

LD2187759

HOUSE BILL NO. 935

House Amendments in [] — February 9, 1994

A BILL to amend and reenact §§ 2.1-639.15 and 2.1-639.41 of the Code of Virginia, relating to the conflict of interests laws; disclosure forms, including disclosure of certain real estate and lease interests.

Patrons—Purkey, Bloxom, Cox, Dillard, Giesen, Howell, Ingram, Kidd, Marshall, Martin, McClure, Mims, Nelms, Reid, Rhodes, Rollison, Sherwood, Tata, Wagner and Wardrup; Senators: Earley and Stolle

Referred to Committee on Privileges and Elections

Be it enacted by the General Assembly of Virginia:

1. That §§ 2.1-639.15 and 2.1-639.41 of the Code of Virginia are amended and reenacted as follows:

§ 2.1-639.15. Disclosure form.

The disclosure form to be used for filings required by § 2.1-639.13 A and D, and § 2.1-639.14 A and D shall be substantially as follows:

STATEMENT OF ECONOMIC INTERESTS.

Name
Office or position held or sought
Home address
Names of members of immediate family

DEFINITIONS AND EXPLANATORY MATERIAL.

"Immediate family" means (i) a spouse and (ii) any other person residing in the same household as the officer or employee, who is a dependent of the officer or employee or of whom the officer or employee is a dependent.

"Dependent" means any person, whether or not related by blood or marriage, who receives from the officer or employee, or provides to the officer or employee, more than one-half of his financial support.

"Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise, association, trust or foundation, or any other individual or entity carrying on a business or profession, whether or not for profit.

TRUST. If you or your immediate family, separately or together, are the only beneficiaries of a trust, treat the trust's assets as if you own them directly. If you or your immediate family has a proportional interest in a trust, treat that proportion of the trust's assets as if you own them directly. For example, if you and your immediate family have a one-third interest in a trust, complete your Statement as if you own one-third of each of the trust's assets. If you or a member of your immediate family created a trust and can revoke it without the beneficiaries' consent, treat its assets as if you own them directly.

REPORT TO THE BEST OF INFORMATION AND BELIEF. Information

ENGROSSED

HB935E

56 required on this Statement must be provided on the basis of the
57 best knowledge, information and belief of the individual filing
58 the Statement as of the date of this report unless otherwise
59 stated.

60
61 COMPLETE ITEMS 1 THROUGH 9. REFER TO SCHEDULES ONLY IF DIRECTED.
62

63 You may attach additional explanatory information.
64

65 1. Offices and Directorships.

66 Are you or a member of your immediate family a paid officer
67 or paid director of a business?

68 EITHER check NO / / OR check YES / / and complete
69 Schedule A.

70 2. Personal Liabilities.

71 Do you or a member of your immediate family owe more than
72 \$10,000 to any one creditor including contingent liabilities?
73 (Exclude debts to any government and loans secured by recorded
74 liens on property at least equal in value to the loan.)

75 EITHER check NO / / OR check YES / / and complete
76 Schedule B.

77 3. Securities.

78 Do you or a member of your immediate family, directly or
79 indirectly, separately or together, own securities valued
80 in excess of \$10,000 invested in one business? Account for
81 mutual funds, limited partnerships and trusts.

82 EITHER check NO / / OR check YES / / and complete
83 Schedule C.

84 4. Payments for Talks, Meetings, and Publications.

85 During the past 12 months did you receive lodging,
86 transportation, money, or anything else of value with a
87 combined value exceeding \$200 for a single talk, meeting,
88 or published work in your capacity as an officer or employee
89 of your agency?

90 EITHER check NO / / OR check YES / / and complete
91 Schedule D.

92 5. Gifts, Travel, and Business Entertainment.

93 During the past 12 months did a business, government, or
94 individual other than a relative or personal friend furnish
95 you with tickets to sporting events or shows, travel expenses,
96 meals or lodging, favors, services, property, loans, money,
97 gifts, or anything else of value
98 the total value of which exceeded \$200 and for which you
99 neither paid nor rendered, services in exchange? Account for
100 all business entertainment (except if related to your private
101 profession or occupation) even if unrelated to your official
102 duties.

103 EITHER check NO / / OR check YES / / and complete
104 Schedule E.

105 6. Salary and Wages.

106 List each employer that pays you or a member of your immediate
107 family salary or wages in excess of \$10,000 annually. (Exclude
108 state or local government or advisory agencies.)

109 If no reportable salary or wages, check here / /.

110 _____
111 _____
112 _____

7. Business Interests.

Do you or a member of your immediate family separately or together, operate your own business, or own or control an interest in excess of \$10,000 in a business?

EITHER check NO / / OR check YES / / and complete Schedule F.

8. Payments for Representation.

8A. Did you represent any businesses before any state governmental agencies, excluding courts or judges, for which you received total compensation during the past 12 months in excess of \$1,000, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers? (Officers and employees of local governmental and advisory agencies do NOT need to answer this question or complete Schedule G-1.)

EITHER check NO / / OR check YES / / and complete Schedule G-1.

8B. Subject to the same exceptions as in 8A, did persons with whom you have a close financial association (partners, associates or others) represent any businesses before any state governmental agency for which total compensation was received during the past 12 months in excess of \$1,000? (Officers and employees of local governmental and advisory agencies do NOT need to answer this question or complete Schedule G-2.)

