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## SENATE BILL NO. 696

Offered January 14, 2015

Prefiled November 25, 2014

A BILL to amend and reenact §§ 2.2-3103.1, 2.2-3117, 2.2-3120, 2.2-3124, 30-103.1, 30-111, 30-123, 30-126, and 30-356 of the Code of Virginia, relating to State and Local Government Conflict of Interests Act and the General Assembly Conflicts of Interests Act; certain gifts prohibited; penalties.

Patrons—Petersen and Stuart; Delegate: Kory

Referred to Committee on Rules

**Be it enacted by the General Assembly of Virginia:**

1. That §§ 2.2-3103.1, 2.2-3117, 2.2-3120, 2.2-3124, 30-103.1, 30-111, 30-123, 30-126, and 30-356 of the Code of Virginia are amended and reenacted as follows:

**§ 2.2-3103.1. Certain gifts prohibited; penalties.**

A. For purposes of this section:

"Intangible gift" means a thing those services, transportation, lodgings, meals, and other things of temporary value or a thing that upon the happening of a certain event or expiration of a given date loses its value that may be enjoyed but cannot be resold. "Intangible gift" includes entertainment, hospitality, a ticket, admission, or pass, transportation, lodgings, and meals that are reportable on Schedule E of the disclosure form prescribed in § 2.2-3117.

"Person, organization, or business" includes individuals who are officers, directors, or owners of or who have a controlling ownership interest in such organization or business.

"Tangible gift" means a thing those things of value that does not lose its value upon the happening of a certain event or expiration of a given date can be sold at retail. "Tangible gift" includes currency, negotiable instruments, securities, stock options, or other financial instruments that are reportable on Schedule E of the disclosure form prescribed in § 2.2-3117. "Tangible gift" does not include payments or reimbursements received for any intangible gift.

B. An officer or employee of a local governmental or advisory agency or candidate required to file the disclosure form prescribed in § 2.2-3117 (i) shall not solicit, accept, or receive within any calendar year any single tangible or intangible gift with a value in excess of \$250 or a combination of tangible gifts with an aggregate value in excess of \$250 exceeding \$100 from any person that he knows or has reason to know is (a) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4; (b) a lobbyist's principal as defined in § 2.2-419; or (c) a person, organization, or business who is a party to or is seeking to become a party to a contract with the local agency of which he is an officer or an employee; (ii) shall report any tangible gift with a value of \$250 or less or any intangible gift received from any person listed in clause (i) on Schedule E of such disclosure form; and (iii) shall report any payments for talks, meetings, and publications on Schedule D of such disclosure form, organization, or business. For purposes of this section and the disclosure requirements prescribed by § 2.2-3117, any single tangible or intangible gift with a value exceeding \$100 given to a member of the immediate family of such officer, employee, or candidate shall be considered a gift to the officer, employee, or candidate if (i) such gift was given with the knowledge and acquiescence of the officer, employee, or candidate, and (ii) the officer, employee, or candidate knows or has reason to know that such gift was given to the member of his immediate family because of his official position. No officer, employee, or candidate or member of the immediate family of such officer, employee, or candidate shall solicit, accept, or receive any tangible or intangible gift of any value when he knows or has reason to know that such gift was offered or given with the intent to induce any conduct or action by the officer, employee, or candidate related to the performance of his official duties.

C. An officer or employee of a state governmental or advisory agency or candidate required to file the disclosure form prescribed in § 2.2-3117 (i) shall not solicit, accept, or receive within any calendar year any single tangible or intangible gift with a value in excess of \$250 or a combination of tangible gifts with an aggregate value in excess of \$250 exceeding \$100 from any person that he knows or has reason to know is (a) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4; (b) a lobbyist's principal as defined in § 2.2-419; or (c) a person, organization, or business who is a party to or is seeking to become a party to a contract with the Commonwealth; (ii) shall report any tangible gift with a value of \$250 or less or any intangible gift received from any person listed in clause (i) on Schedule E of such disclosure form; and (iii) shall report any payments for talks, meetings, and publications on Schedule D of such disclosure form, organization, or business. For purposes of this section and the disclosure requirements prescribed by § 2.2-3117, such any single tangible or intangible

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59 gift with a value exceeding \$100 given to a member of the immediate family of such officer, employee,  
 60 or candidate shall be considered a gift to the officer, employee, or candidate if (i) such gift was given  
 61 with the knowledge and acquiescence of the officer, employee, or candidate, and (ii) the officer,  
 62 employee, or candidate knows or has reason to know that such gift was given to the member of his  
 63 immediate family because of his official position. No officer, employee, or candidate or member of the  
 64 immediate family of such officer, employee, or candidate shall solicit, accept, or receive any tangible or  
 65 intangible gift of any value when he knows or has reason to know that such gift was offered or given  
 66 with the intent to induce any conduct or action by the officer, employee, or candidate related to the  
 67 performance of his official duties.

68 D. Notwithstanding the provisions of subsections B and C, an officer or employee of a state or local  
 69 governmental or advisory agency or a candidate required to file the disclosure form prescribed in §  
 70 2.2-3117, or a member of the immediate family of such officer, employee, or candidate, may accept an  
 71 intangible gift with a value exceeding \$100 if the officer, employee, or candidate has submitted a written  
 72 request to and has received the written approval of the Council.

73 E. During the pendency of a civil action in any state or federal court to which the Commonwealth is  
 74 a party, the Governor or the Attorney General or any employee of the Governor or the Attorney General  
 75 who is subject to the provisions of this chapter shall not solicit, accept, or receive any tangible gift from  
 76 any person that he knows or has reason to know is a person, organization, or business who is a party to  
 77 such civil action. A person, organization, or business who is a party to such civil action shall not  
 78 knowingly give any tangible gift to the Governor or the Attorney General or any of their employees  
 79 who are subject to the provisions of this chapter.

80 E. The \$250 limitation imposed in accordance with this section shall be adjusted by the Council  
 81 every five years, as of January 1 of that year, in an amount equal to the annual increases for that  
 82 five-year period in the United States Average Consumer Price Index for all items, all urban consumers  
 83 (CPI-U), as published by the Bureau of Labor Statistics of the U.S. Department of Labor, rounded to the  
 84 nearest whole dollar.

85 F. For purposes of this section, "person, organization, or business" includes individuals who are  
 86 officers, directors, or owners of or who have a controlling ownership interest in such organization or  
 87 business.

#### 88 § 2.2-3117. Disclosure form.

89 The disclosure form to be used for filings required by subsections A and D of § 2.2-3114 and  
 90 subsections A and E of § 2.2-3115 shall be substantially as follows:

#### 91 STATEMENT OF ECONOMIC INTERESTS.

92 Name .....  
 93 Office or position held or sought .....  
 94 Address .....  
 95 Names of members of immediate family .....

#### 96 DEFINITIONS AND EXPLANATORY MATERIAL.

97 "Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise,  
 98 association, trust or foundation, or any other individual or entity carrying on a business or profession,  
 99 whether or not for profit.

100 "Close financial association" means an association in which the person filing shares significant  
 101 financial involvement with an individual and the filer would reasonably be expected to be aware of the  
 102 individual's business activities and would have access to the necessary records either directly or through  
 103 the individual. "Close financial association" does not mean an association based on (i) the receipt of  
 104 retirement benefits or deferred compensation from a business by which the person filing this statement is  
 105 no longer employed, or (ii) the receipt of compensation for work performed by the person filing as an  
 106 independent contractor of a business that represents an entity before any state governmental agency  
 107 when the person filing has had no communications with the state governmental agency.

108 "Contingent liability" means a liability that is not presently fixed or determined, but may become  
 109 fixed or determined in the future with the occurrence of some certain event.

