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**SENATE BILL NO. 738**

Offered January 22, 2016

A *BILL to amend and reenact §§ 2.2-419, 2.2-3101, 2.2-3117, 30-101, and 30-111 of the Code of Virginia, relating to lobbyist reporting, State and Local Government Conflict of Interests Act, and General Assembly Conflicts of Interests Act; definition of gift.*

Patron—Norment

Referred to Committee on Rules

**Be it enacted by the General Assembly of Virginia:**

**1. That §§ 2.2-419, 2.2-3101, 2.2-3117, 30-101, and 30-111 of the Code of Virginia are amended and reenacted as follows:**

**§ 2.2-419. Definitions.**

As used in this article, unless the context requires a different meaning:

"Anything of value" means:

1. A pecuniary item, including money, or a bank bill or note;
  2. A promissory note, bill of exchange, order, draft, warrant, check, or bond given for the payment of money;
  3. A contract, agreement, promise, or other obligation for an advance, conveyance, forgiveness of indebtedness, deposit, distribution, loan, payment, gift, pledge, or transfer of money;
  4. A stock, bond, note, or other investment interest in an entity;
  5. A receipt given for the payment of money or other property;
  6. A right in action;
  7. A gift, tangible good, chattel, or an interest in a gift, tangible good, or chattel;
  8. A loan or forgiveness of indebtedness;
  9. A work of art, antique, or collectible;
  10. An automobile or other means of personal transportation;
  11. Real property or an interest in real property, including title to realty, a fee simple or partial interest, present or future, contingent or vested within realty, a leasehold interest, or other beneficial interest in realty;
  12. An honorarium or compensation for services;
  13. A rebate or discount in the price of anything of value unless the rebate or discount is made in the ordinary course of business to a member of the public without regard to that person's status as an executive or legislative official, or the sale or trade of something for reasonable compensation that would ordinarily not be available to a member of the public;
  14. A promise or offer of employment; or
  15. Any other thing of value that is pecuniary or compensatory in value to a person.
- "Anything of value" does not mean a campaign contribution properly received and reported pursuant to Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2.
- "Compensation" means:
1. An advance, conveyance, forgiveness of indebtedness, deposit, distribution, loan, payment, gift, pledge, or transfer of money or anything of value; or
  2. A contract, agreement, promise or other obligation for an advance, conveyance, forgiveness of indebtedness, deposit, distribution, loan, payment, gift, pledge, or transfer of money or anything of value, for services rendered or to be rendered.
- "Compensation" does not mean reimbursement of expenses if the reimbursement does not exceed the amount actually expended for the expenses and it is substantiated by an itemization of expenses.
- "Council" means the Virginia Conflict of Interest and Ethics Advisory Council established in § 30-355.
- "Executive action" means the proposal, drafting, development, consideration, amendment, adoption, approval, promulgation, issuance, modification, rejection, or postponement by an executive agency or official of legislation or executive orders issued by the Governor. "Executive action" includes procurement transactions.
- "Executive agency" means an agency, board, commission, or other body in the executive branch of state government. "Executive agency" includes the State Corporation Commission, the Virginia Workers' Compensation Commission, and the Virginia Lottery.
- "Executive official" means:
1. The Governor;

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SB738

- 59 2. The Lieutenant Governor;  
60 3. The Attorney General;  
61 4. Any officer or employee of the office of the Governor, Lieutenant Governor, or Attorney General  
62 other than a clerical or secretarial employee;  
63 5. The Governor's Secretaries, the Deputy Secretaries, and the chief executive officer of each  
64 executive agency; or  
65 6. Members of supervisory and policy boards, commissions and councils, as defined in § 2.2-2100,  
66 however selected.
- 67 "Expenditure" means:  
68 1. A purchase, payment, distribution, loan, forgiveness of a loan or payment of a loan by a third  
69 party, advance, deposit, transfer of funds, a promise to make a payment, or a gift of money or anything  
70 of value for any purpose;  
71 2. A payment to a lobbyist for salary, fee, reimbursement for expenses, or other purpose by a person  
72 employing, retaining, or contracting for the services of the lobbyist separately or jointly with other  
73 persons;  
74 3. A payment in support of or assistance to a lobbyist or the lobbyist's activities, including the direct  
75 payment of expenses incurred at the request or suggestion of the lobbyist;  
76 4. A payment that directly benefits an executive or legislative official or a member of the official's  
77 immediate family;  
78 5. A payment, including compensation, payment, or reimbursement for the services, time, or expenses  
79 of an employee for or in connection with direct communication with an executive or legislative official;  
80 6. A payment for or in connection with soliciting or urging other persons to enter into direct  
81 communication with an executive or legislative official; or  
82 7. A payment or reimbursement for categories of expenditures required to be reported pursuant to  
83 this chapter.
- 84 "Expenditure" does not mean a campaign contribution properly received and reported pursuant to  
85 Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2.
- 86 "Fair market value" means the price that a good or service would bring between a willing seller and  
87 a willing buyer in the open market after negotiations. If the fair market value cannot be determined, the  
88 actual price paid for the good or service shall be given consideration.
- 89 "Gift" means anything of value, including any gratuity, favor, discount, entertainment, hospitality,  
90 loan, forbearance, or other item having monetary value, and includes services as well as gifts of  
91 transportation, local travel, lodgings, and meals, whether provided in-kind or by purchase of a ticket,  
92 payment in advance, or reimbursement after the expense has been incurred.
- 93 "Gift" does not mean:  
94 1. Printed informational or promotional material;  
95 2. A gift that is not used and, no later than 60 days after receipt, is returned to the donor or  
96 delivered to a charitable organization and is not claimed as a charitable contribution for federal income  
97 tax purposes;  
98 3. A devise or inheritance;  
99 4. A gift of a value of \$50 or less *than* \$20;  
100 5. Any offer of a ticket, coupon, or other admission or pass unless the ticket, coupon, admission, or  
101 pass is used;  
102 6. Any food or beverages provided to an individual at an event at which the individual is performing  
103 official duties related to his public service;  
104 7. Any food and beverages received at or registration or attendance fees waived for any event at  
105 which the individual is a featured speaker, presenter, or lecturer;  
106 8. An unsolicited award of appreciation or recognition in the form of a plaque, trophy, wall  
107 memento, or similar item that is given in recognition of public, civic, charitable, or professional service;  
108 9. Any gift from an individual's spouse, child, uncle, aunt, niece, nephew, or first cousin; a person to  
109 whom the donee is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild,  
110 brother, sister, step-parent, step-grandparent, step-grandchild, step-brother, or step-sister; or the donee's  
111 brother's or sister's spouse;  
112 10. Travel provided to facilitate attendance by a legislator at a regular or special session of the  
113 General Assembly, a meeting of a legislative committee or commission, or a national conference where  
114 attendance is approved by the House or Senate Committee on Rules; or  
115 11. Travel related to an official meeting of the Commonwealth, its political subdivisions, or any  
116 board, commission, authority, or other entity, or any charitable organization established pursuant to  
117 § 501(c)(3) of the Internal Revenue Code affiliated with such entity, to which such person has been  
118 appointed or elected or is a member by virtue of his office or employment.
- 119 "Immediate family" means (i) the spouse and (ii) any other person who resides in the same  
120 household as the executive or legislative official and who is a dependent of the official.

"Legislative action" means:

1. Preparation, research, drafting, introduction, consideration, modification, amendment, approval, passage, enactment, tabling, postponement, defeat, or rejection of a bill, resolution, amendment, motion, report, nomination, appointment, or other matter by the General Assembly or a legislative official;

2. Action by the Governor in approving, vetoing, or recommending amendments for a bill passed by the General Assembly; or

3. Action by the General Assembly in overriding or sustaining a veto by the Governor, considering amendments recommended by the Governor, or considering, confirming, or rejecting an appointment of the Governor.

"Legislative official" means:

1. A member or member-elect of the General Assembly;

2. A member of a committee, subcommittee, commission, or other entity established by and responsible to the General Assembly or either house of the General Assembly; or

3. Persons employed by the General Assembly or an entity established by and responsible to the General Assembly.

"Lobbying" means:

1. Influencing or attempting to influence executive or legislative action through oral or written communication with an executive or legislative official; or

2. Solicitation of others to influence an executive or legislative official.

"Lobbying" does not mean:

1. Requests for appointments, information on the status of pending executive and legislative actions, or other ministerial contacts if there is no attempt to influence executive or legislative actions;

2. Responses to published notices soliciting public comment submitted to the public official designated in the notice to receive the responses;

3. The solicitation of an association by its members to influence legislative or executive action; or

4. Communications between an association and its members and communications between a principal and its lobbyists.

"Lobbyist" means:

1. An individual who is employed and receives payments, or who contracts for economic consideration, including reimbursement for reasonable travel and living expenses, for the purpose of lobbying;

2. An individual who represents an organization, association, or other group for the purpose of lobbying; or

3. A local government employee who lobbies.

"Lobbyist's principal" or "principal" means the entity on whose behalf the lobbyist influences or attempts to influence executive or legislative action. An organization whose employees conduct lobbying activities on its behalf is both a principal and an employer of the lobbyists. In the case of a coalition or association that employs or retains others to conduct lobbying activities on behalf of its membership, the principal is the coalition or association and not its individual members.

