

15102252D

SENATE BILL NO. 1278

Offered January 14, 2015

Prefiled January 14, 2015

A BILL to amend and reenact §§ 2.2-3103.1, 2.2-3117, 2.2-3120, 2.2-3124, 30-103.1, 30-111, 30-123, 30-126, and 30-356 of the Code of Virginia, relating to State and Local Government Conflict of Interests Act and the General Assembly Conflicts of Interests Act; certain gifts prohibited; penalties.

Patron—Wexton

Referred to Committee on Rules

Be it enacted by the General Assembly of Virginia:

1. That §§ 2.2-3103.1, 2.2-3117, 2.2-3120, 2.2-3124, 30-103.1, 30-111, 30-123, 30-126, and 30-356 of the Code of Virginia are amended and reenacted as follows:

§ 2.2-3103.1. Certain gifts prohibited; penalties.

A. For purposes of this section:

"Intangible gift" means a thing those services, transportation, lodgings, meals, and other things of temporary value or a thing that upon the happening of a certain event or expiration of a given date loses its value that may be enjoyed but cannot be resold. "Intangible gift" includes entertainment, hospitality, a ticket, admission, or pass, transportation, lodgings, and meals that are reportable on Schedule E of the disclosure form prescribed in § 2.2-3117.

"Person, organization, or business" includes individuals who are officers, directors, or owners of or who have a controlling ownership interest in such organization or business.

"Tangible gift" means a thing those things of value that does not lose its value upon the happening of a certain event or expiration of a given date can be sold at retail. "Tangible gift" includes currency, negotiable instruments, securities, stock options, or other financial instruments that are reportable on Schedule E of the disclosure form prescribed in § 2.2-3117. "Tangible gift" does not include payments or reimbursements received for any intangible gift.

B. An officer or employee of a local governmental or advisory agency or candidate required to file the disclosure form prescribed in § 2.2-3117 (i) shall not solicit, accept, or receive within any calendar year any single tangible or intangible gift with a value in excess of \$250 exceeding \$100 or a combination of tangible or intangible gifts with an aggregate value in excess of \$250 exceeding \$100 from any person that he knows or has reason to know is (a) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4; (b) a lobbyist's principal as defined in § 2.2-419; or (c) a person, organization, or business who is a party to or is seeking to become a party to a contract with the local agency of which he is an officer or an employee; (ii) shall report any tangible gift with a value of \$250 or less or any intangible gift received from any person listed in clause (i) on Schedule E of such disclosure form; and (iii) shall report any payments for talks, meetings, and publications on Schedule D of such disclosure form, organization, or business. For purposes of this section and the disclosure requirements prescribed by § 2.2-3117, any single tangible or intangible gift, or any combination of tangible or intangible gifts, with a value exceeding \$100 given to a member of the immediate family of such officer, employee, or candidate shall be considered a gift to the officer, employee, or candidate if (i) such gift was given with the knowledge and acquiescence of the officer, employee, or candidate, and (ii) the officer, employee, or candidate knows or has reason to know that such gift was given to the member of his immediate family because of his official position. No officer, employee, or candidate or member of the immediate family of such officer, employee, or candidate shall solicit, accept, or receive any tangible or intangible gift of any value when he knows or has reason to know that such gift was offered or given with the intent to induce any conduct or action by the officer, employee, or candidate related to the performance of his official duties.

C. An officer or employee of a state governmental or advisory agency or candidate required to file the disclosure form prescribed in § 2.2-3117 (i) shall not solicit, accept, or receive within any calendar year any single tangible or intangible gift with a value in excess of \$250 exceeding \$100 or a combination of tangible or intangible gifts with an aggregate value in excess of \$250 exceeding \$100 from any person that he knows or has reason to know is (a) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4; (b) a lobbyist's principal as defined in § 2.2-419; or (c) a person, organization, or business who is a party to or is seeking to become a party to a contract with the Commonwealth; (ii) shall report any tangible gift with a value of \$250 or less or any intangible gift received from any person listed in clause (i) on Schedule E of such disclosure form; and (iii) shall report any payments for talks, meetings, and publications on Schedule D of such disclosure form,

59 organization, or business. For purposes of this section and the disclosure requirements prescribed by
 60 § 2.2-3117, any single tangible or intangible gift, or any combination of tangible or intangible gifts,
 61 with a value exceeding \$100 given to a member of the immediate family of such officer, employee, or
 62 candidate shall be considered a gift to the officer, employee, or candidate if (i) such gift was given with
 63 the knowledge and acquiescence of the officer, employee, or candidate, and (ii) the officer, employee, or
 64 candidate knows or has reason to know that such gift was given to the member of his immediate family
 65 because of his official position. No officer, employee, or candidate or member of the immediate family of
 66 such officer, employee, or candidate shall solicit, accept, or receive any tangible or intangible gift of
 67 any value when he knows or has reason to know that such gift was offered or given with the intent to
 68 induce any conduct or action by the officer, employee, or candidate related to the performance of his
 69 official duties.

70 D. Notwithstanding the provisions of subsections B and C, an officer or employee of a state or local
 71 governmental or advisory agency or a candidate required to file the disclosure form prescribed in §
 72 2.2-3117, or a member of the immediate family of such officer, employee, or candidate, may accept an
 73 intangible gift with a value exceeding \$100 if the officer, employee, or candidate has submitted a written
 74 request to and has received the written approval of the Council.

75 E. During the pendency of a civil action in any state or federal court to which the Commonwealth is
 76 a party, the Governor or the Attorney General or any employee of the Governor or the Attorney General
 77 who is subject to the provisions of this chapter shall not solicit, accept, or receive any tangible gift from
 78 any person that he knows or has reason to know is a person, organization, or business who is a party to
 79 such civil action. A person, organization, or business who is a party to such civil action shall not
 80 knowingly give any tangible gift to the Governor or the Attorney General or any of their employees
 81 who are subject to the provisions of this chapter.

82 E. The \$250 limitation imposed in accordance with this section shall be adjusted by the Council
 83 every five years, as of January 1 of that year, in an amount equal to the annual increases for that
 84 five-year period in the United States Average Consumer Price Index for all items, all urban consumers
 85 (CPI-U), as published by the Bureau of Labor Statistics of the U.S. Department of Labor, rounded to the
 86 nearest whole dollar.

87 F. For purposes of this section, "person, organization, or business" includes individuals who are
 88 officers, directors, or owners of or who have a controlling ownership interest in such organization or
 89 business.

90 **§ 2.2-3117. Disclosure form.**

91 The disclosure form to be used for filings required by subsections A and D of § 2.2-3114 and
 92 subsections A and E of § 2.2-3115 shall be substantially as follows:

93 STATEMENT OF ECONOMIC INTERESTS.

- 94 Name
- 95 Office or position held or sought
- 96 Address
- 97 Names of members of immediate family

98 DEFINITIONS AND EXPLANATORY MATERIAL.

99 "Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise,
 100 association, trust or foundation, or any other individual or entity carrying on a business or profession,
 101 whether or not for profit.

102 "Close financial association" means an association in which the person filing shares significant
 103 financial involvement with an individual and the filer would reasonably be expected to be aware of the
 104 individual's business activities and would have access to the necessary records either directly or through
 105 the individual. "Close financial association" does not mean an association based on (i) the receipt of
 106 retirement benefits or deferred compensation from a business by which the person filing this statement is
 107 no longer employed, or (ii) the receipt of compensation for work performed by the person filing as an
 108 independent contractor of a business that represents an entity before any state governmental agency
 109 when the person filing has had no communications with the state governmental agency.

110 "Contingent liability" means a liability that is not presently fixed or determined, but may become
 111 fixed or determined in the future with the occurrence of some certain event.