110 "Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item  
 111 having monetary value. It includes services as well as gifts of transportation, lodgings and meals,  
 112 whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the  
 113 expense has been incurred. "Gift" does not include (i) any offer of a ticket, coupon, or other admission  
 114 or pass unless the ticket, coupon, admission, or pass is used; (ii) honorary degrees; (iii) any athletic,  
 115 merit, or need-based scholarship or any other financial aid awarded by a public or private school,  
 116 institution of higher education, or other educational program pursuant to such school, institution, or  
 117 program's financial aid standards and procedures applicable to the general public; (iv) a campaign  
 118 contribution properly received and reported pursuant to Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2;  
 119 (v) any gift related to the private profession or occupation of an officer or employee or of a member of

his immediate family; or (vi) gifts from relatives or personal friends. "Relative" means the donee's spouse, child, uncle, aunt, niece, or nephew; a person to whom the donee is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother, or sister; or the donee's brother's or sister's spouse. "Personal friend" does not include any person that the filer knows or has reason to know is (a) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4 of Title 2.2; (b) a lobbyist's principal as defined in § 2.2-419; (c) for an officer or employee of a local governmental or advisory agency, a person, organization, or business who is a party to or is seeking to become a party to a contract with the local agency of which he is an officer or an employee; or (d) for an officer or employee of a state governmental or advisory agency, a person, organization, or business who is a party to or is seeking to become a party to a contract with the Commonwealth. "Person, organization, or business" includes individuals who are officers, directors, or owners of or who have a controlling ownership interest in such organization or business.

"Immediate family" means (i) a spouse and (ii) any child who resides in the same household as the officer or employee and who is a dependent of the officer or employee.

TRUST. If you or your immediate family, separately or together, are the only beneficiaries of a trust, treat the trust's assets as if you own them directly. If you or your immediate family has a proportional interest in a trust, treat that proportion of the trust's assets as if you own them directly. For example, if you and your immediate family have a one-third interest in a trust, complete your Statement as if you own one-third of each of the trust's assets. If you or a member of your immediate family created a trust and can revoke it without the beneficiaries' consent, treat its assets as if you own them directly.

REPORT TO THE BEST OF INFORMATION AND BELIEF. Information required on this Statement must be provided on the basis of the best knowledge, information, and belief of the individual filing the Statement as of the date of this report unless otherwise stated.

COMPLETE ITEMS 1 THROUGH 10. REFER TO SCHEDULES ONLY IF DIRECTED.

You may attach additional explanatory information.

1. Offices and Directorships.

Are you or a member of your immediate family a paid officer or paid director of a business?

EITHER check NO // OR check YES // and complete Schedule A.

2. Personal Liabilities.

Do you or a member of your immediate family owe more than \$5,000 to any one creditor including contingent liabilities? (Exclude debts to any government and loans secured by recorded liens on property at least equal in value to the loan.)

EITHER check NO // OR check YES // and complete Schedule B.

3. Securities.

Do you or a member of your immediate family, directly or indirectly, separately or together, own securities valued in excess of \$5,000 invested in one business? Account for mutual funds, limited partnerships and trusts.

EITHER check NO // OR check YES // and complete Schedule C.

4. Payments for Talks, Meetings, and Publications.

During the past six months did you receive in your capacity as an officer or employee of your agency lodging, transportation, money, or anything else of value with a combined value exceeding \$200 (i) for a single talk, meeting, or published work or (ii) for a meeting, conference, or event where your attendance at the meeting, conference, or event was designed to (a) educate you on issues relevant to your duties as an officer or employee of your agency or (b) enhance your knowledge and skills relative to your duties as an officer or employee of your agency?

EITHER check NO // OR check YES // and complete Schedule D.

5. Gifts.

During the past six months did a business, government, or individual other than a relative or personal friend (i) furnish you or a member of your immediate family with any *single* gift or entertainment at a single event, and the value received exceeded \$50 or (ii) furnish you or a member of your immediate family with gifts or entertainment in any combination and the total value received exceeded \$100, and for which you or the member of your immediate family neither paid nor rendered services in exchange? Account for entertainment events only if the average value per person attending the event exceeded \$50. Account for all business entertainment (except if related to the private profession or occupation of you or the member of your immediate family who received such business entertainment) even if unrelated to your official duties.

EITHER check NO // OR check YES // and complete Schedule E.

6. Salary and Wages.

List each employer that pays you or a member of your immediate family salary or wages in excess of \$5,000 annually. (Exclude state or local government or advisory agencies.)

If no reportable salary or wages, check here //.

181 \_\_\_\_\_  
182 \_\_\_\_\_  
183 \_\_\_\_\_  
184 7. Business Interests.  
185 Do you or a member of your immediate family, separately or together, operate your own business, or  
186 own or control an interest in excess of \$5,000 in a business?  
187 EITHER check NO // OR check YES // and complete Schedule F.  
188 8. Payments for Representation and Other Services.  
189 8A. Did you represent, excluding activity defined as lobbying in § 2.2-419, any businesses before any  
190 state governmental agencies, excluding courts or judges, for which you received total compensation  
191 during the past six months in excess of \$1,000, excluding compensation for other services to such  
192 businesses and representation consisting solely of the filing of mandatory papers and subsequent  
193 representation regarding the mandatory papers? (Officers and employees of local governmental and  
194 advisory agencies do NOT need to answer this question or complete Schedule G-1.)  
195 EITHER check NO // OR check YES // and complete Schedule G-1.  
196 8B. Subject to the same exceptions as in 8A, did persons with whom you have a close financial  
197 association (partners, associates or others) represent, excluding activity defined as lobbying in § 2.2-419,  
198 any businesses before any state governmental agency for which total compensation was received during  
199 the past six months in excess of \$1,000? (Officers and employees of local governmental and advisory  
200 agencies do NOT need to answer this question or complete Schedule G-2.)  
201 EITHER check NO // OR check YES // and complete Schedule G-2.  
202 8C. Did you or persons with whom you have a close financial association furnish services to  
203 businesses operating in Virginia pursuant to an agreement between you and such businesses, or between  
204 persons with whom you have a close financial association and such businesses for which total  
205 compensation in excess of \$1,000 was received during the past six months? Services reported under this  
206 provision shall not include services involving the representation of businesses that are reported under  
207 item 8A or 8B.  
208 EITHER check NO // OR check YES // and complete Schedule G-3.  
209 9. Real Estate.  
210 9A. State Officers and Employees.  
211 Do you or a member of your immediate family hold an interest, including a partnership interest,  
212 valued at more than \$5,000 in real property (other than your principal residence) for which you have not  
213 already listed the full address on Schedule F? Account for real estate held in trust.  
214 EITHER check NO // OR check YES // and complete Schedule H-1.  
215 9B. Local Officers and Employees.  
216 Do you or a member of your immediate family hold an interest, including a partnership interest, or  
217 option, easement, or land contract, valued at more than \$5,000 in real property (other than your principal  
218 residence) for which you have not already listed the full address on Schedule F? Account for real estate  
219 held in trust.  
220 EITHER check NO // OR check YES // and complete Schedule H-2.  
221 10. Real Estate Contracts with Governmental Agencies.  
222 Do you or a member of your immediate family hold an interest valued at more than \$5,000 in real  
223 estate, including a corporate, partnership, or trust interest, option, easement, or land contract, which real  
224 estate is the subject of a contract, whether pending or completed within the past six months, with a  
225 governmental agency? If the real estate contract provides for the leasing of the property to a  
226 governmental agency, do you or a member of your immediate family hold an interest in the real estate  
227 valued at more than \$1,000? Account for all such contracts whether or not your interest is reported in  
228 Schedule F, H-1, or H-2. This requirement to disclose an interest in a lease does not apply to an interest  
229 derived through an ownership interest in a business unless the ownership interest exceeds three percent  
230 of the total equity of the business.  
231 EITHER check NO // OR check YES // and complete Schedule I.  
232 Statements of Economic Interests are open for public inspection.  
233 AFFIRMATION BY ALL FILERS.  
234 I swear or affirm that the foregoing information is full, true and correct to the best of my knowledge.  
235 Signature .....  
236 (Return only if needed to complete Statement.)  
237 SCHEDULES  
238 to  
239 STATEMENT OF ECONOMIC INTERESTS.  
240 NAME .....  
241 SCHEDULE A - OFFICES AND DIRECTORSHIPS.

