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SENATE BILL NO. 692**AMENDMENT IN THE NATURE OF A SUBSTITUTE**(Proposed by the Senate Committee on Rules
on February 11, 2016)

(Patrons Prior to Substitute—Senators Norment [SB 738], Black [SB 213], Ebbin [SB 304], and Newman [SB 657])

A BILL to amend and reenact §§ 2.2-419, 2.2-426, 2.2-3101, 2.2-3103.1, 2.2-3106, 2.2-3109.1, 2.2-3114, 2.2-3115, 2.2-3116, as it is currently effective and as it shall become effective, 2.2-3117, 30-101, 30-103.1, 30-110, 30-111, 30-129.1, and 30-356.1 of the Code of Virginia and to amend the Code of Virginia by adding sections numbered 2.2-3114.2 and 30-110.1, relating to lobbyist reporting, the State and Local Government Conflict of Interests Act, the General Assembly Conflicts of Interests Act, and the Virginia Conflict of Interest and Ethics Advisory Council; annual filing of required disclosures; separate report of gifts; food and beverages; definition of gift.

Be it enacted by the General Assembly of Virginia:

1. That §§ 2.2-419, 2.2-426, 2.2-3101, 2.2-3103.1, 2.2-3106, 2.2-3109.1, 2.2-3114, 2.2-3115, 2.2-3116, as it is currently effective and as it shall become effective, 2.2-3117, 30-101, 30-103.1, 30-110, 30-111, 30-129.1, and 30-356.1 of the Code of Virginia are amended and reenacted and that the Code of Virginia is amended by adding sections numbered 2.2-3114.2 and 30-110.1 as follows:

§ 2.2-419. Definitions.

As used in this article, unless the context requires a different meaning:

"Anything of value" means:

1. A pecuniary item, including money, or a bank bill or note;
2. A promissory note, bill of exchange, order, draft, warrant, check, or bond given for the payment of money;
3. A contract, agreement, promise, or other obligation for an advance, conveyance, forgiveness of indebtedness, deposit, distribution, loan, payment, gift, pledge, or transfer of money;
4. A stock, bond, note, or other investment interest in an entity;
5. A receipt given for the payment of money or other property;
6. A right in action;
7. A gift, tangible good, chattel, or an interest in a gift, tangible good, or chattel;
8. A loan or forgiveness of indebtedness;
9. A work of art, antique, or collectible;
10. An automobile or other means of personal transportation;
11. Real property or an interest in real property, including title to realty, a fee simple or partial interest, present or future, contingent or vested within realty, a leasehold interest, or other beneficial interest in realty;
12. An honorarium or compensation for services;
13. A rebate or discount in the price of anything of value unless the rebate or discount is made in the ordinary course of business to a member of the public without regard to that person's status as an executive or legislative official, or the sale or trade of something for reasonable compensation that would ordinarily not be available to a member of the public;
14. A promise or offer of employment; or
15. Any other thing of value that is pecuniary or compensatory in value to a person.

"Anything of value" does not mean a campaign contribution properly received and reported pursuant to Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2.

"Compensation" means:

1. An advance, conveyance, forgiveness of indebtedness, deposit, distribution, loan, payment, gift, pledge, or transfer of money or anything of value; or
2. A contract, agreement, promise or other obligation for an advance, conveyance, forgiveness of indebtedness, deposit, distribution, loan, payment, gift, pledge, or transfer of money or anything of value, for services rendered or to be rendered.

"Compensation" does not mean reimbursement of expenses if the reimbursement does not exceed the amount actually expended for the expenses and it is substantiated by an itemization of expenses.

"Council" means the Virginia Conflict of Interest and Ethics Advisory Council established in § 30-355.

"Executive action" means the proposal, drafting, development, consideration, amendment, adoption, approval, promulgation, issuance, modification, rejection, or postponement by an executive agency or official of legislation or executive orders issued by the Governor. "Executive action" includes procurement transactions.

60 "Executive agency" means an agency, board, commission, or other body in the executive branch of
61 state government. "Executive agency" includes the State Corporation Commission, the Virginia Workers'
62 Compensation Commission, and the Virginia Lottery.

63 "Executive official" means:

- 64 1. The Governor;
- 65 2. The Lieutenant Governor;
- 66 3. The Attorney General;
- 67 4. Any officer or employee of the office of the Governor, Lieutenant Governor, or Attorney General
68 other than a clerical or secretarial employee;
- 69 5. The Governor's Secretaries, the Deputy Secretaries, and the chief executive officer of each
70 executive agency; or
- 71 6. Members of supervisory and policy boards, commissions and councils, as defined in § 2.2-2100,
72 however selected.

73 "Expenditure" means:

- 74 1. A purchase, payment, distribution, loan, forgiveness of a loan or payment of a loan by a third
75 party, advance, deposit, transfer of funds, a promise to make a payment, or a gift of money or anything
76 of value for any purpose;
- 77 2. A payment to a lobbyist for salary, fee, reimbursement for expenses, or other purpose by a person
78 employing, retaining, or contracting for the services of the lobbyist separately or jointly with other
79 persons;
- 80 3. A payment in support of or assistance to a lobbyist or the lobbyist's activities, including the direct
81 payment of expenses incurred at the request or suggestion of the lobbyist;
- 82 4. A payment that directly benefits an executive or legislative official or a member of the official's
83 immediate family;
- 84 5. A payment, including compensation, payment, or reimbursement for the services, time, or expenses
85 of an employee for or in connection with direct communication with an executive or legislative official;
- 86 6. A payment for or in connection with soliciting or urging other persons to enter into direct
87 communication with an executive or legislative official; or
- 88 7. A payment or reimbursement for categories of expenditures required to be reported pursuant to
89 this chapter.

90 "Expenditure" does not mean a campaign contribution properly received and reported pursuant to
91 Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2.

92 "Fair market value" means the price that a good or service would bring between a willing seller and
93 a willing buyer in the open market after negotiations. If the fair market value cannot be determined, the
94 actual price paid for the good or service shall be given consideration.

95 "Gift" means anything of value, including any gratuity, favor, discount, entertainment, hospitality,
96 loan, forbearance, or other item having monetary value, and includes services as well as gifts of
97 transportation, local travel, *and* lodgings, ~~and meals~~, whether provided in-kind or by purchase of a
98 ticket, payment in advance, or reimbursement after the expense has been incurred.

99 "Gift" does not mean:

- 100 1. Printed informational or promotional material;
- 101 2. A gift that is not used and, no later than 60 days after receipt, is returned to the donor or
102 delivered to a charitable organization and is not claimed as a charitable contribution for federal income
103 tax purposes;
- 104 3. A devise or inheritance;
- 105 4. A gift of a value of \$50 ~~or~~ less *than* \$20;
- 106 5. Any offer of a ticket, coupon, or other admission or pass unless the ticket, coupon, admission, or
107 pass is used;
- 108 6. Any food or beverages ~~provided to an individual at beverage associated with an event at which~~
109 ~~the that is accepted or received by an individual is performing official duties related to his public~~
110 ~~service while in attendance at the event;~~
- 111 7. Any ~~food and beverages received at or~~ registration or attendance fees waived for any event at
112 which the individual is a featured speaker, presenter, or lecturer;
- 113 8. An unsolicited award of appreciation or recognition in the form of a plaque, trophy, wall
114 memento, or similar item that is given in recognition of public, civic, charitable, or professional service;
- 115 9. Any gift from an individual's spouse, child, uncle, aunt, niece, nephew, or first cousin; a person to
116 whom the donee is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild,
117 brother, sister, step-parent, step-grandparent, step-grandchild, step-brother, or step-sister; or the donee's
118 brother's or sister's spouse;
- 119 10. Travel provided to facilitate attendance by a legislator at a regular or special session of the
120 General Assembly, a meeting of a legislative committee or commission, or a national conference where
121 attendance is approved by the House or Senate Committee on Rules; ~~or~~

11. Travel related to an official meeting of the Commonwealth, its political subdivisions, or any board, commission, authority, or other entity, or any charitable organization established pursuant to § 501(c)(3) of the Internal Revenue Code affiliated with such entity, to which such person has been appointed or elected or is a member by virtue of his office or employment;

12. *Any gift, including travel, from a nonpartisan state, regional, national, or international legislative organization, or an affiliated organization of such organization, of which a legislator or an officer or employee of the legislative branch is a member by virtue of his office or employment; or*

13. *Any gift, including travel, from a charitable organization established pursuant to § 501(c)(3) of the Internal Revenue Code to a legislator or an officer or employee of the legislative branch if such organization has been approved by the Joint Rules Committee of the General Assembly to make gifts.*

"Immediate family" means (i) the spouse and (ii) any other person who resides in the same household as the executive or legislative official and who is a dependent of the official.

"Legislative action" means:

1. Preparation, research, drafting, introduction, consideration, modification, amendment, approval, passage, enactment, tabling, postponement, defeat, or rejection of a bill, resolution, amendment, motion, report, nomination, appointment, or other matter by the General Assembly or a legislative official;

2. Action by the Governor in approving, vetoing, or recommending amendments for a bill passed by the General Assembly; or

3. Action by the General Assembly in overriding or sustaining a veto by the Governor, considering amendments recommended by the Governor, or considering, confirming, or rejecting an appointment of the Governor.

"Legislative official" means:

1. A member or member-elect of the General Assembly;

2. A member of a committee, subcommittee, commission, or other entity established by and responsible to the General Assembly or either house of the General Assembly; or

3. Persons employed by the General Assembly or an entity established by and responsible to the General Assembly.

"Lobbying" means:

1. Influencing or attempting to influence executive or legislative action through oral or written communication with an executive or legislative official; or

2. Solicitation of others to influence an executive or legislative official.

"Lobbying" does not mean:

1. Requests for appointments, information on the status of pending executive and legislative actions, or other ministerial contacts if there is no attempt to influence executive or legislative actions;

2. Responses to published notices soliciting public comment submitted to the public official designated in the notice to receive the responses;

3. The solicitation of an association by its members to influence legislative or executive action; or

4. Communications between an association and its members and communications between a principal and its lobbyists.

"Lobbyist" means:

1. An individual who is employed and receives payments, or who contracts for economic consideration, including reimbursement for reasonable travel and living expenses, for the purpose of lobbying;

2. An individual who represents an organization, association, or other group for the purpose of lobbying; or

3. A local government employee who lobbies.

"Lobbyist's principal" or "principal" means the entity on whose behalf the lobbyist influences or attempts to influence executive or legislative action. An organization whose employees conduct lobbying activities on its behalf is both a principal and an employer of the lobbyists. In the case of a coalition or association that employs or retains others to conduct lobbying activities on behalf of its membership, the principal is the coalition or association and not its individual members.

"Local government" means:

1. Any county, city, town, or other local or regional political subdivision;

2. Any school division;

3. Any organization or entity that exercises governmental powers that is established pursuant to an interstate compact; or

4. Any organization composed of members representing entities listed in subdivisions 1, 2, or 3 of this definition.

"Local government employee" means a public employee of a local government.

"Person" means an individual, proprietorship, firm, partnership, joint venture, joint stock company, syndicate, business trust, estate, company, corporation, association, club, committee, organization, or

183 group of persons acting in concert.

184 "Procurement transaction" means all functions that pertain to obtaining all goods, services, or
185 construction on behalf of an executive agency, including description of requirements, selection and
186 solicitation of sources, preparation and award of contract, and all phases of contract administration.

187 "Secretary" means the Secretary of the Commonwealth.

188 "Value" means the actual cost or fair market value of an item or items, whichever is greater. If the
189 fair market value cannot be determined, the actual amount paid for the item or items shall be given
190 consideration.

191 "Widely attended event" means an event at which at least 25 persons have been invited to attend or
192 there is a reasonable expectation that at least 25 persons will attend the event and the event is open to
193 individuals (i) who share a common interest, (ii) who are members of a public, civic, charitable, or
194 professional organization, (iii) who are from a particular industry or profession, or (iv) who represent
195 persons interested in a particular issue.

196 **§ 2.2-426. Lobbyist reporting; penalty.**

197 A. Each lobbyist shall file with the Council a separate ~~semiannual~~ *annual* report of expenditures,
198 including gifts, for each principal for whom he lobbies by ~~December 15 for the preceding six-month~~
199 ~~period complete through the last day of October and June 15 July 1 for the preceding six-month~~
200 ~~12-month period complete through the last day of April.~~

201 B. Each principal who expends more than \$500 to employ or compensate multiple lobbyists shall be
202 responsible for filing a consolidated lobbyist report pursuant to this section in any case in which the
203 lobbyists are each exempt under the provisions of subdivision 7 or 8 of § 2.2-420 from the reporting
204 requirements of this section.

205 C. (Effective January 1, 2016, through July 1, 2016) The report shall be on a form provided by the
206 Council, which shall be substantially similar to the following and shall be accompanied by instructions
207 provided by the Council.

208 C. (Effective July 1, 2016) The report shall be on a form provided by the Council, which shall be
209 substantially similar to the following and shall be accompanied by instructions provided by the Council.
210 All reports shall be submitted electronically and in accordance with the standards approved by the
211 Council pursuant to the provisions of § 30-356.

212 LOBBYIST'S DISCLOSURE STATEMENT

213 PART I:

214 (1) PRINCIPAL: _____

215 In Part I, item 2a, provide the name of the individual
216 authorizing your employment as a lobbyist. The lobbyist filing
217 this statement MAY NOT list his name in item 2a.

218 (2a) Name: _____

219 (2b) Permanent Business Address: _____

220 (2c) Business Telephone: _____

221 (3) Provide a list of executive and legislative actions (with as
222 much specificity as possible) for which you lobbied and a
223 description of activities conducted.

224 _____

225 _____

226 _____

227 (4) INCORPORATED FILINGS: If you are filing an incorporated
228 disclosure statement, please complete the following:

229 Individual filing financial information: _____

230 Individuals to be included in the filing: _____

231 _____

232 (5) Please indicate which schedules will be attached to your
233 disclosure statement:

234 [] Schedule A: Entertainment Expenses

235 [] Schedule B: Gifts

236 [] Schedule C: Other Expenses

237 (6) EXPENDITURE TOTALS:

238 a) ENTERTAINMENT \$ _____

239 b) GIFTS \$ _____

240 c) COMMUNICATIONS \$ _____

241 d) PERSONAL LIVING AND TRAVEL EXPENSES \$ _____

242 e) COMPENSATION OF LOBBYISTS \$ _____
 243 f) HONORARIA \$ _____
 244 g) OTHER \$ _____
 245 TOTAL \$ _____

246 PART II:

247 (1a) NAME OF LOBBYIST: _____
 248 (1b) Permanent Business Address: _____
 249 (1c) Business Telephone: _____
 250 (2) As a lobbyist, you are (check one)
 251 [] EMPLOYED (on the payroll of the principal)
 252 [] RETAINED (not on the payroll of the principal, however
 253 compensated)
 254 [] NOT COMPENSATED (not compensated; expenses may be reimbursed)
 255 (3) List all lobbyists other than yourself who registered to
 256 represent your principal.
 257 _____
 258 _____
 259 _____
 260 (4) If you selected "EMPLOYED" as your answer to Part II, item 2,
 261 provide your job title.
 262 _____

263 PLEASE NOTE: Some lobbyists are not individually compensated for
 264 lobbying activities. This may occur when several members of a firm
 265 represent a single principal. The principal, in turn, makes a single
 266 payment to the firm. If this describes your situation, do not answer
 267 Part II, items 5a and 5b. Instead, complete Part III, items 1 and 2.
 268 (5a) What was the DOLLAR AMOUNT OF YOUR COMPENSATION as a lobbyist?
 269 (If you have job responsibilities other than those involving
 270 lobbying, you may have to prorate to determine the part of your
 271 salary attributable to your lobbying activities.) Transfer your
 272 answer to this item to Part I, item 6e.
 273 (5b) Explain how you arrived at your answer to Part II, item 5a.
 274 _____
 275 _____
 276 _____

277 PART III:

278 PLEASE NOTE: If you answered Part II, items 5a and 5b, you WILL NOT
 279 complete this section.

280 (1) List all members of your firm, organization, association,
 281 corporation, or other entity who furnished lobbying services to
 282 your principal.
 283 _____
 284 _____
 285 _____

286 (2) Indicate the total amount paid to your firm, organization,
 287 association, corporation, or other entity for services rendered.
 288 Transfer your answer to this item to Part I, item 6e. _____

289 SCHEDULE A

290 ENTERTAINMENT EXPENSES

291 PLEASE NOTE: Any single entertainment event included in the expense
 292 totals of the principal, with a value greater than ~~\$50~~ \$100, should be
 293 itemized below. Transfer any totals from this schedule to Part I,
 294 item 6a. (Please duplicate as needed.)
 295 Date and Location of Event:
 296 _____
 297 _____

298 Description of Event (including whether or not it meets the criteria
 299 of a widely attended event):
 300 _____
 301 _____

302 Total Number of Persons Attending:
 303 _____

304 Names of Legislative and Executive Officials or Members of Their
 305 Immediate Families Attending: (List names only if the average value
 306 for each person attending the event was greater than ~~-\$50~~ \$100. Do not
 307 list the name of any legislative or executive official, or member
 308 of his immediate family, if the legislative or executive official
 309 reimbursed the principal for, or otherwise paid for, his attendance,
 310 or the attendance of a member of his immediate family, at the event.)
 311 _____
 312 _____
 313 _____
 314 _____

| | | | |
|-----|---|----|-------|
| 315 | Food | \$ | _____ |
| 316 | Beverages | \$ | _____ |
| 317 | Transportation of Legislative and Executive Officials | | |
| 318 | or Members of Their Immediate Families | \$ | _____ |
| 319 | Lodging of Legislative and Executive Officials or | | |
| 320 | Members of Their Immediate Families | \$ | _____ |
| 321 | Performers, Speakers, Etc. | \$ | _____ |
| 322 | Displays | \$ | _____ |
| 323 | Rentals | \$ | _____ |
| 324 | Service Personnel | \$ | _____ |
| 325 | Miscellaneous | \$ | _____ |
| 326 | TOTAL | \$ | _____ |

327 SCHEDULE B

328 GIFTS

329 PLEASE NOTE: Any single gift reported in the expense totals of the
 330 principal, with a value greater than ~~-\$50~~ \$100, should be itemized below.
 331 (Report ~~meals~~, entertainment and travel under Schedule A.) Transfer
 332 any totals from this schedule to Part I, item 6b. (Please duplicate
 333 as needed.)

| 334 | Name of each | | | |
|-----|-------------------------------|-------------|--------------------|------------|
| 335 | legislative or | | | |
| 336 | executive official | | | |
| 337 | or member of his | | | |
| 338 | immediate family | | | Cost of |
| 339 | Date | Description | who is a recipient | individual |
| 340 | of gift: | of gift: | of a gift: | gift: |
| 341 | _____ | _____ | _____ | \$ _____ |
| 342 | _____ | _____ | _____ | \$ _____ |
| 343 | _____ | _____ | _____ | \$ _____ |
| 344 | _____ | _____ | _____ | \$ _____ |
| 345 | TOTAL COST TO PRINCIPAL | | | \$ _____ |

346 SCHEDULE C

347 OTHER EXPENSES

348 PLEASE NOTE: This section is provided for any lobbying-related
 349 expenses not covered in Part I, items 6a - 6f. An example of an
 350 expenditure to be listed on schedule C would be the rental of a
 351 bill box during the General Assembly session. Transfer the total
 352 from this schedule to Part I, item 6g. (Please duplicate as needed.)

| 353 | DATE OF EXPENSE | DESCRIPTION OF EXPENSE | AMOUNT |
|-----|-----------------|------------------------|----------|
| 354 | _____ | _____ | \$ _____ |

| | | | | |
|-----|------------------------------|-------|----|-------|
| 355 | _____ | _____ | \$ | _____ |
| 356 | _____ | _____ | \$ | _____ |
| 357 | _____ | _____ | \$ | _____ |
| 358 | _____ | _____ | \$ | _____ |
| 359 | _____ | _____ | \$ | _____ |
| 360 | _____ | _____ | \$ | _____ |
| 361 | _____ | _____ | \$ | _____ |
| 362 | _____ | _____ | \$ | _____ |
| 363 | TOTAL "OTHER" EXPENSES _____ | | \$ | _____ |

364 PART IV: STATEMENTS

365 The following items are mandatory and if they are not properly
 366 completed, the entire filing will be rejected and returned to
 367 the lobbyist:

- 368 (1) All signatures on the statement must be ORIGINAL in the format
 369 specified in the instructions provided by the Council that
 370 accompany this form. No stamps, or other reproductions of the
 371 individual's signature will be accepted.
 372 (2) An individual MAY NOT sign the disclosure statement as lobbyist
 373 and principal officer.

