

# 1995 SESSION

INTRODUCED

LD3592112

## HOUSE BILL NO. 2256

Offered January 23, 1995

*A BILL to amend and reenact §§ 2.1-639.15 and 2.1-639.41 of the Code of Virginia, relating to the disclosure forms prescribed by the State and Local Government Conflict of Interests Act and the General Assembly Conflict of Interests Act.*

Patron—Almand

Referred to Committee on General Laws

**Be it enacted by the General Assembly of Virginia:**

**1. That §§ 2.1-639.15 and 2.1-639.41 of the Code of Virginia are amended and reenacted as follows:**

§ 2.1-639.15. (Effective January 1, 1995) Disclosure form.

The disclosure form to be used for filings required by § 2.1-639.13 A and D, and § 2.1-639.14 A and D shall be substantially as follows:

### STATEMENT OF ECONOMIC INTERESTS.

Name .....  
Office or position held or sought .....  
Home address .....  
Names of members of immediate family .....

### DEFINITIONS AND EXPLANATORY MATERIAL.

"Immediate family" means (i) a spouse and (ii) any other person residing in the same household as the officer or employee, who is a dependent of the officer or employee or of whom the officer or employee is a dependent.

"Dependent" means any person, whether or not related by blood or marriage, who receives from the officer or employee, or provides to the officer or employee, more than one-half of his financial support.

"Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise, association, trust or foundation, or any other individual or entity carrying on a business or profession, whether or not for profit.

"Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item having monetary value. It includes services as well as gifts of transportation, local travel, lodgings and meals, whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the expense has been incurred. "Gift" shall not include any offer of a ticket or other admission or pass unless the ticket, admission, or pass is used. "Gift" shall not include honorary degrees and presents from relatives. "Relative" means the donee's spouse, child, uncle, aunt, niece, or nephew; a person to whom the donee is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother, or sister; or the donee's brother's or sister's spouse.

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HB2256

56 TRUST. If you or your immediate family, separately or together,  
57 are the only beneficiaries of a trust, treat the trust's assets  
58 as if you own them directly. If you or your immediate family has  
59 a proportional interest in a trust, treat that proportion of the  
60 trust's assets as if you own them directly. For example, if you  
61 and your immediate family have a one-third interest in a trust,  
62 complete your Statement as if you own one-third of each of the  
63 trust's assets. If you or a member of your immediate family  
64 created a trust and can revoke it without the beneficiaries'  
65 consent, treat its assets as if you own them directly.

66  
67 REPORT TO THE BEST OF INFORMATION AND BELIEF. Information  
68 required on this Statement must be provided on the basis of the  
69 best knowledge, information and belief of the individual filing  
70 the Statement as of the date of this report unless otherwise  
71 stated.

72  
73 COMPLETE ITEMS 1 THROUGH 10. REFER TO SCHEDULES ONLY IF DIRECTED.

74  
75 You may attach additional explanatory information.

76  
77 1. Offices and Directorships.

78 Are you or a member of your immediate family a paid officer  
79 or paid director of a business?

80 EITHER check NO / / OR check YES / / and complete  
81 Schedule A.

82 2. Personal Liabilities.

83 Do you or a member of your immediate family owe more than  
84 \$10,000 to any one creditor including contingent liabilities?  
85 (Exclude debts to any government and loans secured by recorded  
86 liens on property at least equal in value to the loan.)

87 EITHER check NO / / OR check YES / / and complete  
88 Schedule B.

89 3. Securities.

90 Do you or a member of your immediate family, directly or  
91 indirectly, separately or together, own securities valued  
92 in excess of \$10,000 invested in one business? Account for  
93 mutual funds, limited partnerships and trusts.

94 EITHER check NO / / OR check YES / / and complete  
95 Schedule C.

96 4. Payments for Talks, Meetings, and Publications.

97 During the past 12 months did you receive lodging,  
98 transportation, money, or anything else of value with a  
99 combined value exceeding \$200 for a single talk, meeting,  
100 or published work in your capacity as an officer or employee of  
101 your agency?

102 EITHER check NO / / OR check YES / / and complete  
103 Schedule D.

104 5. Gifts.

105 During the past 12 months did a business, government, or  
106 individual other than a relative or personal friend furnish  
107 you with any gift or gifts the total value of which  
108 exceeded \$200 and for which you neither paid nor rendered,  
109 services in exchange? Account for all business entertainment  
110 (except if related to your private profession or occupation)  
111 even if unrelated to your official duties.

112 EITHER check NO / / OR check YES / / and complete

Schedule E.

6. Salary and Wages.

List each employer that pays you or a member of your immediate family salary or wages in excess of \$10,000 annually. (Exclude state or local government or advisory agencies.)

If no reportable salary or wages, check here / /.

7. Business Interests.

Do you or a member of your immediate family separately or together, operate your own business, or own or control an interest in excess of \$10,000 in a business?

EITHER check NO / / OR check YES / / and complete Schedule F.