112 "Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item
 113 having monetary value. It includes services as well as gifts of transportation, lodgings and meals,
 114 whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the
 115 expense has been incurred. "Gift" does not include (i) any offer of a ticket, coupon, or other admission
 116 or pass unless the ticket, coupon, admission, or pass is used; (ii) honorary degrees; (iii) any athletic,
 117 merit, or need-based scholarship or any other financial aid awarded by a public or private school,
 118 institution of higher education, or other educational program pursuant to such school, institution, or
 119 program's financial aid standards and procedures applicable to the general public; (iv) a campaign

120 contribution properly received and reported pursuant to Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2;
 121 (v) any gift related to the private profession or occupation of an officer or employee or of a member of
 122 his immediate family; or (vi) gifts from relatives or personal friends. "Relative" means the donee's
 123 spouse, child, uncle, aunt, niece, or nephew; a person to whom the donee is engaged to be married; the
 124 donee's or his spouse's parent, grandparent, grandchild, brother, or sister; or the donee's brother's or
 125 sister's spouse. "Personal friend" does not include any person that the filer knows or has reason to know
 126 is (a) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4 of Title 2.2; (b) a
 127 lobbyist's principal as defined in § 2.2-419; (c) for an officer or employee of a local governmental or
 128 advisory agency, a person, organization, or business who is a party to or is seeking to become a party to
 129 a contract with the local agency of which he is an officer or an employee; or (d) for an officer or
 130 employee of a state governmental or advisory agency, a person, organization, or business who is a party
 131 to or is seeking to become a party to a contract with the Commonwealth. "Person, organization, or
 132 business" includes individuals who are officers, directors, or owners of or who have a controlling
 133 ownership interest in such organization or business.

134 "Immediate family" means (i) a spouse and (ii) any child who resides in the same household as the
 135 officer or employee and who is a dependent of the officer or employee.

136 TRUST. If you or your immediate family, separately or together, are the only beneficiaries of a trust,
 137 treat the trust's assets as if you own them directly. If you or your immediate family has a proportional
 138 interest in a trust, treat that proportion of the trust's assets as if you own them directly. For example, if
 139 you and your immediate family have a one-third interest in a trust, complete your Statement as if you
 140 own one-third of each of the trust's assets. If you or a member of your immediate family created a trust
 141 and can revoke it without the beneficiaries' consent, treat its assets as if you own them directly.

142 REPORT TO THE BEST OF INFORMATION AND BELIEF. Information required on this
 143 Statement must be provided on the basis of the best knowledge, information, and belief of the individual
 144 filing the Statement as of the date of this report unless otherwise stated.

145 COMPLETE ITEMS 1 THROUGH 10. REFER TO SCHEDULES ONLY IF DIRECTED.

146 You may attach additional explanatory information.

147 1. Offices and Directorships.

148 Are you or a member of your immediate family a paid officer or paid director of a business?

149 EITHER check NO // OR check YES // and complete Schedule A.

150 2. Personal Liabilities.

151 Do you or a member of your immediate family owe more than \$5,000 to any one creditor including
 152 contingent liabilities? (Exclude debts to any government and loans secured by recorded liens on property
 153 at least equal in value to the loan.)

154 EITHER check NO // OR check YES // and complete Schedule B.

155 3. Securities.

156 Do you or a member of your immediate family, directly or indirectly, separately or together, own
 157 securities valued in excess of \$5,000 invested in one business? Account for mutual funds, limited
 158 partnerships and trusts.

159 EITHER check NO // OR check YES // and complete Schedule C.

160 4. Payments for Talks, Meetings, and Publications.

161 During the past six months did you receive in your capacity as an officer or employee of your
 162 agency lodging, transportation, money, or anything else of value with a combined value exceeding \$200
 163 (i) for a single talk, meeting, or published work or (ii) for a meeting, conference, or event where your
 164 attendance at the meeting, conference, or event was designed to (a) educate you on issues relevant to
 165 your duties as an officer or employee of your agency or (b) enhance your knowledge and skills relative
 166 to your duties as an officer or employee of your agency?

167 EITHER check NO // OR check YES // and complete Schedule D.

168 5. Gifts.

169 During the past six months did a business, government, or individual other than a relative or personal
 170 friend (i) furnish you or a member of your immediate family with any *single* gift or entertainment at a
 171 single event, and the value received exceeded \$50 or (ii) furnish you or a member of your immediate
 172 family with gifts or entertainment in any combination and the total value received exceeded \$100 \$50,
 173 and for which you or the member of your immediate family neither paid nor rendered services in
 174 exchange? Account for entertainment events only if the average value per person attending the event
 175 exceeded \$50. Account for all business entertainment (except if related to the private profession or
 176 occupation of you or the member of your immediate family who received such business entertainment)
 177 even if unrelated to your official duties.

178 EITHER check NO // OR check YES // and complete Schedule E.

179 6. Salary and Wages.

180 List each employer that pays you or a member of your immediate family salary or wages in excess

181 of \$5,000 annually. (Exclude state or local government or advisory agencies.)
182 If no reportable salary or wages, check here //.

183 _____
184 _____
185 _____

186 7. Business Interests.

187 Do you or a member of your immediate family, separately or together, operate your own business, or
188 own or control an interest in excess of \$5,000 in a business?

189 EITHER check NO // OR check YES // and complete Schedule F.

190 8. Payments for Representation and Other Services.

191 8A. Did you represent, excluding activity defined as lobbying in § 2.2-419, any businesses before any
192 state governmental agencies, excluding courts or judges, for which you received total compensation
193 during the past six months in excess of \$1,000, excluding compensation for other services to such
194 businesses and representation consisting solely of the filing of mandatory papers and subsequent
195 representation regarding the mandatory papers? (Officers and employees of local governmental and
196 advisory agencies do NOT need to answer this question or complete Schedule G-1.)

197 EITHER check NO // OR check YES // and complete Schedule G-1.

198 8B. Subject to the same exceptions as in 8A, did persons with whom you have a close financial
199 association (partners, associates or others) represent, excluding activity defined as lobbying in § 2.2-419,
200 any businesses before any state governmental agency for which total compensation was received during
201 the past six months in excess of \$1,000? (Officers and employees of local governmental and advisory
202 agencies do NOT need to answer this question or complete Schedule G-2.)

203 EITHER check NO // OR check YES // and complete Schedule G-2.

204 8C. Did you or persons with whom you have a close financial association furnish services to
205 businesses operating in Virginia pursuant to an agreement between you and such businesses, or between
206 persons with whom you have a close financial association and such businesses for which total
207 compensation in excess of \$1,000 was received during the past six months? Services reported under this
208 provision shall not include services involving the representation of businesses that are reported under
209 item 8A or 8B.

210 EITHER check NO // OR check YES // and complete Schedule G-3.

211 9. Real Estate.

212 9A. State Officers and Employees.

213 Do you or a member of your immediate family hold an interest, including a partnership interest,
214 valued at more than \$5,000 in real property (other than your principal residence) for which you have not
215 already listed the full address on Schedule F? Account for real estate held in trust.

216 EITHER check NO // OR check YES // and complete Schedule H-1.

217 9B. Local Officers and Employees.

218 Do you or a member of your immediate family hold an interest, including a partnership interest, or
219 option, easement, or land contract, valued at more than \$5,000 in real property (other than your principal
220 residence) for which you have not already listed the full address on Schedule F? Account for real estate
221 held in trust.

222 EITHER check NO // OR check YES // and complete Schedule H-2.

223 10. Real Estate Contracts with Governmental Agencies.

224 Do you or a member of your immediate family hold an interest valued at more than \$5,000 in real
225 estate, including a corporate, partnership, or trust interest, option, easement, or land contract, which real
226 estate is the subject of a contract, whether pending or completed within the past six months, with a
227 governmental agency? If the real estate contract provides for the leasing of the property to a
228 governmental agency, do you or a member of your immediate family hold an interest in the real estate
229 valued at more than \$1,000? Account for all such contracts whether or not your interest is reported in
230 Schedule F, H-1, or H-2. This requirement to disclose an interest in a lease does not apply to an interest
231 derived through an ownership interest in a business unless the ownership interest exceeds three percent
232 of the total equity of the business.

233 EITHER check NO // OR check YES // and complete Schedule I.

234 Statements of Economic Interests are open for public inspection.

235 AFFIRMATION BY ALL FILERS.

236 I swear or affirm that the foregoing information is full, true and correct to the best of my knowledge.

237 Signature

238 (Return only if needed to complete Statement.)