Identify each business of which you or a member of your immediate family is a paid officer or paid director.

Name of Business	Address of Business	Position Held and by Whom

RETURN TO ITEM 2

### SCHEDULE B - PERSONAL LIABILITIES.

Report personal liability by checking each category. Report only debts in excess of \$5,000. Do not report debts to any government. Do not report loans secured by recorded liens on property at least equal in value to the loan.

Report contingent liabilities below and indicate which debts are contingent.

1. My personal debts are as follows:

Check appropriate categories	Check one \$5,001 to \$50,000	Check one More than \$50,000
Banks		
Savings institutions		
Other loan or finance companies		
Insurance companies		
Stock, commodity or other brokerage companies		
Other businesses:		
(State principal business activity for each creditor and its name.)		
Individual creditors:		
(State principal business or occupation of each creditor and its name.)		

2. The personal debts of the members of my immediate family are as follows:

Check appropriate categories	Check one \$5,001 to \$50,000	Check one More than \$50,000
Banks		
Savings institutions		
Other loan or finance companies		
Insurance companies		
Stock, commodity or other brokerage companies		
Other businesses:		
(State principal business activity for each creditor and its name.)		

299 Individual creditors:  
 300 (State principal business or occupation of  
 301 each creditor and its name.)

302			
303			
304			
305			

306 RETURN TO ITEM 3

307 SCHEDULE C - SECURITIES.

308 "Securities" INCLUDES stocks, bonds, mutual funds, limited partnerships, and commodity futures  
 309 contracts.

310 "Securities" EXCLUDES certificates of deposit, money market funds, annuity contracts, and  
 311 insurance policies.

312 Identify each business or Virginia governmental entity in which you or a member of your immediate  
 313 family, directly or indirectly, separately or together, own securities valued in excess of \$5,000. Name  
 314 each issuer and type of security individually.

315 Do not list U.S. Bonds or other government securities not issued by the Commonwealth of Virginia  
 316 or its authorities, agencies, or local governments. Do not list organizations that do not do business in  
 317 this Commonwealth, but most major businesses conduct business in Virginia. Account for securities held  
 318 in trust.

319 If no reportable securities, check here / /.

320				
321				
322			Check one	
323		Type of Security	\$5,001	\$50,001
324		(stocks, bonds, mutual	to	to
325	Name of Issuer	funds, etc.)	\$50,000	\$250,000
326				More
327				than
328				\$250,000
329				
330				

331 RETURN TO ITEM 4

332 SCHEDULE D - PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.

333 List each source from which you received during the past six months in your capacity as an officer  
 334 or employee of your agency lodging, transportation, money, or any other thing of value with combined  
 335 value exceeding \$200 (i) for your presentation of a single talk, participation in one meeting, or  
 336 publication of a work or (ii) for your attendance at a meeting, conference, or event where your  
 337 attendance at the meeting, conference, or event was designed to (a) educate you on issues relevant to  
 338 your duties as an officer or employee of your agency or (b) enhance your knowledge and skills relative  
 339 to your duties as an officer or employee of your agency. Any lodging, transportation, money, or other  
 340 thing of value received by an officer or employee that does not satisfy the provisions of clause (i), (ii)  
 341 (a), or (ii) (b) shall be listed as a gift on Schedule E.

342 List payments or reimbursements by an advisory or governmental agency only for meetings or travel  
 343 outside the Commonwealth.

344 List a payment even if you donated it to charity.

345 Do not list information about a payment if you returned it within 60 days or if you received it from  
 346 an employer already listed under Item 6 or from a source of income listed on Schedule F.

347 If no payment must be listed, check here / /.

348				
349				
350				Type of payment
351				(e.g. honoraria,
352				travel reimburse-
353	Payer	Approximate Value	Circumstances	ment, etc.)
354				
355				
356				
357				

RETURN TO ITEM 5

## SCHEDULE E - GIFTS.

List each business, governmental entity, or individual that, during the past six months, (i) furnished you or a member of your immediate family with any *single* gift or entertainment at a *single event*, and the value received exceeded \$50 or (ii) furnished you or a member of your immediate family with gifts or entertainment in any combination and the total value received exceeded \$100, and for which you or the member of your immediate family neither paid nor rendered services in exchange. List each such gift or event. Do not list entertainment events unless the average value per person attending the event exceeded \$50. Do not list business entertainment related to the private profession or occupation of you or the member of your immediate family who received such business entertainment. Do not list gifts or other things of value given by a relative or personal friend for reasons clearly unrelated to your public position. Do not list campaign contributions publicly reported as required by Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2 of the Code of Virginia.

Name of Recipient	Name of Business, Organization, or Individual	City or County and State	Exact Gift or Event	Approximate Value

RETURN TO ITEM 6

## SCHEDULE F - BUSINESS INTERESTS.

Complete this Schedule for each self-owned or family-owned business (including rental property, a farm, or consulting work), partnership, or corporation in which you or a member of your immediate family, separately or together, own an interest having a value in excess of \$5,000.

If the enterprise is owned or operated under a trade, partnership, or corporate name, list that name; otherwise, merely explain the nature of the enterprise. If rental property is owned or operated under a trade, partnership, or corporate name, list the name only; otherwise, give the address of each property. Account for business interests held in trust.

Name of Business, Corporation, Partnership, Farm; Address of Rental Property	City or County and State	Nature of Enterprise (farming, law, rental property, etc.)	\$50,001 to \$250,000 or less	\$50,000 to \$250,000	More than \$250,000

RETURN TO ITEM 8

## SCHEDULE G-1 - PAYMENTS FOR REPRESENTATION BY YOU.

List the businesses you represented, excluding activity defined as lobbying in § 2.2-419, before any state governmental agency, excluding any court or judge, for which you received total compensation during the past six months in excess of \$1,000, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers filed by you.

Identify each business, the nature of the representation and the amount received by dollar category from each such business. You may state the type, rather than name, of the business if you are required by law not to reveal the name of the business represented by you.

Only STATE officers and employees should complete this Schedule.

Pur-

Amount Received



475	transportation							
476	companies	_____	_____	_____	_____	_____	_____	_____
477	Intrastate							
478	transportation							
479	companies	_____	_____	_____	_____	_____	_____	_____
480	Oil or gas retail							
481	companies	_____	_____	_____	_____	_____	_____	_____
482	Banks	_____	_____	_____	_____	_____	_____	_____
483	Savings institutions	_____	_____	_____	_____	_____	_____	_____
484	Loan or finance							
485	companies	_____	_____	_____	_____	_____	_____	_____
486	Manufacturing							
487	companies (state							
488	type of product,							
489	e.g., textile,							
490	furniture, etc.)	_____	_____	_____	_____	_____	_____	_____
491	Mining companies	_____	_____	_____	_____	_____	_____	_____
492	Life insurance							
493	companies	_____	_____	_____	_____	_____	_____	_____
494	Casualty insurance							
495	companies	_____	_____	_____	_____	_____	_____	_____
496	Other insurance							
497	companies	_____	_____	_____	_____	_____	_____	_____
498	Retail companies	_____	_____	_____	_____	_____	_____	_____
499	Beer, wine or liquor							
500	companies or							
501	distributors	_____	_____	_____	_____	_____	_____	_____
502	Trade associations	_____	_____	_____	_____	_____	_____	_____
503	Professional							
504	associations	_____	_____	_____	_____	_____	_____	_____
505	Associations of							
506	public employees							
507	or officials	_____	_____	_____	_____	_____	_____	_____
508	Counties, cities							
509	or towns	_____	_____	_____	_____	_____	_____	_____
510	Labor organizations	_____	_____	_____	_____	_____	_____	_____
511	Other	_____	_____	_____	_____	_____	_____	_____
512		_____	_____	_____	_____	_____	_____	_____

RETURN TO ITEM 9

**SCHEDULE H-1 - REAL ESTATE - STATE OFFICERS AND EMPLOYEES.**

List real estate other than your principal residence in which you or a member of your immediate family holds an interest, including a partnership interest, option, easement, or land contract, valued at more than \$5,000. Each parcel shall be listed individually.