EITHER check NO / / OR check YES / / and complete Schedule G-2.

8C. Did you or persons with whom you have a close financial association furnish services to businesses operating in Virginia for which total compensation in excess of \$1,000 was received during the past 12 months?

EITHER check NO / / OR check YES / / and complete Schedule G-3.

9. Real Estate.

9A. State Officers and Employees.

Do you or a member of your immediate family hold an interest, including a partnership interest, valued at \$10,000 or more in real property located in Virginia (other than your principal residence) for which you have not already listed the full address on Schedule F? Account for real estate held in trust.

EITHER check NO / / OR check YES / / and complete Schedule H-1.

9B. Local Officers and Employees.

Do you or a member of your immediate family hold an interest, including a partnership interest, valued at \$10,000 or more in real property located in the county, city or town in which you serve or in a county, city or town contiguous to the county, city or town in which you serve (other than your principal residence) for which you have not already listed the full address on Schedule F? Account for real estate held in trust.

EITHER check NO / / OR check YES / / and complete Schedule H-2.

10. Real Estate Contracts with Government Agencies.

Do you or a member of your immediate family hold an interest valued at more than \$10,000 in real estate, including a corporate, partnership, or trust interest, option, easement, or land contract, which real estate is the

subject of a contract [, whether pending or completed within the past twelve months,] with a governmental agency? [If the real estate contract provides for the leasing of the property to a governmental agency, do you or a member of your immediate family hold an interest in the real estate valued at more than \$1,000?] Account for all such contracts whether or not your interest is reported in schedules F, H-1, or H-2. [This requirement to disclose an interest in a lease does not apply to an interest derived through an ownership interest in a business unless the ownership interest exceeds three percent of the total equity of the business.]
EITHER check NO / / OR check YES / / and complete Schedule J.

Statements of Economic Interests are open for public inspection.

AFFIRMATION BY ALL FILERS

I swear or affirm that the foregoing information is full, true and correct to the best of my knowledge.

Signature.....

Commonwealth of Virginia

.....of.....to wit:

The foregoing disclosure form was acknowledged before me

This.....day of.....19.. by

Notary Public

My commission expires.....

(Return only if needed to complete Statement.)

SCHEDULES

to

STATEMENT OF ECONOMIC INTERESTS

NAME.....

SCHEDULE A - OFFICES AND DIRECTORSHIPS.

Identify each business of which you or a member of your immediate family is a paid officer or paid director.

Name of Business	Address of Business	Position Held
------------------	---------------------	---------------

_____	_____	_____
-------	-------	-------

_____	_____	_____
-------	-------	-------

_____	_____	_____
-------	-------	-------

_____	_____	_____
-------	-------	-------

RETURN TO ITEM 2

SCHEDULE B - PERSONAL LIABILITIES.

Report personal liability by checking each category. Report only debts in excess of \$10,000. Do not report debts to any government. Do not report loans secured by recorded liens on property at least equal in value to the loan. Report contingent liabilities below and indicate which debts are contingent.

226 1. My personal debts are as follows:

227	228	229	230	231
	Check		Check one	
	appropriate	\$10,001 to	More than	
	categories	\$50,000	\$50,000	
232	Banks	_____	_____	
233	Savings and loan associations	_____	_____	
234	Other loan or finance companies	_____	_____	
235	Insurance companies	_____	_____	
236	Stock, commodity or other brokerage	_____	_____	
237	companies	_____	_____	
238	Other businesses:			
239	(State principal business activity for each			
240	creditor.)	_____	_____	
241	_____	_____	_____	
242	_____	_____	_____	
243	Individual creditors:			
244	(State principal business or			
245	occupation of each creditor.)	_____	_____	
246	_____	_____	_____	
247	_____	_____	_____	

248
249 2. The personal debts of the members of my immediate family are as
250 follows:

251	252	253	254	255
	Check		Check one	
	appropriate	\$10,001 to	More than	
	categories	\$50,000	\$50,000	
255	Banks	_____	_____	
256	Savings and loan associations	_____	_____	
257	Other loan or finance companies	_____	_____	
258	Insurance companies	_____	_____	
259	Stock, commodity or other brokerage	_____	_____	
260	companies	_____	_____	
261	Other businesses:			
262	(State principal business activity			
263	for each creditor.)	_____	_____	
264	_____	_____	_____	
265	_____	_____	_____	
266	Individual creditors:			
267	(State principal business or			
268	occupation of each creditor.)	_____	_____	
269	_____	_____	_____	
270	_____	_____	_____	

271
272 RETURN TO ITEM 3

273
274 SCHEDULE C - SECURITIES.

275	276 "Securities" INCLUDES stocks, bonds,	276 "Securities" EXCLUDES
277	mutual funds, money market funds,	certificates of deposit,
278	limited partnerships, and commodity	annuity contracts, and
279	futures contracts.	insurance policies.

280
281 Identify each business or Virginia governmental entity in which you

282 or a member of your immediate family, directly or indirectly,
 283 separately or together, own securities valued in excess of \$10,000.

284
 285 Do not list U.S. Bonds or other government securities not issued
 286 by the Commonwealth of Virginia or its authorities, agencies, or
 287 local governments. Do not list organizations that do not do
 288 business in this Commonwealth, but most major businesses conduct
 289 business in Virginia. Account for securities held in trust.

