

15101176D

**HOUSE BILL NO. 2070**

Offered January 14, 2015

Prefiled January 14, 2015

*A BILL to amend and reenact §§ 2.2-3103, 2.2-3103.1, 2.2-3117, 30-103, 30-103.1, 30-111, and 30-357 of the Code of Virginia and to amend the Code of Virginia by adding a section numbered 30-356.1, relating to the State and Local Government Conflict of Interests Act, the General Assembly Conflicts of Interests Act, and the Virginia Conflict of Interest and Ethics Advisory Council; certain gifts prohibited; waivers required for certain travel.*

Patrons—Gilbert, McClellan, Minchew, Toscano, Albo, Anderson, Bell, Richard P., Bell, Robert B., Berg, Cox, Edmunds, Greason, Howell, Leftwich, Morefield, Pillion, Ransone, Rust and Yancey

Referred to Committee for Courts of Justice

**Be it enacted by the General Assembly of Virginia:**

**1. That §§ 2.2-3103, 2.2-3103.1, 2.2-3117, 30-103, 30-103.1, 30-111, and 30-357 of the Code of Virginia are amended and reenacted and that the Code of Virginia is amended by adding a section numbered 30-356.1 as follows:**

**§ 2.2-3103. Prohibited conduct.**

No officer or employee of a state or local governmental or advisory agency shall:

1. Solicit or accept money or other thing of value for services performed within the scope of his official duties, except the compensation, expenses or other remuneration paid by the agency of which he is an officer or employee. This prohibition shall not apply to the acceptance of special benefits that may be authorized by law;

2. Offer or accept any money or other thing of value for or in consideration of obtaining employment, appointment, or promotion of any person with any governmental or advisory agency;

3. Offer or accept any money or other thing of value for or in consideration of the use of his public position to obtain a contract for any person or business with any governmental or advisory agency;

4. Use for his own economic benefit or that of another party confidential information that he has acquired by reason of his public position and which is not available to the public;

5. Accept any money, loan, gift, favor, service, or business or professional opportunity that reasonably tends to influence him in the performance of his official duties. This subdivision shall not apply to any political contribution actually used for political campaign or constituent service purposes and reported as required by Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2;

6. Accept any business or professional opportunity when he knows that there is a reasonable likelihood that the opportunity is being afforded him to influence him in the performance of his official duties;

7. Accept any honoraria for any appearance, speech, or article in which the officer or employee provides expertise or opinions related to the performance of his official duties. The term "honoraria" shall not include any payment for or reimbursement to such person for his actual travel, lodging, or subsistence expenses incurred in connection with such appearance, speech, or article or in the alternative a payment of money or anything of value not in excess of the per diem deduction allowable under § 162 of the Internal Revenue Code, as amended from time to time. The prohibition in this subdivision shall apply only to the Governor, Lieutenant Governor, Attorney General, Governor's Secretaries, and heads of departments of state government;

8. Accept a gift from a person who has interests that may be substantially affected by the performance of the officer's or employee's official duties under circumstances where the timing and nature of the gift would cause a reasonable person to question the officer's or employee's impartiality in the matter affecting the donor. Violations of this subdivision shall not be subject to criminal law penalties; or

9. Accept gifts from sources on a basis so frequent as to raise an appearance of the use of his public office for private gain. Violations of this subdivision shall not be subject to criminal law penalties; or

10. Solicit, accept, or receive any gift of travel with a value exceeding \$100 from a third party, prior to submitting to the Council a request for a waiver to accept such gift of travel and receiving such a waiver pursuant to § 30-356.1. Gifts of travel include transportation, lodging, meals, hospitality, and any other travel-related thing of value.

**§ 2.2-3103.1. Certain gifts prohibited.**

A. For purposes of this section:

"Intangible gift" means a thing of temporary value or a thing that upon the happening of a certain

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58 event or expiration of a given date loses its value. "Intangible gift" includes entertainment, hospitality, a  
59 ticket, admission, or pass; transportation, lodgings, and meals that are reportable on Schedule E of the  
60 disclosure form prescribed in § 2.2-3117.

