

1997 SESSION

INTRODUCED

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HB2090

977511803

HOUSE BILL NO. 2090

Offered January 14, 1997

A BILL to amend and reenact §§ 2.1-639.15 and 2.1-639.41 of the Code of Virginia, relating to the conflict of interests laws; disclosure forms.

Patrons—Watkins, Bloxom, Callahan, Cantor, Crouch, Forbes, Hargrove, Howell, Ingram, Katzen, Marshall, McClure, Morgan, Nixon, Orrock, Purkey, Rollison, Sherwood, Van Yahres, Way and Wilkins

Referred to Committee on Privileges and Elections

Be it enacted by the General Assembly of Virginia:

1. That §§ 2.1-639.15 and 2.1-639.41 of the Code of Virginia are amended and reenacted as follows:

§ 2.1-639.15. Disclosure form.

The disclosure form to be used for filings required by § 2.1-639.13 A and D, and § 2.1-639.14 A and D shall be substantially as follows:

STATEMENT OF ECONOMIC INTERESTS.

Name .....  
Office or position held or sought .....  
Home address .....  
Names of members of immediate family .....

DEFINITIONS AND EXPLANATORY MATERIAL.

"Immediate family" means (i) a spouse and (ii) any other person residing in the same household as the officer or employee, who is a dependent of the officer or employee or of whom the officer or employee is a dependent.

"Dependent" means any person, whether or not related by blood or marriage, who receives from the officer or employee, or provides to the officer or employee, more than one-half of his financial support.

"Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise, association, trust or foundation, or any other individual or entity carrying on a business or profession, whether or not for profit.

"Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item having monetary value.

It includes services as well as gifts of transportation, local travel, lodgings and meals, whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the expense has been incurred. "Gift" shall not include any offer of a ticket or other admission or pass unless the ticket, admission, or pass is used. "Gift" shall not include honorary degrees and presents from relatives. "Relative" means the donee's spouse, child, uncle, aunt, niece, or nephew; a person to whom the donee is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother, or sister; or the donee's brother's or sister's spouse.

56

57 TRUST. If you or your immediate family, separately or together,  
58 are the only beneficiaries of a trust, treat the trust's assets  
59 as if you own them directly. If you or your immediate family has  
60 a proportional interest in a trust, treat that proportion of the  
61 trust's assets as if you own them directly. For example, if you  
62 and your immediate family have a one-third interest in a trust,  
63 complete your Statement as if you own one-third of each of the  
64 trust's assets. If you or a member of your immediate family  
65 created a trust and can revoke it without the beneficiaries'  
66 consent, treat its assets as if you own them directly.

67

68 REPORT TO THE BEST OF INFORMATION AND BELIEF. Information  
69 required on this Statement must be provided on the basis of the  
70 best knowledge, information and belief of the individual filing  
71 the Statement as of the date of this report unless otherwise  
72 stated.

73

74 COMPLETE ITEMS 1 THROUGH 10. REFER TO SCHEDULES ONLY IF DIRECTED.

75

76 You may attach additional explanatory information.

77

78 1. Offices and Directorships.

79 Are you or a member of your immediate family a paid officer  
80 or paid director of a business?

81 EITHER check NO / / OR check YES / / and complete  
82 Schedule A.

83 2. Personal Liabilities.

84 Do you or a member of your immediate family owe more than  
85 \$10,000 to any one creditor including contingent liabilities?  
86 (Exclude debts to any government and loans secured by recorded  
87 liens on property at least equal in value to the loan.)

88 EITHER check NO / / OR check YES / / and complete  
89 Schedule B.

90 3. Securities.

91 Do you or a member of your immediate family, directly or  
92 indirectly, separately or together, own securities valued  
93 in excess of \$10,000 invested in one business? Account for  
94 mutual funds, limited partnerships and trusts.

95 EITHER check NO / / OR check YES / / and complete  
96 Schedule C.

97 4. Payments for Talks, Meetings, and Publications.

98 During the past 12 months did you receive lodging,  
99 transportation, money, or anything else of value with a  
100 combined value exceeding \$200 for a single talk, meeting,  
101 or published work in your capacity as an officer or employee of  
102 your agency?

103 EITHER check NO / / OR check YES / / and complete  
104 Schedule D.

105 5. Gifts.

106 During the past 12 months did a business, government, or  
107 individual other than a relative or personal friend furnish  
108 you with any gift or gifts the total value of which  
109 exceeded ~~\$200~~ \$25 and for which you neither paid nor rendered  
110 services in exchange? Account for all business entertainment  
111

112 (except if related to your private profession or occupation)

even if unrelated to your official duties.

EITHER check NO / / OR check YES / / and complete

Schedule E.

6. Salary and Wages.

List each employer that pays you or a member of your immediate family salary or wages in excess of \$10,000 annually. (Exclude state or local government or advisory agencies.)

If no reportable salary or wages, check here / /.

.....

.....

.....

7. Business Interests.

Do you or a member of your immediate family separately or together, operate your own business, or own or control an interest in excess of \$10,000 in a business?

EITHER check NO / / OR check YES / / and complete

Schedule F.

8. Payments for Representation.

8A. Did you represent any businesses before any state governmental agencies, excluding courts or judges, for which you received total compensation during the past 12 months in excess of \$1,000, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers? (Officers and employees of local governmental and advisory agencies do NOT need to answer this question or complete Schedule G-1.)

EITHER check NO / / OR check YES / / and complete

Schedule G-1.