290
 291 If no reportable securities, check here / /

293	Type of Security		Check one	
294	(stocks, bonds, mutual		More	
295	Type of	or money market funds, \$10,001 to	than	
296	Name of Issuer	Entity	etc.)	\$50,000 \$50,000
297	_____	_____	_____	_____
298	_____	_____	_____	_____
299	_____	_____	_____	_____
300	_____	_____	_____	_____

301
 302
 303 RETURN TO ITEM 4

304
 305 SCHEDULE D - PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.

306
 307 List each source from which you received during the past 12 months
 308 lodging, transportation, money, or any other thing of value
 309 (excluding meals or drinks coincident with a meeting) with
 310 combined value exceeding \$200 for your presentation of a single
 311 talk, participation in one meeting, or publication of a work in
 312 your capacity as an officer or employee of your agency.

313
 314 List payments or reimbursements by an advisory or governmental
 315 agency only for meetings or travel outside the Commonwealth.

316
 317 List a payment even if you donated it to charity.

318
 319 Do not list information about a payment if you returned it within
 320 60 days or if you received it from an employer already listed
 321 under Item 6 or from a source of income listed on Schedule F.

322
 323 If no payment must be listed, check here / /

325	Type of payment		
326	(e.g. honoraria,		
327	travel reimburse-		
328	Payer	Approximate Value	Circumstances
329	_____	_____	_____
330	_____	_____	_____
331	_____	_____	_____
332	_____	_____	_____

333
 334 RETURN TO ITEM 5

335
 336 SCHEDULE E - GIFTS, TRAVEL, AND BUSINESS ENTERTAINMENT.

337
 338 List each business, governmental entity, or individual that

furnished you with tickets to sporting events or shows, travel expenses, meals or lodging, favors, services, property, loans, money, gifts, or other thing of pecuniary value, whose total value exceeded \$200 during the past 12 months and for which you neither paid nor rendered services in exchange.

Do not list business entertainment related to your private profession or occupation. Do not list gifts or other things of value given by a relative or personal friend for reasons clearly unrelated to your public position. When calculating value, you need not consider:

Meals, lodging, or hospitality that an individual furnished you at his own expense and not as a business expense if clearly unrelated to your public position, or

Campaign contributions publicly reported as required by Chapter 9 of Title ~~24.1~~ 24.2 of the Code of Virginia.

"Relative" means: your spouse, child, uncle, aunt, niece, nephew, or person to whom you are engaged to be married; your or your spouse's parent, grandparent, grandchild, brother, or sister; or your brother's or sister's spouse.

Name of Business, Organization, or Individual	City or County and State	Approximate Value
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

RETURN TO ITEM 6

SCHEDULE F - BUSINESS INTERESTS.

Complete this Schedule for each self or family owned business (including rental property, a farm, or consulting work), partnership, or corporation in which you or a member of your immediate family, separately or together, own an interest having a value in excess of \$10,000.

If the enterprise is owned or operated under a trade, partnership, or corporate name, list that name; otherwise merely explain the nature of the enterprise. If rental property is owned or operated under a trade, partnership, or corporate name, list the name only; otherwise, give the address of each property. Account for business interests held in trust.

Name of Business, Corporation, Partnership, Farm; Address of Rental Property	City or County and State	Nature of Enterprise (farming, law, rental property, etc.)	Gross income \$50,000 or less	More than \$50,000
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____

395 _____
 396 _____
 397 _____
 398 _____
 399 _____

RETURN TO ITEM 8

400
 401 SCHEDULE G-1 - PAYMENTS FOR REPRESENTATION BY YOU.
 402

403 List the businesses you represented before any state governmental
 404 agency, excluding any court or judge, for which you received
 405 total compensation during the past 12 months in excess of \$1,000,
 406 excluding compensation for other services to such businesses and
 407 representation consisting solely of the filing of mandatory
 408 papers.
 409

410 Identify each business, the nature of the representation and the
 411 amount received by category from each such business.
 412

413 Only STATE officers and employees should complete this Schedule.
 414

				Amount Received	
Name of Business	Type of Business	Purpose of Representation	Name of Agency	\$1,001 to \$10,000	More than \$10,000
416 _____	_____	_____	_____	_____	_____
417 _____	_____	_____	_____	_____	_____
418 _____	_____	_____	_____	_____	_____
419 _____	_____	_____	_____	_____	_____
420 _____	_____	_____	_____	_____	_____
421 _____	_____	_____	_____	_____	_____

422
 423 SCHEDULE G-2 - PAYMENTS FOR REPRESENTATION BY ASSOCIATES.
 424

425 List the businesses that have been represented before any state
 426 governmental agency, excluding any court or judge, by persons who
 427 are your partners, associates or others with whom you have a close
 428 financial association and who received total compensation in
 429 excess of \$1,000 for such representation during the past 12
 430 months, excluding representation consisting solely of the filing
 431 of mandatory papers.
 432

433 Identify such businesses by type and also name the state
 434 governmental agencies before which such person appeared on behalf
 435 of such businesses.
 436

437 Only STATE officers and employees should complete this Schedule.
 438

Type of business	Name of state government agency
439 _____	_____
440 _____	_____
441 _____	_____
442 _____	_____
443 _____	_____

444
 445 SCHEDULE G-3 - PAYMENTS FOR REPRESENTATION GENERALLY.
 446

447 Indicate below types of businesses that operate in Virginia to
 448 which services were furnished by you or persons with whom you have
 449 a close financial association and for which total compensation in
 450 excess of \$1,000 was received during the past 12 months.
 451 Identify opposite each category of businesses listed below (i) the

452 type of business, (ii) the type of service rendered and (iii) the
 453 value of the compensation received for all businesses falling
 454 within each category.

