

16104043D

**HOUSE BILL NO. 1362**

Offered January 22, 2016

A BILL to amend and reenact §§ 2.2-426, 2.2-3106, 2.2-3109.1, 2.2-3114, 2.2-3115, 2.2-3116, as it is currently effective and as it shall become effective, 2.2-3117, 30-110, and 30-111 of the Code of Virginia and to amend the Code of Virginia by adding sections numbered 2.2-3114.2 and 30-110.1, relating to lobbyist reporting, the State and Local Government Conflict of Interests Act, and the General Assembly Conflicts of Interests Act; annual filing of required disclosures; separate report of gifts.

Patrons—Gilbert and McClellan

Referred to Committee for Courts of Justice

**Be it enacted by the General Assembly of Virginia:**

1. That §§ 2.2-426, 2.2-3106, 2.2-3109.1, 2.2-3114, 2.2-3115, 2.2-3116, as it is currently effective and as it shall become effective, 2.2-3117, 30-110, and 30-111 of the Code of Virginia are amended and reenacted and that the Code of Virginia is amended by adding sections numbered 2.2-3114.2 and 30-110.1 as follows:

**§ 2.2-426. Lobbyist reporting; penalty.**

A. Each lobbyist shall file with the Council a separate ~~semiannual~~ annual report of expenditures, including gifts, for each principal for whom he lobbies by ~~December 15 for the preceding six-month period complete through the last day of October and June 15 July 1~~ for the preceding six-month 12-month period complete through the last day of April.

B. Each principal who expends more than \$500 to employ or compensate multiple lobbyists shall be responsible for filing a consolidated lobbyist report pursuant to this section in any case in which the lobbyists are each exempt under the provisions of subdivision 7 or 8 of § 2.2-420 from the reporting requirements of this section.

C. (Effective January 1, 2016, through July 1, 2016) The report shall be on a form provided by the Council, which shall be substantially similar to the following and shall be accompanied by instructions provided by the Council.

C. (Effective July 1, 2016) The report shall be on a form provided by the Council, which shall be substantially similar to the following and shall be accompanied by instructions provided by the Council. All reports shall be submitted electronically and in accordance with the standards approved by the Council pursuant to the provisions of § 30-356.

**LOBBYIST'S DISCLOSURE STATEMENT**

**PART I:**

(1) **PRINCIPAL:** \_\_\_\_\_

In Part I, item 2a, provide the name of the individual authorizing your employment as a lobbyist. The lobbyist filing this statement MAY NOT list his name in item 2a.

(2a) Name: \_\_\_\_\_

(2b) Permanent Business Address: \_\_\_\_\_

(2c) Business Telephone: \_\_\_\_\_

(3) Provide a list of executive and legislative actions (with as much specificity as possible) for which you lobbied and a description of activities conducted.

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

(4) **INCORPORATED FILINGS:** If you are filing an incorporated disclosure statement, please complete the following:

Individual filing financial information: \_\_\_\_\_

Individuals to be included in the filing: \_\_\_\_\_

(5) Please indicate which schedules will be attached to your disclosure statement:

[ ] Schedule A: Entertainment Expenses

57 [ ] Schedule B: Gifts  
 58 [ ] Schedule C: Other Expenses  
 59 (6) EXPENDITURE TOTALS:  
 60 a) ENTERTAINMENT ..... \$ \_\_\_\_\_  
 61 b) GIFTS ..... \$ \_\_\_\_\_  
 62 c) COMMUNICATIONS ..... \$ \_\_\_\_\_  
 63 d) PERSONAL LIVING AND TRAVEL EXPENSES ..... \$ \_\_\_\_\_  
 64 e) COMPENSATION OF LOBBYISTS ..... \$ \_\_\_\_\_  
 65 f) HONORARIA ..... \$ \_\_\_\_\_  
 66 g) OTHER ..... \$ \_\_\_\_\_  
 67 TOTAL ..... \$ \_\_\_\_\_

## PART II:

69 (1a) NAME OF LOBBYIST: \_\_\_\_\_  
 70 (1b) Permanent Business Address: \_\_\_\_\_  
 71 (1c) Business Telephone: \_\_\_\_\_  
 72 (2) As a lobbyist, you are (check one)  
 73 [ ] EMPLOYED (on the payroll of the principal)  
 74 [ ] RETAINED (not on the payroll of the principal, however  
 75 compensated)  
 76 [ ] NOT COMPENSATED (not compensated; expenses may be reimbursed)  
 77 (3) List all lobbyists other than yourself who registered to  
 78 represent your principal.  
 79 \_\_\_\_\_  
 80 \_\_\_\_\_  
 81 \_\_\_\_\_  
 82 (4) If you selected "EMPLOYED" as your answer to Part II, item 2,  
 83 provide your job title.  
 84 \_\_\_\_\_

85 PLEASE NOTE: Some lobbyists are not individually compensated for  
 86 lobbying activities. This may occur when several members of a firm  
 87 represent a single principal. The principal, in turn, makes a single  
 88 payment to the firm. If this describes your situation, do not answer  
 89 Part II, items 5a and 5b. Instead, complete Part III, items 1 and 2.

90 (5a) What was the DOLLAR AMOUNT OF YOUR COMPENSATION as a lobbyist?  
 91 (If you have job responsibilities other than those involving  
 92 lobbying, you may have to prorate to determine the part of your  
 93 salary attributable to your lobbying activities.) Transfer your  
 94 answer to this item to Part I, item 6e.  
 95 (5b) Explain how you arrived at your answer to Part II, item 5a.  
 96 \_\_\_\_\_  
 97 \_\_\_\_\_  
 98 \_\_\_\_\_

## PART III:

100 PLEASE NOTE: If you answered Part II, items 5a and 5b, you WILL NOT  
 101 complete this section.

102 (1) List all members of your firm, organization, association,  
 103 corporation, or other entity who furnished lobbying services to  
 104 your principal.  
 105 \_\_\_\_\_  
 106 \_\_\_\_\_  
 107 \_\_\_\_\_  
 108 (2) Indicate the total amount paid to your firm, organization,  
 109 association, corporation, or other entity for services rendered.  
 110 Transfer your answer to this item to Part I, item 6e. \_\_\_\_\_

## SCHEDULE A

## ENTERTAINMENT EXPENSES

113 PLEASE NOTE: Any single entertainment event included in the expense

totals of the principal, with a value greater than \$50, should be itemized below. Transfer any totals from this schedule to Part I, item 6a. (Please duplicate as needed.)

Date and Location of Event:

\_\_\_\_\_

Description of Event (including whether or not it meets the criteria of a widely attended event):

\_\_\_\_\_

Total Number of Persons Attending:

\_\_\_\_\_

Names of Legislative and Executive Officials or Members of Their Immediate Families Attending: (List names only if the average value for each person attending the event was greater than \$50.)

\_\_\_\_\_

\_\_\_\_\_

Food ..... \$ \_\_\_\_\_

Beverages ..... \$ \_\_\_\_\_

Transportation of Legislative and Executive Officials or Members of Their Immediate Families ..... \$ \_\_\_\_\_

Lodging of Legislative and Executive Officials or Members of Their Immediate Families ..... \$ \_\_\_\_\_

Performers, Speakers, Etc. .... \$ \_\_\_\_\_

Displays ..... \$ \_\_\_\_\_

Rentals ..... \$ \_\_\_\_\_

Service Personnel ..... \$ \_\_\_\_\_

Miscellaneous ..... \$ \_\_\_\_\_

TOTAL ..... \$ \_\_\_\_\_

#### SCHEDULE B

##### GIFTS

PLEASE NOTE: Any single gift reported in the expense totals of the principal, with a value greater than \$50, should be itemized below. (Report meals, entertainment and travel under Schedule A.) Transfer any totals from this schedule to Part I, item 6b. (Please duplicate as needed.)

Date of gift:	Description of gift:	Name of each legislative or executive official or member of his immediate family who is a recipient of a gift:	Cost of individual gift:
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____
TOTAL COST TO PRINCIPAL .....			\$ _____

#### SCHEDULE C

##### OTHER EXPENSES

PLEASE NOTE: This section is provided for any lobbying-related expenses not covered in Part I, items 6a - 6f. An example of an expenditure to be listed on schedule C would be the rental of a bill box during the General Assembly session. Transfer the total

170 from this schedule to Part I, item 6g. (Please duplicate as needed.)

171	DATE OF EXPENSE	DESCRIPTION OF EXPENSE	AMOUNT
172	_____	_____	\$ _____
173	_____	_____	\$ _____
174	_____	_____	\$ _____
175	_____	_____	\$ _____
176	_____	_____	\$ _____
177	_____	_____	\$ _____
178	_____	_____	\$ _____
179	_____	_____	\$ _____
180	_____	_____	\$ _____
181	TOTAL "OTHER" EXPENSES _____		\$ _____

#### 182 PART IV: STATEMENTS

183 The following items are mandatory and if they are not properly  
 184 completed, the entire filing will be rejected and returned to  
 185 the lobbyist:

- 186 (1) All signatures on the statement must be ORIGINAL in the format  
 187 specified in the instructions provided by the Council that  
 188 accompany this form. No stamps, or other reproductions of the  
 189 individual's signature will be accepted.  
 190 (2) An individual MAY NOT sign the disclosure statement as lobbyist  
 191 and principal officer.

#### 192 STATEMENT OF LOBBYIST

193 I, the undersigned registered lobbyist, do state that the information  
 194 furnished on this disclosure statement and on all accompanying  
 195 attachments required to be made thereto is, to the best of my  
 196 knowledge and belief, complete and accurate.

197 \_\_\_\_\_  
 198 Signature of lobbyist

199 \_\_\_\_\_  
 200 \_\_\_\_\_  
 201 Date

#### 202 STATEMENT OF PRINCIPAL

203 I, the undersigned principal (or an authorized official thereof), do  
 204 state that the information furnished on this disclosure statement  
 205 and on all accompanying attachments required to be made thereto is,  
 206 to the best of my knowledge and belief, complete and accurate.

207 \_\_\_\_\_  
 208 Signature of principal

209 \_\_\_\_\_  
 210 \_\_\_\_\_  
 211 Date

212 D. A person who knowingly and intentionally makes a false statement of a material fact on the  
 213 disclosure statement is guilty of a Class 5 felony.

214 E. Each lobbyist shall send to each legislative and executive official who is required to be identified  
 215 by name on Schedule A or B of the Lobbyist's Disclosure Form a copy of Schedule A or B or a  
 216 summary of the information pertaining to that official. Copies or summaries shall be provided to the  
 217 official by ~~November 21~~ *December 15* for the preceding ~~six-month~~ *12-month* period complete through  
 218 the last day of ~~October~~ and by ~~May 21~~ for the preceding ~~six-month~~ period complete through the last day  
 219 of ~~April~~ *November 30*.

#### 220 § 2.2-3106. Prohibited contracts by officers and employees of state government and Eastern 221 Virginia Medical School.

222 A. No officer or employee of any governmental agency of state government or Eastern Virginia  
 223 Medical School shall have a personal interest in a contract with the governmental agency of which he is  
 224 an officer or employee, other than his own contract of employment.

225 B. No officer or employee of any governmental agency of state government or Eastern Virginia  
 226 Medical School shall have a personal interest in a contract with any other governmental agency of state  
 227 government unless such contract is (i) awarded as a result of competitive sealed bidding or competitive

negotiation as set forth in § 2.2-4302.1 or 2.2-4302.2 or (ii) is awarded after a finding, in writing, by the administrative head of the governmental agency that competitive bidding or negotiation is contrary to the best interest of the public.

