

1994 SESSION

SENATE SUBSTITUTE

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SENATE BILL NO. 479

AMENDMENT IN THE NATURE OF A SUBSTITUTE

(Proposed by the Senate Committee on General Laws

on February 9, 1994)

(Patron Prior to Substitute—Senator Woods)

A BILL to amend and reenact §§ 2.1-639.15 and 2.1-639.41 of the Code of Virginia, relating to the conflict of interests laws; disclosure forms, including disclosure of certain real estate and lease interests.

Be it enacted by the General Assembly of Virginia:

1. That §§ 2.1-639.15 and 2.1-639.41 of the Code of Virginia are amended and reenacted as follows:

§ 2.1-639.15. Disclosure form.

The disclosure form to be used for filings required by § 2.1-639.13 A and D, and § 2.1-639.14 A and D shall be substantially as follows:

STATEMENT OF ECONOMIC INTERESTS.

Name

Office or position held or sought

Home address

Names of members of immediate family

DEFINITIONS AND EXPLANATORY MATERIAL.

"Immediate family" means (i) a spouse and (ii) any other person residing in the same household as the officer or employee, who is a dependent of the officer or employee or of whom the officer or employee is a dependent.

"Dependent" means any person, whether or not related by blood or marriage, who receives from the officer or employee, or provides to the officer or employee, more than one-half of his financial support.

"Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise, association, trust or foundation, or any other individual or entity carrying on a business or profession, whether or not for profit.

TRUST. If you or your immediate family, separately or together, are the only beneficiaries of a trust, treat the trust's assets as if you own them directly. If you or your immediate family has a proportional interest in a trust, treat that proportion of the trust's assets as if you own them directly. For example, if you and your immediate family have a one-third interest in a trust, complete your Statement as if you own one-third of each of the trust's assets. If you or a member of your immediate family created a trust and can revoke it without the beneficiaries' consent, treat its assets as if you own them directly.

REPORT TO THE BEST OF INFORMATION AND BELIEF. Information required on this Statement must be provided on the basis of the best knowledge, information and belief of the individual filing the Statement as of the date of this report unless otherwise stated.

COMPLETE ITEMS 1 THROUGH 9. REFER TO SCHEDULES ONLY IF DIRECTED.

You may attach additional explanatory information.

1. Offices and Directorships.

Are you or a member of your immediate family a paid officer or paid director of a business?

EITHER check NO / / OR check YES / / and complete Schedule A.

2. Personal Liabilities.

Do you or a member of your immediate family owe more than

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56 \$10,000 to any one creditor including contingent liabilities?
57 (Exclude debts to any government and loans secured by recorded
58 liens on property at least equal in value to the loan.)
59 EITHER check NO / / OR check YES / / and complete
60 Schedule B.

61 3. Securities.
62 Do you or a member of your immediate family, directly or
63 indirectly, separately or together, own securities valued
64 in excess of \$10,000 invested in one business? Account for
65 mutual funds, limited partnerships and trusts.
66 EITHER check NO / / OR check YES / / and complete
67 Schedule C.

68 4. Payments for Talks, Meetings, and Publications.
69 During the past 12 months did you receive lodging,
70 transportation, money, or anything else of value with a
71 combined value exceeding \$200 for a single talk, meeting,
72 or published work in your capacity as an officer or employee
73 of your agency?
74 EITHER check NO / / OR check YES / / and complete
75 Schedule D.

76 5. Gifts, Travel, and Business Entertainment.
77 During the past 12 months did a business, government, or
78 individual other than a relative or personal friend furnish
79 you with tickets to sporting events or shows, travel expenses,
80 meals or lodging, favors, services, property, loans, money,
81 gifts, or anything else of value
82 the total value of which exceeded \$200 and for which you
83 neither paid nor rendered, services in exchange? Account for
84 all business entertainment (except if related to your private
85 profession or occupation) even if unrelated to your official
86 duties.
87 EITHER check NO / / OR check YES / / and complete
88 Schedule E.

89 6. Salary and Wages.
90 List each employer that pays you or a member of your immediate
91 family salary or wages in excess of \$10,000 annually. (Exclude
92 state or local government or advisory agencies.)
93 If no reportable salary or wages, check here / /.
94
95
96

97 7. Business Interests.
98 Do you or a member of your immediate family separately or
99 together, operate your own business, or own or control an
100 interest in excess of \$10,000 in a business?
101 EITHER check NO / / OR check YES / / and complete
102 Schedule F.