455				Value of	Compensation
456				\$1,001	More
457		Check if	Type of	to	than
458		services	service		
459		were rendered	rendered	\$10,000	\$10,000
460	Electric utilities	_____	_____	_____	_____
461	Gas utilities	_____	_____	_____	_____
462	Telephone utilities	_____	_____	_____	_____
463	Water utilities	_____	_____	_____	_____
464	Cable television	_____	_____	_____	_____
465	companies	_____	_____	_____	_____
466	Interstate	_____	_____	_____	_____
467	transportation	_____	_____	_____	_____
468	companies	_____	_____	_____	_____
469	Intrastate	_____	_____	_____	_____
470	transportation	_____	_____	_____	_____
471	companies	_____	_____	_____	_____
472	Oil or gas	_____	_____	_____	_____
473	retail	_____	_____	_____	_____
474	companies	_____	_____	_____	_____
475	Banks	_____	_____	_____	_____
476	Savings and	_____	_____	_____	_____
477	loan	_____	_____	_____	_____
478	associations	_____	_____	_____	_____
479	Loan or finance	_____	_____	_____	_____
480	companies	_____	_____	_____	_____
481	Manufacturing	_____	_____	_____	_____
482	companies	_____	_____	_____	_____
483	(state type of	_____	_____	_____	_____
484	product,	_____	_____	_____	_____
485	e.g., textile,	_____	_____	_____	_____
486	furniture,	_____	_____	_____	_____
487	etc.)	_____	_____	_____	_____
488	Mining companies	_____	_____	_____	_____
489	Life insurance	_____	_____	_____	_____
490	companies	_____	_____	_____	_____
491	Casualty insurance	_____	_____	_____	_____
492	companies	_____	_____	_____	_____
493	Other insurance	_____	_____	_____	_____
494	companies	_____	_____	_____	_____
495	Retail companies	_____	_____	_____	_____
496	Beer, wine or	_____	_____	_____	_____
497	liquor companies	_____	_____	_____	_____
498	or distributors	_____	_____	_____	_____
499	Trade associations	_____	_____	_____	_____
500	Professional	_____	_____	_____	_____
501	associations	_____	_____	_____	_____
502	Associations of	_____	_____	_____	_____
503	public employees	_____	_____	_____	_____
504	or officials	_____	_____	_____	_____
505	Counties, cities or	_____	_____	_____	_____
506	towns	_____	_____	_____	_____
507	Labor organizations	_____	_____	_____	_____

508 Other _____

509

510

RETURN TO ITEM 9

511

512 SCHEDULE H-1 - REAL ESTATE - STATE OFFICERS AND EMPLOYEES.

513

514 List real estate located in Virginia other than your principal
515 residence in which you or a member of your immediate family hold
516 an interest, including a partnership interest, option, easement,
517 or land contract, valued at \$10,000 or more. You may list each
518 parcel of real estate individually if you wish.

519

520 List the counties	Describe the type of	If the real estate
521 and cities in which	real estate you own	is owned or recorded
522 you own real estate	in each county or city	in a name other than
523	(business, recreational,	your own, list that
524	apartment, commercial,	name
525	open land, etc.)	
526 _____	_____	_____
527 _____	_____	_____
528 _____	_____	_____
529 _____	_____	_____

526

527

528

529

530

531 SCHEDULE H-2 - REAL ESTATE - LOCAL OFFICERS AND EMPLOYEES.

532

533 List real estate located in your county, city, or town, and any
534 contiguous county, city, or town other than your principal
535 residence in which you or a member of your immediate family hold
536 an interest, including a partnership interest, option, easement,
537 or land contract, valued at \$10,000 or more. You may list each
538 parcel of real estate individually if you wish.

539

540 List the counties	Describe the type of	If the real estate
541 and cities in which	real estate you own	is owned or recorded
542 you own real estate	in each county or city	in a name other than
543	(business, recreational,	your own, list that
544	apartment, commercial,	name
545	open land, etc.)	
546 _____	_____	_____
547 _____	_____	_____
548 _____	_____	_____
549 _____	_____	_____
550 _____	_____	_____

546

547

548

549

550

551

552 SCHEDULE J - REAL ESTATE CONTRACTS WITH GOVERNMENT AGENCIES.

553

554 List all contracts [, whether pending or completed within the
555 past twelve months,] with a governmental agency for the sale
556 [~~lease~~] or exchange of real estate in which you or a member of
557 your immediate family holds an interest, including a corporate,
558 partnership or trust interest, option, easement, or land contract,
559 valued at \$10,000 or more. [List all contracts with a
560 governmental agency for the lease of real estate in which you or a
561 member of your immediate family holds such an interest valued at
562 \$1,000 or more. This requirement to disclose an interest in a
563 lease does not apply to an interest derived through an ownership
564 interest in a business unless the ownership interest exceeds three

565 percent of the total equity of the business.]
566 State officers and employees report contracts with state agencies.
567 Local officers and employees report contracts with local agencies.
568 List your real List each State the annual
569 estate interest governmental agency income from the
570 and the person which is a party to contract, and the
571 or entity, the contract.and in- amount, if any, of
572 including the dicate the county income you or any
573 type of entity, or city where the real immediate family
574 which is party estate is located member derives
575 to the contract. annually from the
576 Describe any contract.
577 management role
578 and the percentage
579 ownership interest
580 you or your
581 immediate family
582 member has in the
583 real estate or entity.