518	_____		
519			
520			
521	List each location	Describe the type of real	If the real estate is
522	(state, and county	estate you own in each	owned or recorded in
523	or city) where you	location (business, recre-	a name other than your
524	own real estate.	ational, apartment, com-	own, list that name.
525	_____	_____	_____
526	_____	_____	_____
527	_____	_____	_____
528	_____	_____	_____
529	_____	_____	_____

**SCHEDULE H-2 - REAL ESTATE - LOCAL OFFICERS AND EMPLOYEES.**

532 List real estate other than your principal residence in which you or a member of your immediate  
 533 family holds an interest, including a partnership interest or option, easement, or land contract, valued at  
 534 more than \$5,000. Each parcel shall be listed individually. Also list the names of any co-owners of such  
 535 property, if applicable.

536	<hr/>			
537				
538		Describe the type		
539		of real estate		
540		you own in		
541		each location	If the real estate	
542	List each location (business,		is owned or rec-	
543	(state, and county recreational,		orded in a name	
544	or city) where apartment, com-		other than your	List the names
545	you own real merical, open		own, list that	of any co-owners,
546	estate. land, etc.).		name.	if applicable.
547	<hr/>	<hr/>	<hr/>	<hr/>
548	<hr/>	<hr/>	<hr/>	<hr/>
549	<hr/>	<hr/>	<hr/>	<hr/>
550	<hr/>	<hr/>	<hr/>	<hr/>
551	<hr/>	<hr/>	<hr/>	<hr/>
552	<hr/>			

553 **SCHEDULE I - REAL ESTATE CONTRACTS WITH GOVERNMENTAL AGENCIES.**

554 List all contracts, whether pending or completed within the past six months, with a governmental  
 555 agency for the sale or exchange of real estate in which you or a member of your immediate family  
 556 holds an interest, including a corporate, partnership or trust interest, option, easement, or land contract,  
 557 valued at more than \$10,000. List all contracts with a governmental agency for the lease of real estate in  
 558 which you or a member of your immediate family holds such an interest valued at more than \$1,000.  
 559 This requirement to disclose an interest in a lease does not apply to an interest derived through an  
 560 ownership interest in a business unless the ownership interest exceeds three percent of the total equity of  
 561 the business.

562 State officers and employees report contracts with state agencies.

563 Local officers and employees report contracts with local agencies.

564	<hr/>		
565			
566	List your real estate		
567	interest and the		
568	person or entity,		
569	including the type		
570	of entity, which		
571	is party to		
572	the contract.		State the annual
573	Describe any		income from the
574	management role and	List each governmental	contract, and the
575	the percentage	agency which is a	amount, if any, of
576	ownership	party to the contract	income you or any
577	interest you or your	and indicate the	immediate family
578	immediate family	county or city where	member derives
579	member has in the real	the real estate	annually from the
580	estate or entity.	is located.	contract.
581	<hr/>	<hr/>	<hr/>
582	<hr/>	<hr/>	<hr/>
583	<hr/>	<hr/>	<hr/>
584	<hr/>	<hr/>	<hr/>
585	<hr/>	<hr/>	<hr/>
586	<hr/>		

587 **§ 2.2-3120. Knowing violation of chapter a misdemeanor.**

588 Any person who knowingly violates any of the provisions of Articles 2 through 6 (§§ 2.2-3102  
 589 through 2.2-3119) of this chapter shall be is guilty of a Class 1 misdemeanor, except that any:

1. Any member of a local governing body who knowingly violates subsection A of § 2.2-3112 or subsection D or F of § 2.2-3115 ~~shall be~~ is guilty of a Class 3 misdemeanor; and

2. A knowing violation of § 2.2-3103.1 by an officer or employee of a state or local governmental or advisory agency or a candidate required to file the disclosure form prescribed in § 2.2-3117 is punishable by a civil penalty in an amount equal to \$250. Any such officer, employee, or candidate who knowingly commits a second or subsequent violation within four years of a previous violation is guilty of a Class 1 misdemeanor.

A knowing violation under this section is one in which the person engages in conduct, performs an act or refuses to perform an act when he knows that the conduct is prohibited or required by this chapter.

**§ 2.2-3124. Civil penalty from violation of this chapter.**

In addition to any other fine or penalty provided by law, an officer or employee who knowingly violates any provision of §§ 2.2-3103 or §§ 2.2-3104 through 2.2-3112 shall be subject to a civil penalty in an amount equal to the amount of money or thing of value received as a result of such violation. If the thing of value received by the officer or employee in violation of §§ 2.2-3103 or §§ 2.2-3104 through 2.2-3112 increases in value between the time of the violation and the time of discovery of the violation, the greater value shall determine the amount of the civil penalty. Further, all money or other things of value received as a result of such violation shall be forfeited in accordance with the provisions of § 19.2-386.33.

**§ 30-103.1. Certain gifts prohibited; penalties.**

A. For purposes of this section:

"Intangible gift" means a ~~thing~~ those services, transportation, lodgings, meals, and other things of temporary value or a thing that upon the happening of a certain event or expiration of a given date loses its value that may be enjoyed but cannot be resold. "Intangible gift" includes entertainment, hospitality, a ticket, admission, or pass, transportation, lodgings, and meals that are reportable on Schedule E of the disclosure form prescribed in § 30-111.

"Tangible gift" means a thing those things of value that does not lose its value upon the happening of a certain event or expiration of a given date can be sold at retail. "Tangible gift" includes currency, negotiable instruments, securities, stock options, or other financial instruments that are reportable on Schedule E of the disclosure form prescribed in § 30-111. "Tangible gift" does not include payments or reimbursements received for any intangible gift.

B. A legislator or candidate for the General Assembly required to file the disclosure form prescribed in § 30-111 (i) shall not solicit, accept, or receive within any calendar year any single tangible or intangible gift with a value in excess of \$250 or a combination of tangible gifts with an aggregate value in excess of \$250 exceeding \$100 from any person that he knows or has reason to know is (a) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4 of Title 2.2; (b) a lobbyist's principal as defined in § 2.2-419; or (c) a person, organization, or business who is a party to or is seeking to become a party to a contract with the Commonwealth; (ii) shall report any tangible gift with a value of \$250 or less or any intangible gift received from any person listed in clause (i) on Schedule E of such disclosure form; and (iii) shall report any payments for talks, meetings, and publications on Schedule D-1 of such disclosure form. For purposes of this subsection, "person, organization, or business" includes individuals who are officers, directors, or owners of or who have a controlling ownership interest in such organization or business. For purposes of this section and the disclosure requirements prescribed by § 30-111, any single tangible or intangible gift with a value exceeding \$100 given to a member of the immediate family of such legislator or candidate shall be considered a gift to the legislator or candidate if (i) such gift was given with the knowledge and acquiescence of the legislator or candidate, and (ii) the legislator or candidate knows or has reason to know that such gift was given to the member of his immediate family because of his official position. No legislator or candidate or member of the immediate family of such legislator or candidate shall solicit, accept, or receive any tangible or intangible gift of any value when he knows or has reason to know that such gift was offered or given with the intent to induce any conduct or action by the legislator or candidate related to the performance of his official duties.