8B. Subject to the same exceptions as in 8A, did persons with whom you have a close financial association (partners, associates or others) represent any businesses before any state governmental agency for which total compensation was received during the past 12 months in excess of \$1,000? (Officers and employees of local governmental and advisory agencies do NOT need to answer this question or complete Schedule G-2.)

EITHER check NO / / OR check YES / / and complete

Schedule G-2.

8C. Did you or persons with whom you have a close financial association furnish services to businesses operating in Virginia for which total compensation in excess of \$1,000 was received during the past 12 months?

EITHER check NO / / OR check YES / / and complete

Schedule G-3.

9. Real Estate.

9A. State Officers and Employees.

Do you or a member of your immediate family hold an interest, including a partnership interest, valued at \$10,000 or more in real property (other than your principal residence) for which you have not already listed the full address on Schedule F?

Account for real estate held in trust.

EITHER check NO / / OR check YES / / and complete

Schedule H-1.

9B. Local Officers and Employees.

Do you or a member of your immediate family hold an interest, including a partnership interest, valued at \$10,000 or more in

real property located in the county, city or town in which you serve or in a county, city or town contiguous to the county, city or town in which you serve (other than your principal residence) for which you have not already listed the full address on Schedule F? Account for real estate held in trust. EITHER check NO / / OR check YES / / and complete Schedule H-2.

10. Real Estate Contracts with Government Agencies.

Do you or a member of your immediate family hold an interest valued at more than \$10,000 in real estate, including a corporate, partnership, or trust interest, option, easement, or land contract, which real estate is the subject of a contract, whether pending or completed within the past twelve months, with a governmental agency? If the real estate contract provides for the leasing of the property to a governmental agency, do you or a member of your immediate family hold an interest in the real estate valued at more than \$1,000? Account for all such contracts whether or not your interest is reported in schedules F, H-1, or H-2. This requirement to disclose an interest in a lease does not apply to an interest derived through an ownership interest in a business unless the ownership interest exceeds three percent of the total equity of the business. EITHER check NO / / OR check YES / / and complete Schedule I.

Statements of Economic Interests are open for public inspection.

AFFIRMATION BY ALL FILERS

I swear or affirm that the foregoing information is full, true and correct to the best of my knowledge.

Signature.....

Commonwealth of Virginia

.....of.....to wit:

The foregoing disclosure form was acknowledged before me

This.....day of.....19.. by .....

Notary Public

My commission expires.....

(Return only if needed to complete Statement.)

SCHEDULES

to

STATEMENT OF ECONOMIC INTERESTS

NAME.....

SCHEDULE A - OFFICES AND DIRECTORSHIPS.

Identify each business of which you or a member of your immediate family is a paid officer or paid director.

-----  
-----

Name of Business	Address of Business	Position Held
------------------	---------------------	---------------

226  
 227 .....  
 228 .....  
 229 .....  
 230 .....  
 231 .....

232 -----  
 233 -----  
 234 RETURN TO ITEM 2

235  
 236 SCHEDULE B - PERSONAL LIABILITIES.

237  
 238 Report personal liability by checking each category. Report only  
 239 debts in excess of \$10,000. Do not report debts to any government.  
 240 Do not report loans secured by recorded liens on property at least  
 241 equal in value to the loan.  
 242 Report contingent liabilities below and indicate which debts are  
 243 contingent.  
 244

245 1. My personal debts are as follows:  
 246  
 247 -----  
 248 -----

	Check	Check one
	appropriate	\$10,001 to More than
	categories	\$50,000 \$50,000
250		
251		
252		
253		
254	Banks	.....
255	Savings institutions	.....
256	Other loan or finance companies	.....
257	Insurance companies	.....
258	Stock, commodity or other brokerage	.....
259	companies	.....
260	Other businesses:	
261	(State principal business activity for each	
262	creditor.)	.....
263	.....	.....
264	.....	.....
265	Individual creditors:	
266	(State principal business or	
267	occupation of each creditor.)	.....
268	.....	.....
269	.....	.....
270		
271		
272		

273  
 274 2. The personal debts of the members of my immediate family are as  
 275 follows:  
 276  
 277 -----  
 278 -----

	Check	Check one
	appropriate	\$10,001 to More than
280		
281		

282	categories	\$50,000	\$50,000
283			
284	Banks	.....	.....
285	Savings institutions	.....	.....
286	Other loan or finance companies	.....	.....
287	Insurance companies	.....	.....
288	Stock, commodity or other brokerage		
289	companies	.....	.....
290	Other businesses:		
291	(State principal business activity		
292	for each creditor.)	.....	.....
293	.....	.....	.....
294	.....	.....	.....
295	Individual creditors:		
296	(State principal business or		
297	occupation of each creditor.)	.....	.....
298	.....	.....	.....
299	.....	.....	.....

300  
301 -----  
302 -----  
303 RETURN TO ITEM 3  
304

305 SCHEDULE C - SECURITIES.

306  
307 "Securities" INCLUDES stocks, bonds, "Securities" EXCLUDES  
308 mutual funds, money market funds, certificates of deposit,  
309 limited partnerships, and commodity annuity contracts, and  
310 futures contracts. insurance policies.

311  
312 Identify each business or Virginia governmental entity in which you  
313 or a member of your immediate family, directly or indirectly,  
314 separately or together, own securities valued in excess of \$10,000.

315  
316 Do not list U.S. Bonds or other government securities not issued  
317 by the Commonwealth of Virginia or its authorities, agencies, or  
318 local governments. Do not list organizations that do not do  
319 business in this Commonwealth, but most major businesses conduct  
320 business in Virginia. Account for securities held in trust.