374 STATEMENT OF LOBBYIST

375 I, the undersigned registered lobbyist, do state that the information
 376 furnished on this disclosure statement and on all accompanying
 377 attachments required to be made thereto is, to the best of my
 378 knowledge and belief, complete and accurate.

379 _____
 380 Signature of lobbyist

381 _____
 382 _____
 383 Date

384 STATEMENT OF PRINCIPAL

385 I, the undersigned principal (or an authorized official thereof), do
 386 state that the information furnished on this disclosure statement
 387 and on all accompanying attachments required to be made thereto is,
 388 to the best of my knowledge and belief, complete and accurate.

389 _____
 390 Signature of principal

391 _____
 392 _____
 393 Date

394 D. A person who knowingly and intentionally makes a false statement of a material fact on the
 395 disclosure statement is guilty of a Class 5 felony.

396 E. *The name of a legislative or executive official, or a member of his immediate family, attending a*
 397 *reportable entertainment event shall not be disclosed by the principal if that legislative or executive*
 398 *official reimburses the principal for, or otherwise pays for, his attendance, or the attendance of a*
 399 *member of his immediate family, at the entertainment event. Reimbursement shall be calculated using*
 400 *the average value for each person attending the event.*

401 F. Each lobbyist shall send to each legislative and executive official who is required to be identified
 402 by name on Schedule A or B of the Lobbyist's Disclosure Form a copy of Schedule A or B or a
 403 summary of the information pertaining to that official. Copies or summaries shall be provided to the
 404 official by ~~November 21~~ *December 15* for the preceding ~~six-month~~ *12-month* period complete through
 405 the last day of ~~October~~ *April* and by ~~May 21~~ *November 30* for the preceding ~~six-month~~ *12-month* period complete through the last day
 406 of ~~April~~ *November 30*.

407 § 2.2-3101. Definitions.

408 As used in this chapter, unless the context requires a different meaning:

409 "Advisory agency" means any board, commission, committee or post which does not exercise any
 410 sovereign power or duty, but is appointed by a governmental agency or officer or is created by law for
 411 the purpose of making studies or recommendations, or advising or consulting with a governmental
 412 agency.

413 "Affiliated business entity relationship" means a relationship, other than a parent-subsidary
414 relationship, that exists when (i) one business entity has a controlling ownership interest in the other
415 business entity, (ii) a controlling owner in one entity is also a controlling owner in the other entity, or
416 (iii) there is shared management or control between the business entities. Factors that may be considered
417 in determining the existence of an affiliated business entity relationship include that the same person or
418 substantially the same person owns or manages the two entities, there are common or commingled funds
419 or assets, the business entities share the use of the same offices or employees, or otherwise share
420 activities, resources or personnel on a regular basis, or there is otherwise a close working relationship
421 between the entities.

422 "Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise,
423 association, trust or foundation, or any other individual or entity carrying on a business or profession,
424 whether or not for profit.

425 "Candidate" means a person who seeks or campaigns for an office of the Commonwealth or one of
426 its governmental units in a general, primary, or special election and who is qualified to have his name
427 placed on the ballot for the office. The candidate shall become subject to the provisions of this chapter
428 upon the filing of a statement of qualification pursuant to § 24.2-501. The State Board of Elections or
429 general registrar shall notify each such candidate of the provisions of this chapter. Notification made by
430 the general registrar shall consist of information developed by the State Board of Elections.

431 "Contract" means any agreement to which a governmental agency is a party, or any agreement on
432 behalf of a governmental agency that involves the payment of money appropriated by the General
433 Assembly or a political subdivision, whether or not such agreement is executed in the name of the
434 Commonwealth, or some political subdivision thereof. "Contract" includes a subcontract only when the
435 contract of which it is a part is with the officer's or employee's own governmental agency.

436 "Council" means the Virginia Conflict of Interest and Ethics Advisory Council established in
437 § 30-355.

438 "Employee" means all persons employed by a governmental or advisory agency, unless otherwise
439 limited by the context of its use.

440 "Financial institution" means any bank, trust company, savings institution, industrial loan association,
441 consumer finance company, credit union, broker-dealer as defined in subsection A of § 13.1-501, or
442 investment company or advisor registered under the federal Investment Advisors Act or Investment
443 Company Act of 1940.

444 "Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item
445 having monetary value. It includes services as well as gifts of transportation, local travel, *and* lodgings
446 *and meals*, whether provided in-kind, *or* by purchase of a ticket, payment in advance, or reimbursement
447 after the expense has been incurred. "Gift" does not include (i) any offer of a ticket, coupon, or other
448 admission or pass unless the ticket, coupon, admission, or pass is used; (ii) honorary degrees; (iii) any
449 athletic, merit, or need-based scholarship or any other financial aid awarded by a public or private
450 school, institution of higher education, or other educational program pursuant to such school, institution,
451 or program's financial aid standards and procedures applicable to the general public; (iv) a campaign
452 contribution properly received and reported pursuant to Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2;
453 (v) any gift related to the private profession or occupation of an officer or employee or of a member of
454 his immediate family; (vi) *any food or beverages consumed while attending beverage associated with an*
455 *event at which that is accepted or received by the filer is performing official duties related to his public*
456 *service while in attendance at the event;* (vii) *food and beverages received at or* registration or
457 attendance fees waived for any event at which the filer is a featured speaker, presenter, or lecturer; (viii)
458 unsolicited awards of appreciation or recognition in the form of a plaque, trophy, wall memento, or
459 similar item that is given in recognition of public, civic, charitable, or professional service; (ix) a devise
460 or inheritance; (x) travel disclosed pursuant to the Campaign Finance Disclosure Act (§ 24.2-945 et
461 seq.); (xi) travel paid for or provided by the government of the United States, any of its territories, or
462 any state or any political subdivision of such state; (xii) travel provided to facilitate attendance by a
463 legislator at a regular or special session of the General Assembly, a meeting of a legislative committee
464 or commission, or a national conference where attendance is approved by the House or Senate
465 Committee on Rules; (xiii) travel related to an official meeting of the Commonwealth, its political
466 subdivisions, or any board, commission, authority, or other entity, or any charitable organization
467 established pursuant to § 501(c)(3) of the Internal Revenue Code affiliated with such entity, to which
468 such person has been appointed or elected or is a member by virtue of his office or employment; *or*
469 (xiv) gifts from relatives or personal friends; (xv) *any gift, including travel, from a nonpartisan state,*
470 *regional, national, or international legislative organization, or an affiliated organization of such*
471 *organization, of which a legislator or an officer or employee of the legislative branch is a member by*
472 *virtue of his office or employment;* (xvi) *any gift, including travel, from a charitable organization*
473 *established pursuant to § 501(c)(3) of the Internal Revenue Code to a legislator or an officer or*
474 *employee of the legislative branch if such organization has been approved by the Joint Rules Committee*

of the General Assembly to make gifts; or (xvii) gifts with a value of less than \$20. For the purpose of this definition, "relative" means the donee's spouse, child, uncle, aunt, niece, nephew, or first cousin; a person to whom the donee is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother, sister, step-parent, step-grandparent, step-grandchild, step-brother, or step-sister; or the donee's brother's or sister's spouse. For the purpose of this definition, "personal friend" does not include any person that the filer knows or has reason to know is (a) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4 of Title 2.2; (b) a lobbyist's principal as defined in § 2.2-419; (c) for an officer or employee of a local governmental or advisory agency, a person, organization, or business who is a party to or is seeking to become a party to a contract with the local agency of which he is an officer or an employee; or (d) for an officer or employee of a state governmental or advisory agency, a person, organization, or business who is a party to or is seeking to become a party to a contract with the Commonwealth. For purposes of this definition, "person, organization, or business" includes individuals who are officers, directors, or owners of or who have a controlling ownership interest in such organization or business.

"Governmental agency" means each component part of the legislative, executive or judicial branches of state and local government, including each office, department, authority, post, commission, committee, and each institution or board created by law to exercise some regulatory or sovereign power or duty as distinguished from purely advisory powers or duties. Corporations organized or controlled by the Virginia Retirement System are "governmental agencies" for purposes of this chapter.

"Immediate family" means (i) a spouse and (ii) any other person who resides in the same household as the officer or employee and who is a dependent of the officer or employee.

"Officer" means any person appointed or elected to any governmental or advisory agency including local school boards, whether or not he receives compensation or other emolument of office. Unless the context requires otherwise, "officer" includes members of the judiciary.

"Parent-subsidiary relationship" means a relationship that exists when one corporation directly or indirectly owns shares possessing more than 50 percent of the voting power of another corporation.

"Personal interest" means a financial benefit or liability accruing to an officer or employee or to a member of his immediate family. Such interest shall exist by reason of (i) ownership in a business if the ownership interest exceeds three percent of the total equity of the business; (ii) annual income that exceeds, or may reasonably be anticipated to exceed, \$5,000 from ownership in real or personal property or a business; (iii) salary, other compensation, fringe benefits, or benefits from the use of property, or any combination thereof, paid or provided by a business or governmental agency that exceeds, or may reasonably be anticipated to exceed, \$5,000 annually; (iv) ownership of real or personal property if the interest exceeds \$5,000 in value and excluding ownership in a business, income, or salary, other compensation, fringe benefits or benefits from the use of property; (v) personal liability incurred or assumed on behalf of a business if the liability exceeds three percent of the asset value of the business; or (vi) an option for ownership of a business or real or personal property if the ownership interest will consist of clause (i) or (iv) above.

"Personal interest in a contract" means a personal interest that an officer or employee has in a contract with a governmental agency, whether due to his being a party to the contract or due to a personal interest in a business that is a party to the contract.

"Personal interest in a transaction" means a personal interest of an officer or employee in any matter considered by his agency. Such personal interest exists when an officer or employee or a member of his immediate family has a personal interest in property or a business or governmental agency, or represents or provides services to any individual or business and such property, business or represented or served individual or business (i) is the subject of the transaction or (ii) may realize a reasonably foreseeable direct or indirect benefit or detriment as a result of the action of the agency considering the transaction. Notwithstanding the above, such personal interest in a transaction shall not be deemed to exist where (a) an elected member of a local governing body serves without remuneration as a member of the board of trustees of a not-for-profit entity and such elected member or member of his immediate family has no personal interest related to the not-for-profit entity or (b) an officer, employee, or elected member of a local governing body is appointed by such local governing body to serve on a governmental agency, or an officer, employee, or elected member of a separate local governmental agency formed by a local governing body is appointed to serve on a governmental agency, and the personal interest in the transaction of the governmental agency is the result of the salary, other compensation, fringe benefits, or benefits provided by the local governing body or the separate governmental agency to the officer, employee, elected member, or member of his immediate family.

"State and local government officers and employees" shall not include members of the General Assembly.

"State filer" means those officers and employees required to file a disclosure statement of their personal interests pursuant to subsection A or B of § 2.2-3114.

"Transaction" means any matter considered by any governmental or advisory agency, whether in a committee, subcommittee, or other entity of that agency or before the agency itself, on which official action is taken or contemplated.

§ 2.2-3103.1. Certain gifts prohibited.

A. For purposes of this section:

"Person, organization, or business" includes individuals who are officers, directors, or owners of or who have a controlling ownership interest in such organization or business.

"Widely attended event" means an event at which at least 25 persons have been invited to attend or there is a reasonable expectation that at least 25 persons will attend the event and the event is open to individuals (i) who share a common interest, (ii) who are members of a public, civic, charitable, or professional organization, (iii) who are from a particular industry or profession, or (iv) who represent persons interested in a particular issue.

B. No officer or employee of a local governmental or advisory agency or candidate required to file the disclosure form prescribed in § 2.2-3117 or a member of his immediate family shall solicit, accept, or receive any single gift with a value in excess of \$100 or any combination of gifts with an aggregate value in excess of \$100 within any calendar year for himself or a member of his immediate family from any person that he or a member of his immediate family knows or has reason to know is (i) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4; (ii) a lobbyist's principal as defined in § 2.2-419; or (iii) a person, organization, or business who is or is seeking to become a party to a contract with the local agency of which he is an officer or an employee. Gifts with a value of less than \$20 are not subject to aggregation for purposes of this prohibition.

C. No officer or employee of a state governmental or advisory agency or candidate required to file the disclosure form prescribed in § 2.2-3117 or a member of his immediate family shall solicit, accept, or receive any single gift with a value in excess of \$100 or any combination of gifts with an aggregate value in excess of \$100 within any calendar year for himself or a member of his immediate family from any person that he or a member of his immediate family knows or has reason to know is (i) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4; (ii) a lobbyist's principal as defined in § 2.2-419; or (iii) a person, organization, or business who is or is seeking to become a party to a contract with the state governmental or advisory agency of which he is an officer or an employee or over which he has the authority to direct such agency's activities. Gifts with a value of less than \$20 are not subject to aggregation for purposes of this prohibition.

D. Notwithstanding the provisions of subsections B and C, such officer, employee, or candidate or a member of his immediate family may accept or receive a gift of food and beverages, entertainment, or the cost of admission with a value in excess of \$100 when such gift is accepted or received while in attendance at a widely attended event and is associated with the event. Such gifts shall be reported on the disclosure form prescribed in § 2.2-3117.

E. Notwithstanding the provisions of subsections B and C, such officer or employee or a member of his immediate family may accept or receive a gift from a foreign dignitary with a value exceeding \$100 for which the fair market value or a gift of greater or equal value has not been provided or exchanged. Such gift shall be accepted on behalf of the Commonwealth or a locality and archived in accordance with guidelines established by the Library of Virginia. Such gift shall be disclosed as having been accepted on behalf of the Commonwealth or a locality, but the value of such gift shall not be required to be disclosed.

F. Notwithstanding the provisions of subsections B and C, such officer, employee, or candidate or a member of his immediate family may accept or receive certain gifts with a value in excess of \$100 from a person listed in subsection B or C if such gift was provided to such officer, employee, or candidate or a member of his immediate family on the basis of a personal friendship. Notwithstanding any other provision of law, a person listed in subsection B or C may be a personal friend of such officer, employee, or candidate or his immediate family for purposes of this subsection. In determining whether a person listed in subsection B or C is a personal friend, the following factors shall be considered: (i) the circumstances under which the gift was offered; (ii) the history of the relationship between the person and the donor, including the nature and length of the friendship and any previous exchange of gifts between them; (iii) to the extent known to the person, whether the donor personally paid for the gift or sought a tax deduction or business reimbursement for the gift; and (iv) whether the donor has given the same or similar gifts to other persons required to file the disclosure form prescribed in § 2.2-3117 or 30-111.

G. Notwithstanding the provisions of subsections B and C, such officer, employee, or candidate or a member of his immediate family may accept or receive gifts of travel, including travel-related transportation, lodging, hospitality, food or beverages, or other thing of value, with a value in excess of \$100 that is paid for or provided by a person listed in subsection B or C when the officer, employee, or candidate has submitted a request for approval of such travel to the Council and has received the approval of the Council pursuant to § 30-356.1. Such gifts shall be reported on the disclosure form

prescribed in § 2.2-3117.

H. During the pendency of a civil action in any state or federal court to which the Commonwealth is a party, the Governor or the Attorney General or any employee of the Governor or the Attorney General who is subject to the provisions of this chapter shall not solicit, accept, or receive any gift from any person that he knows or has reason to know is a person, organization, or business that is a party to such civil action. A person, organization, or business that is a party to such civil action shall not knowingly give any gift to the Governor or the Attorney General or any of their employees who are subject to the provisions of this chapter.

I. The \$100 limitation imposed in accordance with this section shall be adjusted by the Council every five years, as of January 1 of that year, in an amount equal to the annual increases for that five-year period in the United States Average Consumer Price Index for all items, all urban consumers (CPI-U), as published by the Bureau of Labor Statistics of the U.S. Department of Labor, rounded to the nearest whole dollar.

