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**HOUSE BILL NO. 115****AMENDMENT IN THE NATURE OF A SUBSTITUTE**(Proposed by the House Committee for Courts of Justice  
on January 26, 2024)

(Patron Prior to Substitute—Delegate Sullivan)

*A BILL to amend and reenact §§ 64.2-2002, 64.2-2011, and 64.2-2020 of the Code of Virginia, relating to guardians and conservators; order of appointment and certificate of qualification; annual report.***Be it enacted by the General Assembly of Virginia:****1. That §§ 64.2-2002, 64.2-2011, and 64.2-2020 of the Code of Virginia are amended and reenacted as follows:****§ 64.2-2002. Who may file petition; contents.**

A. Any person, including a community services board and any other local or state governmental agency, may file a petition for the appointment of a guardian, a conservator, or both.

B. A petition for the appointment of a guardian, a conservator, or both, shall state the petitioner's name, place of residence, post office address, and relationship, if any, to the respondent and, to the extent known as of the date of filing, shall include the following:

1. The respondent's name, date of birth, place of residence or location, post office address, and the sealed filing of the social security number;

2. The basis for the court's jurisdiction under the provisions of Article 2 (§ 64.2-2105 et seq.) of Chapter 21;

3. The names and post office addresses of the respondent's spouse, adult children, parents, and adult siblings or, if no such relatives are known to the petitioner, at least three other known relatives of the respondent, including stepchildren. If a total of three such persons cannot be identified and located, the petitioner shall certify that fact in the petition, and the court shall set forth such finding in the final order;

4. The name, place of residence or location, and post office address of the individual or facility, if any, that is responsible for or has assumed responsibility for the respondent's care or custody;

5. The name, place of residence or location, and post office address of any agent designated under a durable power of attorney or an advance directive of which the respondent is the principal, and any guardian, committee, or conservator currently acting, whether in this state or elsewhere, and the petitioner shall attach a copy of any such durable power of attorney, advance directive, or order appointing the guardian, committee, or conservator, if available;

5a. The name, location, and post office address of the respondent's primary health care provider, if any;

6. The type of guardianship or conservatorship requested and a brief description of the nature and extent of the respondent's alleged incapacity;

7. When the petition requests appointment of a guardian, a brief description of the services currently being provided for the respondent's health, care, safety, or rehabilitation and, where appropriate, a recommendation as to living arrangements and treatment plan;

8. If the appointment of a limited guardian is requested, the specific areas of protection and assistance to be included in the order of appointment and, if the appointment of a limited conservator is requested, the specific areas of management and assistance to be included in the order of appointment;

9. The name and post office address of any proposed guardian or conservator or any guardian or conservator nominated by the respondent and that person's relationship to the respondent;

10. The native language of the respondent and any necessary alternative mode of communication;

11. A statement of the financial resources of the respondent that shall, to the extent known, list the approximate value of the respondent's property and the respondent's anticipated annual gross income, other receipts, and debts, contained in a separate confidential addendum, pursuant to § 64.2-2000.1;

12. A statement of whether the petitioner believes that the respondent's attendance at the hearing would be detrimental to the respondent's health, care, or safety; and

13. A request for appointment of a guardian ad litem.

*C. The petitioner shall complete and file with the petition for appointment of a guardian, conservator, or both, a cover sheet on a form prepared by the Office of the Executive Secretary of the Supreme Court of Virginia. Such cover sheet shall contain such information as the Executive Secretary deems necessary.***§ 64.2-2011. Qualification of guardian or conservator; clerk to record order and issue certificate; reliance on certificate.**

A. A guardian or conservator appointed in the court order shall qualify before the clerk upon the following:

60 1. Subscribing to an oath promising to faithfully perform the duties of the office in accordance with  
61 all provisions of this chapter;

62 2. Posting of bond, but no surety shall be required on the bond of the guardian, and the conservator's  
63 bond may be with or without surety, as ordered by the court; and

64 3. Acceptance in writing by the guardian or conservator of any educational materials provided by the  
65 court.