C. The provisions of this section shall not apply to:

1. An employee's personal interest in additional contracts of employment with his own governmental agency that accrue to him because of a member of his immediate family, provided the employee does not exercise any control over the employment or the employment activities of the member of his immediate family and the employee is not in a position to influence those activities;

2. The personal interest of an officer or employee of a state institution of higher education or the Eastern Virginia Medical School in additional contracts of employment with his own governmental agency that accrue to him because of a member of his immediate family, provided (i) the officer or employee and the immediate family member are engaged in teaching, research or administrative support positions at the educational institution or the Eastern Virginia Medical School, (ii) the governing board of the educational institution finds that it is in the best interests of the institution or the Eastern Virginia Medical School and the Commonwealth for such dual employment to exist, and (iii) after such finding, the governing board of the educational institution or the Eastern Virginia Medical School ensures that the officer or employee, or the immediate family member, does not have sole authority to supervise, evaluate or make personnel decisions regarding the other;

3. An officer's or employee's personal interest in a contract of employment with any other governmental agency of state government;

4. Contracts for the sale by a governmental agency or the Eastern Virginia Medical School of services or goods at uniform prices available to the general public;

5. An employee's personal interest in a contract between a public institution of higher education in Virginia or the Eastern Virginia Medical School and a publisher or wholesaler of textbooks or other educational materials for students, which accrues to him solely because he has authored or otherwise created such textbooks or materials;

6. An employee's personal interest in a contract with his or her employing public institution of higher education to acquire the collections or scholarly works owned by the employee, including manuscripts, musical scores, poetry, paintings, books or other materials, writings, or papers of an academic, research, or cultural value to the institution, provided the president of the institution approves the acquisition of such collections or scholarly works as being in the best interests of the institution's public mission of service, research, or education;

7. Subject to approval by the board of visitors, an employee's personal interest in a contract between the Eastern Virginia Medical School or a public institution of higher education in Virginia that operates a school of medicine or dentistry and a not-for-profit nonstock corporation that operates a clinical practice within such public institution of higher education or the Eastern Virginia Medical School and of which such employee is a member or employee;

8. Subject to approval by the relevant board of visitors, an employee's personal interest in a contract for research and development or commercialization of intellectual property between a public institution of higher education in Virginia or the Eastern Virginia Medical School and a business in which the employee has a personal interest, if (i) the employee's personal interest has been disclosed to and approved by such public institution of higher education or the Eastern Virginia Medical School prior to the time at which the contract is entered into; (ii) the employee promptly files a disclosure statement pursuant to § 2.2-3117 and thereafter files such statement annually on or before ~~December~~ January 15; (iii) the institution has established a formal policy regarding such contracts, approved by the State Council of Higher Education or, in the case of the Eastern Virginia Medical School, a formal policy regarding such contracts in conformity with any applicable federal regulations that has been approved by its board of visitors; and (iv) no later than December 31 of each year, the institution or the Eastern Virginia Medical School files an annual report with the Secretary of the Commonwealth disclosing each open contract entered into subject to this provision, the names of the parties to each contract, the date each contract was executed and its term, the subject of each contractual arrangement, the nature of the conflict of interest, the institution's or the Eastern Virginia Medical School's employee responsible for administering each contract, the details of the institution's or the Eastern Virginia Medical School's commitment or investment of resources or finances for each contract, and any other information requested by the Secretary of the Commonwealth; or

9. Subject to approval by the relevant board of visitors, an employee's personal interest in a contract between a public institution of higher education in Virginia or the Eastern Virginia Medical School and a business in which the employee has a personal interest, if (i) the personal interest has been disclosed to the institution or the Eastern Virginia Medical School prior to the time the contract is entered into; (ii) the employee files a disclosure statement pursuant to § 2.2-3117 and thereafter annually on or before ~~December~~ January 15; (iii) the employee does not participate in the institution's or the Eastern Virginia

289 Medical School's decision to contract; (iv) the president of the institution or the Eastern Virginia  
290 Medical School finds and certifies in writing that the contract is for goods and services needed for  
291 quality patient care, including related medical education or research, by the institution's medical center  
292 or the Eastern Virginia Medical School, its affiliated teaching hospitals and other organizations necessary  
293 for the fulfillment of its mission, including the acquisition of drugs, therapies and medical technologies;  
294 and (v) no later than December 31 of each year, the institution or the Eastern Virginia Medical School  
295 files an annual report with the Secretary of the Commonwealth disclosing each open contract entered  
296 subject to this provision, the names of the parties to each contract, the date each contract was executed  
297 and its term, the subject of each contractual arrangement, the nature of the conflict of interest, the  
298 institution's or the Eastern Virginia Medical School's employee responsible for administering each  
299 contract, the details of the institution's or the Eastern Virginia Medical School's commitment or  
300 investment of resources or finances for each contract, and any other information requested by the  
301 Secretary of the Commonwealth.

302 D. Notwithstanding the provisions of subdivisions C 8 and C 9, if the research and development or  
303 commercialization of intellectual property or the employee's personal interest in a contract with a  
304 business is subject to policies and regulations governing conflicts of interest promulgated by any agency  
305 of the United States government, including the adoption of policies requiring the disclosure and  
306 management of such conflicts of interests, the policies established by the Eastern Virginia Medical  
307 School pursuant to such federal requirements shall constitute compliance with subdivisions C 8 and C 9,  
308 upon notification by the Eastern Virginia Medical School to the Secretary of the Commonwealth by  
309 January 31 of each year of evidence of their compliance with such federal policies and regulations.

310 E. The board of visitors may delegate the authority granted under subdivision C 8 to the president of  
311 the institution. If the board elects to delegate such authority, the board shall include this delegation of  
312 authority in the formal policy required by clause (iii) of subdivision C 8. In those instances where the  
313 board has delegated such authority, on or before December 1 of each year, the president of the relevant  
314 institution shall file a report with the relevant board of visitors disclosing each open contract entered  
315 into subject to this provision, the names of the parties to each contract, the date each contract was  
316 executed and its term, the subject of each contractual arrangement, the nature of the conflict of interest,  
317 the institution's or the Eastern Virginia Medical School's employee responsible for administering each  
318 contract, the details of the institution's or the Eastern Virginia Medical School's commitment or  
319 investment of resources or finances for each contract, the details of how revenues are to be dispersed,  
320 and any other information requested by the board of visitors.

321 **§ 2.2-3109.1. Prohibited contracts; additional exclusions for contracts by officers and employees**  
322 **of hospital authorities.**

323 A. As used in this section, "hospital authority" means a hospital authority established pursuant to  
324 Chapter 53 (§ 15.2-5300 et seq.) of Title 15.2 or an Act of Assembly.

325 B. The provisions of § 2.2-3109 shall not apply to:

326 1. The personal interest of an officer or employee of a hospital authority in additional contracts of  
327 employment with his own governmental agency that accrue to him because of a member of his  
328 immediate family, provided (i) the officer or employee and the immediate family member are licensed  
329 members of the medical profession or hold administrative support positions at the hospital authority, (ii)  
330 the governing board of the hospital authority finds that it is in the best interests of the hospital authority  
331 and the county, city, or town for such dual employment to exist, and (iii) after such finding, the  
332 governing board of the hospital authority ensures that neither the officer or employee, nor the immediate  
333 family member, has sole authority to supervise, evaluate, or make personnel decisions regarding the  
334 other;

335 2. Subject to approval by the governing board of the hospital authority, an officer or employee's  
336 personal interest in a contract between his hospital authority and a professional entity that operates a  
337 clinical practice at any medical facilities of such other hospital authority and of which such officer or  
338 employee is a member or employee;

339 3. Subject to approval by the relevant governing body, an officer or employee's personal interest in a  
340 contract for research and development or commercialization of intellectual property between the hospital  
341 authority and a business in which the employee has a personal interest, provided (i) the officer or  
342 employee's personal interest has been disclosed to and approved by the hospital authority prior to the  
343 time at which the contract is entered into; (ii) the officer or employee promptly files a disclosure  
344 statement pursuant to § 2.2-3117 and thereafter files such statement annually on or before ~~December~~  
345 *January* 15; (iii) the local hospital authority has established a formal policy regarding such contracts in  
346 conformity with any applicable federal regulations that has been approved by its governing body; and  
347 (iv) no later than December 31 of each year, the local hospital authority files an annual report with the  
348 Virginia Conflict of Interest and Ethics Advisory Council disclosing each open contract entered into  
349 subject to this provision, the names of the parties to each contract, the date each contract was executed  
350 and its term, the subject of each contractual arrangement, the nature of the conflict of interest, the

hospital authority's employee responsible for administering each contract, the details of such hospital authority's commitment or investment of resources or finances for each contract, and any other information requested by the Virginia Conflict of Interest and Ethics Advisory Council; or

4. Subject to approval by the relevant governing body, an officer or employee's personal interest in a contract between the hospital authority and a business in which the officer or employee has a personal interest, provided (i) the personal interest has been disclosed to the hospital authority prior to the time the contract is entered into; (ii) the officer or employee files a disclosure statement pursuant to § 2.2-3117 and thereafter annually on or before ~~December~~ *January* 15; (iii) the officer or employee does not participate in the hospital authority's decision to contract; (iv) the president or chief executive officer of the hospital authority finds and certifies in writing that the contract is for goods and services needed for quality patient care, including related medical education or research, by any of the hospital authority's medical facilities or any of its affiliated organizations, or is otherwise necessary for the fulfillment of its mission, including but not limited to the acquisition of drugs, therapies, and medical technologies; and (v) no later than December 31 of each year, the hospital authority files an annual report with the Virginia Conflict of Interest and Ethics Advisory Council disclosing each open contract entered into subject to this provision, the names of the parties to each contract, the date each contract was executed and its term, the subject of each contractual arrangement, the nature of the conflict of interest, the hospital authority's employee responsible for administering each contract, the details of the hospital authority's commitment or investment of resources or finances for each contract, and any other information requested by the Virginia Conflict of Interest and Ethics Advisory Council.

C. Notwithstanding the provisions of subdivisions B 3 and B 4, if the research and development or commercialization of intellectual property or the officer or employee's personal interest in a contract with a business is subject to policies and regulations governing conflicts of interest promulgated by any agency of the United States government, including the adoption of policies requiring the disclosure and management of such conflicts of interest, the policies established by the hospital authority pursuant to such federal requirements shall constitute compliance with subdivisions B 3 and B 4, upon notification by the hospital authority to the Virginia Conflict of Interest and Ethics Advisory Council by January 31 of each year of evidence of its compliance with such federal policies and regulations.

D. The governing body may delegate the authority granted under subdivision B 2 to the president or chief executive officer of hospital authority. If the board elects to delegate such authority, the board shall include this delegation of authority in the formal policy required by clause (iii) of subdivision B 3. In those instances where the board has delegated such authority, on or before December 1 of each year, the president or chief executive officer of the hospital authority shall file a report with the relevant governing body disclosing each open contract entered into subject to this provision, the names of the parties to each contract, the date each contract was executed and its term, the subject of each contractual arrangement, the nature of the conflict of interest, the hospital authority's employee responsible for administering each contract, the details of the hospital authority's commitment or investment of resources or finances for each contract, the details of how revenues are to be dispersed, and any other information requested by the governing body.