8. Payments for Representation.

8A. Did you represent any businesses before any state governmental agencies, excluding courts or judges, for which you received total compensation during the past 12 months in excess of \$1,000, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers? (Officers and employees of local governmental and advisory agencies do NOT need to answer this question or complete Schedule G-1.)

EITHER check NO / / OR check YES / / and complete Schedule G-1.

8B. Subject to the same exceptions as in 8A, did persons with whom you have a close financial association (partners, associates or others) represent any businesses before any state governmental agency for which total compensation was received during the past 12 months in excess of \$1,000? (Officers and employees of local governmental and advisory agencies do NOT need to answer this question or complete Schedule G-2.)

EITHER check NO / / OR check YES / / and complete Schedule G-2.

8C. Did you or persons with whom you have a close financial association furnish services to businesses operating in Virginia for which total compensation in excess of \$1,000 was received during the past 12 months?

EITHER check NO / / OR check YES / / and complete Schedule G-3.

9. Real Estate.

9A. State Officers and Employees.

Do you or a member of your immediate family hold an interest, including a partnership interest, valued at \$10,000 or more in real property ~~located in Virginia~~ (other than your principal residence) for which you have not already listed the full address on Schedule F? Account for real estate held in trust.

EITHER check NO / / OR check YES / / and complete Schedule H-1.

9B. Local Officers and Employees.

Do you or a member of your immediate family hold an interest, including a partnership interest, valued at \$10,000 or more in real property located in the county, city or town in which you serve or in a county, city or town contiguous to the county, city or town in which you serve (other than your principal

169 residence) for which you have not already listed the full  
170 address on Schedule F? Account for real estate held in trust.  
171 EITHER check NO / / OR check YES / / and complete  
172 Schedule H-2.

173 10. Real Estate Contracts with Government Agencies.

174 Do you or a member of your immediate family hold an interest  
175 valued at more than \$10,000 in real estate, including a  
176 corporate, partnership, or trust interest, option,  
177 easement, or land contract, which real estate is the  
178 subject of a contract, whether pending or completed within  
179 the past twelve months, with a governmental agency? If the  
180 real estate contract provides for the leasing of the property  
181 to a governmental agency, do you or a member of your immediate  
182 family hold an interest in the real estate valued at more than  
183 \$1,000? Account for all such contracts whether or not your  
184 interest is reported in schedules F, H-1, or H-2. This  
185 requirement to disclose an interest in a lease does not apply  
186 to an interest derived through an ownership interest in a  
187 business unless the ownership interest exceeds three percent  
188 of the total equity of the business.  
189 EITHER check NO / / OR check YES / / and complete  
190 Schedule I.

191  
192 Statements of Economic Interests are open for public inspection.  
193

194 AFFIRMATION BY ALL FILERS

195  
196 I swear or affirm that the foregoing information is full, true and  
197 correct to the best of my knowledge.

198  
199 Signature.....  
200 Commonwealth of Virginia  
201 .....of.....to wit:  
202 The foregoing disclosure form was acknowledged before me  
203 This.....day of.....19.. by .....  
204 Notary Public  
205 My commission expires.....  
206

207  
208 (Return only if needed to complete Statement.)  
209

210 SCHEDULES  
211 to  
212 STATEMENT OF ECONOMIC INTERESTS

213  
214 NAME \_\_\_\_\_  
215

216 SCHEDULE A - OFFICES AND DIRECTORSHIPS.

217  
218 Identify each business of which you or a member of your  
219 immediate family is a paid officer or paid director.  
220

221	Name of Business	Address of Business	Position Held
222	_____	_____	_____
223	_____	_____	_____
224	_____	_____	_____
225	_____	_____	_____

RETURN TO ITEM 2

## SCHEDULE B - PERSONAL LIABILITIES.

Report personal liability by checking each category. Report only debts in excess of \$10,000. Do not report debts to any government. Do not report loans secured by recorded liens on property at least equal in value to the loan. Report contingent liabilities below and indicate which debts are contingent.

## 1. My personal debts are as follows:

Check appropriate categories	\$10,001 to \$50,000	Check one More than \$50,000
Banks	_____	_____
Savings and loan associations	_____	_____
Other loan or finance companies	_____	_____
Insurance companies	_____	_____
Stock, commodity or other brokerage companies	_____	_____
Other businesses: (State principal business activity for each creditor.)	_____	_____
_____	_____	_____
_____	_____	_____
Individual creditors: (State principal business or occupation of each creditor.)	_____	_____
_____	_____	_____
_____	_____	_____

## 2. The personal debts of the members of my immediate family are as follows:

Check appropriate categories	\$10,001 to \$50,000	Check one More than \$50,000
Banks	_____	_____
Savings and loan associations	_____	_____
Other loan or finance companies	_____	_____
Insurance companies	_____	_____
Stock, commodity or other brokerage companies	_____	_____
Other businesses: (State principal business activity for each creditor.)	_____	_____
_____	_____	_____
_____	_____	_____
Individual creditors: (State principal business or occupation of each creditor.)	_____	_____
_____	_____	_____
_____	_____	_____

RETURN TO ITEM 3

## SCHEDULE C - SECURITIES.

"Securities" INCLUDES stocks, bonds, mutual funds, money market funds, limited partnerships, and commodity futures contracts. "Securities" EXCLUDES certificates of deposit, annuity contracts, and insurance policies.

