

16100211D

SENATE BILL NO. 213

Offered January 13, 2016

Prefiled January 5, 2016

A BILL to amend and reenact §§ 2.2-419, 2.2-426, 2.2-3101, 2.2-3103.1, 2.2-3117, 30-101, 30-103.1, 30-111, and 30-356.1 of the Code of Virginia, relating to the State and Local Government Conflict of Interests Act, the General Assembly Conflicts of Interests Act, and the Virginia Conflict of Interest and Ethics Advisory Council; food and beverages exempt from definition of gift.

Patron—Black

Referred to Committee on Rules

Be it enacted by the General Assembly of Virginia:

1. That §§ 2.2-419, 2.2-426, 2.2-3101, 2.2-3103.1, 2.2-3117, 30-101, 30-103.1, 30-111, and 30-356.1 of the Code of Virginia are amended and reenacted as follows:

§ 2.2-419. Definitions.

As used in this article, unless the context requires a different meaning:

"Anything of value" means:

1. A pecuniary item, including money, or a bank bill or note;
 2. A promissory note, bill of exchange, order, draft, warrant, check, or bond given for the payment of money;
 3. A contract, agreement, promise, or other obligation for an advance, conveyance, forgiveness of indebtedness, deposit, distribution, loan, payment, gift, pledge, or transfer of money;
 4. A stock, bond, note, or other investment interest in an entity;
 5. A receipt given for the payment of money or other property;
 6. A right in action;
 7. A gift, tangible good, chattel, or an interest in a gift, tangible good, or chattel;
 8. A loan or forgiveness of indebtedness;
 9. A work of art, antique, or collectible;
 10. An automobile or other means of personal transportation;
 11. Real property or an interest in real property, including title to realty, a fee simple or partial interest, present or future, contingent or vested within realty, a leasehold interest, or other beneficial interest in realty;
 12. An honorarium or compensation for services;
 13. A rebate or discount in the price of anything of value unless the rebate or discount is made in the ordinary course of business to a member of the public without regard to that person's status as an executive or legislative official, or the sale or trade of something for reasonable compensation that would ordinarily not be available to a member of the public;
 14. A promise or offer of employment; or
 15. Any other thing of value that is pecuniary or compensatory in value to a person.
- "Anything of value" does not mean a campaign contribution properly received and reported pursuant to Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2.
- "Compensation" means:
1. An advance, conveyance, forgiveness of indebtedness, deposit, distribution, loan, payment, gift, pledge, or transfer of money or anything of value; or
 2. A contract, agreement, promise or other obligation for an advance, conveyance, forgiveness of indebtedness, deposit, distribution, loan, payment, gift, pledge, or transfer of money or anything of value, for services rendered or to be rendered.
- "Compensation" does not mean reimbursement of expenses if the reimbursement does not exceed the amount actually expended for the expenses and it is substantiated by an itemization of expenses.
- "Council" means the Virginia Conflict of Interest and Ethics Advisory Council established in § 30-355.
- "Executive action" means the proposal, drafting, development, consideration, amendment, adoption, approval, promulgation, issuance, modification, rejection, or postponement by an executive agency or official of legislation or executive orders issued by the Governor. "Executive action" includes procurement transactions.
- "Executive agency" means an agency, board, commission, or other body in the executive branch of state government. "Executive agency" includes the State Corporation Commission, the Virginia Workers' Compensation Commission, and the Virginia Lottery.

INTRODUCED

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59 "Executive official" means:

- 60 1. The Governor;
- 61 2. The Lieutenant Governor;
- 62 3. The Attorney General;
- 63 4. Any officer or employee of the office of the Governor, Lieutenant Governor, or Attorney General
- 64 other than a clerical or secretarial employee;
- 65 5. The Governor's Secretaries, the Deputy Secretaries, and the chief executive officer of each
- 66 executive agency; or
- 67 6. Members of supervisory and policy boards, commissions and councils, as defined in § 2.2-2100,
- 68 however selected.

69 "Expenditure" means:

- 70 1. A purchase, payment, distribution, loan, forgiveness of a loan or payment of a loan by a third
- 71 party, advance, deposit, transfer of funds, a promise to make a payment, or a gift of money or anything
- 72 of value for any purpose;
- 73 2. A payment to a lobbyist for salary, fee, reimbursement for expenses, or other purpose by a person
- 74 employing, retaining, or contracting for the services of the lobbyist separately or jointly with other
- 75 persons;
- 76 3. A payment in support of or assistance to a lobbyist or the lobbyist's activities, including the direct
- 77 payment of expenses incurred at the request or suggestion of the lobbyist;
- 78 4. A payment that directly benefits an executive or legislative official or a member of the official's
- 79 immediate family;
- 80 5. A payment, including compensation, payment, or reimbursement for the services, time, or expenses
- 81 of an employee for or in connection with direct communication with an executive or legislative official;
- 82 6. A payment for or in connection with soliciting or urging other persons to enter into direct
- 83 communication with an executive or legislative official; or
- 84 7. A payment or reimbursement for categories of expenditures required to be reported pursuant to
- 85 this chapter.

86 "Expenditure" does not mean a campaign contribution properly received and reported pursuant to

87 Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2.

88 "Fair market value" means the price that a good or service would bring between a willing seller and

89 a willing buyer in the open market after negotiations. If the fair market value cannot be determined, the

90 actual price paid for the good or service shall be given consideration.

91 "Gift" means anything of value, including any gratuity, favor, discount, entertainment, hospitality,

92 loan, forbearance, or other item having monetary value, and includes services as well as gifts of

93 transportation, local travel, *and* lodgings, ~~and meals~~, whether provided in-kind or by purchase of a

94 ticket, payment in advance, or reimbursement after the expense has been incurred.

95 "Gift" does not mean:

- 96 1. Printed informational or promotional material;
- 97 2. A gift that is not used and, no later than 60 days after receipt, is returned to the donor or
- 98 delivered to a charitable organization and is not claimed as a charitable contribution for federal income
- 99 tax purposes;
- 100 3. A devise or inheritance;
- 101 4. A gift of a value of \$50 or less;
- 102 5. Any offer of a ticket, coupon, or other admission or pass unless the ticket, coupon, admission, or
- 103 pass is used;
- 104 6. Any food or beverages ~~provided to an individual at an event at which the individual is performing~~
- 105 ~~official duties related to his public service;~~
- 106 7. Any ~~food and beverages received at or~~ registration or attendance fees waived for any event at
- 107 which the individual is a featured speaker, presenter, or lecturer;
- 108 8. An unsolicited award of appreciation or recognition in the form of a plaque, trophy, wall
- 109 memento, or similar item that is given in recognition of public, civic, charitable, or professional service;
- 110 9. Any gift from an individual's spouse, child, uncle, aunt, niece, nephew, or first cousin; a person to
- 111 whom the donee is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild,
- 112 brother, sister, step-parent, step-grandparent, step-grandchild, step-brother, or step-sister; or the donee's
- 113 brother's or sister's spouse;
- 114 10. Travel provided to facilitate attendance by a legislator at a regular or special session of the
- 115 General Assembly, a meeting of a legislative committee or commission, or a national conference where
- 116 attendance is approved by the House or Senate Committee on Rules; or
- 117 11. Travel related to an official meeting of the Commonwealth, its political subdivisions, or any
- 118 board, commission, authority, or other entity, or any charitable organization established pursuant to
- 119 § 501(c)(3) of the Internal Revenue Code affiliated with such entity, to which such person has been
- 120 appointed or elected or is a member by virtue of his office or employment.

"Immediate family" means (i) the spouse and (ii) any other person who resides in the same household as the executive or legislative official and who is a dependent of the official.

"Legislative action" means:

1. Preparation, research, drafting, introduction, consideration, modification, amendment, approval, passage, enactment, tabling, postponement, defeat, or rejection of a bill, resolution, amendment, motion, report, nomination, appointment, or other matter by the General Assembly or a legislative official;

2. Action by the Governor in approving, vetoing, or recommending amendments for a bill passed by the General Assembly; or

3. Action by the General Assembly in overriding or sustaining a veto by the Governor, considering amendments recommended by the Governor, or considering, confirming, or rejecting an appointment of the Governor.

"Legislative official" means:

1. A member or member-elect of the General Assembly;

2. A member of a committee, subcommittee, commission, or other entity established by and responsible to the General Assembly or either house of the General Assembly; or

3. Persons employed by the General Assembly or an entity established by and responsible to the General Assembly.

"Lobbying" means:

1. Influencing or attempting to influence executive or legislative action through oral or written communication with an executive or legislative official; or

2. Solicitation of others to influence an executive or legislative official.

"Lobbying" does not mean:

1. Requests for appointments, information on the status of pending executive and legislative actions, or other ministerial contacts if there is no attempt to influence executive or legislative actions;

2. Responses to published notices soliciting public comment submitted to the public official designated in the notice to receive the responses;

3. The solicitation of an association by its members to influence legislative or executive action; or

4. Communications between an association and its members and communications between a principal and its lobbyists.

"Lobbyist" means:

1. An individual who is employed and receives payments, or who contracts for economic consideration, including reimbursement for reasonable travel and living expenses, for the purpose of lobbying;

2. An individual who represents an organization, association, or other group for the purpose of lobbying; or

3. A local government employee who lobbies.

"Lobbyist's principal" or "principal" means the entity on whose behalf the lobbyist influences or attempts to influence executive or legislative action. An organization whose employees conduct lobbying activities on its behalf is both a principal and an employer of the lobbyists. In the case of a coalition or association that employs or retains others to conduct lobbying activities on behalf of its membership, the principal is the coalition or association and not its individual members.

"Local government" means:

1. Any county, city, town, or other local or regional political subdivision;

2. Any school division;

3. Any organization or entity that exercises governmental powers that is established pursuant to an interstate compact; or

4. Any organization composed of members representing entities listed in subdivisions 1, 2, or 3 of this definition.

"Local government employee" means a public employee of a local government.

"Person" means an individual, proprietorship, firm, partnership, joint venture, joint stock company, syndicate, business trust, estate, company, corporation, association, club, committee, organization, or group of persons acting in concert.

"Procurement transaction" means all functions that pertain to obtaining all goods, services, or construction on behalf of an executive agency, including description of requirements, selection and solicitation of sources, preparation and award of contract, and all phases of contract administration.

"Secretary" means the Secretary of the Commonwealth.

"Value" means the actual cost or fair market value of an item or items, whichever is greater. If the fair market value cannot be determined, the actual amount paid for the item or items shall be given consideration.

"Widely attended event" means an event at which at least 25 persons have been invited to attend or there is a reasonable expectation that at least 25 persons will attend the event and the event is open to

182 individuals (i) who share a common interest, (ii) who are members of a public, civic, charitable, or
 183 professional organization, (iii) who are from a particular industry or profession, or (iv) who represent
 184 persons interested in a particular issue.

185 **§ 2.2-426. Lobbyist reporting; penalty.**

186 A. Each lobbyist shall file with the Council a separate semiannual report of expenditures, including
 187 gifts, for each principal for whom he lobbies by December 15 for the preceding six-month period
 188 complete through the last day of October and June 15 for the preceding six-month period complete
 189 through the last day of April.

190 B. Each principal who expends more than \$500 to employ or compensate multiple lobbyists shall be
 191 responsible for filing a consolidated lobbyist report pursuant to this section in any case in which the
 192 lobbyists are each exempt under the provisions of subdivision 7 or 8 of § 2.2-420 from the reporting
 193 requirements of this section.

194 C. (Effective January 1, 2016, through July 1, 2016) The report shall be on a form provided by the
 195 Council, which shall be substantially similar to the following and shall be accompanied by instructions
 196 provided by the Council.