239 SCHEDULES

240 to

241 STATEMENT OF ECONOMIC INTERESTS.

242 NAME

243 SCHEDULE A - OFFICES AND DIRECTORSHIPS.

244 Identify each business of which you or a member of your immediate family is a paid officer or paid
245 director.

246 _____

247

248 Name of Business	Address of Business	Position Held and by Whom
249 _____	_____	_____
250 _____	_____	_____
251 _____	_____	_____
252 _____	_____	_____

253 _____

254 RETURN TO ITEM 2

255 SCHEDULE B - PERSONAL LIABILITIES.

256 Report personal liability by checking each category. Report only debts in excess of \$5,000. Do not
257 report debts to any government. Do not report loans secured by recorded liens on property at least equal
258 in value to the loan.

259 Report contingent liabilities below and indicate which debts are contingent.

260 1. My personal debts are as follows:

261 _____

262

263 Check	263 Check one	
264 appropriate	\$5,001 to	More than
265 categories	\$50,000	\$50,000
266 Banks	_____	_____
267 Savings institutions	_____	_____
268 Other loan or finance companies	_____	_____
269 Insurance companies	_____	_____
270 Stock, commodity or other brokerage companies	_____	_____
271 Other businesses:		
272 (State principal business activity for each		
273 creditor and its name.)		
274 _____	_____	_____
275 _____	_____	_____
276 _____	_____	_____
277 Individual creditors:		
278 (State principal business or occupation of		
279 each creditor and its name.)		
280 _____	_____	_____
281 _____	_____	_____
282 _____	_____	_____

283 _____

284 2. The personal debts of the members of my immediate family are as follows:

285 _____

286

287 Check	287 Check one	
288 appropriate	\$5,001 to	More than
289 categories	\$50,000	\$50,000
290 Banks	_____	_____
291 Savings institutions	_____	_____
292 Other loan or finance companies	_____	_____
293 Insurance companies	_____	_____
294 Stock, commodity or other brokerage companies	_____	_____
295 Other businesses:		
296 (State principal business activity for each		
297 creditor and its name.)		
298 _____	_____	_____

299 _____

300 _____

301 Individual creditors:

302 (State principal business or occupation of

303 each creditor and its name.)

304 _____

305 _____

306 _____

307 _____

RETURN TO ITEM 3

308 SCHEDULE C - SECURITIES.

309 "Securities" INCLUDES stocks, bonds, mutual funds, limited partnerships, and commodity futures

310 contracts.

311 "Securities" EXCLUDES certificates of deposit, money market funds, annuity contracts, and

312 insurance policies.

313 Identify each business or Virginia governmental entity in which you or a member of your immediate

314 family, directly or indirectly, separately or together, own securities valued in excess of \$5,000. Name

315 each issuer and type of security individually.

316 Do not list U.S. Bonds or other government securities not issued by the Commonwealth of Virginia

317 or its authorities, agencies, or local governments. Do not list organizations that do not do business in

318 this Commonwealth, but most major businesses conduct business in Virginia. Account for securities held

319 in trust.

320 If no reportable securities, check here //.

321 _____

322 _____

323 _____

324	Type of Security	\$5,001	Check one	\$50,001	More
325	(stocks, bonds, mutual	to		to	than
326	funds, etc.)	\$50,000	\$250,000	\$250,000	
327	Name of Issuer	_____	_____	_____	_____
328	_____	_____	_____	_____	_____
329	_____	_____	_____	_____	_____
330	_____	_____	_____	_____	_____
331	_____	_____	_____	_____	_____
332	_____	_____	_____	_____	_____

RETURN TO ITEM 4

333 SCHEDULE D - PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.

334 List each source from which you received during the past six months in your capacity as an officer

335 or employee of your agency lodging, transportation, money, or any other thing of value with combined

336 value exceeding \$200 (i) for your presentation of a single talk, participation in one meeting, or

337 publication of a work or (ii) for your attendance at a meeting, conference, or event where your

338 attendance at the meeting, conference, or event was designed to (a) educate you on issues relevant to

339 your duties as an officer or employee of your agency or (b) enhance your knowledge and skills relative

340 to your duties as an officer or employee of your agency. Any lodging, transportation, money, or other

341 thing of value received by an officer or employee that does not satisfy the provisions of clause (i), (ii)

342 (a), or (ii) (b) shall be listed as a gift on Schedule E.

343 List payments or reimbursements by an advisory or governmental agency only for meetings or travel

344 outside the Commonwealth.

345 List a payment even if you donated it to charity.

346 Do not list information about a payment if you returned it within 60 days or if you received it from

347 an employer already listed under Item 6 or from a source of income listed on Schedule F.

348 If no payment must be listed, check here //.

349 _____

350 _____

351	Payer	Approximate Value	Circumstances	Type of payment
352				(e.g. honoraria,
353				travel reimburse-
354				ment, etc.)
355	_____	_____	_____	_____
356	_____	_____	_____	_____
357	_____	_____	_____	_____

358 _____
 359 _____
 360 _____

RETURN TO ITEM 5

361
362 SCHEDULE E - GIFTS.

363 List each business, governmental entity, or individual that, during the past six months, (i) furnished
 364 you or a member of your immediate family with any *single* gift or entertainment at a single event, and
 365 the value received exceeded \$50 or (ii) furnished you or a member of your immediate family with gifts
 366 or entertainment in any combination and the total value received exceeded ~~\$100~~ \$50, and for which you
 367 or the member of your immediate family neither paid nor rendered services in exchange. List each such
 368 gift or event. Do not list entertainment events unless the average value per person attending the event
 369 exceeded \$50. Do not list business entertainment related to the private profession or occupation of you
 370 or the member of your immediate family who received such business entertainment. Do not list gifts or
 371 other things of value given by a relative or personal friend for reasons clearly unrelated to your public
 372 position. Do not list campaign contributions publicly reported as required by Chapter 9.3 (§ 24.2-945 et
 373 seq.) of Title 24.2 of the Code of Virginia.

374 _____
 375

376 Name of Recipient	377 Name of Business, Organization, or Individual	378 City or County and State	379 Exact Gift or Event	380 Approximate Value
381 _____	_____	_____	_____	_____
382 _____	_____	_____	_____	_____
383 _____	_____	_____	_____	_____

RETURN TO ITEM 6

384
385 SCHEDULE F - BUSINESS INTERESTS.

386 Complete this Schedule for each self-owned or family-owned business (including rental property, a
 387 farm, or consulting work), partnership, or corporation in which you or a member of your immediate
 388 family, separately or together, own an interest having a value in excess of \$5,000.

389 If the enterprise is owned or operated under a trade, partnership, or corporate name, list that name;
 390 otherwise, merely explain the nature of the enterprise. If rental property is owned or operated under a
 391 trade, partnership, or corporate name, list the name only; otherwise, give the address of each property.
 392 Account for business interests held in trust.

393 _____
 394

395 Name of Business, Corporation, Partnership, Farm; Rental Property	396 City or County and State	397 Nature of Enterprise (farming, law, rental property, etc.)	398 Gross Income \$50,001 to \$250,000 or less	399 More than \$250,000
400 _____	_____	_____	_____	_____
401 _____	_____	_____	_____	_____
402 _____	_____	_____	_____	_____
403 _____	_____	_____	_____	_____
404 _____	_____	_____	_____	_____

RETURN TO ITEM 8

405
406 SCHEDULE G-1 - PAYMENTS FOR REPRESENTATION BY YOU.

407 List the businesses you represented, excluding activity defined as lobbying in § 2.2-419, before any
 408 state governmental agency, excluding any court or judge, for which you received total compensation
 409 during the past six months in excess of \$1,000, excluding compensation for other services to such
 410 businesses and representation consisting solely of the filing of mandatory papers and subsequent
 411 representation regarding the mandatory papers filed by you.

412 Identify each business, the nature of the representation and the amount received by dollar category
 413 from each such business. You may state the type, rather than name, of the business if you are required
 414 by law not to reveal the name of the business represented by you.