103 8. Payments for Representation.
104 8A. Did you represent any businesses before any state governmental
105 agencies, excluding courts or judges, for which you received
106 total compensation during the past 12 months in excess of
107 \$1,000, excluding compensation for other services to such
108 businesses and representation consisting solely of the filing
109 of mandatory papers? (Officers and employees of local
110 governmental and advisory agencies do NOT need to answer this
111 question or complete Schedule G-1.)
112 EITHER check NO / / OR check YES / / and complete

Schedule G-1.

8B. Subject to the same exceptions as in 8A, did persons with whom you have a close financial association (partners, associates or others) represent any businesses before any state governmental agency for which total compensation was received during the past 12 months in excess of \$1,000? (Officers and employees of local governmental and advisory agencies do NOT need to answer this question or complete Schedule G-2.)
EITHER check NO / / OR check YES / / and complete Schedule G-2.

8C. Did you or persons with whom you have a close financial association furnish services to businesses operating in Virginia for which total compensation in excess of \$1,000 was received during the past 12 months?
EITHER check NO / / OR check YES / / and complete Schedule G-3.

9. Real Estate.

9A. State Officers and Employees.

Do you or a member of your immediate family hold an interest, including a partnership interest, valued at \$10,000 or more in real property located in Virginia (other than your principal residence) for which you have not already listed the full address on Schedule F? Account for real estate held in trust.
EITHER check NO / / OR check YES / / and complete Schedule H-1.

9B. Local Officers and Employees.

Do you or a member of your immediate family hold an interest, including a partnership interest, valued at \$10,000 or more in real property located in the county, city or town in which you serve or in a county, city or town contiguous to the county, city or town in which you serve (other than your principal residence) for which you have not already listed the full address on Schedule F? Account for real estate held in trust.
EITHER check NO / / OR check YES / / and complete Schedule H-2.

10. *Real Estate Contracts with Government Agencies.*

*Do you or a member of your immediate family hold an interest valued at more than \$10,000 in real estate, including a corporate, partnership, or trust interest, option, easement, or land contract, which real estate is the subject of a contract, whether pending or completed within the past 12 months, with a governmental agency? Account for all such contracts whether or not your interest is reported in schedules F, H-1, or H-2.
EITHER check NO / / OR check YES / / and complete Schedule J.*

Statements of Economic Interests are open for public inspection.

AFFIRMATION BY ALL FILERS

I swear or affirm that the foregoing information is full, true and correct to the best of my knowledge.

Signature.....

Commonwealth of Virginia

.....of.....to wit:

The foregoing disclosure form was acknowledged before me

This.....day of.....19.. by

Notary Public

169 My commission expires.....
170 (Return only if needed to complete Statement.)

171
172 SCHEDULES
173 to
174 STATEMENT OF ECONOMIC INTERESTS
175 NAME.....

176 SCHEDULE A - OFFICES AND DIRECTORSHIPS.

177 Identify each business of which you or a member of your
178 immediate family is a paid officer or paid director.

179	180	181	182	183	184	185	186
		Name of Business	Address of Business	Position Held			
				
				
				
				

187
188
189 RETURN TO ITEM 2
190

191
192 SCHEDULE B - PERSONAL LIABILITIES.
193 Report personal liability by checking each category. Report only
194 debts in excess of \$10,000. Do not report debts to any government.
195 Do not report loans secured by recorded liens on property at least
196 equal in value to the loan.
197 Report contingent liabilities below and indicate which debts are contingent.
198 1. My personal debts are as follows:

199	200	201	202	203
	Check	Check one		
	appropriate	\$10,001 to	More than	
	categories	\$50,000	\$50,000	

204	Banks
205	Savings and loan associations
206	Other loan or finance companies
207	Insurance companies
208	Stock, commodity or other brokerage		
209	companies
210	Other businesses:		
211	(State principal business activity for each		
212	creditor.)
213
214
215	Individual creditors:		
216	(State principal business or		
217	occupation of each creditor.)
218
219

220
221 2. The personal debts of the members of my immediate family are as
222 follows:
223
224 Check
225 appropriate

224	225	Check one	
		\$10,001 to	More than

226
 227 categories \$50,000 \$50,000
 228 Banks
 229 Savings and loan associations
 230 Other loan or finance companies
 231 Insurance companies
 232 Stock, commodity or other brokerage
 233 companies
 234 Other businesses:
 235 (State principal business activity
 236 for each creditor.)
 237
 238 Individual creditors:
 239 (State principal business or
 240 occupation of each creditor.)
 241
 242
 243