197 C. (Effective July 1, 2016) The report shall be on a form provided by the Council, which shall be
 198 substantially similar to the following and shall be accompanied by instructions provided by the Council.
 199 All reports shall be submitted electronically and in accordance with the standards approved by the
 200 Council pursuant to the provisions of § 30-356.

201 LOBBYIST'S DISCLOSURE STATEMENT

202 PART I:

203 (1) PRINCIPAL: _____

204 In Part I, item 2a, provide the name of the individual
 205 authorizing your employment as a lobbyist. The lobbyist filing
 206 this statement MAY NOT list his name in item 2a.

207 (2a) Name: _____

208 (2b) Permanent Business Address: _____

209 (2c) Business Telephone: _____

210 (3) Provide a list of executive and legislative actions (with as
 211 much specificity as possible) for which you lobbied and a
 212 description of activities conducted.

213 _____

214 _____

215
 216 (4) INCORPORATED FILINGS: If you are filing an incorporated
 217 disclosure statement, please complete the following:

218 Individual filing financial information: _____

219 Individuals to be included in the filing: _____

220
 221 (5) Please indicate which schedules will be attached to your
 222 disclosure statement:

223 [] Schedule A: Entertainment Expenses

224 [] Schedule B: Gifts

225 [] Schedule C: Other Expenses

226 (6) EXPENDITURE TOTALS:

227 a) ENTERTAINMENT \$ _____

228 b) GIFTS \$ _____

229 c) COMMUNICATIONS \$ _____

230 d) PERSONAL LIVING AND TRAVEL EXPENSES \$ _____

231 e) COMPENSATION OF LOBBYISTS \$ _____

232 f) HONORARIA \$ _____

233 g) OTHER \$ _____

234 TOTAL \$ _____

235 PART II:

236 (1a) NAME OF LOBBYIST: _____

237 (1b) Permanent Business Address: _____

238 (1c) Business Telephone: _____

239 (2) As a lobbyist, you are (check one)

- 240 [] EMPLOYED (on the payroll of the principal)
 241 [] RETAINED (not on the payroll of the principal, however
 242 compensated)
 243 [] NOT COMPENSATED (not compensated; expenses may be reimbursed)
 244 (3) List all lobbyists other than yourself who registered to
 245 represent your principal.

- 246 _____
 247 _____
 248 _____
 249 (4) If you selected "EMPLOYED" as your answer to Part II, item 2,
 250 provide your job title.

251 _____
 252 PLEASE NOTE: Some lobbyists are not individually compensated for
 253 lobbying activities. This may occur when several members of a firm
 254 represent a single principal. The principal, in turn, makes a single
 255 payment to the firm. If this describes your situation, do not answer
 256 Part II, items 5a and 5b. Instead, complete Part III, items 1 and 2.

- 257 (5a) What was the DOLLAR AMOUNT OF YOUR COMPENSATION as a lobbyist?
 258 (If you have job responsibilities other than those involving
 259 lobbying, you may have to prorate to determine the part of your
 260 salary attributable to your lobbying activities.) Transfer your
 261 answer to this item to Part I, item 6e.

- 262 (5b) Explain how you arrived at your answer to Part II, item 5a.

263 _____
 264 _____
 265 _____
 266 PART III:

267 PLEASE NOTE: If you answered Part II, items 5a and 5b, you WILL NOT
 268 complete this section.

- 269 (1) List all members of your firm, organization, association,
 270 corporation, or other entity who furnished lobbying services to
 271 your principal.

- 272 _____
 273 _____
 274 _____
 275 (2) Indicate the total amount paid to your firm, organization,
 276 association, corporation, or other entity for services rendered.
 277 Transfer your answer to this item to Part I, item 6e. _____

278 SCHEDULE A

279 ENTERTAINMENT EXPENSES

280 PLEASE NOTE: Any single entertainment event included in the expense
 281 totals of the principal, with a value greater than \$50, should be
 282 itemized below. Transfer any totals from this schedule to Part I,
 283 item 6a. (Please duplicate as needed.)

284 Date and Location of Event:

285 _____
 286 _____
 287 Description of Event (including whether or not it meets the criteria
 288 of a widely attended event):

289 _____
 290 _____
 291 Total Number of Persons Attending:

292 _____
 293 Names of Legislative and Executive Officials or Members of Their
 294 Immediate Families Attending: (List names only if the average value
 295 for each person attending the event was greater than \$50.)

296		
297		
298		
299		
300	Food	\$ _____
301	Beverages	\$ _____
302	Transportation of Legislative and Executive Officials	
303	or Members of Their Immediate Families	\$ _____
304	Lodging of Legislative and Executive Officials or	
305	Members of Their Immediate Families	\$ _____
306	Performers, Speakers, Etc.	\$ _____
307	Displays	\$ _____
308	Rentals	\$ _____
309	Service Personnel	\$ _____
310	Miscellaneous	\$ _____
311	TOTAL	\$ _____

SCHEDULE B

GIFTS

PLEASE NOTE: Any single gift reported in the expense totals of the principal, with a value greater than \$50, should be itemized below. (Report ~~meals~~, entertainment and travel under Schedule A.) Transfer any totals from this schedule to Part I, item 6b. (Please duplicate as needed.)

		Name of each legislative or executive official or member of his immediate family who is a recipient of a gift:	Cost of individual gift:
Date of gift:	Description of gift:		
319			\$ _____
320			\$ _____
321			\$ _____
322			\$ _____
323			\$ _____
324			\$ _____
325			\$ _____
326			\$ _____
327			\$ _____
328			\$ _____
329			\$ _____
330	TOTAL COST TO PRINCIPAL		\$ _____

SCHEDULE C

OTHER EXPENSES

PLEASE NOTE: This section is provided for any lobbying-related expenses not covered in Part I, items 6a - 6f. An example of an expenditure to be listed on schedule C would be the rental of a bill box during the General Assembly session. Transfer the total from this schedule to Part I, item 6g. (Please duplicate as needed.)

DATE OF EXPENSE	DESCRIPTION OF EXPENSE	AMOUNT
338		
339		\$ _____
340		\$ _____
341		\$ _____
342		\$ _____
343		\$ _____
344		\$ _____
345		\$ _____
346		\$ _____
347		\$ _____
348	TOTAL "OTHER" EXPENSES	\$ _____

PART IV: STATEMENTS

The following items are mandatory and if they are not properly completed, the entire filing will be rejected and returned to the lobbyist:

- (1) All signatures on the statement must be ORIGINAL in the format specified in the instructions provided by the Council that accompany this form. No stamps, or other reproductions of the individual's signature will be accepted.
- (2) An individual MAY NOT sign the disclosure statement as lobbyist and principal officer.

STATEMENT OF LOBBYIST

I, the undersigned registered lobbyist, do state that the information furnished on this disclosure statement and on all accompanying attachments required to be made thereto is, to the best of my knowledge and belief, complete and accurate.

Signature of lobbyist

Date

STATEMENT OF PRINCIPAL

I, the undersigned principal (or an authorized official thereof), do state that the information furnished on this disclosure statement and on all accompanying attachments required to be made thereto is, to the best of my knowledge and belief, complete and accurate.

Signature of principal

Date

D. A person who knowingly and intentionally makes a false statement of a material fact on the disclosure statement is guilty of a Class 5 felony.

E. Each lobbyist shall send to each legislative and executive official who is required to be identified by name on Schedule A or B of the Lobbyist's Disclosure Form a copy of Schedule A or B or a summary of the information pertaining to that official. Copies or summaries shall be provided to the official by November 21 for the preceding six-month period complete through the last day of October and by May 21 for the preceding six-month period complete through the last day of April.

§ 2.2-3101. Definitions.

As used in this chapter, unless the context requires a different meaning:

"Advisory agency" means any board, commission, committee or post which does not exercise any sovereign power or duty, but is appointed by a governmental agency or officer or is created by law for the purpose of making studies or recommendations, or advising or consulting with a governmental agency.

"Affiliated business entity relationship" means a relationship, other than a parent-subsidary relationship, that exists when (i) one business entity has a controlling ownership interest in the other business entity, (ii) a controlling owner in one entity is also a controlling owner in the other entity, or (iii) there is shared management or control between the business entities. Factors that may be considered in determining the existence of an affiliated business entity relationship include that the same person or substantially the same person owns or manages the two entities, there are common or commingled funds or assets, the business entities share the use of the same offices or employees, or otherwise share activities, resources or personnel on a regular basis, or there is otherwise a close working relationship between the entities.

"Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise, association, trust or foundation, or any other individual or entity carrying on a business or profession, whether or not for profit.

"Candidate" means a person who seeks or campaigns for an office of the Commonwealth or one of its governmental units in a general, primary, or special election and who is qualified to have his name placed on the ballot for the office. The candidate shall become subject to the provisions of this chapter upon the filing of a statement of qualification pursuant to § 24.2-501. The State Board of Elections or general registrar shall notify each such candidate of the provisions of this chapter. Notification made by the general registrar shall consist of information developed by the State Board of Elections.

"Contract" means any agreement to which a governmental agency is a party, or any agreement on behalf of a governmental agency that involves the payment of money appropriated by the General

Assembly or a political subdivision, whether or not such agreement is executed in the name of the Commonwealth, or some political subdivision thereof. "Contract" includes a subcontract only when the contract of which it is a part is with the officer's or employee's own governmental agency.

"Council" means the Virginia Conflict of Interest and Ethics Advisory Council established in § 30-355.

"Employee" means all persons employed by a governmental or advisory agency, unless otherwise limited by the context of its use.

"Financial institution" means any bank, trust company, savings institution, industrial loan association, consumer finance company, credit union, broker-dealer as defined in subsection A of § 13.1-501, or investment company or advisor registered under the federal Investment Advisors Act or Investment Company Act of 1940.

"Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item having monetary value. It includes services as well as gifts of transportation, local travel, *and* lodgings ~~and meals~~, whether provided in-kind, by purchase of a ticket, payment in advance, or reimbursement after the expense has been incurred. "Gift" does not include (i) any offer of a ticket, coupon, or other admission or pass unless the ticket, coupon, admission, or pass is used; (ii) honorary degrees; (iii) any athletic, merit, or need-based scholarship or any other financial aid awarded by a public or private school, institution of higher education, or other educational program pursuant to such school, institution, or program's financial aid standards and procedures applicable to the general public; (iv) a campaign contribution properly received and reported pursuant to Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2; (v) any gift related to the private profession or occupation of an officer or employee or of a member of his immediate family; (vi) food or beverages consumed while attending an event at which the filer is performing official duties related to his public service; (vii) food and beverages received at or registration or attendance fees waived for any event at which the filer is a featured speaker, presenter, or lecturer; (viii) unsolicited awards of appreciation or recognition in the form of a plaque, trophy, wall memento, or similar item that is given in recognition of public, civic, charitable, or professional service; (ix) a devise or inheritance; (x) travel disclosed pursuant to the Campaign Finance Disclosure Act (§ 24.2-945 et seq.); (xi) travel paid for or provided by the government of the United States, any of its territories, or any state or any political subdivision of such state; (xii) travel provided to facilitate attendance by a legislator at a regular or special session of the General Assembly, a meeting of a legislative committee or commission, or a national conference where attendance is approved by the House or Senate Committee on Rules; (xiii) travel related to an official meeting of the Commonwealth, its political subdivisions, or any board, commission, authority, or other entity, or any charitable organization established pursuant to § 501(c)(3) of the Internal Revenue Code affiliated with such entity, to which such person has been appointed or elected or is a member by virtue of his office or employment; or (xiv) gifts from relatives or personal friends. For the purpose of this definition, "relative" means the donee's spouse, child, uncle, aunt, niece, nephew, or first cousin; a person to whom the donee is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother, sister, step-parent, step-grandparent, step-grandchild, step-brother, or step-sister; or the donee's brother's or sister's spouse. For the purpose of this definition, "personal friend" does not include any person that the filer knows or has reason to know is (a) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4 of Title 2.2; (b) a lobbyist's principal as defined in § 2.2-419; (c) for an officer or employee of a local governmental or advisory agency, a person, organization, or business who is a party to or is seeking to become a party to a contract with the local agency of which he is an officer or an employee; or (d) for an officer or employee of a state governmental or advisory agency, a person, organization, or business who is a party to or is seeking to become a party to a contract with the Commonwealth. For purposes of this definition, "person, organization, or business" includes individuals who are officers, directors, or owners of or who have a controlling ownership interest in such organization or business.