C. The \$250 limitation imposed in accordance with this section shall be adjusted by the Council every five years, as of January 1 of that year, in an amount equal to the annual increases for that five-year period in the United States Average Consumer Price Index for all items, all urban consumers (CPI-U), as published by the Bureau of Labor Statistics of the U.S. Department of Labor, rounded to the nearest whole dollar. Notwithstanding the provisions of subsection B, a legislator or candidate for the General Assembly required to file the disclosure form prescribed in § 30-111, or a member of the immediate family of such legislator or candidate, may accept an intangible gift with a value exceeding \$100 if he has submitted a written request to and has received the written approval of the Council.

**§ 30-111. Disclosure form.**

A. The disclosure form to be used for filings required by subsections A and B of § 30-110 shall be substantially as follows:

STATEMENT OF ECONOMIC INTERESTS.

Name .....  
 Office or position held or sought .....  
 Address .....  
 Names of members of immediate family .....

DEFINITIONS AND EXPLANATORY MATERIAL.

"Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise, association, trust or foundation, or any other individual or entity carrying on a business or profession, whether or not for profit.

"Close financial association" means an association in which the filer shares significant financial involvement with an individual and the filer would reasonably be expected to be aware of the individual's business activities and would have access to the necessary records either directly or through the individual. "Close financial association" does not mean an association based on (i) the receipt of retirement benefits or deferred compensation from a business by which the legislator is no longer employed, or (ii) the receipt of compensation for work performed by the legislator as an independent contractor of a business that represents an entity before any state governmental agency when the legislator has had no communications with the state governmental agency.

"Contingent liability" means a liability that is not presently fixed or determined, but may become fixed or determined in the future with the occurrence of some certain event.

"Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item having monetary value. It includes services as well as gifts of transportation, lodgings and meals, whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the expense has been incurred. "Gift" does not include (i) any offer of a ticket, coupon, or other admission or pass unless the ticket, coupon, admission, or pass is used; (ii) honorary degrees; (iii) any athletic, merit, or need-based scholarship or any other financial aid awarded by a public or private school, institution of higher education, or other educational program pursuant to such school, institution, or program's financial aid standards and procedures applicable to the general public; (iv) a campaign contribution properly received and reported pursuant to Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2; (v) any gift related to the private profession or occupation of a legislator or of a member of his immediate family; or (vi) gifts from relatives or personal friends. "Relative" means the donee's spouse, child, uncle, aunt, niece, or nephew; a person to whom the donee is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother, or sister; or the donee's brother's or sister's spouse. "Personal friend" does not include any person that the filer knows or has reason to know is (a) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4 of Title 2.2; (b) a lobbyist's principal as defined in § 2.2-419; or (c) a person, organization, or business who is a party to or is seeking to become a party to a contract with the Commonwealth. "Person, organization, or business" includes individuals who are officers, directors, or owners of or who have a controlling ownership interest in such organization or business.

"Immediate family" means (i) a spouse and (ii) any child who resides in the same household as the legislator and who is a dependent of the legislator.

"Lobbyist relationship" means (i) an engagement, agreement, or representation that relates to legal services, consulting services, or public relations services, whether gratuitous or for compensation, between a member or member-elect and any person who is, or has been within the prior calendar year, registered as a lobbyist with the Secretary of the Commonwealth or (ii) a greater than three percent ownership interest by a member or member-elect in a business that employs, or engages as an independent contractor, any person who is, or has been within the prior calendar year, registered as a lobbyist with the Secretary of the Commonwealth. The disclosure of a lobbyist relationship shall not (a) constitute a waiver of any attorney-client or other privilege, (b) require a waiver of any attorney-client or other privilege for a third party, or (c) be required where a member or member-elect is employed or engaged by a person and such person also employs or engages a person in a lobbyist relationship so long as the member or member-elect has no financial interest in the lobbyist relationship.

TRUST. If you or your immediate family, separately or together, are the only beneficiaries of a trust, treat the trust's assets as if you own them directly. If you or your immediate family has a proportional interest in a trust, treat that proportion of the trust's assets as if you own them directly. For example, if you and your immediate family have a one-third interest in a trust, complete your Statement as if you own one-third of each of the trust's assets. If you or a member of your immediate family created a trust and can revoke it without the beneficiaries' consent, treat its assets as if you own them directly.

REPORT TO THE BEST OF INFORMATION AND BELIEF. Information required on this Statement must be provided on the basis of the best knowledge, information, and belief of the individual

filing the Statement as of the date of this report unless otherwise stated.

COMPLETE ITEMS 1 THROUGH 11. REFER TO SCHEDULES ONLY IF DIRECTED.

You may attach additional explanatory information.

1. Offices and Directorships.

Are you or a member of your immediate family a paid officer or paid director of a business?

EITHER check NO // OR check YES // and complete Schedule A.

2. Personal Liabilities.

Do you or a member of your immediate family owe more than \$5,000 to any one creditor including contingent liabilities? (Exclude debts to any government and loans secured by recorded liens on property at least equal in value to the loan.)

EITHER check NO // OR check YES // and complete Schedule B.

3. Securities.

Do you or a member of your immediate family, directly or indirectly, separately or together, own securities valued in excess of \$5,000 invested in one business? Account for mutual funds, limited partnerships and trusts.

EITHER check NO // OR check YES // and complete Schedule C.

4. Payments for Talks, Meetings, and Publications.

During the past six months did you receive in your capacity as a legislator lodging, transportation, money, or anything else of value with a combined value exceeding \$200 (i) for a single talk, meeting, or published work or (ii) for a meeting, conference, or event where your attendance at the meeting, conference, or event was designed to (a) educate you on issues relevant to your duties as a legislator, including issues faced by your constituents, or (b) enhance your knowledge and skills relative to your duties as a legislator? Do not include payments and reimbursements from the Commonwealth for meetings attended in your capacity as a legislator; see Question 11 and Schedule D2 to report such meetings.

EITHER check NO // OR check YES // and complete Schedule D.

5. Gifts.

During the past six months did a business, government, or individual other than a relative or personal friend (i) furnish you or a member of your immediate family with any *single* gift or entertainment at a *single event*, and the value received exceeded \$50 or (ii) furnish you or a member of your immediate family with gifts or entertainment in any combination and the total value received exceeded \$100, and for which you or the member of your immediate family neither paid nor rendered services in exchange? Account for entertainment events only if the average value per person attending the event exceeded \$50. Account for all business entertainment (except if related to the private profession or occupation of you or the member of your immediate family who received such business entertainment) even if unrelated to your official duties.

EITHER check NO // OR check YES // and complete Schedule E.

6. Salary and Wages.

List each employer that pays you or a member of your immediate family salary or wages in excess of \$5,000 annually. (Exclude any salary received as a member of the General Assembly pursuant to § 30-19.11.)

If no reportable salary or wages, check here //.

7. Business Interests and Lobbyist Relationships.

7A. Do you or a member of your immediate family, separately or together, operate your own business, or own or control an interest in excess of \$5,000 in a business?

EITHER check NO // OR check YES // and complete Schedule F-1.

7B. Do you have a lobbyist relationship as that term is defined above?

EITHER check NO // OR check YES // and complete Schedule F-2.

8. Payments for Representation and Other Services.

8A. Did you represent any businesses before any state governmental agencies, excluding courts or judges, for which you received total compensation during the past six months in excess of \$1,000, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers?

EITHER check NO // OR check YES // and complete Schedule G-1.

8B. Subject to the same exceptions as in 8A, did persons with whom you have a close financial association (partners, associates or others) represent any businesses before any state governmental agency for which total compensation was received during the past six months in excess of \$1,000?

EITHER check NO // OR check YES // and complete Schedule G-2.

773 8C. Did you or persons with whom you have a close financial association furnish services to  
 774 businesses operating in Virginia, pursuant to an agreement between you and such businesses, or between  
 775 persons with whom you have a close financial association and such businesses for which total  
 776 compensation in excess of \$1,000 was received during the past six months? Services reported under this  
 777 provision shall not include services involving the representation of businesses that are reported under  
 778 question 8A or 8B above.

779 EITHER check NO // OR check YES // and complete Schedule G-3.

780 9. Real Estate.

