.....
.....

2. The personal debts of the members of my immediate family are as follows:

282			
283	-----		
284	-----		
285			
286	Check	Check one	
287	appropriate	\$10,001 to	More than
288	categories	\$50,000	\$50,000
289			
290	Banks
291	Savings institutions
292	Other loan or finance companies
293	Insurance companies
294	Stock, commodity or other brokerage		
295	companies
296	Other businesses:		
297	(State principal business activity		
298	for each creditor.)
299
300
301	Individual creditors:		
302	(State principal business or		
303	occupation of each creditor.)
304
305
306			
307	-----		
308	-----		
309			

SCHEDULE C - SECURITIES.

"Securities" INCLUDES stocks, bonds, mutual funds, money market funds, limited partnerships, and commodity futures contracts.	"Securities" EXCLUDES certificates of deposit, annuity contracts, and insurance policies.
---	---

Identify each business or Virginia governmental entity in which you or a member of your immediate family, directly or indirectly, separately or together, own securities valued in excess of \$10,000.

Do not list U.S. Bonds or other government securities not issued by the Commonwealth of Virginia or its authorities, agencies, or local governments. Do not list organizations that do not do business in this Commonwealth, but most major businesses conduct business in Virginia. Account for securities held in trust.

If no reportable securities, check here / /

Name of Issuer	Type of Entity	Type of Security (stocks, bonds, mutual or money market funds, etc.)	Check one \$10,001 to \$50,000	More than \$50,000
.....
.....
.....

RETURN TO ITEM 4

SCHEDULE D - PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.

List each source from which you received during the past 12 months lodging, transportation, money, or any other thing of value (excluding meals or drinks coincident with a meeting) with combined value exceeding \$200 for your presentation of a single talk, participation in one meeting, or publication of a work in your capacity as an officer or employee of your agency.

List payments or reimbursements by an advisory or governmental agency only for meetings or travel outside the Commonwealth.

List a payment even if you donated it to charity.

Do not list information about a payment if you returned it within 60 days or if you received it from an employer already listed under Item 6 or from a source of income listed on Schedule F.

If no payment must be listed, check here / /

Type of payment
(e.g. honoraria,
travel reimburse-
ment, etc.)

Payer	Approximate Value	Circumstances	Type of payment (e.g. honoraria, travel reimburse- ment, etc.)
.....
.....
.....
.....

RETURN TO ITEM 5

SCHEDULE E - GIFTS.

List each business, governmental entity, or individual that furnished you with any gift or gifts whose total value exceeded ~~\$200~~ \$100 during the past 12 months and for which you neither paid nor rendered services in exchange. Do not list business entertainment related to your private profession or occupation. Do not list gifts from a relative or from a personal friend given for reasons clearly unrelated to your public position. Do not list campaign contributions publicly reported as required by Chapter 9 (§ 24.2-900 et seq.) of Title 24.2 of the Code of Virginia.

395	Name of Business,		
396	Organization, or	City or County	
397	Individual	and State	Approximate Value
398
399
400
401

402 -----
 403 -----
 404 -----

405 RETURN TO ITEM 6

406

407 SCHEDULE F - BUSINESS INTERESTS.

408
 409 Complete this Schedule for each self or family owned business
 410 (including rental property, a farm, or consulting work),
 411 partnership, or corporation in which you or a member of your
 412 immediate family, separately or together, own an interest having
 413 a value in excess of \$10,000.
 414

415 If the enterprise is owned or operated under a trade, partnership,
 416 or corporate name, list that name; otherwise merely explain the
 417 nature of the enterprise. If rental property is owned or operated
 418 under a trade, partnership, or corporate name, list the name only;
 419 otherwise, give the address of each property. Account for business
 420 interests held in trust.
 421

422 -----
 423 -----

424

425	Name of		Gross income
426	Business,		
427	Corporation,		
428	Partnership,		
429	Farm; Address	City or	Nature of Enterprise
430	of Rental	County	(farming, law, \$50,000 More than
431	Property	and State	rental property, etc.) or less \$50,000

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 437 -----
 438 -----

439 RETURN TO ITEM 8

440

441 SCHEDULE G-1 - PAYMENTS FOR REPRESENTATION BY YOU.

442
 443 List the businesses you represented before any state governmental
 444 agency, excluding any court or judge, for which you received
 445 total compensation during the past 12 months in excess of \$1,000,
 446 excluding compensation for other services to such businesses and
 447 representation consisting solely of the filing of mandatory
 448 papers and subsequent representation regarding the mandatory
 449 papers filed by you.
 450

451 Identify each business, the nature of the representation and the

amount received by dollar category from each such business. You may state the type, rather than name, of the business if you are required by law not to reveal the name of the business represented by you.