"Governmental agency" means each component part of the legislative, executive or judicial branches of state and local government, including each office, department, authority, post, commission, committee, and each institution or board created by law to exercise some regulatory or sovereign power or duty as distinguished from purely advisory powers or duties. Corporations organized or controlled by the Virginia Retirement System are "governmental agencies" for purposes of this chapter.

"Immediate family" means (i) a spouse and (ii) any other person who resides in the same household as the officer or employee and who is a dependent of the officer or employee.

"Officer" means any person appointed or elected to any governmental or advisory agency including local school boards, whether or not he receives compensation or other emolument of office. Unless the context requires otherwise, "officer" includes members of the judiciary.

"Parent-subsidiary relationship" means a relationship that exists when one corporation directly or indirectly owns shares possessing more than 50 percent of the voting power of another corporation.

"Personal interest" means a financial benefit or liability accruing to an officer or employee or to a

member of his immediate family. Such interest shall exist by reason of (i) ownership in a business if the ownership interest exceeds three percent of the total equity of the business; (ii) annual income that exceeds, or may reasonably be anticipated to exceed, \$5,000 from ownership in real or personal property or a business; (iii) salary, other compensation, fringe benefits, or benefits from the use of property, or any combination thereof, paid or provided by a business or governmental agency that exceeds, or may reasonably be anticipated to exceed, \$5,000 annually; (iv) ownership of real or personal property if the interest exceeds \$5,000 in value and excluding ownership in a business, income, or salary, other compensation, fringe benefits or benefits from the use of property; (v) personal liability incurred or assumed on behalf of a business if the liability exceeds three percent of the asset value of the business; or (vi) an option for ownership of a business or real or personal property if the ownership interest will consist of clause (i) or (iv) above.

"Personal interest in a contract" means a personal interest that an officer or employee has in a contract with a governmental agency, whether due to his being a party to the contract or due to a personal interest in a business that is a party to the contract.

"Personal interest in a transaction" means a personal interest of an officer or employee in any matter considered by his agency. Such personal interest exists when an officer or employee or a member of his immediate family has a personal interest in property or a business or governmental agency, or represents or provides services to any individual or business and such property, business or represented or served individual or business (i) is the subject of the transaction or (ii) may realize a reasonably foreseeable direct or indirect benefit or detriment as a result of the action of the agency considering the transaction. Notwithstanding the above, such personal interest in a transaction shall not be deemed to exist where (a) an elected member of a local governing body serves without remuneration as a member of the board of trustees of a not-for-profit entity and such elected member or member of his immediate family has no personal interest related to the not-for-profit entity or (b) an officer, employee, or elected member of a local governing body is appointed by such local governing body to serve on a governmental agency, or an officer, employee, or elected member of a separate local governmental agency formed by a local governing body is appointed to serve on a governmental agency, and the personal interest in the transaction of the governmental agency is the result of the salary, other compensation, fringe benefits, or benefits provided by the local governing body or the separate governmental agency to the officer, employee, elected member, or member of his immediate family.

"State and local government officers and employees" shall not include members of the General Assembly.

"State filer" means those officers and employees required to file a disclosure statement of their personal interests pursuant to subsection A or B of § 2.2-3114.

"Transaction" means any matter considered by any governmental or advisory agency, whether in a committee, subcommittee, or other entity of that agency or before the agency itself, on which official action is taken or contemplated.

§ 2.2-3103.1. Certain gifts prohibited.

A. For purposes of this section:

"Person, organization, or business" includes individuals who are officers, directors, or owners of or who have a controlling ownership interest in such organization or business.

"Widely attended event" means an event at which at least 25 persons have been invited to attend or there is a reasonable expectation that at least 25 persons will attend the event and the event is open to individuals (i) who share a common interest, (ii) who are members of a public, civic, charitable, or professional organization, (iii) who are from a particular industry or profession, or (iv) who represent persons interested in a particular issue.

B. No officer or employee of a local governmental or advisory agency or candidate required to file the disclosure form prescribed in § 2.2-3117 or a member of his immediate family shall solicit, accept, or receive any single gift with a value in excess of \$100 or any combination of gifts with an aggregate value in excess of \$100 within any calendar year for himself or a member of his immediate family from any person that he or a member of his immediate family knows or has reason to know is (i) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4; (ii) a lobbyist's principal as defined in § 2.2-419; or (iii) a person, organization, or business who is or is seeking to become a party to a contract with the local agency of which he is an officer or an employee. Gifts with a value of less than \$20 are not subject to aggregation for purposes of this prohibition.

C. No officer or employee of a state governmental or advisory agency or candidate required to file the disclosure form prescribed in § 2.2-3117 or a member of his immediate family shall solicit, accept, or receive any single gift with a value in excess of \$100 or any combination of gifts with an aggregate value in excess of \$100 within any calendar year for himself or a member of his immediate family from any person that he or a member of his immediate family knows or has reason to know is (i) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4; (ii) a lobbyist's principal as defined in

§ 2.2-419; or (iii) a person, organization, or business who is or is seeking to become a party to a contract with the state governmental or advisory agency of which he is an officer or an employee or over which he has the authority to direct such agency's activities. Gifts with a value of less than \$20 are not subject to aggregation for purposes of this prohibition.

D. Notwithstanding the provisions of subsections B and C, such officer, employee, or candidate or a member of his immediate family may accept or receive a gift of ~~food and beverages~~, entertainment, or the cost of admission with a value in excess of \$100 when such gift is accepted or received while in attendance at a widely attended event and is associated with the event. Such gifts shall be reported on the disclosure form prescribed in § 2.2-3117.

E. Notwithstanding the provisions of subsections B and C, such officer or employee or a member of his immediate family may accept or receive a gift from a foreign dignitary with a value exceeding \$100 for which the fair market value or a gift of greater or equal value has not been provided or exchanged. Such gift shall be accepted on behalf of the Commonwealth or a locality and archived in accordance with guidelines established by the Library of Virginia. Such gift shall be disclosed as having been accepted on behalf of the Commonwealth or a locality, but the value of such gift shall not be required to be disclosed.

F. Notwithstanding the provisions of subsections B and C, such officer, employee, or candidate or a member of his immediate family may accept or receive certain gifts with a value in excess of \$100 from a person listed in subsection B or C if such gift was provided to such officer, employee, or candidate or a member of his immediate family on the basis of a personal friendship. Notwithstanding any other provision of law, a person listed in subsection B or C may be a personal friend of such officer, employee, or candidate or his immediate family for purposes of this subsection. In determining whether a person listed in subsection B or C is a personal friend, the following factors shall be considered: (i) the circumstances under which the gift was offered; (ii) the history of the relationship between the person and the donor, including the nature and length of the friendship and any previous exchange of gifts between them; (iii) to the extent known to the person, whether the donor personally paid for the gift or sought a tax deduction or business reimbursement for the gift; and (iv) whether the donor has given the same or similar gifts to other persons required to file the disclosure form prescribed in § 2.2-3117 or 30-111.

G. Notwithstanding the provisions of subsections B and C, such officer, employee, or candidate or a member of his immediate family may accept or receive gifts of travel, including travel-related transportation, lodging, hospitality, ~~food or beverages~~, or other thing of value, with a value in excess of \$100 that is paid for or provided by a person listed in subsection B or C when the officer, employee, or candidate has submitted a request for approval of such travel to the Council and has received the approval of the Council pursuant to § 30-356.1. Such gifts shall be reported on the disclosure form prescribed in § 2.2-3117.

H. During the pendency of a civil action in any state or federal court to which the Commonwealth is a party, the Governor or the Attorney General or any employee of the Governor or the Attorney General who is subject to the provisions of this chapter shall not solicit, accept, or receive any gift from any person that he knows or has reason to know is a person, organization, or business that is a party to such civil action. A person, organization, or business that is a party to such civil action shall not knowingly give any gift to the Governor or the Attorney General or any of their employees who are subject to the provisions of this chapter.

I. The \$100 limitation imposed in accordance with this section shall be adjusted by the Council every five years, as of January 1 of that year, in an amount equal to the annual increases for that five-year period in the United States Average Consumer Price Index for all items, all urban consumers (CPI-U), as published by the Bureau of Labor Statistics of the U.S. Department of Labor, rounded to the nearest whole dollar.

§ 2.2-3117. Disclosure form.

(Effective from January 1, 2016, until July 1, 2016) The disclosure form to be used for filings required by subsections A and D of § 2.2-3114 and subsections A and E of § 2.2-3115 shall be substantially similar to the following. Any person who knowingly and intentionally makes a false statement of a material fact on the Statement of Economic Interests is guilty of a Class 5 felony.

(Effective July 1, 2016) The disclosure form to be used for filings required by subsections A and D of § 2.2-3114 and subsections A and E of § 2.2-3115 shall be substantially similar to the following. Except as otherwise provided in § 2.2-3115, all completed forms shall be filed electronically with the Council in accordance with the standards approved by it pursuant to § 30-356. Any person who knowingly and intentionally makes a false statement of a material fact on the Statement of Economic Interests is guilty of a Class 5 felony.

STATEMENT OF ECONOMIC INTERESTS.

Name _____

Office or position held or sought _____

Address _____

Names of members of immediate family _____

DEFINITIONS AND EXPLANATORY MATERIAL.

"Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise, association, trust or foundation, or any other individual or entity carrying on a business or profession, whether or not for profit.

"Close financial association" means an association in which the person filing shares significant financial involvement with an individual and the filer would reasonably be expected to be aware of the individual's business activities and would have access to the necessary records either directly or through the individual. "Close financial association" does not mean an association based on (i) the receipt of retirement benefits or deferred compensation from a business by which the person filing this statement is no longer employed, or (ii) the receipt of compensation for work performed by the person filing as an independent contractor of a business that represents an entity before any state governmental agency when the person filing has had no communications with the state governmental agency.

"Contingent liability" means a liability that is not presently fixed or determined, but may become fixed or determined in the future with the occurrence of some certain event.

"Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item having monetary value. It includes services as well as gifts of transportation, ~~and lodgings and meals,~~ whether provided in-kind, by purchase of a ticket, payment in advance, or reimbursement after the expense has been incurred. "Gift" does not include (i) any offer of a ticket, coupon, or other admission or pass unless the ticket, coupon, admission, or pass is used; (ii) honorary degrees; (iii) any athletic, merit, or need-based scholarship or any other financial aid awarded by a public or private school, institution of higher education, or other educational program pursuant to such school, institution, or program's financial aid standards and procedures applicable to the general public; (iv) a campaign contribution properly received and reported pursuant to Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2; (v) any gift related to the private profession or occupation of an officer or employee or of a member of his immediate family; (vi) food or beverages ~~consumed while attending an event at which the filer is performing official duties related to his public service;~~ (vii) food and beverages received at or registration or attendance fees waived for any event at which the filer is a featured speaker, presenter, or lecturer; (viii) unsolicited awards of appreciation or recognition in the form of a plaque, trophy, wall memento, or similar item that is given in recognition of public, civic, charitable, or professional service; (ix) a devise or inheritance; (x) travel disclosed pursuant to the Campaign Finance Disclosure Act (§ 24.2-945 et seq.); (xi) travel paid for or provided by the government of the United States, any of its territories, or any state or any political subdivision of such state; (xii) travel provided to facilitate attendance by a legislator at a regular or special session of the General Assembly, a meeting of a legislative committee or commission, or a national conference where attendance is approved by the House or Senate Committee on Rules; (xiii) travel related to an official meeting of the Commonwealth, its political subdivisions, or any board, commission, authority, or other entity, or any charitable organization established pursuant to § 501(c)(3) of the Internal Revenue Code affiliated with such entity, to which such person has been appointed or elected or is a member by virtue of his office or employment; or (xiv) gifts from relatives or personal friends. "Relative" means the donee's spouse, child, uncle, aunt, niece, nephew, or first cousin; a person to whom the donee is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother, sister, step-parent, step-grandparent, step-grandchild, step-brother, or step-sister; or the donee's brother's or sister's spouse. "Personal friend" does not include any person that the filer knows or has reason to know is (a) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4 of Title 2.2; (b) a lobbyist's principal as defined in § 2.2-419; (c) for an officer or employee of a local governmental or advisory agency, a person, organization, or business who is a party to or is seeking to become a party to a contract with the local agency of which he is an officer or an employee; or (d) for an officer or employee of a state governmental or advisory agency, a person, organization, or business who is a party to or is seeking to become a party to a contract with the Commonwealth. "Person, organization, or business" includes individuals who are officers, directors, or owners of or who have a controlling ownership interest in such organization or business.

"Immediate family" means (i) a spouse and (ii) any other person who resides in the same household as the officer or employee and who is a dependent of the officer or employee.

TRUST. If you or your immediate family, separately or together, are the only beneficiaries of a trust, treat the trust's assets as if you own them directly. If you or your immediate family has a proportional interest in a trust, treat that proportion of the trust's assets as if you own them directly. For example, if you and your immediate family have a one-third interest in a trust, complete your Statement as if you own one-third of each of the trust's assets. If you or a member of your immediate family created a trust and can revoke it without the beneficiaries' consent, treat its assets as if you own them directly.

658 REPORT TO THE BEST OF INFORMATION AND BELIEF. Information required on this
659 Statement must be provided on the basis of the best knowledge, information, and belief of the individual
660 filing the Statement as of the date of this report unless otherwise stated.

661 COMPLETE ITEMS 1 THROUGH 10. REFER TO SCHEDULES ONLY IF DIRECTED.

662 You may attach additional explanatory information.

663 1. Offices and Directorships.

664 Are you or a member of your immediate family a paid officer or paid director of a business?

665 EITHER check NO // OR check YES // and complete Schedule A.

666 2. Personal Liabilities.

667 Do you or a member of your immediate family owe more than \$5,000 to any one creditor including
668 contingent liabilities? (Exclude debts to any government and loans secured by recorded liens on property
669 at least equal in value to the loan.)

670 EITHER check NO // OR check YES // and complete Schedule B.

671 3. Securities.

672 Do you or a member of your immediate family, directly or indirectly, separately or together, own
673 securities valued in excess of \$5,000 invested in one business? Account for mutual funds, limited
674 partnerships and trusts.

675 EITHER check NO // OR check YES // and complete Schedule C.

676 4. Payments for Talks, Meetings, and Publications.

677 During the past six months did you receive in your capacity as an officer or employee of your
678 agency lodging, transportation, money, or anything else of value with a combined value exceeding \$100
679 (i) for a single talk, meeting, or published work or (ii) for a meeting, conference, or event where your
680 attendance at the meeting, conference, or event was designed to (a) educate you on issues relevant to
681 your duties as an officer or employee of your agency or (b) enhance your knowledge and skills relative
682 to your duties as an officer or employee of your agency?

683 EITHER check NO // OR check YES // and complete Schedule D.

684 5. Gifts.

685 During the past six months did a business, government, or individual other than a relative or personal
686 friend (i) furnish you or a member of your immediate family with any gift or entertainment at a single
687 event and the value received exceeded \$50 or (ii) furnish you or a member of your immediate family
688 with gifts or entertainment in any combination and the total value received exceeded \$50, and for which
689 you or the member of your immediate family neither paid nor rendered services in exchange? Account
690 for entertainment events only if the average value per person attending the event exceeded \$50. Account
691 for all business entertainment (except if related to the private profession or occupation of you or the
692 member of your immediate family who received such business entertainment) even if unrelated to your
693 official duties.

694 EITHER check NO // OR check YES // and complete Schedule E.

695 6. Salary and Wages.

696 List each employer that pays you or a member of your immediate family salary or wages in excess
697 of \$5,000 annually. (Exclude state or local government or advisory agencies.)

698 If no reportable salary or wages, check here //.

699 _____

700 _____

701 _____

702 7. Business Interests.

703 Do you or a member of your immediate family, separately or together, operate your own business, or
704 own or control an interest in excess of \$5,000 in a business?

705 EITHER check NO // OR check YES // and complete Schedule F.

706 8. Payments for Representation and Other Services.

707 8A. Did you represent, excluding activity defined as lobbying in § 2.2-419, any businesses before any
708 state governmental agencies, excluding courts or judges, for which you received total compensation
709 during the past six months in excess of \$1,000, excluding compensation for other services to such
710 businesses and representation consisting solely of the filing of mandatory papers and subsequent
711 representation regarding the mandatory papers? (Officers and employees of local governmental and
712 advisory agencies do NOT need to answer this question or complete Schedule G-1.)

713 EITHER check NO // OR check YES // and complete Schedule G-1.

714 8B. Subject to the same exceptions as in 8A, did persons with whom you have a close financial
715 association (partners, associates or others) represent, excluding activity defined as lobbying in § 2.2-419,
716 any businesses before any state governmental agency for which total compensation was received during
717 the past six months in excess of \$1,000? (Officers and employees of local governmental and advisory
718 agencies do NOT need to answer this question or complete Schedule G-2.)

719 EITHER check NO // OR check YES // and complete Schedule G-2.

8C. Did you or persons with whom you have a close financial association furnish services to businesses operating in Virginia pursuant to an agreement between you and such businesses, or between persons with whom you have a close financial association and such businesses for which total compensation in excess of \$1,000 was received during the past six months? Services reported under this provision shall not include services involving the representation of businesses that are reported under item 8A or 8B.

EITHER check NO // OR check YES // and complete Schedule G-3.

9. Real Estate.

9A. State Officers and Employees.

Do you or a member of your immediate family hold an interest, including a partnership interest, valued at more than \$5,000 in real property (other than your principal residence) for which you have not already listed the full address on Schedule F? Account for real estate held in trust.

EITHER check NO // OR check YES // and complete Schedule H-1.

9B. Local Officers and Employees.

Do you or a member of your immediate family hold an interest, including a partnership interest, or option, easement, or land contract, valued at more than \$5,000 in real property (other than your principal residence) for which you have not already listed the full address on Schedule F? Account for real estate held in trust.

EITHER check NO // OR check YES // and complete Schedule H-2.

10. Real Estate Contracts with Governmental Agencies.

Do you or a member of your immediate family hold an interest valued at more than \$5,000 in real estate, including a corporate, partnership, or trust interest, option, easement, or land contract, which real estate is the subject of a contract, whether pending or completed within the past six months, with a governmental agency? If the real estate contract provides for the leasing of the property to a governmental agency, do you or a member of your immediate family hold an interest in the real estate valued at more than \$1,000? Account for all such contracts whether or not your interest is reported in Schedule F, H-1, or H-2. This requirement to disclose an interest in a lease does not apply to an interest derived through an ownership interest in a business unless the ownership interest exceeds three percent of the total equity of the business.

EITHER check NO // OR check YES // and complete Schedule I.

Statements of Economic Interests are open for public inspection.

AFFIRMATION BY ALL FILERS.

I swear or affirm that the foregoing information is full, true and correct to the best of my knowledge.

Signature _____

(Return only if needed to complete Statement.)

SCHEDULES to STATEMENT OF ECONOMIC INTERESTS.

NAME _____

SCHEDULE A — OFFICES AND DIRECTORSHIPS.

Identify each business of which you or a member of your immediate family is a paid officer or paid director.

Name of Business	Address of Business	Position Held and by Whom
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

RETURN TO ITEM 2

SCHEDULE B — PERSONAL LIABILITIES.

Report personal liability by checking each category. Report only debts in excess of \$5,000. Do not report debts to any government. Do not report loans secured by recorded liens on property at least equal in value to the loan.

Report contingent liabilities below and indicate which debts are contingent.

1. My personal debts are as follows:

Check appropriate categories	Check one \$5,001 to \$50,000	More than \$50,000
_____	_____	_____

780 Banks _____
 781 Savings institutions _____
 782 Other loan or finance companies _____
 783 Insurance companies _____
 784 Stock, commodity or other brokerage companies _____
 785 Other businesses: _____
 786 (State principal business activity for each
 787 creditor and its name.) _____
 788 _____
 789 _____
 790 Individual creditors: _____
 791 (State principal business or occupation of
 792 each creditor and its name.) _____
 793 _____
 794 _____
 795 _____

796 2. The personal debts of the members of my immediate family are as follows:

797 _____
 798 _____
 799 Check Check one
 800 appropriate \$5,001 to More than
 801 categories \$50,000 \$50,000
 802 Banks _____
 803 Savings institutions _____
 804 Other loan or finance companies _____
 805 Insurance companies _____
 806 Stock, commodity or other brokerage companies _____
 807 Other businesses: _____
 808 (State principal business activity for each
 809 creditor and its name.) _____
 810 _____
 811 _____
 812 Individual creditors: _____
 813 (State principal business or occupation of
 814 each creditor and its name.) _____
 815 _____
 816 _____
 817 _____

818 RETURN TO ITEM 3

819 SCHEDULE C — SECURITIES.

820 "Securities" INCLUDES stocks, bonds, mutual funds, limited partnerships, and commodity futures
 821 contracts.

822 "Securities" EXCLUDES certificates of deposit, money market funds, annuity contracts, and
 823 insurance policies.

824 Identify each business or Virginia governmental entity in which you or a member of your immediate
 825 family, directly or indirectly, separately or together, own securities valued in excess of \$5,000. Name
 826 each issuer and type of security individually.

827 Do not list U.S. Bonds or other government securities not issued by the Commonwealth of Virginia
 828 or its authorities, agencies, or local governments. Do not list organizations that do not do business in
 829 this Commonwealth, but most major businesses conduct business in Virginia. Account for securities held
 830 in trust.

831 If no reportable securities, check here //.

832 _____
 833 _____
 834 Check one
 835 Type of Security \$5,001 \$50,001 More
 836 (stocks, bonds, mutual to to than
 837 Name of Issuer funds, etc.) \$50,000 \$250,000 \$250,000

RETURN TO ITEM 4

SCHEDULE D — PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.

List each source from which you received during the past six months in your capacity as an officer or employee of your agency lodging, transportation, money, or any other thing of value with combined value exceeding \$100 (i) for your presentation of a single talk, participation in one meeting, or publication of a work or (ii) for your attendance at a meeting, conference, or event where your attendance at the meeting, conference, or event was designed to (a) educate you on issues relevant to your duties as an officer or employee of your agency or (b) enhance your knowledge and skills relative to your duties as an officer or employee of your agency. Any lodging, transportation, money, or other thing of value received by an officer or employee that does not satisfy the provisions of clause (i), (ii) (a), or (ii) (b) shall be listed as a gift on Schedule E.