244 RETURN TO ITEM 3

245
 246 SCHEDULE C - SECURITIES.
 247 "Securities" INCLUDES stocks, bonds, "Securities" EXCLUDES
 248 mutual funds, money market funds, certificates of deposit,
 249 limited partnerships, and commodity annuity contracts, and
 250 futures contracts. insurance policies.
 251 Identify each business or Virginia governmental entity in which you
 252 or a member of your immediate family, directly or indirectly,
 253 separately or together, own securities valued in excess of \$10,000.
 254 Do not list U.S. Bonds or other government securities not issued by
 255 the Commonwealth of Virginia or its authorities, agencies, or local
 256 governments. Do not list organizations that do not do business in
 257 this Commonwealth, but most major businesses conduct business in
 258 Virginia. Account for securities held in trust.
 259 If no reportable securities, check here / /
 260

	Type of Security	Check one
	(stocks, bonds, mutual	More
	or money market funds, \$10,001 to	than
	etc.)	\$50,000 \$50,000
261 Name of Issuer	Type of Entity	
262		
263		
264		
265		
266		

267 RETURN TO ITEM 4

268
 269 SCHEDULE D - PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.
 270 List each source from which you received during the past 12 months
 271 lodging, transportation, money, or any other thing of value
 272 (excluding meals or drinks coincident with a meeting) with
 273 combined value exceeding \$200 for your presentation of a single
 274 talk, participation in one meeting, or publication of a work in
 275 your capacity as an officer or employee of your agency.
 276 List payments or reimbursements by an advisory or governmental
 277 agency only for meetings or travel outside the Commonwealth.
 278 List a payment even if you donated it to charity.
 279 Do not list information about a payment if you returned it within
 280 60 days or if you received it from an employer already listed
 281 under Item 6 or from a source of income listed on Schedule F.

282 If no payment must be listed, check here / /

283				
284				Type of payment
285				(e.g. Honoraria,
286				travel reimburse-
287	Payer	Approximate Value	Circumstances	ment, etc.)
288
289
290
291

292

293 RETURN TO ITEM 5

294 SCHEDULE E - GIFTS, TRAVEL, AND BUSINESS ENTERTAINMENT.

295 List each business, governmental entity, or individual that

296 furnished you with tickets to sporting events or shows, travel

297 expenses, meals or lodging, favors, services, property, loans,

298 money, gifts, or other thing of pecuniary value,

299 whose total value exceeded \$200 during the past 12 months

300 and for which you neither paid nor rendered services in exchange.

301 Do not list business entertainment related to your private

302 profession or occupation. Do not list gifts or other things of

303 value given by a relative or personal friend for

304 reasons clearly unrelated to your public position.

305

306 When calculating value, you need not consider:

307 Meals, lodging, or hospitality that an individual furnished you

308 at his own expense and not as a business expense if clearly

309 unrelated to your public position, or

310

311 Campaign contributions publicly reported as required by Chapter

312 9 of Title ~~24.1~~ 24.2 of the Code of Virginia.

313 "Relative" means: your spouse, child, uncle, aunt, niece,

314 nephew, or person to whom you are engaged to be married;

315 your or your spouse's parent, grandparent, grandchild,

316 brother, or sister; or your brother's or sister's spouse.

317			
318	Name of Business,		
319	Organization, or	City or County	
320	Individual	and State	Approximate Value
321
322
323
324

325

326 RETURN TO ITEM 6

327 SCHEDULE F - BUSINESS INTERESTS.

328 Complete this Schedule for each self or family owned business

329 (including rental property, a farm, or consulting work),

330 partnership, or corporation in which you or a member of your

331 immediate family, separately or together, own an interest having

332 a value in excess of \$10,000.

333 If the enterprise is owned or operated under a trade, partnership,

334 or corporate name, list that name; otherwise merely explain the

335 nature of the enterprise. If rental property is owned or operated

336 under a trade, partnership, or corporate name, list the name only;

337 otherwise, give the address of each property. Account for business

338 interests held in trust.

339
 340 Name of Gross income
 341 Business,
 342 Corporation,
 343 Partnership,
 344 Farm; Address City or Nature of Enterprise
 345 of Rental County (farming, law, \$50,000 More than
 346 Property and State rental property, etc.) or less \$50,000
 347
 348
 349

RETURN TO ITEM 8

SCHEDULE G-1 - PAYMENTS FOR REPRESENTATION BY YOU.

353 List the businesses you represented before any state governmental
 354 agency, excluding any court or judge, for which you received
 355 total compensation during the past 12 months in excess of \$1,000,
 356 excluding compensation for other services to such businesses and
 357 representation consisting solely of the filing of mandatory
 358 papers.

359 Identify each business, the nature of the representation and the
 360 amount received by category from each such business.

361 Only STATE officers and employees should complete this Schedule.

				Amount Received	
Name of	Type of	Purpose of	Name of	\$1,001 to	More than
Business	Business	Representation	Agency	\$10,000	\$10,000
366
367
368
369

SCHEDULE G-2 - PAYMENTS FOR REPRESENTATION BY ASSOCIATES.