Identify each business or Virginia governmental entity in which you or a member of your immediate family, directly or indirectly, separately or together, own securities valued in excess of \$10,000.

Do not list U.S. Bonds or other government securities not issued by the Commonwealth of Virginia or its authorities, agencies, or local governments. Do not list organizations that do not do business in this Commonwealth, but most major businesses conduct business in Virginia. Account for securities held in trust.

If no reportable securities, check here / /

Name of Issuer	Type of Entity	Type of Security (stocks, bonds, mutual or money market funds, etc.)	Check one More \$10,001 to \$50,000	than \$50,000

RETURN TO ITEM 4

## SCHEDULE D - PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.

List each source from which you received during the past 12 months lodging, transportation, money, or any other thing of value (excluding meals or drinks coincident with a meeting) with combined value exceeding \$200 for your presentation of a single talk, participation in one meeting, or publication of a work in your capacity as an officer or employee of your agency.

List payments or reimbursements by an advisory or governmental agency only for meetings or travel outside the Commonwealth.

List a payment even if you donated it to charity.

Do not list information about a payment if you returned it within 60 days or if you received it from an employer already listed under Item 6 or from a source of income listed on Schedule F.

If no payment must be listed, check here / /

Payer	Approximate Value	Circumstances	Type of payment (e.g. honoraria, travel reimburse- ment, etc.)

RETURN TO ITEM 5

## SCHEDULE E - GIFTS.

List each business, governmental entity, or individual that furnished you with any gift or gifts whose total value exceeded \$200 during the past 12 months and for which you neither paid nor rendered services in exchange. Do not list business entertainment related to your private profession or occupation. Do not list gifts from a relative or from a personal friend given for reasons clearly unrelated to your public position. Do not list campaign contributions publicly reported as required by Chapter 9 (§ 24.2-900 et seq.) of Title 24.1 of the Code of Virginia.

Name of Business, Organization, or Individual	City or County and State	Approximate Value
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

RETURN TO ITEM 6

## SCHEDULE F - BUSINESS INTERESTS.

Complete this Schedule for each self or family owned business (including rental property, a farm, or consulting work), partnership, or corporation in which you or a member of your immediate family, separately or together, own an interest having a value in excess of \$10,000.

If the enterprise is owned or operated under a trade, partnership, or corporate name, list that name; otherwise merely explain the nature of the enterprise. If rental property is owned or operated under a trade, partnership, or corporate name, list the name only; otherwise, give the address of each property. Account for business interests held in trust.

Name of Business, Corporation, Partnership, Farm; Address of Rental Property	City or County and State	Nature of Enterprise (farming, law, rental property, etc.)	Gross income \$50,000 or less	More than \$50,000
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____

RETURN TO ITEM 8

395

396

397 SCHEDULE G-1 - PAYMENTS FOR REPRESENTATION BY YOU.

398

399 List the businesses you represented before any state governmental  
 400 agency, excluding any court or judge, for which you received  
 401 total compensation during the past 12 months in excess of \$1,000,  
 402 excluding compensation for other services to such businesses and  
 403 representation consisting solely of the filing of mandatory  
 404 papers.

405

406 Identify each business, the nature of the representation and the  
 407 amount received by category from each such business.

408

409 Only STATE officers and employees should complete this Schedule.

410

411	Name of	Type of	Purpose of	Name of	Amount Received	
412	Business	Business	Representation	Agency	\$1,001 to	More than
413	Business	Business	Representation	Agency	\$10,000	\$10,000
414	_____	_____	_____	_____	_____	_____
415	_____	_____	_____	_____	_____	_____
416	_____	_____	_____	_____	_____	_____
417	_____	_____	_____	_____	_____	_____

414

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419 SCHEDULE G-2 - PAYMENTS FOR REPRESENTATION BY ASSOCIATES.

420

421 List the businesses that have been represented before any state  
 422 governmental agency, excluding any court or judge, by persons who  
 423 are your partners, associates or others with whom you have a close  
 424 financial association and who received total compensation in  
 425 excess of \$1,000 for such representation during the past 12  
 426 months, excluding representation consisting solely of the filing  
 427 of mandatory papers.

428

429 Identify such businesses by type and also name the state  
 430 governmental agencies before which such person appeared on behalf  
 431 of such businesses.

432

433 Only STATE officers and employees should complete this Schedule.

434

435	Type of business	Name of state governmental agency
436	_____	_____
437	_____	_____
438	_____	_____
439	_____	_____

436

437

438

439

440

441 SCHEDULE G-3 - PAYMENTS FOR REPRESENTATION GENERALLY.

442

443 Indicate below types of businesses that operate in Virginia to  
 444 which services were furnished by you or persons with whom you have  
 445 a close financial association and for which total compensation in  
 446 excess of \$1,000 was received during the past 12 months.

447

448 Identify opposite each category of businesses listed below (i) the  
 449 type of business, (ii) the type of service rendered and (iii) the  
 450 value of the compensation received for all businesses falling  
 451 within each category.