§ 2.2-3106. Prohibited contracts by officers and employees of state government and Eastern Virginia Medical School.

A. No officer or employee of any governmental agency of state government or Eastern Virginia Medical School shall have a personal interest in a contract with the governmental agency of which he is an officer or employee, other than his own contract of employment.

B. No officer or employee of any governmental agency of state government or Eastern Virginia Medical School shall have a personal interest in a contract with any other governmental agency of state government unless such contract is (i) awarded as a result of competitive sealed bidding or competitive negotiation as set forth in § 2.2-4302.1 or 2.2-4302.2 or (ii) is awarded after a finding, in writing, by the administrative head of the governmental agency that competitive bidding or negotiation is contrary to the best interest of the public.

C. The provisions of this section shall not apply to:

1. An employee's personal interest in additional contracts of employment with his own governmental agency that accrue to him because of a member of his immediate family, provided the employee does not exercise any control over the employment or the employment activities of the member of his immediate family and the employee is not in a position to influence those activities;

2. The personal interest of an officer or employee of a state institution of higher education or the Eastern Virginia Medical School in additional contracts of employment with his own governmental agency that accrue to him because of a member of his immediate family, provided (i) the officer or employee and the immediate family member are engaged in teaching, research or administrative support positions at the educational institution or the Eastern Virginia Medical School, (ii) the governing board of the educational institution finds that it is in the best interests of the institution or the Eastern Virginia Medical School and the Commonwealth for such dual employment to exist, and (iii) after such finding, the governing board of the educational institution or the Eastern Virginia Medical School ensures that the officer or employee, or the immediate family member, does not have sole authority to supervise, evaluate or make personnel decisions regarding the other;

3. An officer's or employee's personal interest in a contract of employment with any other governmental agency of state government;

4. Contracts for the sale by a governmental agency or the Eastern Virginia Medical School of services or goods at uniform prices available to the general public;

5. An employee's personal interest in a contract between a public institution of higher education in Virginia or the Eastern Virginia Medical School and a publisher or wholesaler of textbooks or other educational materials for students, which accrues to him solely because he has authored or otherwise created such textbooks or materials;

6. An employee's personal interest in a contract with his or her employing public institution of higher education to acquire the collections or scholarly works owned by the employee, including manuscripts, musical scores, poetry, paintings, books or other materials, writings, or papers of an academic, research, or cultural value to the institution, provided the president of the institution approves the acquisition of such collections or scholarly works as being in the best interests of the institution's public mission of service, research, or education;

7. Subject to approval by the board of visitors, an employee's personal interest in a contract between the Eastern Virginia Medical School or a public institution of higher education in Virginia that operates a school of medicine or dentistry and a not-for-profit nonstock corporation that operates a clinical practice within such public institution of higher education or the Eastern Virginia Medical School and of which such employee is a member or employee;

8. Subject to approval by the relevant board of visitors, an employee's personal interest in a contract for research and development or commercialization of intellectual property between a public institution of higher education in Virginia or the Eastern Virginia Medical School and a business in which the

employee has a personal interest, if (i) the employee's personal interest has been disclosed to and approved by such public institution of higher education or the Eastern Virginia Medical School prior to the time at which the contract is entered into; (ii) the employee promptly files a disclosure statement pursuant to § 2.2-3117 and thereafter files such statement annually on or before ~~December~~ *January* 15; (iii) the institution has established a formal policy regarding such contracts, approved by the State Council of Higher Education or, in the case of the Eastern Virginia Medical School, a formal policy regarding such contracts in conformity with any applicable federal regulations that has been approved by its board of visitors; and (iv) no later than December 31 of each year, the institution or the Eastern Virginia Medical School files an annual report with the Secretary of the Commonwealth disclosing each open contract entered into subject to this provision, the names of the parties to each contract, the date each contract was executed and its term, the subject of each contractual arrangement, the nature of the conflict of interest, the institution's or the Eastern Virginia Medical School's employee responsible for administering each contract, the details of the institution's or the Eastern Virginia Medical School's commitment or investment of resources or finances for each contract, and any other information requested by the Secretary of the Commonwealth; or

9. Subject to approval by the relevant board of visitors, an employee's personal interest in a contract between a public institution of higher education in Virginia or the Eastern Virginia Medical School and a business in which the employee has a personal interest, if (i) the personal interest has been disclosed to the institution or the Eastern Virginia Medical School prior to the time the contract is entered into; (ii) the employee files a disclosure statement pursuant to § 2.2-3117 and thereafter annually on or before ~~December~~ *January* 15; (iii) the employee does not participate in the institution's or the Eastern Virginia Medical School's decision to contract; (iv) the president of the institution or the Eastern Virginia Medical School finds and certifies in writing that the contract is for goods and services needed for quality patient care, including related medical education or research, by the institution's medical center or the Eastern Virginia Medical School, its affiliated teaching hospitals and other organizations necessary for the fulfillment of its mission, including the acquisition of drugs, therapies and medical technologies; and (v) no later than December 31 of each year, the institution or the Eastern Virginia Medical School files an annual report with the Secretary of the Commonwealth disclosing each open contract entered subject to this provision, the names of the parties to each contract, the date each contract was executed and its term, the subject of each contractual arrangement, the nature of the conflict of interest, the institution's or the Eastern Virginia Medical School's employee responsible for administering each contract, the details of the institution's or the Eastern Virginia Medical School's commitment or investment of resources or finances for each contract, and any other information requested by the Secretary of the Commonwealth.

D. Notwithstanding the provisions of subdivisions C 8 and C 9, if the research and development or commercialization of intellectual property or the employee's personal interest in a contract with a business is subject to policies and regulations governing conflicts of interest promulgated by any agency of the United States government, including the adoption of policies requiring the disclosure and management of such conflicts of interests, the policies established by the Eastern Virginia Medical School pursuant to such federal requirements shall constitute compliance with subdivisions C 8 and C 9, upon notification by the Eastern Virginia Medical School to the Secretary of the Commonwealth by January 31 of each year of evidence of their compliance with such federal policies and regulations.

E. The board of visitors may delegate the authority granted under subdivision C 8 to the president of the institution. If the board elects to delegate such authority, the board shall include this delegation of authority in the formal policy required by clause (iii) of subdivision C 8. In those instances where the board has delegated such authority, on or before December 1 of each year, the president of the relevant institution shall file a report with the relevant board of visitors disclosing each open contract entered into subject to this provision, the names of the parties to each contract, the date each contract was executed and its term, the subject of each contractual arrangement, the nature of the conflict of interest, the institution's or the Eastern Virginia Medical School's employee responsible for administering each contract, the details of the institution's or the Eastern Virginia Medical School's commitment or investment of resources or finances for each contract, the details of how revenues are to be dispersed, and any other information requested by the board of visitors.

§ 2.2-3109.1. Prohibited contracts; additional exclusions for contracts by officers and employees of hospital authorities.

A. As used in this section, "hospital authority" means a hospital authority established pursuant to Chapter 53 (§ 15.2-5300 et seq.) of Title 15.2 or an Act of Assembly.

B. The provisions of § 2.2-3109 shall not apply to:

1. The personal interest of an officer or employee of a hospital authority in additional contracts of employment with his own governmental agency that accrue to him because of a member of his immediate family, provided (i) the officer or employee and the immediate family member are licensed members of the medical profession or hold administrative support positions at the hospital authority, (ii)

the governing board of the hospital authority finds that it is in the best interests of the hospital authority and the county, city, or town for such dual employment to exist, and (iii) after such finding, the governing board of the hospital authority ensures that neither the officer or employee, nor the immediate family member, has sole authority to supervise, evaluate, or make personnel decisions regarding the other;

2. Subject to approval by the governing board of the hospital authority, an officer or employee's personal interest in a contract between his hospital authority and a professional entity that operates a clinical practice at any medical facilities of such other hospital authority and of which such officer or employee is a member or employee;

3. Subject to approval by the relevant governing body, an officer or employee's personal interest in a contract for research and development or commercialization of intellectual property between the hospital authority and a business in which the employee has a personal interest, provided (i) the officer or employee's personal interest has been disclosed to and approved by the hospital authority prior to the time at which the contract is entered into; (ii) the officer or employee promptly files a disclosure statement pursuant to § 2.2-3117 and thereafter files such statement annually on or before ~~December~~ *January* 15; (iii) the local hospital authority has established a formal policy regarding such contracts in conformity with any applicable federal regulations that has been approved by its governing body; and (iv) no later than December 31 of each year, the local hospital authority files an annual report with the Virginia Conflict of Interest and Ethics Advisory Council disclosing each open contract entered into subject to this provision, the names of the parties to each contract, the date each contract was executed and its term, the subject of each contractual arrangement, the nature of the conflict of interest, the hospital authority's employee responsible for administering each contract, the details of such hospital authority's commitment or investment of resources or finances for each contract, and any other information requested by the Virginia Conflict of Interest and Ethics Advisory Council; or

4. Subject to approval by the relevant governing body, an officer or employee's personal interest in a contract between the hospital authority and a business in which the officer or employee has a personal interest, provided (i) the personal interest has been disclosed to the hospital authority prior to the time the contract is entered into; (ii) the officer or employee files a disclosure statement pursuant to § 2.2-3117 and thereafter annually on or before ~~December~~ *January* 15; (iii) the officer or employee does not participate in the hospital authority's decision to contract; (iv) the president or chief executive officer of the hospital authority finds and certifies in writing that the contract is for goods and services needed for quality patient care, including related medical education or research, by any of the hospital authority's medical facilities or any of its affiliated organizations, or is otherwise necessary for the fulfillment of its mission, including but not limited to the acquisition of drugs, therapies, and medical technologies; and (v) no later than December 31 of each year, the hospital authority files an annual report with the Virginia Conflict of Interest and Ethics Advisory Council disclosing each open contract entered into subject to this provision, the names of the parties to each contract, the date each contract was executed and its term, the subject of each contractual arrangement, the nature of the conflict of interest, the hospital authority's employee responsible for administering each contract, the details of the hospital authority's commitment or investment of resources or finances for each contract, and any other information requested by the Virginia Conflict of Interest and Ethics Advisory Council.

C. Notwithstanding the provisions of subdivisions B 3 and B 4, if the research and development or commercialization of intellectual property or the officer or employee's personal interest in a contract with a business is subject to policies and regulations governing conflicts of interest promulgated by any agency of the United States government, including the adoption of policies requiring the disclosure and management of such conflicts of interest, the policies established by the hospital authority pursuant to such federal requirements shall constitute compliance with subdivisions B 3 and B 4, upon notification by the hospital authority to the Virginia Conflict of Interest and Ethics Advisory Council by January 31 of each year of evidence of its compliance with such federal policies and regulations.

D. The governing body may delegate the authority granted under subdivision B 2 to the president or chief executive officer of hospital authority. If the board elects to delegate such authority, the board shall include this delegation of authority in the formal policy required by clause (iii) of subdivision B 3. In those instances where the board has delegated such authority, on or before December 1 of each year, the president or chief executive officer of the hospital authority shall file a report with the relevant governing body disclosing each open contract entered into subject to this provision, the names of the parties to each contract, the date each contract was executed and its term, the subject of each contractual arrangement, the nature of the conflict of interest, the hospital authority's employee responsible for administering each contract, the details of the hospital authority's commitment or investment of resources or finances for each contract, the details of how revenues are to be dispersed, and any other information requested by the governing body.

§ 2.2-3114. Disclosure by state officers and employees.

822 A. The Governor, Lieutenant Governor, Attorney General, Justices of the Supreme Court, judges of
823 the Court of Appeals, judges of any circuit court, judges and substitute judges of any district court,
824 members of the State Corporation Commission, members of the Virginia Workers' Compensation
825 Commission, members of the Commonwealth Transportation Board, members of the Board of Trustees
826 of the Virginia Retirement System, members of the Virginia Alcoholic Beverage Control Board, and
827 members of the Virginia Lottery Board and other persons occupying such offices or positions of trust or
828 employment in state government, including members of the governing bodies of authorities, as may be
829 designated by the Governor, or officers or employees of the legislative branch, as may be designated by
830 the Joint Rules Committee of the General Assembly, shall file with the Council, as a condition to
831 assuming office or employment, a disclosure statement of their personal interests and such other
832 information as is specified on the form set forth in § 2.2-3117 and thereafter shall file such a statement
833 ~~semiannually by December~~ *annually on or before January 15 for the preceding six-month period*
834 ~~complete through the last day of October and by June 15 for the preceding six-month period complete~~
835 ~~through the last day of April.~~ When the filing deadline falls on a Saturday, Sunday, or legal holiday, the
836 disclosure statement shall be filed on the next day that is not a Saturday, Sunday, or legal holiday.

837 B. Nonsalaried citizen members of all policy and supervisory boards, commissions and councils in
838 the executive branch of state government, other than the Commonwealth Transportation Board, members
839 of the Board of Trustees of the Virginia Retirement System, and the Virginia Lottery Board, shall file
840 with the Council, as a condition to assuming office, a disclosure form of their personal interests and
841 such other information as is specified on the form set forth in § 2.2-3118 and thereafter shall file such
842 form ~~annually on or before December~~ *January 15*. When the filing deadline falls on a Saturday, Sunday,
843 or legal holiday, the disclosure statement shall be filed on the next day that is not a Saturday, Sunday,
844 or legal holiday. Nonsalaried citizen members of other boards, commissions and councils, including
845 advisory boards and authorities, may be required to file a disclosure form if so designated by the
846 Governor, in which case the form shall be that set forth in § 2.2-3118.

847 C. (Effective January 1, 2016, until July 1, 2016) The disclosure forms required by subsections A
848 and B shall be made available by the Council at least 30 days prior to the filing deadline. Disclosure
849 forms shall be filed and maintained as public records for five years in the office of the Council. Such
850 forms shall be made public no later than six weeks after filing.

851 C. (Effective July 1, 2016) The disclosure forms required by subsections A and B shall be made
852 available by the Council at least 30 days prior to the filing deadline. Disclosure forms shall be filed
853 electronically with the Council in accordance with the standards approved by it pursuant to § 30-356.
854 All forms shall be maintained as public records for five years in the office of the Council. Such forms
855 shall be made public no later than six weeks after filing.

856 D. Candidates for the offices of Governor, Lieutenant Governor or Attorney General shall file a
857 disclosure statement of their personal interests as required by § 24.2-502.

858 E. Any officer or employee of state government who has a personal interest in any transaction before
859 the governmental or advisory agency of which he is an officer or employee and who is disqualified
860 from participating in that transaction pursuant to subdivision A 1 of § 2.2-3112, or otherwise elects to
861 disqualify himself, shall forthwith make disclosure of the existence of his interest, including the full
862 name and address of the business and the address or parcel number for the real estate if the interest
863 involves a business or real estate, and his disclosure shall also be reflected in the public records of the
864 agency for five years in the office of the administrative head of the officer's or employee's governmental
865 agency or advisory agency or, if the agency has a clerk, in the clerk's office.

866 F. An officer or employee of state government who is required to declare his interest pursuant to
867 subdivision A 2 of § 2.2-3112, shall declare his interest by stating (i) the transaction involved, (ii) the
868 nature of the officer's or employee's personal interest affected by the transaction, (iii) that he is a
869 member of a business, profession, occupation, or group the members of which are affected by the
870 transaction, and (iv) that he is able to participate in the transaction fairly, objectively, and in the public
871 interest. The officer or employee shall either make his declaration orally to be recorded in written
872 minutes for his agency or file a signed written declaration with the clerk or administrative head of his
873 governmental or advisory agency, as appropriate, who shall, in either case, retain and make available for
874 public inspection such declaration for a period of five years from the date of recording or receipt. If
875 reasonable time is not available to comply with the provisions of this subsection prior to participation in
876 the transaction, the officer or employee shall prepare and file the required declaration by the end of the
877 next business day.

878 G. An officer or employee of state government who is required to declare his interest pursuant to
879 subdivision A 3 of § 2.2-3112, shall declare his interest by stating (i) the transaction involved, (ii) that a
880 party to the transaction is a client of his firm, (iii) that he does not personally represent or provide
881 services to the client, and (iv) that he is able to participate in the transaction fairly, objectively, and in
882 the public interest. The officer or employee shall either make his declaration orally to be recorded in
883 written minutes for his agency or file a signed written declaration with the clerk or administrative head

of his governmental or advisory agency, as appropriate, who shall, in either case, retain and make available for public inspection such declaration for a period of five years from the date of recording or receipt. If reasonable time is not available to comply with the provisions of this subsection prior to participation in the transaction, the officer or employee shall prepare and file the required declaration by the end of the next business day.

H. Notwithstanding any other provision of law, chairs of departments at a public institution of higher education in the Commonwealth shall not be required to file the disclosure form prescribed by § 2.2-3117 or 2.2-3118.

§ 2.2-3114.2. Report of gifts by certain officers and employees of state government.

The Governor, Lieutenant Governor, Attorney General, and each member of the Governor's Cabinet shall file, on or before May 1, a report of gifts accepted or received by him or a member of his immediate family during the period beginning on the first day of the regular session of the General Assembly complete through adjournment sine die of that session. The gift report shall be on a form prescribed by the Council and shall be filed electronically with the Council in accordance with the standards approved by it pursuant to § 30-356. For purposes of this section, "adjournment sine die" means adjournment on the last legislative day of the regular session and does not include the ensuing reconvened session.

§ 2.2-3115. Disclosure by local government officers and employees.