372 List the businesses that have been represented before any state
 373 governmental agency, excluding any court or judge, by persons who
 374 are your partners, associates or others with whom you have a close
 375 financial association and who received total compensation in
 376 excess of \$1,000 for such representation during the past 12
 377 months, excluding representation consisting solely of the filing
 378 of mandatory papers.

379 Identify such businesses by type and also name the state
 380 governmental agencies before which such person appeared on behalf
 381 of such businesses.

382 Only STATE officers and employees should complete this Schedule.

Type of business	Name of state government agency
385
386
387

SCHEDULE G-3 - PAYMENTS FOR REPRESENTATION GENERALLY.

390 Indicate below types of businesses that operate in Virginia to
 391 which services were furnished by you or persons with whom you have
 392 a close financial association and for which total compensation in
 393 excess of \$1,000 was received during the past 12 months.

394 Identify opposite each category of businesses listed below (i) the

395	type of business, (ii) the type of service rendered and (iii) the			
396	value of the compensation received for all businesses falling			
397	within each category.			
398				
399	Value of Compensation			
400	Check if	Type of	\$1,001	More
401	services	service	to	than
402	were rendered	rendered	\$10,000	\$10,000
403	Electric utilities
404	Gas utilities
405	Telephone utilities
406	Water utilities
407	Cable television
408	companies
409	Interstate			
410	transportation			
411	companies
412	Intrastate			
413	transportation			
414	companies
415	Oil or gas			
416	retail			
417	companies
418	Banks
419	Savings and			
420	loan			
421	associations
422	Loan or finance			
423	companies
424	Manufacturing			
425	companies			
426	(state type of			
427	product,			
428	e.g., textile,			
429	furniture			
430	etc.)
431	Mining companies
432	Life insurance			
433	companies
434	Casualty insurance			
435	companies
436	Other insurance			
437	companies
438	Retail companies
439	Beer, wine or			
440	liquor companies			
441	or distributors
442	Trade associations
443	Professional			
444	associations
445	Associations of			
446	public employees			
447	or officials
448	Counties, cities or			
449	towns
450	Labor organizations
451	Other

RETURN TO ITEM 9

SCHEDULE H-1 - REAL ESTATE - STATE OFFICERS AND EMPLOYEES.

List real estate located in Virginia other than your principal residence in which you or a member of your immediate family hold an interest, including a partnership interest, option, easement, or land contract, valued at \$10,000 or more. You may list each parcel of real estate individually if you wish.

List the counties and cities in which you own real estate	Describe the type of real estate you own in each county or city (business, recreational, apartment, commercial, open land, etc.)	If the real estate is owned or recorded in a name other than your own, list that name
.....
.....
.....
.....
.....

SCHEDULE H-2 - REAL ESTATE - LOCAL OFFICERS AND EMPLOYEES.

List real estate located in your county, city, or town, and any contiguous county, city, or town other than your principal residence in which you or a member of your immediate family hold an interest, including a partnership interest, option, easement, or land contract, valued at \$10,000 or more. You may list each parcel of real estate individually if you wish.

List the counties and cities in which you own real estate	Describe the type of real estate you own in each county or city (business, recreational, apartment, commercial, open land, etc.)	If the real estate is owned or recorded in a name other than your own, list that name
.....
.....
.....
.....
.....

SCHEDULE J - REAL ESTATE CONTRACTS WITH GOVERNMENT AGENCIES.

List all contracts, whether pending or completed within the past 12 months, with a governmental agency for the sale, lease or exchange of real estate in which you or a member of your immediate family holds an interest, including a corporate, partnership or trust interest, option, easement, or land contract, valued at \$10,000 or more.

State officers and employees report contracts with state agencies.

Local officers and employees report contracts with local agencies.

List your real estate interest and the person or entity, including the type of entity, which is party to the contract.	List each governmental agency which is a party to the contract and indicate the county or city where the real estate is located	State the annual income from the contract during the past 12 months, and the amount, if any, of income you or any immediate family member
.....
.....
.....
.....
.....

508 Describe any
509 management role
510 and the percentage
511 ownership interest
512 you or your
513 immediate family
514 member has in the
515 real estate or entity.

derived from the
contract during the
past 12 months.

516
517
518
519
520
521

522
523 § 2.1-639.41. Disclosure form.
524 A. The disclosure form to be used for filings required by § 2.1-639.40 A and B shall be substantially
525 as follows:

526 STATEMENT OF ECONOMIC INTERESTS.

527 Name
528 Office or position held or sought
529 Home address
530 Names of members of immediate family

531 DEFINITIONS AND EXPLANATORY MATERIAL.

532 "Immediate family" means (i) a spouse and (ii) any other person
533 residing in the same household as the officer or employee, who
534 is a dependent of the officer or employee or of whom the officer
535 or employee is a dependent.