321  
322 If no reportable securities, check here / /  
323 -----  
324 -----

325				
326		Type of Security	Check one	
327		(stocks, bonds, mutual	More	
328		or money market funds, \$10,001 to	than	
329	Name of Issuer	Type of Entity etc.)	\$50,000	\$50,000
330	.....	.....	.....	.....
331	.....	.....	.....	.....
332	.....	.....	.....	.....
333	.....	.....	.....	.....

334  
335 -----  
336 -----  
337 RETURN TO ITEM 4  
338

SCHEDULE D - PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.

List each source from which you received during the past 12 months lodging, transportation, money, or any other thing of value (excluding meals or drinks coincident with a meeting) with combined value exceeding \$200 for your presentation of a single talk, participation in one meeting, or publication of a work in your capacity as an officer or employee of your agency.

List payments or reimbursements by an advisory or governmental agency only for meetings or travel outside the Commonwealth.

List a payment even if you donated it to charity.

Do not list information about a payment if you returned it within 60 days or if you received it from an employer already listed under Item 6 or from a source of income listed on Schedule F.

If no payment must be listed, check here / /

Payer	Approximate Value	Circumstances	Type of payment (e.g. honoraria, travel reimburse- ment, etc.)
.....	.....	.....	.....
.....	.....	.....	.....
.....	.....	.....	.....
.....	.....	.....	.....

RETURN TO ITEM 5

SCHEDULE E - GIFTS.

List each business, governmental entity, or individual that furnished you with any gift or gifts whose total value exceeded ~~\$200~~ \$25 during the past 12 months and for which you neither paid nor rendered services in exchange. Do not list

business entertainment related to your private profession or

occupation. Do not list gifts from a relative or from a

personal friend given for reasons clearly unrelated to

your public position. Do not list campaign contributions publicly reported as required by Chapter 9 (§ 24.2-900 et seq.) of Title 24.2 of the Code of Virginia.

Name of Business,	
Organization, or	City or County

395	Individual	and State	Approximate Value
396	.....	.....	.....
397	.....	.....	.....
398	.....	.....	.....
399	.....	.....	.....

400  
401 -----  
402 -----

403 RETURN TO ITEM 6

404  
405 SCHEDULE F - BUSINESS INTERESTS.  
406

407 Complete this Schedule for each self or family owned business  
408 (including rental property, a farm, or consulting work),  
409 partnership, or corporation in which you or a member of your  
410 immediate family, separately or together, own an interest having  
411 a value in excess of \$10,000.  
412

413 If the enterprise is owned or operated under a trade, partnership,  
414 or corporate name, list that name; otherwise merely explain the  
415 nature of the enterprise. If rental property is owned or operated  
416 under a trade, partnership, or corporate name, list the name only;  
417 otherwise, give the address of each property. Account for business  
418 interests held in trust.  
419

420 -----  
421 -----  
422

423	Name of		Gross income
424	Business,		
425	Corporation,		
426	Partnership,		
427	Farm; Address	City or	Nature of Enterprise
428	of Rental	County	(farming, law, \$50,000 More than
429	Property	and State	rental property, etc.) or less \$50,000

430				
431	.....	.....	.....	.....
432	.....	.....	.....	.....
433	.....	.....	.....	.....

434  
435 -----  
436 -----

437 RETURN TO ITEM 8

438  
439 SCHEDULE G-1 - PAYMENTS FOR REPRESENTATION BY YOU.  
440

441 List the businesses you represented before any state governmental  
442 agency, excluding any court or judge, for which you received  
443 total compensation during the past 12 months in excess of \$1,000,  
444 excluding compensation for other services to such businesses and  
445 representation consisting solely of the filing of mandatory  
446 papers.  
447

448 Identify each business, the nature of the representation and the  
449 ~~amount~~ *estimated compensation* received ~~by category~~ from each such  
450  
451 business.



Only STATE officers and employees should complete this Schedule.

Name of Business	Type of Business	Purpose of Representation	Name of Agency	<del>Amount Received</del> <del>\$1,001 to More than</del> <del>\$10,000 \$10,000</del>	<i>Estimated Compensation</i>
.....	.....	.....	.....	.....	.....
.....	.....	.....	.....	.....	.....
.....	.....	.....	.....	.....	.....
.....	.....	.....	.....	.....	.....

#### SCHEDULE G-2 - PAYMENTS FOR REPRESENTATION BY ASSOCIATES.

List the businesses that have been represented before any state governmental agency, excluding any court or judge, by persons who are your partners, associates or others with whom you have a close financial association and who received total compensation in excess of \$1,000 for such representation during the past 12 months, excluding representation consisting solely of the filing of mandatory papers.

Identify such businesses by type and also name the state governmental agencies before which such person appeared on behalf of such businesses.

Only STATE officers and employees should complete this Schedule.

Type of business	Name of state governmental agency
.....	.....
.....	.....
.....	.....
.....	.....

#### SCHEDULE G-3 - PAYMENTS FOR REPRESENTATION GENERALLY.

Indicate below types of businesses that operate in Virginia to which services were furnished by you or persons with whom you have a close financial association and for which total compensation in excess of \$1,000 was received during the past 12 months.