"Local government" means:

1. Any county, city, town, or other local or regional political subdivision;

2. Any school division;

3. Any organization or entity that exercises governmental powers that is established pursuant to an interstate compact; or

4. Any organization composed of members representing entities listed in subdivisions 1, 2, or 3 of this definition.

"Local government employee" means a public employee of a local government.

"Person" means an individual, proprietorship, firm, partnership, joint venture, joint stock company, syndicate, business trust, estate, company, corporation, association, club, committee, organization, or group of persons acting in concert.

"Procurement transaction" means all functions that pertain to obtaining all goods, services, or construction on behalf of an executive agency, including description of requirements, selection and solicitation of sources, preparation and award of contract, and all phases of contract administration.

"Secretary" means the Secretary of the Commonwealth.

"Value" means the actual cost or fair market value of an item or items, whichever is greater. If the fair market value cannot be determined, the actual amount paid for the item or items shall be given consideration.

"Widely attended event" means an event at which at least 25 persons have been invited to attend or there is a reasonable expectation that at least 25 persons will attend the event and the event is open to individuals (i) who share a common interest, (ii) who are members of a public, civic, charitable, or professional organization, (iii) who are from a particular industry or profession, or (iv) who represent

182 persons interested in a particular issue.

183 **§ 2.2-3101. Definitions.**

184 As used in this chapter, unless the context requires a different meaning:

185 "Advisory agency" means any board, commission, committee or post which does not exercise any  
186 sovereign power or duty, but is appointed by a governmental agency or officer or is created by law for  
187 the purpose of making studies or recommendations, or advising or consulting with a governmental  
188 agency.

189 "Affiliated business entity relationship" means a relationship, other than a parent-subsidary  
190 relationship, that exists when (i) one business entity has a controlling ownership interest in the other  
191 business entity, (ii) a controlling owner in one entity is also a controlling owner in the other entity, or  
192 (iii) there is shared management or control between the business entities. Factors that may be considered  
193 in determining the existence of an affiliated business entity relationship include that the same person or  
194 substantially the same person owns or manages the two entities, there are common or commingled funds  
195 or assets, the business entities share the use of the same offices or employees, or otherwise share  
196 activities, resources or personnel on a regular basis, or there is otherwise a close working relationship  
197 between the entities.

198 "Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise,  
199 association, trust or foundation, or any other individual or entity carrying on a business or profession,  
200 whether or not for profit.

201 "Candidate" means a person who seeks or campaigns for an office of the Commonwealth or one of  
202 its governmental units in a general, primary, or special election and who is qualified to have his name  
203 placed on the ballot for the office. The candidate shall become subject to the provisions of this chapter  
204 upon the filing of a statement of qualification pursuant to § 24.2-501. The State Board of Elections or  
205 general registrar shall notify each such candidate of the provisions of this chapter. Notification made by  
206 the general registrar shall consist of information developed by the State Board of Elections.

207 "Contract" means any agreement to which a governmental agency is a party, or any agreement on  
208 behalf of a governmental agency that involves the payment of money appropriated by the General  
209 Assembly or a political subdivision, whether or not such agreement is executed in the name of the  
210 Commonwealth, or some political subdivision thereof. "Contract" includes a subcontract only when the  
211 contract of which it is a part is with the officer's or employee's own governmental agency.

212 "Council" means the Virginia Conflict of Interest and Ethics Advisory Council established in  
213 § 30-355.

214 "Employee" means all persons employed by a governmental or advisory agency, unless otherwise  
215 limited by the context of its use.

216 "Financial institution" means any bank, trust company, savings institution, industrial loan association,  
217 consumer finance company, credit union, broker-dealer as defined in subsection A of § 13.1-501, or  
218 investment company or advisor registered under the federal Investment Advisors Act or Investment  
219 Company Act of 1940.

220 "Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item  
221 having monetary value. It includes services as well as gifts of transportation, local travel, lodgings and  
222 meals, whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the  
223 expense has been incurred. "Gift" does not include (i) any offer of a ticket, coupon, or other admission  
224 or pass unless the ticket, coupon, admission, or pass is used; (ii) honorary degrees; (iii) any athletic,  
225 merit, or need-based scholarship or any other financial aid awarded by a public or private school,  
226 institution of higher education, or other educational program pursuant to such school, institution, or  
227 program's financial aid standards and procedures applicable to the general public; (iv) a campaign  
228 contribution properly received and reported pursuant to Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2;  
229 (v) any gift related to the private profession or occupation of an officer or employee or of a member of  
230 his immediate family; (vi) food or beverages consumed while attending an event at which the filer is  
231 performing official duties related to his public service; (vii) food and beverages received at or  
232 registration or attendance fees waived for any event at which the filer is a featured speaker, presenter, or  
233 lecturer; (viii) unsolicited awards of appreciation or recognition in the form of a plaque, trophy, wall  
234 memento, or similar item that is given in recognition of public, civic, charitable, or professional service;  
235 (ix) a devise or inheritance; (x) travel disclosed pursuant to the Campaign Finance Disclosure Act  
236 (§ 24.2-945 et seq.); (xi) travel paid for or provided by the government of the United States, any of its  
237 territories, or any state or any political subdivision of such state; (xii) travel provided to facilitate  
238 attendance by a legislator at a regular or special session of the General Assembly, a meeting of a  
239 legislative committee or commission, or a national conference where attendance is approved by the  
240 House or Senate Committee on Rules; (xiii) travel related to an official meeting of the Commonwealth,  
241 its political subdivisions, or any board, commission, authority, or other entity, or any charitable  
242 organization established pursuant to § 501(c)(3) of the Internal Revenue Code affiliated with such entity,  
243 to which such person has been appointed or elected or is a member by virtue of his office or

employment; ~~or~~ (xiv) gifts from relatives or personal friends; *or (xv) gifts with a value of less than \$20.* For the purpose of this definition, "relative" means the donee's spouse, child, uncle, aunt, niece, nephew, or first cousin; a person to whom the donee is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother, sister, step-parent, step-grandparent, step-grandchild, step-brother, or step-sister; or the donee's brother's or sister's spouse. For the purpose of this definition, "personal friend" does not include any person that the filer knows or has reason to know is (a) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4 of Title 2.2; (b) a lobbyist's principal as defined in § 2.2-419; (c) for an officer or employee of a local governmental or advisory agency, a person, organization, or business who is a party to or is seeking to become a party to a contract with the local agency of which he is an officer or an employee; or (d) for an officer or employee of a state governmental or advisory agency, a person, organization, or business who is a party to or is seeking to become a party to a contract with the Commonwealth. For purposes of this definition, "person, organization, or business" includes individuals who are officers, directors, or owners of or who have a controlling ownership interest in such organization or business.

"Governmental agency" means each component part of the legislative, executive or judicial branches of state and local government, including each office, department, authority, post, commission, committee, and each institution or board created by law to exercise some regulatory or sovereign power or duty as distinguished from purely advisory powers or duties. Corporations organized or controlled by the Virginia Retirement System are "governmental agencies" for purposes of this chapter.

"Immediate family" means (i) a spouse and (ii) any other person who resides in the same household as the officer or employee and who is a dependent of the officer or employee.

"Officer" means any person appointed or elected to any governmental or advisory agency including local school boards, whether or not he receives compensation or other emolument of office. Unless the context requires otherwise, "officer" includes members of the judiciary.

"Parent-subsidiary relationship" means a relationship that exists when one corporation directly or indirectly owns shares possessing more than 50 percent of the voting power of another corporation.

"Personal interest" means a financial benefit or liability accruing to an officer or employee or to a member of his immediate family. Such interest shall exist by reason of (i) ownership in a business if the ownership interest exceeds three percent of the total equity of the business; (ii) annual income that exceeds, or may reasonably be anticipated to exceed, \$5,000 from ownership in real or personal property or a business; (iii) salary, other compensation, fringe benefits, or benefits from the use of property, or any combination thereof, paid or provided by a business or governmental agency that exceeds, or may reasonably be anticipated to exceed, \$5,000 annually; (iv) ownership of real or personal property if the interest exceeds \$5,000 in value and excluding ownership in a business, income, or salary, other compensation, fringe benefits or benefits from the use of property; (v) personal liability incurred or assumed on behalf of a business if the liability exceeds three percent of the asset value of the business; or (vi) an option for ownership of a business or real or personal property if the ownership interest will consist of clause (i) or (iv) above.

"Personal interest in a contract" means a personal interest that an officer or employee has in a contract with a governmental agency, whether due to his being a party to the contract or due to a personal interest in a business that is a party to the contract.