536 "Dependent" means any person, whether or not related by blood or
537 marriage, who receives from the officer or employee, or provides
538 to the officer or employee, more than one-half of his financial
539 support.

540 "Business" means a corporation, partnership, sole proprietorship,
541 firm, enterprise, franchise, association, trust or foundation, or
542 any other individual or entity carrying on a business or
543 profession, whether or not for profit.

544 TRUST. If you or your immediate family, separately or together,
545 are the only beneficiaries of a trust, treat the trust's assets
546 as if you own them directly. If you or your immediate family has
547 a proportional interest in a trust, treat that proportion of the
548 trust's assets as if you own them directly. For example, if you
549 and your immediate family have a one-third interest in a trust,
550 complete your Statement as if you own one-third of each of the
551 trust's assets. If you or a member of your immediate family
552 created a trust and can revoke it without the beneficiaries'
553 consent, treat its assets as if you own them directly.

554 REPORT TO THE BEST OF INFORMATION AND BELIEF. Information
555 required on this Statement must be provided on the basis of the
556 best knowledge, information and belief of the individual filing
557 the Statement as of the date of this report unless otherwise
558 stated.

559 COMPLETE ITEMS 1 THROUGH 9. REFER TO SCHEDULES ONLY IF DIRECTED.
560 You may attach additional explanatory information.

561 1. Offices and Directorships.

562 Are you or a member of your immediate family a paid officer
563 or paid director of a business?

564 EITHER check NO / / OR check YES / / and complete

565 Schedule A.
566 2. Personal Liabilities.
567 Do you or a member of your immediate family owe more than
568 \$10,000 to any one creditor including contingent liabilities?
569 (Exclude debts to any government and loans secured by recorded
570 liens on property at least equal in value to the loan.)
571 EITHER check NO / / OR check YES / / and complete
572 Schedule B.
573 3. Securities.
574 Do you or a member of your immediate family, directly or
575 indirectly, separately or together, own securities valued
576 in excess of \$10,000 invested in one business? Account for
577 mutual funds, limited partnerships and trusts.
578 EITHER check NO / / OR check YES / / and complete
579 Schedule C.
580 4. Payments for Talks, Meetings, and Publications.
581 During the past 12 months did you receive lodging,
582 transportation, money, or anything else of value with a combined
583 value exceeding \$200 for a single talk, meeting, or published
584 work in your capacity as a legislator?
585 EITHER check NO / / OR check YES / / and complete
586 Schedule D.
587 5. Gifts, Travel, and Business Entertainment.
588 During the past 12 months did a business, government, or
589 individual other than a relative or personal friend furnish you
590 with tickets to sporting events or shows, travel expenses, meals
591 or lodging, favors, services, property, loans, money, gifts, or
592 anything else of value the total value of
593 which exceeded \$200 and for which you neither paid
594 nor rendered, services in exchange? Account for all business
595 entertainment (except if related to your private profession or
596 occupation) even if unrelated to your official duties.
597 EITHER check NO / / OR check YES / / and complete
598 Schedule E.
599 6. Salary and Wages.
600 List each employer that pays you or a member of your immediate
601 family salary or wages in excess of \$10,000 annually. (Exclude
602 state or local government or advisory agencies.)
603 If no reportable salary or wages, check here / /.
604
605
606
607 7. Business Interests.
608 Do you or a member of your immediate family separately or
609 together, operate your own business, or own or control an
610 interest in excess of \$10,000 in a business?
611 EITHER check NO / / OR check YES / / and complete
612 Schedule F.
613 8. Payments for Representation.
614 8A. Did you represent any businesses before any state governmental
615 agencies, excluding courts or judges, for which you received
616 total compensation during the past 12 months in excess of \$1,000,
617 excluding compensation for other services to such businesses and
618 representation consisting solely of the filing of mandatory
619 papers?
620 EITHER check NO / / OR check YES / / and complete