508  
 509 Identify opposite each category of businesses listed below (i) the  
 510 type of business, (ii) the type of service rendered and (iii) the  
 511 ~~value of the estimated~~ compensation received for all businesses

512  
 513 falling within each category.  
 514

515 -----  
 516 -----  
 517  
 518 ~~Value of Compensation~~  
 519 ~~Check if~~ ~~-\$1,001~~ ~~More~~  
 520 ~~services~~ ~~to~~ ~~than~~  
 521 ~~were rendered~~ ~~rendered~~ ~~\$10,000~~ ~~\$10,000~~  
 522  
 523 *Estimated compensation*  
 524  
 525  
 526 Electric utilities .....  
 527 Gas utilities .....  
 528 Telephone utilities .....  
 529 Water utilities .....  
 530 Cable television .....  
 531 companies .....  
 532 Interstate .....  
 533 transportation .....  
 534 companies .....  
 535 Intrastate .....  
 536 transportation .....  
 537 companies .....  
 538 Oil or gas .....  
 539 retail .....  
 540 companies .....  
 541 Banks .....  
 542 Savings .....  
 543 institutions .....  
 544 Loan or finance .....  
 545 companies .....  
 546 Manufacturing .....  
 547 companies .....  
 548 (state type of .....  
 549 product, .....  
 550 e.g., textile, .....  
 551 furniture, .....  
 552 etc.) .....  
 553 Mining companies .....  
 554 Life insurance .....  
 555 companies .....  
 556 Casualty insurance .....  
 557 companies .....  
 558 Other insurance .....  
 559 companies .....  
 560 Retail companies .....  
 561 Beer, wine or .....  
 562 liquor companies .....  
 563 or distributors .....  
 564 Trade associations .....

565 Professional  
 566     associations .....  
 567 Associations of  
 568     public employees .....  
 569     or officials .....  
 570 Counties, cities or  
 571     towns .....  
 572 Labor organizations .....  
 573 Other .....  
 574 .....  
 575 .....  
 576 .....

577 RETURN TO ITEM 9

578  
579 SCHEDULE H-1 - REAL ESTATE - STATE OFFICERS AND EMPLOYEES.

580  
 581 List real estate other than your principal residence in which  
 582 you or a member of your immediate family hold an interest,  
 583 including a partnership interest, option, easement, or land  
 584 contract, valued at \$10,000 or more. You may list each parcel  
 585 of real estate individually if you wish.  
 586 .....  
 587 .....  
 588 .....

590 List each location	Describe the type of	If the real estate
591 (state, and county	real estate you own	is owned or recorded
592 or city) where you	in each location	in a name other than
593 own real estate.	(business, recreational,	your own, list that
594	apartment, commercial,	name.
595	open land, etc.).	
596 .....	.....	.....
597 .....	.....	.....
598 .....	.....	.....
599 .....	.....	.....
600 .....	.....	.....

601  
602 SCHEDULE H-2 - REAL ESTATE - LOCAL OFFICERS AND EMPLOYEES.

603  
 604 List real estate located in your county, city, or town, and any  
 605 contiguous county, city, or town other than your principal  
 606 residence in which you or a member of your immediate family hold  
 607 an interest, including a partnership interest, option, easement,  
 608 or land contract, valued at \$10,000 or more. You may list each  
 609 parcel of real estate individually if you wish.  
 610 .....  
 611 .....  
 612 .....

614 List the counties	Describe the type of	If the real estate
615 and cities in which	real estate you own	is owned or recorded
616 you own real estate.	in each county or city	in a name other than
617	(business, recreational,	your own, list that
618	apartment, commercial,	name.
619	open land, etc.).	
620 .....	.....	.....

621 .....  
622 .....  
623 .....  
624 .....

625 .....  
626 -----  
627 -----

628 RETURN TO ITEM 10

629

630 SCHEDULE I - REAL ESTATE CONTRACTS WITH GOVERNMENT AGENCIES.

631

632 List all contracts, whether pending or completed within the  
633 past twelve months, with a governmental agency for the sale  
634 or exchange of real estate in which you or a member of  
635 your immediate family holds an interest, including a corporate,  
636 partnership or trust interest, option, easement, or land contract,  
637 valued at \$10,000 or more. List all contracts with a  
638 governmental agency for the lease of real estate in which you or a  
639 member of your immediate family holds such an interest valued at  
640 \$1,000 or more. This requirement to disclose an interest in a  
641 lease does not apply to an interest derived through an ownership  
642 interest in a business unless the ownership interest exceeds three  
643 percent of the total equity of the business.

644 State officers and employees report contracts with state agencies.

645 Local officers and employees report contracts with local agencies.

646

647 List your real	List each	State the annual
648 estate interest	governmental agency	income from the
649 and the person	which is a party to	contract, and the
650 or entity,	the contract and in-	amount, if any, of
651 including the	dicade the county	income you or any
652 type of entity,	or city where the real	immediate family
653 which is party	estate is located.	member derives
654 to the contract.		annually from the
655 Describe any		contract.

656 management role

657 and the percentage

658 ownership interest

659 you or your

660 immediate family

661 member has in the

662 real estate or entity.

663 .....  
664 .....  
665 .....  
666 .....  
667 .....  
668 .....

670 § 2.1-639.41. Disclosure form.

671 A. The disclosure form to be used for filings required by § 2.1-639.40 A and B shall be substantially  
672 as follows:

673

674 STATEMENT OF ECONOMIC INTERESTS.

675

676 Name .....

677 Office or position held or sought .....

678 Home address .....  
679 Names of members of immediate family .....

680  
681 DEFINITIONS AND EXPLANATORY MATERIAL.  
682

683 "Immediate family" means (i) a spouse and (ii) any other person  
684 residing in the same household as the legislator, who is a dependent  
685 of the legislator or of whom the legislator is a dependent.