List payments or reimbursements by an advisory or governmental agency only for meetings or travel outside the Commonwealth.

List a payment even if you donated it to charity.

Do not list information about a payment if you returned it within 60 days or if you received it from an employer already listed under Item 6 or from a source of income listed on Schedule F.

If no payment must be listed, check here / /.

Payer	Approximate Value	Circumstances	Type of payment (e.g., honoraria, travel reimburse- ment, etc.)

RETURN TO ITEM 5

SCHEDULE E — GIFTS.

List each business, governmental entity, or individual that, during the past six months, (i) furnished you or a member of your immediate family with any gift or entertainment at a single event, and the value received exceeded \$50 or (ii) furnished you or a member of your immediate family with gifts or entertainment in any combination and the total value received exceeded \$50, and for which you or the member of your immediate family neither paid nor rendered services in exchange. List each such gift or event. Do not list entertainment events unless the average value per person attending the event exceeded \$50. Do not list business entertainment related to the private profession or occupation of you or the member of your immediate family who received such business entertainment. Do not list gifts or other things of value given by a relative or personal friend for reasons clearly unrelated to your public position. Do not list campaign contributions publicly reported as required by Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2 of the Code of Virginia.

Name of Recipient	Name of Business, Organization, or Individual	City or County and State	Exact Gift or Event	Approximate Value

RETURN TO ITEM 6

SCHEDULE F — BUSINESS INTERESTS.

Complete this Schedule for each self-owned or family-owned business (including rental property, a

897 farm, or consulting work), partnership, or corporation in which you or a member of your immediate
 898 family, separately or together, own an interest having a value in excess of \$5,000.

899 If the enterprise is owned or operated under a trade, partnership, or corporate name, list that name;
 900 otherwise, merely explain the nature of the enterprise. If rental property is owned or operated under a
 901 trade, partnership, or corporate name, list the name only; otherwise, give the address of each property.
 902 Account for business interests held in trust.

903						
904						
905	Name of Business,			Gross Income		
906	Corporation,					
907	Partnership,	City or	Nature of Enterprise	\$50,001	More	
908	Farm; Address of	County	(farming, law, rental	\$50,000	to	than
909	Rental Property	and State	property, etc.)	or less	\$250,000	\$250,000
910						
911						
912						
913						
914						

915 RETURN TO ITEM 8

916 SCHEDULE G-1 — PAYMENTS FOR REPRESENTATION BY YOU.

917 List the businesses you represented, excluding activity defined as lobbying in § 2.2-419, before any
 918 state governmental agency, excluding any court or judge, for which you received total compensation
 919 during the past six months in excess of \$1,000, excluding compensation for other services to such
 920 businesses and representation consisting solely of the filing of mandatory papers and subsequent
 921 representation regarding the mandatory papers filed by you.

922 Identify each business, the nature of the representation and the amount received by dollar category
 923 from each such business. You may state the type, rather than name, of the business if you are required
 924 by law not to reveal the name of the business represented by you.

925 Only STATE officers and employees should complete this Schedule.

926										
927										
928	Pur-			Amount Received						
929	pose									
930	Name	Type	of	Name						
931	of	of	Repre-	of	\$1,001	\$10,001	\$50,001	\$100,001	\$250,001	
932	Busi-	Busi-	senta-	Agen-	to	to	to	to	and	
933	ness	ness	tion	cy	\$10,000	\$50,000	\$100,000	\$250,000	over	
934										
935										
936										
937										
938										

939 If you have received \$250,001 or more from a single business within the reporting period, indicate
 940 the amount received, rounded to the nearest \$10,000.

941 Amount Received: _____.

942 SCHEDULE G-2 — PAYMENTS FOR REPRESENTATION BY ASSOCIATES. List the businesses
 943 that have been represented, excluding activity defined as lobbying in § 2.2-419, before any state
 944 governmental agency, excluding any court or judge, by persons who are your partners, associates or
 945 others with whom you have a close financial association and who received total compensation in excess
 946 of \$1,000 for such representation during the past six months, excluding representation consisting solely
 947 of the filing of mandatory papers and subsequent representation regarding the mandatory papers filed by
 948 your partners, associates or others with whom you have a close financial association.

949 Identify such businesses by type and also name the state governmental agencies before which such
 950 person appeared on behalf of such businesses.

951 Only STATE officers and employees should complete this Schedule.

952		
953		
954	Type of business	Name of state governmental agency
955		

960 SCHEDULE G-3 — PAYMENTS FOR OTHER SERVICES GENERALLY.

967 Identify opposite each category of businesses listed below (i) the type of business, (ii) the type of
968 service rendered and (iii) the value by dollar category of the compensation received for all businesses
969 falling within each category.

		Check	Value of Compensation					
		if	Type					
		ser-	of					
		vices	ser-					
		were	vice	\$1,001	\$10,001	\$50,001	\$100,001	\$250,001
		ren-	ren-	to	to	to	to	and
		dered	dered	\$10,000	\$50,000	\$100,000	\$250,000	over
970								
971								
972								
973								
974								
975								
976								
977								
978								
979	Electric utilities							
980	Gas utilities							
981	Telephone utilities							
982	Water utilities							
983	Cable television							
984	companies							
985	Interstate							
986	transportation							
987	companies							
988	Intrastate							
989	transportation							
990	companies							
991	Oil or gas retail							
992	companies							
993	Banks							
994	Savings institutions							
995	Loan or finance							
996	companies							
997	Manufacturing							
998	companies (state							
999	type of product,							
1000	e.g., textile,							
1001	furniture, etc.)							
1002	Mining companies							
1003	Life insurance							
1004	companies							
1005	Casualty insurance							
1006	companies							
1007	Other insurance							
1008	companies							
1009	Retail companies							
1010	Beer, wine or liquor							
1011	companies or							
1012	distributors							

1013	Trade associations	_____	_____	_____	_____	_____	_____
1014	Professional	_____	_____	_____	_____	_____	_____
1015	associations	_____	_____	_____	_____	_____	_____
1016	Associations of	_____	_____	_____	_____	_____	_____
1017	public employees	_____	_____	_____	_____	_____	_____
1018	or officials	_____	_____	_____	_____	_____	_____
1019	Counties, cities	_____	_____	_____	_____	_____	_____
1020	or towns	_____	_____	_____	_____	_____	_____
1021	Labor organizations	_____	_____	_____	_____	_____	_____
1022	Other	_____	_____	_____	_____	_____	_____
1023	_____						

RETURN TO ITEM 9

SCHEDULE H-1 — REAL ESTATE — STATE OFFICERS AND EMPLOYEES.

List real estate other than your principal residence in which you or a member of your immediate family holds an interest, including a partnership interest, option, easement, or land contract, valued at more than \$5,000. Each parcel shall be listed individually.

1029	_____		
1030	_____		
1031		Describe the type of real	
1032	List each location	estate you own in each	If the real estate is
1033	(state, and county	location (business, recre-	owned or recorded in
1034	or city) where you	ational, apartment, com-	a name other than your
1035	own real estate.	mercial, open land, etc.).	own, list that name.
1036	_____	_____	_____
1037	_____	_____	_____
1038	_____	_____	_____
1039	_____	_____	_____
1040	_____	_____	_____

SCHEDULE H-2 — REAL ESTATE — LOCAL OFFICERS AND EMPLOYEES.

List real estate other than your principal residence in which you or a member of your immediate family holds an interest, including a partnership interest or option, easement, or land contract, valued at more than \$5,000. Each parcel shall be listed individually. Also list the names of any co-owners of such property, if applicable.

1047	_____			
1048	_____			
1049		Describe the type		
1050		of real estate		
1051		you own in		
1052		each location	If the real estate	
1053	List each location	(business,	is owned or rec-	
1054	(state, and county	recreational,	orded in a name	
1055	or city) where	apartment, com-	other than your	List the names
1056	you own real	mercial, open	own, list that	of any co-owners,
1057	estate.	land, etc.).	name.	if applicable.
1058	_____	_____	_____	_____
1059	_____	_____	_____	_____
1060	_____	_____	_____	_____
1061	_____	_____	_____	_____
1062	_____	_____	_____	_____

SCHEDULE I — REAL ESTATE CONTRACTS WITH GOVERNMENTAL AGENCIES.

List all contracts, whether pending or completed within the past six months, with a governmental agency for the sale or exchange of real estate in which you or a member of your immediate family holds an interest, including a corporate, partnership or trust interest, option, easement, or land contract, valued at more than \$10,000. List all contracts with a governmental agency for the lease of real estate in which you or a member of your immediate family holds such an interest valued at more than \$1,000. This requirement to disclose an interest in a lease does not apply to an interest derived through an

ownership interest in a business unless the ownership interest exceeds three percent of the total equity of the business.

State officers and employees report contracts with state agencies.

Local officers and employees report contracts with local agencies.

List your real estate interest and the person or entity, including the type of entity, which is party to the contract.

Describe any management role and the percentage ownership interest you or your immediate family member has in the real estate or entity.

List each governmental agency which is a party to the contract and indicate the county or city where the real estate is located.

State the annual income from the contract, and the amount, if any, of income you or any immediate family member derives annually from the contract.

§ 30-101. Definitions.

As used in this chapter, unless the context requires a different meaning:

"Advisory agency" means any board, commission, committee or post which does not exercise any sovereign power or duty, but is appointed by a governmental agency or officer or is created by law for the purpose of making studies or recommendations, or advising or consulting with a governmental agency.

"Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise, association, trust or foundation, or any other individual or entity carrying on a business or profession, whether or not for profit.

"Candidate" means a person who seeks or campaigns for election to the General Assembly in a general, primary, or special election and who is qualified to have his name placed on the ballot for the office. The candidate shall become subject to the provisions of this section upon the filing of a statement of qualification pursuant to § 24.2-501. The State Board of Elections shall notify each such candidate of the provisions of this chapter.

"Contract" means any agreement to which a governmental agency is a party, or any agreement on behalf of a governmental agency that involves the payment of money appropriated by the General Assembly or a political subdivision, whether or not such agreement is executed in the name of the Commonwealth, or some political subdivision thereof. "Contract" includes a subcontract only when the contract of which it is a part is with the legislator's own governmental agency.

"Council" means the Virginia Conflict of Interest and Ethics Advisory Council established in § 30-355.

"Financial institution" means any bank, trust company, savings institution, industrial loan association, consumer finance company, credit union, broker-dealer as defined in subsection A of § 13.1-501, or investment company or advisor registered under the federal Investment Advisors Act or Investment Company Act of 1940.

"Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item having monetary value. It includes services as well as gifts of transportation, *and* lodgings *and* meals, whether provided in-kind, by purchase of a ticket, payment in advance, or reimbursement after the expense has been incurred. "Gift" does not include (i) any offer of a ticket, coupon, or other admission or pass unless the ticket, coupon, admission, or pass is used; (ii) honorary degrees; (iii) any athletic, merit, or need-based scholarship or any other financial aid awarded by a public or private school, institution of higher education, or other educational program pursuant to such school, institution, or

1130 program's financial aid standards and procedures applicable to the general public; (iv) a campaign
1131 contribution properly received and reported pursuant to Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2;
1132 (v) any gift related to the private profession or occupation of a legislator or of a member of his
1133 immediate family; (vi) food or beverages consumed while attending an event at which the filer is
1134 performing official duties related to his public service; (vii) food and beverages received at or
1135 registration or attendance fees waived for any event at which the filer is a featured speaker, presenter, or
1136 lecturer; (viii) unsolicited awards of appreciation or recognition in the form of a plaque, trophy, wall
1137 memento, or similar item that is given in recognition of public, civic, charitable, or professional service;
1138 (ix) a devise or inheritance; (x) travel disclosed pursuant to the Campaign Finance Disclosure Act
1139 (§ 24.2-945 et seq.); (xi) travel paid for or provided by the government of the United States, any of its
1140 territories, or any state or any political subdivision of such state; (xii) travel provided to facilitate
1141 attendance by a legislator at a regular or special session of the General Assembly, a meeting of a
1142 legislative committee or commission, or a national conference where attendance is approved by the
1143 House or Senate Committee on Rules; (xiii) travel related to an official meeting of the Commonwealth,
1144 its political subdivisions, or any board, commission, authority, or other entity, or any charitable
1145 organization established pursuant to § 501(c)(3) of the Internal Revenue Code affiliated with such entity,
1146 to which such person has been appointed or elected or is a member by virtue of his office or
1147 employment; or (xiv) gifts from relatives or personal friends. For the purpose of this definition,
1148 "relative" means the donee's spouse, child, uncle, aunt, niece, nephew, or first cousin; a person to whom
1149 the donee is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother,
1150 sister, step-parent, step-grandparent, step-grandchild, step-brother, or step-sister; or the donee's brother's
1151 or sister's spouse. For the purpose of this definition, "personal friend" does not include any person that
1152 the filer knows or has reason to know is (a) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et
1153 seq.) of Chapter 4 of Title 2.2 or (b) a lobbyist's principal as defined in § 2.2-419.

1154 "Governmental agency" means each component part of the legislative, executive or judicial branches
1155 of state and local government, including each office, department, authority, post, commission,
1156 committee, and each institution or board created by law to exercise some regulatory or sovereign power
1157 or duty as distinguished from purely advisory powers or duties.

1158 "Immediate family" means (i) a spouse and (ii) any other person who resides in the same household
1159 as the legislator and who is a dependent of the legislator.

1160 "Legislator" means a member of the General Assembly.

1161 "Personal interest" means a financial benefit or liability accruing to a legislator or to a member of his
1162 immediate family. Such interest shall exist by reason of (i) ownership in a business if the ownership
1163 interest exceeds three percent of the total equity of the business; (ii) annual income that exceeds, or may
1164 reasonably be anticipated to exceed, \$5,000 from ownership in real or personal property or a business;
1165 (iii) salary, other compensation, fringe benefits, or benefits from the use of property, or any combination
1166 thereof, paid or provided by a business or governmental agency that exceeds, or may reasonably be
1167 anticipated to exceed, \$5,000 annually; (iv) ownership of real or personal property if the interest exceeds
1168 \$5,000 in value and excluding ownership in a business, income, or salary, other compensation, fringe
1169 benefits or benefits from the use of property; (v) personal liability incurred or assumed on behalf of a
1170 business if the liability exceeds three percent of the asset value of the business; or (vi) an option for
1171 ownership of a business or real or personal property if the ownership interest will consist of clause (i) or
1172 (iv).

1173 "Personal interest in a contract" means a personal interest that a legislator has in a contract with a
1174 governmental agency, whether due to his being a party to the contract or due to a personal interest in a
1175 business that is a party to the contract.

1176 "Personal interest in a transaction" means a personal interest of a legislator in any matter considered
1177 by the General Assembly. Such personal interest exists when an officer or employee or a member of his
1178 immediate family has a personal interest in property or a business, or represents or provides services to
1179 any individual or business and such property, business or represented or served individual or business (i)
1180 is the subject of the transaction or (ii) may realize a reasonably foreseeable direct or indirect benefit or
1181 detriment as a result of the action of the agency considering the transaction. A "personal interest in a
1182 transaction" exists only if the legislator or member of his immediate family or an individual or business
1183 represented or served by the legislator is affected in a way that is substantially different from the general
1184 public or from persons comprising a profession, occupation, trade, business or other comparable and
1185 generally recognizable class or group of which he or the individual or business he represents or serves is
1186 a member.

1187 "Transaction" means any matter considered by the General Assembly, whether in a committee,
1188 subcommittee, or other entity of the General Assembly or before the General Assembly itself, on which
1189 official action is taken or contemplated.

1190 **§ 30-103.1. Certain gifts prohibited.**

1191 A. For purposes of this section:

"Widely attended event" means an event at which at least 25 persons have been invited to attend or there is a reasonable expectation that at least 25 persons will attend the event and the event is open to individuals (i) who share a common interest, (ii) who are members of a public, civic, charitable, or professional organization, (iii) who are from a particular industry or profession, or (iv) who represent persons interested in a particular issue.

B. No legislator or candidate for the General Assembly required to file the disclosure form prescribed in § 30-111 or a member of his immediate family shall solicit, accept, or receive any single gift for himself or a member of his immediate family with a value in excess of \$100 or any combination of gifts with an aggregate value in excess of \$100 within any calendar year for himself or a member of his immediate family from any person that he or a member of his immediate family knows or has reason to know is (i) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4 of Title 2.2 or (ii) a lobbyist's principal as defined in § 2.2-419. Gifts with a value of less than \$20 are not subject to aggregation for purposes of this prohibition.

C. Notwithstanding the provisions of subsection B, a legislator or candidate or a member of his immediate family may accept or receive a gift of ~~food and beverages~~, entertainment, or the cost of admission with a value in excess in \$100 when such gift is accepted or received while in attendance at a widely attended event and is associated with the event. Such gifts shall be reported on the disclosure form prescribed in § 30-111.

D. Notwithstanding the provisions of subsection B, a legislator or a member of his immediate family may accept or receive a gift from a foreign dignitary with a value exceeding \$100 for which the fair market value or a gift of greater or equal value has not been provided or exchanged. Such gift shall be accepted on behalf of the Commonwealth and archived in accordance with guidelines established by the Library of Virginia. Such gift shall be disclosed as having been accepted on behalf of the Commonwealth, but the value of such gift shall not be required to be disclosed.

E. Notwithstanding the provisions of subsection B, a legislator or candidate or a member of his immediate family may accept or receive certain gifts with a value in excess of \$100 from a person listed in subsection B if such gift was provided to the legislator or candidate or a member of his immediate family on the basis of a personal friendship. Notwithstanding any other provision of law, a person listed in subsection B may be a personal friend of the legislator or candidate or his immediate family for purposes of this subsection. In determining whether a person listed in subsection B is a personal friend, the following factors shall be considered: (i) the circumstances under which the gift was offered; (ii) the history of the relationship between the person and the donor, including the nature and length of the friendship and any previous exchange of gifts between them; (iii) to the extent known to the person, whether the donor personally paid for the gift or sought a tax deduction or business reimbursement for the gift; and (iv) whether the donor has given the same or similar gifts to other persons required to file the disclosure form prescribed in § 2.2-3117 or 30-111.

F. Notwithstanding the provisions of subsection B, a legislator or candidate or a member of his immediate family may accept or receive gifts of travel, including travel-related transportation, lodging, hospitality, ~~food or beverages~~, or other thing of value, with a value in excess of \$100 that is paid for or provided by a person listed in subsection B when the legislator or candidate has submitted a request for approval of such travel to the Council and has received the approval of the Council pursuant to § 30-356.1. Such gifts shall be reported on the disclosure form prescribed in § 30-111.

G. The \$100 limitation imposed in accordance with this section shall be adjusted by the Council every five years, as of January 1 of that year, in an amount equal to the annual increases for that five-year period in the United States Average Consumer Price Index for all items, all urban consumers (CPI-U), as published by the Bureau of Labor Statistics of the U.S. Department of Labor, rounded to the nearest whole dollar.

§ 30-111. Disclosure form.

A. (Effective from January 1, 2016, until July 1, 2016) The disclosure form to be used for filings required by subsections A and B of § 30-110 shall be substantially similar to the following.

A. (Effective July 1, 2016) The disclosure form to be used for filings required by subsections A and B of § 30-110 shall be substantially similar to the following. All completed forms shall be filed electronically with the Council in accordance with the standards approved by it pursuant to § 30-356.

STATEMENT OF ECONOMIC INTERESTS.

Name _____

Office or position held or sought _____

Address _____

Names of members of immediate family _____

DEFINITIONS AND EXPLANATORY MATERIAL.

"Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise, association, trust or foundation, or any other individual or entity carrying on a business or profession,

1253 whether or not for profit.

1254 "Close financial association" means an association in which the filer shares significant financial
1255 involvement with an individual and the filer would reasonably be expected to be aware of the
1256 individual's business activities and would have access to the necessary records either directly or through
1257 the individual. "Close financial association" does not mean an association based on (i) the receipt of
1258 retirement benefits or deferred compensation from a business by which the legislator is no longer
1259 employed, or (ii) the receipt of compensation for work performed by the legislator as an independent
1260 contractor of a business that represents an entity before any state governmental agency when the
1261 legislator has had no communications with the state governmental agency.

1262 "Contingent liability" means a liability that is not presently fixed or determined, but may become
1263 fixed or determined in the future with the occurrence of some certain event.

1264 "Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item
1265 having monetary value. It includes services as well as gifts of transportation, ~~and~~ lodgings ~~and~~ meals,
1266 whether provided in-kind, by purchase of a ticket, payment in advance, or reimbursement after the
1267 expense has been incurred. "Gift" does not include (i) any offer of a ticket, coupon, or other admission
1268 or pass unless the ticket, coupon, admission, or pass is used; (ii) honorary degrees; (iii) any athletic,
1269 merit, or need-based scholarship or any other financial aid awarded by a public or private school,
1270 institution of higher education, or other educational program pursuant to such school, institution, or
1271 program's financial aid standards and procedures applicable to the general public; (iv) a campaign
1272 contribution properly received and reported pursuant to Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2;
1273 (v) any gift related to the private profession or occupation of a legislator or of a member of his
1274 immediate family; (vi) food or beverages ~~consumed while attending an event at which the filer is~~
1275 ~~performing official duties related to his public service;~~ (vii) ~~food and beverages received at or~~
1276 ~~registration or attendance fees waived for any event at which the filer is a featured speaker, presenter, or~~
1277 ~~lecturer;~~ (viii) unsolicited awards of appreciation or recognition in the form of a plaque, trophy, wall
1278 memento, or similar item that is given in recognition of public, civic, charitable, or professional service;
1279 (ix) a devise or inheritance; (x) travel disclosed pursuant to the Campaign Finance Disclosure Act
1280 (§ 24.2-945 et seq.); (xi) travel paid for or provided by the government of the United States, any of its
1281 territories, or any state or any political subdivision of such state; (xii) travel provided to facilitate
1282 attendance by a legislator at a regular or special session of the General Assembly, a meeting of a
1283 legislative committee or commission, or a national conference where attendance is approved by the
1284 House or Senate Committee on Rules; (xiii) travel related to an official meeting of the Commonwealth,
1285 its political subdivisions, or any board, commission, authority, or other entity, or any charitable
1286 organization established pursuant to § 501(c)(3) of the Internal Revenue Code affiliated with such entity,
1287 to which such person has been appointed or elected or is a member by virtue of his office or
1288 employment; or (xiv) gifts from relatives or personal friends. "Relative" means the donee's spouse, child,
1289 uncle, aunt, niece, nephew, or first cousin; a person to whom the donee is engaged to be married; the
1290 donee's or his spouse's parent, grandparent, grandchild, brother, sister, step-parent, step-grandparent,
1291 step-grandchild, step-brother, or step-sister; or the donee's brother's or sister's spouse. "Personal friend"
1292 does not include any person that the filer knows or has reason to know is (a) a lobbyist registered
1293 pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4 of Title 2.2 or (b) a lobbyist's principal as defined
1294 in § 2.2-419.