Only STATE officers and employees should complete this Schedule.

				Amount Received				
Name	Type	Pur-	Name	\$1,001	More			
of	of	pose	of	to	than			
Busi-	Busi-	of	Agen-	\$10,000	\$10,000			
ness	ness	Repre-	cy					
		sentation						
				\$1,001	\$10,001	\$50,001	\$100,001	\$250,001
				to	to	to	to	and
				\$10,000	\$50,000	\$100,000	\$250,000	over
....
....
....
....

SCHEDULE G-2 - PAYMENTS FOR REPRESENTATION BY ASSOCIATES.

List the businesses that have been represented before any state governmental agency, excluding any court or judge, by persons who are your partners, associates or others with whom you have a close financial association and who received total compensation in excess of \$1,000 for such representation during the past 12 months, excluding representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers filed by your partners, associates or others with whom you have a close financial association.

Identify such businesses by type and also name the state governmental agencies before which such person appeared on behalf of such businesses.

Only STATE officers and employees should complete this Schedule.

Type of business	Name of state governmental agency
.....
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509 -----
510
511 SCHEDULE G-3 - PAYMENTS FOR REPRESENTATION GENERALLY.
512
513 Indicate below types of businesses that operate in Virginia to
514 which services were furnished by you or persons with whom you have
515 a close financial association and for which total compensation in
516 excess of \$1,000 was received during the past 12 months.
517
518 Identify opposite each category of businesses listed below (i) the
519 type of business, (ii) the type of service rendered and (iii) the
520 value *by dollar category* of the compensation received for all
521 businesses falling within each category.
522
523 -----
524 -----
525
526 Value of Compensation
527 Check Type \$1,001 ~~More~~
528 if of
529 ser- ser- to ~~than~~
530 vices vices \$10,000 ~~\$10,000~~
531 were ren-
532 ren- dered
533 dered \$1,001 \$10,001 \$50,001 \$100,001 \$250,001
534 to to to to and
535 \$10,000 \$50,000 \$100,000 \$250,000 over
536 Electric
537 utilities
538 Gas util-
539 ities
540 Telephone
541 utilities
542 Water util-
543 ities
544 Cable tele-
545 vision
546 companies
547 Interstate
548 transporta
549 tion com-
550 panies
551 Intrastate
552 transporta
553 tion com-
554 panies
555 Oil or gas
556 retail com-
557 panies
558 Banks
559 Savings
560 institu-
561 tions
562 Loan or fi-
563 nance com-
564 panies

565 Manufactur-
 566 ing com-
 567 panies (state
 568 type of pro-
 569 duct, e.g.,
 570 textile,
 571 furniture
 572 etc.)
 573 Mining com-
 574 panies
 575 Life insur-
 576 ance com-
 577 panies
 578 Casualty in-
 579 surance com-
 580 panies
 581 Other insur-
 582 ance com-
 583 panies
 584 Retail com-
 585 panies
 586 Beer, wine
 587 or liquor
 588 companies or
 589 distribu-
 590 tors
 591 Trade asso-
 592 ciations
 593 Professional
 594 associa-
 595 tions
 596 Associa-
 597 tions of
 598 public
 599 employees
 600 or
 601 officials
 602 Counties,
 603 cities or
 604 towns
 605 Labor
 606 organi-
 607 zations
 608 Other
 609
 610 -----
 611 -----

612 RETURN TO ITEM 9

613
614 SCHEDULE H-1 - REAL ESTATE - STATE OFFICERS AND EMPLOYEES.