686  
687 "Dependent" means any person, whether or not related by blood or  
688 marriage, who receives from the legislator, or provides to the  
689 legislator, more than one-half of his financial support.

690  
691 "Business" means a corporation, partnership, sole proprietorship,  
692 firm, enterprise, franchise, association, trust or foundation, or  
693 any other individual or entity carrying on a business or profession,  
694 whether or not for profit.

695  
696 "Gift" means any gratuity, favor, discount, entertainment,  
697 hospitality, loan, forbearance, or other item having monetary value.  
698 It includes services as well as gifts of transportation, local  
699 travel, lodgings and meals, whether provided in-kind, by purchase  
700 of a ticket, payment in advance or reimbursement after the expense  
701 has been incurred. "Gift" shall not include any offer of a ticket  
702 or other admission or pass unless the ticket, admission, or pass is  
703 used. "Gift" shall not include honorary degrees and presents from  
704 relatives. "Relative" means the donee's spouse, child, uncle, aunt,  
705 niece, or nephew; a person to whom the donee is  
706 engaged to be married; the donee's or his spouse's parent, grandparent,  
707 grandchild, brother, or sister; or the donee's brother's or sister's  
708 spouse.

709  
710 TRUST. If you or your immediate family, separately or together, are  
711 the only beneficiaries of a trust, treat the trust's assets as if  
712 you own them directly. If you or your immediate family has a  
713 proportional interest in a trust, treat that proportion of the  
714 trust's assets as if you own them directly. For example, if you  
715 and your immediate family have a one-third interest in a trust,  
716 complete your Statement as if you own one-third of each of the  
717 trust's assets. If you or a member of your immediate family created  
718 a trust and can revoke it without the beneficiaries' consent, treat  
719 its assets as if you own them directly.

720  
721 REPORT TO THE BEST OF INFORMATION AND BELIEF. Information required  
722 on this Statement must be provided on the basis of the best  
723 knowledge, information and belief of the individual filing the  
724 Statement as of the date of this report unless otherwise stated.

725  
726 COMPLETE ITEMS 1 THROUGH 10. REFER TO SCHEDULES ONLY IF DIRECTED.

727  
728 You may attach additional explanatory information.

729  
730 1. Offices and Directorships.

731  
732 Are you or a member of your immediate family a paid officer or paid  
733 director of a business?

734 EITHER check NO / / OR check YES / / and complete Schedule A.  
735 2. Personal Liabilities.  
736 Do you or a member of your immediate family owe more than \$10,000  
737 to any one creditor including contingent liabilities? (Exclude debts  
738 to any government and loans secured by recorded liens on property  
739 at least equal in value to the loan.)  
740 EITHER check NO / / OR check YES / / and complete Schedule B.  
741 3. Securities.  
742 Do you or a member of your immediate family, directly or  
743 indirectly, separately or together, own securities valued in  
744 excess of \$10,000 invested in one business? Account for mutual  
745 funds, limited partnerships and trusts.  
746 EITHER check NO / / OR check YES / / and complete Schedule C.  
747 4. Payments for Talks, Meetings, and Publications.  
748 During the past 12 months did you receive lodging, transportation,  
749 money, or anything else of value with a combined value exceeding  
750 \$200 for a single talk, meeting, or published work in your capacity  
751 as a legislator?  
752 EITHER check NO / / OR check YES / / and complete Schedule D.  
753 5. Gifts.  
754 During the past 12 months did a business, government, or individual  
755 other than a relative or personal friend furnish you with any gift  
756 or gifts the total value of which exceeded ~~\$200~~ \$25 and for which you  
757 neither paid nor rendered services in exchange? Account for all  
758 business entertainment (except if related to your private profession  
759 or occupation) even if unrelated to your official duties.  
760 EITHER check NO / / OR check YES / / and complete Schedule E.  
761 6. Salary and Wages.  
762 List each employer that pays you or a member of your immediate  
763 family salary or wages in excess of \$10,000 annually. (Exclude  
764 state or local government or advisory agencies.)  
765 If no reportable salary or wages, check here / /.  
766  
767 .....  
768 .....  
769 .....  
770 .....  
771  
772 7. Business Interests.  
773 Do you or a member of your immediate family separately or together,  
774 operate your own business, or own or control an interest in excess  
775 of \$10,000 in a business?  
776 EITHER check NO / / OR check YES / / and complete Schedule F.  
777 8. Payments for Representation.  
778 8A. Did you represent any businesses before any state governmental  
779 agencies, excluding courts or judges, for which you received total  
780 compensation during the past 12 months in excess of \$1,000,  
781 excluding compensation for other services to such businesses and  
782 representation consisting solely of the filing of mandatory papers?  
783 EITHER check NO / / OR check YES / / and complete Schedule G-1.  
784 8B. Subject to the same exceptions as in 8A, did persons with whom  
785 you have a close financial association (partners, associates or  
786 others) represent any businesses before any state governmental  
787 agency for which total compensation was received during the past  
788 12 months in excess of \$1,000?  
789 EITHER check NO / / OR check YES / / and complete Schedule G-2.  
790 8C. Did you or persons with whom you have a close financial

association furnish services to businesses operating in Virginia for which total compensation in excess of \$1,000 was received during the past 12 months?

EITHER check NO / / OR check YES / / and complete Schedule G-3.

9. Real Estate.

Do you or a member of your immediate family hold an interest, including a partnership interest, valued at \$10,000 or more in real property (other than your principal residence) for which you have not already listed the full address on Schedule F? Account for real estate held in trust.