1295 "Immediate family" means (i) a spouse and (ii) any other person who resides in the same household
1296 as the legislator and who is a dependent of the legislator.

1297 "Lobbyist relationship" means (i) an engagement, agreement, or representation that relates to legal
1298 services, consulting services, or public relations services, whether gratuitous or for compensation,
1299 between a member or member-elect and any person who is, or has been within the prior calendar year,
1300 registered as a lobbyist with the Secretary of the Commonwealth or (ii) a greater than three percent
1301 ownership interest by a member or member-elect in a business that employs, or engages as an
1302 independent contractor, any person who is, or has been within the prior calendar year, registered as a
1303 lobbyist with the Secretary of the Commonwealth. The disclosure of a lobbyist relationship shall not (a)
1304 constitute a waiver of any attorney-client or other privilege, (b) require a waiver of any attorney-client
1305 or other privilege for a third party, or (c) be required where a member or member-elect is employed or
1306 engaged by a person and such person also employs or engages a person in a lobbyist relationship so
1307 long as the member or member-elect has no financial interest in the lobbyist relationship.

1308 TRUST. If you or your immediate family, separately or together, are the only beneficiaries of a trust,
1309 treat the trust's assets as if you own them directly. If you or your immediate family has a proportional
1310 interest in a trust, treat that proportion of the trust's assets as if you own them directly. For example, if
1311 you and your immediate family have a one-third interest in a trust, complete your Statement as if you
1312 own one-third of each of the trust's assets. If you or a member of your immediate family created a trust
1313 and can revoke it without the beneficiaries' consent, treat its assets as if you own them directly.

1314 REPORT TO THE BEST OF INFORMATION AND BELIEF. Information required on this

1315 Statement must be provided on the basis of the best knowledge, information, and belief of the individual
 1316 filing the Statement as of the date of this report unless otherwise stated.

1317 COMPLETE ITEMS 1 THROUGH 11. REFER TO SCHEDULES ONLY IF DIRECTED.

1318 You may attach additional explanatory information.

1319 1. Offices and Directorships.

1320 Are you or a member of your immediate family a paid officer or paid director of a business?

1321 EITHER check NO // OR check YES // and complete Schedule A.

1322 2. Personal Liabilities.

1323 Do you or a member of your immediate family owe more than \$5,000 to any one creditor including
 1324 contingent liabilities? (Exclude debts to any government and loans secured by recorded liens on property
 1325 at least equal in value to the loan.)

1326 EITHER check NO // OR check YES // and complete Schedule B.

1327 3. Securities.

1328 Do you or a member of your immediate family, directly or indirectly, separately or together, own
 1329 securities valued in excess of \$5,000 invested in one business? Account for mutual funds, limited
 1330 partnerships and trusts.

1331 EITHER check NO // OR check YES // and complete Schedule C.

1332 4. Payments for Talks, Meetings, and Publications.

1333 During the past six months did you receive in your capacity as a legislator lodging, transportation,
 1334 money, or anything else of value with a combined value exceeding \$100 (i) for a single talk, meeting,
 1335 or published work or (ii) for a meeting, conference, or event where your attendance at the meeting,
 1336 conference, or event was designed to (a) educate you on issues relevant to your duties as a legislator,
 1337 including issues faced by your constituents, or (b) enhance your knowledge and skills relative to your
 1338 duties as a legislator? Do not include payments and reimbursements from the Commonwealth for
 1339 meetings attended in your capacity as a legislator; see Question 11 and Schedule D2 to report such
 1340 meetings.

1341 EITHER check NO // OR check YES // and complete Schedule D.

1342 5. Gifts.

1343 During the past six months did a business, government, or individual other than a relative or personal
 1344 friend (i) furnish you or a member of your immediate family with any gift or entertainment at a single
 1345 event, and the value received exceeded \$50 or (ii) furnish you or a member of your immediate family
 1346 with gifts or entertainment in any combination and the total value received exceeded \$50, and for which
 1347 you or the member of your immediate family neither paid nor rendered services in exchange? Account
 1348 for entertainment events only if the average value per person attending the event exceeded \$50. Account
 1349 for all business entertainment (except if related to the private profession or occupation of you or the
 1350 member of your immediate family who received such business entertainment) even if unrelated to your
 1351 official duties.

1352 EITHER check NO // OR check YES // and complete Schedule E.

1353 6. Salary and Wages.

1354 List each employer that pays you or a member of your immediate family salary or wages in excess
 1355 of \$5,000 annually. (Exclude any salary received as a member of the General Assembly pursuant to
 1356 § 30-19.11.)

1357 If no reportable salary or wages, check here //.

1358

1359

1360

1361 7. Business Interests and Lobbyist Relationships.

1362 7A. Do you or a member of your immediate family, separately or together, operate your own
 1363 business, or own or control an interest in excess of \$5,000 in a business?

1364 EITHER check NO // OR check YES // and complete Schedule F-1.

1365 7B. Do you have a lobbyist relationship as that term is defined above?

1366 EITHER check NO // OR check YES // and complete Schedule F-2.

1367 8. Payments for Representation and Other Services.

1368 8A. Did you represent any businesses before any state governmental agencies, excluding courts or
 1369 judges, for which you received total compensation during the past six months in excess of \$1,000,
 1370 excluding compensation for other services to such businesses and representation consisting solely of the
 1371 filing of mandatory papers and subsequent representation regarding the mandatory papers?

1372 EITHER check NO // OR check YES // and complete Schedule G-1.

1373 8B. Subject to the same exceptions as in 8A, did persons with whom you have a close financial
 1374 association (partners, associates or others) represent any businesses before any state governmental agency
 1375 for which total compensation was received during the past six months in excess of \$1,000?

1376 EITHER check NO // OR check YES // and complete Schedule G-2.

1377 8C. Did you or persons with whom you have a close financial association furnish services to
 1378 businesses operating in Virginia, pursuant to an agreement between you and such businesses, or between
 1379 persons with whom you have a close financial association and such businesses for which total
 1380 compensation in excess of \$1,000 was received during the past six months? Services reported under this
 1381 provision shall not include services involving the representation of businesses that are reported under
 1382 question 8A or 8B above.

1383 EITHER check NO // OR check YES // and complete Schedule G-3.

1384 9. Real Estate.

1385 Do you or a member of your immediate family hold an interest, including a partnership interest,
 1386 valued at more than \$5,000 in real property (other than your principal residence) for which you have not
 1387 already listed the full address on Schedule F? Account for real estate held in trust.

1388 EITHER check NO // OR check YES // and complete Schedule H.

1389 10. Real Estate Contracts with State Governmental Agencies.

1390 Do you or a member of your immediate family hold an interest valued at more than \$5,000 in real
 1391 estate, including a corporate, partnership, or trust interest, option, easement, or land contract, which real
 1392 estate is the subject of a contract, whether pending or completed within the past six months, with a state
 1393 governmental agency?

1394 If the real estate contract provides for the leasing of the property to a state governmental agency, do
 1395 you or a member of your immediate family hold an interest in the real estate, including a corporate,
 1396 partnership, or trust interest, option, easement, or land contract valued at more than \$1,000? Account for
 1397 all such contracts whether or not your interest is reported in Schedule F or H. This requirement to
 1398 disclose an interest in a lease does not apply to an interest derived through an ownership interest in a
 1399 business unless the ownership interest exceeds three percent of the total equity of the business.

1400 EITHER check NO // OR check YES // and complete Schedule I.

1401 11. Payments by the Commonwealth for Meetings.

1402 During the past six months did you receive lodging, transportation, money, or anything else of value
 1403 with a combined value exceeding \$100 from the Commonwealth for a single meeting attended
 1404 out-of-state in your capacity as a legislator? Do not include reimbursements from the Commonwealth for
 1405 meetings attended in the Commonwealth.

1406 EITHER check NO // OR check YES // and complete Schedule D-2.

1407 For Statements filed in June 2016 and each two years thereafter, complete the following statement
 1408 indicating whether you completed the ethics orientation sessions provided pursuant to law:

1409 I certify that I completed ethics training as required by § 30-129.1. YES // or NO //.

1410 Statements of Economic Interests are open for public inspection.

1411 AFFIRMATION.

1412 In accordance with the rules of the house in which I serve, if I receive a request that this disclosure
 1413 statement be corrected, augmented, or revised in any respect, I hereby pledge that I shall respond
 1414 promptly to the request. I understand that if a determination is made that the statement is insufficient, I
 1415 will satisfy such request or be subjected to disciplinary action of my house.

1416 I swear or affirm that the foregoing information is full, true and correct to the best of my knowledge.

1417 Signature _____

1418 (Return only if needed to complete Statement.)

1419 SCHEDULES to STATEMENT OF ECONOMIC INTERESTS.

1420 NAME _____

1421 SCHEDULE A — OFFICES AND DIRECTORSHIPS.

1422 Identify each business of which you or a member of your immediate family is a paid officer or paid
 1423 director.

1424	_____		
1425	_____		
1426	Name of Business	Address of Business	Position Held and by Whom
1427	_____	_____	_____
1428	_____	_____	_____
1429	_____	_____	_____
1430	_____	_____	_____
1431	_____	_____	_____

1432 RETURN TO ITEM 2

1433 SCHEDULE B — PERSONAL LIABILITIES.

1434 Report personal liability by checking each category. Report only debts in excess of \$5,000. Do not
 1435 report debts to any government. Do not report loans secured by recorded liens on property at least equal
 1436 in value to the loan.

Report contingent liabilities below and indicate which debts are contingent.

1. My personal debts are as follows:

Check appropriate categories	\$5,001 to \$50,000	Check one More than \$50,000
Banks		
Savings institutions		
Other loan or finance companies		
Insurance companies		
Stock, commodity or other brokerage companies		
Other businesses: (State principal business activity for each creditor and its name.)		
Individual creditors: (State principal business or occupation of each creditor and its name.)		

2. The personal debts of the members of my immediate family are as follows:

Check appropriate categories	\$5,001 to \$50,000	Check one More than \$50,000
Banks		
Savings institutions		
Other loan or finance companies		
Insurance companies		
Stock, commodity or other brokerage companies		
Other businesses: (State principal business activity for each creditor and its name.)		
Individual creditors: (State principal business or occupation of each creditor and its name.)		

RETURN TO ITEM 3

SCHEDULE C — SECURITIES.

"Securities" INCLUDES stocks, bonds, mutual funds, limited partnerships, and commodity futures contracts.

"Securities" EXCLUDES certificates of deposit, money market funds, annuity contracts, and insurance policies.

SCHEDULE E — GIFTS.

List each business, governmental entity, or individual that, during the past six months, (i) furnished you or a member of your immediate family with any gift or entertainment at a single event, and the value received exceeded \$50 or (ii) furnished you or a member of your immediate family with gifts or entertainment in any combination and the total value received exceeded \$50, and for which you or the member of your immediate family neither paid nor rendered services in exchange. List each such gift or event.

Do not list entertainment events unless the average value per person attending the event exceeded \$50. Do not list business entertainment related to the private profession or occupation of you or the member of your immediate family who received such business entertainment. Do not list gifts or other things of value given by a relative or personal friend for reasons clearly unrelated to your public position. Do not list campaign contributions publicly reported as required by Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2 of the Code of Virginia.

Name of Recipient	Name of Business, Organization, or Individual	City or County and State	Exact Gift or Event	Approximate Value

RETURN TO ITEM 6

SCHEDULE F-1 — BUSINESS INTERESTS.