61 "Tangible gift" means a thing of value that does not lose its value upon the happening of a certain  
62 event or expiration of a given date. "Tangible gift" includes currency, negotiable instruments, securities,  
63 stock options, or other financial instruments that are reportable on Schedule E of the disclosure form  
64 prescribed in § 2.2-3117. "Tangible gift" does not include payments or reimbursements received for any  
65 intangible gift.

66 "Person, organization, or business" includes individuals who are officers, directors, or owners of or  
67 who have a controlling ownership interest in such organization or business.

68 "Widely attended event" means an event in which there is a reasonable expectation that at least 25  
69 persons will attend the event and the event is open to individuals from throughout a particular industry  
70 or profession or who represent persons interested in a particular issue.

71 B. An officer or employee of a local governmental or advisory agency or candidate required to file  
72 the disclosure form prescribed in § 2.2-3117 (i) shall not solicit, accept, or receive within any calendar  
73 year any single tangible gift with a value in excess of \$250 or a combination of tangible gifts with an  
74 aggregate value in excess of \$250 from any person that he knows or has reason to know is (a) a  
75 lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4; (b) a lobbyist's principal as  
76 defined in § 2.2-419; or (c) a person, organization, or business who is a party to or is seeking to become  
77 a party to a contract with the local agency of which he is an officer or an employee; (ii) shall report any  
78 tangible gift with a value of \$250 or less or any intangible gift received from any person listed in clause  
79 (i) on Schedule E of such disclosure form; and (iii) shall report any payments for talks, meetings, and  
80 publications on Schedule D of such disclosure form shall not solicit, accept, or receive from any person  
81 that he knows or has reason to know is (i) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.)  
82 of Chapter 4; (ii) a lobbyist's principal as defined in § 2.2-419; or (iii) a person, organization, or  
83 business who is or is seeking to become a party to a contract with the local agency of which he is an  
84 officer or employee any single gift with a value in excess of \$100.

85 C. An officer or employee of a state governmental or advisory agency or candidate required to file  
86 the disclosure form prescribed in § 2.2-3117 (i) shall not solicit, accept, or receive within any calendar  
87 year any single tangible gift with a value in excess of \$250 or a combination of tangible gifts with an  
88 aggregate value in excess of \$250 from any person that he knows or has reason to know is (a) a  
89 lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4; (b) a lobbyist's principal as  
90 defined in § 2.2-419; or (c) a person, organization, or business who is a party to or is seeking to become  
91 a party to a contract with the Commonwealth; (ii) shall report any tangible gift with a value of \$250 or  
92 less or any intangible gift received from any person listed in clause (i) on Schedule E of such disclosure  
93 form; and (iii) shall report any payments for talks, meetings, and publications on Schedule D of such  
94 disclosure form shall not solicit, accept, or receive from any person that he knows or has reason to  
95 know is (i) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4; (ii) a lobbyist's  
96 principal as defined in § 2.2-419; or (iii) a person, organization, or business who is or is seeking to  
97 become a party to a contract with the Commonwealth any single gift with a value in excess of \$100.

98 D. Notwithstanding the provisions of subsections B and C, such officer, employee, or candidate may  
99 accept or receive a gift with a value in excess of \$100 when such gift is accepted or received while in  
100 attendance at a widely attended event and the gift is offered to all attendees as part of the event. Such  
101 gifts shall be reported on the disclosure form prescribed in § 2.2-3117.

102 E. Notwithstanding the provisions of subsections B and C, such officer, employee, or candidate may  
103 accept or receive gifts of travel, including transportation, lodging, hospitality, or other travel-related  
104 thing of value, with a value in excess of \$100 that is paid for or provided by a person listed in  
105 subsection B or C when he has submitted a request for a waiver to the Council and has received the  
106 approval of the Council pursuant to § 30-356.1. Such gifts shall be reported on the disclosure form  
107 prescribed in § 2.2-3117.

108 F. During the pendency of a civil action in any state or federal court to which the Commonwealth is  
109 a party, the Governor or the Attorney General or any employee of the Governor or the Attorney General  
110 who is subject to the provisions of this chapter shall not solicit, accept, or receive any tangible gift from  
111 any person that he knows or has reason to know is a person, organization, or business who is a party to  
112 such civil action. A person, organization, or business who is a party to such civil action shall not  
113 knowingly give any tangible gift to the Governor or the Attorney General or any of their employees  
114 who are subject to the provisions of this chapter.