EITHER check NO / / OR check YES / / and complete Schedule H.

10. Real Estate Contracts with State Governmental Agencies.

Do you or a member of your immediate family hold an interest valued at more than \$10,000 in real estate, including a corporate, partnership, or trust interest, option, easement, or land contract, which real estate is the subject of a contract, whether pending or completed within the past twelve months, with a state governmental agency? If the real estate contract provides for the leasing of the property to a state governmental agency, do you or a member of your immediate family hold an interest in the real estate, including a corporate, partnership, or trust interest, option, easement, or land contract valued at more than \$1,000? Account for all such contracts whether or not your interest is reported in Schedules F or H. This requirement to disclose an interest in a lease does not apply to an interest derived through an ownership interest in a business unless the ownership interest exceeds three percent of the total equity of the business.

EITHER check NO / / OR check YES / / and complete Schedule I.

Statements of Economic Interests are open for public inspection.

#### AFFIRMATION

In accordance with the rules of the house in which I serve, if I receive a request that this disclosure statement be corrected, augmented, or revised in any respect, I hereby pledge that I shall respond promptly to the request. I understand that if a determination is made that the statement is insufficient, I will satisfy such request or be subjected to disciplinary action of my house.

I swear or affirm that the foregoing information is full, true and correct to the best of my knowledge.

Signature .....  
Commonwealth of Virginia

..... of ..... to wit:

The foregoing disclosure form was acknowledged before me

This ..... day of ..... 19. . . by .....

Notary Public

My commission expires .....

(Return only if needed to complete Statement.)

#### SCHEDULES

to

#### STATEMENT OF ECONOMIC INTERESTS

847

848 NAME .....

849

850 SCHEDULE A - OFFICES AND DIRECTORSHIPS.

851

852 Identify each business of which you or a member of

853 your immediate family is a paid officer or paid director.

854

855 -----

856 -----

857

858 Name of Business	858 Address of Business	858 Position Held
859 .....	859 .....	859 .....
860 .....	860 .....	860 .....
861 .....	861 .....	861 .....
862 .....	862 .....	862 .....
863 -----	863 -----	863 -----
864 -----	864 -----	864 -----
865	865	865
866	866	866
867	867	867
868	868	868
869	869	869
870	870	870
871	871	871
872	872	872
873	873	873
874	874	874
875	875	875
876	876	876
877	877	877
878	878	878
879	879	879
880	880	880
881	881	881
882	882	882
883	883	883
884	884	884
885	885	885
886	886	886
887	887	887
888	888	888
889	889	889
890	890	890
891	891	891
892	892	892
893	893	893
894	894	894
895	895	895
896	896	896
897	897	897
898	898	898
899	899	899
900	900	900
901	901	901
902	902	902
903	903	903

866 RETURN TO ITEM 2

868 SCHEDULE B - PERSONAL LIABILITIES.

869

870 Report personal liability by checking each category. Report only

871 debts in excess of \$10,000. Do not report debts to any government.

872 Do not report loans secured by recorded liens on property at

873 least equal in value to the loan.

874 Report contingent liabilities below and indicate which debts are

875 contingent.

876

877 1. My personal debts are as follows:

878

879 -----

880 -----

881

882 Check	882 Check one
883 appropriate	883 \$10,001 to More than
884 categories	884 \$50,000 \$50,000
885 Banks	885 .....
886 Savings institutions	886 .....
887 Other loan or finance companies	887 .....
888 Insurance companies	888 .....
889 Stock, commodity or other brokerage	889 .....
890 companies	890 .....
891 Other businesses:	891 .....
892 (State principal business activity for each	892 .....
893 creditor.)	893 .....
894 .....	894 .....
895 .....	895 .....
896 Individual creditors:	896 .....
897 (State principal business or occupation of	897 .....
898 each creditor.)	898 .....
899 .....	899 .....
900 .....	900 .....
901	901
902	902
903	903

903 2. The personal debts of the members of my immediate family are as



904 follows:

905

906 -----

907 -----

908

909

Check  
appropriate  
categories

Check one

\$10,001 to More than  
\$50,000 \$50,000

912

913

Banks

.....

914

Savings institutions

.....

915

Other loan or finance companies

.....

916

Insurance companies

.....

917

Stock, commodity or other brokerage

.....

918

companies

.....

919

Other businesses:

920

(State principal business activity for each

921

creditor.)

.....

922

.....

.....

923

.....

.....

924

Individual creditors:

925

(State principal business or occupation of

926

each creditor.)

.....

927

.....

.....

928

.....

.....

929

RETURN TO ITEM 3

930

931

932

SCHEDULE C - SECURITIES.

933

934

"Securities" INCLUDES stocks, bonds,

"Securities" EXCLUDES

935

mutual funds, money market funds,

certificates of deposit,

936

limited partnerships, and commodity

annuity contracts, and

937

futures contracts.

insurance policies.

938

939

Identify each business or Virginia governmental entity in which you

940

or a member of your immediate family, directly or indirectly,

941

separately or together, own securities valued in excess of \$10,000.

942

943

Do not list U.S. Bonds or other government securities not issued

944

by the Commonwealth of Virginia or its authorities, agencies, or

945

local governments. Do not list organizations that do not do

946

business in this Commonwealth, but most major businesses conduct

947

business in Virginia. Account for securities held in trust.

948

949

If no reportable securities, check here / /

950

951 -----

952 -----

953

Type of Security

Check one

954

(stocks, bonds, mutual or

More

955

Type of

money market funds,

\$10,001 to

than

956

Name of Issuer

Entity

etc.)

