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**HOUSE BILL NO. 586**

Offered January 13, 2016

Prefiled January 11, 2016

*A BILL to amend and reenact § 54.1-2400.2 of the Code of Virginia, relating to confidentiality of certain information obtained during health regulatory board disciplinary proceeding.*

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 Patron—Yost
 

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 Referred to Committee on Health, Welfare and Institutions
 

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**Be it enacted by the General Assembly of Virginia:****1. That § 54.1-2400.2 of the Code of Virginia is amended and reenacted as follows:****§ 54.1-2400.2. Confidentiality of information obtained during an investigation or disciplinary proceeding; penalty.**

A. Any reports, information or records received and maintained by the Department of Health Professions or any health regulatory board in connection with possible disciplinary proceedings, including any material received or developed by a board during an investigation or proceeding, shall be strictly confidential. The Department of Health Professions or a board may only disclose such confidential information:

1. In a disciplinary proceeding before a board or in any subsequent trial or appeal of an action or order, or to the respondent in entering into a confidential consent agreement under § 54.1-2400;

2. To regulatory authorities concerned with granting, limiting or denying licenses, certificates or registrations to practice a health profession, including the coordinated licensure information system, as defined in § 54.1-3030;

3. To hospital committees concerned with granting, limiting or denying hospital privileges if a final determination regarding a violation has been made;

4. Pursuant to an order of a court of competent jurisdiction for good cause arising from extraordinary circumstances being shown;

5. To qualified personnel for bona fide research or educational purposes, if personally identifiable information relating to any person is first deleted. Such release shall be made pursuant to a written agreement to ensure compliance with this section; or

6. To the Health Practitioners' Monitoring Program within the Department of Health Professions in connection with health practitioners who apply to or participate in the Program.

B. In no event shall confidential information received, maintained or developed by the Department of Health Professions or any board, or disclosed by the Department of Health Professions or a board to others, pursuant to this section, be available for discovery or court subpoena or introduced into evidence in any civil action. This section shall not, however, be construed to inhibit an investigation or prosecution under Article 1 (§ 18.2-247 et seq.) of Chapter 7 of Title 18.2.

C. Any claim of a physician-patient or practitioner-patient privilege shall not prevail in any investigation or proceeding by any health regulatory board acting within the scope of its authority. The disclosure, however, of any information pursuant to this provision shall not be deemed a waiver of such privilege in any other proceeding.

D. This section shall not prohibit the Director of the Department of Health Professions, after consultation with the relevant health regulatory board president or his designee, from disclosing to the Attorney General, or the appropriate attorney for the Commonwealth, investigatory information which indicates a possible violation of any provision of criminal law, including the laws relating to the manufacture, distribution, dispensing, prescribing or administration of drugs, other than drugs classified as Schedule VI drugs and devices, by any individual regulated by any health regulatory board.

E. This section shall not prohibit the Director of the Department of Health Professions from disclosing matters listed in subdivision A 1, A 2, or A 3 of § 54.1-2909; from making the reports of aggregate information and summaries required by § 54.1-2400.3; or from disclosing the information required to be made available to the public pursuant to § 54.1-2910.1.

F. This section shall not prohibit the Director of the Department of Health Professions, following consultation with the relevant health regulatory board president or his designee, from disclosing information about a suspected violation of state or federal law or regulation to other agencies within the Health and Human Resources Secretariat or to federal law-enforcement agencies having jurisdiction over the suspected violation or requesting an inspection or investigation of a licensee by such state or federal agency when the Director has reason to believe that a possible violation of federal or state law has occurred. Such disclosure shall not exceed the minimum information necessary to permit the state or

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59 federal agency having jurisdiction over the suspected violation of state or federal law to conduct an  
60 inspection or investigation. Disclosures by the Director pursuant to this subsection shall not be limited to  
61 requests for inspections or investigations of licensees. Nothing in this subsection shall require the  
62 Director to make any disclosure. Nothing in this section shall permit any agency to which the Director  
63 makes a disclosure pursuant to this section to re-disclose any information, reports, records, or materials  
64 received from the Department.

65 G. Whenever a complaint or report has been filed about a person licensed, certified, or registered by  
66 a health regulatory board, the source and the subject of a complaint or report shall be provided  
67 information about the investigative and disciplinary procedures at the Department of Health Professions.  
68 Prior to interviewing a licensee who is the subject of a complaint or report, or at the time that the  
69 licensee is first notified in writing of the complaint or report, whichever shall occur first, the licensee  
70 shall be provided with a copy of the complaint or report and any records or supporting documentation,  
71 unless such provision would materially obstruct a criminal or regulatory investigation. If the relevant  
72 board concludes that a disciplinary proceeding will not be instituted, the board may send an advisory  
73 letter to the person who was the subject of the complaint or report. The relevant board may also inform  
74 the source of the complaint or report (i) that an investigation has been conducted, (ii) that the matter  
75 was concluded without a disciplinary proceeding, (iii) of the process the board followed in making its  
76 determination, and (iv), if appropriate, that an advisory letter from the board has been communicated to  
77 the person who was the subject of the complaint or report. In providing such information, the board  
78 shall inform the source of the complaint or report that he is subject to the requirements of this section  
79 relating to confidentiality and discovery.

80 H. Orders and notices of the health regulatory boards relating to disciplinary actions shall be  
81 disclosed *unless, in cases involving allegations that a practitioner is unable to practice with reasonable*  
82 *skill and safety to patients because of excessive use of alcohol or drugs or physical or mental illness,*  
83 *the health regulatory board determines that (i) information related to health services received by the*  
84 *practitioner as defined in §32.1-127.1:03, (ii) information derived from the health records, as defined in*  
85 *§ 32.1-127.1:03, or (iii) any finding of fact that may indicate the practitioner's physical or mental*  
86 *illness shall not be disclosed in its notice or order and shall remain confidential. Information*  
87 *determined to be confidential in accordance with this subsection shall be considered by the health*  
88 *regulatory board in a closed session and included in a confidential exhibit to a notice or order. Such*  
89 *confidential exhibit shall remain part of the confidential record of the proceeding and may be subject to*  
90 *court review pursuant to the Administrative Process Act (§ 2.2-4000 et seq.).* Information on the date  
91 and location of any disciplinary proceeding, allegations against the respondent, and the list of statutes  
92 and regulations the respondent is alleged to have violated shall be provided to the source of the  
93 complaint or report by the relevant board prior to the proceeding. The source shall be notified of the  
94 disposition of a disciplinary case.

95 I. This section shall not prohibit investigative staff authorized under § 54.1-2506 from interviewing  
96 fact witnesses, disclosing to fact witnesses the identity of the subject of the complaint or report, or  
97 reviewing with fact witnesses any portion of records or other supporting documentation necessary to  
98 refresh the fact witnesses' recollection.

99 J. Any person found guilty of the unlawful disclosure of confidential information possessed by a  
100 health regulatory board shall be guilty of a Class 1 misdemeanor.