115 G. The \$250/\$100 limitation imposed in accordance with this section shall be adjusted by the  
116 Council every five years, as of January 1 of that year, in an amount equal to the annual increases for  
117 that five-year period in the United States Average Consumer Price Index for all items, all urban  
118 consumers (CPI-U), as published by the Bureau of Labor Statistics of the U.S. Department of Labor,  
119 rounded to the nearest whole dollar.

F. For purposes of this section, ~~"person, organization, or business"~~ includes individuals who are officers, directors, or owners of or who have a controlling ownership interest in such organization or business.

**§ 2.2-3117. Disclosure form.**

The disclosure form to be used for filings required by subsections A and D of § 2.2-3114 and subsections A and E of § 2.2-3115 shall be substantially as follows:

STATEMENT OF ECONOMIC INTERESTS.

Name .....

Office or position held or sought .....

Address .....

Names of members of immediate family .....

**DEFINITIONS AND EXPLANATORY MATERIAL.**

"Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise, association, trust or foundation, or any other individual or entity carrying on a business or profession, whether or not for profit.

"Close financial association" means an association in which the person filing shares significant financial involvement with an individual and the filer would reasonably be expected to be aware of the individual's business activities and would have access to the necessary records either directly or through the individual. "Close financial association" does not mean an association based on (i) the receipt of retirement benefits or deferred compensation from a business by which the person filing this statement is no longer employed, or (ii) the receipt of compensation for work performed by the person filing as an independent contractor of a business that represents an entity before any state governmental agency when the person filing has had no communications with the state governmental agency.

"Contingent liability" means a liability that is not presently fixed or determined, but may become fixed or determined in the future with the occurrence of some certain event.

"Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item having monetary value. It includes services as well as gifts of transportation, lodgings and meals, whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the expense has been incurred. "Gift" does not include (i) any offer of a ticket, coupon, or other admission or pass unless the ticket, coupon, admission, or pass is used; (ii) honorary degrees; (iii) any athletic, merit, or need-based scholarship or any other financial aid awarded by a public or private school, institution of higher education, or other educational program pursuant to such school, institution, or program's financial aid standards and procedures applicable to the general public; (iv) a campaign contribution properly received and reported pursuant to Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2; (v) any gift related to the private profession or occupation of an officer or employee or of a member of his immediate family; or (vi) gifts from relatives or personal friends. "Relative" means the donee's spouse, child, uncle, aunt, niece, or nephew; a person to whom the donee is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother, or sister; or the donee's brother's or sister's spouse. "Personal friend" does not include any person that the filer knows or has reason to know is (a) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4 of Title 2.2; (b) a lobbyist's principal as defined in § 2.2-419; (c) for an officer or employee of a local governmental or advisory agency, a person, organization, or business who is a party to or is seeking to become a party to a contract with the local agency of which he is an officer or an employee; or (d) for an officer or employee of a state governmental or advisory agency, a person, organization, or business who is a party to or is seeking to become a party to a contract with the Commonwealth. "Person, organization, or business" includes individuals who are officers, directors, or owners of or who have a controlling ownership interest in such organization or business.

"Immediate family" means (i) a spouse and (ii) any child who resides in the same household as the officer or employee and who is a dependent of the officer or employee.

**TRUST.** If you or your immediate family, separately or together, are the only beneficiaries of a trust, treat the trust's assets as if you own them directly. If you or your immediate family has a proportional interest in a trust, treat that proportion of the trust's assets as if you own them directly. For example, if you and your immediate family have a one-third interest in a trust, complete your Statement as if you own one-third of each of the trust's assets. If you or a member of your immediate family created a trust and can revoke it without the beneficiaries' consent, treat its assets as if you own them directly.

**REPORT TO THE BEST OF INFORMATION AND BELIEF.** Information required on this Statement must be provided on the basis of the best knowledge, information, and belief of the individual filing the Statement as of the date of this report unless otherwise stated.

**COMPLETE ITEMS 1 THROUGH 10. REFER TO SCHEDULES ONLY IF DIRECTED.**

You may attach additional explanatory information.

1. Offices and Directorships.

181 Are you or a member of your immediate family a paid officer or paid director of a business?

182 EITHER check NO // OR check YES // and complete Schedule A.

183 2. Personal Liabilities.