415 Only STATE officers and employees should complete this Schedule.
 416 _____

475	companies	_____	_____	_____	_____	_____	_____
476	Interstate						
477	transportation						
478	companies	_____	_____	_____	_____	_____	_____
479	Intrastate						
480	transportation						
481	companies	_____	_____	_____	_____	_____	_____
482	Oil or gas retail						
483	companies	_____	_____	_____	_____	_____	_____
484	Banks	_____	_____	_____	_____	_____	_____
485	Savings institutions	_____	_____	_____	_____	_____	_____
486	Loan or finance						
487	companies	_____	_____	_____	_____	_____	_____
488	Manufacturing						
489	companies (state						
490	type of product,						
491	e.g., textile,						
492	furniture, etc.)	_____	_____	_____	_____	_____	_____
493	Mining companies	_____	_____	_____	_____	_____	_____
494	Life insurance						
495	companies	_____	_____	_____	_____	_____	_____
496	Casualty insurance						
497	companies	_____	_____	_____	_____	_____	_____
498	Other insurance						
499	companies	_____	_____	_____	_____	_____	_____
500	Retail companies	_____	_____	_____	_____	_____	_____
501	Beer, wine or liquor						
502	companies or						
503	distributors	_____	_____	_____	_____	_____	_____
504	Trade associations	_____	_____	_____	_____	_____	_____
505	Professional						
506	associations	_____	_____	_____	_____	_____	_____
507	Associations of						
508	public employees						
509	or officials	_____	_____	_____	_____	_____	_____
510	Counties, cities						
511	or towns	_____	_____	_____	_____	_____	_____
512	Labor organizations	_____	_____	_____	_____	_____	_____
513	Other	_____	_____	_____	_____	_____	_____

RETURN TO ITEM 9

514
515
516 **SCHEDULE H-1 - REAL ESTATE - STATE OFFICERS AND EMPLOYEES.**
517 List real estate other than your principal residence in which you or a member of your immediate
518 family holds an interest, including a partnership interest, option, easement, or land contract, valued at
519 more than \$5,000. Each parcel shall be listed individually.

520	_____		
521			
522		Describe the type of real	
523	List each location	estate you own in each	If the real estate is
524	(state, and county	location (business, recre-	owned or recorded in
525	or city) where you	ational, apartment, com-	a name other than your
526	own real estate.	mercial, open land, etc.).	own, list that name.
527	_____	_____	_____
528	_____	_____	_____
529	_____	_____	_____
530	_____	_____	_____
531	_____	_____	_____

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SCHEDULE H-2 - REAL ESTATE - LOCAL OFFICERS AND EMPLOYEES.

List real estate other than your principal residence in which you or a member of your immediate family holds an interest, including a partnership interest or option, easement, or land contract, valued at more than \$5,000. Each parcel shall be listed individually. Also list the names of any co-owners of such property, if applicable.

List each location (business, (state, and county or city) where you own real estate.	Describe the type of real estate you own in each location (business, recreational, apartment, commercial, open land, etc.).	If the real estate is owned or recorded in a name other than your own, list that name.	List the names of any co-owners, if applicable.
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

SCHEDULE I - REAL ESTATE CONTRACTS WITH GOVERNMENTAL AGENCIES.

List all contracts, whether pending or completed within the past six months, with a governmental agency for the sale or exchange of real estate in which you or a member of your immediate family holds an interest, including a corporate, partnership or trust interest, option, easement, or land contract, valued at more than \$10,000. List all contracts with a governmental agency for the lease of real estate in which you or a member of your immediate family holds such an interest valued at more than \$1,000. This requirement to disclose an interest in a lease does not apply to an interest derived through an ownership interest in a business unless the ownership interest exceeds three percent of the total equity of the business.

State officers and employees report contracts with state agencies.
Local officers and employees report contracts with local agencies.

List your real estate interest and the person or entity, including the type of entity, which is party to the contract.	Describe any management role and the percentage ownership interest you or your immediate family member has in the real estate or entity.	List each governmental agency which is a party to the contract and indicate the county or city where the real estate is located.	State the annual income from the contract, and the amount, if any, of income you or any immediate family member derives annually from the contract.
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

590 Any person who knowingly violates any of the provisions of Articles 2 through 6 (§§ 2.2-3102
591 through 2.2-3119) of this chapter shall be is guilty of a Class 1 misdemeanor, except that any:

592 1. Any member of a local governing body who knowingly violates subsection A of § 2.2-3112 or
593 subsection D or F of § 2.2-3115 shall be is guilty of a Class 3 misdemeanor; and

594 2. A knowing violation of § 2.2-3103.1 by an officer or employee of a state or local governmental or
595 advisory agency or a candidate required to file the disclosure form prescribed in § 2.2-3117 is
596 punishable by a civil penalty in an amount equal to \$250. Any such officer, employee, or candidate who
597 knowingly commits a second or subsequent violation within four years of a previous violation is guilty
598 of a Class 1 misdemeanor.

599 A knowing violation under this section is one in which the person engages in conduct, performs an
600 act or refuses to perform an act when he knows that the conduct is prohibited or required by this
601 chapter.

602 **§ 2.2-3124. Civil penalty from violation of this chapter.**

603 In addition to any other fine or penalty provided by law, an officer or employee who knowingly
604 violates any provision of §§ § 2.2-3103 or §§ 2.2-3104 through 2.2-3112 shall be subject to a civil
605 penalty in an amount equal to the amount of money or thing of value received as a result of such
606 violation. If the thing of value received by the officer or employee in violation of §§ § 2.2-3103 or
607 §§ 2.2-3104 through 2.2-3112 increases in value between the time of the violation and the time of
608 discovery of the violation, the greater value shall determine the amount of the civil penalty. Further, all
609 money or other things of value received as a result of such violation shall be forfeited in accordance
610 with the provisions of § 19.2-386.33.

611 **§ 30-103.1. Certain gifts prohibited; penalties.**

612 A. For purposes of this section:

613 "Intangible gift" means a thing those services, transportation, lodgings, meals, and other things of
614 temporary value or a thing that upon the happening of a certain event or expiration of a given date loses
615 its value that may be enjoyed but cannot be resold. "Intangible gift" includes entertainment, hospitality,
616 a ticket, admission, or pass; transportation, lodgings, and meals that are reportable on Schedule E of the
617 disclosure form prescribed in § 30-111.

618 "Tangible gift" means a thing those things of value that does not lose its value upon the happening
619 of a certain event or expiration of a given date can be sold at retail. "Tangible gift" includes currency,
620 negotiable instruments, securities, stock options, or other financial instruments that are reportable on
621 Schedule E of the disclosure form prescribed in § 30-111. "Tangible gift" does not include payments or
622 reimbursements received for any intangible gift.

623 B. A legislator or candidate for the General Assembly required to file the disclosure form prescribed
624 in § 30-111 (i) shall not solicit, accept, or receive within any calendar year any single tangible or
625 intangible gift with a value in excess of \$250 exceeding \$100 or a combination of tangible or intangible
626 gifts with an aggregate value in excess of \$250 exceeding \$100 from any person that he knows or has
627 reason to know is (a) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4 of Title
628 2.2; (b) a lobbyist's principal as defined in § 2.2-419; or (c) a person, organization, or business who is a
629 party to or is seeking to become a party to a contract with the Commonwealth; (ii) shall report any
630 tangible gift with a value of \$250 or less or any intangible gift received from any person listed in clause
631 (i) on Schedule E of such disclosure form; and (iii) shall report any payments for talks, meetings, and
632 publications on Schedule D-1 of such disclosure form. For purposes of this subsection, "person,
633 organization, or business" includes individuals who are officers, directors, or owners of or who have a
634 controlling ownership interest in such organization or business, organization, or business. For purposes
635 of this section and the disclosure requirements prescribed by § 30-111, any single tangible or intangible
636 gift, or any combination of tangible or intangible gifts, with a value exceeding \$100 given to a member
637 of the immediate family of such legislator or candidate shall be considered a gift to the legislator or
638 candidate if (i) such gift was given with the knowledge and acquiescence of the legislator or candidate,
639 and (ii) the legislator or candidate knows or has reason to know that such gift was given to the member
640 of his immediate family because of his official position. No legislator or candidate or member of the
641 immediate family of such legislator or candidate shall solicit, accept, or receive any tangible or
642 intangible gift of any value when he knows or has reason to know that such gift was offered or given
643 with the intent to induce any conduct or action by the legislator or candidate related to the performance
644 of his official duties.