781 Do you or a member of your immediate family hold an interest, including a partnership interest,  
 782 valued at more than \$5,000 in real property (other than your principal residence) for which you have not  
 783 already listed the full address on Schedule F? Account for real estate held in trust.

784 EITHER check NO // OR check YES // and complete Schedule H.

785 10. Real Estate Contracts with State Governmental Agencies.

786 Do you or a member of your immediate family hold an interest valued at more than \$5,000 in real  
 787 estate, including a corporate, partnership, or trust interest, option, easement, or land contract, which real  
 788 estate is the subject of a contract, whether pending or completed within the past six months, with a state  
 789 governmental agency?

790 If the real estate contract provides for the leasing of the property to a state governmental agency, do  
 791 you or a member of your immediate family hold an interest in the real estate, including a corporate,  
 792 partnership, or trust interest, option, easement, or land contract valued at more than \$1,000? Account for  
 793 all such contracts whether or not your interest is reported in Schedule F or H. This requirement to  
 794 disclose an interest in a lease does not apply to an interest derived through an ownership interest in a  
 795 business unless the ownership interest exceeds three percent of the total equity of the business.

796 EITHER check NO // OR check YES // and complete Schedule I.

797 11. Payments by the Commonwealth for Meetings.

798 During the past six months did you receive lodging, transportation, money, or anything else of value  
 799 with a combined value exceeding \$200 from the Commonwealth for a single meeting attended  
 800 out-of-state in your capacity as a legislator? Do not include reimbursements from the Commonwealth for  
 801 meetings attended in the Commonwealth.

802 EITHER check NO // OR check YES // and complete Schedule D-2.

803 For Statements filed in ~~January~~ June 2016 and each two years thereafter, complete the following  
 804 statement indicating whether you completed the ethics orientation sessions provided pursuant to law:

805 I certify that I completed ethics training as required by § 30-129.1. YES // or NO // .

806 Statements of Economic Interests are open for public inspection.

807 AFFIRMATION.

808 In accordance with the rules of the house in which I serve, if I receive a request that this disclosure  
 809 statement be corrected, augmented, or revised in any respect, I hereby pledge that I shall respond  
 810 promptly to the request. I understand that if a determination is made that the statement is insufficient, I  
 811 will satisfy such request or be subjected to disciplinary action of my house.

812 I swear or affirm that the foregoing information is full, true and correct to the best of my knowledge.

813 Signature \_\_\_\_\_ (Such signature shall be deemed to constitute a  
 814 valid notarization and shall have the same effect as if performed by a notary public.)

815 (Return only if needed to complete Statement.)

#### 816 SCHEDULES

817 to

#### 818 STATEMENT OF ECONOMIC INTERESTS.

819 NAME \_\_\_\_\_

820 SCHEDULE A - OFFICES AND DIRECTORSHIPS.

821 Identify each business of which you or a member of your immediate family is a paid officer or paid  
 822 director.

823	_____		
824	_____		
825	Name of Business	Address of Business	Position Held and by Whom
826	_____	_____	_____
827	_____	_____	_____
828	_____	_____	_____
829	_____	_____	_____
830	_____	_____	_____

831 RETURN TO ITEM 2

832 SCHEDULE B - PERSONAL LIABILITIES.

833 Report personal liability by checking each category. Report only debts in excess of \$5,000. Do not

report debts to any government. Do not report loans secured by recorded liens on property at least equal in value to the loan.

Report contingent liabilities below and indicate which debts are contingent.

1. My personal debts are as follows:

Check appropriate categories	Check one \$5,001 to \$50,000	Check one More than \$50,000
Banks		
Savings institutions		
Other loan or finance companies		
Insurance companies		
Stock, commodity or other brokerage companies		
Other businesses:		
(State principal business activity for each creditor and its name.)		
Individual creditors:		
(State principal business or occupation of each creditor and its name.)		

2. The personal debts of the members of my immediate family are as follows:

Check appropriate categories	Check one \$5,001 to \$50,000	Check one More than \$50,000
Banks		
Savings institutions		
Other loan or finance companies		
Insurance companies		
Stock, commodity or other brokerage companies		
Other businesses:		
(State principal business activity for each creditor and its name.)		
Individual creditors:		
(State principal business or occupation of each creditor and its name.)		

RETURN TO ITEM 3

SCHEDULE C - SECURITIES.

"Securities" INCLUDES stocks, bonds, mutual funds, limited partnerships, and commodity futures contracts.

891 "Securities" EXCLUDES certificates of deposit, money market funds, annuity contracts, and  
 892 insurance policies.

893 Identify each business or Virginia governmental entity in which you or a member of your immediate  
 894 family, directly or indirectly, separately or together, own securities valued in excess of \$5,000. Name  
 895 each issuer and type of security individually.

896 Do not list U.S. Bonds or other government securities not issued by the Commonwealth of Virginia  
 897 or its authorities, agencies, or local governments. Do not list organizations that do not do business in  
 898 this Commonwealth, but most major businesses conduct business in Virginia. Account for securities held  
 899 in trust.

900 If no reportable securities, check here / / .

901					
902					
903			Check one		
904	Type of Security		\$5,001	\$50,001	More
905	(stocks, bonds, mutual		to	to	than
906	Name of Issuer	funds, etc.)	\$50,000	\$250,000	\$250,000
907					
908					
909					
910					
911					

912 RETURN TO ITEM 4

#### 913 SCHEDULE D-1 - PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.

914 List each source from which you received during the past six months in your capacity as a legislator  
 915 lodging, transportation, money, or any other thing of value with a combined value exceeding \$200 (i)  
 916 for your presentation of a single talk, participation in one meeting, or publication of a work or (ii) for  
 917 your attendance at a meeting, conference, or event where your attendance at the meeting, conference, or  
 918 event was designed to (a) educate you on issues relevant to your duties as a legislator, including issues  
 919 faced by your constituents, or (b) enhance your knowledge and skills relative to your duties as a  
 920 legislator. Any lodging, transportation, money, or other thing of value received by a legislator that does  
 921 not satisfy the criteria of clause (i), (ii)(a), or (ii)(b) shall be listed as a gift on Schedule E. Do not list  
 922 payments or reimbursements by the Commonwealth. (See Schedule D-2 for such payments or  
 923 reimbursements.) List a payment even if you donated it to charity. Do not list information about a  
 924 payment if you returned it within 60 days or if you received it from an employer already listed under  
 925 Item 6 or from a source of income listed on Schedule F.

926 If no payment must be listed, check here / / .

927				
928				
929				Type of Payment
930				(e.g., Honoraria,
931				Travel reimburse-
932	Payer	Approximate Value	Circumstances	ment, etc.)
933				
934				
935				
936				
937				

938 RETURN TO ITEM 5

#### 939 SCHEDULE D-2 - PAYMENTS BY THE COMMONWEALTH FOR MEETINGS.

940 List each meeting for which the Commonwealth provided payments or reimbursements during the  
 941 past six months to you for lodging, transportation, money, or any other thing of value with a combined  
 942 value exceeding \$200 for your participation in your capacity as a legislator. Do not list payments or  
 943 reimbursements by the Commonwealth for meetings or travel within the Commonwealth.

944 If no payment must be listed, check here / / .

945		
946		
947	Type of Payment	
948	(e.g., Travel	
949	reimbursement,	

950	Payer	Approximate Value	Circumstances	etc.)
951	_____	_____	_____	_____
952	_____	_____	_____	_____
953	_____	_____	_____	_____
954	_____	_____	_____	_____

#### 955 SCHEDULE E - GIFTS.

956 List each business, governmental entity, or individual that, during the past six months, (i) furnished  
 957 you or a member of your immediate family with any *single* gift or entertainment at a *single event*, and  
 958 the value received exceeded \$50 or (ii) furnished you or a member of your immediate family with gifts  
 959 or entertainment in any combination and the total value received exceeded \$100, and for which you or  
 960 the member of your immediate family neither paid nor rendered services in exchange. List each such  
 961 gift or event.