451



	Check if	Type of	Value of	Compensation
	services	service	\$1,001	More
	were rendered	rendered	to	than
			\$10,000	\$10,000
452				
453				
454				
455				
456				
457	Electric utilities			
458	Gas utilities			
459	Telephone utilities			
460	Water utilities			
461	Cable television			
462	companies			
463	Interstate			
464	transportation			
465	companies			
466	Intrastate			
467	transportation			
468	companies			
469	Oil or gas			
470	retail			
471	companies			
472	Banks			
473	Savings and			
474	loan			
475	associations			
476	Loan or finance			
477	companies			
478	Manufacturing			
479	companies			
480	(state type of			
481	product,			
482	e.g., textile,			
483	furniture,			
484	etc.)			
485	Mining companies			
486	Life insurance			
487	companies			
488	Casualty insurance			
489	companies			
490	Other insurance			
491	companies			
492	Retail companies			
493	Beer, wine or			
494	liquor companies			
495	or distributors			
496	Trade associations			
497	Professional			
498	associations			
499	Associations of			
500	public employees			
501	or officials			
502	Counties, cities or			
503	towns			
504	Labor organizations			
505	Other			
506				
507				

508

## 509 SCHEDULE H-1 - REAL ESTATE - STATE OFFICERS AND EMPLOYEES.

510

511 List real estate ~~located in Virginia~~ other than your principal  
512 residence in which you or a member of your immediate family hold  
513 an interest, including a partnership interest, option, easement,  
514 or land contract, valued at \$10,000 or more. You may list each  
515 parcel of real estate individually if you wish.

516

517 List the counties 518 and cities in which 519 each location (state, 520 and county or city) 521 where you own real 522 estate.	Describe the type of real estate you own in each <del>county or city</del> location (business, recreational, apartment, commercial, open land, etc.).	If the real estate is owned or recorded in a name other than your own, list that name.
523 _____	_____	_____
524 _____	_____	_____
525 _____	_____	_____
526 _____	_____	_____
527 _____	_____	_____
528 _____	_____	_____

524

525

526

527

528

529

## 530 SCHEDULE H-2 - REAL ESTATE - LOCAL OFFICERS AND EMPLOYEES.

531

532 List real estate located in your county, city, or town, and any  
533 contiguous county, city, or town other than your principal  
534 residence in which you or a member of your immediate family hold  
535 an interest, including a partnership interest, option, easement,  
536 or land contract, valued at \$10,000 or more. You may list each  
537 parcel of real estate individually if you wish.

538

539 List the counties 540 and cities in which 541 you own real estate.	Describe the type of real estate you own in each county or city (business, recreational, apartment, commercial, open land, etc.).	If the real estate is owned or recorded in a name other than your own, list that name.
542 _____	_____	_____
543 _____	_____	_____
544 _____	_____	_____
545 _____	_____	_____
546 _____	_____	_____
547 _____	_____	_____
548 _____	_____	_____
549 _____	_____	_____

545

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550

551

RETURN TO ITEM 10

552

## 553 SCHEDULE I - REAL ESTATE CONTRACTS WITH GOVERNMENT AGENCIES.

554

555 List all contracts, whether pending or completed within the  
556 past twelve months, with a governmental agency for the sale  
557 or exchange of real estate in which you or a member of  
558 your immediate family holds an interest, including a corporate,  
559 partnership or trust interest, option, easement, or land contract,  
560 valued at \$10,000 or more. List all contracts with a  
561 governmental agency for the lease of real estate in which you or a  
562 member of your immediate family holds such an interest valued at  
563 \$1,000 or more. This requirement to disclose an interest in a  
564 lease does not apply to an interest derived through an ownership

interest in a business unless the ownership interest exceeds three percent of the total equity of the business.  
State officers and employees report contracts with state agencies.  
Local officers and employees report contracts with local agencies.

List your real estate interest and the person or entity, including the type of entity, which is party to the contract. Describe any management role and the percentage ownership interest you or your immediate family member has in the real estate or entity.	List each governmental agency which is a party to the contract and indicate the county or city where the real estate is located.	State the annual income from the contract, and the amount, if any, of income you or any immediate family member derives annually from the contract.
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

§ 2.1-639.41. (Effective January 1, 1995) Disclosure form.

A. The disclosure form to be used for filings required by § 2.1-639.40 A and B shall be substantially as follows:

#### STATEMENT OF ECONOMIC INTERESTS.

Name .....  
Office or position held or sought .....  
Home address .....  
Names of members of immediate family .....

#### DEFINITIONS AND EXPLANATORY MATERIAL.

"Immediate family" means (i) a spouse and (ii) any other person residing in the same household as the legislator, who is a dependent of the legislator or of whom the legislator is a dependent.

"Dependent" means any person, whether or not related by blood or marriage, who receives from the legislator, or provides to the legislator, more than one-half of his financial support.

"Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise, association, trust or foundation, or any other individual or entity carrying on a business or profession, whether or not for profit.