"Personal interest in a transaction" means a personal interest of an officer or employee in any matter considered by his agency. Such personal interest exists when an officer or employee or a member of his immediate family has a personal interest in property or a business or governmental agency, or represents or provides services to any individual or business and such property, business or represented or served individual or business (i) is the subject of the transaction or (ii) may realize a reasonably foreseeable direct or indirect benefit or detriment as a result of the action of the agency considering the transaction. Notwithstanding the above, such personal interest in a transaction shall not be deemed to exist where (a) an elected member of a local governing body serves without remuneration as a member of the board of trustees of a not-for-profit entity and such elected member or member of his immediate family has no personal interest related to the not-for-profit entity or (b) an officer, employee, or elected member of a local governing body is appointed by such local governing body to serve on a governmental agency, or an officer, employee, or elected member of a separate local governmental agency formed by a local governing body is appointed to serve on a governmental agency, and the personal interest in the transaction of the governmental agency is the result of the salary, other compensation, fringe benefits, or benefits provided by the local governing body or the separate governmental agency to the officer, employee, elected member, or member of his immediate family.

"State and local government officers and employees" shall not include members of the General Assembly.

"State filer" means those officers and employees required to file a disclosure statement of their personal interests pursuant to subsection A or B of § 2.2-3114.

"Transaction" means any matter considered by any governmental or advisory agency, whether in a committee, subcommittee, or other entity of that agency or before the agency itself, on which official action is taken or contemplated.

**§ 2.2-3117. Disclosure form.**

(Effective from January 1, 2016, until July 1, 2016) The disclosure form to be used for filings required by subsections A and D of § 2.2-3114 and subsections A and E of § 2.2-3115 shall be substantially similar to the following. Any person who knowingly and intentionally makes a false statement of a material fact on the Statement of Economic Interests is guilty of a Class 5 felony.

(Effective July 1, 2016) The disclosure form to be used for filings required by subsections A and D of § 2.2-3114 and subsections A and E of § 2.2-3115 shall be substantially similar to the following. Except as otherwise provided in § 2.2-3115, all completed forms shall be filed electronically with the Council in accordance with the standards approved by it pursuant to § 30-356. Any person who knowingly and intentionally makes a false statement of a material fact on the Statement of Economic Interests is guilty of a Class 5 felony.

**STATEMENT OF ECONOMIC INTERESTS.**

Name \_\_\_\_\_

Office or position held or sought \_\_\_\_\_

Address \_\_\_\_\_

Names of members of immediate family \_\_\_\_\_

**DEFINITIONS AND EXPLANATORY MATERIAL.**

"Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise, association, trust or foundation, or any other individual or entity carrying on a business or profession, whether or not for profit.

"Close financial association" means an association in which the person filing shares significant financial involvement with an individual and the filer would reasonably be expected to be aware of the individual's business activities and would have access to the necessary records either directly or through the individual. "Close financial association" does not mean an association based on (i) the receipt of retirement benefits or deferred compensation from a business by which the person filing this statement is no longer employed, or (ii) the receipt of compensation for work performed by the person filing as an independent contractor of a business that represents an entity before any state governmental agency when the person filing has had no communications with the state governmental agency.

"Contingent liability" means a liability that is not presently fixed or determined, but may become fixed or determined in the future with the occurrence of some certain event.

"Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item having monetary value. It includes services as well as gifts of transportation, lodgings and meals, whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the expense has been incurred. "Gift" does not include (i) any offer of a ticket, coupon, or other admission or pass unless the ticket, coupon, admission, or pass is used; (ii) honorary degrees; (iii) any athletic, merit, or need-based scholarship or any other financial aid awarded by a public or private school, institution of higher education, or other educational program pursuant to such school, institution, or program's financial aid standards and procedures applicable to the general public; (iv) a campaign contribution properly received and reported pursuant to Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2; (v) any gift related to the private profession or occupation of an officer or employee or of a member of his immediate family; (vi) food or beverages consumed while attending an event at which the filer is performing official duties related to his public service; (vii) food and beverages received at or registration or attendance fees waived for any event at which the filer is a featured speaker, presenter, or lecturer; (viii) unsolicited awards of appreciation or recognition in the form of a plaque, trophy, wall memento, or similar item that is given in recognition of public, civic, charitable, or professional service; (ix) a devise or inheritance; (x) travel disclosed pursuant to the Campaign Finance Disclosure Act (§ 24.2-945 et seq.); (xi) travel paid for or provided by the government of the United States, any of its territories, or any state or any political subdivision of such state; (xii) travel provided to facilitate attendance by a legislator at a regular or special session of the General Assembly, a meeting of a legislative committee or commission, or a national conference where attendance is approved by the House or Senate Committee on Rules; (xiii) travel related to an official meeting of the Commonwealth, its political subdivisions, or any board, commission, authority, or other entity, or any charitable organization established pursuant to § 501(c)(3) of the Internal Revenue Code affiliated with such entity, to which such person has been appointed or elected or is a member by virtue of his office or employment; ~~or~~ (xiv) gifts from relatives or personal friends; *or (xv) gifts with a value of less than \$20.*

"Relative" means the donee's spouse, child, uncle, aunt, niece, nephew, or first cousin; a person to whom the donee is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother, sister, step-parent, step-grandparent, step-grandchild, step-brother, or step-sister; or the donee's brother's or sister's spouse. "Personal friend" does not include any person that the filer knows or has

reason to know is (a) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4 of Title 2.2; (b) a lobbyist's principal as defined in § 2.2-419; (c) for an officer or employee of a local governmental or advisory agency, a person, organization, or business who is a party to or is seeking to become a party to a contract with the local agency of which he is an officer or an employee; or (d) for an officer or employee of a state governmental or advisory agency, a person, organization, or business who is a party to or is seeking to become a party to a contract with the Commonwealth. "Person, organization, or business" includes individuals who are officers, directors, or owners of or who have a controlling ownership interest in such organization or business.

"Immediate family" means (i) a spouse and (ii) any other person who resides in the same household as the officer or employee and who is a dependent of the officer or employee.

TRUST. If you or your immediate family, separately or together, are the only beneficiaries of a trust, treat the trust's assets as if you own them directly. If you or your immediate family has a proportional interest in a trust, treat that proportion of the trust's assets as if you own them directly. For example, if you and your immediate family have a one-third interest in a trust, complete your Statement as if you own one-third of each of the trust's assets. If you or a member of your immediate family created a trust and can revoke it without the beneficiaries' consent, treat its assets as if you own them directly.

REPORT TO THE BEST OF INFORMATION AND BELIEF. Information required on this Statement must be provided on the basis of the best knowledge, information, and belief of the individual filing the Statement as of the date of this report unless otherwise stated.

COMPLETE ITEMS 1 THROUGH 10. REFER TO SCHEDULES ONLY IF DIRECTED.

You may attach additional explanatory information.

1. Offices and Directorships.

Are you or a member of your immediate family a paid officer or paid director of a business?

EITHER check NO // OR check YES // and complete Schedule A.

2. Personal Liabilities.

Do you or a member of your immediate family owe more than \$5,000 to any one creditor including contingent liabilities? (Exclude debts to any government and loans secured by recorded liens on property at least equal in value to the loan.)

EITHER check NO // OR check YES // and complete Schedule B.

3. Securities.

Do you or a member of your immediate family, directly or indirectly, separately or together, own securities valued in excess of \$5,000 invested in one business? Account for mutual funds, limited partnerships and trusts.

EITHER check NO // OR check YES // and complete Schedule C.

4. Payments for Talks, Meetings, and Publications.

During the past six months did you receive in your capacity as an officer or employee of your agency lodging, transportation, money, or anything else of value with a combined value exceeding \$100 (i) for a single talk, meeting, or published work or (ii) for a meeting, conference, or event where your attendance at the meeting, conference, or event was designed to (a) educate you on issues relevant to your duties as an officer or employee of your agency or (b) enhance your knowledge and skills relative to your duties as an officer or employee of your agency?

EITHER check NO // OR check YES // and complete Schedule D.

5. Gifts.

During the past six months did a business, government, or individual other than a relative or personal friend (i) furnish you or a member of your immediate family with any gift or entertainment at a single event and the value received exceeded \$50 or (ii) furnish you or a member of your immediate family with gifts or entertainment in any combination and the total value received exceeded \$50, and for which you or the member of your immediate family neither paid nor rendered services in exchange? Account for entertainment events only if the average value per person attending the event exceeded \$50. Account for all business entertainment (except if related to the private profession or occupation of you or the member of your immediate family who received such business entertainment) even if unrelated to your official duties.

EITHER check NO // OR check YES // and complete Schedule E.

6. Salary and Wages.

List each employer that pays you or a member of your immediate family salary or wages in excess of \$5,000 annually. (Exclude state or local government or advisory agencies.)

If no reportable salary or wages, check here //.

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7. Business Interests.





RETURN TO ITEM 2

**SCHEDULE B — PERSONAL LIABILITIES.**

Report personal liability by checking each category. Report only debts in excess of \$5,000. Do not report debts to any government. Do not report loans secured by recorded liens on property at least equal in value to the loan.