584	_____	_____	_____
585	_____	_____	_____
586	_____	_____	_____
587	_____	_____	_____
588	_____	_____	_____
589	_____	_____	_____

590 § 2.1-639.41. Disclosure form.

591
592 A. The disclosure form to be used for filings required by § 2.1-639.40 A and B shall be substantially
593 as follows:

594
595 STATEMENT OF ECONOMIC INTERESTS.
596

597 Name
598 Office or position held or sought
599 Home address
600 Names of members of immediate family

601 DEFINITIONS AND EXPLANATORY MATERIAL.

602 "Immediate family" means (i) a spouse and (ii) any other person
603 residing in the same household as the [~~officer or employee~~
604 legislator] , who is a dependent of the [~~officer or employee~~
605 legislator] or of whom the [~~officer or employee~~ legislator] is
606 a dependent.

607 "Dependent" means any person, whether or not related by blood or
608 marriage, who receives from the [~~officer or employee~~ legislator]
609 , or provides to the [~~officer or employee~~ legislator] , more than
610 one-half of his financial support.

611 "Business" means a corporation, partnership, sole proprietorship,
612 firm, enterprise, franchise, association, trust or foundation, or
613 any other individual or entity carrying on a business or
614 profession, whether or not for profit.

615 TRUST. If you or your immediate family, separately or together,
616 are the only beneficiaries of a trust, treat the trust's assets
617 as if you own them directly. If you or your immediate family has
618 a proportional interest in a trust, treat that proportion of the
619 trust's assets as if you own them directly. For example, if you
620 and your immediate family have a one-third interest in a trust,

complete your Statement as if you own one-third of each of the trust's assets. If you or a member of your immediate family created a trust and can revoke it without the beneficiaries' consent, treat its assets as if you own them directly. REPORT TO THE BEST OF INFORMATION AND BELIEF. Information required on this Statement must be provided on the basis of the best knowledge, information and belief of the individual filing the Statement as of the date of this report unless otherwise stated.

COMPLETE ITEMS 1 THROUGH 9. REFER TO SCHEDULES ONLY IF DIRECTED. You may attach additional explanatory information.

1. Offices and Directorships.

Are you or a member of your immediate family a paid officer or paid director of a business?
EITHER check NO / / OR check YES / / and complete Schedule A.

2. Personal Liabilities.

Do you or a member of your immediate family owe more than \$10,000 to any one creditor including contingent liabilities? (Exclude debts to any government and loans secured by recorded liens on property at least equal in value to the loan.)
EITHER check NO / / OR check YES / / and complete Schedule B.

3. Securities.

Do you or a member of your immediate family, directly or indirectly, separately or together, own securities valued in excess of \$10,000 invested in one business? Account for mutual funds, limited partnerships and trusts.
EITHER check NO / / OR check YES / / and complete Schedule C.

4. Payments for Talks, Meetings, and Publications.

During the past 12 months did you receive lodging, transportation, money, or anything else of value with a combined value exceeding \$200 for a single talk, meeting, or published work in your capacity as a legislator?
EITHER check NO / / OR check YES / / and complete Schedule D.

5. Gifts, Travel, and Business Entertainment.

During the past 12 months did a business, government, or individual other than a relative or personal friend furnish you with tickets to sporting events or shows, travel expenses, meals or lodging, favors, services, property, loans, money, gifts, or anything else of value the total value of which exceeded \$200 and for which you neither paid nor rendered, services in exchange? Account for all business entertainment (except if related to your private profession or occupation) even if unrelated to your official duties.
EITHER check NO / / OR check YES / / and complete Schedule E.

6. Salary and Wages.

List each employer that pays you or a member of your immediate family salary or wages in excess of \$10,000 annually. (Exclude state or local government or advisory agencies.)
If no reportable salary or wages, check here / /.

678 7. Business Interests.

679 Do you or a member of your immediate family separately or
680 together, operate your own business, or own or control an
681 interest in excess of \$10,000 in a business?

682 EITHER check NO / / OR check YES / / and complete
683 Schedule F.

684 8. Payments for Representation.

685 8A. Did you represent any businesses before any state governmental
686 agencies, excluding courts or judges, for which you received
687 total compensation during the past 12 months in excess of \$1,000,
688 excluding compensation for other services to such businesses and
689 representation consisting solely of the filing of mandatory
690 papers?

691 EITHER check NO / / OR check YES / / and complete
692 Schedule G-1.

693 8B. Subject to the same exceptions as in 8A, did persons with whom
694 you have a close financial association (partners, associates or
695 others) represent any businesses before any state governmental
696 agency for which total compensation was received during the past
697 12 months in excess of \$1,000?

698 EITHER check NO / / OR check YES / / and complete
699 Schedule G-2.

700 8C. Did you or persons with whom you have a close financial
701 association furnish services to businesses operating in Virginia
702 for which total compensation in excess of \$1,000 was received
703 during the past 12 months?