66 B. Upon qualification, the clerk shall issue to the guardian or conservator a certificate with a copy of  
67 the order of *appointment* appended thereto. The clerk shall record the order in the same manner as a  
68 power of attorney would be recorded and shall, in addition to the requirements of § 64.2-2014, provide a  
69 copy of the order to the commissioner of accounts. It shall be the duty of a conservator having the  
70 power to sell real estate to record the order in the office of the clerk of any jurisdiction where the  
71 respondent owns real property. If the order appoints a guardian, the clerk shall promptly forward a copy  
72 of the order of *appointment and a copy of the certificate of qualification* to the local department of  
73 social services in the jurisdiction where the respondent then resides and *a copy of the order of*  
74 *appointment* to the Department of Medical Assistance Services.

75 C. A conservator shall have all powers granted pursuant to § 64.2-2021 as are necessary and proper  
76 for the performance of his duties in accordance with this chapter, subject to the limitations that are  
77 prescribed in the order. The powers granted to a guardian shall only be those powers enumerated in the  
78 court order.

79 D. Any individual or entity conducting business in good faith with a guardian or conservator who  
80 presents a currently effective certificate of qualification may presume that the guardian or conservator is  
81 properly authorized to act as to any matter or transaction, except to the extent of any limitations upon  
82 the fiduciary's powers contained in the court's order of appointment.

83 1. A person that refuses in violation of this subsection to accept a certificate of qualification is  
84 subject to (i) a court order mandating acceptance of the certificate of qualification and (ii) liability for  
85 reasonable attorney fees and costs incurred in any action or proceeding that confirms the validity of the  
86 certificate of qualification or mandates acceptance of the certificate of qualification.

87 2. A person shall either accept or reject a certificate of qualification no later than seven business  
88 days after presentation of such certificate of qualification for acceptance. A person is not required to  
89 accept a certificate of qualification for a transaction if:

90 a. Engaging in the transaction with the guardian or conservator would be inconsistent with state or  
91 federal law;

92 b. The person has actual knowledge of the termination of the authority of the guardian or conservator  
93 or of the certificate of qualification before exercise of the power;

94 c. The person in good faith believes that the certificate of qualification is not valid or that the  
95 guardian or conservator does not have the authority to perform the act requested; or

96 d. The person believes in good faith that the transaction may involve, facilitate, result in, or  
97 contribute to financial exploitation.

98 **§ 64.2-2020. Annual reports by guardians.**

99 A. *A Within six months from the date of qualification, a guardian appointed pursuant to § 64.2-2009*  
100 *shall file an initial annual report in compliance with the filing deadlines in § 64.2-1305 reflecting the*  
101 *first four months of guardianship since qualification* with the local department of social services for the  
102 jurisdiction where the incapacitated person then resides. *After such initial annual report has been filed,*  
103 *the second and subsequent annual reports for each succeeding 12-month period shall be due within four*  
104 *months from the last day of the 12-month period covered by the previous annual report.* The annual  
105 report shall be on a form prepared by the Office of the Executive Secretary of the Supreme Court and  
106 shall be accompanied by a filing fee of \$5. To the extent practicable, the annual report shall be  
107 formatted in a manner to encourage standardized and detailed responses from guardians. The local  
108 department shall retain the fee in the jurisdiction where the fee is collected for use in the provision of  
109 services to adults in need of protection. Within 60 days of receipt of the annual report, the local  
110 department shall file a copy of the annual report with the clerk of the circuit court that appointed the  
111 guardian, to be placed with the court papers pertaining to the guardianship case. Twice each year the  
112 local department shall file with the clerk of the circuit court a list of all guardians who are more than 90  
113 days delinquent in filing an annual report as required by this section. If the guardian is also a  
114 conservator, a settlement of accounts shall also be filed with the commissioner of accounts as provided  
115 in § 64.2-1305.