621 Schedule G-1.
622 8B. Subject to the same exceptions as in 8A, did persons with whom
623 you have a close financial association (partners, associates or
624 others) represent any businesses before any state governmental
625 agency for which total compensation was received during the past
626 12 months in excess of \$1,000?
627 EITHER check NO / / OR check YES / / and complete
628 Schedule G-2.
629 8C. Did you or persons with whom you have a close financial
630 association furnish services to businesses operating in Virginia
631 for which total compensation in excess of \$1,000 was received
632 during the past 12 months?
633 EITHER check NO / / OR check YES / / and complete
634 Schedule G-3.
635 9. Real Estate.
636 Do you or a member of your immediate family hold an interest,
637 including a partnership interest, valued at \$10,000 or more in
638 real property located in Virginia (other than your principal
639 residence) for which you have not already listed the full address
640 on Schedule F? Account for real estate held in trust.
641 EITHER check NO / / OR check YES / / and complete
642 Schedule H.
643 10. *Real Estate Contracts with Governmental Agencies.*
644 *Do you or a member of your immediate family hold an interest*
645 *valued at more than \$10,000 in real estate, including a*
646 *corporate, partnership, or trust interest, option,*
647 *easement, or land contract, which real estate is the*
648 *subject of a contract, whether pending or completed within*
649 *the past 12 months, with a governmental agency?*
650 *Account for all such contracts whether or not*
651 *your interest is reported in schedules F or H.*
652 *EITHER check NO / / OR check YES / / and complete*
653 *Schedule J.*
654 Statements of Economic Interests are open for public inspection.
655

AFFIRMATION

657 In accordance with the rules of the house in which I serve, if I
658 receive a request that this disclosure statement be corrected,
659 augmented, or revised in any respect, I hereby pledge that I shall
660 respond promptly to the request. I understand that if a determination
661 is made that the statement is insufficient, I will satisfy such
662 request or be subjected to disciplinary action of my house.

663 I swear or affirm that the foregoing information is full, true and
664 correct to the best of my knowledge.

665 Signature.....

666 Commonwealth of Virginia

667of.....to wit:

668 The foregoing disclosure form was acknowledged before me

669 This.....day of.....19.. by

670 Notary Public

671 My commission expires.....

672 (Return only if needed to complete Statement.)

673

674

SCHEDULES

675

to

676

STATEMENT OF ECONOMIC INTERESTS

677

NAME.....

678 SCHEDULE A - OFFICES AND DIRECTORSHIPS.

679 Identify each business of which you or a member of your
 680 immediate family is a paid officer or paid director.

681	682	683	684	685	686	687	688	689
Name of Business	Address of Business	Position Held						
.....						
.....						
.....						
.....						

690 RETURN TO ITEM 2

692 SCHEDULE B - PERSONAL LIABILITIES.

693 Report personal liability by checking each category. Report only
 694 debts in excess of \$10,000. Do not report debts to any government.
 695 Do not report loans secured by recorded liens on property at least
 696 equal in value to the loan.

697 Report contingent liabilities below and indicate which debts are contingent.

698 1. My personal debts are as follows:

700	701	702	703	704	705	706	707	708	709	710	711	712	713	714	715	716	717	718	719	720	721
	Check																				
	appropriate		\$10,001 to	More than																	
	categories		\$50,000	\$50,000																	
Banks																			
Savings and loan associations																			
Other loan or finance companies																			
Insurance companies																			
Stock, commodity or other brokerage companies																			
Other businesses:																					
(State principal business activity for each creditor.)																			
.....																			
.....																			
Individual creditors:																					
(State principal business or occupation of each creditor.)																			
.....																			
.....																			

722 2. The personal debts of the members of my immediate family are as
 723 follows:

724	725	726	727	728	729	730	731	732	733
	Check								
	appropriate		\$10,001 to	More than					
	categories		\$50,000	\$50,000					
Banks							
Savings and loan associations							
Other loan or finance companies							
Insurance companies							
Stock, commodity or other brokerage							

734 companies
 735 Other businesses:
 736 (State principal business activity
 737 for each creditor.)
 738
 739 Individual creditors:
 740 (State principal business or
 741 occupation of each creditor.)
 742
 743
 744

745 RETURN TO ITEM 3
 746

747 SCHEDULE C - SECURITIES.

748 "Securities" INCLUDES stocks, bonds, "Securities" EXCLUDES
 749 mutual funds, money market funds, certificates of deposit,
 750 limited partnerships, and commodity annuity contracts, and
 751 futures contracts. insurance policies.
 752 Identify each business or Virginia governmental entity in which you
 753 or a member of your immediate family, directly or indirectly,
 754 separately or together, own securities valued in excess of \$10,000.
 755 Do not list U.S. Bonds or other government securities not issued by
 756 the Commonwealth of Virginia or its authorities, agencies, or local
 757 governments. Do not list organizations that do not do business in
 758 this Commonwealth, but most major businesses conduct business in
 759 Virginia. Account for securities held in trust.
 760 If no reportable securities, check here / /

761
 762 Type of Security Check one
 763 (stocks, bonds, mutual More
 764 Type of or money market funds, \$10,001 to than
 765 Name of Issuer Entity etc.) \$50,000 \$50,000
 766
 767