704 EITHER check NO / / OR check YES / / and complete
705 Schedule G-3.

706 9. Real Estate.

707 Do you or a member of your immediate family hold an interest,
708 including a partnership interest, valued at \$10,000 or more in
709 real property located in Virginia (other than your principal
710 residence) for which you have not already listed the full address
711 on Schedule F? Account for real estate held in trust.

712 EITHER check NO / / OR check YES / / and complete
713 Schedule H.

714 10. Real Estate Contracts with State Agencies.

715 Do you or a member of your immediate family hold an interest
716 valued at more than \$10,000 in real estate, including a
717 corporate, partnership, or trust interest, option,
718 easement, or land contract, which real estate is the
719 subject of a contract [, whether pending or completed within
720 the past twelve months,] with a state governmental agency?
721 [If the real estate contract provides for the leasing of the
722 property to a state governmental agency, do you or a member or
723 your immediate family hold an interest in the real estate
724 valued at more than \$1,000?] Account for all such contracts
725 whether or not your interest is reported in Schedules F or H.
726 [This requirement to disclose an interest in a lease does not
727 apply to an interest derived through an ownership interest in a
728 business unless the ownership interest exceeds three percent
729 of the total equity of the business.]

730 EITHER check NO / / OR check YES / / and complete
731 Schedule J.

732
733 Statements of Economic Interests are open for public inspection.

734

735

736

AFFIRMATION

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SCHEDULES

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In accordance with the rules of the house in which I serve, if I receive a request that this disclosure statement be corrected, augmented, or revised in any respect, I hereby pledge that I shall respond promptly to the request. I understand that if a determination is made that the statement is insufficient, I will satisfy such request or be subjected to disciplinary action of my house.

I swear or affirm that the foregoing information is full, true and correct to the best of my knowledge.

Signature.....

Commonwealth of Virginia

.....of.....to wit:

The foregoing disclosure form was acknowledged before me

This.....day of.....19.. by

Notary Public

My commission expires.....

(Return only if needed to complete Statement.)

757

to

758

STATEMENT OF ECONOMIC INTERESTS

759

NAME.....

760

761

SCHEDULE A - OFFICES AND DIRECTORSHIPS.

762

763

764

Identify each business of which you or a member of your immediate family is a paid officer or paid director.

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RETURN TO ITEM 2

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1. My personal debts are as follows:

Check
appropriate
categories

Check one
\$10,001 to More than
\$50,000 \$50,000

Banks

Savings and loan associations

791	Other loan or finance companies	_____	_____
792	Insurance companies	_____	_____
793	Stock, commodity or other brokerage	_____	_____
794	companies	_____	_____
795	Other businesses:		
796	(State principal business activity for each		
797	creditor.)	_____	_____
798	_____	_____	_____
799	_____	_____	_____
800	Individual creditors:		
801	(State principal business or		
802	occupation of each creditor.)	_____	_____
803	_____	_____	_____
804	_____	_____	_____
805			
806	2. The personal debts of the members of my immediate family are as		
807	follows:		
808			
809	Check	Check one	
810	appropriate	\$10,001 to	More than
811	categories	\$50,000	\$50,000
812	Banks	_____	_____
813	Savings and loan associations	_____	_____
814	Other loan or finance companies	_____	_____
815	Insurance companies	_____	_____
816	Stock, commodity or other brokerage	_____	_____
817	companies	_____	_____
818	Other businesses:		
819	(State principal business activity		
820	for each creditor.)	_____	_____
821	_____	_____	_____
822	_____	_____	_____
823	Individual creditors:		
824	(State principal business or		
825	occupation of each creditor.)	_____	_____
826	_____	_____	_____
827	_____	_____	_____

RETURN TO ITEM 3

831 SCHEDULE C - SECURITIES.

833	"Securities" INCLUDES stocks, bonds,	"Securities" EXCLUDES
834	mutual funds, money market funds,	certificates of deposit,
835	limited partnerships, and commodity	annuity contracts, and
836	futures contracts.	insurance policies.

837

838 Identify each business or Virginia governmental entity in which you

839 or a member of your immediate family, directly or indirectly,

840 separately or together, own securities valued in excess of \$10,000.

841

842 Do not list U.S. Bonds or other government securities not issued

843 by the Commonwealth of Virginia or its authorities, agencies, or

844 local governments. Do not list organizations that do not do

845 business in this Commonwealth, but most major businesses conduct

846 business in Virginia. Account for securities held in trust.

847

848 If no reportable securities, check here / /

849

	Type of Security	Check one
	(stocks, bonds, mutual	More
	or money market funds, \$10,001 to	than
	etc.)	\$50,000 \$50,000
850		
851		
852		
853		
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RETURN TO ITEM 4

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861 SCHEDULE D - PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.

862

863 List each source from which you received during the past 12 months
 864 lodging, transportation, money, or any other thing of value
 865 (excluding meals or drinks coincident with a meeting) with
 866 combined value exceeding \$200 for your presentation of a single
 867 talk, participation in one meeting, or publication of a work in
 868 your capacity as a legislator.

869

870 List payments or reimbursements by the Commonwealth only for
 871 meetings or travel outside the Commonwealth.

872

873 List a payment even if you donated it to charity.

874

875 Do not list information about a payment if you returned it within
 876 60 days or if you received it from an employer already listed
 877 under Item 6 or from a source of income listed on Schedule F.