\$50,000

\$50,000

957

.....

.....

.....

.....

.....

958

.....

.....

.....

.....

.....

959

.....

.....

.....

.....

.....

960 .....  
961 -----  
962 -----

963  
964 RETURN TO ITEM 4  
965

966 SCHEDULE D - PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.

967  
968 List each source from which you received during the past 12  
969 months lodging, transportation, money, or any other thing of  
970 value (excluding meals or drinks coincident with a meeting) with  
971 combined value exceeding \$200 for your presentation of a single  
972 talk, participation in one meeting, or publication of a work in  
973 your capacity as a legislator.

974  
975 List payments or reimbursements by the Commonwealth only for  
976 meetings or travel outside the Commonwealth.

977  
978 List a payment even if you donated it to charity.

979  
980 Do not list information about a payment if you returned it within  
981 60 days or if you received it from an employer already listed  
982 under Item 6 or from a source of income listed on Schedule F.

983  
984 If no payment must be listed, check here / /  
985

986 -----  
987 -----

988  
989 Type of payment  
990 (e.g. honoraria,  
991 travel reimburse-  
992 Payer Approximate Value Circumstances ment, etc.)

993 .....  
994 .....  
995 .....  
996 .....  
997 -----

998 -----  
999

1000 RETURN TO ITEM 5

1001  
1002 SCHEDULE E - GIFTS.

1003  
1004 List each business, governmental entity, or individual that  
1005 furnished you with any gift or gifts whose total value exceeded  
1006 \$200 \$25 during the past 12 months and for which you neither paid nor  
1007 rendered services in exchange. Do not list business entertainment

1008  
1009 related to your private profession or occupation. Do not list  
1010 gifts or other things of value given by a relative or personal

1011  
1012 friend for reasons clearly unrelated to your public position. Do  
1013 not list campaign contributions publicly reported as required by  
1014 Chapter 9 of Title 24.2 of the Code of Virginia.

1015  
1016 -----

1017	-----		
1018			
1019	Name of Business,		
1020	Organization, or	City or County	
1021	Individual	and State	Approximate Value
1022	.....	.....	.....
1023	.....	.....	.....
1024	.....	.....	.....
1025	.....	.....	.....
1026	-----		
1027	-----		

RETURN TO ITEM 6

## SCHEDULE F - BUSINESS INTERESTS.

Complete this Schedule for each self or family owned business (including rental property, a farm, or consulting work), partnership, or corporation in which you or a member of your immediate family, separately or together, own an interest having a value in excess of \$10,000.

If the enterprise is owned or operated under a trade, partnership, or corporate name, list that name; otherwise merely explain the nature of the enterprise. If rental property is owned or operated under a trade, partnership, or corporate name, list the name only; otherwise, give the address of each property. Account for business interests held in trust.

1046	-----			
1047	-----			
1048				
1049	Name of Business,		Gross income	
1050	Corporation,			
1051	Partnership,	Nature of Enterprise	\$50,000	More
1052	Farm; Address of	City or County (farming, law, rental	or	than
1053	Rental Property	and State property, etc.)	less \$50,000	
1054	.....	.....	.....	.....
1055	.....	.....	.....	.....
1056	.....	.....	.....	.....
1057	.....	.....	.....	.....
1058	-----			
1059	-----			

RETURN TO ITEM 8

## SCHEDULE G-1 - PAYMENTS FOR REPRESENTATION BY YOU.

List the businesses you represented before any state governmental agency, excluding any court or judge, for which you received total compensation during the past 12 months in excess of \$1,000, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers.

Identify each business, the nature of the representation and the

1073 ~~amount~~ *estimated compensation* received ~~by category~~ from each such  
 1074  
 1075 business.  
 1076

1077 -----  
 1078 -----

1079  
 1080 ~~Amount Received~~  
 1081 Name of Type of Purpose of Name of ~~\$1,001 to More than~~  
 1082 Business Business Representation Agency ~~\$10,000 \$10,000~~  
 1083  
 1084 *Estimated compensation*  
 1085 .....  
 1086 .....  
 1087 .....  
 1088 .....  
 1089 -----  
 1090 -----

1091  
 1092 SCHEDULE G-2 - PAYMENTS FOR REPRESENTATION BY ASSOCIATES.  
 1093

1094 List the businesses that have been represented before any state  
 1095 governmental agency, excluding any court or judge, by persons who  
 1096 are your partners, associates or others with whom you have a  
 1097 close financial association and who received total compensation  
 1098 in excess of \$1,000 for such representation during the past 12  
 1099 months, excluding representation consisting solely of the filing  
 1100 of mandatory papers.  
 1101

1102 Identify such businesses by type and also name the state  
 1103 governmental agencies before which such person appeared on behalf  
 1104 of such businesses.  
 1105

1106 -----  
 1107 -----

1108 Type of business Name of state governmental agency  
 1109 .....  
 1110 .....  
 1111 .....  
 1112 .....  
 1113 -----  
 1114 -----

1115

1116 SCHEDULE G-3 - PAYMENTS FOR REPRESENTATION GENERALLY.  
 1117

1118 Indicate below types of businesses that operate in Virginia to  
 1119 which services were furnished by you or persons with whom you  
 1120 have a close financial association and for which total  
 1121 compensation in excess of \$1,000 was received during the past 12  
 1122 months.  
 1123