**§ 2.2-3114. Disclosure by state officers and employees.**

A. The Governor, Lieutenant Governor, Attorney General, Justices of the Supreme Court, judges of the Court of Appeals, judges of any circuit court, judges and substitute judges of any district court, members of the State Corporation Commission, members of the Virginia Workers' Compensation Commission, members of the Commonwealth Transportation Board, members of the Board of Trustees of the Virginia Retirement System, members of the Virginia Alcoholic Beverage Control Board, and members of the Virginia Lottery Board and other persons occupying such offices or positions of trust or employment in state government, including members of the governing bodies of authorities, as may be designated by the Governor, or officers or employees of the legislative branch, as may be designated by the Joint Rules Committee of the General Assembly, shall file with the Council, as a condition to assuming office or employment, a disclosure statement of their personal interests and such other information as is specified on the form set forth in § 2.2-3117 and thereafter shall file such a statement ~~semiannually by December~~ *annually on or before January* 15 ~~for the preceding six-month period complete through the last day of October and by June 15 for the preceding six-month period complete through the last day of April.~~ When the filing deadline falls on a Saturday, Sunday, or legal holiday, the disclosure statement shall be filed on the next day that is not a Saturday, Sunday, or legal holiday.

B. Nonsalaried citizen members of all policy and supervisory boards, commissions and councils in the executive branch of state government, other than the Commonwealth Transportation Board, members of the Board of Trustees of the Virginia Retirement System, and the Virginia Lottery Board, shall file with the Council, as a condition to assuming office, a disclosure form of their personal interests and such other information as is specified on the form set forth in § 2.2-3118 and thereafter shall file such form annually on or before ~~December~~ *January* 15. When the filing deadline falls on a Saturday, Sunday,

412 or legal holiday, the disclosure statement shall be filed on the next day that is not a Saturday, Sunday,  
413 or legal holiday. Nonsalaried citizen members of other boards, commissions and councils, including  
414 advisory boards and authorities, may be required to file a disclosure form if so designated by the  
415 Governor, in which case the form shall be that set forth in § 2.2-3118.

416 C. (Effective January 1, 2016, until July 1, 2016) The disclosure forms required by subsections A  
417 and B shall be made available by the Council at least 30 days prior to the filing deadline. Disclosure  
418 forms shall be filed and maintained as public records for five years in the office of the Council. Such  
419 forms shall be made public no later than six weeks after filing.

420 C. (Effective July 1, 2016) The disclosure forms required by subsections A and B shall be made  
421 available by the Council at least 30 days prior to the filing deadline. Disclosure forms shall be filed  
422 electronically with the Council in accordance with the standards approved by it pursuant to § 30-356.  
423 All forms shall be maintained as public records for five years in the office of the Council. Such forms  
424 shall be made public no later than six weeks after filing.

425 D. Candidates for the offices of Governor, Lieutenant Governor or Attorney General shall file a  
426 disclosure statement of their personal interests as required by § 24.2-502.

427 E. Any officer or employee of state government who has a personal interest in any transaction before  
428 the governmental or advisory agency of which he is an officer or employee and who is disqualified  
429 from participating in that transaction pursuant to subdivision A 1 of § 2.2-3112, or otherwise elects to  
430 disqualify himself, shall forthwith make disclosure of the existence of his interest, including the full  
431 name and address of the business and the address or parcel number for the real estate if the interest  
432 involves a business or real estate, and his disclosure shall also be reflected in the public records of the  
433 agency for five years in the office of the administrative head of the officer's or employee's governmental  
434 agency or advisory agency or, if the agency has a clerk, in the clerk's office.

435 F. An officer or employee of state government who is required to declare his interest pursuant to  
436 subdivision A 2 of § 2.2-3112, shall declare his interest by stating (i) the transaction involved, (ii) the  
437 nature of the officer's or employee's personal interest affected by the transaction, (iii) that he is a  
438 member of a business, profession, occupation, or group the members of which are affected by the  
439 transaction, and (iv) that he is able to participate in the transaction fairly, objectively, and in the public  
440 interest. The officer or employee shall either make his declaration orally to be recorded in written  
441 minutes for his agency or file a signed written declaration with the clerk or administrative head of his  
442 governmental or advisory agency, as appropriate, who shall, in either case, retain and make available for  
443 public inspection such declaration for a period of five years from the date of recording or receipt. If  
444 reasonable time is not available to comply with the provisions of this subsection prior to participation in  
445 the transaction, the officer or employee shall prepare and file the required declaration by the end of the  
446 next business day.

447 G. An officer or employee of state government who is required to declare his interest pursuant to  
448 subdivision A 3 of § 2.2-3112, shall declare his interest by stating (i) the transaction involved, (ii) that a  
449 party to the transaction is a client of his firm, (iii) that he does not personally represent or provide  
450 services to the client, and (iv) that he is able to participate in the transaction fairly, objectively, and in  
451 the public interest. The officer or employee shall either make his declaration orally to be recorded in  
452 written minutes for his agency or file a signed written declaration with the clerk or administrative head  
453 of his governmental or advisory agency, as appropriate, who shall, in either case, retain and make  
454 available for public inspection such declaration for a period of five years from the date of recording or  
455 receipt. If reasonable time is not available to comply with the provisions of this subsection prior to  
456 participation in the transaction, the officer or employee shall prepare and file the required declaration by  
457 the end of the next business day.

458 H. Notwithstanding any other provision of law, chairs of departments at a public institution of higher  
459 education in the Commonwealth shall not be required to file the disclosure form prescribed by  
460 § 2.2-3117 or 2.2-3118.

461 **§ 2.2-3114.2. Report of gifts by certain officers and employees of state government.**

462 *The Governor, Lieutenant Governor, Attorney General, and each member of the Governor's Cabinet*  
463 *shall file, on or before May 1, a report of gifts accepted or received by him or a member of his*  
464 *immediate family during the period beginning on the first day of the regular session of the General*  
465 *Assembly complete through adjournment sine die of that session. The gift report shall be on a form*  
466 *prescribed by the Council and shall be filed electronically with the Council in accordance with the*  
467 *standards approved by it pursuant to § 30-356. For purposes of this section, "adjournment sine die"*  
468 *means adjournment on the last legislative day of the regular session and does not include the ensuing*  
469 *reconvened session.*

470 **§ 2.2-3115. Disclosure by local government officers and employees.**

471 A. The members of every governing body and school board of each county and city and of towns  
472 with populations in excess of 3,500 shall file, as a condition to assuming office or employment, a  
473 disclosure statement of their personal interests and other information as is specified on the form set forth



in § 2.2-3117 and thereafter shall file such a statement ~~semiannually by December~~ *annually on or before January 15 for the preceding six-month period complete through the last day of October and by June 15 for the preceding six-month period complete through the last day of April.*

The members of the governing body of any authority established in any county or city, or part or combination thereof, and having the power to issue bonds or expend funds in excess of \$10,000 in any fiscal year, shall file, as a condition to assuming office, a disclosure statement of their personal interests and other information as is specified on the form set forth in § 2.2-3118 and thereafter shall file such a statement annually on or before ~~December~~ *January 15*, unless the governing body of the jurisdiction that appoints the members requires that the members file the form set forth in § 2.2-3117 ~~semiannually by December 15 for the preceding six-month period complete through the last day of October and by June 15 for the preceding six-month period complete through the last day of April.~~

Persons occupying such positions of trust appointed by governing bodies and persons occupying such positions of employment with governing bodies as may be designated to file by ordinance of the governing body shall file, as a condition to assuming office or employment, a disclosure statement of their personal interests and other information as is specified on the form set forth in § 2.2-3117 and thereafter shall file such a statement ~~semiannually by December~~ *annually on or before January 15 for the preceding six-month period complete through the last day of October and by June 15 for the preceding six-month period complete through the last day of April.*

Persons occupying such positions of trust appointed by school boards and persons occupying such positions of employment with school boards as may be designated to file by an adopted policy of the school board shall file, as a condition to assuming office or employment, a disclosure statement of their personal interests and other information as is specified on the form set forth in § 2.2-3117 and thereafter shall file such a statement ~~semiannually by December~~ *annually on or before January 15 for the preceding six-month period complete through the last day of October and by June 15 for the preceding six-month period complete through the last day of April.*

B. Nonsalaried citizen members of local boards, commissions and councils as may be designated by the governing body shall file, as a condition to assuming office, a disclosure form of their personal interests and such other information as is specified on the form set forth in § 2.2-3118 and thereafter shall file such form annually on or before ~~December~~ *January 15*.

C. No person shall be mandated to file any disclosure not otherwise required by this article.

D. The disclosure forms required by subsections A and B shall be made available by the Virginia Conflict of Interest and Ethics Advisory Council at least 30 days prior to the filing deadline, and the clerks of the governing body and school board shall distribute the forms to designated individuals at least 20 days prior to the filing deadline. Forms shall be filed and maintained as public records for five years in the office of the clerk of the respective governing body or school board. Forms filed by members of governing bodies of authorities shall be filed and maintained as public records for five years in the office of the clerk of the governing body of the county or city. Such forms shall be made public no later than six weeks after filing.

E. Candidates for membership in the governing body or school board of any county, city or town with a population of more than 3,500 persons shall file a disclosure statement of their personal interests as required by § 24.2-502.

F. Any officer or employee of local government who has a personal interest in any transaction before the governmental or advisory agency of which he is an officer or employee and who is disqualified from participating in that transaction pursuant to subdivision A 1 of § 2.2-3112 or otherwise elects to disqualify himself, shall forthwith make disclosure of the existence of his interest, including the full name and address of the business and the address or parcel number for the real estate if the interest involves a business or real estate, and his disclosure shall be reflected in the public records of the agency for five years in the office of the administrative head of the officer's or employee's governmental or advisory agency.

G. In addition to any disclosure required by subsections A and B, in each county and city and in towns with populations in excess of 3,500, members of planning commissions, boards of zoning appeals, real estate assessors, and all county, city and town managers or executive officers shall make annual disclosures of all their interests in real estate located in the county, city or town in which they are elected, appointed, or employed. Such disclosure shall include any business in which such persons own an interest, or from which income is received, if the primary purpose of the business is to own, develop or derive compensation through the sale, exchange or development of real estate in the county, city or town. Such disclosure shall be filed as a condition to assuming office or employment, and thereafter shall be filed annually with the clerk of the governing body of such county, city, or town on or before ~~December~~ *January 15*. Such disclosures shall be filed and maintained as public records for five years. Such forms shall be made public no later than six weeks after filing. Forms for the filing of such reports shall be made available by the Virginia Conflict of Interest and Ethics Advisory Council to the clerk of

535 each governing body.

536 H. An officer or employee of local government who is required to declare his interest pursuant to  
 537 subdivision A 2 of § 2.2-3112 shall declare his interest by stating (i) the transaction involved, (ii) the  
 538 nature of the officer's or employee's personal interest affected by the transaction, (iii) that he is a  
 539 member of a business, profession, occupation, or group the members of which are affected by the  
 540 transaction, and (iv) that he is able to participate in the transaction fairly, objectively, and in the public  
 541 interest. The officer or employee shall either make his declaration orally to be recorded in written  
 542 minutes for his agency or file a signed written declaration with the clerk or administrative head of his  
 543 governmental or advisory agency, as appropriate, who shall, in either case, retain and make available for  
 544 public inspection such declaration for a period of five years from the date of recording or receipt. If  
 545 reasonable time is not available to comply with the provisions of this subsection prior to participation in  
 546 the transaction, the officer or employee shall prepare and file the required declaration by the end of the  
 547 next business day. The officer or employee shall also orally disclose the existence of the interest during  
 548 each meeting of the governmental or advisory agency at which the transaction is discussed and such  
 549 disclosure shall be recorded in the minutes of the meeting.