962 Do not list entertainment events unless the average value per person attending the event exceeded  
 963 \$50. Do not list business entertainment related to the private profession or occupation of you or the  
 964 member of your immediate family who received such business entertainment. Do not list gifts or other  
 965 things of value given by a relative or personal friend for reasons clearly unrelated to your public  
 966 position. Do not list campaign contributions publicly reported as required by Chapter 9.3 (§ 24.2-945 et  
 967 seq.) of Title 24.2 of the Code of Virginia.

969	_____	_____	_____	_____	_____
970	_____	_____	_____	_____	_____
971	Name of	Name of Business,	City or	Exact	
972	Recipient	Organization, or	County	Gift or	Approximate
973		Individual	and State	Event	Value
974	_____	_____	_____	_____	_____
975	_____	_____	_____	_____	_____
976	_____	_____	_____	_____	_____
977	_____	_____	_____	_____	_____

978 RETURN TO ITEM 6

#### 979 SCHEDULE F-1 - BUSINESS INTERESTS.

980 Complete this Schedule for each self-owned or family-owned business (including rental property, a  
 981 farm, or consulting work), partnership, or corporation in which you or a member of your immediate  
 982 family, separately or together, own an interest having a value in excess of \$5,000.

983 If the enterprise is owned or operated under a trade, partnership, or corporate name, list that name;  
 984 otherwise, merely explain the nature of the enterprise. If rental property is owned or operated under a  
 985 trade, partnership, or corporate name, list the name only; otherwise, give the address of each property.  
 986 Account for business interests held in trust.

988	_____	_____	_____	_____	_____
989	_____	_____	_____	_____	_____
990	Name of				
991	Business				
992	Corporation,				
993	Partnership,	Nature of		Gross income	
994	Farm;	Enterprise			
995	Address of	City or	(farming,	\$50,001	More
996	Rental	County	law, rental	\$50,000	to
997	Property	and State	property, etc.)	or less	\$250,000
998	_____	_____	_____	_____	_____
999	_____	_____	_____	_____	_____
1000	_____	_____	_____	_____	_____
1001	_____	_____	_____	_____	_____

1002 RETURN TO ITEM 8

#### 1003 SCHEDULE F-2 - LOBBYIST RELATIONSHIPS AND PAYMENTS.

1004 Complete this Schedule for each lobbyist relationship with the following:

1005 (i) any person who is, or has been within the prior calendar year, registered as a lobbyist with the  
 1006 Secretary of the Commonwealth, or  
 1007

(ii) any business in which you have a greater than three percent ownership interest and that business employs, or engages as an independent contractor, any person who is, or has been within the prior calendar year, registered as a lobbyist with the Secretary of the Commonwealth.

List each person or business	Describe each relationship	Dates of relationship	Payments to Lobbyist	
			\$10,000 or less	More than \$10,000

THE DISCLOSURE OF A LOBBYIST RELATIONSHIP SHALL NOT (I) CONSTITUTE A WAIVER OF ANY ATTORNEY-CLIENT OR OTHER PRIVILEGE, (II) REQUIRE A WAIVER OF ANY ATTORNEY-CLIENT OR OTHER PRIVILEGE FOR A THIRD PARTY, OR (III) BE REQUIRED WHERE A MEMBER OR MEMBER-ELECT IS EMPLOYED OR ENGAGED BY A PERSON AND SUCH PERSON ALSO EMPLOYS OR ENGAGES A PERSON IN A LOBBYIST RELATIONSHIP SO LONG AS THE MEMBER OR MEMBER-ELECT HAS NO FINANCIAL INTEREST IN THE LOBBYIST RELATIONSHIP.

#### SCHEDULE G-1 - PAYMENTS FOR REPRESENTATION BY YOU.

List the businesses you represented before any state governmental agency, excluding any court or judge, for which you received total compensation during the past six months in excess of \$1,000, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers filed by you.

Identify each business, the nature of the representation and the amount received by dollar category from each such business. You may state the type, rather than name, of the business if you are required by law not to reveal the name of the business represented by you.

Name of Busi- ness	Type of Busi- ness	Pur- pose of Repre- senta- tion	Amount Received					
			Name of Agency	\$1,001 to \$10,000	\$10,001 to \$50,000	\$50,001 to \$100,000	\$100,001 to \$250,000	\$250,001 and over

If you have received \$250,001 or more from a single business within the reporting period, indicate the amount received, rounded to the nearest \$10,000. Amount Received: \_\_\_\_\_.

#### SCHEDULE G-2 - PAYMENTS FOR REPRESENTATION BY ASSOCIATES.

List the businesses that have been represented before any state governmental agency, excluding any court or judge, by persons who are your partners, associates or others with whom you have a close financial association and who received total compensation in excess of \$1,000 for such representation during the past six months, excluding representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers filed by your partners, associates or others with whom you have a close financial association.

Identify such businesses by type and also name the state governmental agencies before which such person appeared on behalf of such businesses.

Type of Business	Name of State Governmental Agency

SCHEDULE G-3 - PAYMENTS FOR OTHER SERVICES GENERALLY.

Indicate below types of businesses that operate in Virginia to which services were furnished by you or persons with whom you have a close financial association pursuant to an agreement between you and such businesses, or between persons with whom you have a close financial association and such businesses and for which total compensation in excess of \$1,000 was received during the past six months. Services reported in this Schedule shall not include services involving the representation of businesses that are reported in Schedule G-1 or G-2 above.

Identify opposite each category of businesses listed below (i) the type of business, (ii) the type of service rendered and (iii) the value by dollar category of the compensation received for all businesses falling within each category.

	Check if	Type of	Value of Compensation				
	ser-	vice	\$1,001	\$10,001	\$50,001	\$100,001	\$250,001
	ren-	ren-	to	to	to	to	and over
	dered	dered	\$10,000	\$50,000	\$100,000	\$250,000	
Electric utilities							
Gas utilities							
Telephone utilities							
Water utilities							
Cable television							
companies							
Interstate							
transportation							
companies							
Intrastate							
transportation							
companies							
Oil or gas retail							
companies							
Banks							
Savings							
institutions							
Loan or finance							
companies							
Manufacturing							
companies (state							
type of product,							
e.g., textile,							
furniture, etc.)							
Mining companies							
Life insurance							
companies							
Casualty insurance							
companies							
Other insurance							
companies							
Retail companies							
Beer, wine or							
liquor companies							
or distributors							

1124	Trade associations	_____	_____	_____	_____	_____	_____
1125	Professional	_____	_____	_____	_____	_____	_____
1126	associations	_____	_____	_____	_____	_____	_____
1127	Associations of	_____	_____	_____	_____	_____	_____
1128	public employees	_____	_____	_____	_____	_____	_____
1129	or officials	_____	_____	_____	_____	_____	_____
1130	Counties, cities	_____	_____	_____	_____	_____	_____
1131	or towns	_____	_____	_____	_____	_____	_____
1132	Labor organizations	_____	_____	_____	_____	_____	_____
1133	Other	_____	_____	_____	_____	_____	_____

RETURN TO ITEM 9

**SCHEDULE H - REAL ESTATE.**

List real estate other than your principal residence in which you or a member of your immediate family holds an interest, including a partnership interest, option, easement, or land contract, valued at \$5,000 or more. Each parcel shall be listed individually.