Report contingent liabilities below and indicate which debts are contingent.

1. My personal debts are as follows:

Check appropriate categories	Check one	
	\$5,001 to \$50,000	More than \$50,000
Banks	_____	_____
Savings institutions	_____	_____
Other loan or finance companies	_____	_____
Insurance companies	_____	_____
Stock, commodity or other brokerage companies	_____	_____
Other businesses:		
(State principal business activity for each creditor and its name.)		
_____	_____	_____
_____	_____	_____
Individual creditors:		
(State principal business or occupation of each creditor and its name.)		
_____	_____	_____
_____	_____	_____

2. The personal debts of the members of my immediate family are as follows:

Check appropriate categories	Check one	
	\$5,001 to \$50,000	More than \$50,000
Banks	_____	_____
Savings institutions	_____	_____
Other loan or finance companies	_____	_____
Insurance companies	_____	_____
Stock, commodity or other brokerage companies	_____	_____
Other businesses:		
(State principal business activity for each creditor and its name.)		
_____	_____	_____
_____	_____	_____
Individual creditors:		
(State principal business or occupation of each creditor and its name.)		
_____	_____	_____
_____	_____	_____

RETURN TO ITEM 3

**SCHEDULE C — SECURITIES.**

"Securities" INCLUDES stocks, bonds, mutual funds, limited partnerships, and commodity futures

546 contracts.

547 "Securities" EXCLUDES certificates of deposit, money market funds, annuity contracts, and  
548 insurance policies.

549 Identify each business or Virginia governmental entity in which you or a member of your immediate  
550 family, directly or indirectly, separately or together, own securities valued in excess of \$5,000. Name  
551 each issuer and type of security individually.

552 Do not list U.S. Bonds or other government securities not issued by the Commonwealth of Virginia  
553 or its authorities, agencies, or local governments. Do not list organizations that do not do business in  
554 this Commonwealth, but most major businesses conduct business in Virginia. Account for securities held  
555 in trust.

556 If no reportable securities, check here / /.

557					
558					
559			Check one		
560		Type of Security	\$5,001	\$50,001	More
561		(stocks, bonds, mutual	to	to	than
562	Name of Issuer	funds, etc.)	\$50,000	\$250,000	\$250,000
563					
564					
565					
566					
567					

RETURN TO ITEM 4

#### 568 SCHEDULE D — PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.

569 List each source from which you received during the past six months in your capacity as an officer  
571 or employee of your agency lodging, transportation, money, or any other thing of value with combined  
572 value exceeding \$100 (i) for your presentation of a single talk, participation in one meeting, or  
573 publication of a work or (ii) for your attendance at a meeting, conference, or event where your  
574 attendance at the meeting, conference, or event was designed to (a) educate you on issues relevant to  
575 your duties as an officer or employee of your agency or (b) enhance your knowledge and skills relative  
576 to your duties as an officer or employee of your agency. Any lodging, transportation, money, or other  
577 thing of value received by an officer or employee that does not satisfy the provisions of clause (i), (ii)  
578 (a), or (ii) (b) shall be listed as a gift on Schedule E.

579 List payments or reimbursements by an advisory or governmental agency only for meetings or travel  
580 outside the Commonwealth.

581 List a payment even if you donated it to charity.

582 Do not list information about a payment if you returned it within 60 days or if you received it from  
583 an employer already listed under Item 6 or from a source of income listed on Schedule F.

584 If no payment must be listed, check here / /.

585				
586				
587				Type of payment
588				(e.g., honoraria,
589				travel reimburse-
590	Payer	Approximate Value	Circumstances	ment, etc.)
591				
592				
593				
594				
595				

RETURN TO ITEM 5

#### 596 SCHEDULE E — GIFTS.

597 List each business, governmental entity, or individual that, during the past six months, (i) furnished  
598 you or a member of your immediate family with any gift or entertainment at a single event, and the  
599 value received exceeded \$50 or (ii) furnished you or a member of your immediate family with gifts or  
600 entertainment in any combination and the total value received exceeded \$50, and for which you or the  
601 member of your immediate family neither paid nor rendered services in exchange. List each such gift or  
602 event. Do not list entertainment events unless the average value per person attending the event exceeded  
603 \$50. Do not list business entertainment related to the private profession or occupation of you or the  
604 member of your immediate family who received such business entertainment. Do not list gifts or other  
605

things of value given by a relative or personal friend for reasons clearly unrelated to your public position. Do not list campaign contributions publicly reported as required by Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2 of the Code of Virginia.

Name of Recipient	Name of Business, Organization, or Individual	City or County and State	Exact Gift or Event	Approximate Value

RETURN TO ITEM 6

#### SCHEDULE F — BUSINESS INTERESTS.

Complete this Schedule for each self-owned or family-owned business (including rental property, a farm, or consulting work), partnership, or corporation in which you or a member of your immediate family, separately or together, own an interest having a value in excess of \$5,000.

If the enterprise is owned or operated under a trade, partnership, or corporate name, list that name; otherwise, merely explain the nature of the enterprise. If rental property is owned or operated under a trade, partnership, or corporate name, list the name only; otherwise, give the address of each property. Account for business interests held in trust.

Name of Business, Corporation, Partnership, Farm; Address of Rental Property	City or County and State	Nature of Enterprise (farming, law, rental property, etc.)	\$50,001 to \$250,000 or less	\$50,001 to \$250,000	More than \$250,000

RETURN TO ITEM 8

#### SCHEDULE G-1 — PAYMENTS FOR REPRESENTATION BY YOU.

List the businesses you represented, excluding activity defined as lobbying in § 2.2-419, before any state governmental agency, excluding any court or judge, for which you received total compensation during the past six months in excess of \$1,000, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers filed by you.

Identify each business, the nature of the representation and the amount received by dollar category from each such business. You may state the type, rather than name, of the business if you are required by law not to reveal the name of the business represented by you.

Only STATE officers and employees should complete this Schedule.

Name of Business	Type of Business	Purpose of Representation	Name of Agency	\$1,001 to \$10,000	\$10,001 to \$50,000	\$50,001 to \$100,000	\$100,001 to \$250,000	\$250,001 and over

**666** Amount Received: \_\_\_\_\_.

**SCHEDULE G-2 — PAYMENTS FOR REPRESENTATION BY ASSOCIATES.** List the businesses that have been represented, excluding activity defined as lobbying in § 2.2-419, before any state governmental agency, excluding any court or judge, by persons who are your partners, associates or others with whom you have a close financial association and who received total compensation in excess of \$1,000 for such representation during the past six months, excluding representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers filed by your partners, associates or others with whom you have a close financial association.

**676** Only STATE officers and employees should complete this Schedule.

678

680

682

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684

Indicate below types of businesses that operate in Virginia to which services were furnished by you or persons with whom you have a close financial association pursuant to an agreement between you and such businesses, or between persons with whom you have a close financial association and such businesses and for which total compensation in excess of \$1,000 was received during the past six months. Services reported in this Schedule shall not include services involving the representation of businesses that are reported in Schedule G-1 or G-2.

695

697	Check	Value of Compensation
-----	-------	-----------------------

699 ser- of

701	were vice	\$1,001	\$10,001	\$50,001	\$100,001	\$250,001
-----	-----------	---------	----------	----------	-----------	-----------

702	ren	ren	ss	ss	ss	ss	and
703	dered	dered	\$10,000	\$50,000	\$100,000	\$250,000	over

704	Electric utilities								
705	Gas utilities								

706	Telephone services _____
707	Water utilities _____

**709** cable television companies

```

710 interstate
711 transportation

```

712	companies	_____	_____	_____	_____	_____	_____
713	Intrastate	_____	_____	_____	_____	_____	_____

714 transportation  
715 companies

716 Oil or gas retail  
717 companies

<b>710</b>	Banks	_____	_____	_____	_____	_____	_____
<b>719</b>	Savings institutions	_____	_____	_____	_____	_____	_____

720 Loan of finance  
721 companies

721 \_\_\_\_\_ companies \_\_\_\_\_

722	Manufacturing						
723	companies (state						
724	type of product,						
725	e.g., textile,						
726	furniture, etc.)						
727	Mining companies						
728	Life insurance						
729	companies						
730	Casualty insurance						
731	companies						
732	Other insurance						
733	companies						
734	Retail companies						
735	Beer, wine or liquor						
736	companies or						
737	distributors						
738	Trade associations						
739	Professional						
740	associations						
741	Associations of						
742	public employees						
743	or officials						
744	Counties, cities						
745	or towns						
746	Labor organizations						
747	Other						

RETURN TO ITEM 9

**SCHEDULE H-1 — REAL ESTATE — STATE OFFICERS AND EMPLOYEES.**

List real estate other than your principal residence in which you or a member of your immediate family holds an interest, including a partnership interest, option, easement, or land contract, valued at more than \$5,000. Each parcel shall be listed individually.