621  
622 "Gift" means any gratuity, favor, discount, entertainment,  
623 hospitality, loan, forbearance, or other item having monetary value.  
624 It includes services as well as gifts of transportation, local  
625 travel, lodgings and meals, whether provided in-kind, by purchase  
626 of a ticket, payment in advance or reimbursement after the expense  
627 has been incurred. "Gift" shall not include any offer of a ticket  
628 or other admission or pass unless the ticket, admission, or pass is  
629 used. "Gift" shall not include honorary degrees and presents from  
630 relatives. "Relative" means the donee's spouse, child, uncle,  
631 aunt, niece, or nephew; a person to whom the donee is engaged to be  
632 married; the donee's or his spouse's parent, grandparent,  
633 grandchild, brother, or sister; or the donee's brother's or sister's  
634 spouse.

635  
636 TRUST. If you or your immediate family, separately or together,  
637 are the only beneficiaries of a trust, treat the trust's assets  
638 as if you own them directly. If you or your immediate family has  
639 a proportional interest in a trust, treat that proportion of the  
640 trust's assets as if you own them directly. For example, if you  
641 and your immediate family have a one-third interest in a trust,  
642 complete your Statement as if you own one-third of each of the  
643 trust's assets. If you or a member of your immediate family  
644 created a trust and can revoke it without the beneficiaries'  
645 consent, treat its assets as if you own them directly.

646  
647 REPORT TO THE BEST OF INFORMATION AND BELIEF. Information  
648 required on this Statement must be provided on the basis of the  
649 best knowledge, information and belief of the individual filing  
650 the Statement as of the date of this report unless otherwise  
651 stated.

652  
653 COMPLETE ITEMS 1 THROUGH 10. REFER TO SCHEDULES ONLY IF DIRECTED.

654  
655 You may attach additional explanatory information.

656  
657 1. Offices and Directorships.  
658 Are you or a member of your immediate family a paid officer  
659 or paid director of a business?

660 EITHER check NO / / OR check YES / / and complete  
661 Schedule A.

662 2. Personal Liabilities.  
663 Do you or a member of your immediate family owe more than  
664 \$10,000 to any one creditor including contingent liabilities?  
665 (Exclude debts to any government and loans secured by recorded  
666 liens on property at least equal in value to the loan.)  
667 EITHER check NO / / OR check YES / / and complete  
668 Schedule B.

669 3. Securities.  
670 Do you or a member of your immediate family, directly or  
671 indirectly, separately or together, own securities valued  
672 in excess of \$10,000 invested in one business? Account for  
673 mutual funds, limited partnerships and trusts.  
674 EITHER check NO / / OR check YES / / and complete  
675 Schedule C.

676 4. Payments for Talks, Meetings, and Publications.  
677 During the past 12 months did you receive lodging,

transportation, money, or anything else of value with a combined value exceeding \$200 for a single talk, meeting, or published work in your capacity as a legislator?  
EITHER check NO / / OR check YES / / and complete Schedule D.

5. Gifts.

During the past 12 months did a business, government, or individual other than a relative or personal friend furnish you with any gift or gifts the total value of which exceeded \$200 and for which you neither paid nor rendered services in exchange? Account for all business entertainment (except if related to your private profession or occupation) even if unrelated to your official duties.  
EITHER check NO / / OR check YES / / and complete Schedule E.

6. Salary and Wages.

List each employer that pays you or a member of your immediate family salary or wages in excess of \$10,000 annually. (Exclude state or local government or advisory agencies.)  
If no reportable salary or wages, check here / /.

7. Business Interests.

Do you or a member of your immediate family separately or together, operate your own business, or own or control an interest in excess of \$10,000 in a business?  
EITHER check NO / / OR check YES / / and complete Schedule F.

8. Payments for Representation.

8A. Did you represent any businesses before any state governmental agencies, excluding courts or judges, for which you received total compensation during the past 12 months in excess of \$1,000, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers?

EITHER check NO / / OR check YES / / and complete Schedule G-1.

8B. Subject to the same exceptions as in 8A, did persons with whom you have a close financial association (partners, associates or others) represent any businesses before any state governmental agency for which total compensation was received during the past 12 months in excess of \$1,000?

EITHER check NO / / OR check YES / / and complete Schedule G-2.

8C. Did you or persons with whom you have a close financial association furnish services to businesses operating in Virginia for which total compensation in excess of \$1,000 was received during the past 12 months?

EITHER check NO / / OR check YES / / and complete Schedule G-3.

9. Real Estate.

Do you or a member of your immediate family hold an interest, including a partnership interest, valued at \$10,000 or more in real property ~~located in Virginia~~ (other than your principal residence) for which you have not already listed the full address

734 on Schedule F? Account for real estate held in trust.  
735 EITHER check NO / / OR check YES / / and complete  
736 Schedule H.

737 10. Real Estate Contracts with State Agencies.