615
 616 List real estate other than your principal residence in which
 617 you or a member of your immediate family hold an interest,
 618 including a partnership interest, option, easement, or land
 619 contract, valued at \$10,000 or more. You may list each parcel
 620 of real estate individually if you wish.

621
622 -----
623 -----
624
625 List each location Describe the type of If the real estate
626 (state, and county real estate you own is owned or recorded
627 or city) where you in each location in a name other than
628 own real estate. (business, recreational, your own, list that
629 apartment, commercial, name.
630 open land, etc.).
631
632
633
634
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636
637 -----
638 -----

639
640 SCHEDULE H-2 - REAL ESTATE - LOCAL OFFICERS AND EMPLOYEES.
641

642 List real estate located in your county, city, or town, and any
643 contiguous county, city, or town other than your principal
644 residence in which you or a member of your immediate family hold
645 an interest, including a partnership interest, option, easement,
646 or land contract, valued at \$10,000 or more. You may list each
647 parcel of real estate individually if you wish.
648

649 -----
650 -----
651
652 List the counties Describe the type of If the real estate
653 and cities in which real estate you own is owned or recorded
654 you own real estate. in each county or city in a name other than
655 (business, recreational, your own, list that
656 apartment, commercial, name.
657 open land, etc.).
658
659
660
661
662
663

664 -----
665 -----

666 RETURN TO ITEM 10

667
668 SCHEDULE I - REAL ESTATE CONTRACTS WITH GOVERNMENT AGENCIES.
669

670 List all contracts, whether pending or completed within the
671 past twelve months, with a governmental agency for the sale
672 or exchange of real estate in which you or a member of
673 your immediate family holds an interest, including a corporate,
674 partnership or trust interest, option, easement, or land contract,
675 valued at \$10,000 or more. List all contracts with a
676 governmental agency for the lease of real estate in which you or a
677 member of your immediate family holds such an interest valued at

678 \$1,000 or more. This requirement to disclose an interest in a
 679 lease does not apply to an interest derived through an ownership
 680 interest in a business unless the ownership interest exceeds three
 681 percent of the total equity of the business.
 682 State officers and employees report contracts with state agencies.
 683 Local officers and employees report contracts with local agencies.
 684

685 List your real	List each	State the annual
686 estate interest	governmental agency	income from the
687 and the person	which is a party to	contract, and the
688 or entity,	the contract and in-	amount, if any, of
689 including the	dicade the county	income you or any
690 type of entity,	or city where the real	immediate family
691 which is party	estate is located.	member derives
692 to the contract.		annually from the
693 Describe any		contract.
694 management role		
695 and the percentage		
696 ownership interest		
697 you or your		
698 immediate family		
699 member has in the		
700 real estate or entity.		
701
702
703
704
705
706 -----	-----	-----
707 -----	-----	-----

708 § 2.1-639.41. Disclosure form.
 709 A. The disclosure form to be used for filings required by § 2.1-639.40 A and B shall be substantially
 710 as follows:
 711

712 STATEMENT OF ECONOMIC INTERESTS.

713
 714
 715 Name
 716 Office or position held or sought
 717 Home address
 718 Names of members of immediate family
 719

720 DEFINITIONS AND EXPLANATORY MATERIAL.

721
 722 "Immediate family" means (i) a spouse and (ii) any other person
 723 residing in the same household as the legislator, who is a dependent
 724 of the legislator or of whom the legislator is a dependent.
 725

726 "Dependent" means any person, whether or not related by blood or
 727 marriage, who receives from the legislator, or provides to the
 728 legislator, more than one-half of his financial support.
 729

730 "Business" means a corporation, partnership, sole proprietorship,
 731 firm, enterprise, franchise, association, trust or foundation, or
 732 any other individual or entity carrying on a business or profession,
 733 whether or not for profit.