Complete this Schedule for each self-owned or family-owned business (including rental property, a farm, or consulting work), partnership, or corporation in which you or a member of your immediate family, separately or together, own an interest having a value in excess of \$5,000.

If the enterprise is owned or operated under a trade, partnership, or corporate name, list that name; otherwise, merely explain the nature of the enterprise. If rental property is owned or operated under a trade, partnership, or corporate name, list the name only; otherwise, give the address of each property. Account for business interests held in trust.

Name of Business Corporation, Partnership, Farm;	City or County and State	Nature of Enterprise (farming, law, rental property, etc.)	\$50,000 or less	\$50,001 to \$250,000	More than \$250,000

RETURN TO ITEM 8

SCHEDULE F-2 — LOBBYIST RELATIONSHIPS AND PAYMENTS.

Complete this Schedule for each lobbyist relationship with the following:

(i) any person who is, or has been within the prior calendar year, registered as a lobbyist with the Secretary of the Commonwealth, or

(ii) any business in which you have a greater than three percent ownership interest and that business employs, or engages as an independent contractor, any person who is, or has been within the prior calendar year, registered as a lobbyist with the Secretary of the Commonwealth.

1612					
1613					
1614				Payments to	
1615				Lobbyist	
1616	List each person	Describe each	Dates of	\$10,000	More than
1617	or business	relationship	relationship	or less	\$10,000
1618					
1619					
1620					
1621					
1622					
1623					

1624 THE DISCLOSURE OF A LOBBYIST RELATIONSHIP SHALL NOT (I) CONSTITUTE A
 1625 WAIVER OF ANY ATTORNEY-CLIENT OR OTHER PRIVILEGE, (II) REQUIRE A WAIVER OF
 1626 ANY ATTORNEY-CLIENT OR OTHER PRIVILEGE FOR A THIRD PARTY, OR (III) BE
 1627 REQUIRED WHERE A MEMBER OR MEMBER-ELECT IS EMPLOYED OR ENGAGED BY A
 1628 PERSON AND SUCH PERSON ALSO EMPLOYS OR ENGAGES A PERSON IN A LOBBYIST
 1629 RELATIONSHIP SO LONG AS THE MEMBER OR MEMBER-ELECT HAS NO FINANCIAL
 1630 INTEREST IN THE LOBBYIST RELATIONSHIP.

1631 SCHEDULE G-1 — PAYMENTS FOR REPRESENTATION BY YOU.

1632 List the businesses you represented before any state governmental agency, excluding any court or
 1633 judge, for which you received total compensation during the past six months in excess of \$1,000,
 1634 excluding compensation for other services to such businesses and representation consisting solely of the
 1635 filing of mandatory papers and subsequent representation regarding the mandatory papers filed by you.

1636 Identify each business, the nature of the representation and the amount received by dollar category
 1637 from each such business. You may state the type, rather than name, of the business if you are required
 1638 by law not to reveal the name of the business represented by you.

1639									
1640									
1641			Pur-						
1642			pose						
1643	Name	Type	of						
1644	of	of	Repre-	Name	\$1,001	\$10,001	\$50,001	\$100,001	
1645	Busi-	Busi-	senta-	of	to	to	to	to	\$250,001
1646	ness	ness	tion	Agency	\$10,000	\$50,000	\$100,000	\$250,000	and over
1647									
1648									
1649									
1650									

1651
 1652 If you have received \$250,001 or more from a single business within the reporting period, indicate
 1653 the amount received, rounded to the nearest \$10,000. Amount Received _____.

1654 SCHEDULE G-2 — PAYMENTS FOR REPRESENTATION BY ASSOCIATES.

1655 List the businesses that have been represented before any state governmental agency, excluding any
 1656 court or judge, by persons who are your partners, associates or others with whom you have a close
 1657 financial association and who received total compensation in excess of \$1,000 for such representation
 1658 during the past six months, excluding representation consisting solely of the filing of mandatory papers
 1659 and subsequent representation regarding the mandatory papers filed by your partners, associates or others
 1660 with whom you have a close financial association.

1661 Identify such businesses by type and also name the state governmental agencies before which such
 1662 person appeared on behalf of such businesses.

1663		
1664		
1665	Type of Business	Name of State Governmental Agency
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Identify opposite each category of businesses listed below (i) the type of business, (ii) the type of service rendered and (iii) the value by dollar category of the compensation received for all businesses falling within each category.

Identify opposite each category of businesses listed below (i) the type of business, (ii) the type of service rendered and (iii) the value by dollar category of the compensation received for all businesses falling within each category.

	Check if ser- vices were ren- dered	Type of ser- vice ren- dered	\$1,001 to \$10,000	\$10,001 to \$50,000	\$50,001 to \$100,000	\$100,001 to \$250,000	\$250,001 and over
Electric utilities							
Gas utilities							
Telephone utilities							
Water utilities							
Cable television companies							
Interstate transportation companies							
Intrastate transportation companies							
Oil or gas retail companies							
Banks							
Savings institutions							
Loan or finance companies							
Manufacturing companies (state type of product, e.g., textile, furniture, etc.)							
Mining companies							
Life insurance companies							
Casualty insurance companies							
Other insurance companies							
Retail companies							
Beer, wine or liquor companies or distributors							
Trade associations							
Professional associations							

1728	Associations of						
1729	public employees						
1730	or officials						
1731	Counties, cities						
1732	or towns						
1733	Labor organizations						
1734	Other						
1735							

RETURN TO ITEM 9

SCHEDULE H — REAL ESTATE.

1737 List real estate other than your principal residence in which you or a member of your immediate
 1738 family holds an interest, including a partnership interest, option, easement, or land contract, valued at
 1739 \$5,000 or more. Each parcel shall be listed individually.
 1740

1741			
1742			
1743		Describe the type of real	
1744		estate you own in each	
1745	List the location	location (business,	If the real estate is
1746	(state, and county	recreational, apartment,	owned or recorded in
1747	or city where you	commercial, open land,	a name other than your
1748	own real estate	etc.)	own, list that name
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RETURN TO ITEM 10

SCHEDULE I — REAL ESTATE CONTRACTS WITH STATE GOVERNMENTAL AGENCIES.

1756 List all contracts, whether pending or completed within the past six months, with a state
 1757 governmental agency for the sale or exchange of real estate in which you or a member of your
 1758 immediate family holds an interest, including a corporate, partnership or trust interest, option, easement,
 1759 or land contract, valued at more than \$10,000. List all contracts with a state governmental agency for
 1760 the lease of real estate in which you or a member of your immediate family holds such an interest
 1761 valued at more than \$1,000. This requirement to disclose an interest in a lease does not apply to an
 1762 interest derived through an ownership interest in a business unless the ownership interest exceeds three
 1763 percent of the total equity of the business.
 1764

1765			
1766			
1767	List your real		
1768	estate interest and		
1769	the person or entity,		
1770	including the type of		
1771	entity, which is		
1772	party to the contract.		
1773	Describe any		State the annual
1774	management role and	List each	income from the
1775	the percentage	governmental agency	contract, and the
1776	ownership interest	which is a party to	amount, if any, of
1777	you or your immediate	the contract and	income you or any
1778	family member has in	indicate the county	immediate family
1779	the real estate	or city where the	member derives
1780	or entity.	real estate is located.	annually from
1781			the contract.
1782			
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B. Any legislator who knowingly and intentionally makes a false statement of a material fact on the Statement of Economic Interests is guilty of a Class 5 felony and shall be subject to disciplinary action for such violations by the house in which the legislator sits.

C. The Statement of Economic Interests of all members of each house shall be reviewed by the Council. If a legislator's Statement is found to be inadequate as filed, the legislator shall be notified in writing and directed to file an amended Statement correcting the indicated deficiencies, and a time shall be set within which such amendment shall be filed. If the Statement of Economic Interests, in either its original or amended form, is found to be adequate as filed, the legislator's filing shall be deemed in full compliance with this section as to the information disclosed thereon.

D. Ten percent of the membership of a house, on the basis of newly discovered facts, may in writing request the house in which those members sit, in accordance with the rules of that house, to review the Statement of Economic Interests of another member of that house in order to determine the adequacy of his filing. In accordance with the rules of each house, each Statement of Economic Interests shall be promptly reviewed, the adequacy of the filing determined, and notice given in writing to the legislator whose Statement is in issue. Should it be determined that the Statement requires correction, augmentation or revision, the legislator involved shall be directed to make the changes required within such time as shall be set under the rules of each house.

If a legislator, after having been notified in writing in accordance with the rules of the house in which he sits that his Statement is inadequate as filed, fails to amend his Statement so as to come into compliance within the time limit set, he shall be subject to disciplinary action by the house in which he sits. No legislator shall vote on any question relating to his own Statement.

§ 30-356.1. Request for approval for certain travel.

A. The Council shall receive and review a request for the approval of travel submitted by a person required to file the disclosure form prescribed in § 2.2-3117 or 30-111 to accept any travel-related transportation, lodging, hospitality, ~~food or beverage~~, or other thing of value that has a value exceeding \$100 where such approval is required pursuant to subsection G of § 2.2-3103.1 or subsection F of § 30-103.1. A request for the approval of travel shall not be required for the following, but such travel shall be disclosed as may be required by the Acts:

1. Travel disclosed pursuant to the Campaign Finance Disclosure Act (§ 24.2-945 et seq.);
2. Travel paid for or provided by the government of the United States, any of its territories, or any state or any political subdivision of such state;
3. Travel provided to facilitate attendance by a legislator at a regular or special session of the General Assembly, a meeting of a legislative committee or commission, or a national conference where attendance is approved by the House or Senate Committee on Rules; or
4. Travel related to an official meeting of the Commonwealth, its political subdivisions, or any board, commission, authority, or other entity, or any charitable organization established pursuant to § 501(c)(3) of the Internal Revenue Code affiliated with such entity, to which such person has been appointed or elected or is a member by virtue of his office or employment.

B. When reviewing a request for the approval of travel, the Council shall consider the purpose of the travel as it relates to the official duties of the requester. The Council shall approve any request for travel that bears a reasonable relationship between the purpose of the travel and the official duties of the requester. Such travel shall include any meeting, conference, or other event (i) composed primarily of public officials, (ii) at which public policy related to the duties of the requester will be discussed in a substantial manner, (iii) reasonably expected to educate the requester on issues relevant to his official duties or to enhance the requester's knowledge and skills relative to his official duties, or (iv) at which the requester has been invited to speak regarding matters reasonably related to the requester's official duties.

C. The Council shall not approve any travel requests that bear no reasonable relationship between the purpose of the proposed travel and the official duties of the requester. In making such determination, the Council shall consider the duration of travel, the destination of travel, the estimated value of travel, and any previous or recurring travel.

D. Within five business days of receipt of a request for the approval of travel, the Council shall grant or deny the request, unless additional information has been requested. If additional information has been requested, the Council shall grant or deny the request for the approval within five business days of receipt of such information. If the Council has not granted or denied the request for approval of travel or requested additional information within such five-day period, such travel shall be deemed to have been approved by the Council. Nothing in this subsection shall preclude a person from amending or resubmitting a request for the approval of travel. The Council may authorize a designee to review and grant or deny requests for the approval of travel.

E. A request for the approval of travel shall be on a form prescribed by the Council and made

1847 available on its website. Such form may be submitted by electronic means, facsimile, in-person
1848 submission, or mail or commercial mail delivery.

1849 F. No person shall be prosecuted, assessed a civil penalty, or otherwise disciplined for acceptance of
1850 a travel-related thing of value if he accepted the travel-related thing of value after receiving approval
1851 under this section, regardless of whether such approval is later withdrawn, provided the travel occurred
1852 prior to the withdrawal of the approval.