184 Do you or a member of your immediate family owe more than \$5,000 to any one creditor including  
185 contingent liabilities? (Exclude debts to any government and loans secured by recorded liens on property  
186 at least equal in value to the loan.)

187 EITHER check NO // OR check YES // and complete Schedule B.

188 3. Securities.

189 Do you or a member of your immediate family, directly or indirectly, separately or together, own  
190 securities valued in excess of \$5,000 invested in one business? Account for mutual funds, limited  
191 partnerships and trusts.

192 EITHER check NO // OR check YES // and complete Schedule C.

193 4. Payments for Talks, Meetings, and Publications.

194 During the past six months did you receive in your capacity as an officer or employee of your  
195 agency lodging, transportation, money, or anything else of value with a combined value exceeding \$200  
196 (i) for a single talk, meeting, or published work or (ii) for a meeting, conference, or event where your  
197 attendance at the meeting, conference, or event was designed to (a) educate you on issues relevant to  
198 your duties as an officer or employee of your agency or (b) enhance your knowledge and skills relative  
199 to your duties as an officer or employee of your agency?

200 EITHER check NO // OR check YES // and complete Schedule D.

201 5. Gifts.

202 During the past six months did a business, government, or individual other than a relative or personal  
203 friend (i) furnish you or a member of your immediate family with any gift or entertainment at a single  
204 event, and the value received exceeded \$50 or (ii) furnish you or a member of your immediate family  
205 with gifts or entertainment in any combination and the total value received exceeded \$400 \$50, and for  
206 which you or the member of your immediate family neither paid nor rendered services in exchange?  
207 Account for entertainment events only if the average value per person attending the event exceeded \$50.  
208 Account for all business entertainment (except if related to the private profession or occupation of you  
209 or the member of your immediate family who received such business entertainment) even if unrelated to  
210 your official duties.

211 EITHER check NO // OR check YES // and complete Schedule E.

212 6. Salary and Wages.

213 List each employer that pays you or a member of your immediate family salary or wages in excess  
214 of \$5,000 annually. (Exclude state or local government or advisory agencies.)

215 If no reportable salary or wages, check here //.

216 \_\_\_\_\_  
217 \_\_\_\_\_  
218 \_\_\_\_\_

219 7. Business Interests.

220 Do you or a member of your immediate family, separately or together, operate your own business, or  
221 own or control an interest in excess of \$5,000 in a business?

222 EITHER check NO // OR check YES // and complete Schedule F.

223 8. Payments for Representation and Other Services.

224 8A. Did you represent, excluding activity defined as lobbying in § 2.2-419, any businesses before any  
225 state governmental agencies, excluding courts or judges, for which you received total compensation  
226 during the past six months in excess of \$1,000, excluding compensation for other services to such  
227 businesses and representation consisting solely of the filing of mandatory papers and subsequent  
228 representation regarding the mandatory papers? (Officers and employees of local governmental and  
229 advisory agencies do NOT need to answer this question or complete Schedule G-1.)

230 EITHER check NO // OR check YES // and complete Schedule G-1.

231 8B. Subject to the same exceptions as in 8A, did persons with whom you have a close financial  
232 association (partners, associates or others) represent, excluding activity defined as lobbying in § 2.2-419,  
233 any businesses before any state governmental agency for which total compensation was received during  
234 the past six months in excess of \$1,000? (Officers and employees of local governmental and advisory  
235 agencies do NOT need to answer this question or complete Schedule G-2.)

236 EITHER check NO // OR check YES // and complete Schedule G-2.

237 8C. Did you or persons with whom you have a close financial association furnish services to  
238 businesses operating in Virginia pursuant to an agreement between you and such businesses, or between  
239 persons with whom you have a close financial association and such businesses for which total  
240 compensation in excess of \$1,000 was received during the past six months? Services reported under this  
241 provision shall not include services involving the representation of businesses that are reported under  
242 item 8A or 8B.

EITHER check NO // OR check YES // and complete Schedule G-3.

9. Real Estate.

9A. State Officers and Employees.

Do you or a member of your immediate family hold an interest, including a partnership interest, valued at more than \$5,000 in real property (other than your principal residence) for which you have not already listed the full address on Schedule F? Account for real estate held in trust.