645 C. The \$250 limitation imposed in accordance with this section shall be adjusted by the Council
646 every five years, as of January 1 of that year, in an amount equal to the annual increases for that
647 five-year period in the United States Average Consumer Price Index for all items, all urban consumers
648 (CPI-U), as published by the Bureau of Labor Statistics of the U.S. Department of Labor, rounded to the
649 nearest whole dollar Notwithstanding the provisions of subsection B, a legislator or candidate for the
650 General Assembly required to file the disclosure form prescribed in § 30-111, or a member of the

651 immediate family of such legislator or candidate, may accept an intangible gift with a value exceeding
652 \$100 if he has submitted a written request to and has received the written approval of the Council.

653 **§ 30-111. Disclosure form.**

654 A. The disclosure form to be used for filings required by subsections A and B of § 30-110 shall be
655 substantially as follows:

656 STATEMENT OF ECONOMIC INTERESTS.

- 657 Name
- 658 Office or position held or sought
- 659 Address
- 660 Names of members of immediate family

661 DEFINITIONS AND EXPLANATORY MATERIAL.

662 "Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise,
663 association, trust or foundation, or any other individual or entity carrying on a business or profession,
664 whether or not for profit.

665 "Close financial association" means an association in which the filer shares significant financial
666 involvement with an individual and the filer would reasonably be expected to be aware of the
667 individual's business activities and would have access to the necessary records either directly or through
668 the individual. "Close financial association" does not mean an association based on (i) the receipt of
669 retirement benefits or deferred compensation from a business by which the legislator is no longer
670 employed, or (ii) the receipt of compensation for work performed by the legislator as an independent
671 contractor of a business that represents an entity before any state governmental agency when the
672 legislator has had no communications with the state governmental agency.

673 "Contingent liability" means a liability that is not presently fixed or determined, but may become
674 fixed or determined in the future with the occurrence of some certain event.

675 "Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item
676 having monetary value. It includes services as well as gifts of transportation, lodgings and meals,
677 whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the
678 expense has been incurred. "Gift" does not include (i) any offer of a ticket, coupon, or other admission
679 or pass unless the ticket, coupon, admission, or pass is used; (ii) honorary degrees; (iii) any athletic,
680 merit, or need-based scholarship or any other financial aid awarded by a public or private school,
681 institution of higher education, or other educational program pursuant to such school, institution, or
682 program's financial aid standards and procedures applicable to the general public; (iv) a campaign
683 contribution properly received and reported pursuant to Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2;
684 (v) any gift related to the private profession or occupation of a legislator or of a member of his
685 immediate family; or (vi) gifts from relatives or personal friends. "Relative" means the donee's spouse,
686 child, uncle, aunt, niece, or nephew; a person to whom the donee is engaged to be married; the donee's
687 or his spouse's parent, grandparent, grandchild, brother, or sister; or the donee's brother's or sister's
688 spouse. "Personal friend" does not include any person that the filer knows or has reason to know is (a) a
689 lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4 of Title 2.2; (b) a lobbyist's
690 principal as defined in § 2.2-419; or (c) a person, organization, or business who is a party to or is
691 seeking to become a party to a contract with the Commonwealth. "Person, organization, or business"
692 includes individuals who are officers, directors, or owners of or who have a controlling ownership
693 interest in such organization or business.

694 "Immediate family" means (i) a spouse and (ii) any child who resides in the same household as the
695 legislator and who is a dependent of the legislator.

696 "Lobbyist relationship" means (i) an engagement, agreement, or representation that relates to legal
697 services, consulting services, or public relations services, whether gratuitous or for compensation,
698 between a member or member-elect and any person who is, or has been within the prior calendar year,
699 registered as a lobbyist with the Secretary of the Commonwealth or (ii) a greater than three percent
700 ownership interest by a member or member-elect in a business that employs, or engages as an
701 independent contractor, any person who is, or has been within the prior calendar year, registered as a
702 lobbyist with the Secretary of the Commonwealth. The disclosure of a lobbyist relationship shall not (a)
703 constitute a waiver of any attorney-client or other privilege, (b) require a waiver of any attorney-client
704 or other privilege for a third party, or (c) be required where a member or member-elect is employed or
705 engaged by a person and such person also employs or engages a person in a lobbyist relationship so
706 long as the member or member-elect has no financial interest in the lobbyist relationship.

707 TRUST. If you or your immediate family, separately or together, are the only beneficiaries of a trust,
708 treat the trust's assets as if you own them directly. If you or your immediate family has a proportional
709 interest in a trust, treat that proportion of the trust's assets as if you own them directly. For example, if
710 you and your immediate family have a one-third interest in a trust, complete your Statement as if you
711 own one-third of each of the trust's assets. If you or a member of your immediate family created a trust

712 and can revoke it without the beneficiaries' consent, treat its assets as if you own them directly.

713 REPORT TO THE BEST OF INFORMATION AND BELIEF. Information required on this

714 Statement must be provided on the basis of the best knowledge, information, and belief of the individual

715 filing the Statement as of the date of this report unless otherwise stated.

716 COMPLETE ITEMS 1 THROUGH 11. REFER TO SCHEDULES ONLY IF DIRECTED.

717 You may attach additional explanatory information.

718 1. Offices and Directorships.

719 Are you or a member of your immediate family a paid officer or paid director of a business?

720 EITHER check NO // OR check YES // and complete Schedule A.

721 2. Personal Liabilities.

722 Do you or a member of your immediate family owe more than \$5,000 to any one creditor including

723 contingent liabilities? (Exclude debts to any government and loans secured by recorded liens on property

724 at least equal in value to the loan.)

725 EITHER check NO // OR check YES // and complete Schedule B.

726 3. Securities.

727 Do you or a member of your immediate family, directly or indirectly, separately or together, own

728 securities valued in excess of \$5,000 invested in one business? Account for mutual funds, limited

729 partnerships and trusts.

730 EITHER check NO // OR check YES // and complete Schedule C.

731 4. Payments for Talks, Meetings, and Publications.

732 During the past six months did you receive in your capacity as a legislator lodging, transportation,

733 money, or anything else of value with a combined value exceeding \$200 (i) for a single talk, meeting,

734 or published work or (ii) for a meeting, conference, or event where your attendance at the meeting,

735 conference, or event was designed to (a) educate you on issues relevant to your duties as a legislator,

736 including issues faced by your constituents, or (b) enhance your knowledge and skills relative to your

737 duties as a legislator? Do not include payments and reimbursements from the Commonwealth for

738 meetings attended in your capacity as a legislator; see Question 11 and Schedule D2 to report such

739 meetings.

740 EITHER check NO // OR check YES // and complete Schedule D.

741 5. Gifts.

742 During the past six months did a business, government, or individual other than a relative or personal

743 friend (i) furnish you or a member of your immediate family with any *single* gift or entertainment at a

744 single event, and the value received exceeded \$50 or (ii) furnish you or a member of your immediate

745 family with gifts or entertainment in any combination and the total value received exceeded \$100 \$50,

746 and for which you or the member of your immediate family neither paid nor rendered services in

747 exchange? Account for entertainment events only if the average value per person attending the event

748 exceeded \$50. Account for all business entertainment (except if related to the private profession or

749 occupation of you or the member of your immediate family who received such business entertainment)

750 even if unrelated to your official duties.

751 EITHER check NO // OR check YES // and complete Schedule E.

752 6. Salary and Wages.

753 List each employer that pays you or a member of your immediate family salary or wages in excess

754 of \$5,000 annually. (Exclude any salary received as a member of the General Assembly pursuant to

755 § 30-19.11.)

756 If no reportable salary or wages, check here //.

757 _____

758 _____

759 _____

760 7. Business Interests and Lobbyist Relationships.

761 7A. Do you or a member of your immediate family, separately or together, operate your own

762 business, or own or control an interest in excess of \$5,000 in a business?

763 EITHER check NO // OR check YES // and complete Schedule F-1.

764 7B. Do you have a lobbyist relationship as that term is defined above?

765 EITHER check NO // OR check YES // and complete Schedule F-2.

766 8. Payments for Representation and Other Services.

767 8A. Did you represent any businesses before any state governmental agencies, excluding courts or

768 judges, for which you received total compensation during the past six months in excess of \$1,000,

769 excluding compensation for other services to such businesses and representation consisting solely of the

770 filing of mandatory papers and subsequent representation regarding the mandatory papers?