738 Do you or a member of your immediate family hold an interest  
739 valued at more than \$10,000 in real estate, including a  
740 corporate, partnership, or trust interest, option,  
741 easement, or land contract, which real estate is the  
742 subject of a contract, whether pending or completed within  
743 the past twelve months, with a state governmental agency?  
744 If the real estate contract provides for the leasing of the  
745 property to a state governmental agency, do you or a member of  
746 your immediate family hold an interest in the real estate,  
747 including a corporate, partnership, or trust interest,  
748 option, easement, or land contract valued at more than  
749 \$1,000? Account for all such contracts whether or not your  
750 interest is reported in Schedules F or H.  
751 This requirement to disclose an interest in a lease does not  
752 apply to an interest derived through an ownership interest in a  
753 business unless the ownership interest exceeds three percent  
754 of the total equity of the business.  
755 EITHER check NO / / OR check YES / / and complete  
756 Schedule I.

757  
758 Statements of Economic Interests are open for public inspection.  
759

760 AFFIRMATION

761  
762 In accordance with the rules of the house in which I serve, if I  
763 receive a request that this disclosure statement be corrected,  
764 augmented, or revised in any respect, I hereby pledge that I shall  
765 respond promptly to the request. I understand that if a determination  
766 is made that the statement is insufficient, I will satisfy such  
767 request or be subjected to disciplinary action of my house.

768  
769 I swear or affirm that the foregoing information is full, true and  
770 correct to the best of my knowledge.

771  
772 Signature.....  
773 Commonwealth of Virginia  
774 .....of.....to wit:  
775 The foregoing disclosure form was acknowledged before me  
776 This.....day of.....19.. by .....  
777 Notary Public  
778 My commission expires.....  
779

780  
781 (Return only if needed to complete Statement.)  
782

783  
784 SCHEDULES  
785 to  
786 STATEMENT OF ECONOMIC INTERESTS  
787

788 NAME \_\_\_\_\_  
789

790 SCHEDULE A - OFFICES AND DIRECTORSHIPS.

Identify each business of which you or a member of your immediate family is a paid officer or paid director.

Name of Business	Address of Business	Position Held

RETURN TO ITEM 2

#### SCHEDULE B - PERSONAL LIABILITIES.

Report personal liability by checking each category. Report only debts in excess of \$10,000. Do not report debts to any government. Do not report loans secured by recorded liens on property at least equal in value to the loan. Report contingent liabilities below and indicate which debts are contingent.

##### 1. My personal debts are as follows:

Check appropriate categories	Check one \$10,001 to \$50,000	Check one More than \$50,000
Banks		
Savings and loan associations		
Other loan or finance companies		
Insurance companies		
Stock, commodity or other brokerage companies		
Other businesses:		
(State principal business activity for each creditor.)		
Individual creditors:		
(State principal business or occupation of each creditor.)		

##### 2. The personal debts of the members of my immediate family are as follows:

Check appropriate categories	Check one \$10,001 to \$50,000	Check one More than \$50,000
Banks		
Savings and loan associations		
Other loan or finance companies		
Insurance companies		
Stock, commodity or other brokerage		

847 companies \_\_\_\_\_  
 848 Other businesses: \_\_\_\_\_  
 849 (State principal business activity \_\_\_\_\_  
 850 for each creditor.) \_\_\_\_\_  
 851 \_\_\_\_\_  
 852 \_\_\_\_\_  
 853 Individual creditors: \_\_\_\_\_  
 854 (State principal business or \_\_\_\_\_  
 855 occupation of each creditor.) \_\_\_\_\_  
 856 \_\_\_\_\_  
 857 \_\_\_\_\_

858  
 859 RETURN TO ITEM 3  
 860

861 SCHEDULE C - SECURITIES.  
 862

863 "Securities" INCLUDES stocks, bonds, "Securities" EXCLUDES  
 864 mutual funds, money market funds, certificates of deposit,  
 865 limited partnerships, and commodity annuity contracts, and  
 866 futures contracts. insurance policies.  
 867  
 868 Identify each business or Virginia governmental entity in which you  
 869 or a member of your immediate family, directly or indirectly,  
 870 separately or together, own securities valued in excess of \$10,000.  
 871

872 Do not list U.S. Bonds or other government securities not issued  
 873 by the Commonwealth of Virginia or its authorities, agencies, or  
 874 local governments. Do not list organizations that do not do  
 875 business in this Commonwealth, but most major businesses conduct  
 876 business in Virginia. Account for securities held in trust.  
 877

878 If no reportable securities, check here / /  
 879

880	Type of Security		Check one	
881	(stocks, bonds, mutual		More	
882	Type of	or money market funds, \$10,001 to	than	
883	Name of Issuer	Entity etc.)	\$50,000	\$50,000
884	_____	_____	_____	_____
885	_____	_____	_____	_____
886	_____	_____	_____	_____
887	_____	_____	_____	_____

888  
 889 RETURN TO ITEM 4  
 890

891 SCHEDULE D - PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.  
 892

893 List each source from which you received during the past 12 months  
 894 lodging, transportation, money, or any other thing of value  
 895 (excluding meals or drinks coincident with a meeting) with  
 896 combined value exceeding \$200 for your presentation of a single  
 897 talk, participation in one meeting, or publication of a work in  
 898 your capacity as a legislator.  
 899

900 List payments or reimbursements by the Commonwealth only for  
 901 meetings or travel outside the Commonwealth.  
 902

903 List a payment even if you donated it to charity.



Do not list information about a payment if you returned it within 60 days or if you received it from an employer already listed under Item 6 or from a source of income listed on Schedule F.