116 B. The annual report to the local department of social services shall include:

117 1. A description of the current mental, physical, and social condition of the incapacitated person,  
118 including any change in diagnosis or assessment of any such condition of such incapacitated person by  
119 any medical provider since the last report;

120 2. A description of the incapacitated person's living arrangements during the reported period,  
121 including a specific assessment of the adequacy of such living arrangement;

122 3. The medical, educational, vocational, social, recreational, and any other professional services and  
123 activities provided to the incapacitated person and the guardian's opinion as to the adequacy of the  
124 incapacitated person's care. The information required by this subdivision shall include (i) the specific  
125 names of the medical providers that have treated the incapacitated person and a description of the  
126 frequency or number of times the incapacitated person was seen by such providers; (ii) the date and  
127 location of and reason for any hospitalization of such incapacitated person; and (iii) a description of the  
128 educational, vocational, social, and recreational activities in which such incapacitated person participated;

129 4. A statement of whether the guardian agrees with the current treatment or habilitation plan;

130 5. A statement of whether the incapacitated person has been an alleged victim in a report of abuse,  
131 neglect, or exploitation made pursuant to Article 2 (§ 63.2-1603 et seq.) of Chapter 16 of Title 63.2, to  
132 the extent known, and whether there are any other indications of abuse, neglect, or exploitation of such  
133 incapacitated person;

134 6. A recommendation as to the need for continued guardianship and any recommended changes in  
135 the scope of the guardianship;

136 7. The name of any persons whose access to communicate, visit, or interact with the incapacitated  
137 person has been restricted and the reasons for such restriction;

138 8. A self-assessment by the guardian as to whether he feels he is able to continue to carry out the  
139 powers and duties imposed upon him by § 64.2-2019 and as specified in the court's order of  
140 appointment pursuant to § 64.2-2009;

141 9. Unless the incapacitated person resides with the guardian, a statement of the frequency and nature  
142 of any (i) in-person visits from the guardian with the incapacitated person over the course of the  
143 previous year and (ii) visits over the course of the previous year from a designee who is directly  
144 supervised or contracted by the guardian, including the name of the designee performing such visit. If  
145 any visit described in this section is made virtually, the guardian shall include such information in the  
146 annual report;

147 10. If no visit is made within a 120-day period, the guardian shall describe any challenges or  
148 limitations in completing such visit;

149 11. A general description of the activities taken on by the guardian for the benefit of the  
150 incapacitated person during the past year;

151 12. Any other information deemed necessary by the Office of the Executive Secretary of the  
152 Supreme Court of Virginia or the Department for Aging and Rehabilitative Services to understand the  
153 condition, treatment, and well-being of the incapacitated person;

154 13. Any other information useful in the opinion of the guardian; and

155 14. The compensation requested and the reasonable and necessary expenses incurred by the guardian.  
156 The guardian shall certify by signing under oath that the information contained in the annual report  
157 is true and correct to the best of his knowledge. If a guardian makes a false entry or statement in the  
158 annual report, he shall be subject to a civil penalty of not more than \$500. Such penalty shall be  
159 collected by the attorney for the Commonwealth or the county or city attorney, and the proceeds shall  
160 be deposited into the general fund.

161 C. If the local department of social services files notice that the annual report has not been timely  
162 filed in accordance with subsection A with the clerk of the circuit court, the court may issue a summons  
163 or rule to show cause why the guardian has failed to file such annual report.

164 **2. That nothing in this act shall be construed to preclude the clerk of a circuit court from**  
165 **establishing and maintaining his own case management system or other independent technology**  
166 **system provided by a private vendor or the locality. Any data from such clerk's independent**  
167 **system may be provided directly from such clerk to designated state agencies or through an**  
168 **interface with the technology systems operated by the Office of the Executive Secretary of the**  
169 **Supreme Court of Virginia.**