878

879 If no payment must be listed, check here / /

880

881

882

	Type of payment
	(e.g. honoraria,
	travel reimburse-
	ment, etc.)
883	
884	
885	
886	
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RETURN TO ITEM 5

890

891 SCHEDULE E - GIFTS, TRAVEL, AND BUSINESS ENTERTAINMENT.

892

893 List each business, governmental entity, or individual that
 894 furnished you with tickets to sporting events or shows, travel
 895 expenses, meals or lodging, favors, services, property, loans,
 896 money, gifts, or other thing of pecuniary value,
 897 whose total value exceeded \$200 during the past 12 months
 898 and for which you neither paid nor rendered services in exchange.

899

900 Do not list business entertainment related to your private
 901 profession or occupation. Do not list gifts or other things of
 902 value given by a relative or personal friend for
 903 reasons clearly unrelated to your public position.

When calculating value, you need not consider:

Meals, lodging, or hospitality that an individual furnished you at his own expense and not as a business expense if clearly unrelated to your public position, or

Campaign contributions publicly reported as required by Chapter 9 of Title ~~24.1~~ 24.2 of the Code of Virginia.

"Relative" means: your spouse, child, uncle, aunt, niece, nephew, or person to whom you are engaged to be married; your or your spouse's parent, grandparent, grandchild, brother, or sister; or your brother's or sister's spouse.

Name of Business, Organization, or Individual	City or County and State	Approximate Value
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

RETURN TO ITEM 6

SCHEDULE F - BUSINESS INTERESTS.

Complete this Schedule for each self or family owned business (including rental property, a farm, or consulting work), partnership, or corporation in which you or a member of your immediate family, separately or together, own an interest having a value in excess of \$10,000.

If the enterprise is owned or operated under a trade, partnership, or corporate name, list that name; otherwise merely explain the nature of the enterprise. If rental property is owned or operated under a trade, partnership, or corporate name, list the name only; otherwise, give the address of each property. Account for business interests held in trust.

Name of Business, Corporation, Partnership, Farm; Address of Rental Property	City or County and State	Nature of Enterprise (farming, law, rental property, etc.)	\$50,000 or less	More than \$50,000
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____

RETURN TO ITEM 8

SCHEDULE G-1 - PAYMENTS FOR REPRESENTATION BY YOU.

List the businesses you represented before any state governmental

960 agency, excluding any court or judge, for which you received
 961 total compensation during the past 12 months in excess of \$1,000,
 962 excluding compensation for other services to such businesses and
 963 representation consisting solely of the filing of mandatory
 964 papers.

965
 966 Identify each business, the nature of the representation and the
 967 amount received by category from each such business.

968	Amount Received					
969	Name of	Type of	Purpose of	Name of	\$1,001 to	More than
970	Business	Business	Representation	Agency	\$10,000	\$10,000
971						
972						
973						
974						
975						

976
 977 SCHEDULE G-2 - PAYMENTS FOR REPRESENTATION BY ASSOCIATES.

978
 979 List the businesses that have been represented before any state
 980 governmental agency, excluding any court or judge, by persons who
 981 are your partners, associates or others with whom you have a close
 982 financial association and who received total compensation in
 983 excess of \$1,000 for such representation during the past 12
 984 months, excluding representation consisting solely of the filing
 985 of mandatory papers.

986
 987 Identify such businesses by type and also name the state
 988 governmental agencies before which such person appeared on behalf
 989 of such businesses.

990	Type of business	Name of state government agency
991		
992		
993		
994		

995
 996 SCHEDULE G-3 - PAYMENTS FOR REPRESENTATION GENERALLY.

997
 998 Indicate below types of businesses that operate in Virginia to
 999 which services were furnished by you or persons with whom you have
 1000 a close financial association and for which total compensation in
 1001 excess of \$1,000 was received during the past 12 months.

1002
 1003 Identify opposite each category of businesses listed below (i) the
 1004 type of business, (ii) the type of service rendered and (iii) the
 1005 value of the compensation received for all businesses falling
 1006 within each category.

1007		Check if	Type of	Value of Compensation	
1008		services	service	\$1,001	More
1009		were rendered	rendered	to	than
1010				\$10,000	\$10,000
1011	Electric utilities				
1012	Gas utilities				
1013	Telephone utilities				
1014	Water utilities				
1015	Cable television				

1017	companies	_____	_____	_____	_____
1018	Interstate				
1019	transportation				
1020	companies	_____	_____	_____	_____
1021	Intrastate				
1022	transportation				
1023	companies	_____	_____	_____	_____
1024	Oil or gas				
1025	retail				
1026	companies	_____	_____	_____	_____
1027	Banks	_____	_____	_____	_____
1028	Savings and				
1029	loan				
1030	associations	_____	_____	_____	_____
1031	Loan or finance				
1032	companies	_____	_____	_____	_____
1033	Manufacturing				
1034	companies				
1035	(state type of				
1036	product,				
1037	e.g., textile,				
1038	furniture				
1039	etc.)	_____	_____	_____	_____
1040	Mining companies	_____	_____	_____	_____
1041	Life insurance				
1042	companies	_____	_____	_____	_____
1043	Casualty insurance				
1044	companies	_____	_____	_____	_____
1045	Other insurance				
1046	companies	_____	_____	_____	_____
1047	Retail companies	_____	_____	_____	_____
1048	Beer, wine or				
1049	liquor companies				
1050	or distributors	_____	_____	_____	_____
1051	Trade associations	_____	_____	_____	_____
1052	Professional				
1053	associations	_____	_____	_____	_____
1054	Associations of				
1055	public employees				
1056	or officials	_____	_____	_____	_____
1057	Counties, cities or				
1058	towns	_____	_____	_____	_____
1059	Labor organizations	_____	_____	_____	_____
1060	Other	_____	_____	_____	_____

RETURN TO ITEM 9

1063
1064 SCHEDULE H - REAL ESTATE.