If no payment must be listed, check here / /

Payer	Approximate Value	Circumstances	Type of payment (e.g., honoraria, travel reimbursement, etc.)
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

RETURN TO ITEM 5

#### SCHEDULE E - GIFTS.

List each business, governmental entity, or individual that furnished you with any gift or gifts whose total value exceeded \$200 during the past 12 months and for which you neither paid nor rendered services in exchange. Do not list business entertainment related to your private profession or occupation. Do not list gifts or other things of value given by a relative or personal friend for reasons clearly unrelated to your public position. Do not list campaign contributions publicly reported as required by Chapter 9 of Title 24.2 of the Code of Virginia.

Name of Business, Organization, or Individual	City or County and State	Approximate Value
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

RETURN TO ITEM 6

#### SCHEDULE F - BUSINESS INTERESTS.

Complete this Schedule for each self or family owned business (including rental property, a farm, or consulting work), partnership, or corporation in which you or a member of your immediate family, separately or together, own an interest having a value in excess of \$10,000.

If the enterprise is owned or operated under a trade, partnership, or corporate name, list that name; otherwise merely explain the nature of the enterprise. If rental property is owned or operated under a trade, partnership, or corporate name, list the name only; otherwise, give the address of each property. Account for business interests held in trust.

960	Name of			Gross income	
961	Business,				
962	Corporation,				
963	Partnership,				
964	Farm; Address	City or	Nature of Enterprise		
965	of Rental	County	(farming, law,	\$50,000	More than
966	Property	and State	rental property, etc.)	or less	\$50,000
967	_____	_____	_____	_____	_____
968	_____	_____	_____	_____	_____
969	_____	_____	_____	_____	_____

RETURN TO ITEM 8

## SCHEDULE G-1 - PAYMENTS FOR REPRESENTATION BY YOU.

List the businesses you represented before any state governmental agency, excluding any court or judge, for which you received total compensation during the past 12 months in excess of \$1,000, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers.

Identify each business, the nature of the representation and the amount received by category from each such business.

985					Amount Received	
986	Name of	Type of	Purpose of	Name of	\$1,001 to	More than
987	Business	Business	Representation	Agency	\$10,000	\$10,000
988	_____	_____	_____	_____	_____	_____
989	_____	_____	_____	_____	_____	_____
990	_____	_____	_____	_____	_____	_____
991	_____	_____	_____	_____	_____	_____

## SCHEDULE G-2 - PAYMENTS FOR REPRESENTATION BY ASSOCIATES.

List the businesses that have been represented before any state governmental agency, excluding any court or judge, by persons who are your partners, associates or others with whom you have a close financial association and who received total compensation in excess of \$1,000 for such representation during the past 12 months, excluding representation consisting solely of the filing of mandatory papers.

Identify such businesses by type and also name the state governmental agencies before which such person appeared on behalf of such businesses.

1007	Type of business	Name of state government agency
1008	_____	_____
1009	_____	_____
1010	_____	_____
1011	_____	_____

## SCHEDULE G-3 - PAYMENTS FOR REPRESENTATION GENERALLY.

Indicate below types of businesses that operate in Virginia to which services were furnished by you or persons with whom you have

1017 a close financial association and for which total compensation in  
 1018 excess of \$1,000 was received during the past 12 months.

1019  
 1020 Identify opposite each category of businesses listed below (i) the  
 1021 type of business, (ii) the type of service rendered and (iii) the  
 1022 value of the compensation received for all businesses falling  
 1023 within each category.

1024				Value of	Compensation
1025				\$1,001	More
1026		Check if	Type of	to	than
1027		services	service		
1028		were rendered	rendered	\$10,000	\$10,000
1029	Electric utilities	_____	_____	_____	_____
1030	Gas utilities	_____	_____	_____	_____
1031	Telephone utilities	_____	_____	_____	_____
1032	Water utilities	_____	_____	_____	_____
1033	Cable television	_____	_____	_____	_____
1034	companies	_____	_____	_____	_____
1035	Interstate				
1036	transportation				
1037	companies	_____	_____	_____	_____
1038	Intrastate				
1039	transportation				
1040	companies	_____	_____	_____	_____
1041	Oil or gas				
1042	retail				
1043	companies	_____	_____	_____	_____
1044	Banks	_____	_____	_____	_____
1045	Savings and				
1046	loan				
1047	associations	_____	_____	_____	_____
1048	Loan or finance				
1049	companies	_____	_____	_____	_____
1050	Manufacturing				
1051	companies				
1052	(state type of				
1053	product,				
1054	e.g., textile,				
1055	furniture,				
1056	etc.)	_____	_____	_____	_____
1057	Mining companies	_____	_____	_____	_____
1058	Life insurance				
1059	companies	_____	_____	_____	_____
1060	Casualty insurance				
1061	companies	_____	_____	_____	_____
1062	Other insurance				
1063	companies	_____	_____	_____	_____
1064	Retail companies	_____	_____	_____	_____
1065	Beer, wine or				
1066	liquor companies				
1067	or distributors	_____	_____	_____	_____
1068	Trade associations	_____	_____	_____	_____
1069	Professional				
1070	associations	_____	_____	_____	_____
1071	Associations of				
1072	public employees				