734

735 *"Close financial association" does not mean an association based on*
736 *the receipt of retirement benefits or deferred compensation from a*
737 *business by which the legislator is no longer employed. "Close*
738 *financial association" does not include an association based on the*
739 *receipt of compensation for work performed by the legislator as an*
740 *independent contractor of a business that represents an entity before*
741 *any state governmental agency when the legislator has had no*
742 *communications with the state governmental agency.*

743

744 "Gift" means any gratuity, favor, discount, entertainment,
745 hospitality, loan, forbearance, or other item having monetary value.
746 It includes services as well as gifts of transportation, local
747 travel, lodgings and meals, whether provided in-kind, by purchase
748 of a ticket, payment in advance or reimbursement after the expense
749 has been incurred. "Gift" shall not include any offer of a ticket
750 or other admission or pass unless the ticket, admission, or pass is
751 used. "Gift" shall not include honorary degrees and presents from
752 relatives. "Relative" means the donee's spouse, child, uncle, aunt,
753 niece, or nephew; a person to whom the donee is
754 engaged to be married; the donee's or his spouse's parent,
755 grandparent, grandchild, brother, or sister; or the donee's brother's
756 or sister's spouse.

757

758 TRUST. If you or your immediate family, separately or together, are
759 the only beneficiaries of a trust, treat the trust's assets as if
760 you own them directly. If you or your immediate family has a
761 proportional interest in a trust, treat that proportion of the
762 trust's assets as if you own them directly. For example, if you
763 and your immediate family have a one-third interest in a trust,
764 complete your Statement as if you own one-third of each of the
765 trust's assets. If you or a member of your immediate family created
766 a trust and can revoke it without the beneficiaries' consent, treat
767 its assets as if you own them directly.

768

769 REPORT TO THE BEST OF INFORMATION AND BELIEF. Information required
770 on this Statement must be provided on the basis of the best
771 knowledge, information and belief of the individual filing the
772 Statement as of the date of this report unless otherwise stated.

773

774 COMPLETE ITEMS 1 THROUGH 10. REFER TO SCHEDULES ONLY IF DIRECTED.

775

776 You may attach additional explanatory information.

777

778 1. Offices and Directorships.

779

780 Are you or a member of your immediate family a paid officer
781 or paid director of a business?

782 EITHER check NO / / OR check YES / / and complete Schedule A.

783 2. Personal Liabilities.

784 Do you or a member of your immediate family owe more than
785 \$10,000 to any one creditor including contingent liabilities?
786 (Exclude debts to any government and loans secured by recorded
787 liens on property at least equal in value to the loan.)

788 EITHER check NO / / OR check YES / / and complete Schedule B.

789 3. Securities.

790 Do you or a member of your immediate family, directly or

indirectly, separately or together, own securities valued in excess of \$10,000 invested in one business? Account for mutual funds, limited partnerships and trusts.

EITHER check NO / / OR check YES / / and complete Schedule C.

4. Payments for Talks, Meetings, and Publications.

During the past 12 months did you receive lodging, transportation, money, or anything else of value with a combined value exceeding \$200 for a single talk, meeting, or published work in your capacity as a legislator?

EITHER check NO / / OR check YES / / and complete Schedule D.

5. Gifts.

During the past 12 months did a business, government, or individual other than a relative or personal friend furnish you with any gift or gifts the total value of which exceeded \$200 \$100 and for which you neither paid nor rendered services in exchange? Account for all business entertainment (except if related to your private profession or occupation) even if unrelated to your official duties.

EITHER check NO / / OR check YES / / and complete Schedule E.

6. Salary and Wages.

List each employer that pays you or a member of your immediate family salary or wages in excess of \$10,000 annually. (Exclude state or local government or advisory agencies.)

If no reportable salary or wages, check here / /.

.....

7. Business Interests.

Do you or a member of your immediate family separately or together, operate your own business, or own or control an interest in excess of \$10,000 in a business?

EITHER check NO / / OR check YES / / and complete Schedule F.

8. Payments for Representation.

8A. Did you represent any businesses before any state governmental agencies, excluding courts or judges, for which you received total compensation during the past 12 months in excess of \$1,000, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers?

EITHER check NO / / OR check YES / / and complete Schedule G-1.

8B. Subject to the same exceptions as in 8A, did persons with whom you have a close financial association (partners, associates or others) represent any businesses before any state governmental agency for which total compensation was received during the past 12 months in excess of \$1,000?

EITHER check NO / / OR check YES / / and complete Schedule G-2.