756		Describe the type of real	
757	List each location	estate you own in each	If the real estate is
758	(state, and county	location (business, recre-	owned or recorded in
759	or city) where you	ational, apartment, com-	a name other than your
760	own real estate.	mercial, open land, etc.).	own, list that name.
761			
762			
763			
764			
765			

**SCHEDULE H-2 — REAL ESTATE — LOCAL OFFICERS AND EMPLOYEES.**

List real estate other than your principal residence in which you or a member of your immediate family holds an interest, including a partnership interest or option, easement, or land contract, valued at more than \$5,000. Each parcel shall be listed individually. Also list the names of any co-owners of such property, if applicable.

774		Describe the type	
775		of real estate	
776		you own in	
777		each location	If the real estate
778	List each location (business,		is owned or rec-

779	(state, and county recreational,	ordered in a name	
780	or city) where apartment, com-	other than your	List the names
781	you own real merical, open	own, list that	of any co-owners,
782	estate. land, etc.).	name.	if applicable.
783	_____	_____	_____
784	_____	_____	_____
785	_____	_____	_____
786	_____	_____	_____
787	_____	_____	_____
788	_____	_____	_____

#### 789 SCHEDULE I — REAL ESTATE CONTRACTS WITH GOVERNMENTAL AGENCIES.

790 List all contracts, whether pending or completed within the past six months, with a governmental  
 791 agency for the sale or exchange of real estate in which you or a member of your immediate family  
 792 holds an interest, including a corporate, partnership or trust interest, option, easement, or land contract,  
 793 valued at more than \$10,000. List all contracts with a governmental agency for the lease of real estate in  
 794 which you or a member of your immediate family holds such an interest valued at more than \$1,000.  
 795 This requirement to disclose an interest in a lease does not apply to an interest derived through an  
 796 ownership interest in a business unless the ownership interest exceeds three percent of the total equity of  
 797 the business.

798 State officers and employees report contracts with state agencies.

799 Local officers and employees report contracts with local agencies.

800	_____	_____	_____
801	_____	_____	_____
802	List your real estate		
803	interest and the		
804	person or entity,		
805	including the type		
806	of entity, which		
807	is party to		
808	the contract.		State the annual
809	Describe any		income from the
810	management role and	List each governmental	contract, and the
811	the percentage	agency which is a	amount, if any, of
812	ownership	party to the contract	income you or any
813	interest you or your	and indicate the	immediate family
814	immediate family	county or city where	member derives
815	member has in the real	the real estate	annually from the
816	estate or entity.	is located.	contract.
817	_____	_____	_____
818	_____	_____	_____
819	_____	_____	_____
820	_____	_____	_____
821	_____	_____	_____
822	_____	_____	_____

#### 823 § 30-101. Definitions.

824 As used in this chapter, unless the context requires a different meaning:

825 "Advisory agency" means any board, commission, committee or post which does not exercise any  
 826 sovereign power or duty, but is appointed by a governmental agency or officer or is created by law for  
 827 the purpose of making studies or recommendations, or advising or consulting with a governmental  
 828 agency.

829 "Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise,  
 830 association, trust or foundation, or any other individual or entity carrying on a business or profession,  
 831 whether or not for profit.

832 "Candidate" means a person who seeks or campaigns for election to the General Assembly in a  
 833 general, primary, or special election and who is qualified to have his name placed on the ballot for the  
 834 office. The candidate shall become subject to the provisions of this section upon the filing of a  
 835 statement of qualification pursuant to § 24.2-501. The State Board of Elections shall notify each such  
 836 candidate of the provisions of this chapter.

837 "Contract" means any agreement to which a governmental agency is a party, or any agreement on

behalf of a governmental agency that involves the payment of money appropriated by the General Assembly or a political subdivision, whether or not such agreement is executed in the name of the Commonwealth, or some political subdivision thereof. "Contract" includes a subcontract only when the contract of which it is a part is with the legislator's own governmental agency.

"Council" means the Virginia Conflict of Interest and Ethics Advisory Council established in § 30-355.

"Financial institution" means any bank, trust company, savings institution, industrial loan association, consumer finance company, credit union, broker-dealer as defined in subsection A of § 13.1-501, or investment company or advisor registered under the federal Investment Advisors Act or Investment Company Act of 1940.

"Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item having monetary value. It includes services as well as gifts of transportation, lodgings and meals, whether provided in-kind, by purchase of a ticket, payment in advance, or reimbursement after the expense has been incurred. "Gift" does not include (i) any offer of a ticket, coupon, or other admission or pass unless the ticket, coupon, admission, or pass is used; (ii) honorary degrees; (iii) any athletic, merit, or need-based scholarship or any other financial aid awarded by a public or private school, institution of higher education, or other educational program pursuant to such school, institution, or program's financial aid standards and procedures applicable to the general public; (iv) a campaign contribution properly received and reported pursuant to Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2; (v) any gift related to the private profession or occupation of a legislator or of a member of his immediate family; (vi) food or beverages consumed while attending an event at which the filer is performing official duties related to his public service; (vii) food and beverages received at or registration or attendance fees waived for any event at which the filer is a featured speaker, presenter, or lecturer; (viii) unsolicited awards of appreciation or recognition in the form of a plaque, trophy, wall memento, or similar item that is given in recognition of public, civic, charitable, or professional service; (ix) a devise or inheritance; (x) travel disclosed pursuant to the Campaign Finance Disclosure Act (§ 24.2-945 et seq.); (xi) travel paid for or provided by the government of the United States, any of its territories, or any state or any political subdivision of such state; (xii) travel provided to facilitate attendance by a legislator at a regular or special session of the General Assembly, a meeting of a legislative committee or commission, or a national conference where attendance is approved by the House or Senate Committee on Rules; (xiii) travel related to an official meeting of the Commonwealth, its political subdivisions, or any board, commission, authority, or other entity, or any charitable organization established pursuant to § 501(c)(3) of the Internal Revenue Code affiliated with such entity, to which such person has been appointed or elected or is a member by virtue of his office or employment; ~~or~~ (xiv) gifts from relatives or personal friends; *or (xv) gifts with a value of less than \$20.* For the purpose of this definition, "relative" means the donee's spouse, child, uncle, aunt, niece, nephew, or first cousin; a person to whom the donee is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother, sister, step-parent, step-grandparent, step-grandchild, step-brother, or step-sister; or the donee's brother's or sister's spouse. For the purpose of this definition, "personal friend" does not include any person that the filer knows or has reason to know is (a) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4 of Title 2.2 or (b) a lobbyist's principal as defined in § 2.2-419.

"Governmental agency" means each component part of the legislative, executive or judicial branches of state and local government, including each office, department, authority, post, commission, committee, and each institution or board created by law to exercise some regulatory or sovereign power or duty as distinguished from purely advisory powers or duties.

"Immediate family" means (i) a spouse and (ii) any other person who resides in the same household as the legislator and who is a dependent of the legislator.

"Legislator" means a member of the General Assembly.

"Personal interest" means a financial benefit or liability accruing to a legislator or to a member of his immediate family. Such interest shall exist by reason of (i) ownership in a business if the ownership interest exceeds three percent of the total equity of the business; (ii) annual income that exceeds, or may reasonably be anticipated to exceed, \$5,000 from ownership in real or personal property or a business; (iii) salary, other compensation, fringe benefits, or benefits from the use of property, or any combination thereof, paid or provided by a business or governmental agency that exceeds, or may reasonably be anticipated to exceed, \$5,000 annually; (iv) ownership of real or personal property if the interest exceeds \$5,000 in value and excluding ownership in a business, income, or salary, other compensation, fringe benefits or benefits from the use of property; (v) personal liability incurred or assumed on behalf of a business if the liability exceeds three percent of the asset value of the business; or (vi) an option for ownership of a business or real or personal property if the ownership interest will consist of clause (i) or (iv).

899 "Personal interest in a contract" means a personal interest that a legislator has in a contract with a  
900 governmental agency, whether due to his being a party to the contract or due to a personal interest in a  
901 business that is a party to the contract.

902 "Personal interest in a transaction" means a personal interest of a legislator in any matter considered  
903 by the General Assembly. Such personal interest exists when an officer or employee or a member of his  
904 immediate family has a personal interest in property or a business, or represents or provides services to  
905 any individual or business and such property, business or represented or served individual or business (i)  
906 is the subject of the transaction or (ii) may realize a reasonably foreseeable direct or indirect benefit or  
907 detriment as a result of the action of the agency considering the transaction. A "personal interest in a  
908 transaction" exists only if the legislator or member of his immediate family or an individual or business  
909 represented or served by the legislator is affected in a way that is substantially different from the general  
910 public or from persons comprising a profession, occupation, trade, business or other comparable and  
911 generally recognizable class or group of which he or the individual or business he represents or serves is  
912 a member.

913 "Transaction" means any matter considered by the General Assembly, whether in a committee,  
914 subcommittee, or other entity of the General Assembly or before the General Assembly itself, on which  
915 official action is taken or contemplated.