550 I. An officer or employee of local government who is required to declare his interest pursuant to  
 551 subdivision A 3 of § 2.2-3112, shall declare his interest by stating (i) the transaction involved, (ii) that a  
 552 party to the transaction is a client of his firm, (iii) that he does not personally represent or provide  
 553 services to the client, and (iv) that he is able to participate in the transaction fairly, objectively, and in  
 554 the public interest. The officer or employee shall either make his declaration orally to be recorded in  
 555 written minutes for his agency or file a signed written declaration with the clerk or administrative head  
 556 of his governmental or advisory agency, as appropriate, who shall, in either case, retain and make  
 557 available for public inspection such declaration for a period of five years from the date of recording or  
 558 receipt. If reasonable time is not available to comply with the provisions of this subsection prior to  
 559 participation in the transaction, the officer or employee shall prepare and file the required declaration by  
 560 the end of the next business day.

561 **§ 2.2-3116. (Effective from January 1, 2016, until July 1, 2016) Disclosure by certain**  
 562 **constitutional officers.**

563 For the purposes of this chapter, holders of the constitutional offices of treasurer, sheriff, attorney for  
 564 the Commonwealth, clerk of the circuit court, and commissioner of the revenue of each county and city  
 565 shall be required to file with the Council, as a condition to assuming office, the Statement of Economic  
 566 Interests set forth in § 2.2-3117. These officers shall file statements ~~semiannually by December~~ *annually*  
 567 *on or before January 15 for the preceding six-month period complete through the last day of October*  
 568 *and by June 15 for the preceding six-month period complete through the last day of April.* Candidates  
 569 shall file statements as required by § 24.2-502. These officers shall be subject to the prohibition on  
 570 certain gifts set forth in subsection B of § 2.2-3103.1.

571 **§ 2.2-3116. (Effective July 1, 2016) Disclosure by certain constitutional officers.**

572 For the purposes of this chapter, holders of the constitutional offices of treasurer, sheriff, attorney for  
 573 the Commonwealth, clerk of the circuit court, and commissioner of the revenue of each county and city  
 574 shall be required to file with the Council, as a condition to assuming office, the Statement of Economic  
 575 Interests set forth in § 2.2-3117. These officers shall file statements ~~semiannually by December~~ *annually*  
 576 *on or before January 15 for the preceding six-month period complete through the last day of October*  
 577 *and by June 15 for the preceding six-month period complete through the last day of April.* Candidates  
 578 shall file statements as required by § 24.2-502. Statements shall be filed electronically with the Council  
 579 in accordance with the standards approved by it pursuant to § 30-356. These officers shall be subject to  
 580 the prohibition on certain gifts set forth in subsection B of § 2.2-3103.1.

581 **§ 2.2-3117. Disclosure form.**

582 (Effective from January 1, 2016, until July 1, 2016) The disclosure form to be used for filings  
 583 required by subsections A and D of § 2.2-3114 and subsections A and E of § 2.2-3115 shall be  
 584 substantially similar to the following. Any person who knowingly and intentionally makes a false  
 585 statement of a material fact on the Statement of Economic Interests is guilty of a Class 5 felony.

586 (Effective July 1, 2016) The disclosure form to be used for filings required by subsections A and D  
 587 of § 2.2-3114 and subsections A and E of § 2.2-3115 shall be substantially similar to the following.  
 588 Except as otherwise provided in § 2.2-3115, all completed forms shall be filed electronically with the  
 589 Council in accordance with the standards approved by it pursuant to § 30-356. Any person who  
 590 knowingly and intentionally makes a false statement of a material fact on the Statement of Economic  
 591 Interests is guilty of a Class 5 felony.

592 **STATEMENT OF ECONOMIC INTERESTS.**

593 Name \_\_\_\_\_

594 Office or position held or sought \_\_\_\_\_

595 Address \_\_\_\_\_

596 Names of members of immediate family \_\_\_\_\_

597 DEFINITIONS AND EXPLANATORY MATERIAL.

598 "Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise,  
599 association, trust or foundation, or any other individual or entity carrying on a business or profession,  
600 whether or not for profit.

601 "Close financial association" means an association in which the person filing shares significant  
602 financial involvement with an individual and the filer would reasonably be expected to be aware of the  
603 individual's business activities and would have access to the necessary records either directly or through  
604 the individual. "Close financial association" does not mean an association based on (i) the receipt of  
605 retirement benefits or deferred compensation from a business by which the person filing this statement is  
606 no longer employed, or (ii) the receipt of compensation for work performed by the person filing as an  
607 independent contractor of a business that represents an entity before any state governmental agency  
608 when the person filing has had no communications with the state governmental agency.

609 "Contingent liability" means a liability that is not presently fixed or determined, but may become  
610 fixed or determined in the future with the occurrence of some certain event.

611 "Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item  
612 having monetary value. It includes services as well as gifts of transportation, lodgings and meals,  
613 whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the  
614 expense has been incurred. "Gift" does not include (i) any offer of a ticket, coupon, or other admission  
615 or pass unless the ticket, coupon, admission, or pass is used; (ii) honorary degrees; (iii) any athletic,  
616 merit, or need-based scholarship or any other financial aid awarded by a public or private school,  
617 institution of higher education, or other educational program pursuant to such school, institution, or  
618 program's financial aid standards and procedures applicable to the general public; (iv) a campaign  
619 contribution properly received and reported pursuant to Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2;  
620 (v) any gift related to the private profession or occupation of an officer or employee or of a member of  
621 his immediate family; (vi) food or beverages consumed while attending an event at which the filer is  
622 performing official duties related to his public service; (vii) food and beverages received at or  
623 registration or attendance fees waived for any event at which the filer is a featured speaker, presenter, or  
624 lecturer; (viii) unsolicited awards of appreciation or recognition in the form of a plaque, trophy, wall  
625 memento, or similar item that is given in recognition of public, civic, charitable, or professional service;  
626 (ix) a devise or inheritance; (x) travel disclosed pursuant to the Campaign Finance Disclosure Act  
627 (§ 24.2-945 et seq.); (xi) travel paid for or provided by the government of the United States, any of its  
628 territories, or any state or any political subdivision of such state; (xii) travel provided to facilitate  
629 attendance by a legislator at a regular or special session of the General Assembly, a meeting of a  
630 legislative committee or commission, or a national conference where attendance is approved by the  
631 House or Senate Committee on Rules; (xiii) travel related to an official meeting of the Commonwealth,  
632 its political subdivisions, or any board, commission, authority, or other entity, or any charitable  
633 organization established pursuant to § 501(c)(3) of the Internal Revenue Code affiliated with such entity,  
634 to which such person has been appointed or elected or is a member by virtue of his office or  
635 employment; or (xiv) gifts from relatives or personal friends. "Relative" means the donee's spouse, child,  
636 uncle, aunt, niece, nephew, or first cousin; a person to whom the donee is engaged to be married; the  
637 donee's or his spouse's parent, grandparent, grandchild, brother, sister, step-parent, step-grandparent,  
638 step-grandchild, step-brother, or step-sister; or the donee's brother's or sister's spouse. "Personal friend"  
639 does not include any person that the filer knows or has reason to know is (a) a lobbyist registered  
640 pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4 of Title 2.2; (b) a lobbyist's principal as defined in  
641 § 2.2-419; (c) for an officer or employee of a local governmental or advisory agency, a person,  
642 organization, or business who is a party to or is seeking to become a party to a contract with the local  
643 agency of which he is an officer or an employee; or (d) for an officer or employee of a state  
644 governmental or advisory agency, a person, organization, or business who is a party to or is seeking to  
645 become a party to a contract with the Commonwealth. "Person, organization, or business" includes  
646 individuals who are officers, directors, or owners of or who have a controlling ownership interest in  
647 such organization or business.

648 "Immediate family" means (i) a spouse and (ii) any other person who resides in the same household  
649 as the officer or employee and who is a dependent of the officer or employee.

650 TRUST. If you or your immediate family, separately or together, are the only beneficiaries of a trust,  
651 treat the trust's assets as if you own them directly. If you or your immediate family has a proportional  
652 interest in a trust, treat that proportion of the trust's assets as if you own them directly. For example, if  
653 you and your immediate family have a one-third interest in a trust, complete your Statement as if you  
654 own one-third of each of the trust's assets. If you or a member of your immediate family created a trust  
655 and can revoke it without the beneficiaries' consent, treat its assets as if you own them directly.

656 REPORT TO THE BEST OF INFORMATION AND BELIEF. Information required on this  
657 Statement must be provided on the basis of the best knowledge, information, and belief of the individual

658 filing the Statement as of the date of this report unless otherwise stated.

659 COMPLETE ITEMS 1 THROUGH 10. REFER TO SCHEDULES ONLY IF DIRECTED.

660 You may attach additional explanatory information.

661 1. Offices and Directorships.

662 Are you or a member of your immediate family a paid officer or paid director of a business?

663 EITHER check NO // OR check YES // and complete Schedule A.

664 2. Personal Liabilities.

665 Do you or a member of your immediate family owe more than \$5,000 to any one creditor including  
666 contingent liabilities? (Exclude debts to any government and loans secured by recorded liens on property  
667 at least equal in value to the loan.)

668 EITHER check NO // OR check YES // and complete Schedule B.

669 3. Securities.

670 Do you or a member of your immediate family, directly or indirectly, separately or together, own  
671 securities valued in excess of \$5,000 invested in one business? Account for mutual funds, limited  
672 partnerships and trusts.

673 EITHER check NO // OR check YES // and complete Schedule C.

674 4. Payments for Talks, Meetings, and Publications.

675 During the past ~~six~~ 12 months did you receive in your capacity as an officer or employee of your  
676 agency lodging, transportation, money, or anything else of value with a combined value exceeding \$100  
677 (i) for a single talk, meeting, or published work or (ii) for a meeting, conference, or event where your  
678 attendance at the meeting, conference, or event was designed to (a) educate you on issues relevant to  
679 your duties as an officer or employee of your agency or (b) enhance your knowledge and skills relative  
680 to your duties as an officer or employee of your agency?

681 EITHER check NO // OR check YES // and complete Schedule D.

682 5. Gifts.

683 During the past ~~six~~ 12 months did a business, government, or individual other than a relative or  
684 personal friend (i) furnish you or a member of your immediate family with any gift or entertainment at  
685 a single event and the value received exceeded \$50 or (ii) furnish you or a member of your immediate  
686 family with gifts or entertainment in any combination and the total value received exceeded \$50, and for  
687 which you or the member of your immediate family neither paid nor rendered services in exchange?  
688 Account for entertainment events only if the average value per person attending the event exceeded \$50.  
689 Account for all business entertainment (except if related to the private profession or occupation of you  
690 or the member of your immediate family who received such business entertainment) even if unrelated to  
691 your official duties.

692 EITHER check NO // OR check YES // and complete Schedule E.

693 6. Salary and Wages.

694 List each employer that pays you or a member of your immediate family salary or wages in excess  
695 of \$5,000 annually. (Exclude state or local government or advisory agencies.)

696 If no reportable salary or wages, check here //.

697

698

699

700 7. Business Interests.

701 Do you or a member of your immediate family, separately or together, operate your own business, or  
702 own or control an interest in excess of \$5,000 in a business?

703 EITHER check NO // OR check YES // and complete Schedule F.

704 8. Payments for Representation and Other Services.