8C. Did you or persons with whom you have a close financial association furnish services to businesses operating in Virginia for which total compensation in excess of \$1,000 was received during the past 12 months?

EITHER check NO / / OR check YES / / and complete Schedule G-3.

9. Real Estate.

Do you or a member of your immediate family hold an interest, including a partnership interest, valued at \$10,000 or more in real

property (other than your principal residence) for which you have not already listed the full address on Schedule F? Account for real estate held in trust.

EITHER check NO / / OR check YES / / and complete Schedule H.

10. Real Estate Contracts with State Governmental Agencies.

Do you or a member of your immediate family hold an interest valued at more than \$10,000 in real estate, including a corporate, partnership, or trust interest, option, easement, or land contract, which real estate is the subject of a contract, whether pending or completed within the past twelve months, with a state governmental agency? If the real estate contract provides for the leasing of the property to a state governmental agency, do you or a member of your immediate family hold an interest in the real estate, including a corporate, partnership, or trust interest, option, easement, or land contract valued at more than \$1,000? Account for all such contracts whether or not your interest is reported in Schedules F or H. This requirement to disclose an interest in a lease does not apply to an interest derived through an ownership interest in a business unless the ownership interest exceeds three percent of the total equity of the business.

EITHER check NO / / OR check YES / / and complete Schedule I.

Statements of Economic Interests are open for public inspection.

AFFIRMATION

In accordance with the rules of the house in which I serve, if I receive a request that this disclosure statement be corrected, augmented, or revised in any respect, I hereby pledge that I shall respond promptly to the request. I understand that if a determination is made that the statement is insufficient, I will satisfy such request or be subjected to disciplinary action of my house.

I swear or affirm that the foregoing information is full, true and correct to the best of my knowledge.

Signature
Commonwealth of Virginia
..... of to wit:
The foregoing disclosure form was acknowledged before me
This day of 19. . . by
Notary Public
My commission expires
(Return only if needed to complete Statement.)

SCHEDULES

to

STATEMENT OF ECONOMIC INTERESTS

NAME

SCHEDULE A - OFFICES AND DIRECTORSHIPS.

Identify each business of which you or a member of your immediate family is a paid officer or paid director.

904 -----
 905 -----
 906 -----
 907 Name of Business Address of Business Position Held
 908
 909
 910
 911
 912 -----
 913 -----

914
 915 RETURN TO ITEM 2
 916

917 SCHEDULE B - PERSONAL LIABILITIES.
 918

919 Report personal liability by checking each category. Report only
 920 debts in excess of \$10,000. Do not report debts to any government
 921 Do not report loans secured by recorded liens on property at
 922 least equal in value to the loan.
 923 Report contingent liabilities below and indicate which debts are
 924 contingent.
 925

926 1. My personal debts are as follows:
 927

928 -----
 929 -----
 930 -----
 931 Check Check one
 932 appropriate \$10,001 to More than
 933 categories \$50,000 \$50,000
 934 Banks
 935 Savings institutions
 936 Other loan or finance companies
 937 Insurance companies
 938 Stock, commodity or other brokerage
 939 companies
 940 Other businesses:
 941 (State principal business activity for each
 942 creditor.)
 943
 944
 945 Individual creditors:
 946 (State principal business or occupation of
 947 each creditor.)
 948
 949
 950

951
 952 2. The personal debts of the members of my immediate family are as
 953 follows:
 954

955 -----
 956 -----
 957 -----
 958 Check Check one
 959 appropriate \$10,001 to More than

960	categories	\$50,000	\$50,000
961			
962	Banks
963	Savings institutions
964	Other loan or finance companies
965	Insurance companies
966	Stock, commodity or other brokerage		
967	companies
968	Other businesses:		
969	(State principal business activity for each		
970	creditor.)
971
972
973	Individual creditors:		
974	(State principal business or occupation of		
975	each creditor.)
976
977

978

979 RETURN TO ITEM 3

980

981 SCHEDULE C - SECURITIES.

982		
983	"Securities" INCLUDES stocks, bonds,	"Securities" EXCLUDES
984	mutual funds, money market funds,	certificates of deposit,
985	limited partnerships, and commodity	annuity contracts, and
986	futures contracts.	insurance policies.