A. The members of every governing body and school board of each county and city and of towns with populations in excess of 3,500 shall file, as a condition to assuming office or employment, a disclosure statement of their personal interests and other information as is specified on the form set forth in § 2.2-3117 and thereafter shall file such a statement ~~semiannually by December~~ *annually on or before January 15 for the preceding six-month period complete through the last day of October and by June 15 for the preceding six-month period complete through the last day of April.*

The members of the governing body of any authority established in any county or city, or part or combination thereof, and having the power to issue bonds or expend funds in excess of \$10,000 in any fiscal year, shall file, as a condition to assuming office, a disclosure statement of their personal interests and other information as is specified on the form set forth in § 2.2-3118 and thereafter shall file such a statement ~~annually on or before December~~ *January 15, unless the governing body of the jurisdiction that appoints the members requires that the members file the form set forth in § 2.2-3117 semiannually by December 15 for the preceding six-month period complete through the last day of October and by June 15 for the preceding six-month period complete through the last day of April.*

Persons occupying such positions of trust appointed by governing bodies and persons occupying such positions of employment with governing bodies as may be designated to file by ordinance of the governing body shall file, as a condition to assuming office or employment, a disclosure statement of their personal interests and other information as is specified on the form set forth in § 2.2-3117 and thereafter shall file such a statement ~~semiannually by December~~ *annually on or before January 15 for the preceding six-month period complete through the last day of October and by June 15 for the preceding six-month period complete through the last day of April.*

Persons occupying such positions of trust appointed by school boards and persons occupying such positions of employment with school boards as may be designated to file by an adopted policy of the school board shall file, as a condition to assuming office or employment, a disclosure statement of their personal interests and other information as is specified on the form set forth in § 2.2-3117 and thereafter shall file such a statement ~~semiannually by December~~ *annually on or before January 15 for the preceding six-month period complete through the last day of October and by June 15 for the preceding six-month period complete through the last day of April.*

B. Nonsalaried citizen members of local boards, commissions and councils as may be designated by the governing body shall file, as a condition to assuming office, a disclosure form of their personal interests and such other information as is specified on the form set forth in § 2.2-3118 and thereafter shall file such form ~~annually on or before December~~ *January 15.*

C. No person shall be mandated to file any disclosure not otherwise required by this article.

D. The disclosure forms required by subsections A and B shall be made available by the Virginia Conflict of Interest and Ethics Advisory Council at least 30 days prior to the filing deadline, and the clerks of the governing body and school board shall distribute the forms to designated individuals at least 20 days prior to the filing deadline. Forms shall be filed and maintained as public records for five years in the office of the clerk of the respective governing body or school board. Forms filed by members of governing bodies of authorities shall be filed and maintained as public records for five years in the office of the clerk of the governing body of the county or city. Such forms shall be made public no later than six weeks after filing.

E. Candidates for membership in the governing body or school board of any county, city or town with a population of more than 3,500 persons shall file a disclosure statement of their personal interests

905 as required by § 24.2-502.

906 F. Any officer or employee of local government who has a personal interest in any transaction before
907 the governmental or advisory agency of which he is an officer or employee and who is disqualified
908 from participating in that transaction pursuant to subdivision A 1 of § 2.2-3112 or otherwise elects to
909 disqualify himself, shall forthwith make disclosure of the existence of his interest, including the full
910 name and address of the business and the address or parcel number for the real estate if the interest
911 involves a business or real estate, and his disclosure shall be reflected in the public records of the
912 agency for five years in the office of the administrative head of the officer's or employee's governmental
913 or advisory agency.

914 G. In addition to any disclosure required by subsections A and B, in each county and city and in
915 towns with populations in excess of 3,500, members of planning commissions, boards of zoning appeals,
916 real estate assessors, and all county, city and town managers or executive officers shall make annual
917 disclosures of all their interests in real estate located in the county, city or town in which they are
918 elected, appointed, or employed. Such disclosure shall include any business in which such persons own
919 an interest, or from which income is received, if the primary purpose of the business is to own, develop
920 or derive compensation through the sale, exchange or development of real estate in the county, city or
921 town. Such disclosure shall be filed as a condition to assuming office or employment, and thereafter
922 shall be filed annually with the clerk of the governing body of such county, city, or town on or before
923 ~~December~~ January 15. Such disclosures shall be filed and maintained as public records for five years.
924 Such forms shall be made public no later than six weeks after filing. Forms for the filing of such reports
925 shall be made available by the Virginia Conflict of Interest and Ethics Advisory Council to the clerk of
926 each governing body.

927 H. An officer or employee of local government who is required to declare his interest pursuant to
928 subdivision A 2 of § 2.2-3112 shall declare his interest by stating (i) the transaction involved, (ii) the
929 nature of the officer's or employee's personal interest affected by the transaction, (iii) that he is a
930 member of a business, profession, occupation, or group the members of which are affected by the
931 transaction, and (iv) that he is able to participate in the transaction fairly, objectively, and in the public
932 interest. The officer or employee shall either make his declaration orally to be recorded in written
933 minutes for his agency or file a signed written declaration with the clerk or administrative head of his
934 governmental or advisory agency, as appropriate, who shall, in either case, retain and make available for
935 public inspection such declaration for a period of five years from the date of recording or receipt. If
936 reasonable time is not available to comply with the provisions of this subsection prior to participation in
937 the transaction, the officer or employee shall prepare and file the required declaration by the end of the
938 next business day. The officer or employee shall also orally disclose the existence of the interest during
939 each meeting of the governmental or advisory agency at which the transaction is discussed and such
940 disclosure shall be recorded in the minutes of the meeting.

941 I. An officer or employee of local government who is required to declare his interest pursuant to
942 subdivision A 3 of § 2.2-3112, shall declare his interest by stating (i) the transaction involved, (ii) that a
943 party to the transaction is a client of his firm, (iii) that he does not personally represent or provide
944 services to the client, and (iv) that he is able to participate in the transaction fairly, objectively, and in
945 the public interest. The officer or employee shall either make his declaration orally to be recorded in
946 written minutes for his agency or file a signed written declaration with the clerk or administrative head
947 of his governmental or advisory agency, as appropriate, who shall, in either case, retain and make
948 available for public inspection such declaration for a period of five years from the date of recording or
949 receipt. If reasonable time is not available to comply with the provisions of this subsection prior to
950 participation in the transaction, the officer or employee shall prepare and file the required declaration by
951 the end of the next business day.

952 **§ 2.2-3116. (Effective from January 1, 2016, until July 1, 2016) Disclosure by certain**
953 **constitutional officers.**

954 For the purposes of this chapter, holders of the constitutional offices of treasurer, sheriff, attorney for
955 the Commonwealth, clerk of the circuit court, and commissioner of the revenue of each county and city
956 shall be required to file with the Council, as a condition to assuming office, the Statement of Economic
957 Interests set forth in § 2.2-3117. These officers shall file statements ~~semiannually by December~~ annually
958 ~~on or before January 15 for the preceding six-month period complete through the last day of October~~
959 ~~and by June 15 for the preceding six-month period complete through the last day of April.~~ Candidates
960 shall file statements as required by § 24.2-502. These officers shall be subject to the prohibition on
961 certain gifts set forth in subsection B of § 2.2-3103.1.

962 **§ 2.2-3116. (Effective July 1, 2016) Disclosure by certain constitutional officers.**

963 For the purposes of this chapter, holders of the constitutional offices of treasurer, sheriff, attorney for
964 the Commonwealth, clerk of the circuit court, and commissioner of the revenue of each county and city
965 shall be required to file with the Council, as a condition to assuming office, the Statement of Economic
966 Interests set forth in § 2.2-3117. These officers shall file statements ~~semiannually by December~~ annually

on or before January 15 for the preceding six-month period complete through the last day of October and by June 15 for the preceding six-month period complete through the last day of April. Candidates shall file statements as required by § 24.2-502. Statements shall be filed electronically with the Council in accordance with the standards approved by it pursuant to § 30-356. These officers shall be subject to the prohibition on certain gifts set forth in subsection B of § 2.2-3103.1.

§ 2.2-3117. Disclosure form.

(Effective from January 1, 2016, until July 1, 2016) The disclosure form to be used for filings required by subsections A and D of § 2.2-3114 and subsections A and E of § 2.2-3115 shall be substantially similar to the following. Any person who knowingly and intentionally makes a false statement of a material fact on the Statement of Economic Interests is guilty of a Class 5 felony.

(Effective July 1, 2016) The disclosure form to be used for filings required by subsections A and D of § 2.2-3114 and subsections A and E of § 2.2-3115 shall be substantially similar to the following. Except as otherwise provided in § 2.2-3115, all completed forms shall be filed electronically with the Council in accordance with the standards approved by it pursuant to § 30-356. Any person who knowingly and intentionally makes a false statement of a material fact on the Statement of Economic Interests is guilty of a Class 5 felony.

STATEMENT OF ECONOMIC INTERESTS.

Name _____

Office or position held or sought _____

Address _____

Names of members of immediate family _____

DEFINITIONS AND EXPLANATORY MATERIAL.

"Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise, association, trust or foundation, or any other individual or entity carrying on a business or profession, whether or not for profit.

"Close financial association" means an association in which the person filing shares significant financial involvement with an individual and the filer would reasonably be expected to be aware of the individual's business activities and would have access to the necessary records either directly or through the individual. "Close financial association" does not mean an association based on (i) the receipt of retirement benefits or deferred compensation from a business by which the person filing this statement is no longer employed, or (ii) the receipt of compensation for work performed by the person filing as an independent contractor of a business that represents an entity before any state governmental agency when the person filing has had no communications with the state governmental agency.

"Contingent liability" means a liability that is not presently fixed or determined, but may become fixed or determined in the future with the occurrence of some certain event.

"Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item having monetary value. It includes services as well as gifts of transportation, *and* lodgings *and* meals, whether provided in-kind; *or* by purchase of a ticket, payment in advance, or reimbursement after the expense has been incurred. "Gift" does not include (i) any offer of a ticket, coupon, or other admission or pass unless the ticket, coupon, admission, or pass is used; (ii) honorary degrees; (iii) any athletic, merit, or need-based scholarship or any other financial aid awarded by a public or private school, institution of higher education, or other educational program pursuant to such school, institution, or program's financial aid standards and procedures applicable to the general public; (iv) a campaign contribution properly received and reported pursuant to Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2; (v) any gift related to the private profession or occupation of an officer or employee or of a member of his immediate family; (vi) *any food or beverages consumed while attending beverage associated with an event at which that is accepted or received by the filer is performing official duties related to his public service while in attendance at the event;* (vii) *food and beverages received at or* registration or attendance fees waived for any event at which the filer is a featured speaker, presenter, or lecturer; (viii) unsolicited awards of appreciation or recognition in the form of a plaque, trophy, wall memento, or similar item that is given in recognition of public, civic, charitable, or professional service; (ix) a devise or inheritance; (x) travel disclosed pursuant to the Campaign Finance Disclosure Act (§ 24.2-945 et seq.); (xi) travel paid for or provided by the government of the United States, any of its territories, or any state or any political subdivision of such state; (xii) travel provided to facilitate attendance by a legislator at a regular or special session of the General Assembly, a meeting of a legislative committee or commission, or a national conference where attendance is approved by the House or Senate Committee on Rules; (xiii) travel related to an official meeting of the Commonwealth, its political subdivisions, or any board, commission, authority, or other entity, or any charitable organization established pursuant to § 501(c)(3) of the Internal Revenue Code affiliated with such entity, to which such person has been appointed or elected or is a member by virtue of his office or employment; ~~or~~ (xiv) gifts from relatives or personal friends; (xv) *any gift, including travel, from a nonpartisan state,*

1028 regional, national, or international legislative organization, or an affiliated organization of such
1029 organization, of which a legislator or an officer or employee of the legislative branch is a member by
1030 virtue of his office or employment; (xvi) any gift, including travel, from a charitable organization
1031 established pursuant to § 501(c)(3) of the Internal Revenue Code to a legislator or an officer or
1032 employee of the legislative branch if such organization has been approved by the Joint Rules Committee
1033 of the General Assembly to make gifts; or (xvii) gifts with a value of less than \$20. "Relative" means the
1034 donee's spouse, child, uncle, aunt, niece, nephew, or first cousin; a person to whom the donee is
1035 engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother, sister,
1036 step-parent, step-grandparent, step-grandchild, step-brother, or step-sister; or the donee's brother's or
1037 sister's spouse. "Personal friend" does not include any person that the filer knows or has reason to know
1038 is (a) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4 of Title 2.2; (b) a
1039 lobbyist's principal as defined in § 2.2-419; (c) for an officer or employee of a local governmental or
1040 advisory agency, a person, organization, or business who is a party to or is seeking to become a party to
1041 a contract with the local agency of which he is an officer or an employee; or (d) for an officer or
1042 employee of a state governmental or advisory agency, a person, organization, or business who is a party
1043 to or is seeking to become a party to a contract with the Commonwealth. "Person, organization, or
1044 business" includes individuals who are officers, directors, or owners of or who have a controlling
1045 ownership interest in such organization or business.

1046 "Immediate family" means (i) a spouse and (ii) any other person who resides in the same household
1047 as the officer or employee and who is a dependent of the officer or employee.

1048 TRUST. If you or your immediate family, separately or together, are the only beneficiaries of a trust,
1049 treat the trust's assets as if you own them directly. If you or your immediate family has a proportional
1050 interest in a trust, treat that proportion of the trust's assets as if you own them directly. For example, if
1051 you and your immediate family have a one-third interest in a trust, complete your Statement as if you
1052 own one-third of each of the trust's assets. If you or a member of your immediate family created a trust
1053 and can revoke it without the beneficiaries' consent, treat its assets as if you own them directly.

1054 REPORT TO THE BEST OF INFORMATION AND BELIEF. Information required on this
1055 Statement must be provided on the basis of the best knowledge, information, and belief of the individual
1056 filing the Statement as of the date of this report unless otherwise stated.

1057 COMPLETE ITEMS 1 THROUGH 10. REFER TO SCHEDULES ONLY IF DIRECTED.

1058 You may attach additional explanatory information.

1059 1. Offices and Directorships.

1060 Are you or a member of your immediate family a paid officer or paid director of a business?

1061 EITHER check NO // OR check YES // and complete Schedule A.

1062 2. Personal Liabilities.

1063 Do you or a member of your immediate family owe more than \$5,000 to any one creditor including
1064 contingent liabilities? (Exclude debts to any government and loans secured by recorded liens on property
1065 at least equal in value to the loan.)

1066 EITHER check NO // OR check YES // and complete Schedule B.

1067 3. Securities.

1068 Do you or a member of your immediate family, directly or indirectly, separately or together, own
1069 securities valued in excess of \$5,000 invested in one business? Account for mutual funds, limited
1070 partnerships and trusts.

1071 EITHER check NO // OR check YES // and complete Schedule C.

1072 4. Payments for Talks, Meetings, and Publications.

1073 During the past ~~six~~ 12 months did you receive in your capacity as an officer or employee of your
1074 agency lodging, transportation, money, or anything else of value with a combined value exceeding \$100
1075 (i) for a single talk, meeting, or published work or (ii) for a meeting, conference, or event where your
1076 attendance at the meeting, conference, or event was designed to (a) educate you on issues relevant to
1077 your duties as an officer or employee of your agency or (b) enhance your knowledge and skills relative
1078 to your duties as an officer or employee of your agency?

1079 EITHER check NO // OR check YES // and complete Schedule D.

1080 5. Gifts.

1081 During the past ~~six~~ 12 months did a business, government, or individual other than a relative or
1082 personal friend (i) furnish you or a member of your immediate family with any gift or entertainment at
1083 a single event and the value received exceeded ~~\$50~~ \$100 or (ii) furnish you or a member of your
1084 immediate family with gifts or entertainment in any combination and the total value received exceeded
1085 ~~\$50~~ \$100, and for which you or the member of your immediate family neither paid nor rendered
1086 services in exchange? Account for entertainment events only if the average value per person attending
1087 the event exceeded ~~\$50~~ \$100. Account for all business entertainment (except if related to the private
1088 profession or occupation of you or the member of your immediate family who received such business
1089 entertainment) even if unrelated to your official duties.

EITHER check NO // OR check YES // and complete Schedule E.

6. Salary and Wages.

List each employer that pays you or a member of your immediate family salary or wages in excess of \$5,000 annually. (Exclude state or local government or advisory agencies.)

If no reportable salary or wages, check here //.

7. Business Interests.

Do you or a member of your immediate family, separately or together, operate your own business, or own or control an interest in excess of \$5,000 in a business?

EITHER check NO // OR check YES // and complete Schedule F.

8. Payments for Representation and Other Services.

8A. Did you represent, excluding activity defined as lobbying in § 2.2-419, any businesses before any state governmental agencies, excluding courts or judges, for which you received total compensation during the past ~~six~~ 12 months in excess of \$1,000, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers? (Officers and employees of local governmental and advisory agencies do NOT need to answer this question or complete Schedule G-1.)

EITHER check NO // OR check YES // and complete Schedule G-1.

8B. Subject to the same exceptions as in 8A, did persons with whom you have a close financial association (partners, associates or others) represent, excluding activity defined as lobbying in § 2.2-419, any businesses before any state governmental agency for which total compensation was received during the past ~~six~~ 12 months in excess of \$1,000? (Officers and employees of local governmental and advisory agencies do NOT need to answer this question or complete Schedule G-2.)

EITHER check NO // OR check YES // and complete Schedule G-2.

8C. Did you or persons with whom you have a close financial association furnish services to businesses operating in Virginia pursuant to an agreement between you and such businesses, or between persons with whom you have a close financial association and such businesses for which total compensation in excess of \$1,000 was received during the past ~~six~~ 12 months? Services reported under this provision shall not include services involving the representation of businesses that are reported under item 8A or 8B.

EITHER check NO // OR check YES // and complete Schedule G-3.

9. Real Estate.

9A. State Officers and Employees.

Do you or a member of your immediate family hold an interest, including a partnership interest, valued at more than \$5,000 in real property (other than your principal residence) for which you have not already listed the full address on Schedule F? Account for real estate held in trust.

EITHER check NO // OR check YES // and complete Schedule H-1.

9B. Local Officers and Employees.

Do you or a member of your immediate family hold an interest, including a partnership interest, or option, easement, or land contract, valued at more than \$5,000 in real property (other than your principal residence) for which you have not already listed the full address on Schedule F? Account for real estate held in trust.

EITHER check NO // OR check YES // and complete Schedule H-2.

10. Real Estate Contracts with Governmental Agencies.

Do you or a member of your immediate family hold an interest valued at more than \$5,000 in real estate, including a corporate, partnership, or trust interest, option, easement, or land contract, which real estate is the subject of a contract, whether pending or completed within the past ~~six~~ 12 months, with a governmental agency? If the real estate contract provides for the leasing of the property to a governmental agency, do you or a member of your immediate family hold an interest in the real estate valued at more than \$1,000? Account for all such contracts whether or not your interest is reported in Schedule F, H-1, or H-2. This requirement to disclose an interest in a lease does not apply to an interest derived through an ownership interest in a business unless the ownership interest exceeds three percent of the total equity of the business.