705 8A. Did you represent, excluding activity defined as lobbying in § 2.2-419, any businesses before any  
706 state governmental agencies, excluding courts or judges, for which you received total compensation  
707 during the past ~~six~~ 12 months in excess of \$1,000, excluding compensation for other services to such  
708 businesses and representation consisting solely of the filing of mandatory papers and subsequent  
709 representation regarding the mandatory papers? (Officers and employees of local governmental and  
710 advisory agencies do NOT need to answer this question or complete Schedule G-1.)

711 EITHER check NO // OR check YES // and complete Schedule G-1.

712 8B. Subject to the same exceptions as in 8A, did persons with whom you have a close financial  
713 association (partners, associates or others) represent, excluding activity defined as lobbying in § 2.2-419,  
714 any businesses before any state governmental agency for which total compensation was received during  
715 the past ~~six~~ 12 months in excess of \$1,000? (Officers and employees of local governmental and advisory  
716 agencies do NOT need to answer this question or complete Schedule G-2.)

717 EITHER check NO // OR check YES // and complete Schedule G-2.

718 8C. Did you or persons with whom you have a close financial association furnish services to  
719 businesses operating in Virginia pursuant to an agreement between you and such businesses, or between

persons with whom you have a close financial association and such businesses for which total compensation in excess of \$1,000 was received during the past ~~six~~ 12 months? Services reported under this provision shall not include services involving the representation of businesses that are reported under item 8A or 8B.

EITHER check NO // OR check YES // and complete Schedule G-3.

9. Real Estate.

9A. State Officers and Employees.

Do you or a member of your immediate family hold an interest, including a partnership interest, valued at more than \$5,000 in real property (other than your principal residence) for which you have not already listed the full address on Schedule F? Account for real estate held in trust.

EITHER check NO // OR check YES // and complete Schedule H-1.

9B. Local Officers and Employees.

Do you or a member of your immediate family hold an interest, including a partnership interest, or option, easement, or land contract, valued at more than \$5,000 in real property (other than your principal residence) for which you have not already listed the full address on Schedule F? Account for real estate held in trust.

EITHER check NO // OR check YES // and complete Schedule H-2.

10. Real Estate Contracts with Governmental Agencies.

Do you or a member of your immediate family hold an interest valued at more than \$5,000 in real estate, including a corporate, partnership, or trust interest, option, easement, or land contract, which real estate is the subject of a contract, whether pending or completed within the past ~~six~~ 12 months, with a governmental agency? If the real estate contract provides for the leasing of the property to a governmental agency, do you or a member of your immediate family hold an interest in the real estate valued at more than \$1,000? Account for all such contracts whether or not your interest is reported in Schedule F, H-1, or H-2. This requirement to disclose an interest in a lease does not apply to an interest derived through an ownership interest in a business unless the ownership interest exceeds three percent of the total equity of the business.

EITHER check NO // OR check YES // and complete Schedule I.

Statements of Economic Interests are open for public inspection.

AFFIRMATION BY ALL FILERS.

I swear or affirm that the foregoing information is full, true and correct to the best of my knowledge.

Signature \_\_\_\_\_

(Return only if needed to complete Statement.)

SCHEDULES to STATEMENT OF ECONOMIC INTERESTS.

NAME \_\_\_\_\_

SCHEDULE A — OFFICES AND DIRECTORSHIPS.

Identify each business of which you or a member of your immediate family is a paid officer or paid director.

Name of Business	Address of Business	Position Held and by Whom
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

RETURN TO ITEM 2

SCHEDULE B — PERSONAL LIABILITIES.

Report personal liability by checking each category. Report only debts in excess of \$5,000. Do not report debts to any government. Do not report loans secured by recorded liens on property at least equal in value to the loan.

Report contingent liabilities below and indicate which debts are contingent.

1. My personal debts are as follows:

Check appropriate categories	Check one \$5,001 to \$50,000	More than \$50,000
Banks	_____	_____
Savings institutions	_____	_____

780 Other loan or finance companies \_\_\_\_\_  
 781 Insurance companies \_\_\_\_\_  
 782 Stock, commodity or other brokerage companies \_\_\_\_\_  
 783 Other businesses: \_\_\_\_\_  
 784 (State principal business activity for each  
 785 creditor and its name.) \_\_\_\_\_  
 786 \_\_\_\_\_  
 787 \_\_\_\_\_  
 788 Individual creditors: \_\_\_\_\_  
 789 (State principal business or occupation of  
 790 each creditor and its name.) \_\_\_\_\_  
 791 \_\_\_\_\_  
 792 \_\_\_\_\_  
 793 \_\_\_\_\_

794 2. The personal debts of the members of my immediate family are as follows:

795 \_\_\_\_\_  
 796 \_\_\_\_\_  
 797 Check Check one  
 798 appropriate \$5,001 to More than  
 799 categories \$50,000 \$50,000  
 800 Banks \_\_\_\_\_  
 801 Savings institutions \_\_\_\_\_  
 802 Other loan or finance companies \_\_\_\_\_  
 803 Insurance companies \_\_\_\_\_  
 804 Stock, commodity or other brokerage companies \_\_\_\_\_  
 805 Other businesses: \_\_\_\_\_  
 806 (State principal business activity for each  
 807 creditor and its name.) \_\_\_\_\_  
 808 \_\_\_\_\_  
 809 \_\_\_\_\_  
 810 Individual creditors: \_\_\_\_\_  
 811 (State principal business or occupation of  
 812 each creditor and its name.) \_\_\_\_\_  
 813 \_\_\_\_\_  
 814 \_\_\_\_\_  
 815 \_\_\_\_\_

816 RETURN TO ITEM 3

817 SCHEDULE C — SECURITIES.

818 "Securities" INCLUDES stocks, bonds, mutual funds, limited partnerships, and commodity futures  
 819 contracts.

820 "Securities" EXCLUDES certificates of deposit, money market funds, annuity contracts, and  
 821 insurance policies.

822 Identify each business or Virginia governmental entity in which you or a member of your immediate  
 823 family, directly or indirectly, separately or together, own securities valued in excess of \$5,000. Name  
 824 each issuer and type of security individually.

825 Do not list U.S. Bonds or other government securities not issued by the Commonwealth of Virginia  
 826 or its authorities, agencies, or local governments. Do not list organizations that do not do business in  
 827 this Commonwealth, but most major businesses conduct business in Virginia. Account for securities held  
 828 in trust.

829 If no reportable securities, check here //.

830 \_\_\_\_\_  
 831 \_\_\_\_\_  
 832 Check one  
 833 Type of Security \$5,001 \$50,001 More  
 834 (stocks, bonds, mutual to to than  
 835 Name of Issuer funds, etc.) \$50,000 \$250,000 \$250,000  
 836 \_\_\_\_\_  
 837 \_\_\_\_\_

RETURN TO ITEM 4

**SCHEDULE D — PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.**

List each source from which you received during the past ~~six~~ 12 months in your capacity as an officer or employee of your agency lodging, transportation, money, or any other thing of value with combined value exceeding \$100 (i) for your presentation of a single talk, participation in one meeting, or publication of a work or (ii) for your attendance at a meeting, conference, or event where your attendance at the meeting, conference, or event was designed to (a) educate you on issues relevant to your duties as an officer or employee of your agency or (b) enhance your knowledge and skills relative to your duties as an officer or employee of your agency. Any lodging, transportation, money, or other thing of value received by an officer or employee that does not satisfy the provisions of clause (i), (ii) (a), or (ii) (b) shall be listed as a gift on Schedule E.

List payments or reimbursements by an advisory or governmental agency only for meetings or travel outside the Commonwealth.

List a payment even if you donated it to charity.

Do not list information about a payment if you returned it within 60 days or if you received it from an employer already listed under Item 6 or from a source of income listed on Schedule F.

If no payment must be listed, check here / /.

Payer	Approximate Value	Circumstances	Type of payment (e.g., honoraria, travel reimburse- ment, etc.)

RETURN TO ITEM 5

**SCHEDULE E — GIFTS.**

List each business, governmental entity, or individual that, during the past ~~six~~ 12 months, (i) furnished you or a member of your immediate family with any gift or entertainment at a single event, and the value received exceeded \$50 or (ii) furnished you or a member of your immediate family with gifts or entertainment in any combination and the total value received exceeded \$50, and for which you or the member of your immediate family neither paid nor rendered services in exchange. List each such gift or event. Do not list entertainment events unless the average value per person attending the event exceeded \$50. Do not list business entertainment related to the private profession or occupation of you or the member of your immediate family who received such business entertainment. Do not list gifts or other things of value given by a relative or personal friend for reasons clearly unrelated to your public position. Do not list campaign contributions publicly reported as required by Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2 of the Code of Virginia.

Name of Recipient	Name of Business, Organization, or Individual	City or County and State	Exact Gift or Event	Approximate Value

RETURN TO ITEM 6

**SCHEDULE F — BUSINESS INTERESTS.**

Complete this Schedule for each self-owned or family-owned business (including rental property, a farm, or consulting work), partnership, or corporation in which you or a member of your immediate family, separately or together, own an interest having a value in excess of \$5,000.

907 If the enterprise is owned or operated under a trade, partnership, or corporate name, list that name;  
 908 otherwise, merely explain the nature of the enterprise. If rental property is owned or operated under a  
 909 trade, partnership, or corporate name, list the name only; otherwise, give the address of each property.  
 900 Account for business interests held in trust.

901						
902						
903	Name of Business,			Gross Income		
904	Corporation,					
905	Partnership,	City or	Nature of Enterprise	\$50,001	More	
906	Farm; Address of	County	(farming, law, rental	\$50,000	to	than
907	Rental Property	and State	property, etc.)	or less	\$250,000	\$250,000
908						
909						
910						
911						
912						

913 RETURN TO ITEM 8

914 SCHEDULE G-1 — PAYMENTS FOR REPRESENTATION BY YOU.

915 List the businesses you represented, excluding activity defined as lobbying in § 2.2-419, before any  
 916 state governmental agency, excluding any court or judge, for which you received total compensation  
 917 during the past six 12 months in excess of \$1,000, excluding compensation for other services to such  
 918 businesses and representation consisting solely of the filing of mandatory papers and subsequent  
 919 representation regarding the mandatory papers filed by you.

920 Identify each business, the nature of the representation and the amount received by dollar category  
 921 from each such business. You may state the type, rather than name, of the business if you are required  
 922 by law not to reveal the name of the business represented by you.

923 Only STATE officers and employees should complete this Schedule.

924									
925									
926				Amount Received					
927				Pur-					
928	Name	Type	of	Name					
929	of	of	Repre-	of	\$1,001	\$10,001	\$50,001	\$100,001	\$250,001
930	Busi-	Busi-	senta-	Agen-	to	to	to	to	and
931	ness	ness	tion	cy	\$10,000	\$50,000	\$100,000	\$250,000	over
932									
933									
934									
935									
936									

937 If you have received \$250,001 or more from a single business within the reporting period, indicate  
 938 the amount received, rounded to the nearest \$10,000.

939 Amount Received: \_\_\_\_\_.

940 SCHEDULE G-2 — PAYMENTS FOR REPRESENTATION BY ASSOCIATES. List the businesses  
 941 that have been represented, excluding activity defined as lobbying in § 2.2-419, before any state  
 942 governmental agency, excluding any court or judge, by persons who are your partners, associates or  
 943 others with whom you have a close financial association and who received total compensation in excess  
 944 of \$1,000 for such representation during the past six 12 months, excluding representation consisting  
 945 solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers  
 946 filed by your partners, associates or others with whom you have a close financial association.