987

988 Identify each business or Virginia governmental entity in which you

989 or a member of your immediate family, directly or indirectly,

990 separately or together, own securities valued in excess of \$10,000.

991

992 Do not list U.S. Bonds or other government securities not issued

993 by the Commonwealth of Virginia or its authorities, agencies, or

994 local governments. Do not list organizations that do not do

995 business in this Commonwealth, but most major businesses conduct

996 business in Virginia. Account for securities held in trust.

997

998 If no reportable securities, check here / /

999

1000 -----

1001 -----

1002		Type of Security	Check one
1003		(stocks, bonds, mutual or	More
1004		money market funds,	\$10,001 to
1005	Name of Issuer	Type of Entity	\$50,000
1006
1007
1008
1009

1010 -----

1011 -----

1012

1013 RETURN TO ITEM 4

1014

1015 SCHEDULE D - PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.

1016

List each source from which you received during the past 12 months lodging, transportation, money, or any other thing of value (excluding meals or drinks coincident with a meeting) with combined value exceeding \$200 for your presentation of a single talk, participation in one meeting, or publication of a work in your capacity as a legislator.

List payments or reimbursements by the Commonwealth only for meetings or travel outside the Commonwealth.

List a payment even if you donated it to charity.

Do not list information about a payment if you returned it within 60 days or if you received it from an employer already listed under Item 6 or from a source of income listed on Schedule F.

If no payment must be listed, check here / /

				Type of payment (e.g. Honoraria, travel reimburse- ment, etc.)
Payer	Approximate Value	Circumstances		
.....
.....
.....
.....

RETURN TO ITEM 5

SCHEDULE E - GIFTS.

List each business, governmental entity, or individual that furnished you with any gift or gifts whose total value exceeded ~~\$200~~ \$100 during the past 12 months and for which you neither paid nor rendered services in exchange. Do not list business entertainment related to your private profession or occupation. Do not list gifts or other things of value given by a relative or personal friend for reasons clearly unrelated to your public position. Do not list campaign contributions publicly reported as required by Chapter 9 of Title 24.2 of the Code of Virginia.

Name of Business, Organization, or Individual	City or County and State	Approximate Value
.....
.....
.....
.....

1073 -----
1074 -----
1075
1076 RETURN TO ITEM 6

1077
1078 SCHEDULE F - BUSINESS INTERESTS.

1079
1080 Complete this Schedule for each self or family owned business
1081 (including rental property, a farm, or consulting work),
1082 partnership, or corporation in which you or a member of your
1083 immediate family, separately or together, own an interest having
1084 a value in excess of \$10,000.

1085
1086 If the enterprise is owned or operated under a trade,
1087 partnership, or corporate name, list that name; otherwise merely
1088 explain the nature of the enterprise. If rental property is owned
1089 or operated under a trade, partnership, or corporate name, list
1090 the name only; otherwise, give the address of each property.
1091 Account for business interests held in trust.

1092
1093 -----
1094 -----

1095
1096 Name of Business, Gross income
1097 Corporation,
1098 Partnership, Nature of Enterprise \$50,000 More
1099 Farm; Address of City or County (farming, law, rental or than
1100 Rental Property and State property, etc.) less \$50,000
1101
1102
1103
1104
1105 -----

1106 -----

1107
1108 RETURN TO ITEM 8

1109
1110 SCHEDULE G-1 - PAYMENTS FOR REPRESENTATION BY YOU.

1111
1112 List the businesses you represented before any state governmental
1113 agency, excluding any court or judge, for which you received
1114 total compensation during the past 12 months in excess of \$1,000,
1115 excluding compensation for other services to such businesses and
1116 representation consisting solely of the filing of mandatory
1117 papers and subsequent representation regarding the mandatory
1118 papers filed by you.

1119
1120 Identify each business, the nature of the representation and the
1121 amount received by dollar category from each such business. You
1122 may state the type, rather than name, of the business if you are
1123 required by law not to reveal the name of the business represented
1124 by you.