EITHER check NO // OR check YES // and complete Schedule I.

Statements of Economic Interests are open for public inspection.

AFFIRMATION BY ALL FILERS.

I swear or affirm that the foregoing information is full, true and correct to the best of my knowledge.

Signature _____

(Return only if needed to complete Statement.)

1151 SCHEDULES to STATEMENT OF ECONOMIC INTERESTS.

1152 NAME

1153 SCHEDULE A — OFFICES AND DIRECTORSHIPS.

1154 Identify each business of which you or a member of your immediate family is a paid officer or paid
1155 director.

| | | | |
|------|------------------|---------------------|---------------------------|
| 1156 | | | |
| 1157 | | | |
| 1158 | Name of Business | Address of Business | Position Held and by Whom |
| 1159 | | | |
| 1160 | | | |
| 1161 | | | |
| 1162 | | | |
| 1163 | | | |

1164 RETURN TO ITEM 2

1165 SCHEDULE B — PERSONAL LIABILITIES.

1166 Report personal liability by checking each category. Report only debts in excess of \$5,000. Do not
1167 report debts to any government. Do not report loans secured by recorded liens on property at least equal
1168 in value to the loan.

1169 Report contingent liabilities below and indicate which debts are contingent.

1170 1. My personal debts are as follows:

| | | | |
|------|---|------------|-----------|
| 1171 | | | |
| 1172 | | | |
| 1173 | Check | Check one | |
| 1174 | appropriate | \$5,001 to | More than |
| 1175 | categories | \$50,000 | \$50,000 |
| 1176 | Banks | | |
| 1177 | Savings institutions | | |
| 1178 | Other loan or finance companies | | |
| 1179 | Insurance companies | | |
| 1180 | Stock, commodity or other brokerage companies | | |
| 1181 | Other businesses: | | |
| 1182 | (State principal business activity for each | | |
| 1183 | creditor and its name.) | | |
| 1184 | | | |
| 1185 | | | |
| 1186 | Individual creditors: | | |
| 1187 | (State principal business or occupation of | | |
| 1188 | each creditor and its name.) | | |
| 1189 | | | |
| 1190 | | | |
| 1191 | | | |

1192 2. The personal debts of the members of my immediate family are as follows:

| | | | |
|------|---|------------|-----------|
| 1193 | | | |
| 1194 | | | |
| 1195 | Check | Check one | |
| 1196 | appropriate | \$5,001 to | More than |
| 1197 | categories | \$50,000 | \$50,000 |
| 1198 | Banks | | |
| 1199 | Savings institutions | | |
| 1200 | Other loan or finance companies | | |
| 1201 | Insurance companies | | |
| 1202 | Stock, commodity or other brokerage companies | | |
| 1203 | Other businesses: | | |
| 1204 | (State principal business activity for each | | |
| 1205 | creditor and its name.) | | |
| 1206 | | | |
| 1207 | | | |
| 1208 | Individual creditors: | | |

1209 (State principal business or occupation of
1210 each creditor and its name.)

1211 _____
1212 _____
1213 _____

1214 RETURN TO ITEM 3

1215 SCHEDULE C — SECURITIES.

1216 "Securities" INCLUDES stocks, bonds, mutual funds, limited partnerships, and commodity futures
1217 contracts.

1218 "Securities" EXCLUDES certificates of deposit, money market funds, annuity contracts, and
1219 insurance policies.

1220 Identify each business or Virginia governmental entity in which you or a member of your immediate
1221 family, directly or indirectly, separately or together, own securities valued in excess of \$5,000. Name
1222 each issuer and type of security individually.

1223 Do not list U.S. Bonds or other government securities not issued by the Commonwealth of Virginia
1224 or its authorities, agencies, or local governments. Do not list organizations that do not do business in
1225 this Commonwealth, but most major businesses conduct business in Virginia. Account for securities held
1226 in trust.

1227 If no reportable securities, check here / /.

| 1230 | 1231 | 1232 | 1233 | 1234 | 1235 | 1236 | 1237 | 1238 | 1239 | 1240 |
|------|------|------------------------|----------|-----------|-----------|-------|-------|-------|------|------|
| | | | | | | | | | | |
| | | Type of Security | \$5,001 | Check one | | | | | | |
| | | (stocks, bonds, mutual | to | \$50,001 | to | More | | | | |
| | | funds, etc.) | \$50,000 | \$250,000 | \$250,000 | than | | | | |
| | | Name of Issuer | | | | | | | | |
| | | _____ | _____ | _____ | _____ | _____ | _____ | _____ | | |
| | | _____ | _____ | _____ | _____ | _____ | _____ | _____ | | |
| | | _____ | _____ | _____ | _____ | _____ | _____ | _____ | | |
| | | _____ | _____ | _____ | _____ | _____ | _____ | _____ | | |

1239 RETURN TO ITEM 4

1240 SCHEDULE D — PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.

1241 List each source from which you received during the past ~~six~~ 12 months in your capacity as an
1242 officer or employee of your agency lodging, transportation, money, or any other thing of value with
1243 combined value exceeding \$100 (i) for your presentation of a single talk, participation in one meeting,
1244 or publication of a work or (ii) for your attendance at a meeting, conference, or event where your
1245 attendance at the meeting, conference, or event was designed to (a) educate you on issues relevant to
1246 your duties as an officer or employee of your agency or (b) enhance your knowledge and skills relative
1247 to your duties as an officer or employee of your agency. Any lodging, transportation, money, or other
1248 thing of value received by an officer or employee that does not satisfy the provisions of clause (i), (ii)
1249 (a), or (ii) (b) shall be listed as a gift on Schedule E.

1250 List payments or reimbursements by an advisory or governmental agency only for meetings or travel
1251 outside the Commonwealth.

1252 List a payment even if you donated it to charity.

1253 Do not list information about a payment if you returned it within 60 days or if you received it from
1254 an employer already listed under Item 6 or from a source of income listed on Schedule F.

1255 If no payment must be listed, check here / /.

| 1256 | 1257 | 1258 | 1259 | 1260 | 1261 | 1262 | 1263 | 1264 | 1265 | 1266 | 1267 |
|------|------|-------|-------------------|-------------------|------|------|------|------|------|------|------|
| | | | | | | | | | | | |
| | | | | Type of payment | | | | | | | |
| | | | | (e.g., honoraria, | | | | | | | |
| | | | | travel reimburse- | | | | | | | |
| | | | | ment, etc.) | | | | | | | |
| | | Payer | Approximate Value | Circumstances | | | | | | | |
| | | _____ | _____ | _____ | | | | | | | |
| | | _____ | _____ | _____ | | | | | | | |
| | | _____ | _____ | _____ | | | | | | | |
| | | _____ | _____ | _____ | | | | | | | |

1267 RETURN TO ITEM 5

SENATE SUBSTITUTE

SB692S1

1268 SCHEDULE E — GIFTS.

1269 List each business, governmental entity, or individual that, during the past ~~six~~ 12 months, (i)
1270 furnished you or a member of your immediate family with any gift or entertainment at a single event,
1271 and the value received exceeded \$~~50~~ \$100 or (ii) furnished you or a member of your immediate family
1272 with gifts or entertainment in any combination and the total value received exceeded \$~~50~~ \$100, and for
1273 which you or the member of your immediate family neither paid nor rendered services in exchange. List
1274 each such gift or event. Do not list entertainment events unless the average value per person attending
1275 the event exceeded \$~~50~~ \$100. Do not list business entertainment related to the private profession or
1276 occupation of you or the member of your immediate family who received such business entertainment.
1277 Do not list gifts or other things of value given by a relative or personal friend for reasons clearly
1278 unrelated to your public position. Do not list campaign contributions publicly reported as required by
1279 Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2 of the Code of Virginia.

| 1281 | 1282 | 1283 | 1284 | 1285 | 1286 |
|-------------------|---|--------------------------|---------------------|-------------------|-------------|
| Name of Recipient | Name of Business, Organization, or Individual | City or County and State | Exact Gift or Event | Approximate Value | |
| 1287 | | | | | |
| 1288 | | | | | |
| 1289 | | | | | |

RETURN TO ITEM 6

1291 SCHEDULE F — BUSINESS INTERESTS.

1292 Complete this Schedule for each self-owned or family-owned business (including rental property, a
1293 farm, or consulting work), partnership, or corporation in which you or a member of your immediate
1294 family, separately or together, own an interest having a value in excess of \$5,000.

1295 If the enterprise is owned or operated under a trade, partnership, or corporate name, list that name;
1296 otherwise, merely explain the nature of the enterprise. If rental property is owned or operated under a
1297 trade, partnership, or corporate name, list the name only; otherwise, give the address of each property.
1298 Account for business interests held in trust.

| 1301 | 1302 | 1303 | 1304 | 1305 | 1306 | 1307 | 1308 | 1309 |
|--|--------------------------|--|-------------------------------|-----------------------|---------------------|-------------|-------------|-------------|
| Name of Business, Corporation, Partnership, Farm; Address of Rental Property | City or County and State | Nature of Enterprise (farming, law, rental property, etc.) | \$50,001 to \$250,000 or less | \$50,000 to \$250,000 | More than \$250,000 | | | |
| 1310 | | | | | | | | |
| 1311 | | | | | | | | |
| 1312 | | | | | | | | |
| 1313 | | | | | | | | |
| 1314 | | | | | | | | |
| 1315 | | | | | | | | |
| 1316 | | | | | | | | |
| 1317 | | | | | | | | |
| 1318 | | | | | | | | |
| 1319 | | | | | | | | |
| 1320 | | | | | | | | |
| 1321 | | | | | | | | |
| 1322 | | | | | | | | |

RETURN TO ITEM 8

1312 SCHEDULE G-1 — PAYMENTS FOR REPRESENTATION BY YOU.

1313 List the businesses you represented, excluding activity defined as lobbying in § 2.2-419, before any
1314 state governmental agency, excluding any court or judge, for which you received total compensation
1315 during the past ~~six~~ 12 months in excess of \$1,000, excluding compensation for other services to such
1316 businesses and representation consisting solely of the filing of mandatory papers and subsequent
1317 representation regarding the mandatory papers filed by you.

1318 Identify each business, the nature of the representation and the amount received by dollar category
1319 from each such business. You may state the type, rather than name, of the business if you are required
1320 by law not to reveal the name of the business represented by you.

1321 Only STATE officers and employees should complete this Schedule.

| | | | | | | | | | | |
|------|------|------|------|------|--|-----------------|--|--|--|--|
| 1322 | | | | | | | | | | |
| 1323 | | | | | | | | | | |
| 1324 | | | | | | | | | | |
| 1325 | | | | | | | | | | |
| 1326 | Name | Type | Pur- | pose | | Amount Received | | | | |
| | | | of | Name | | | | | | |

| | | | | | | | | | |
|------|-------|-------|--------|-------|----------|----------|-----------|-----------|-----------|
| 1327 | of | of | Repre- | of | \$1,001 | \$10,001 | \$50,001 | \$100,001 | \$250,001 |
| 1328 | Busi- | Busi- | senta- | Agen- | to | to | to | to | and |
| 1329 | ness | ness | tion | cy | \$10,000 | \$50,000 | \$100,000 | \$250,000 | over |
| 1330 | _____ | _____ | _____ | _____ | _____ | _____ | _____ | _____ | _____ |
| 1331 | _____ | _____ | _____ | _____ | _____ | _____ | _____ | _____ | _____ |
| 1332 | _____ | _____ | _____ | _____ | _____ | _____ | _____ | _____ | _____ |
| 1333 | _____ | _____ | _____ | _____ | _____ | _____ | _____ | _____ | _____ |

1334
1335 If you have received \$250,001 or more from a single business within the reporting period, indicate
1336 the amount received, rounded to the nearest \$10,000.

1337 Amount Received: _____.

1338 SCHEDULE G-2 — PAYMENTS FOR REPRESENTATION BY ASSOCIATES. List the businesses
1339 that have been represented, excluding activity defined as lobbying in § 2.2-419, before any state
1340 governmental agency, excluding any court or judge, by persons who are your partners, associates or
1341 others with whom you have a close financial association and who received total compensation in excess
1342 of \$1,000 for such representation during the past ~~six~~ 12 months, excluding representation consisting
1343 solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers
1344 filed by your partners, associates or others with whom you have a close financial association.

1345 Identify such businesses by type and also name the state governmental agencies before which such
1346 person appeared on behalf of such businesses.

1347 Only STATE officers and employees should complete this Schedule.

1348
1349
1350 Type of business Name of state governmental agency

| | | |
|------|-------|-------|
| 1351 | _____ | _____ |
| 1352 | _____ | _____ |
| 1353 | _____ | _____ |
| 1354 | _____ | _____ |

1355
1356 SCHEDULE G-3 — PAYMENTS FOR OTHER SERVICES GENERALLY.

1357 Indicate below types of businesses that operate in Virginia to which services were furnished by you
1358 or persons with whom you have a close financial association pursuant to an agreement between you and
1359 such businesses, or between persons with whom you have a close financial association and such
1360 businesses and for which total compensation in excess of \$1,000 was received during the past ~~six~~ 12
1361 months. Services reported in this Schedule shall not include services involving the representation of
1362 businesses that are reported in Schedule G-1 or G-2.

1363 Identify opposite each category of businesses listed below (i) the type of business, (ii) the type of
1364 service rendered and (iii) the value by dollar category of the compensation received for all businesses
1365 falling within each category.

| | | | | | | | | |
|------|---------------------|-------|-------|-----------------------|----------|-----------|-----------|-----------|
| 1366 | _____ | | | | | | | |
| 1367 | | Check | | Value of Compensation | | | | |
| 1368 | | if | Type | | | | | |
| 1369 | | ser- | of | | | | | |
| 1370 | | vices | ser- | | | | | |
| 1371 | | were | vice | \$1,001 | \$10,001 | \$50,001 | \$100,001 | \$250,001 |
| 1372 | | ren- | ren- | to | to | to | to | and |
| 1373 | | dered | dered | \$10,000 | \$50,000 | \$100,000 | \$250,000 | over |
| 1374 | | | | | | | | |
| 1375 | Electric utilities | _____ | _____ | _____ | _____ | _____ | _____ | _____ |
| 1376 | Gas utilities | _____ | _____ | _____ | _____ | _____ | _____ | _____ |
| 1377 | Telephone utilities | _____ | _____ | _____ | _____ | _____ | _____ | _____ |
| 1378 | Water utilities | _____ | _____ | _____ | _____ | _____ | _____ | _____ |
| 1379 | Cable television | _____ | _____ | _____ | _____ | _____ | _____ | _____ |
| 1380 | companies | _____ | _____ | _____ | _____ | _____ | _____ | _____ |
| 1381 | Interstate | _____ | _____ | _____ | _____ | _____ | _____ | _____ |
| 1382 | transportation | _____ | _____ | _____ | _____ | _____ | _____ | _____ |
| 1383 | companies | _____ | _____ | _____ | _____ | _____ | _____ | _____ |
| 1384 | Intrastate | _____ | _____ | _____ | _____ | _____ | _____ | _____ |

| | | | | | | | |
|------|----------------------|--|--|--|--|--|--|
| 1385 | transportation | | | | | | |
| 1386 | companies | | | | | | |
| 1387 | Oil or gas retail | | | | | | |
| 1388 | companies | | | | | | |
| 1389 | Banks | | | | | | |
| 1390 | Savings institutions | | | | | | |
| 1391 | Loan or finance | | | | | | |
| 1392 | companies | | | | | | |
| 1393 | Manufacturing | | | | | | |
| 1394 | companies (state | | | | | | |
| 1395 | type of product, | | | | | | |
| 1396 | e.g., textile, | | | | | | |
| 1397 | furniture, etc.) | | | | | | |
| 1398 | Mining companies | | | | | | |
| 1399 | Life insurance | | | | | | |
| 1400 | companies | | | | | | |
| 1401 | Casualty insurance | | | | | | |
| 1402 | companies | | | | | | |
| 1403 | Other insurance | | | | | | |
| 1404 | companies | | | | | | |
| 1405 | Retail companies | | | | | | |
| 1406 | Beer, wine or liquor | | | | | | |
| 1407 | companies or | | | | | | |
| 1408 | distributors | | | | | | |
| 1409 | Trade associations | | | | | | |
| 1410 | Professional | | | | | | |
| 1411 | associations | | | | | | |
| 1412 | Associations of | | | | | | |
| 1413 | public employees | | | | | | |
| 1414 | or officials | | | | | | |
| 1415 | Counties, cities | | | | | | |
| 1416 | or towns | | | | | | |
| 1417 | Labor organizations | | | | | | |
| 1418 | Other | | | | | | |
| 1419 | | | | | | | |

RETURN TO ITEM 9

SCHEDULE H-1 — REAL ESTATE — STATE OFFICERS AND EMPLOYEES.

List real estate other than your principal residence in which you or a member of your immediate family holds an interest, including a partnership interest, option, easement, or land contract, valued at more than \$5,000. Each parcel shall be listed individually.

| | | | |
|------|--------------------|----------------------------|------------------------|
| 1425 | | | |
| 1426 | | | |
| 1427 | | Describe the type of real | |
| 1428 | List each location | estate you own in each | If the real estate is |
| 1429 | (state, and county | location (business, recre- | owned or recorded in |
| 1430 | or city) where you | ational, apartment, com- | a name other than your |
| 1431 | own real estate. | mercial, open land, etc.). | own, list that name. |
| 1432 | | | |
| 1433 | | | |
| 1434 | | | |
| 1435 | | | |
| 1436 | | | |
| 1437 | | | |

SCHEDULE H-2 — REAL ESTATE — LOCAL OFFICERS AND EMPLOYEES.