1073	or officials	_____	_____	_____	_____
1074	Counties, cities or	_____	_____	_____	_____
1075	towns	_____	_____	_____	_____
1076	Labor organizations	_____	_____	_____	_____
1077	Other	_____	_____	_____	_____

1078  
1079 RETURN TO ITEM 9

1080  
1081 SCHEDULE H - REAL ESTATE.

1082  
1083 List real estate ~~located in Virginia~~ other than your principal  
1084 residence in which you or a member of your immediate family hold  
1085 an interest, including a partnership interest, option, easement,  
1086 or land contract, valued at \$10,000 or more. You may list each  
1087 parcel of real estate individually if you wish.

1088			
1089	List the counties	Describe the type of	If the real estate
1090	and cities in which	real estate you own	is owned or recorded
1091	each location (state,	in each <del>county or city</del>	in a name other than
1092	and county or city)	location (business,	your own, list that
1093	where you own real	recreational, apartment,	name.
1094	estate.	commercial, open land,	
1095		etc.).	

1096	_____	_____	_____
1097	_____	_____	_____
1098	_____	_____	_____
1099	_____	_____	_____
1100	_____	_____	_____

1101  
1102 RETURN TO ITEM 10

1103  
1104 SCHEDULE I - REAL ESTATE CONTRACTS WITH STATE GOVERNMENTAL AGENCIES.

1105  
1106 List all contracts, whether pending or completed within the  
1107 past twelve months, with a state governmental agency for the  
1108 sale or exchange of real estate in which you or a member of  
1109 your immediate family holds an interest, including a corporate,  
1110 partnership or trust interest, option, easement, or land  
1111 contract, valued at \$10,000 or more. List all contracts with  
1112 a state governmental agency for the lease of real estate in  
1113 which you or a member of your immediate family holds such an  
1114 interest valued at \$1,000 or more. This requirement to disclose  
1115 an interest in a lease does not apply to an interest derived  
1116 through an ownership interest in a business unless the  
1117 ownership interest exceeds three percent of the total equity  
1118 of the business.

1119			
1120	List your real	List each state	State the annual
1121	estate interest	governmental agency	income from the
1122	and the person	which is a party to	contract, and the
1123	or entity,	the contract and in-	amount, if any, of
1124	including the	dicade the county	income you or any
1125	type of entity,	or city where the real	immediate family
1126	which is party	estate is located.	member derives
1127	to the contract.		annually from the
1128	Describe any		contract.
1129	management role		

1130 and the percentage  
 1131 ownership interest  
 1132 you or your  
 1133 immediate family  
 1134 member has in the  
 1135 real estate or entity.

1136	_____	_____	_____
1137	_____	_____	_____
1138	_____	_____	_____
1139	_____	_____	_____
1140	_____	_____	_____
1141	_____	_____	_____

1142  
 1143

1144 B. Any legislator who makes a knowing misstatement of a material fact on the Statement of  
 1145 Economic Interests shall be subject to disciplinary action for such violations by the house in which the  
 1146 legislator sits.

1147 C. In accordance with the rules of each house, the Statement of Economic Interests of all members  
 1148 of each house shall be reviewed. If a legislator's Statement is found to be inadequate as filed, the  
 1149 legislator shall be notified in writing, directed to file an amended Statement correcting the indicated  
 1150 deficiencies, and a time set within which such amendment shall be filed. If the Statement of Economic  
 1151 Interests, in either its original or amended form, is found to be adequate as filed, the legislator's filing  
 1152 shall be deemed in full compliance with this section as to the information disclosed thereon.

1153 D. Ten percent of the membership of a house, on the basis of newly discovered facts, may in writing  
 1154 request the house in which those members sit, in accordance with the rules of that house, to review the  
 1155 Statement of Economic Interests of another member of that house in order to determine the adequacy of  
 1156 his filing. In accordance with the rules of each house, each Statement of Economic Interests shall be  
 1157 promptly reviewed, the adequacy of the filing determined, and notice given in writing to the legislator  
 1158 whose Statement is in issue. Should it be determined that the Statement requires correction,  
 1159 augmentation or revision, the legislator involved shall be directed to make the changes required within  
 1160 such time as shall be set under the rules of each house.

1161 If a legislator, after having been notified in writing in accordance with the rules of the house in  
 1162 which he sits that his Statement is inadequate as filed, fails to amend his Statement so as to come into  
 1163 compliance within the time limit set, he shall be subject to disciplinary action by the house in which he  
 1164 sits. No legislator shall vote on any question relating to his own Statement.

1165 **2. That the provisions of this act shall become effective on January 1, 1995.**