1125
1126
1127 -----
1128 -----
1129

1130	Name	Type	Pur-	Name	Amount Received				
1131	of	of	pose	of	\$1,001 More				
1132	Busi-	Busi-	of	Agen-	to than				
1133	ness	ness	Repre-	cy	\$10,000 \$10,000				
1134			senta-						
1135			tion						
1136									
1137					\$1,001 \$10,001 \$50,001 \$100,001 \$250,001				
1138					to to to to and				
1139					\$10,000 \$50,000 \$100,000 \$250,000 over				
1140				
1141				
1142				
1143				
1144	-----	-----	-----	-----	-----				
1145	-----	-----	-----	-----	-----				

1146
1147 SCHEDULE G-2 - PAYMENTS FOR REPRESENTATION BY ASSOCIATES.

1148
1149 List the businesses that have been represented before any state
1150 governmental agency, excluding any court or judge, by persons who
1151 are your partners, associates or others with whom you have a
1152 close financial association and who received total compensation
1153 in excess of \$1,000 for such representation during the past 12
1154 months, excluding representation consisting solely of the filing
1155 of mandatory papers *and subsequent representation regarding the*
1156
1157 *mandatory papers filed by your partners, associates or others*
1158
1159 *with whom you have a close financial association.*
1160

1161 Identify such businesses by type and also name the state
1162 governmental agencies before which such person appeared on behalf
1163 of such businesses.
1164

1165	-----	-----	-----	-----	-----				
1166	-----	-----	-----	-----	-----				
1167	Type of business			Name of state governmental agency					
1168				
1169				
1170				
1171				
1172	-----	-----	-----	-----	-----				
1173	-----	-----	-----	-----	-----				

1174
1175 SCHEDULE G-3 - PAYMENTS FOR REPRESENTATION GENERALLY.

1176
1177 Indicate below types of businesses that operate in Virginia to
1178 which services were furnished by you or persons with whom you
1179 have a close financial association and for which total
1180 compensation in excess of \$1,000 was received during the past 12
1181 months.
1182

1183 Identify opposite each category of businesses listed below (i)
1184 the type of business, (ii) the type of service rendered and (iii)
1185 the value *by dollar category* of the compensation received for all

1186
 1187 businesses falling within each category.
 1188
 1189 -----
 1190 -----
 1191
 1192 Value of Compensation
 1193 Check Type \$1,001 ~~More~~
 1194 if of
 1195 ser- ser- to ~~than~~
 1196 vices vice \$10,000 ~~\$10,000~~
 1197 were ren-
 1198 ren- dered
 1199 dered \$1,001 \$10,001 \$50,001 \$100,001 \$250,001
 1200 to to to to and
 1201 \$10,000 \$50,000 \$100,000 \$250,000 over
 1202 Electric
 1203 utilities
 1204 Gas util-
 1205 ities
 1206 Telephone
 1207 utilities
 1208 Water util-
 1209 ities
 1210 Cable tele-
 1211 vision
 1212 companies
 1213 Interstate
 1214 transporta
 1215 tion com-
 1216 panies
 1217 Intrastate
 1218 transporta
 1219 tion com-
 1220 panies
 1221 Oil or gas
 1222 retail com-
 1223 panies
 1224 Banks
 1225 Savings
 1226 institu-
 1227 tions
 1228 Loan or fi-
 1229 nance com-
 1230 panies
 1231 Manufactur-
 1232 ing com-
 1233 panies (state
 1234 type of pro-
 1235 duct, e.g.,
 1236 textile,
 1237 furniture
 1238 etc.)
 1239 Mining com-
 1240 panies
 1241 Life insur-
 1242 ance com-

1243 panies
 1244 Casualty in-
 1245 surance com-
 1246 panies
 1247 Other insur-
 1248 ance com-
 1249 panies
 1250 Retail com-
 1251 panies
 1252 Beer, wine
 1253 or liquor
 1254 companies or
 1255 distribu-
 1256 tors
 1257 Trade asso-
 1258 ciations
 1259 Professional
 1260 associa-
 1261 tions
 1262 Associa-
 1263 tions of
 1264 public
 1265 employees
 1266 or
 1267 officials
 1268 Counties,
 1269 cities or
 1270 towns
 1271 Labor
 1272 organi-
 1273 zations
 1274 Other
 1275
 1276 -----
 1277 -----
 1278
 1279 [RETURN TO ITEM 9
 1280