947 Identify such businesses by type and also name the state governmental agencies before which such  
 948 person appeared on behalf of such businesses.

949 Only STATE officers and employees should complete this Schedule.

950		
951		
952	Type of business	Name of state governmental agency
953		
954		
955		



---

**SCHEDULE G-3 — PAYMENTS FOR OTHER SERVICES GENERALLY.**

Indicate below types of businesses that operate in Virginia to which services were furnished by you or persons with whom you have a close financial association pursuant to an agreement between you and such businesses, or between persons with whom you have a close financial association and such businesses and for which total compensation in excess of \$1,000 was received during the past six 12 months. Services reported in this Schedule shall not include services involving the representation of businesses that are reported in Schedule G-1 or G-2.

Identify opposite each category of businesses listed below (i) the type of business, (ii) the type of service rendered and (iii) the value by dollar category of the compensation received for all businesses falling within each category.

	Check	Value of Compensation					
	if Type						
	ser- of						
	vices ser-						
	were vice	\$1,001	\$10,001	\$50,001	\$100,001	\$250,001	
	ren- ren-	to	to	to	to	and	
	dered dered	\$10,000	\$50,000	\$100,000	\$250,000	over	
Electric utilities							
Gas utilities							
Telephone utilities							
Water utilities							
Cable television							
companies							
Interstate							
transportation							
companies							
Intrastate							
transportation							
companies							
Oil or gas retail							
companies							
Banks							
Savings institutions							
Loan or finance							
companies							
Manufacturing							
companies (state							
type of product,							
e.g., textile,							
furniture, etc.)							
Mining companies							
Life insurance							
companies							
Casualty insurance							
companies							
Other insurance							
companies							
Retail companies							
Beer, wine or liquor							
companies or							
distributors							
Trade associations							
Professional							

1013	associations	_____	_____	_____	_____	_____	_____
1014	Associations of						
1015	public employees						
1016	or officials	_____	_____	_____	_____	_____	_____
1017	Counties, cities						
1018	or towns	_____	_____	_____	_____	_____	_____
1019	Labor organizations	_____	_____	_____	_____	_____	_____
1020	Other	_____	_____	_____	_____	_____	_____

1021 \_\_\_\_\_

1022 \_\_\_\_\_ RETURN TO ITEM 9

1023 SCHEDULE H-1 — REAL ESTATE — STATE OFFICERS AND EMPLOYEES.

1024 List real estate other than your principal residence in which you or a member of your immediate  
 1025 family holds an interest, including a partnership interest, option, easement, or land contract, valued at  
 1026 more than \$5,000. Each parcel shall be listed individually.

1027	_____		
1028			
1029		Describe the type of real	
1030	List each location	estate you own in each	If the real estate is
1031	(state, and county	location (business, recre-	owned or recorded in
1032	or city) where you	ational, apartment, com-	a name other than your
1033	own real estate.	mercial, open land, etc.).	own, list that name.
1034	_____	_____	_____
1035	_____	_____	_____
1036	_____	_____	_____
1037	_____	_____	_____
1038	_____	_____	_____

1039 \_\_\_\_\_

1040 SCHEDULE H-2 — REAL ESTATE — LOCAL OFFICERS AND EMPLOYEES.

1041 List real estate other than your principal residence in which you or a member of your immediate  
 1042 family holds an interest, including a partnership interest or option, easement, or land contract, valued at  
 1043 more than \$5,000. Each parcel shall be listed individually. Also list the names of any co-owners of such  
 1044 property, if applicable.

1045	_____			
1046				
1047		Describe the type		
1048		of real estate		
1049		you own in		
1050		each location	If the real estate	
1051	List each location	(business,	is owned or rec-	
1052	(state, and county	recreational,	orded in a name	
1053	or city) where	apartment, com-	other than your	List the names
1054	you own real	mercial, open	own, list that	of any co-owners,
1055	estate.	land, etc.).	name.	if applicable.
1056	_____	_____	_____	_____
1057	_____	_____	_____	_____
1058	_____	_____	_____	_____
1059	_____	_____	_____	_____
1060	_____	_____	_____	_____

1061 \_\_\_\_\_

1062 SCHEDULE I — REAL ESTATE CONTRACTS WITH GOVERNMENTAL AGENCIES.

1063 List all contracts, whether pending or completed within the past ~~six~~ 12 months, with a governmental  
 1064 agency for the sale or exchange of real estate in which you or a member of your immediate family  
 1065 holds an interest, including a corporate, partnership or trust interest, option, easement, or land contract,  
 1066 valued at more than \$10,000. List all contracts with a governmental agency for the lease of real estate in  
 1067 which you or a member of your immediate family holds such an interest valued at more than \$1,000.  
 1068 This requirement to disclose an interest in a lease does not apply to an interest derived through an  
 1069 ownership interest in a business unless the ownership interest exceeds three percent of the total equity of  
 1070 the business.

State officers and employees report contracts with state agencies.  
Local officers and employees report contracts with local agencies.

List your real estate  
interest and the  
person or entity,  
including the type  
of entity, which  
is party to  
the contract.

Describe any  
management role and  
the percentage  
ownership  
interest you or your  
immediate family  
member has in the real  
estate or entity.

List each governmental  
agency which is a  
party to the contract  
and indicate the  
county or city where  
the real estate  
is located.

State the annual  
income from the  
contract, and the  
amount, if any, of  
income you or any  
immediate family  
member derives  
annually from the  
contract.

#### **§ 30-110. Disclosure.**

A. (Effective January 1, 2016, through July 1, 2016) Every legislator and legislator-elect shall file, as a condition to assuming office, a disclosure statement of his personal interests and such other information as is specified on the form set forth in § 30-111 and thereafter shall file such a statement ~~semiannually by December~~ *annually on or before January 15 for the preceding six-month period complete through the last day of October and by June 15 for the preceding six-month period complete through the last day of April.* When the filing deadline falls on a Saturday, Sunday, or legal holiday, the disclosure statement shall be filed on the next day that is not a Saturday, Sunday, or legal holiday. Disclosure forms shall be made available by the Virginia Conflict of Interest and Ethics Advisory Council at least 30 days prior to the filing deadline. Members of the Senate and members of the House of Delegates shall file their disclosure forms with the Virginia Conflict of Interest and Ethics Advisory Council. The disclosure forms of the members of the General Assembly shall be maintained as public records for five years in the office of the Virginia Conflict of Interest and Ethics Advisory Council. Such forms shall be made public no later than six weeks after filing.

A. (Effective July 1, 2016) Every legislator and legislator-elect shall file, as a condition to assuming office, a disclosure statement of his personal interests and such other information as is specified on the form set forth in § 30-111 and thereafter shall file such a statement ~~semiannually by December~~ *annually on or before January 15 for the preceding six-month period complete through the last day of October and by June 15 for the preceding six-month period complete through the last day of April.* When the filing deadline falls on a Saturday, Sunday, or legal holiday, the disclosure statement shall be filed on the next day that is not a Saturday, Sunday, or legal holiday. Disclosure forms shall be made available by the Virginia Conflict of Interest and Ethics Advisory Council at least 30 days prior to the filing deadline. Disclosure forms shall be filed electronically with the Virginia Conflict of Interest and Ethics Advisory Council in accordance with the standards approved by it pursuant to § 30-356. The disclosure forms of the members of the General Assembly shall be maintained as public records for five years in the office of the Virginia Conflict of Interest and Ethics Advisory Council. Such forms shall be made public no later than six weeks after filing.

B. Candidates for the General Assembly shall file a disclosure statement of their personal interests as required by §§ 24.2-500 through 24.2-503.

C. Any legislator who has a personal interest in any transaction pending before the General Assembly and who is disqualified from participating in that transaction pursuant to § 30-108 and the rules of his house shall disclose his interest in accordance with the applicable rule of his house.

#### **§ 30-110.1. Report of gifts.**

*Every legislator shall file, on or before May 1, a report of gifts accepted or received by him or a*

1130 *member of his immediate family during the period beginning on the first day of the regular session of*  
 1131 *the General Assembly complete through adjournment sine die of that session. The gift report shall be on*  
 1132 *a form prescribed by the Council and shall be filed electronically with the Council in accordance with*  
 1133 *the standards approved by it pursuant to § 30-356. For purposes of this section, "adjournment sine die"*  
 1134 *means adjournment on the last legislative day of the regular session and does not include the ensuing*  
 1135 *reconvened session.*

1136 **§ 30-111. Disclosure form.**

1137 A. (Effective from January 1, 2016, until July 1, 2016) The disclosure form to be used for filings  
 1138 required by subsections A and B of § 30-110 shall be substantially similar to the following.

1139 A. (Effective July 1, 2016) The disclosure form to be used for filings required by subsections A and  
 1140 B of § 30-110 shall be substantially similar to the following. All completed forms shall be filed  
 1141 electronically with the Council in accordance with the standards approved by it pursuant to § 30-356.

1142 **STATEMENT OF ECONOMIC INTERESTS.**

1143 Name \_\_\_\_\_

1144 Office or position held or sought \_\_\_\_\_

1145 Address \_\_\_\_\_

1146 Names of members of immediate family \_\_\_\_\_

1147 **DEFINITIONS AND EXPLANATORY MATERIAL.**

1148 "Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise,  
 1149 association, trust or foundation, or any other individual or entity carrying on a business or profession,  
 1150 whether or not for profit.

1151 "Close financial association" means an association in which the filer shares significant financial  
 1152 involvement with an individual and the filer would reasonably be expected to be aware of the  
 1153 individual's business activities and would have access to the necessary records either directly or through  
 1154 the individual. "Close financial association" does not mean an association based on (i) the receipt of  
 1155 retirement benefits or deferred compensation from a business by which the legislator is no longer  
 1156 employed, or (ii) the receipt of compensation for work performed by the legislator as an independent  
 1157 contractor of a business that represents an entity before any state governmental agency when the  
 1158 legislator has had no communications with the state governmental agency.

1159 "Contingent liability" means a liability that is not presently fixed or determined, but may become  
 1160 fixed or determined in the future with the occurrence of some certain event.