771 EITHER check NO // OR check YES // and complete Schedule G-1.

772 8B. Subject to the same exceptions as in 8A, did persons with whom you have a close financial

773 association (partners, associates or others) represent any businesses before any state governmental agency
774 for which total compensation was received during the past six months in excess of \$1,000?

775 EITHER check NO // OR check YES // and complete Schedule G-2.

776 8C. Did you or persons with whom you have a close financial association furnish services to
777 businesses operating in Virginia, pursuant to an agreement between you and such businesses, or between
778 persons with whom you have a close financial association and such businesses for which total
779 compensation in excess of \$1,000 was received during the past six months? Services reported under this
780 provision shall not include services involving the representation of businesses that are reported under
781 question 8A or 8B above.

782 EITHER check NO // OR check YES // and complete Schedule G-3.

783 9. Real Estate.

784 Do you or a member of your immediate family hold an interest, including a partnership interest,
785 valued at more than \$5,000 in real property (other than your principal residence) for which you have not
786 already listed the full address on Schedule F? Account for real estate held in trust.

787 EITHER check NO // OR check YES // and complete Schedule H.

788 10. Real Estate Contracts with State Governmental Agencies.

789 Do you or a member of your immediate family hold an interest valued at more than \$5,000 in real
790 estate, including a corporate, partnership, or trust interest, option, easement, or land contract, which real
791 estate is the subject of a contract, whether pending or completed within the past six months, with a state
792 governmental agency?

793 If the real estate contract provides for the leasing of the property to a state governmental agency, do
794 you or a member of your immediate family hold an interest in the real estate, including a corporate,
795 partnership, or trust interest, option, easement, or land contract valued at more than \$1,000? Account for
796 all such contracts whether or not your interest is reported in Schedule F or H. This requirement to
797 disclose an interest in a lease does not apply to an interest derived through an ownership interest in a
798 business unless the ownership interest exceeds three percent of the total equity of the business.

799 EITHER check NO // OR check YES // and complete Schedule I.

800 11. Payments by the Commonwealth for Meetings.

801 During the past six months did you receive lodging, transportation, money, or anything else of value
802 with a combined value exceeding \$200 from the Commonwealth for a single meeting attended
803 out-of-state in your capacity as a legislator? Do not include reimbursements from the Commonwealth for
804 meetings attended in the Commonwealth.

805 EITHER check NO // OR check YES // and complete Schedule D-2.

806 For Statements filed in ~~January~~ June 2016 and each two years thereafter, complete the following
807 statement indicating whether you completed the ethics orientation sessions provided pursuant to law:

808 I certify that I completed ethics training as required by § 30-129.1. YES // or NO // .

809 Statements of Economic Interests are open for public inspection.

810 AFFIRMATION.

811 In accordance with the rules of the house in which I serve, if I receive a request that this disclosure
812 statement be corrected, augmented, or revised in any respect, I hereby pledge that I shall respond
813 promptly to the request. I understand that if a determination is made that the statement is insufficient, I
814 will satisfy such request or be subjected to disciplinary action of my house.

815 I swear or affirm that the foregoing information is full, true and correct to the best of my knowledge.

816 Signature _____ (Such signature shall be deemed to constitute a
817 valid notarization and shall have the same effect as if performed by a notary public.)

818 (Return only if needed to complete Statement.)

819

SCHEDULES

820

to

821

STATEMENT OF ECONOMIC INTERESTS.

822

NAME _____

823

SCHEDULE A - OFFICES AND DIRECTORSHIPS.

824

Identify each business of which you or a member of your immediate family is a paid officer or paid
825 director.

826

827

828

Name of Business Address of Business Position Held and by Whom

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SCHEDULE B - PERSONAL LIABILITIES.

Report personal liability by checking each category. Report only debts in excess of \$5,000. Do not report debts to any government. Do not report loans secured by recorded liens on property at least equal in value to the loan.

Report contingent liabilities below and indicate which debts are contingent.

1. My personal debts are as follows:

Check appropriate categories	\$5,001 to \$50,000	Check one More than \$50,000
Banks	_____	_____
Savings institutions	_____	_____
Other loan or finance companies	_____	_____
Insurance companies	_____	_____
Stock, commodity or other brokerage companies	_____	_____
Other businesses: (State principal business activity for each creditor and its name.)	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
Individual creditors: (State principal business or occupation of each creditor and its name.)	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

2. The personal debts of the members of my immediate family are as follows:

Check appropriate categories	\$5,001 to \$50,000	Check one More than \$50,000
Banks	_____	_____
Savings institutions	_____	_____
Other loan or finance companies	_____	_____
Insurance companies	_____	_____
Stock, commodity or other brokerage companies	_____	_____
Other businesses: (State principal business activity for each creditor and its name.)	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
Individual creditors: (State principal business or occupation of each creditor and its name.)	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

891 SCHEDULE C - SECURITIES.

892 "Securities" INCLUDES stocks, bonds, mutual funds, limited partnerships, and commodity futures
893 contracts.

894 "Securities" EXCLUDES certificates of deposit, money market funds, annuity contracts, and
895 insurance policies.

896 Identify each business or Virginia governmental entity in which you or a member of your immediate
897 family, directly or indirectly, separately or together, own securities valued in excess of \$5,000. Name
898 each issuer and type of security individually.

899 Do not list U.S. Bonds or other government securities not issued by the Commonwealth of Virginia
900 or its authorities, agencies, or local governments. Do not list organizations that do not do business in
901 this Commonwealth, but most major businesses conduct business in Virginia. Account for securities held
902 in trust.

903 If no reportable securities, check here // .

904 _____

905

906 Name of Issuer	907 Type of Security (stocks, bonds, mutual 908 funds, etc.)	909 Check one		
		910 \$5,001 to 911 \$50,000	912 \$50,001 to 913 \$250,000	914 More than 915 \$250,000
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____

RETURN TO ITEM 4

916 SCHEDULE D-1 - PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.

917 List each source from which you received during the past six months in your capacity as a legislator
918 lodging, transportation, money, or any other thing of value with a combined value exceeding \$200 (i)
919 for your presentation of a single talk, participation in one meeting, or publication of a work or (ii) for
920 your attendance at a meeting, conference, or event where your attendance at the meeting, conference, or
921 event was designed to (a) educate you on issues relevant to your duties as a legislator, including issues
922 faced by your constituents, or (b) enhance your knowledge and skills relative to your duties as a
923 legislator. Any lodging, transportation, money, or other thing of value received by a legislator that does
924 not satisfy the criteria of clause (i), (ii)(a), or (ii)(b) shall be listed as a gift on Schedule E. Do not list
925 payments or reimbursements by the Commonwealth. (See Schedule D-2 for such payments or
926 reimbursements.) List a payment even if you donated it to charity. Do not list information about a
927 payment if you returned it within 60 days or if you received it from an employer already listed under
928 Item 6 or from a source of income listed on Schedule F.

929 If no payment must be listed, check here // .

930 _____

931 Payer	932 Approximate Value	933 Circumstances	934 Type of Payment
			(e.g., Honoraria, 935 Travel reimburse- 936 ment, etc.)
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

RETURN TO ITEM 5

942 SCHEDULE D-2 - PAYMENTS BY THE COMMONWEALTH FOR MEETINGS.

943 List each meeting for which the Commonwealth provided payments or reimbursements during the
944 past six months to you for lodging, transportation, money, or any other thing of value with a combined
945 value exceeding \$200 for your participation in your capacity as a legislator. Do not list payments or
946 reimbursements by the Commonwealth for meetings or travel within the Commonwealth.

947 If no payment must be listed, check here // .

948 _____

949

950				Type of Payment
951				(e.g., Travel
952				reimbursement,
953	Payer	Approximate Value	Circumstances	etc.)
954	_____	_____	_____	_____
955	_____	_____	_____	_____
956	_____	_____	_____	_____
957	_____	_____	_____	_____

958
959 **SCHEDULE E - GIFTS.**

960 List each business, governmental entity, or individual that, during the past six months, (i) furnished
961 you or a member of your immediate family with any *single* gift or entertainment at a single event, and
962 the value received exceeded \$50 or (ii) furnished you or a member of your immediate family with gifts
963 or entertainment in any combination and the total value received exceeded ~~\$100~~ \$50, and for which you
964 or the member of your immediate family neither paid nor rendered services in exchange. List each such
965 gift or event.