768 RETURN TO ITEM 4
 769

770 SCHEDULE D - PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.

771 List each source from which you received during the past 12 months
 772 lodging, transportation, money, or any other thing of value
 773 (excluding meals or drinks coincident with a meeting) with
 774 combined value exceeding \$200 for your presentation of a single
 775 talk, participation in one meeting, or publication of a work in
 776 your capacity as a legislator.
 777 List payments or reimbursements by the Commonwealth only for
 778 meetings or travel outside the Commonwealth.
 779 List a payment even if you donated it to charity.
 780 Do not list information about a payment if you returned it within
 781 60 days or if you received it from an employer already listed
 782 under Item 6 or from a source of income listed on Schedule F.
 783 If no payment must be listed, check here / /

784
 785 Type of payment
 786 (e.g. Honoraria,
 787 travel reimburse-
 788 Payer Approximate Value Circumstances ment, etc.)
 789
 790

791
 792
 793

794 RETURN TO ITEM 5

795 SCHEDULE E - GIFTS, TRAVEL, AND BUSINESS ENTERTAINMENT.

796 List each business, governmental entity, or individual that
 797 furnished you with tickets to sporting events or shows, travel
 798 expenses, meals or lodging, favors, services, property, loans,
 799 money, gifts, or other thing of pecuniary value,
 800 whose total value exceeded \$200 during the past 12 months
 801 and for which you neither paid nor rendered services in exchange.
 802 Do not list business entertainment related to your private
 803 profession or occupation. Do not list gifts or other things of
 804 value given by a relative or personal friend for
 805 reasons clearly unrelated to your public position.

806
 807 When calculating value, you need not consider:
 808 Meals, lodging, or hospitality that an individual furnished you
 809 at his own expense and not as a business expense if clearly
 810 unrelated to your public position, or
 811

812 Campaign contributions publicly reported as required by Chapter
 813 9 of Title ~~24.1~~ 24.2 of the Code of Virginia.

814 "Relative" means: your spouse, child, uncle, aunt, niece,
 815 nephew, or person to whom you are engaged to be married;
 816 your or your spouse's parent, grandparent, grandchild,
 817 brother, or sister; or your brother's or sister's spouse.
 818

819 Name of Business, 820 Organization, or 821 Individual	822 City or County 823 and State	824 Approximate Value
825	826	827
828	829	830
831	832	833
834	835	836

837 RETURN TO ITEM 6

838 SCHEDULE F - BUSINESS INTERESTS.

839 Complete this Schedule for each self or family owned business
 840 (including rental property, a farm, or consulting work),
 841 partnership, or corporation in which you or a member of your
 842 immediate family, separately or together, own an interest having
 843 a value in excess of \$10,000.
 844 If the enterprise is owned or operated under a trade, partnership,
 845 or corporate name, list that name; otherwise merely explain the
 846 nature of the enterprise. If rental property is owned or operated
 under a trade, partnership, or corporate name, list the name only;
 otherwise, give the address of each property. Account for business
 interests held in trust.

841 Name of 842 Business, 843 Corporation, 844 Partnership, 845 Farm; Address 846 of Rental	847 City or 848 County	849 Nature of Enterprise (farming, law,	850 \$50,000	851 More than

847 Property and State rental property, etc.) or less \$50,000
 848
 849
 850
 851

852 RETURN TO ITEM 8

853 SCHEDULE G-1 - PAYMENTS FOR REPRESENTATION BY YOU.

854 List the businesses you represented before any state governmental
 855 agency, excluding any court or judge, for which you received
 856 total compensation during the past 12 months in excess of \$1,000,
 857 excluding compensation for other services to such businesses and
 858 representation consisting solely of the filing of mandatory
 859 papers.

860 Identify each business, the nature of the representation and the
 861 amount received by category from each such business.

				Amount Received	
Name of	Type of	Purpose of	Name of	\$1,001 to	More than
Business	Business	Representation	Agency	\$10,000	\$10,000
866
867
868
869

871 SCHEDULE G-2 - PAYMENTS FOR REPRESENTATION BY ASSOCIATES.

872 List the businesses that have been represented before any state
 873 governmental agency, excluding any court or judge, by persons who
 874 are your partners, associates or others with whom you have a close
 875 financial association and who received total compensation in
 876 excess of \$1,000 for such representation during the past 12
 877 months, excluding representation consisting solely of the filing
 878 of mandatory papers.

879 Identify such businesses by type and also name the state
 880 governmental agencies before which such person appeared on behalf
 881 of such businesses.

Type of business	Name of state government agency
884
885
886
887

889 SCHEDULE G-3 - PAYMENTS FOR REPRESENTATION GENERALLY.

890 Indicate below types of businesses that operate in Virginia to
 891 which services were furnished by you or persons with whom you have
 892 a close financial association and for which total compensation in
 893 excess of \$1,000 was received during the past 12 months.