1161 "Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item  
 1162 having monetary value. It includes services as well as gifts of transportation, lodgings and meals,  
 1163 whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the  
 1164 expense has been incurred. "Gift" does not include (i) any offer of a ticket, coupon, or other admission  
 1165 or pass unless the ticket, coupon, admission, or pass is used; (ii) honorary degrees; (iii) any athletic,  
 1166 merit, or need-based scholarship or any other financial aid awarded by a public or private school,  
 1167 institution of higher education, or other educational program pursuant to such school, institution, or  
 1168 program's financial aid standards and procedures applicable to the general public; (iv) a campaign  
 1169 contribution properly received and reported pursuant to Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2;  
 1170 (v) any gift related to the private profession or occupation of a legislator or of a member of his  
 1171 immediate family; (vi) food or beverages consumed while attending an event at which the filer is  
 1172 performing official duties related to his public service; (vii) food and beverages received at or  
 1173 registration or attendance fees waived for any event at which the filer is a featured speaker, presenter, or  
 1174 lecturer; (viii) unsolicited awards of appreciation or recognition in the form of a plaque, trophy, wall  
 1175 memento, or similar item that is given in recognition of public, civic, charitable, or professional service;  
 1176 (ix) a devise or inheritance; (x) travel disclosed pursuant to the Campaign Finance Disclosure Act  
 1177 (§ 24.2-945 et seq.); (xi) travel paid for or provided by the government of the United States, any of its  
 1178 territories, or any state or any political subdivision of such state; (xii) travel provided to facilitate  
 1179 attendance by a legislator at a regular or special session of the General Assembly, a meeting of a  
 1180 legislative committee or commission, or a national conference where attendance is approved by the  
 1181 House or Senate Committee on Rules; (xiii) travel related to an official meeting of the Commonwealth,  
 1182 its political subdivisions, or any board, commission, authority, or other entity, or any charitable  
 1183 organization established pursuant to § 501(c)(3) of the Internal Revenue Code affiliated with such entity,  
 1184 to which such person has been appointed or elected or is a member by virtue of his office or  
 1185 employment; or (xiv) gifts from relatives or personal friends. "Relative" means the donee's spouse, child,  
 1186 uncle, aunt, niece, nephew, or first cousin; a person to whom the donee is engaged to be married; the  
 1187 donee's or his spouse's parent, grandparent, grandchild, brother, sister, step-parent, step-grandparent,  
 1188 step-grandchild, step-brother, or step-sister; or the donee's brother's or sister's spouse. "Personal friend"  
 1189 does not include any person that the filer knows or has reason to know is (a) a lobbyist registered  
 1190 pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4 of Title 2.2 or (b) a lobbyist's principal as defined  
 1191 in § 2.2-419.

"Immediate family" means (i) a spouse and (ii) any other person who resides in the same household as the legislator and who is a dependent of the legislator.

"Lobbyist relationship" means (i) an engagement, agreement, or representation that relates to legal services, consulting services, or public relations services, whether gratuitous or for compensation, between a member or member-elect and any person who is, or has been within the prior calendar year, registered as a lobbyist with the Secretary of the Commonwealth or (ii) a greater than three percent ownership interest by a member or member-elect in a business that employs, or engages as an independent contractor, any person who is, or has been within the prior calendar year, registered as a lobbyist with the Secretary of the Commonwealth. The disclosure of a lobbyist relationship shall not (a) constitute a waiver of any attorney-client or other privilege, (b) require a waiver of any attorney-client or other privilege for a third party, or (c) be required where a member or member-elect is employed or engaged by a person and such person also employs or engages a person in a lobbyist relationship so long as the member or member-elect has no financial interest in the lobbyist relationship.

TRUST. If you or your immediate family, separately or together, are the only beneficiaries of a trust, treat the trust's assets as if you own them directly. If you or your immediate family has a proportional interest in a trust, treat that proportion of the trust's assets as if you own them directly. For example, if you and your immediate family have a one-third interest in a trust, complete your Statement as if you own one-third of each of the trust's assets. If you or a member of your immediate family created a trust and can revoke it without the beneficiaries' consent, treat its assets as if you own them directly.

REPORT TO THE BEST OF INFORMATION AND BELIEF. Information required on this Statement must be provided on the basis of the best knowledge, information, and belief of the individual filing the Statement as of the date of this report unless otherwise stated.

COMPLETE ITEMS 1 THROUGH 11. REFER TO SCHEDULES ONLY IF DIRECTED.

You may attach additional explanatory information.

1. Offices and Directorships.

Are you or a member of your immediate family a paid officer or paid director of a business?

EITHER check NO // OR check YES // and complete Schedule A.

2. Personal Liabilities.

Do you or a member of your immediate family owe more than \$5,000 to any one creditor including contingent liabilities? (Exclude debts to any government and loans secured by recorded liens on property at least equal in value to the loan.)

EITHER check NO // OR check YES // and complete Schedule B.

3. Securities.

Do you or a member of your immediate family, directly or indirectly, separately or together, own securities valued in excess of \$5,000 invested in one business? Account for mutual funds, limited partnerships and trusts.

EITHER check NO // OR check YES // and complete Schedule C.

4. Payments for Talks, Meetings, and Publications.

During the past ~~six~~ 12 months did you receive in your capacity as a legislator lodging, transportation, money, or anything else of value with a combined value exceeding \$100 (i) for a single talk, meeting, or published work or (ii) for a meeting, conference, or event where your attendance at the meeting, conference, or event was designed to (a) educate you on issues relevant to your duties as a legislator, including issues faced by your constituents, or (b) enhance your knowledge and skills relative to your duties as a legislator? Do not include payments and reimbursements from the Commonwealth for meetings attended in your capacity as a legislator; see Question 11 and Schedule D2 to report such meetings.

EITHER check NO // OR check YES // and complete Schedule D.

5. Gifts.

During the past ~~six~~ 12 months did a business, government, or individual other than a relative or personal friend (i) furnish you or a member of your immediate family with any gift or entertainment at a single event, and the value received exceeded \$50 or (ii) furnish you or a member of your immediate family with gifts or entertainment in any combination and the total value received exceeded \$50, and for which you or the member of your immediate family neither paid nor rendered services in exchange? Account for entertainment events only if the average value per person attending the event exceeded \$50. Account for all business entertainment (except if related to the private profession or occupation of you or the member of your immediate family who received such business entertainment) even if unrelated to your official duties.

EITHER check NO // OR check YES // and complete Schedule E.

6. Salary and Wages.

List each employer that pays you or a member of your immediate family salary or wages in excess of \$5,000 annually. (Exclude any salary received as a member of the General Assembly pursuant to

1253 § 30-19.11.)

1254 If no reportable salary or wages, check here //.

1255

1256

1257

1258 7. Business Interests and Lobbyist Relationships.

1259 7A. Do you or a member of your immediate family, separately or together, operate your own  
1260 business, or own or control an interest in excess of \$5,000 in a business?

1261 EITHER check NO // OR check YES // and complete Schedule F-1.

1262 7B. Do you have a lobbyist relationship as that term is defined above?

1263 EITHER check NO // OR check YES // and complete Schedule F-2.

1264 8. Payments for Representation and Other Services.

1265 8A. Did you represent any businesses before any state governmental agencies, excluding courts or  
1266 judges, for which you received total compensation during the past ~~six~~ 12 months in excess of \$1,000,  
1267 excluding compensation for other services to such businesses and representation consisting solely of the  
1268 filing of mandatory papers and subsequent representation regarding the mandatory papers?

1269 EITHER check NO // OR check YES // and complete Schedule G-1.

1270 8B. Subject to the same exceptions as in 8A, did persons with whom you have a close financial  
1271 association (partners, associates or others) represent any businesses before any state governmental agency  
1272 for which total compensation was received during the past ~~six~~ 12 months in excess of \$1,000?

1273 EITHER check NO // OR check YES // and complete Schedule G-2.

1274 8C. Did you or persons with whom you have a close financial association furnish services to  
1275 businesses operating in Virginia, pursuant to an agreement between you and such businesses, or between  
1276 persons with whom you have a close financial association and such businesses for which total  
1277 compensation in excess of \$1,000 was received during the past ~~six~~ 12 months? Services reported under  
1278 this provision shall not include services involving the representation of businesses that are reported  
1279 under question 8A or 8B above.

1280 EITHER check NO // OR check YES // and complete Schedule G-3.

1281 9. Real Estate.

1282 Do you or a member of your immediate family hold an interest, including a partnership interest,  
1283 valued at more than \$5,000 in real property (other than your principal residence) for which you have not  
1284 already listed the full address on Schedule F? Account for real estate held in trust.

1285 EITHER check NO // OR check YES // and complete Schedule H.

1286 10. Real Estate Contracts with State Governmental Agencies.

1287 Do you or a member of your immediate family hold an interest valued at more than \$5,000 in real  
1288 estate, including a corporate, partnership, or trust interest, option, easement, or land contract, which real  
1289 estate is the subject of a contract, whether pending or completed within the past ~~six~~ 12 months, with a  
1290 state governmental agency?

1291 If the real estate contract provides for the leasing of the property to a state governmental agency, do  
1292 you or a member of your immediate family hold an interest in the real estate, including a corporate,  
1293 partnership, or trust interest, option, easement, or land contract valued at more than \$1,000? Account for  
1294 all such contracts whether or not your interest is reported in Schedule F or H. This requirement to  
1295 disclose an interest in a lease does not apply to an interest derived through an ownership interest in a  
1296 business unless the ownership interest exceeds three percent of the total equity of the business.

1297 EITHER check NO // OR check YES // and complete Schedule I.

1298 11. Payments by the Commonwealth for Meetings.

1299 During the past ~~six~~ 12 months did you receive lodging, transportation, money, or anything else of  
1300 value with a combined value exceeding \$100 from the Commonwealth for a single meeting attended  
1301 out-of-state in your capacity as a legislator? Do not include reimbursements from the Commonwealth for  
1302 meetings attended in the Commonwealth.

1303 EITHER check NO // OR check YES // and complete Schedule D-2.

1304 For Statements filed in ~~June 2016~~ and each two years thereafter an even-numbered year, complete  
1305 the following statement indicating whether you completed the ethics orientation sessions provided  
1306 pursuant to law:

1307 I certify that I completed ethics training as required by § 30-129.1. YES // or NO //.

1308 Statements of Economic Interests are open for public inspection.

1309 AFFIRMATION.

1310 In accordance with the rules of the house in which I serve, if I receive a request that this disclosure  
1311 statement be corrected, augmented, or revised in any respect, I hereby pledge that I shall respond  
1312 promptly to the request. I understand that if a determination is made that the statement is insufficient, I  
1313 will satisfy such request or be subjected to disciplinary action of my house.

1314 I swear or affirm that the foregoing information is full, true and correct to the best of my knowledge.

Signature \_\_\_\_\_

(Return only if needed to complete Statement.)

# SCHEDULES to STATEMENT OF ECONOMIC INTERESTS.

NAME \_\_\_\_\_

## SCHEDULE A — OFFICES AND DIRECTORSHIPS.

Identify each business of which you or a member of your immediate family is a paid officer or paid director.

Name of Business	Address of Business	Position Held and by Whom
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

RETURN TO ITEM 2

## SCHEDULE B — PERSONAL LIABILITIES.

Report personal liability by checking each category. Report only debts in excess of \$5,000. Do not report debts to any government. Do not report loans secured by recorded liens on property at least equal in value to the loan.

Report contingent liabilities below and indicate which debts are contingent.

1. My personal debts are as follows:

Check appropriate categories	Check one \$5,001 to \$50,000	Check one More than \$50,000
Banks	_____	_____
Savings institutions	_____	_____
Other loan or finance companies	_____	_____
Insurance companies	_____	_____
Stock, commodity or other brokerage companies	_____	_____
Other businesses: (State principal business activity for each creditor and its name.)	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
Individual creditors: (State principal business or occupation of each creditor and its name.)	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

2. The personal debts of the members of my immediate family are as follows:

Check appropriate categories	Check one \$5,001 to \$50,000	Check one More than \$50,000
Banks	_____	_____
Savings institutions	_____	_____
Other loan or finance companies	_____	_____
Insurance companies	_____	_____
Stock, commodity or other brokerage	_____	_____

1372 companies \_\_\_\_\_  
 1373 Other businesses: \_\_\_\_\_  
 1374 (State principal business activity for each  
 1375 creditor and its name.) \_\_\_\_\_  
 1376 \_\_\_\_\_  
 1377 \_\_\_\_\_  
 1378 \_\_\_\_\_  
 1379 Individual creditors:  
 1380 (State principal business or occupation of  
 1381 each creditor and its name.) \_\_\_\_\_  
 1382 \_\_\_\_\_  
 1383 \_\_\_\_\_  
 1384 \_\_\_\_\_  
 1385 \_\_\_\_\_

RETURN TO ITEM 3

## 1386 SCHEDULE C — SECURITIES.

1387 "Securities" INCLUDES stocks, bonds, mutual funds, limited partnerships, and commodity futures  
 1388 contracts.