966 Do not list entertainment events unless the average value per person attending the event exceeded
967 \$50. Do not list business entertainment related to the private profession or occupation of you or the
968 member of your immediate family who received such business entertainment. Do not list gifts or other
969 things of value given by a relative or personal friend for reasons clearly unrelated to your public
970 position. Do not list campaign contributions publicly reported as required by Chapter 9.3 (§ 24.2-945 et
971 seq.) of Title 24.2 of the Code of Virginia.

972	_____				
973					
974	Name of	Name of Business,	City or	Exact	Approximate
975	Name of	Organization, or	County	Gift or	Value
976	Recipient	Individual	and State	Event	
977	_____	_____	_____	_____	_____
978	_____	_____	_____	_____	_____
979	_____	_____	_____	_____	_____
980	_____	_____	_____	_____	_____

981
982
983 RETURN TO ITEM 6

984 **SCHEDULE F-1 - BUSINESS INTERESTS.**

985 Complete this Schedule for each self-owned or family-owned business (including rental property, a
986 farm, or consulting work), partnership, or corporation in which you or a member of your immediate
987 family, separately or together, own an interest having a value in excess of \$5,000.

988 If the enterprise is owned or operated under a trade, partnership, or corporate name, list that name;
989 otherwise, merely explain the nature of the enterprise. If rental property is owned or operated under a
990 trade, partnership, or corporate name, list the name only; otherwise, give the address of each property.
991 Account for business interests held in trust.

992	_____					
993	Name of			Gross income		
994	Business					
995	Corporation,					
996	Partnership,	Nature of		\$50,001	More	
997	Farm;	Enterprise		to	than	
998	Address of	City or	(farming,	\$50,000		
999	Rental	County	law, rental	or less	\$250,000	\$250,000
1000	Property	and State	property, etc.)			
1001	_____	_____	_____	_____	_____	_____
1002	_____	_____	_____	_____	_____	_____
1003	_____	_____	_____	_____	_____	_____
1004	_____	_____	_____	_____	_____	_____
1005	_____	_____	_____	_____	_____	_____

1006
1007 RETURN TO ITEM 8

1008 **SCHEDULE F-2 - LOBBYIST RELATIONSHIPS AND PAYMENTS.**

1008 Complete this Schedule for each lobbyist relationship with the following:
 1009 (i) any person who is, or has been within the prior calendar year, registered as a lobbyist with the
 1010 Secretary of the Commonwealth, or
 1011 (ii) any business in which you have a greater than three percent ownership interest and that business
 1012 employs, or engages as an independent contractor, any person who is, or has been within the prior
 1013 calendar year, registered as a lobbyist with the Secretary of the Commonwealth.

1014 _____

1015 _____

1016					Payments to
1017					Lobbyist
1018	List each person	Describe each	Dates of	\$10,000	More than
1019	or business	relationship	relationship	or less	\$10,000
1020	_____	_____	_____	_____	_____
1021	_____	_____	_____	_____	_____
1022	_____	_____	_____	_____	_____
1023	_____	_____	_____	_____	_____
1024	_____	_____	_____	_____	_____

1025 _____

1026 THE DISCLOSURE OF A LOBBYIST RELATIONSHIP SHALL NOT (I) CONSTITUTE A
 1027 WAIVER OF ANY ATTORNEY-CLIENT OR OTHER PRIVILEGE, (II) REQUIRE A WAIVER OF
 1028 ANY ATTORNEY-CLIENT OR OTHER PRIVILEGE FOR A THIRD PARTY, OR (III) BE
 1029 REQUIRED WHERE A MEMBER OR MEMBER-ELECT IS EMPLOYED OR ENGAGED BY A
 1030 PERSON AND SUCH PERSON ALSO EMPLOYS OR ENGAGES A PERSON IN A LOBBYIST
 1031 RELATIONSHIP SO LONG AS THE MEMBER OR MEMBER-ELECT HAS NO FINANCIAL
 1032 INTEREST IN THE LOBBYIST RELATIONSHIP.

1033 SCHEDULE G-1 - PAYMENTS FOR REPRESENTATION BY YOU.
 1034 List the businesses you represented before any state governmental agency, excluding any court or
 1035 judge, for which you received total compensation during the past six months in excess of \$1,000,
 1036 excluding compensation for other services to such businesses and representation consisting solely of the
 1037 filing of mandatory papers and subsequent representation regarding the mandatory papers filed by you.
 1038 Identify each business, the nature of the representation and the amount received by dollar category
 1039 from each such business. You may state the type, rather than name, of the business if you are required
 1040 by law not to reveal the name of the business represented by you.

1041 _____

1042 _____

1043			Pur-						
1044			pose	Amount Received					
1045	Name	Type	of	Name	\$1,001	\$10,001	\$50,001	\$100,001	
1046	of	of	Repre-	of	to	to	to	to	\$250,001
1047	Busi-	Busi-	sentat-	Agency	\$10,000	\$50,000	\$100,000	\$250,000	and over
1048	ness	ness	tion						
1049	_____	_____	_____	_____	_____	_____	_____	_____	_____
1050	_____	_____	_____	_____	_____	_____	_____	_____	_____
1051	_____	_____	_____	_____	_____	_____	_____	_____	_____
1052	_____	_____	_____	_____	_____	_____	_____	_____	_____

1053 _____

1054 If you have received \$250,001 or more from a single business within the reporting period, indicate
 1055 the amount received, rounded to the nearest \$10,000. Amount Received: _____.

1056 SCHEDULE G-2 - PAYMENTS FOR REPRESENTATION BY ASSOCIATES.
 1057 List the businesses that have been represented before any state governmental agency, excluding any
 1058 court or judge, by persons who are your partners, associates or others with whom you have a close
 1059 financial association and who received total compensation in excess of \$1,000 for such representation
 1060 during the past six months, excluding representation consisting solely of the filing of mandatory papers
 1061 and subsequent representation regarding the mandatory papers filed by your partners, associates or others
 1062 with whom you have a close financial association.

1063 Identify such businesses by type and also name the state governmental agencies before which such
 1064 person appeared on behalf of such businesses.

1065 _____

1066 _____

- 1124 Beer, wine or
- 1125 liquor companies
- 1126 or distributors _____
- 1127 Trade associations _____
- 1128 Professional
- 1129 associations _____
- 1130 Associations of
- 1131 public employees
- 1132 or officials _____
- 1133 Counties, cities
- 1134 or towns _____
- 1135 Labor organizations _____
- 1136 Other _____
- 1137 _____

RETURN TO ITEM 9

SCHEDULE H - REAL ESTATE.

List real estate other than your principal residence in which you or a member of your immediate family holds an interest, including a partnership interest, option, easement, or land contract, valued at \$5,000 or more. Each parcel shall be listed individually.

1143	_____		
1144	_____		
1145		Describe the type of real	
1146		estate you own in each	
1147	List the location	location (business,	If the real estate is
1148	(state, and county	recreational, apartment,	owned or recorded in
1149	or city where you	commercial, open land,	a name other than your
1150	own real estate	etc.)	own, list that name
1151	_____	_____	_____
1152	_____	_____	_____
1153	_____	_____	_____
1154	_____	_____	_____
1155	_____	_____	_____
1156	_____		

RETURN TO ITEM 10

SCHEDULE I - REAL ESTATE CONTRACTS WITH STATE GOVERNMENTAL AGENCIES.

List all contracts, whether pending or completed within the past six months, with a state governmental agency for the sale or exchange of real estate in which you or a member of your immediate family holds an interest, including a corporate, partnership or trust interest, option, easement, or land contract, valued at more than \$10,000. List all contracts with a state governmental agency for the lease of real estate in which you or a member of your immediate family holds such an interest valued at more than \$1,000. This requirement to disclose an interest in a lease does not apply to an interest derived through an ownership interest in a business unless the ownership interest exceeds three percent of the total equity of the business.