1124 Identify opposite each category of businesses listed below (i)  
 1125 the type of business, (ii) the type of service rendered and (iii)  
 1126 the ~~value of the estimated~~ compensation received for all businesses  
 1127

1128 falling within each category.  
 1129

1130	-----		
1131	-----		
1132			
1133			Value of Compensation
1134	Check if	Type of	\$1,001 ----- More
1135	services	service	to ----- than
1136	were rendered	rendered	\$10,000 ----- \$10,000
1137			
1138			<i>Estimated compensation</i>
1139	Electric utilities	.....	.....
1140	Gas utilities	.....	.....
1141	Telephone utilities	.....	.....
1142	Water utilities	.....	.....
1143	Cable television		
1144	companies	.....	.....
1145	Interstate		
1146	transportation companies.....	.....	.....
1147	Intrastate		
1148	transportation companies.....	.....	.....
1149	Oil or gas retail		
1150	companies	.....	.....
1151	Banks	.....	.....
1152	Savings		
1153	institutions	.....	.....
1154	Loan or finance		
1155	companies	.....	.....
1156	Manufacturing companies		
1157	(state type of product,		
1158	e.g., textile, furniture		
1159	etc.)	.....	.....
1160	Mining companies	.....	.....
1161	Life insurance companies.....	.....	.....
1162	Casualty insurance		
1163	companies	.....	.....
1164	Other insurance companies.....	.....	.....
1165	Retail companies	.....	.....
1166	Beer, wine or liquor		
1167	companies or distributors.....	.....	.....
1168	Trade associations	.....	.....
1169	Professional associations.....	.....	.....
1170	Associations of public		
1171	employees or officials	.....	.....
1172	Counties, cities or towns.....	.....	.....
1173	Labor organizations	.....	.....
1174	Other	.....	.....
1175			
1176	-----		
1177	-----		

RETURN TO ITEM 9

## 1180 SCHEDULE H - REAL ESTATE.

1181

1182 List real estate other than your principal residence in which you

1183 or a member of your immediate family hold an interest, including

1184 a partnership interest, option, easement, or land contract,

1185 valued at \$10,000 or more. You may list each parcel of real

1186 estate individually if you wish.

1187 -----  
 1188 -----  
 1189 -----  
 1190 List each location Describe the type of real If the real estate is  
 1191 (state, and county estate you own in each owned or recorded in  
 1192 or city) where you location (business, a name other than  
 1193 own real estate. recreational, apartment, your own, list that  
 1194 commercial, open land, name.  
 1195 etc.).  
 1196 .....  
 1197 .....  
 1198 .....  
 1199 .....  
 1200 .....  
 1201 -----  
 1202 -----

1203  
 1204 RETURN TO ITEM 10  
 1205

1206 SCHEDULE I - REAL ESTATE CONTRACTS WITH STATE GOVERNMENTAL AGENCIES.

1207  
 1208 List all contracts, whether pending or completed within the past  
 1209 twelve months, with a state governmental agency for the sale or  
 1210 exchange of real estate in which you or a member of your  
 1211 immediate family holds an interest, including a corporate,  
 1212 partnership or trust interest, option, easement, or land  
 1213 contract, valued at \$10,000 or more. List all contracts with a  
 1214 state governmental agency for the lease of real estate in which  
 1215 you or a member of your immediate family holds such an interest  
 1216 valued at \$1,000 or more. This requirement to disclose an  
 1217 interest in a lease does not apply to an interest derived through  
 1218 an ownership interest in a business unless the ownership interest  
 1219 exceeds three percent of the total equity of the business.  
 1220

1221 -----  
 1222 -----

1223  
 1224 List your real List each State the annual  
 1225 estate interest and governmental agency  
 1226 the person or entity, which is a party to  
 1227 including the type of the contract and  
 1228 entity, which is indicate the county  
 1229 party to the contract. or city where the  
 1230 Describe any real estate is  
 1231 management role and located.  
 1232 the percentage  
 1233 ownership interest  
 1234 you or your immediate  
 1235 family member has in  
 1236 the real estate  
 1237 or entity.  
 1238 .....  
 1239 .....  
 1240 .....  
 1241 .....  
 1242 .....

-----  
-----  
B. Any legislator who makes a knowing misstatement of a material fact on the Statement of Economic Interests shall be subject to disciplinary action for such violations by the house in which the legislator sits.

C. In accordance with the rules of each house, the Statement of Economic Interests of all members of each house shall be reviewed. If a legislator's Statement is found to be inadequate as filed, the legislator shall be notified in writing, directed to file an amended Statement correcting the indicated deficiencies, and a time set within which such amendment shall be filed. If the Statement of Economic Interests, in either its original or amended form, is found to be adequate as filed, the legislator's filing shall be deemed in full compliance with this section as to the information disclosed thereon.

D. Ten percent of the membership of a house, on the basis of newly discovered facts, may in writing request the house in which those members sit, in accordance with the rules of that house, to review the Statement of Economic Interests of another member of that house in order to determine the adequacy of his filing. In accordance with the rules of each house, each Statement of Economic Interests shall be promptly reviewed, the adequacy of the filing determined, and notice given in writing to the legislator whose Statement is in issue. Should it be determined that the Statement requires correction, augmentation or revision, the legislator involved shall be directed to make the changes required within such time as shall be set under the rules of each house.

If a legislator, after having been notified in writing in accordance with the rules of the house in which he sits that his Statement is inadequate as filed, fails to amend his Statement so as to come into compliance within the time limit set, he shall be subject to disciplinary action by the house in which he sits. No legislator shall vote on any question relating to his own Statement.