List real estate other than your principal residence in which you or a member of your immediate family holds an interest, including a partnership interest or option, easement, or land contract, valued at more than \$5,000. Each parcel shall be listed individually. Also list the names of any co-owners of such

| | | | |
|------|--|--|--|
| 1441 | | | |
|------|--|--|--|

property, if applicable.

| | Describe the type of real estate you own in each location | If the real estate is owned or recorded in a name other than your own, list that name. | List the names of any co-owners, if applicable. |
|---|---|--|---|
| List each location (business, (state, and county recreational, apartment, commercial, open land, etc.). | | | |

| | | | |
|--|--|--|--|
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |

SCHEDULE I — REAL ESTATE CONTRACTS WITH GOVERNMENTAL AGENCIES.

List all contracts, whether pending or completed within the past ~~six~~ 12 months, with a governmental agency for the sale or exchange of real estate in which you or a member of your immediate family holds an interest, including a corporate, partnership or trust interest, option, easement, or land contract, valued at more than \$10,000. List all contracts with a governmental agency for the lease of real estate in which you or a member of your immediate family holds such an interest valued at more than \$1,000. This requirement to disclose an interest in a lease does not apply to an interest derived through an ownership interest in a business unless the ownership interest exceeds three percent of the total equity of the business.

State officers and employees report contracts with state agencies.

Local officers and employees report contracts with local agencies.

List your real estate interest and the person or entity, including the type of entity, which is party to the contract.

Describe any management role and the percentage ownership interest you or your immediate family member has in the real estate or entity.

List each governmental agency which is a party to the contract and indicate the county or city where the real estate is located.

State the annual income from the contract, and the amount, if any, of income you or any immediate family member derives annually from the contract.

| | | |
|--|--|--|
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| | | |
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| | | |
| | | |

§ 30-101. Definitions.

As used in this chapter, unless the context requires a different meaning:

"Advisory agency" means any board, commission, committee or post which does not exercise any sovereign power or duty, but is appointed by a governmental agency or officer or is created by law for the purpose of making studies or recommendations, or advising or consulting with a governmental agency.

1500 "Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise,
1501 association, trust or foundation, or any other individual or entity carrying on a business or profession,
1502 whether or not for profit.

1503 "Candidate" means a person who seeks or campaigns for election to the General Assembly in a
1504 general, primary, or special election and who is qualified to have his name placed on the ballot for the
1505 office. The candidate shall become subject to the provisions of this section upon the filing of a
1506 statement of qualification pursuant to § 24.2-501. The State Board of Elections shall notify each such
1507 candidate of the provisions of this chapter.

1508 "Contract" means any agreement to which a governmental agency is a party, or any agreement on
1509 behalf of a governmental agency that involves the payment of money appropriated by the General
1510 Assembly or a political subdivision, whether or not such agreement is executed in the name of the
1511 Commonwealth, or some political subdivision thereof. "Contract" includes a subcontract only when the
1512 contract of which it is a part is with the legislator's own governmental agency.

1513 "Council" means the Virginia Conflict of Interest and Ethics Advisory Council established in
1514 § 30-355.

1515 "Financial institution" means any bank, trust company, savings institution, industrial loan association,
1516 consumer finance company, credit union, broker-dealer as defined in subsection A of § 13.1-501, or
1517 investment company or advisor registered under the federal Investment Advisors Act or Investment
1518 Company Act of 1940.

1519 "Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item
1520 having monetary value. It includes services as well as gifts of transportation; *and lodgings and meals,*
1521 *whether provided in-kind; or by purchase of a ticket, payment in advance, or reimbursement after the*
1522 *expense has been incurred. "Gift" does not include (i) any offer of a ticket, coupon, or other admission*
1523 *or pass unless the ticket, coupon, admission, or pass is used; (ii) honorary degrees; (iii) any athletic,*
1524 *merit, or need-based scholarship or any other financial aid awarded by a public or private school,*
1525 *institution of higher education, or other educational program pursuant to such school, institution, or*
1526 *program's financial aid standards and procedures applicable to the general public; (iv) a campaign*
1527 *contribution properly received and reported pursuant to Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2;*
1528 *(v) any gift related to the private profession or occupation of a legislator or of a member of his*
1529 *immediate family; (vi) any food or beverages consumed while attending beverage associated with an*
1530 *event at which that is accepted or received by the filer is performing official duties related to his public*
1531 *service while in attendance at the event; (vii) food and beverages received at or registration or*
1532 *attendance fees waived for any event at which the filer is a featured speaker, presenter, or lecturer; (viii)*
1533 *unsolicited awards of appreciation or recognition in the form of a plaque, trophy, wall memento, or*
1534 *similar item that is given in recognition of public, civic, charitable, or professional service; (ix) a devise*
1535 *or inheritance; (x) travel disclosed pursuant to the Campaign Finance Disclosure Act (§ 24.2-945 et*
1536 *seq.); (xi) travel paid for or provided by the government of the United States, any of its territories, or*
1537 *any state or any political subdivision of such state; (xii) travel provided to facilitate attendance by a*
1538 *legislator at a regular or special session of the General Assembly, a meeting of a legislative committee*
1539 *or commission, or a national conference where attendance is approved by the House or Senate*
1540 *Committee on Rules; (xiii) travel related to an official meeting of the Commonwealth, its political*
1541 *subdivisions, or any board, commission, authority, or other entity, or any charitable organization*
1542 *established pursuant to § 501(c)(3) of the Internal Revenue Code affiliated with such entity, to which*
1543 *such person has been appointed or elected or is a member by virtue of his office or employment; or*
1544 *(xiv) gifts from relatives or personal friends; (xv) any gift, including travel, from a nonpartisan state,*
1545 *regional, national, or international legislative organization, or an affiliated organization of such*
1546 *organization, of which a legislator or an officer or employee of the legislative branch is a member by*
1547 *virtue of his office or employment; (xvi) any gift, including travel, from a charitable organization*
1548 *established pursuant to § 501(c)(3) of the Internal Revenue Code to a legislator or an officer or*
1549 *employee of the legislative branch if such organization has been approved by the Joint Rules Committee*
1550 *of the General Assembly to make gifts; or (xvii) gifts with a value of less than \$20. For the purpose of*
1551 *this definition, "relative" means the donee's spouse, child, uncle, aunt, niece, nephew, or first cousin; a*
1552 *person to whom the donee is engaged to be married; the donee's or his spouse's parent, grandparent,*
1553 *grandchild, brother, sister, step-parent, step-grandparent, step-grandchild, step-brother, or step-sister; or*
1554 *the donee's brother's or sister's spouse. For the purpose of this definition, "personal friend" does not*
1555 *include any person that the filer knows or has reason to know is (a) a lobbyist registered pursuant to*
1556 *Article 3 (§ 2.2-418 et seq.) of Chapter 4 of Title 2.2 or (b) a lobbyist's principal as defined in*
1557 *§ 2.2-419.*

1558 "Governmental agency" means each component part of the legislative, executive or judicial branches
1559 of state and local government, including each office, department, authority, post, commission,
1560 committee, and each institution or board created by law to exercise some regulatory or sovereign power
1561 or duty as distinguished from purely advisory powers or duties.

"Immediate family" means (i) a spouse and (ii) any other person who resides in the same household as the legislator and who is a dependent of the legislator.

"Legislator" means a member of the General Assembly.

"Personal interest" means a financial benefit or liability accruing to a legislator or to a member of his immediate family. Such interest shall exist by reason of (i) ownership in a business if the ownership interest exceeds three percent of the total equity of the business; (ii) annual income that exceeds, or may reasonably be anticipated to exceed, \$5,000 from ownership in real or personal property or a business; (iii) salary, other compensation, fringe benefits, or benefits from the use of property, or any combination thereof, paid or provided by a business or governmental agency that exceeds, or may reasonably be anticipated to exceed, \$5,000 annually; (iv) ownership of real or personal property if the interest exceeds \$5,000 in value and excluding ownership in a business, income, or salary, other compensation, fringe benefits or benefits from the use of property; (v) personal liability incurred or assumed on behalf of a business if the liability exceeds three percent of the asset value of the business; or (vi) an option for ownership of a business or real or personal property if the ownership interest will consist of clause (i) or (iv).

"Personal interest in a contract" means a personal interest that a legislator has in a contract with a governmental agency, whether due to his being a party to the contract or due to a personal interest in a business that is a party to the contract.

"Personal interest in a transaction" means a personal interest of a legislator in any matter considered by the General Assembly. Such personal interest exists when an officer or employee or a member of his immediate family has a personal interest in property or a business, or represents or provides services to any individual or business and such property, business or represented or served individual or business (i) is the subject of the transaction or (ii) may realize a reasonably foreseeable direct or indirect benefit or detriment as a result of the action of the agency considering the transaction. A "personal interest in a transaction" exists only if the legislator or member of his immediate family or an individual or business represented or served by the legislator is affected in a way that is substantially different from the general public or from persons comprising a profession, occupation, trade, business or other comparable and generally recognizable class or group of which he or the individual or business he represents or serves is a member.

"Transaction" means any matter considered by the General Assembly, whether in a committee, subcommittee, or other entity of the General Assembly or before the General Assembly itself, on which official action is taken or contemplated.

§ 30-103.1. Certain gifts prohibited.

A. For purposes of this section:

"Widely attended event" means an event at which at least 25 persons have been invited to attend or there is a reasonable expectation that at least 25 persons will attend the event and the event is open to individuals (i) who share a common interest, (ii) who are members of a public, civic, charitable, or professional organization, (iii) who are from a particular industry or profession, or (iv) who represent persons interested in a particular issue.

B. No legislator or candidate for the General Assembly required to file the disclosure form prescribed in § 30-111 or a member of his immediate family shall solicit, accept, or receive any single gift for himself or a member of his immediate family with a value in excess of \$100 or any combination of gifts with an aggregate value in excess of \$100 within any calendar year for himself or a member of his immediate family from any person that he or a member of his immediate family knows or has reason to know is (i) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4 of Title 2.2 or (ii) a lobbyist's principal as defined in § 2.2-419. Gifts with a value of less than \$20 are not subject to aggregation for purposes of this prohibition.

C. Notwithstanding the provisions of subsection B, a legislator or candidate or a member of his immediate family may accept or receive a gift of ~~food and beverages~~, entertainment, or the cost of admission with a value in excess in \$100 when such gift is accepted or received while in attendance at a widely attended event and is associated with the event. Such gifts shall be reported on the disclosure form prescribed in § 30-111.

D. Notwithstanding the provisions of subsection B, a legislator or a member of his immediate family may accept or receive a gift from a foreign dignitary with a value exceeding \$100 for which the fair market value or a gift of greater or equal value has not been provided or exchanged. Such gift shall be accepted on behalf of the Commonwealth and archived in accordance with guidelines established by the Library of Virginia. Such gift shall be disclosed as having been accepted on behalf of the Commonwealth, but the value of such gift shall not be required to be disclosed.

E. Notwithstanding the provisions of subsection B, a legislator or candidate or a member of his immediate family may accept or receive certain gifts with a value in excess of \$100 from a person listed in subsection B if such gift was provided to the legislator or candidate or a member of his immediate

family on the basis of a personal friendship. Notwithstanding any other provision of law, a person listed in subsection B may be a personal friend of the legislator or candidate or his immediate family for purposes of this subsection. In determining whether a person listed in subsection B is a personal friend, the following factors shall be considered: (i) the circumstances under which the gift was offered; (ii) the history of the relationship between the person and the donor, including the nature and length of the friendship and any previous exchange of gifts between them; (iii) to the extent known to the person, whether the donor personally paid for the gift or sought a tax deduction or business reimbursement for the gift; and (iv) whether the donor has given the same or similar gifts to other persons required to file the disclosure form prescribed in § 2.2-3117 or 30-111.

F. Notwithstanding the provisions of subsection B, a legislator or candidate or a member of his immediate family may accept or receive gifts of travel, including travel-related transportation, lodging, hospitality, ~~food or beverages~~, or other thing of value, with a value in excess of \$100 that is paid for or provided by a person listed in subsection B when the legislator or candidate has submitted a request for approval of such travel to the Council and has received the approval of the Council pursuant to § 30-356.1. Such gifts shall be reported on the disclosure form prescribed in § 30-111.

G. The \$100 limitation imposed in accordance with this section shall be adjusted by the Council every five years, as of January 1 of that year, in an amount equal to the annual increases for that five-year period in the United States Average Consumer Price Index for all items, all urban consumers (CPI-U), as published by the Bureau of Labor Statistics of the U.S. Department of Labor, rounded to the nearest whole dollar.

§ 30-110. Disclosure.

A. (Effective January 1, 2016, through July 1, 2016) Every legislator and legislator-elect shall file, as a condition to assuming office, a disclosure statement of his personal interests and such other information as is specified on the form set forth in § 30-111 and thereafter shall file such a statement ~~semiannually by December~~ *annually on or before January 15 for the preceding six-month period complete through the last day of October and by June 15 for the preceding six-month period complete through the last day of April.* When the filing deadline falls on a Saturday, Sunday, or legal holiday, the disclosure statement shall be filed on the next day that is not a Saturday, Sunday, or legal holiday. Disclosure forms shall be made available by the Virginia Conflict of Interest and Ethics Advisory Council at least 30 days prior to the filing deadline. Members of the Senate and members of the House of Delegates shall file their disclosure forms with the Virginia Conflict of Interest and Ethics Advisory Council. The disclosure forms of the members of the General Assembly shall be maintained as public records for five years in the office of the Virginia Conflict of Interest and Ethics Advisory Council. Such forms shall be made public no later than six weeks after filing.

A. (Effective July 1, 2016) Every legislator and legislator-elect shall file, as a condition to assuming office, a disclosure statement of his personal interests and such other information as is specified on the form set forth in § 30-111 and thereafter shall file such a statement ~~semiannually by December~~ *annually on or before January 15 for the preceding six-month period complete through the last day of October and by June 15 for the preceding six-month period complete through the last day of April.* When the filing deadline falls on a Saturday, Sunday, or legal holiday, the disclosure statement shall be filed on the next day that is not a Saturday, Sunday, or legal holiday. Disclosure forms shall be made available by the Virginia Conflict of Interest and Ethics Advisory Council at least 30 days prior to the filing deadline. Disclosure forms shall be filed electronically with the Virginia Conflict of Interest and Ethics Advisory Council in accordance with the standards approved by it pursuant to § 30-356. The disclosure forms of the members of the General Assembly shall be maintained as public records for five years in the office of the Virginia Conflict of Interest and Ethics Advisory Council. Such forms shall be made public no later than six weeks after filing.

B. Candidates for the General Assembly shall file a disclosure statement of their personal interests as required by §§ 24.2-500 through 24.2-503.

C. Any legislator who has a personal interest in any transaction pending before the General Assembly and who is disqualified from participating in that transaction pursuant to § 30-108 and the rules of his house shall disclose his interest in accordance with the applicable rule of his house.

§ 30-110.1. Report of gifts.

Every legislator shall file, on or before May 1, a report of gifts accepted or received by him or a member of his immediate family during the period beginning on the first day of the regular session of the General Assembly complete through adjournment sine die of that session. The gift report shall be on a form prescribed by the Council and shall be filed electronically with the Council in accordance with the standards approved by it pursuant to § 30-356. For purposes of this section, "adjournment sine die" means adjournment on the last legislative day of the regular session and does not include the ensuing reconvened session.

§ 30-111. Disclosure form.

A. (Effective from January 1, 2016, until July 1, 2016) The disclosure form to be used for filings

required by subsections A and B of § 30-110 shall be substantially similar to the following.

A. (Effective July 1, 2016) The disclosure form to be used for filings required by subsections A and B of § 30-110 shall be substantially similar to the following. All completed forms shall be filed electronically with the Council in accordance with the standards approved by it pursuant to § 30-356.

STATEMENT OF ECONOMIC INTERESTS.

Name _____

Office or position held or sought _____

Address _____

Names of members of immediate family _____

DEFINITIONS AND EXPLANATORY MATERIAL.

"Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise, association, trust or foundation, or any other individual or entity carrying on a business or profession, whether or not for profit.

"Close financial association" means an association in which the filer shares significant financial involvement with an individual and the filer would reasonably be expected to be aware of the individual's business activities and would have access to the necessary records either directly or through the individual. "Close financial association" does not mean an association based on (i) the receipt of retirement benefits or deferred compensation from a business by which the legislator is no longer employed, or (ii) the receipt of compensation for work performed by the legislator as an independent contractor of a business that represents an entity before any state governmental agency when the legislator has had no communications with the state governmental agency.

"Contingent liability" means a liability that is not presently fixed or determined, but may become fixed or determined in the future with the occurrence of some certain event.

"Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item having monetary value. It includes services as well as gifts of transportation, *and lodgings and meals*, whether provided in-kind; *or* by purchase of a ticket, payment in advance, or reimbursement after the expense has been incurred. "Gift" does not include (i) any offer of a ticket, coupon, or other admission or pass unless the ticket, coupon, admission, or pass is used; (ii) honorary degrees; (iii) any athletic, merit, or need-based scholarship or any other financial aid awarded by a public or private school, institution of higher education, or other educational program pursuant to such school, institution, or program's financial aid standards and procedures applicable to the general public; (iv) a campaign contribution properly received and reported pursuant to Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2; (v) any gift related to the private profession or occupation of a legislator or of a member of his immediate family; (vi) *any food or beverages consumed while attending an event at which that is accepted or received by the filer is performing official duties related to his public service while in attendance at the event;* (vii) *food and beverages received at or* registration or attendance fees waived for any event at which the filer is a featured speaker, presenter, or lecturer; (viii) unsolicited awards of appreciation or recognition in the form of a plaque, trophy, wall memento, or similar item that is given in recognition of public, civic, charitable, or professional service; (ix) a devise or inheritance; (x) travel disclosed pursuant to the Campaign Finance Disclosure Act (§ 24.2-945 et seq.); (xi) travel paid for or provided by the government of the United States, any of its territories, or any state or any political subdivision of such state; (xii) travel provided to facilitate attendance by a legislator at a regular or special session of the General Assembly, a meeting of a legislative committee or commission, or a national conference where attendance is approved by the House or Senate Committee on Rules; (xiii) travel related to an official meeting of the Commonwealth, its political subdivisions, or any board, commission, authority, or other entity, or any charitable organization established pursuant to § 501(c)(3) of the Internal Revenue Code affiliated with such entity, to which such person has been appointed or elected or is a member by virtue of his office or employment; ~~or~~ (xiv) gifts from relatives or personal friends; (xv) *any gift, including travel, from a nonpartisan state, regional, national, or international legislative organization, or an affiliated organization of such organization, of which a legislator or an officer or employee of the legislative branch is a member by virtue of his office or employment;* (xvi) *any gift, including travel, from a charitable organization established pursuant to § 501(c)(3) of the Internal Revenue Code to a legislator or an officer or employee of the legislative branch if such organization has been approved by the Joint Rules Committee of the General Assembly to make gifts; or* (xvii) *gifts with a value of less than \$20.* "Relative" means the donee's spouse, child, uncle, aunt, niece, nephew, or first cousin; a person to whom the donee is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother, sister, step-parent, step-grandparent, step-grandchild, step-brother, or step-sister; or the donee's brother's or sister's spouse. "Personal friend" does not include any person that the filer knows or has reason to know is (a) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4 of Title 2.2 or (b) a lobbyist's principal as defined in § 2.2-419.