1167	_____		
1168	_____		
1169	List your real		
1170	estate interest and		
1171	the person or entity,		
1172	including the type of		
1173	entity, which is		
1174	party to the contract.		State the annual
1175	Describe any		income from the
1176	management role and	List each	contract, and the
1177	the percentage	governmental agency	amount, if any, of
1178	ownership interest	which is a party to	income you or any
1179	you or your immediate	the contract and	immediate family
1180	family member has in	indicate the county	member derives
1181	the real estate	or city where the	annually from

1182	or entity.	real estate is located.	the contract.
1183	_____	_____	_____
1184	_____	_____	_____
1185	_____	_____	_____
1186	_____	_____	_____
1187	_____	_____	_____

1188
1189 B. Any legislator who makes a knowing misstatement of a material fact on the Statement of
1190 Economic Interests shall be subject to disciplinary action for such violations by the house in which the
1191 legislator sits.

1192 C. The Statement of Economic Interests of all members of each house shall be reviewed by the
1193 Council. If a legislator's Statement is found to be inadequate as filed, the legislator shall be notified in
1194 writing and directed to file an amended Statement correcting the indicated deficiencies, and a time shall
1195 be set within which such amendment shall be filed. If the Statement of Economic Interests, in either its
1196 original or amended form, is found to be adequate as filed, the legislator's filing shall be deemed in full
1197 compliance with this section as to the information disclosed thereon.

1198 D. Ten percent of the membership of a house, on the basis of newly discovered facts, may in writing
1199 request the house in which those members sit, in accordance with the rules of that house, to review the
1200 Statement of Economic Interests of another member of that house in order to determine the adequacy of
1201 his filing. In accordance with the rules of each house, each Statement of Economic Interests shall be
1202 promptly reviewed, the adequacy of the filing determined, and notice given in writing to the legislator
1203 whose Statement is in issue. Should it be determined that the Statement requires correction,
1204 augmentation or revision, the legislator involved shall be directed to make the changes required within
1205 such time as shall be set under the rules of each house.

1206 If a legislator, after having been notified in writing in accordance with the rules of the house in
1207 which he sits that his Statement is inadequate as filed, fails to amend his Statement so as to come into
1208 compliance within the time limit set, he shall be subject to disciplinary action by the house in which he
1209 sits. No legislator shall vote on any question relating to his own Statement.

1210 **§ 30-123. Knowing violation of chapter a misdemeanor.**

1211 Any legislator who knowingly violates any of the provisions of Articles 2 through 5 4 (§§ 30-102
1212 through ~~30-111~~ 30-108) of this chapter shall be or § 30-109, 30-110, or 30-111 is guilty of a Class 1
1213 misdemeanor. However, a knowing violation of § 30-103.1 by a legislator or a candidate for the
1214 General Assembly is punishable by a civil penalty in an amount equal to \$250, and any such legislator
1215 or candidate who knowingly commits a second or subsequent violation within four years of a previous
1216 violation is guilty of a Class 1 misdemeanor.

1217 A knowing violation under this section is one in which the person engages in conduct, performs an
1218 act or refuses to perform an act when he knows that the conduct is prohibited or required by this
1219 chapter. There shall be no prosecution for a violation of § 30-108 or subsection C of § 30-110 unless the
1220 house in which the member sits has referred the matter to the Attorney General as provided in
1221 subdivision 4 of § 30-116.

1222 **§ 30-126. Civil penalty from violation of this chapter.**

1223 In addition to any other fine or penalty provided by law, any money or other thing of value derived
1224 by a legislator from a violation of §§ § 30-103 or §§ 30-104 through 30-108 shall be forfeited and, in
1225 the event of a knowing violation, there may also be imposed a civil penalty in an amount equal to the
1226 amount of money or thing of value forfeited to the Commonwealth. If the thing of value received by the
1227 legislator in violation of this chapter should enhance in value between the time of the violation and the
1228 time of discovery of the violation, the greater value shall determine the amount of the civil penalty.

1229 **§ 30-356. Powers and duties of the Council.**

1230 The Council shall:

1231 1. Review all disclosure forms filed by lobbyists pursuant to Article 3 and by state and local
1232 government officers and employees and legislators pursuant to the Acts. The Council shall review all
1233 disclosure forms for completeness, which shall include reviewing the information contained on the face
1234 of the form to determine if the disclosure form has been fully completed and comparing the disclosures
1235 contained in any disclosure form filed by a lobbyist pursuant to § 2.2-426 with other disclosure forms
1236 filed with the Council, and ~~be followed by requests for~~ shall request additional amendments as needed
1237 to ensure the completeness of and correction of errors in the forms, if necessary;

1238 2. Accept any disclosure forms by computer or electronic means in accordance with the standards
1239 approved by the Council and using software meeting standards approved by it. The Council shall
1240 provide software to filers without charge and may prescribe the method of execution and certification of
1241 electronically filed forms, including the use of an electronic signature as authorized by the Uniform
1242 Electronic Transactions Act (§ 59.1-479 et seq.), and the procedures for receiving forms in the office of

1243 the Council;

1244 3. Beginning July 1, 2015, establish and maintain a searchable electronic database comprising
1245 disclosure forms filed pursuant to §§ 2.2-426, 2.2-3117, 2.2-3118, and 30-111. Such database shall be
1246 available to the public through the Council's official website;

1247 4. Furnish, upon request, formal advisory opinions or guidelines and other appropriate information,
1248 including informal advice, regarding ethics and conflicts issues arising under Article 3 or the Acts to any
1249 person or to any agency of state or local government, in an expeditious manner. Informal advice given
1250 by the Council is confidential, protected by the attorney-client privilege, and is excluded from the
1251 provisions of the Virginia Freedom of Information Act (§ 2.2-3700 et seq.);

1252 5. *Review and approve or deny requests submitted by state and local government officers and*
1253 *employees, legislators, and candidates required to file the disclosure form prescribed in § 2.2-3117 or*
1254 *30-111 to accept an intangible gift with a value exceeding \$100. The Council may approve those*
1255 *requests that provide a public benefit and do not raise the appearance of impropriety. The Council shall*
1256 *prescribe the standards and procedures for receiving, reviewing, and approving or denying such*
1257 *requests;*

1258 6. Conduct training seminars and educational programs for lobbyists, state and local government
1259 officers and employees ~~and~~, legislators, and other interested persons on the requirements of Article 3 and
1260 the Acts and provide ethics orientation sessions for legislators in compliance with Article 6 (§ 30-129.1
1261 et seq.) of Chapter 13;

1262 ~~6.~~ 7. Approve orientation courses conducted pursuant to § 2.2-3128 and, upon request, review the
1263 educational materials and approve any training or course on the requirements of Article 3 and the Acts
1264 conducted for state and local government officers and employees;

1265 7. 8. Publish such educational materials as it deems appropriate on the provisions of Article 3 and
1266 the Acts;

1267 8. 9. Review actions taken in the General Assembly with respect to the discipline of its members for
1268 the purpose of offering nonbinding advice;

1269 9. 10. Request from any agency of state or local government such assistance, services, and
1270 information as will enable the Council to effectively carry out its responsibilities. Information provided
1271 to the Council by an agency of state or local government shall not be released to any other party unless
1272 authorized by such agency; and

1273 ~~10.~~ 11. Report on or before December 1 of each year on its activities and findings regarding Article
1274 3 and the Acts, including recommendations for changes in the laws, to the General Assembly and the
1275 Governor. The annual report shall be submitted by the chairman as provided in the procedures of the
1276 Division of Legislative Automated Systems for the processing of legislative documents and reports and
1277 shall be published as a state document.

1278 **2. That the provisions of this act may result in a net increase in periods of imprisonment or**
1279 **commitment. Pursuant to § 30-19.1:4, the estimated amount of the necessary appropriation cannot**
1280 **be determined for periods of imprisonment in state adult correctional facilities; therefore, Chapter**
1281 **2 of the Acts of Assembly of 2014, Special Session I, requires the Virginia Criminal Sentencing**
1282 **Commission to assign a minimum fiscal impact of \$50,000. Pursuant to § 30-19.1:4, the estimated**
1283 **amount of the necessary appropriation is \$0 for periods of commitment to the custody of the**
1284 **Department of Juvenile Justice.**