1389 "Securities" EXCLUDES certificates of deposit, money market funds, annuity contracts, and  
 1390 insurance policies.

1391 Identify each business or Virginia governmental entity in which you or a member of your immediate  
 1392 family, directly or indirectly, separately or together, own securities valued in excess of \$5,000. Name  
 1393 each issuer and type of security individually.

1394 Do not list U.S. Bonds or other government securities not issued by the Commonwealth of Virginia  
 1395 or its authorities, agencies, or local governments. Do not list organizations that do not do business in  
 1396 this Commonwealth, but most major businesses conduct business in Virginia. Account for securities held  
 1397 in trust.

1398 If no reportable securities, check here / /.

1399 \_\_\_\_\_  
 1400 \_\_\_\_\_  
 1401 \_\_\_\_\_  
 1402 \_\_\_\_\_  
 1403 \_\_\_\_\_  
 1404 \_\_\_\_\_  
 1405 \_\_\_\_\_  
 1406 \_\_\_\_\_  
 1407 \_\_\_\_\_  
 1408 \_\_\_\_\_  
 1409 \_\_\_\_\_  
 1410 \_\_\_\_\_

RETURN TO ITEM 4

## 1411 SCHEDULE D-1 — PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.

1412 List each source from which you received during the past ~~six~~ 12 months in your capacity as a  
 1413 legislator lodging, transportation, money, or any other thing of value with a combined value exceeding  
 1414 \$100 (i) for your presentation of a single talk, participation in one meeting, or publication of a work or  
 1415 (ii) for your attendance at a meeting, conference, or event where your attendance at the meeting,  
 1416 conference, or event was designed to (a) educate you on issues relevant to your duties as a legislator,  
 1417 including issues faced by your constituents, or (b) enhance your knowledge and skills relative to your  
 1418 duties as a legislator. Any lodging, transportation, money, or other thing of value received by a  
 1419 legislator that does not satisfy the criteria of clause (i), (ii)(a), or (ii)(b) shall be listed as a gift on  
 1420 Schedule E. Do not list payments or reimbursements by the Commonwealth. (See Schedule D-2 for such  
 1421 payments or reimbursements.) List a payment even if you donated it to charity. Do not list information  
 1422 about a payment if you returned it within 60 days or if you received it from an employer already listed  
 1423 under Item 6 or from a source of income listed on Schedule F.

1424 If no payment must be listed, check here / /.

1425 \_\_\_\_\_  
 1426 \_\_\_\_\_  
 1427 \_\_\_\_\_  
 1428 \_\_\_\_\_  
 1429 \_\_\_\_\_  
 1430 \_\_\_\_\_

Type of Payment  
 (e.g., Honoraria,  
 Travel reimburse-



Payer	Approximate Value	Circumstances	ment, etc.)

RETURN TO ITEM 5

**SCHEDULE D-2 — PAYMENTS BY THE COMMONWEALTH FOR MEETINGS.**

List each meeting for which the Commonwealth provided payments or reimbursements during the past ~~six~~ 12 months to you for lodging, transportation, money, or any other thing of value with a combined value exceeding \$100 for your participation in your capacity as a legislator. Do not list payments or reimbursements by the Commonwealth for meetings or travel within the Commonwealth.

If no payment must be listed, check here / /.

Payer	Approximate Value	Circumstances	Type of Payment (e.g., Travel reimbursement, etc.)

**SCHEDULE E — GIFTS.**

List each business, governmental entity, or individual that, during the past ~~six~~ 12 months, (i) furnished you or a member of your immediate family with any gift or entertainment at a single event, and the value received exceeded \$50 or (ii) furnished you or a member of your immediate family with gifts or entertainment in any combination and the total value received exceeded \$50, and for which you or the member of your immediate family neither paid nor rendered services in exchange. List each such gift or event.

Do not list entertainment events unless the average value per person attending the event exceeded \$50. Do not list business entertainment related to the private profession or occupation of you or the member of your immediate family who received such business entertainment. Do not list gifts or other things of value given by a relative or personal friend for reasons clearly unrelated to your public position. Do not list campaign contributions publicly reported as required by Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2 of the Code of Virginia.

Name of Recipient	Name of Business, Organization, or Individual	City or County and State	Exact Gift or Event	Approximate Value

RETURN TO ITEM 6

**SCHEDULE F-1 — BUSINESS INTERESTS.**

Complete this Schedule for each self-owned or family-owned business (including rental property, a farm, or consulting work), partnership, or corporation in which you or a member of your immediate family, separately or together, own an interest having a value in excess of \$5,000.

If the enterprise is owned or operated under a trade, partnership, or corporate name, list that name; otherwise, merely explain the nature of the enterprise. If rental property is owned or operated under a trade, partnership, or corporate name, list the name only; otherwise, give the address of each property. Account for business interests held in trust.



If you have received \$250,001 or more from a single business within the reporting period, indicate the amount received, rounded to the nearest \$10,000. Amount Received \_\_\_\_\_.

#### SCHEDULE G-2 — PAYMENTS FOR REPRESENTATION BY ASSOCIATES.

List the businesses that have been represented before any state governmental agency, excluding any court or judge, by persons who are your partners, associates or others with whom you have a close financial association and who received total compensation in excess of \$1,000 for such representation during the past ~~six~~ 12 months, excluding representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers filed by your partners, associates or others with whom you have a close financial association.

Identify such businesses by type and also name the state governmental agencies before which such person appeared on behalf of such businesses.

Type of Business	Name of State Governmental Agency
_____	_____
_____	_____
_____	_____
_____	_____

#### SCHEDULE G-3 — PAYMENTS FOR OTHER SERVICES GENERALLY.

Indicate below types of businesses that operate in Virginia to which services were furnished by you or persons with whom you have a close financial association pursuant to an agreement between you and such businesses, or between persons with whom you have a close financial association and such businesses and for which total compensation in excess of \$1,000 was received during the past ~~six~~ 12 months. Services reported in this Schedule shall not include services involving the representation of businesses that are reported in Schedule G-1 or G-2 above.

Identify opposite each category of businesses listed below (i) the type of business, (ii) the type of service rendered and (iii) the value by dollar category of the compensation received for all businesses falling within each category.

	Check if Type ser- of vices ser- were vice ren- ren- dered dered	\$1,001 to \$10,000	\$10,001 to \$50,000	\$50,001 to \$100,000	\$100,001 to \$250,000	\$250,001 and over
Electric utilities	_____	_____	_____	_____	_____	_____
Gas utilities	_____	_____	_____	_____	_____	_____
Telephone utilities	_____	_____	_____	_____	_____	_____
Water utilities	_____	_____	_____	_____	_____	_____
Cable television	_____	_____	_____	_____	_____	_____
companies	_____	_____	_____	_____	_____	_____
Interstate	_____	_____	_____	_____	_____	_____
transportation	_____	_____	_____	_____	_____	_____
companies	_____	_____	_____	_____	_____	_____
Intrastate	_____	_____	_____	_____	_____	_____
transportation	_____	_____	_____	_____	_____	_____
companies	_____	_____	_____	_____	_____	_____
Oil or gas retail	_____	_____	_____	_____	_____	_____
companies	_____	_____	_____	_____	_____	_____
Banks	_____	_____	_____	_____	_____	_____
Savings	_____	_____	_____	_____	_____	_____
institutions	_____	_____	_____	_____	_____	_____

1605	Loan or finance						
1606	companies						
1607	Manufacturing						
1608	companies (state						
1609	type of product,						
1610	e.g., textile,						
1611	furniture, etc.)						
1612	Mining companies						
1613	Life insurance						
1614	companies						
1615	Casualty insurance						
1616	companies						
1617	Other insurance						
1618	companies						
1619	Retail companies						
1620	Beer, wine or						
1621	liquor companies						
1622	or distributors						
1623	Trade associations						
1624	Professional						
1625	associations						
1626	Associations of						
1627	public employees						
1628	or officials						
1629	Counties, cities						
1630	or towns						
1631	Labor organizations						
1632	Other						
1633							

RETURN TO ITEM 9

## SCHEDULE H — REAL ESTATE.

List real estate other than your principal residence in which you or a member of your immediate family holds an interest, including a partnership interest, option, easement, or land contract, valued at \$5,000 or more. Each parcel shall be listed individually.

1639			
1640			
1641		Describe the type of real	
1642		estate you own in each	
1643	List the location	location (business,	If the real estate is
1644	(state, and county	recreational, apartment,	owned or recorded in
1645	or city where you	commercial, open land,	a name other than your
1646	own real estate	etc.)	own, list that name
1647			
1648			
1649			
1650			
1651			
1652			

RETURN TO ITEM 10

## SCHEDULE I — REAL ESTATE CONTRACTS WITH STATE GOVERNMENTAL AGENCIES.

List all contracts, whether pending or completed within the past ~~six~~ 12 months, with a state governmental agency for the sale or exchange of real estate in which you or a member of your immediate family holds an interest, including a corporate, partnership or trust interest, option, easement, or land contract, valued at more than \$10,000. List all contracts with a state governmental agency for the lease of real estate in which you or a member of your immediate family holds such an interest valued at more than \$1,000. This requirement to disclose an interest in a lease does not apply to an interest derived through an ownership interest in a business unless the ownership interest exceeds three percent of the total equity of the business.

List your real  
estate interest and  
the person or entity,  
including the type of  
entity, which is  
party to the contract.

Describe any  
management role and  
the percentage  
ownership interest  
you or your immediate  
family member has in  
the real estate  
or entity.

List each  
governmental agency  
which is a party to  
the contract and  
indicate the county  
or city where the  
real estate is located.

State the annual  
income from the  
contract, and the  
amount, if any, of  
income you or any  
immediate family  
member derives  
annually from  
the contract.

B. Any legislator who knowingly and intentionally makes a false statement of a material fact on the Statement of Economic Interests is guilty of a Class 5 felony and shall be subject to disciplinary action for such violations by the house in which the legislator sits.

C. The Statement of Economic Interests of all members of each house shall be reviewed by the Council. If a legislator's Statement is found to be inadequate as filed, the legislator shall be notified in writing and directed to file an amended Statement correcting the indicated deficiencies, and a time shall be set within which such amendment shall be filed. If the Statement of Economic Interests, in either its original or amended form, is found to be adequate as filed, the legislator's filing shall be deemed in full compliance with this section as to the information disclosed thereon.

D. Ten percent of the membership of a house, on the basis of newly discovered facts, may in writing request the house in which those members sit, in accordance with the rules of that house, to review the Statement of Economic Interests of another member of that house in order to determine the adequacy of his filing. In accordance with the rules of each house, each Statement of Economic Interests shall be promptly reviewed, the adequacy of the filing determined, and notice given in writing to the legislator whose Statement is in issue. Should it be determined that the Statement requires correction, augmentation or revision, the legislator involved shall be directed to make the changes required within such time as shall be set under the rules of each house.

If a legislator, after having been notified in writing in accordance with the rules of the house in which he sits that his Statement is inadequate as filed, fails to amend his Statement so as to come into compliance within the time limit set, he shall be subject to disciplinary action by the house in which he sits. No legislator shall vote on any question relating to his own Statement.