1065
1066 List real estate located in Virginia other than your principal
1067 residence in which you or a member of your immediate family hold
1068 an interest, including a partnership interest, option, easement,
1069 or land contract, valued at \$10,000 or more. You may list each
1070 parcel of real estate individually if you wish.

1071
1072 List the counties Describe the type of If the real estate

1073	and cities in which	real estate you own	is owned or recorded
1074	you own real estate	in each county or city	in a name other than
1075		(business, recreational,	your own, list that
1076		apartment, commercial,	name
1077		open land, etc.)	
1078	_____	_____	_____
1079	_____	_____	_____
1080	_____	_____	_____
1081	_____	_____	_____
1082	_____	_____	_____

1083

1084 *SCHEDULE J - REAL ESTATE CONTRACTS WITH GOVERNMENT AGENCIES.*

1085

1086 *List all contracts [, whether pending or completed within the*
 1087 *past twelve months,] with a state governmental agency for the*
 1088 *sale [~~lease~~] or exchange of real estate in which you or a*
 1089 *member of your immediate family holds an interest, including a*
 1090 *corporate, partnership or trust interest, option, easement, or*
 1091 *land contract, valued at \$10,000 or more. [List all contracts*
 1092 *with a state governmental agency for the lease of real estate in*
 1093 *which you or a member of your immediate family holds such an*
 1094 *interest valued at \$1,000 or more. This requirement to disclose*
 1095 *an interest in a lease does not apply to an interest derived*
 1096 *through an ownership interest in a business unless the ownership*
 1097 *interest exceeds three percent of the total equity of the*
 1098 *business.] State officers and employees report contracts with*
 1099 *state agencies. Local officers and employees report contracts*
 1100 *with local agencies.*

1101	<i>List your real</i>	<i>List each</i>	<i>State the annual</i>
1102	<i>estate interest</i>	<i>governmental agency</i>	<i>income from the</i>
1103	<i>and the person</i>	<i>which is a party to</i>	<i>contract, and the</i>
1104	<i>or entity,</i>	<i>the contract.and in-</i>	<i>amount, if any, of</i>
1105	<i>including the</i>	<i>dicade the county</i>	<i>income you or any</i>
1106	<i>type of entity,</i>	<i>or city where the real</i>	<i>immediate family</i>
1107	<i>which is party</i>	<i>estate is located</i>	<i>member derives</i>
1108	<i>to the contract.</i>		<i>annually from the</i>
1109	<i>Describe any</i>		<i>contract.</i>
1110	<i>management role</i>		
1111	<i>and the percentage</i>		
1112	<i>ownership interest</i>		
1113	<i>you or your</i>		
1114	<i>immediate family</i>		
1115	<i>member has in the</i>		
1116	<i>real estate or entity.</i>		
1117	_____	_____	_____
1118	_____	_____	_____
1119	_____	_____	_____
1120	_____	_____	_____
1121	_____	_____	_____
1122	_____	_____	_____

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B. Any legislator who makes a knowing misstatement of a material fact on the Statement of Economic Interests shall be subject to disciplinary action for such violations by the house in which the legislator sits.

C. In accordance with the rules of each house, the Statement of Economic Interests of all members of each house shall be reviewed. If a legislator's Statement is found to be inadequate as filed, the legislator shall be notified in writing, directed to file an amended Statement correcting the indicated

1130 deficiencies, and a time set within which such amendment shall be filed. If the Statement of Economic
1131 Interests, in either its original or amended form, is found to be adequate as filed, the legislator's filing
1132 shall be deemed in full compliance with this section as to the information disclosed thereon.

1133 D. Ten percent of the membership of a house, on the basis of newly discovered facts, may in writing
1134 request the house in which those members sit, in accordance with the rules of that house, to review the
1135 Statement of Economic Interests of another member of that house in order to determine the adequacy of
1136 his filing. In accordance with the rules of each house, each Statement of Economic Interests shall be
1137 promptly reviewed, the adequacy of the filing determined, and notice given in writing to the legislator
1138 whose Statement is in issue. Should it be determined that the Statement requires correction,
1139 augmentation or revision, the legislator involved shall be directed to make the changes required within
1140 such time as shall be set under the rules of each house.

1141 If a legislator, after having been notified in writing in accordance with the rules of the house in
1142 which he sits that his Statement is inadequate as filed, fails to amend his Statement so as to come into
1143 compliance within the time limit set, he shall be subject to disciplinary action by the house in which he
1144 sits. No legislator shall vote on any question relating to his own Statement.

1145 **2. That the provisions of this act shall become effective on January 1, 1995.**

ENGROSSED

HB935E