1140	_____		
1141	_____		
1142		Describe the type of real	
1143		estate you own in each	
1144	List the location	location (business,	If the real estate is
1145	(state, and county	recreational, apartment,	owned or recorded in
1146	or city where you	commercial, open land,	a name other than your
1147	own real estate	etc.)	own, list that name
1148	_____	_____	_____
1149	_____	_____	_____
1150	_____	_____	_____
1151	_____	_____	_____
1152	_____	_____	_____

RETURN TO ITEM 10

**SCHEDULE I - REAL ESTATE CONTRACTS WITH STATE GOVERNMENTAL AGENCIES.**

List all contracts, whether pending or completed within the past six months, with a state governmental agency for the sale or exchange of real estate in which you or a member of your immediate family holds an interest, including a corporate, partnership or trust interest, option, easement, or land contract, valued at more than \$10,000. List all contracts with a state governmental agency for the lease of real estate in which you or a member of your immediate family holds such an interest valued at more than \$1,000. This requirement to disclose an interest in a lease does not apply to an interest derived through an ownership interest in a business unless the ownership interest exceeds three percent of the total equity of the business.

1164	_____		
1165	_____		
1166	List your real		
1167	estate interest and		
1168	the person or entity,		
1169	including the type of		
1170	entity, which is		
1171	party to the contract.		
1172	Describe any		State the annual
1173	management role and	List each	income from the
1174	the percentage	governmental agency	contract, and the
1175	ownership interest	which is a party to	amount, if any, of
1176	you or your immediate	the contract and	income you or any
1177	family member has in	indicate the county	immediate family
1178	the real estate	or city where the	member derives
1179	or entity.	real estate is located.	annually from
1180	_____	_____	the contract.
1181	_____	_____	_____

B. Any legislator who makes a knowing misstatement of a material fact on the Statement of Economic Interests shall be subject to disciplinary action for such violations by the house in which the legislator sits.

C. The Statement of Economic Interests of all members of each house shall be reviewed by the Council. If a legislator's Statement is found to be inadequate as filed, the legislator shall be notified in writing and directed to file an amended Statement correcting the indicated deficiencies, and a time shall be set within which such amendment shall be filed. If the Statement of Economic Interests, in either its original or amended form, is found to be adequate as filed, the legislator's filing shall be deemed in full compliance with this section as to the information disclosed thereon.

D. Ten percent of the membership of a house, on the basis of newly discovered facts, may in writing request the house in which those members sit, in accordance with the rules of that house, to review the Statement of Economic Interests of another member of that house in order to determine the adequacy of his filing. In accordance with the rules of each house, each Statement of Economic Interests shall be promptly reviewed, the adequacy of the filing determined, and notice given in writing to the legislator whose Statement is in issue. Should it be determined that the Statement requires correction, augmentation or revision, the legislator involved shall be directed to make the changes required within such time as shall be set under the rules of each house.

If a legislator, after having been notified in writing in accordance with the rules of the house in which he sits that his Statement is inadequate as filed, fails to amend his Statement so as to come into compliance within the time limit set, he shall be subject to disciplinary action by the house in which he sits. No legislator shall vote on any question relating to his own Statement.

**§ 30-123. Knowing violation of chapter a misdemeanor.**

Any legislator who knowingly violates any of the provisions of Articles 2 through 5 (§§ 30-102 through 30-111) ~~of this chapter shall be~~ is guilty of a Class 1 misdemeanor. *However, a knowing violation of § 30-103.1 by a legislator or a candidate for the General Assembly is punishable by a civil penalty in an amount equal to \$250, and any such legislator or candidate who knowingly commits a second or subsequent violation within four years of a previous violation is guilty of a Class 1 misdemeanor.*

A knowing violation under this section is one in which the person engages in conduct, performs an act or refuses to perform an act when he knows that the conduct is prohibited or required by this chapter. There shall be no prosecution for a violation of § 30-108 or subsection C of § 30-110 unless the house in which the member sits has referred the matter to the Attorney General as provided in subdivision 4 of § 30-116.

**§ 30-126. Civil penalty from violation of this chapter.**

In addition to any other fine or penalty provided by law, any money or other thing of value derived by a legislator from a violation of §§ § 30-103 *or* §§ 30-104 through 30-108 shall be forfeited and, in the event of a knowing violation, there may also be imposed a civil penalty in an amount equal to the amount of money or thing of value forfeited to the Commonwealth. If the thing of value received by the legislator in violation of this chapter should enhance in value between the time of the violation and the time of discovery of the violation, the greater value shall determine the amount of the civil penalty.

**§ 30-356. Powers and duties of the Council.**

The Council shall:

1. Review all disclosure forms filed by lobbyists pursuant to Article 3 and by state and local government officers and employees and legislators pursuant to the Acts. The Council shall review all disclosure forms for completeness, which shall include reviewing the information contained on the face of the form to determine if the disclosure form has been fully completed and comparing the disclosures contained in any disclosure form filed by a lobbyist pursuant to § 2.2-426 with other disclosure forms filed with the Council, and ~~be followed by shall requests request for~~ *additional amendments as needed* to ensure the completeness of and correction of errors in the forms, if necessary;

2. Accept any disclosure forms by computer or electronic means in accordance with the standards approved by the Council and using software meeting standards approved by it. The Council shall provide software to filers without charge and may prescribe the method of execution and certification of electronically filed forms, including the use of an electronic signature as authorized by the Uniform Electronic Transactions Act (§ 59.1-479 et seq.), and the procedures for receiving forms in the office of the Council;

3. Beginning July 1, 2015, establish and maintain a searchable electronic database comprising disclosure forms filed pursuant to §§ 2.2-426, 2.2-3117, 2.2-3118, and 30-111. Such database shall be

1243 available to the public through the Council's official website;

1244 4. Furnish, upon request, formal advisory opinions or guidelines and other appropriate information,  
1245 including informal advice, regarding ethics and conflicts issues arising under Article 3 or the Acts to any  
1246 person or to any agency of state or local government, in an expeditious manner. Informal advice given  
1247 by the Council is confidential, protected by the attorney-client privilege, and is excluded from the  
1248 provisions of the Virginia Freedom of Information Act (§ 2.2-3700 et seq.);

1249 5. *Review and approve or deny requests submitted by state and local government officers and*  
1250 *employees, legislators, and candidates required to file the disclosure form prescribed in § 2.2-3117 or*  
1251 *30-111 to accept an intangible gift with a value exceeding \$100. The Council may approve those*  
1252 *requests that provide a public benefit and do not raise the appearance of impropriety. The Council shall*  
1253 *prescribe the standards and procedures for receiving, reviewing, and approving or denying such*  
1254 *requests;*

1255 6. Conduct training seminars and educational programs for lobbyists, state and local government  
1256 officers and employees ~~and~~, legislators, and other interested persons on the requirements of Article 3 and  
1257 the Acts and provide ethics orientation sessions for legislators in compliance with Article 6 (§ 30-129.1  
1258 et seq.) of Chapter 13;

1259 6. 7. Approve orientation courses conducted pursuant to § 2.2-3128 and, upon request, review the  
1260 educational materials and approve any training or course on the requirements of Article 3 and the Acts  
1261 conducted for state and local government officers and employees;

1262 7. 8. Publish such educational materials as it deems appropriate on the provisions of Article 3 and  
1263 the Acts;

1264 8. 9. Review actions taken in the General Assembly with respect to the discipline of its members for  
1265 the purpose of offering nonbinding advice;

1266 9. 10. Request from any agency of state or local government such assistance, services, and  
1267 information as will enable the Council to effectively carry out its responsibilities. Information provided  
1268 to the Council by an agency of state or local government shall not be released to any other party unless  
1269 authorized by such agency; and

1270 10. 11. Report on or before December 1 of each year on its activities and findings regarding Article  
1271 3 and the Acts, including recommendations for changes in the laws, to the General Assembly and the  
1272 Governor. The annual report shall be submitted by the chairman as provided in the procedures of the  
1273 Division of Legislative Automated Systems for the processing of legislative documents and reports and  
1274 shall be published as a state document.

1275 **2. That the provisions of this act may result in a net increase in periods of imprisonment or**  
1276 **commitment. Pursuant to § 30-19.1:4, the estimated amount of the necessary appropriation is \$0**  
1277 **for periods of imprisonment in state adult correctional facilities and \$0 for periods of commitment**  
1278 **to the custody of the Department of Juvenile Justice.**