916 **§ 30-111. Disclosure form.**

917 A. (Effective from January 1, 2016, until July 1, 2016) The disclosure form to be used for filings  
918 required by subsections A and B of § 30-110 shall be substantially similar to the following.

919 A. (Effective July 1, 2016) The disclosure form to be used for filings required by subsections A and  
920 B of § 30-110 shall be substantially similar to the following. All completed forms shall be filed  
921 electronically with the Council in accordance with the standards approved by it pursuant to § 30-356.

922 **STATEMENT OF ECONOMIC INTERESTS.**

923 Name \_\_\_\_\_

924 Office or position held or sought \_\_\_\_\_

925 Address \_\_\_\_\_

926 Names of members of immediate family \_\_\_\_\_

927 **DEFINITIONS AND EXPLANATORY MATERIAL.**

928 "Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise,  
929 association, trust or foundation, or any other individual or entity carrying on a business or profession,  
930 whether or not for profit.

931 "Close financial association" means an association in which the filer shares significant financial  
932 involvement with an individual and the filer would reasonably be expected to be aware of the  
933 individual's business activities and would have access to the necessary records either directly or through  
934 the individual. "Close financial association" does not mean an association based on (i) the receipt of  
935 retirement benefits or deferred compensation from a business by which the legislator is no longer  
936 employed, or (ii) the receipt of compensation for work performed by the legislator as an independent  
937 contractor of a business that represents an entity before any state governmental agency when the  
938 legislator has had no communications with the state governmental agency.

939 "Contingent liability" means a liability that is not presently fixed or determined, but may become  
940 fixed or determined in the future with the occurrence of some certain event.

941 "Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item  
942 having monetary value. It includes services as well as gifts of transportation, lodgings and meals,  
943 whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the  
944 expense has been incurred. "Gift" does not include (i) any offer of a ticket, coupon, or other admission  
945 or pass unless the ticket, coupon, admission, or pass is used; (ii) honorary degrees; (iii) any athletic,  
946 merit, or need-based scholarship or any other financial aid awarded by a public or private school,  
947 institution of higher education, or other educational program pursuant to such school, institution, or  
948 program's financial aid standards and procedures applicable to the general public; (iv) a campaign  
949 contribution properly received and reported pursuant to Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2;  
950 (v) any gift related to the private profession or occupation of a legislator or of a member of his  
951 immediate family; (vi) food or beverages consumed while attending an event at which the filer is  
952 performing official duties related to his public service; (vii) food and beverages received at or  
953 registration or attendance fees waived for any event at which the filer is a featured speaker, presenter, or  
954 lecturer; (viii) unsolicited awards of appreciation or recognition in the form of a plaque, trophy, wall  
955 memento, or similar item that is given in recognition of public, civic, charitable, or professional service;  
956 (ix) a devise or inheritance; (x) travel disclosed pursuant to the Campaign Finance Disclosure Act  
957 (§ 24.2-945 et seq.); (xi) travel paid for or provided by the government of the United States, any of its  
958 territories, or any state or any political subdivision of such state; (xii) travel provided to facilitate  
959 attendance by a legislator at a regular or special session of the General Assembly, a meeting of a  
960 legislative committee or commission, or a national conference where attendance is approved by the



House or Senate Committee on Rules; (xiii) travel related to an official meeting of the Commonwealth, its political subdivisions, or any board, commission, authority, or other entity, or any charitable organization established pursuant to § 501(c)(3) of the Internal Revenue Code affiliated with such entity, to which such person has been appointed or elected or is a member by virtue of his office or employment; ~~or~~ (xiv) gifts from relatives or personal friends; *or (xv) gifts with a value of less than \$20.* "Relative" means the donee's spouse, child, uncle, aunt, niece, nephew, or first cousin; a person to whom the donee is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother, sister, step-parent, step-grandparent, step-grandchild, step-brother, or step-sister; or the donee's brother's or sister's spouse. "Personal friend" does not include any person that the filer knows or has reason to know is (a) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4 of Title 2.2 or (b) a lobbyist's principal as defined in § 2.2-419.

"Immediate family" means (i) a spouse and (ii) any other person who resides in the same household as the legislator and who is a dependent of the legislator.

"Lobbyist relationship" means (i) an engagement, agreement, or representation that relates to legal services, consulting services, or public relations services, whether gratuitous or for compensation, between a member or member-elect and any person who is, or has been within the prior calendar year, registered as a lobbyist with the Secretary of the Commonwealth or (ii) a greater than three percent ownership interest by a member or member-elect in a business that employs, or engages as an independent contractor, any person who is, or has been within the prior calendar year, registered as a lobbyist with the Secretary of the Commonwealth. The disclosure of a lobbyist relationship shall not (a) constitute a waiver of any attorney-client or other privilege, (b) require a waiver of any attorney-client or other privilege for a third party, or (c) be required where a member or member-elect is employed or engaged by a person and such person also employs or engages a person in a lobbyist relationship so long as the member or member-elect has no financial interest in the lobbyist relationship.

TRUST. If you or your immediate family, separately or together, are the only beneficiaries of a trust, treat the trust's assets as if you own them directly. If you or your immediate family has a proportional interest in a trust, treat that proportion of the trust's assets as if you own them directly. For example, if you and your immediate family have a one-third interest in a trust, complete your Statement as if you own one-third of each of the trust's assets. If you or a member of your immediate family created a trust and can revoke it without the beneficiaries' consent, treat its assets as if you own them directly.

REPORT TO THE BEST OF INFORMATION AND BELIEF. Information required on this Statement must be provided on the basis of the best knowledge, information, and belief of the individual filing the Statement as of the date of this report unless otherwise stated.

COMPLETE ITEMS 1 THROUGH 11. REFER TO SCHEDULES ONLY IF DIRECTED.

You may attach additional explanatory information.

1. Offices and Directorships.

Are you or a member of your immediate family a paid officer or paid director of a business?

EITHER check NO // OR check YES // and complete Schedule A.

2. Personal Liabilities.

Do you or a member of your immediate family owe more than \$5,000 to any one creditor including contingent liabilities? (Exclude debts to any government and loans secured by recorded liens on property at least equal in value to the loan.)

EITHER check NO // OR check YES // and complete Schedule B.

3. Securities.

Do you or a member of your immediate family, directly or indirectly, separately or together, own securities valued in excess of \$5,000 invested in one business? Account for mutual funds, limited partnerships and trusts.

EITHER check NO // OR check YES // and complete Schedule C.

4. Payments for Talks, Meetings, and Publications.

During the past six months did you receive in your capacity as a legislator lodging, transportation, money, or anything else of value with a combined value exceeding \$100 (i) for a single talk, meeting, or published work or (ii) for a meeting, conference, or event where your attendance at the meeting, conference, or event was designed to (a) educate you on issues relevant to your duties as a legislator, including issues faced by your constituents, or (b) enhance your knowledge and skills relative to your duties as a legislator? Do not include payments and reimbursements from the Commonwealth for meetings attended in your capacity as a legislator; see Question 11 and Schedule D2 to report such meetings.

EITHER check NO // OR check YES // and complete Schedule D.

5. Gifts.

During the past six months did a business, government, or individual other than a relative or personal friend (i) furnish you or a member of your immediate family with any gift or entertainment at a single

1022 event, and the value received exceeded \$50 or (ii) furnish you or a member of your immediate family  
1023 with gifts or entertainment in any combination and the total value received exceeded \$50, and for which  
1024 you or the member of your immediate family neither paid nor rendered services in exchange? Account  
1025 for entertainment events only if the average value per person attending the event exceeded \$50. Account  
1026 for all business entertainment (except if related to the private profession or occupation of you or the  
1027 member of your immediate family who received such business entertainment) even if unrelated to your  
1028 official duties.

1029 EITHER check NO // OR check YES // and complete Schedule E.

1030 6. Salary and Wages.

1031 List each employer that pays you or a member of your immediate family salary or wages in excess  
1032 of \$5,000 annually. (Exclude any salary received as a member of the General Assembly pursuant to  
1033 § 30-19.11.)

1034 If no reportable salary or wages, check here //.

1035 \_\_\_\_\_  
1036 \_\_\_\_\_  
1037 \_\_\_\_\_

1038 7. Business Interests and Lobbyist Relationships.

1039 7A. Do you or a member of your immediate family, separately or together, operate your own  
1040 business, or own or control an interest in excess of \$5,000 in a business?

1041 EITHER check NO // OR check YES // and complete Schedule F-1.

1042 7B. Do you have a lobbyist relationship as that term is defined above?

1043 EITHER check NO // OR check YES // and complete Schedule F-2.

1044 8. Payments for Representation and Other Services.

1045 8A. Did you represent any businesses before any state governmental agencies, excluding courts or  
1046 judges, for which you received total compensation during the past six months in excess of \$1,000,  
1047 excluding compensation for other services to such businesses and representation consisting solely of the  
1048 filing of mandatory papers and subsequent representation regarding the mandatory papers?