"Immediate family" means (i) a spouse and (ii) any other person who resides in the same household

1746 as the legislator and who is a dependent of the legislator.

1747 "Lobbyist relationship" means (i) an engagement, agreement, or representation that relates to legal
 1748 services, consulting services, or public relations services, whether gratuitous or for compensation,
 1749 between a member or member-elect and any person who is, or has been within the prior calendar year,
 1750 registered as a lobbyist with the Secretary of the Commonwealth or (ii) a greater than three percent
 1751 ownership interest by a member or member-elect in a business that employs, or engages as an
 1752 independent contractor, any person who is, or has been within the prior calendar year, registered as a
 1753 lobbyist with the Secretary of the Commonwealth. The disclosure of a lobbyist relationship shall not (a)
 1754 constitute a waiver of any attorney-client or other privilege, (b) require a waiver of any attorney-client
 1755 or other privilege for a third party, or (c) be required where a member or member-elect is employed or
 1756 engaged by a person and such person also employs or engages a person in a lobbyist relationship so
 1757 long as the member or member-elect has no financial interest in the lobbyist relationship.

1758 TRUST. If you or your immediate family, separately or together, are the only beneficiaries of a trust,
 1759 treat the trust's assets as if you own them directly. If you or your immediate family has a proportional
 1760 interest in a trust, treat that proportion of the trust's assets as if you own them directly. For example, if
 1761 you and your immediate family have a one-third interest in a trust, complete your Statement as if you
 1762 own one-third of each of the trust's assets. If you or a member of your immediate family created a trust
 1763 and can revoke it without the beneficiaries' consent, treat its assets as if you own them directly.

1764 REPORT TO THE BEST OF INFORMATION AND BELIEF. Information required on this
 1765 Statement must be provided on the basis of the best knowledge, information, and belief of the individual
 1766 filing the Statement as of the date of this report unless otherwise stated.

1767 COMPLETE ITEMS 1 THROUGH 11. REFER TO SCHEDULES ONLY IF DIRECTED.

1768 You may attach additional explanatory information.

1769 1. Offices and Directorships.

1770 Are you or a member of your immediate family a paid officer or paid director of a business?

1771 EITHER check NO // OR check YES // and complete Schedule A.

1772 2. Personal Liabilities.

1773 Do you or a member of your immediate family owe more than \$5,000 to any one creditor including
 1774 contingent liabilities? (Exclude debts to any government and loans secured by recorded liens on property
 1775 at least equal in value to the loan.)

1776 EITHER check NO // OR check YES // and complete Schedule B.

1777 3. Securities.

1778 Do you or a member of your immediate family, directly or indirectly, separately or together, own
 1779 securities valued in excess of \$5,000 invested in one business? Account for mutual funds, limited
 1780 partnerships and trusts.

1781 EITHER check NO // OR check YES // and complete Schedule C.

1782 4. Payments for Talks, Meetings, and Publications.

1783 During the past ~~six~~ 12 months did you receive in your capacity as a legislator lodging, transportation,
 1784 money, or anything else of value with a combined value exceeding \$100 (i) for a single talk, meeting,
 1785 or published work or (ii) for a meeting, conference, or event where your attendance at the meeting,
 1786 conference, or event was designed to (a) educate you on issues relevant to your duties as a legislator,
 1787 including issues faced by your constituents, or (b) enhance your knowledge and skills relative to your
 1788 duties as a legislator? Do not include payments and reimbursements from the Commonwealth for
 1789 meetings attended in your capacity as a legislator; see Question 11 and Schedule D2 to report such
 1790 meetings.

1791 EITHER check NO // OR check YES // and complete Schedule D.

1792 5. Gifts.

1793 During the past ~~six~~ 12 months did a business, government, or individual other than a relative or
 1794 personal friend (i) furnish you or a member of your immediate family with any gift or entertainment at
 1795 a single event, and the value received exceeded ~~\$50~~ \$100 or (ii) furnish you or a member of your
 1796 immediate family with gifts or entertainment in any combination and the total value received exceeded
 1797 ~~\$50~~ \$100, and for which you or the member of your immediate family neither paid nor rendered
 1798 services in exchange? Account for entertainment events only if the average value per person attending
 1799 the event exceeded ~~\$50~~ \$100. Account for all business entertainment (except if related to the private
 1800 profession or occupation of you or the member of your immediate family who received such business
 1801 entertainment) even if unrelated to your official duties.

1802 EITHER check NO // OR check YES // and complete Schedule E.

1803 6. Salary and Wages.

1804 List each employer that pays you or a member of your immediate family salary or wages in excess
 1805 of \$5,000 annually. (Exclude any salary received as a member of the General Assembly pursuant to
 1806 § 30-19.11.)

1807 If no reportable salary or wages, check here //.

1808 _____
 1809 _____
 1810 _____
 1811 7. Business Interests and Lobbyist Relationships.
 1812 7A. Do you or a member of your immediate family, separately or together, operate your own
 1813 business, or own or control an interest in excess of \$5,000 in a business?
 1814 EITHER check NO // OR check YES // and complete Schedule F-1.
 1815 7B. Do you have a lobbyist relationship as that term is defined above?
 1816 EITHER check NO // OR check YES // and complete Schedule F-2.
 1817 8. Payments for Representation and Other Services.
 1818 8A. Did you represent any businesses before any state governmental agencies, excluding courts or
 1819 judges, for which you received total compensation during the past ~~six~~ 12 months in excess of \$1,000,
 1820 excluding compensation for other services to such businesses and representation consisting solely of the
 1821 filing of mandatory papers and subsequent representation regarding the mandatory papers?
 1822 EITHER check NO // OR check YES // and complete Schedule G-1.
 1823 8B. Subject to the same exceptions as in 8A, did persons with whom you have a close financial
 1824 association (partners, associates or others) represent any businesses before any state governmental agency
 1825 for which total compensation was received during the past ~~six~~ 12 months in excess of \$1,000?
 1826 EITHER check NO // OR check YES // and complete Schedule G-2.
 1827 8C. Did you or persons with whom you have a close financial association furnish services to
 1828 businesses operating in Virginia, pursuant to an agreement between you and such businesses, or between
 1829 persons with whom you have a close financial association and such businesses for which total
 1830 compensation in excess of \$1,000 was received during the past ~~six~~ 12 months? Services reported under
 1831 this provision shall not include services involving the representation of businesses that are reported
 1832 under question 8A or 8B above.
 1833 EITHER check NO // OR check YES // and complete Schedule G-3.
 1834 9. Real Estate.
 1835 Do you or a member of your immediate family hold an interest, including a partnership interest,
 1836 valued at more than \$5,000 in real property (other than your principal residence) for which you have not
 1837 already listed the full address on Schedule F? Account for real estate held in trust.
 1838 EITHER check NO // OR check YES // and complete Schedule H.
 1839 10. Real Estate Contracts with State Governmental Agencies.
 1840 Do you or a member of your immediate family hold an interest valued at more than \$5,000 in real
 1841 estate, including a corporate, partnership, or trust interest, option, easement, or land contract, which real
 1842 estate is the subject of a contract, whether pending or completed within the past ~~six~~ 12 months, with a
 1843 state governmental agency?
 1844 If the real estate contract provides for the leasing of the property to a state governmental agency, do
 1845 you or a member of your immediate family hold an interest in the real estate, including a corporate,
 1846 partnership, or trust interest, option, easement, or land contract valued at more than \$1,000? Account for
 1847 all such contracts whether or not your interest is reported in Schedule F or H. This requirement to
 1848 disclose an interest in a lease does not apply to an interest derived through an ownership interest in a
 1849 business unless the ownership interest exceeds three percent of the total equity of the business.
 1850 EITHER check NO // OR check YES // and complete Schedule I.
 1851 11. Payments by the Commonwealth for Meetings.
 1852 During the past ~~six~~ 12 months did you receive lodging, transportation, money, or anything else of
 1853 value with a combined value exceeding \$100 from the Commonwealth for a single meeting attended
 1854 out-of-state in your capacity as a legislator? Do not include reimbursements from the Commonwealth for
 1855 meetings attended in the Commonwealth.
 1856 EITHER check NO // OR check YES // and complete Schedule D-2.
 1857 For Statements filed in ~~June 2016 and each two years thereafter~~ *an even-numbered year*, complete
 1858 the following statement indicating whether you completed the ethics orientation sessions provided
 1859 pursuant to law:
 1860 I certify that I completed ethics training as required by § 30-129.1. YES // or NO // .
 1861 Statements of Economic Interests are open for public inspection.
 1862 AFFIRMATION.
 1863 In accordance with the rules of the house in which I serve, if I receive a request that this disclosure
 1864 statement be corrected, augmented, or revised in any respect, I hereby pledge that I shall respond
 1865 promptly to the request. I understand that if a determination is made that the statement is insufficient, I
 1866 will satisfy such request or be subjected to disciplinary action of my house.
 1867 I swear or affirm that the foregoing information is full, true and correct to the best of my knowledge.
 1868 Signature _____

1869 (Return only if needed to complete Statement.)

1870 SCHEDULES to STATEMENT OF ECONOMIC INTERESTS.

| 1871 | NAME |
|------|------|
|------|------|

1872 SCHEDULE A — OFFICES AND DIRECTORSHIPS.

1873 Identify each business of which you or a member of your immediate family is a paid officer or paid
1874 director.

1875 _____

| | Name of Business | Address of Business | Position Held and by Whom |
|------|------------------|---------------------|---------------------------|
| 1876 | | | |
| 1877 | | | |

1878 _____

| | | | |
|------|--|--|--|
| 1879 | | | |
|------|--|--|--|

| | | | |
|------|--|--|--|
| 1880 | | | |
|------|--|--|--|

| | | | |
|------|--|--|--|
| 1881 | | | |
|------|--|--|--|

1882 _____

1883 RETURN TO ITEM 2

1884 SCHEDULE B — PERSONAL LIABILITIES.

1885 Report personal liability by checking each category. Report only debts in excess of \$5,000. Do not
1886 report debts to any government. Do not report loans secured by recorded liens on property at least equal
1887 in value to the loan.

1888 Report contingent liabilities below and indicate which debts are contingent.

1889 1. My personal debts are as follows:

1890

| | | | |
|------|-------------|------------|-----------|
| 1891 | | | |
| 1892 | Check | | Check one |
| 1893 | appropriate | \$5,001 to | More than |
| 1894 | categories | \$50,000 | \$50,000 |

1895 Banks

| | | | |
|------|----------------------|--|--|
| 1896 | Savings institutions | | |
|------|----------------------|--|--|

| | | | |
|-------------|---------------------------------|--|--|
| 1897 | Other loan or finance companies | | |
|-------------|---------------------------------|--|--|

| | | | |
|------|---------------------|--|--|
| 1898 | Insurance companies | | |
|------|---------------------|--|--|

1899 Stock, commodity or other brokerage

1900 companies

1901 Other businesses: _____

1902 (State principal business activity for each
1903 creditor and its name.)

1904

1905

1906

1907 Individual creditors:

1908 (State principal business or occupation of
1909 each creditor and its name.)

1910

| | | | | |
|-------------|--|--|--|--|
| 1911 | | | | |
| 1912 | | | | |

| | | | |
|------|--|--|--|
| 1912 | | | |
| 1913 | | | |

2. The personal debts of the members of my immediate family are as follows:

1915

1916

| | | |
|------|-------------|----------------------|
| 1917 | Check | Check one |
| 1918 | appropriate | \$5,001 to More than |
| 1919 | categories | \$50,000 \$50,000 |

1920 Banks

| | | | |
|------|----------------------|--|--|
| 1921 | Savings institutions | | |
|------|----------------------|--|--|

| | | | |
|------|---------------------------------|--|--|
| 1922 | Other loan or finance companies | | |
|------|---------------------------------|--|--|

1923 Insurance companies

| | | | |
|------|-------------------------------------|--|--|
| 1924 | Stock, commodity or other brokerage | | |
|------|-------------------------------------|--|--|

1925 companies

| | | | |
|------|-------------------|--|--|
| 1926 | Other businesses: | | |
|------|-------------------|--|--|

1927 (State principal business activity for each
1928 creditor and its name.)

| | | | |
|------|--|--|--|
| 1929 | | | |
| 1930 | | | |
| 1931 | | | |
| 1932 | Individual creditors: | | |
| 1933 | (State principal business or occupation of | | |
| 1934 | each creditor and its name.) | | |
| 1935 | | | |
| 1936 | | | |
| 1937 | | | |
| 1938 | | | |

1939 RETURN TO ITEM 3

1940 SCHEDULE C — SECURITIES.

1941 "Securities" INCLUDES stocks, bonds, mutual funds, limited partnerships, and commodity futures
1942 contracts.

1943 "Securities" EXCLUDES certificates of deposit, money market funds, annuity contracts, and
1944 insurance policies.

1945 Identify each business or Virginia governmental entity in which you or a member of your immediate
1946 family, directly or indirectly, separately or together, own securities valued in excess of \$5,000. Name
1947 each issuer and type of security individually.

1948 Do not list U.S. Bonds or other government securities not issued by the Commonwealth of Virginia
1949 or its authorities, agencies, or local governments. Do not list organizations that do not do business in
1950 this Commonwealth, but most major businesses conduct business in Virginia. Account for securities held
1951 in trust.

1952 If no reportable securities, check here / /.

| 1953 | 1954 | 1955 | 1956 | 1957 | 1958 | 1959 | 1960 | 1961 | 1962 | 1963 |
|------|----------------|------------------------|----------|-----------|-----------|-----------|------|------|------|------|
| | | Type of Security | \$5,001 | Check one | \$50,001 | More | | | | |
| | | (stocks, bonds, mutual | to | | to | than | | | | |
| | | funds, etc.) | \$50,000 | | \$250,000 | \$250,000 | | | | |
| 1958 | Name of Issuer | | | | | | | | | |
| 1959 | | | | | | | | | | |
| 1960 | | | | | | | | | | |
| 1961 | | | | | | | | | | |
| 1962 | | | | | | | | | | |
| 1963 | | | | | | | | | | |

1964 RETURN TO ITEM 4

1965 SCHEDULE D-1 — PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.

1966 List each source from which you received during the past ~~six~~ 12 months in your capacity as a
1967 legislator lodging, transportation, money, or any other thing of value with a combined value exceeding
1968 \$100 (i) for your presentation of a single talk, participation in one meeting, or publication of a work or
1969 (ii) for your attendance at a meeting, conference, or event where your attendance at the meeting,
1970 conference, or event was designed to (a) educate you on issues relevant to your duties as a legislator,
1971 including issues faced by your constituents, or (b) enhance your knowledge and skills relative to your
1972 duties as a legislator. Any lodging, transportation, money, or other thing of value received by a
1973 legislator that does not satisfy the criteria of clause (i), (ii)(a), or (ii)(b) shall be listed as a gift on
1974 Schedule E. Do not list payments or reimbursements by the Commonwealth. (See Schedule D-2 for such
1975 payments or reimbursements.) List a payment even if you donated it to charity. Do not list information
1976 about a payment if you returned it within 60 days or if you received it from an employer already listed
1977 under Item 6 or from a source of income listed on Schedule F.

1978 If no payment must be listed, check here / /.

| | | | |
|------|-------|-------------------|-------------------|
| 1979 | | | |
| 1980 | | | |
| 1981 | | | Type of Payment |
| 1982 | | | (e.g., Honoraria, |
| 1983 | | | Travel reimburse- |
| 1984 | Payer | Approximate Value | Circumstances |
| | | | ment, etc.) |

SENATE SUBSTITUTE

SB692S1

| | | | | |
|------|--|--|--|--|
| 1985 | | | | |
| 1986 | | | | |
| 1987 | | | | |
| 1988 | | | | |
| 1989 | | | | |

RETURN TO ITEM 5

SCHEDULE D-2 — PAYMENTS BY THE COMMONWEALTH FOR MEETINGS.

List each meeting for which the Commonwealth provided payments or reimbursements during the past ~~six~~ 12 months to you for lodging, transportation, money, or any other thing of value with a combined value exceeding \$100 for your participation in your capacity as a legislator. Do not list payments or reimbursements by the Commonwealth for meetings or travel within the Commonwealth.

If no payment must be listed, check here / /.

| | | | | |
|------|-------|-------------------|---------------|-----------------|
| 1997 | | | | |
| 1998 | | | | |
| 1999 | | | | Type of Payment |
| 2000 | | | | (e.g., Travel |
| 2001 | | | | reimbursement, |
| 2002 | Payer | Approximate Value | Circumstances | etc.) |
| 2003 | | | | |
| 2004 | | | | |
| 2005 | | | | |
| 2006 | | | | |
| 2007 | | | | |

SCHEDULE E — GIFTS.

List each business, governmental entity, or individual that, during the past ~~six~~ 12 months, (i) furnished you or a member of your immediate family with any gift or entertainment at a single event, and the value received exceeded \$50 \$100 or (ii) furnished you or a member of your immediate family with gifts or entertainment in any combination and the total value received exceeded \$50 \$100, and for which you or the member of your immediate family neither paid nor rendered services in exchange. List each such gift or event.