894 Identify opposite each category of businesses listed below (i) the
 895 type of business, (ii) the type of service rendered and (iii) the
 896 value of the compensation received for all businesses falling
 897 within each category.

		Value of Compensation	
Check if	Type of	\$1,001	More
services	service	to	than
were rendered	rendered	\$10,000	\$10,000
900	Electric utilities

904	Gas utilities
905	Telephone utilities
906	Water utilities
907	Cable television
908	companies
909	Interstate				
910	transportation				
911	companies
912	Intrastate				
913	transportation				
914	companies
915	Oil or gas				
916	retail				
917	companies
918	Banks
919	Savings and				
920	loan				
921	associations
922	Loan or finance				
923	companies
924	Manufacturing				
925	companies				
926	(state type of				
927	product,				
928	e.g., textile,				
929	furniture				
930	etc.)
931	Mining companies
932	Life insurance				
933	companies
934	Casualty insurance				
935	companies
936	Other insurance				
937	companies
938	Retail companies
939	Beer, wine or				
940	liquor companies				
941	or distributors
942	Trade associations
943	Professional				
944	associations
945	Associations of				
946	public employees				
947	or officials
948	Counties, cities or				
949	towns
950	Labor organizations
951	Other

RETURN TO ITEM 9

954 SCHEDULE H - REAL ESTATE.

955 List real estate located in Virginia other than your principal
956 residence in which you or a member of your immediate family hold
957 an interest, including a partnership interest, option, easement,
958 or land contract, valued at \$10,000 or more. You may list each
959 parcel of real estate individually if you wish.

960			
961	List the counties	Describe the type of	If the real estate
962	and cities in which	real estate you own	is owned or recorded
963	you own real estate	in each county or city	in a name other than
964		(business, recreational,	your own, list that
965		apartment, commercial,	name
966		open land, etc.)	
967
968
969
970
971

972

973 *SCHEDULE J - REAL ESTATE CONTRACTS WITH STATE OR LOCAL GOVERNMENTAL*

974 *AGENCIES.*

975 *List all contracts, whether pending or completed within the past*

976 *12 months, with a state or local governmental agency for the sale,*

977 *lease or exchange of real estate in which you or a member of*

978 *your immediate family holds an interest, including a corporate,*

979 *partnership or trust interest option, easement, or land contract,*

980 *valued at \$10,000 or more.*

981			
982	List your real	List each state or	State the income
983	estate interest	local governmental	from the contract
984	and the person	agency which is a	during the past 12
985	or entity,	party to the	months, and the
986	including the	contract and in-	amount, if any, of
987	type of entity,	dicade the county	income you or any
988	which is party	or city where the	immediate family
989	to the contract.	real estate is	member derived from
990	Describe any	located.	the contract during
991	management role		the past 12 months.
992	and the percentage		
993	ownership interest		
994	you or your		
995	immediate family		
996	member has in the		
997	real estate or entity.		

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1003

1004 B. Any legislator who makes a knowing misstatement of a material fact on the Statement of

1005 Economic Interests shall be subject to disciplinary action for such violations by the house in which the

1006 legislator sits.

1007 C. In accordance with the rules of each house, the Statement of Economic Interests of all members

1008 of each house shall be reviewed. If a legislator's Statement is found to be inadequate as filed, the

1009 legislator shall be notified in writing, directed to file an amended Statement correcting the indicated

1010 deficiencies, and a time set within which such amendment shall be filed. If the Statement of Economic

1011 Interests, in either its original or amended form, is found to be adequate as filed, the legislator's filing

1012 shall be deemed in full compliance with this section as to the information disclosed thereon.

1013 D. Ten percent of the membership of a house, on the basis of newly discovered facts, may in writing

1014 request the house in which those members sit, in accordance with the rules of that house, to review the

1015 Statement of Economic Interests of another member of that house in order to determine the adequacy of

1016 his filing. In accordance with the rules of each house, each Statement of Economic Interests shall be

1017 promptly reviewed, the adequacy of the filing determined, and notice given in writing to the legislator

1018 whose Statement is in issue. Should it be determined that the Statement requires correction,
1019 augmentation or revision, the legislator involved shall be directed to make the changes required within
1020 such time as shall be set under the rules of each house.

1021 If a legislator, after having been notified in writing in accordance with the rules of the house in
1022 which he sits that his Statement is inadequate as filed, fails to amend his Statement so as to come into
1023 compliance within the time limit set, he shall be subject to disciplinary action by the house in which he
1024 sits. No legislator shall vote on any question relating to his own Statement.

1025 **2. That the provisions of this act shall become effective on January 1, 1995.**