1049 EITHER check NO // OR check YES // and complete Schedule G-1.

1050 8B. Subject to the same exceptions as in 8A, did persons with whom you have a close financial  
1051 association (partners, associates or others) represent any businesses before any state governmental agency  
1052 for which total compensation was received during the past six months in excess of \$1,000?

1053 EITHER check NO // OR check YES // and complete Schedule G-2.

1054 8C. Did you or persons with whom you have a close financial association furnish services to  
1055 businesses operating in Virginia, pursuant to an agreement between you and such businesses, or between  
1056 persons with whom you have a close financial association and such businesses for which total  
1057 compensation in excess of \$1,000 was received during the past six months? Services reported under this  
1058 provision shall not include services involving the representation of businesses that are reported under  
1059 question 8A or 8B above.

1060 EITHER check NO // OR check YES // and complete Schedule G-3.

1061 9. Real Estate.

1062 Do you or a member of your immediate family hold an interest, including a partnership interest,  
1063 valued at more than \$5,000 in real property (other than your principal residence) for which you have not  
1064 already listed the full address on Schedule F? Account for real estate held in trust.

1065 EITHER check NO // OR check YES // and complete Schedule H.

1066 10. Real Estate Contracts with State Governmental Agencies.

1067 Do you or a member of your immediate family hold an interest valued at more than \$5,000 in real  
1068 estate, including a corporate, partnership, or trust interest, option, easement, or land contract, which real  
1069 estate is the subject of a contract, whether pending or completed within the past six months, with a state  
1070 governmental agency?

1071 If the real estate contract provides for the leasing of the property to a state governmental agency, do  
1072 you or a member of your immediate family hold an interest in the real estate, including a corporate,  
1073 partnership, or trust interest, option, easement, or land contract valued at more than \$1,000? Account for  
1074 all such contracts whether or not your interest is reported in Schedule F or H. This requirement to  
1075 disclose an interest in a lease does not apply to an interest derived through an ownership interest in a  
1076 business unless the ownership interest exceeds three percent of the total equity of the business.

1077 EITHER check NO // OR check YES // and complete Schedule I.

1078 11. Payments by the Commonwealth for Meetings.

1079 During the past six months did you receive lodging, transportation, money, or anything else of value  
1080 with a combined value exceeding \$100 from the Commonwealth for a single meeting attended  
1081 out-of-state in your capacity as a legislator? Do not include reimbursements from the Commonwealth for  
1082 meetings attended in the Commonwealth.

1083 EITHER check NO // OR check YES // and complete Schedule D-2.

For Statements filed in June 2016 and each two years thereafter, complete the following statement indicating whether you completed the ethics orientation sessions provided pursuant to law:

I certify that I completed ethics training as required by § 30-129.1. YES // or NO //.

Statements of Economic Interests are open for public inspection.

#### AFFIRMATION.

In accordance with the rules of the house in which I serve, if I receive a request that this disclosure statement be corrected, augmented, or revised in any respect, I hereby pledge that I shall respond promptly to the request. I understand that if a determination is made that the statement is insufficient, I will satisfy such request or be subjected to disciplinary action of my house.

I swear or affirm that the foregoing information is full, true and correct to the best of my knowledge.

Signature \_\_\_\_\_

(Return only if needed to complete Statement.)

#### SCHEDULES to STATEMENT OF ECONOMIC INTERESTS.

NAME \_\_\_\_\_

#### SCHEDULE A — OFFICES AND DIRECTORSHIPS.

Identify each business of which you or a member of your immediate family is a paid officer or paid director.

Name of Business	Address of Business	Position Held and by Whom
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

RETURN TO ITEM 2

#### SCHEDULE B — PERSONAL LIABILITIES.

Report personal liability by checking each category. Report only debts in excess of \$5,000. Do not report debts to any government. Do not report loans secured by recorded liens on property at least equal in value to the loan.

Report contingent liabilities below and indicate which debts are contingent.

1. My personal debts are as follows:

Check appropriate categories	Check one \$5,001 to \$50,000	Check one More than \$50,000
Banks	_____	_____
Savings institutions	_____	_____
Other loan or finance companies	_____	_____
Insurance companies	_____	_____
Stock, commodity or other brokerage companies	_____	_____
Other businesses: (State principal business activity for each creditor and its name.)	_____	_____
_____	_____	_____
_____	_____	_____
Individual creditors: (State principal business or occupation of each creditor and its name.)	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

2. The personal debts of the members of my immediate family are as follows:

\_\_\_\_\_

1142			
1143	Check	Check one	
1144	appropriate	\$5,001 to	More than
1145	categories	\$50,000	\$50,000
1146	Banks	_____	_____
1147	Savings institutions	_____	_____
1148	Other loan or finance companies	_____	_____
1149	Insurance companies	_____	_____
1150	Stock, commodity or other brokerage	_____	_____
1151	companies	_____	_____
1152	Other businesses:		
1153	(State principal business activity for each		
1154	creditor and its name.)		
1155	_____	_____	_____
1156	_____	_____	_____
1157	_____	_____	_____
1158	Individual creditors:		
1159	(State principal business or occupation of		
1160	each creditor and its name.)		
1161	_____	_____	_____
1162	_____	_____	_____
1163	_____	_____	_____
1164	_____	_____	_____

RETURN TO ITEM 3

## SCHEDULE C — SECURITIES.

"Securities" INCLUDES stocks, bonds, mutual funds, limited partnerships, and commodity futures contracts.

"Securities" EXCLUDES certificates of deposit, money market funds, annuity contracts, and insurance policies.

Identify each business or Virginia governmental entity in which you or a member of your immediate family, directly or indirectly, separately or together, own securities valued in excess of \$5,000. Name each issuer and type of security individually.

Do not list U.S. Bonds or other government securities not issued by the Commonwealth of Virginia or its authorities, agencies, or local governments. Do not list organizations that do not do business in this Commonwealth, but most major businesses conduct business in Virginia. Account for securities held in trust.

If no reportable securities, check here //.

1178	_____			
1179	_____			
1180	_____			
1181			Check one	
1182	Type of Security	\$5,001	\$50,001	More
1183	(stocks, bonds, mutual	to	to	than
1184	Name of Issuer	\$50,000	\$250,000	\$250,000
1185	_____	_____	_____	_____
1186	_____	_____	_____	_____
1187	_____	_____	_____	_____
1188	_____	_____	_____	_____
1189	_____			

RETURN TO ITEM 4

## SCHEDULE D-1 — PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.

List each source from which you received during the past six months in your capacity as a legislator lodging, transportation, money, or any other thing of value with a combined value exceeding \$100 (i) for your presentation of a single talk, participation in one meeting, or publication of a work or (ii) for your attendance at a meeting, conference, or event where your attendance at the meeting, conference, or event was designed to (a) educate you on issues relevant to your duties as a legislator, including issues faced by your constituents, or (b) enhance your knowledge and skills relative to your duties as a legislator. Any lodging, transportation, money, or other thing of value received by a legislator that does not satisfy the criteria of clause (i), (ii)(a), or (ii)(b) shall be listed as a gift on Schedule E. Do not list

payments or reimbursements by the Commonwealth. (See Schedule D-2 for such payments or reimbursements.) List a payment even if you donated it to charity. Do not list information about a payment if you returned it within 60 days or if you received it from an employer already listed under Item 6 or from a source of income listed on Schedule F.

If no payment must be listed, check here / /.

Payer	Approximate Value	Circumstances	Type of Payment (e.g., Honoraria, Travel reimburse- ment, etc.)

RETURN TO ITEM 5

#### SCHEDULE D-2 — PAYMENTS BY THE COMMONWEALTH FOR MEETINGS.

List each meeting for which the Commonwealth provided payments or reimbursements during the past six months to you for lodging, transportation, money, or any other thing of value with a combined value exceeding \$100 for your participation in your capacity as a legislator. Do not list payments or reimbursements by the Commonwealth for meetings or travel within the Commonwealth.

If no payment must be listed, check here / /.

Payer	Approximate Value	Circumstances	Type of Payment (e.g., Travel reimbursement, etc.)

#### SCHEDULE E — GIFTS.

List each business, governmental entity, or individual that, during the past six months, (i) furnished you or a member of your immediate family with any gift or entertainment at a single event, and the value received exceeded \$50 or (ii) furnished you or a member of your immediate family with gifts or entertainment in any combination and the total value received exceeded \$50, and for which you or the member of your immediate family neither paid nor rendered services in exchange. List each such gift or event.

Do not list entertainment events unless the average value per person attending the event exceeded \$50. Do not list business entertainment related to the private profession or occupation of you or the member of your immediate family who received such business entertainment. Do not list gifts or other things of value given by a relative or personal friend for reasons clearly unrelated to your public position. Do not list campaign contributions publicly reported as required by Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2 of the Code of Virginia.

Name of Recipient	Name of Business, Organization, or Individual	City or County and State	Exact Gift or Event	Approximate Value

RETURN TO ITEM 6

**1258 SCHEDULE F-1 — BUSINESS INTERESTS.**

**1259** Complete this Schedule for each self-owned or family-owned business (including rental property, a  
**1260** farm, or consulting work), partnership, or corporation in which you or a member of your immediate  
**1261** family, separately or together, own an interest having a value in excess of \$5,000.