Do not list entertainment events unless the average value per person attending the event exceeded \$50 \$100. Do not list business entertainment related to the private profession or occupation of you or the member of your immediate family who received such business entertainment. Do not list gifts or other things of value given by a relative or personal friend for reasons clearly unrelated to your public position. Do not list campaign contributions publicly reported as required by Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2 of the Code of Virginia.

| | | | | | |
|------|-----------|-------------------|-----------|---------|-------------|
| 2021 | | | | | |
| 2022 | | | | | |
| 2023 | | Name of Business, | City or | Exact | |
| 2024 | Name of | Organization, or | County | Gift or | Approximate |
| 2025 | Recipient | Individual | and State | Event | Value |
| 2026 | | | | | |
| 2027 | | | | | |
| 2028 | | | | | |
| 2029 | | | | | |
| 2030 | | | | | |

RETURN TO ITEM 6

SCHEDULE F-1 — BUSINESS INTERESTS.

Complete this Schedule for each self-owned or family-owned business (including rental property, a farm, or consulting work), partnership, or corporation in which you or a member of your immediate family, separately or together, own an interest having a value in excess of \$5,000.

If the enterprise is owned or operated under a trade, partnership, or corporate name, list that name; otherwise, merely explain the nature of the enterprise. If rental property is owned or operated under a trade, partnership, or corporate name, list the name only; otherwise, give the address of each property. Account for business interests held in trust.

| | |
|------|----------|
| 2040 | |
| 2041 | |
| 2042 | Name of |
| 2043 | Business |

| | | | | | | |
|------|--------------|-----------|-----------------|----------|--------------|-----------|
| 2044 | Corporation, | | | | | |
| 2045 | Partnership, | | Nature of | | Gross income | |
| 2046 | Farm; | | Enterprise | | | |
| 2047 | Address of | City or | (farming, | | \$50,001 | More |
| 2048 | Rental | County | law, rental | \$50,000 | to | than |
| 2049 | Property | and State | property, etc.) | or less | \$250,000 | \$250,000 |
| 2050 | | | | | | |
| 2051 | | | | | | |
| 2052 | | | | | | |
| 2053 | | | | | | |
| 2054 | | | | | | |

RETURN TO ITEM 8

SCHEDULE F-2 — LOBBYIST RELATIONSHIPS AND PAYMENTS.

Complete this Schedule for each lobbyist relationship with the following:

(i) any person who is, or has been within the prior calendar year, registered as a lobbyist with the Secretary of the Commonwealth, or

(ii) any business in which you have a greater than three percent ownership interest and that business employs, or engages as an independent contractor, any person who is, or has been within the prior calendar year, registered as a lobbyist with the Secretary of the Commonwealth.

| | | | | | |
|------|------------------|---------------|--------------|-------------|-----------|
| 2063 | | | | | |
| 2064 | | | | | |
| 2065 | | | | Payments to | |
| 2066 | | | | Lobbyist | |
| 2067 | List each person | Describe each | Dates of | \$10,000 | More than |
| 2068 | or business | relationship | relationship | or less | \$10,000 |
| 2069 | | | | | |
| 2070 | | | | | |
| 2071 | | | | | |
| 2072 | | | | | |
| 2073 | | | | | |
| 2074 | | | | | |

THE DISCLOSURE OF A LOBBYIST RELATIONSHIP SHALL NOT (I) CONSTITUTE A WAIVER OF ANY ATTORNEY-CLIENT OR OTHER PRIVILEGE, (II) REQUIRE A WAIVER OF ANY ATTORNEY-CLIENT OR OTHER PRIVILEGE FOR A THIRD PARTY, OR (III) BE REQUIRED WHERE A MEMBER OR MEMBER-ELECT IS EMPLOYED OR ENGAGED BY A PERSON AND SUCH PERSON ALSO EMPLOYS OR ENGAGES A PERSON IN A LOBBYIST RELATIONSHIP SO LONG AS THE MEMBER OR MEMBER-ELECT HAS NO FINANCIAL INTEREST IN THE LOBBYIST RELATIONSHIP.

SCHEDULE G-1 — PAYMENTS FOR REPRESENTATION BY YOU.

List the businesses you represented before any state governmental agency, excluding any court or judge, for which you received total compensation during the past six 12 months in excess of \$1,000, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers filed by you.

Identify each business, the nature of the representation and the amount received by dollar category from each such business. You may state the type, rather than name, of the business if you are required by law not to reveal the name of the business represented by you.

| | | | | | | | | | |
|------|-------|-------|--------|--------|----------|----------|-----------|-----------|-----------|
| 2090 | | | | | | | | | |
| 2091 | | | | | | | | | |
| 2092 | | Pur- | | | | | | | |
| 2093 | | pose | | | | | | | |
| 2094 | Name | Type | of | | | | | | |
| 2095 | of | of | Repre- | Name | \$1,001 | \$10,001 | \$50,001 | \$100,001 | |
| 2096 | Busi- | Busi- | senta- | of | to | to | to | to | \$250,001 |
| 2097 | ness | ness | tion | Agency | \$10,000 | \$50,000 | \$100,000 | \$250,000 | and over |
| 2098 | | | | | | | | | |
| 2099 | | | | | | | | | |
| 2100 | | | | | | | | | |
| 2101 | | | | | | | | | |

2102
 2103 If you have received \$250,001 or more from a single business within the reporting period, indicate
 2104 the amount received, rounded to the nearest \$10,000. Amount Received _____.

2105 SCHEDULE G-2 — PAYMENTS FOR REPRESENTATION BY ASSOCIATES.

2106 List the businesses that have been represented before any state governmental agency, excluding any
 2107 court or judge, by persons who are your partners, associates or others with whom you have a close
 2108 financial association and who received total compensation in excess of \$1,000 for such representation
 2109 during the past ~~six~~ 12 months, excluding representation consisting solely of the filing of mandatory
 2110 papers and subsequent representation regarding the mandatory papers filed by your partners, associates
 2111 or others with whom you have a close financial association.

2112 Identify such businesses by type and also name the state governmental agencies before which such
 2113 person appeared on behalf of such businesses.

| 2114 | 2115 |
|------|------|
| 2116 | 2117 |
| 2118 | 2119 |
| 2120 | 2121 |

2122 SCHEDULE G-3 — PAYMENTS FOR OTHER SERVICES GENERALLY.

2123 Indicate below types of businesses that operate in Virginia to which services were furnished by you
 2124 or persons with whom you have a close financial association pursuant to an agreement between you and
 2125 such businesses, or between persons with whom you have a close financial association and such
 2126 businesses and for which total compensation in excess of \$1,000 was received during the past ~~six~~ 12
 2127 months. Services reported in this Schedule shall not include services involving the representation of
 2128 businesses that are reported in Schedule G-1 or G-2 above.

2129 Identify opposite each category of businesses listed below (i) the type of business, (ii) the type of
 2130 service rendered and (iii) the value by dollar category of the compensation received for all businesses
 2131 falling within each category.

| 2132 | 2133 |
|------|------|
| 2134 | 2135 |
| 2136 | 2137 |
| 2138 | 2139 |
| 2140 | 2141 |
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| | | | | | | | |
|------|---------------------|-------|-------|-------|-------|-------|-------|
| 2160 | Manufacturing | | | | | | |
| 2161 | companies (state | | | | | | |
| 2162 | type of product, | | | | | | |
| 2163 | e.g., textile, | | | | | | |
| 2164 | furniture, etc.) | _____ | _____ | _____ | _____ | _____ | _____ |
| 2165 | Mining companies | _____ | _____ | _____ | _____ | _____ | _____ |
| 2166 | Life insurance | | | | | | |
| 2167 | companies | _____ | _____ | _____ | _____ | _____ | _____ |
| 2168 | Casualty insurance | | | | | | |
| 2169 | companies | _____ | _____ | _____ | _____ | _____ | _____ |
| 2170 | Other insurance | | | | | | |
| 2171 | companies | _____ | _____ | _____ | _____ | _____ | _____ |
| 2172 | Retail companies | _____ | _____ | _____ | _____ | _____ | _____ |
| 2173 | Beer, wine or | | | | | | |
| 2174 | liquor companies | _____ | _____ | _____ | _____ | _____ | _____ |
| 2175 | or distributors | _____ | _____ | _____ | _____ | _____ | _____ |
| 2176 | Trade associations | _____ | _____ | _____ | _____ | _____ | _____ |
| 2177 | Professional | | | | | | |
| 2178 | associations | _____ | _____ | _____ | _____ | _____ | _____ |
| 2179 | Associations of | | | | | | |
| 2180 | public employees | _____ | _____ | _____ | _____ | _____ | _____ |
| 2181 | or officials | _____ | _____ | _____ | _____ | _____ | _____ |
| 2182 | Counties, cities | | | | | | |
| 2183 | or towns | _____ | _____ | _____ | _____ | _____ | _____ |
| 2184 | Labor organizations | _____ | _____ | _____ | _____ | _____ | _____ |
| 2185 | Other | _____ | _____ | _____ | _____ | _____ | _____ |
| 2186 | | | | | | | |

RETURN TO ITEM 9

2188 SCHEDULE H — REAL ESTATE.

2189 List real estate other than your principal residence in which you or a member of your immediate family holds an interest, including a partnership interest, option, easement, or land contract, valued at \$5,000 or more. Each parcel shall be listed individually.

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|------|--------------------|---------------------------|------------------------|
| 2190 | | | |
| 2191 | | | |
| 2192 | | | |
| 2193 | | | |
| 2194 | | Describe the type of real | |
| 2195 | | estate you own in each | |
| 2196 | List the location | location (business, | If the real estate is |
| 2197 | (state, and county | recreational, apartment, | owned or recorded in |
| 2198 | or city where you | commercial, open land, | a name other than your |
| 2199 | own real estate | etc.) | own, list that name |
| 2200 | _____ | _____ | _____ |
| 2201 | _____ | _____ | _____ |
| 2202 | _____ | _____ | _____ |
| 2203 | _____ | _____ | _____ |
| 2204 | _____ | _____ | _____ |
| 2205 | _____ | _____ | _____ |

RETURN TO ITEM 10

2207 SCHEDULE I — REAL ESTATE CONTRACTS WITH STATE GOVERNMENTAL AGENCIES.

2208 List all contracts, whether pending or completed within the past ~~six~~ 12 months, with a state governmental agency for the sale or exchange of real estate in which you or a member of your immediate family holds an interest, including a corporate, partnership or trust interest, option, easement, or land contract, valued at more than \$10,000. List all contracts with a state governmental agency for the lease of real estate in which you or a member of your immediate family holds such an interest valued at more than \$1,000. This requirement to disclose an interest in a lease does not apply to an interest derived through an ownership interest in a business unless the ownership interest exceeds three percent of the total equity of the business.

| | |
|------|-------|
| 2216 | _____ |
|------|-------|

| | | | |
|------|------------------------|-------------------------|--------------------|
| 2217 | | | |
| 2218 | List your real | | |
| 2219 | estate interest and | | |
| 2220 | the person or entity, | | |
| 2221 | including the type of | | |
| 2222 | entity, which is | | |
| 2223 | party to the contract. | | State the annual |
| 2224 | Describe any | | income from the |
| 2225 | management role and | List each | contract, and the |
| 2226 | the percentage | governmental agency | amount, if any, of |
| 2227 | ownership interest | which is a party to | income you or any |
| 2228 | you or your immediate | the contract and | immediate family |
| 2229 | family member has in | indicate the county | member derives |
| 2230 | the real estate | or city where the | annually from |
| 2231 | or entity. | real estate is located. | the contract. |
| 2232 | _____ | _____ | _____ |
| 2233 | _____ | _____ | _____ |
| 2234 | _____ | _____ | _____ |
| 2235 | _____ | _____ | _____ |
| 2236 | _____ | _____ | _____ |

2237
 2238 B. Any legislator who knowingly and intentionally makes a false statement of a material fact on the
 2239 Statement of Economic Interests is guilty of a Class 5 felony and shall be subject to disciplinary action
 2240 for such violations by the house in which the legislator sits.

2241 C. The Statement of Economic Interests of all members of each house shall be reviewed by the
 2242 Council. If a legislator's Statement is found to be inadequate as filed, the legislator shall be notified in
 2243 writing and directed to file an amended Statement correcting the indicated deficiencies, and a time shall
 2244 be set within which such amendment shall be filed. If the Statement of Economic Interests, in either its
 2245 original or amended form, is found to be adequate as filed, the legislator's filing shall be deemed in full
 2246 compliance with this section as to the information disclosed thereon.

2247 D. Ten percent of the membership of a house, on the basis of newly discovered facts, may in writing
 2248 request the house in which those members sit, in accordance with the rules of that house, to review the
 2249 Statement of Economic Interests of another member of that house in order to determine the adequacy of
 2250 his filing. In accordance with the rules of each house, each Statement of Economic Interests shall be
 2251 promptly reviewed, the adequacy of the filing determined, and notice given in writing to the legislator
 2252 whose Statement is in issue. Should it be determined that the Statement requires correction,
 2253 augmentation or revision, the legislator involved shall be directed to make the changes required within
 2254 such time as shall be set under the rules of each house.

2255 If a legislator, after having been notified in writing in accordance with the rules of the house in
 2256 which he sits that his Statement is inadequate as filed, fails to amend his Statement so as to come into
 2257 compliance within the time limit set, he shall be subject to disciplinary action by the house in which he
 2258 sits. No legislator shall vote on any question relating to his own Statement.

2259 **§ 30-129.1. Orientation sessions on ethics and conflicts of interests.**

2260 The Virginia Conflict of Interest and Ethics Advisory Council shall conduct an orientation session (i)
 2261 for new and returning General Assembly members preceding each even-numbered year regular session
 2262 and (ii) for any new General Assembly member who is elected in a special election and whose term
 2263 commences after the date of the orientation session provided for in clause (i) and at least six months
 2264 before the date of the next such orientation session within three months of his election. Attendance at
 2265 the full orientation session shall be mandatory for newly elected members. Attendance at a refresher
 2266 session ~~lasting at least two hours~~ shall be mandatory for returning members and may be accomplished
 2267 by online participation. There shall be no penalty for the failure of a member to attend the full or
 2268 refresher orientation session, but the member must disclose his attendance pursuant to § 30-111. *No*
 2269 *orientation or refresher session conducted by the Council shall last more than two hours.*

2270 **§ 30-356.1. Request for approval for certain travel.**

2271 A. The Council shall receive and review a request for the approval of travel submitted by a person
 2272 required to file the disclosure form prescribed in § 2.2-3117 or 30-111 to accept any travel-related
 2273 transportation, lodging, hospitality, ~~food or beverage~~, or other thing of value that has a value exceeding
 2274 \$100 where such approval is required pursuant to subsection G of § 2.2-3103.1 or subsection F of
 2275 § 30-103.1. A request for the approval of travel shall not be required for the following, but such travel
 2276 shall be disclosed as may be required by the Acts:

- 2277 1. Travel disclosed pursuant to the Campaign Finance Disclosure Act (§ 24.2-945 et seq.);
- 2278 2. Travel paid for or provided by the government of the United States, any of its territories, or any
- 2279 state or any political subdivision of such state;
- 2280 3. Travel provided to facilitate attendance by a legislator at a regular or special session of the
- 2281 General Assembly, a meeting of a legislative committee or commission, or a national conference where
- 2282 attendance is approved by the House or Senate Committee on Rules; or
- 2283 4. Travel related to an official meeting of the Commonwealth, its political subdivisions, or any board,
- 2284 commission, authority, or other entity, or any charitable organization established pursuant to § 501(c)(3)
- 2285 of the Internal Revenue Code affiliated with such entity, to which such person has been appointed or
- 2286 elected or is a member by virtue of his office or employment.
- 2287 5. *Travel provided by a nonpartisan state, regional, national, or international legislative*
- 2288 *organization, or an affiliated organization of such organization, of which a legislator or an officer or*
- 2289 *employee of the legislative branch is a member by virtue of his office or employment; or*
- 2290 6. *Travel provided by a charitable organization established pursuant to § 501(c)(3) of the Internal*
- 2291 *Revenue Code to a legislator or an officer or employee of the legislative branch if such organization*
- 2292 *has been approved by the Joint Rules Committee of the General Assembly to provide such travel.*
- 2293 B. When reviewing a request for the approval of travel, the Council shall consider the purpose of the
- 2294 travel as it relates to the official duties of the requester. The Council shall approve any request for travel
- 2295 that bears a reasonable relationship between the purpose of the travel and the official duties of the
- 2296 requester. Such travel shall include any meeting, conference, or other event (i) composed primarily of
- 2297 public officials, (ii) at which public policy related to the duties of the requester will be discussed in a
- 2298 substantial manner, (iii) reasonably expected to educate the requester on issues relevant to his official
- 2299 duties or to enhance the requester's knowledge and skills relative to his official duties, or (iv) at which
- 2300 the requester has been invited to speak regarding matters reasonably related to the requester's official
- 2301 duties.
- 2302 C. The Council shall not approve any travel requests that bear no reasonable relationship between the
- 2303 purpose of the proposed travel and the official duties of the requester. In making such determination, the
- 2304 Council shall consider the duration of travel, the destination of travel, the estimated value of travel, and
- 2305 any previous or recurring travel.
- 2306 D. Within five business days of receipt of a request for the approval of travel, the Council shall grant
- 2307 or deny the request, unless additional information has been requested. If additional information has been
- 2308 requested, the Council shall grant or deny the request for the approval within five business days of
- 2309 receipt of such information. If the Council has not granted or denied the request for approval of travel
- 2310 or requested additional information within such five-day period, such travel shall be deemed to have
- 2311 been approved by the Council. Nothing in this subsection shall preclude a person from amending or
- 2312 resubmitting a request for the approval of travel. The Council may authorize a designee to review and
- 2313 grant or deny requests for the approval of travel.
- 2314 E. A request for the approval of travel shall be on a form prescribed by the Council and made
- 2315 available on its website. Such form may be submitted by electronic means, facsimile, in-person
- 2316 submission, or mail or commercial mail delivery.
- 2317 F. No person shall be prosecuted, assessed a civil penalty, or otherwise disciplined for acceptance of
- 2318 a travel-related thing of value if he accepted the travel-related thing of value after receiving approval
- 2319 under this section, regardless of whether such approval is later withdrawn, provided the travel occurred
- 2320 prior to the withdrawal of the approval.