1281 SCHEDULE H - REAL ESTATE.

1282
 1283 List real estate other than your principal residence in which
 1284 you or a member of your immediate family hold an interest,
 1285 including a partnership interest, option, easement, or land
 1286 contract, valued at \$10,000 or more. You may list each parcel
 1287 of real estate individually if you wish.
 1288
 1289 -----
 1290 -----
 1291

1292 List each location	Describe the type of	If the real estate
1293 (state, and county	real estate you own	is owned or recorded
1294 or city) where you	in each location	in a name other than
1295 own real estate.	(business, recreational,	your own, list that
1296	apartment, commercial,	name.
1297	open land, etc.).	
1298

1299
 1300
 1301
 1302
 1303
 1304 -----
 1305 -----]

1306
 1307 RETURN TO ITEM 10
 1308

1309 SCHEDULE I - REAL ESTATE CONTRACTS WITH STATE GOVERNMENTAL AGENCIES.
 1310

1311 List all contracts, whether pending or completed within the past
 1312 twelve months, with a state governmental agency for the sale or
 1313 exchange of real estate in which you or a member of your
 1314 immediate family holds an interest, including a corporate,
 1315 partnership or trust interest, option, easement, or land
 1316 contract, valued at \$10,000 or more. List all contracts with a
 1317 state governmental agency for the lease of real estate in which
 1318 you or a member of your immediate family holds such an interest
 1319 valued at \$1,000 or more. This requirement to disclose an
 1320 interest in a lease does not apply to an interest derived through
 1321 an ownership interest in a business unless the ownership interest
 1322 exceeds three percent of the total equity of the business.
 1323

1324 -----
 1325 -----
 1326

1327 List your real	List each	State the annual
1328 estate interest and	governmental agency	income from the
1329 the person or entity,	which is a party to	contract, and the
1330 including the type of	the contract and	amount, if any,
1331 entity, which is	indicate the county	of income you or
1332 party to the contract.	or city where the	any immediate
1333 Describe any	real estate is	family member
1334 management role and	located.	derives annually
1335 the percentage		from the
1336 ownership interest		contract.
1337 you or your immediate		
1338 family member has in		
1339 the real estate		
1340 or entity.		

1341
1342
1343
1344
1345
1346 -----	-----	-----
1347 -----	-----	-----

1348
 1349 B. Any legislator who makes a knowing misstatement of a material fact on the Statement of
 1350 Economic Interests shall be subject to disciplinary action for such violations by the house in which the
 1351 legislator sits.

1352 C. In accordance with the rules of each house, the Statement of Economic Interests of all members
 1353 of each house shall be reviewed. If a legislator's Statement is found to be inadequate as filed, the
 1354 legislator shall be notified in writing, directed to file an amended Statement correcting the indicated
 1355 deficiencies, and a time set within which such amendment shall be filed. If the Statement of Economic

1356 Interests, in either its original or amended form, is found to be adequate as filed, the legislator's filing
1357 shall be deemed in full compliance with this section as to the information disclosed thereon.

1358 D. Ten percent of the membership of a house, on the basis of newly discovered facts, may in writing
1359 request the house in which those members sit, in accordance with the rules of that house, to review the
1360 Statement of Economic Interests of another member of that house in order to determine the adequacy of
1361 his filing. In accordance with the rules of each house, each Statement of Economic Interests shall be
1362 promptly reviewed, the adequacy of the filing determined, and notice given in writing to the legislator
1363 whose Statement is in issue. Should it be determined that the Statement requires correction,
1364 augmentation or revision, the legislator involved shall be directed to make the changes required within
1365 such time as shall be set under the rules of each house.

1366 If a legislator, after having been notified in writing in accordance with the rules of the house in
1367 which he sits that his Statement is inadequate as filed, fails to amend his Statement so as to come into
1368 compliance within the time limit set, he shall be subject to disciplinary action by the house in which he
1369 sits. No legislator shall vote on any question relating to his own Statement.

ENGROSSED

HB2090EH1