**1262** If the enterprise is owned or operated under a trade, partnership, or corporate name, list that name;  
**1263** otherwise, merely explain the nature of the enterprise. If rental property is owned or operated under a  
**1264** trade, partnership, or corporate name, list the name only; otherwise, give the address of each property.  
**1265** Account for business interests held in trust.

Name of					
Business					
Corporation,					
Partnership,					
Farm;					
Address of		City or		Gross income	
Rental		County		(\$50,001 to More	
Property		and State		\$50,000 or less than	
				\$250,000 \$250,000	

RETURN TO ITEM 8

**1281 SCHEDULE F-2 — LOBBYIST RELATIONSHIPS AND PAYMENTS.**

**1282** Complete this Schedule for each lobbyist relationship with the following:

**1283** (i) any person who is, or has been within the prior calendar year, registered as a lobbyist with the  
**1284** Secretary of the Commonwealth, or

**1285** (ii) any business in which you have a greater than three percent ownership interest and that business  
**1286** employs, or engages as an independent contractor, any person who is, or has been within the prior  
**1287** calendar year, registered as a lobbyist with the Secretary of the Commonwealth.  
**1288**

Payments to					
Lobbyist					
List each person		Describe each		\$10,000 More than	
or business		relationship		\$10,000 or less \$10,000	

**1301** THE DISCLOSURE OF A LOBBYIST RELATIONSHIP SHALL NOT (I) CONSTITUTE A  
**1302** WAIVER OF ANY ATTORNEY-CLIENT OR OTHER PRIVILEGE, (II) REQUIRE A WAIVER OF  
**1303** ANY ATTORNEY-CLIENT OR OTHER PRIVILEGE FOR A THIRD PARTY, OR (III) BE  
**1304** REQUIRED WHERE A MEMBER OR MEMBER-ELECT IS EMPLOYED OR ENGAGED BY A  
**1305** PERSON AND SUCH PERSON ALSO EMPLOYS OR ENGAGES A PERSON IN A LOBBYIST  
**1306** RELATIONSHIP SO LONG AS THE MEMBER OR MEMBER-ELECT HAS NO FINANCIAL  
**1307** INTEREST IN THE LOBBYIST RELATIONSHIP.

**1308 SCHEDULE G-1 — PAYMENTS FOR REPRESENTATION BY YOU.**

**1309** List the businesses you represented before any state governmental agency, excluding any court or  
**1310** judge, for which you received total compensation during the past six months in excess of \$1,000,  
**1311** excluding compensation for other services to such businesses and representation consisting solely of the  
**1312** filing of mandatory papers and subsequent representation regarding the mandatory papers filed by you.

**1313** Identify each business, the nature of the representation and the amount received by dollar category  
**1314** from each such business. You may state the type, rather than name, of the business if you are required  
**1315** by law not to reveal the name of the business represented by you.

**1316**



1375	companies	_____	_____	_____	_____	_____	_____
1376	Intrastate	_____	_____	_____	_____	_____	_____
1377	transportation	_____	_____	_____	_____	_____	_____
1378	companies	_____	_____	_____	_____	_____	_____
1379	Oil or gas retail	_____	_____	_____	_____	_____	_____
1380	companies	_____	_____	_____	_____	_____	_____
1381	Banks	_____	_____	_____	_____	_____	_____
1382	Savings	_____	_____	_____	_____	_____	_____
1383	institutions	_____	_____	_____	_____	_____	_____
1384	Loan or finance	_____	_____	_____	_____	_____	_____
1385	companies	_____	_____	_____	_____	_____	_____
1386	Manufacturing	_____	_____	_____	_____	_____	_____
1387	companies (state	_____	_____	_____	_____	_____	_____
1388	type of product,	_____	_____	_____	_____	_____	_____
1389	e.g., textile,	_____	_____	_____	_____	_____	_____
1390	furniture, etc.)	_____	_____	_____	_____	_____	_____
1391	Mining companies	_____	_____	_____	_____	_____	_____
1392	Life insurance	_____	_____	_____	_____	_____	_____
1393	companies	_____	_____	_____	_____	_____	_____
1394	Casualty insurance	_____	_____	_____	_____	_____	_____
1395	companies	_____	_____	_____	_____	_____	_____
1396	Other insurance	_____	_____	_____	_____	_____	_____
1397	companies	_____	_____	_____	_____	_____	_____
1398	Retail companies	_____	_____	_____	_____	_____	_____
1399	Beer, wine or	_____	_____	_____	_____	_____	_____
1400	liquor companies	_____	_____	_____	_____	_____	_____
1401	or distributors	_____	_____	_____	_____	_____	_____
1402	Trade associations	_____	_____	_____	_____	_____	_____
1403	Professional	_____	_____	_____	_____	_____	_____
1404	associations	_____	_____	_____	_____	_____	_____
1405	Associations of	_____	_____	_____	_____	_____	_____
1406	public employees	_____	_____	_____	_____	_____	_____
1407	or officials	_____	_____	_____	_____	_____	_____
1408	Counties, cities	_____	_____	_____	_____	_____	_____
1409	or towns	_____	_____	_____	_____	_____	_____
1410	Labor organizations	_____	_____	_____	_____	_____	_____
1411	Other	_____	_____	_____	_____	_____	_____
1412	_____	_____	_____	_____	_____	_____	_____

RETURN TO ITEM 9

## SCHEDULE H — REAL ESTATE.

List real estate other than your principal residence in which you or a member of your immediate family holds an interest, including a partnership interest, option, easement, or land contract, valued at \$5,000 or more. Each parcel shall be listed individually.

1418	_____	_____	_____
1419	_____	_____	_____
1420		Describe the type of real	
1421		estate you own in each	
1422	List the location	location (business,	If the real estate is
1423	(state, and county	recreational, apartment,	owned or recorded in
1424	or city where you	commercial, open land,	a name other than your
1425	own real estate	etc.)	own, list that name
1426	_____	_____	_____
1427	_____	_____	_____
1428	_____	_____	_____
1429	_____	_____	_____
1430	_____	_____	_____
1431	_____	_____	_____



RETURN TO ITEM 10

## SCHEDULE I — REAL ESTATE CONTRACTS WITH STATE GOVERNMENTAL AGENCIES.

List all contracts, whether pending or completed within the past six months, with a state governmental agency for the sale or exchange of real estate in which you or a member of your immediate family holds an interest, including a corporate, partnership or trust interest, option, easement, or land contract, valued at more than \$10,000. List all contracts with a state governmental agency for the lease of real estate in which you or a member of your immediate family holds such an interest valued at more than \$1,000. This requirement to disclose an interest in a lease does not apply to an interest derived through an ownership interest in a business unless the ownership interest exceeds three percent of the total equity of the business.

\_\_\_\_\_  
 List your real  
 estate interest and  
 the person or entity,  
 including the type of  
 entity, which is  
 party to the contract.

Describe any  
 management role and  
 the percentage  
 ownership interest  
 you or your immediate  
 family member has in  
 the real estate  
 or entity.

\_\_\_\_\_  
 List each  
 governmental agency  
 which is a party to  
 the contract and  
 indicate the county  
 or city where the  
 real estate is located.

\_\_\_\_\_  
 State the annual  
 income from the  
 contract, and the  
 amount, if any, of  
 income you or any  
 immediate family  
 member derives  
 annually from  
 the contract.

B. Any legislator who knowingly and intentionally makes a false statement of a material fact on the Statement of Economic Interests is guilty of a Class 5 felony and shall be subject to disciplinary action for such violations by the house in which the legislator sits.

C. The Statement of Economic Interests of all members of each house shall be reviewed by the Council. If a legislator's Statement is found to be inadequate as filed, the legislator shall be notified in writing and directed to file an amended Statement correcting the indicated deficiencies, and a time shall be set within which such amendment shall be filed. If the Statement of Economic Interests, in either its original or amended form, is found to be adequate as filed, the legislator's filing shall be deemed in full compliance with this section as to the information disclosed thereon.

D. Ten percent of the membership of a house, on the basis of newly discovered facts, may in writing request the house in which those members sit, in accordance with the rules of that house, to review the Statement of Economic Interests of another member of that house in order to determine the adequacy of his filing. In accordance with the rules of each house, each Statement of Economic Interests shall be promptly reviewed, the adequacy of the filing determined, and notice given in writing to the legislator whose Statement is in issue. Should it be determined that the Statement requires correction, augmentation or revision, the legislator involved shall be directed to make the changes required within such time as shall be set under the rules of each house.

If a legislator, after having been notified in writing in accordance with the rules of the house in which he sits that his Statement is inadequate as filed, fails to amend his Statement so as to come into compliance within the time limit set, he shall be subject to disciplinary action by the house in which he sits. No legislator shall vote on any question relating to his own Statement.