EITHER check NO // OR check YES // and complete Schedule H-1.

9B. Local Officers and Employees.

Do you or a member of your immediate family hold an interest, including a partnership interest, or option, easement, or land contract, valued at more than \$5,000 in real property (other than your principal residence) for which you have not already listed the full address on Schedule F? Account for real estate held in trust.

EITHER check NO // OR check YES // and complete Schedule H-2.

10. Real Estate Contracts with Governmental Agencies.

Do you or a member of your immediate family hold an interest valued at more than \$5,000 in real estate, including a corporate, partnership, or trust interest, option, easement, or land contract, which real estate is the subject of a contract, whether pending or completed within the past six months, with a governmental agency? If the real estate contract provides for the leasing of the property to a governmental agency, do you or a member of your immediate family hold an interest in the real estate valued at more than \$1,000? Account for all such contracts whether or not your interest is reported in Schedule F, H-1, or H-2. This requirement to disclose an interest in a lease does not apply to an interest derived through an ownership interest in a business unless the ownership interest exceeds three percent of the total equity of the business.

EITHER check NO // OR check YES // and complete Schedule I.

Statements of Economic Interests are open for public inspection.

AFFIRMATION BY ALL FILERS.

I swear or affirm that the foregoing information is full, true and correct to the best of my knowledge.

Signature .....

(Return only if needed to complete Statement.)

#### SCHEDULES

to

#### STATEMENT OF ECONOMIC INTERESTS.

NAME .....

#### SCHEDULE A - OFFICES AND DIRECTORSHIPS.

Identify each business of which you or a member of your immediate family is a paid officer or paid director.

Name of Business	Address of Business	Position Held and by Whom

RETURN TO ITEM 2

#### SCHEDULE B - PERSONAL LIABILITIES.

Report personal liability by checking each category. Report only debts in excess of \$5,000. Do not report debts to any government. Do not report loans secured by recorded liens on property at least equal in value to the loan.

Report contingent liabilities below and indicate which debts are contingent.

1. My personal debts are as follows:

Check appropriate categories	Check one \$5,001 to \$50,000	More than \$50,000
Banks		
Savings institutions		
Other loan or finance companies		

302 Insurance companies \_\_\_\_\_  
 303 Stock, commodity or other brokerage companies \_\_\_\_\_  
 304 Other businesses: \_\_\_\_\_  
 305 (State principal business activity for each  
 306 creditor and its name.) \_\_\_\_\_  
 307 \_\_\_\_\_  
 308 \_\_\_\_\_  
 309 \_\_\_\_\_  
 310 Individual creditors:  
 311 (State principal business or occupation of  
 312 each creditor and its name.) \_\_\_\_\_  
 313 \_\_\_\_\_  
 314 \_\_\_\_\_  
 315 \_\_\_\_\_  
 316 \_\_\_\_\_  
 317 2. The personal debts of the members of my immediate family are as follows:  
 318 \_\_\_\_\_  
 319 \_\_\_\_\_

320	Check	Check one
321	appropriate	\$5,001 to
322	categories	\$50,000
323		More than
324		\$50,000
323	Banks	_____
324	Savings institutions	_____
325	Other loan or finance companies	_____
326	Insurance companies	_____
327	Stock, commodity or other brokerage companies	_____
328	Other businesses:	_____
329	(State principal business activity for each	_____
330	creditor and its name.)	_____
331	_____	_____
332	_____	_____
333	_____	_____
334	Individual creditors:	_____
335	(State principal business or occupation of	_____
336	each creditor and its name.)	_____
337	_____	_____
338	_____	_____
339	_____	_____
340	_____	_____

RETURN TO ITEM 3

### 342 SCHEDULE C - SECURITIES.

343 "Securities" INCLUDES stocks, bonds, mutual funds, limited partnerships, and commodity futures  
 344 contracts.

345 "Securities" EXCLUDES certificates of deposit, money market funds, annuity contracts, and  
 346 insurance policies.

347 Identify each business or Virginia governmental entity in which you or a member of your immediate  
 348 family, directly or indirectly, separately or together, own securities valued in excess of \$5,000. Name  
 349 each issuer and type of security individually.

350 Do not list U.S. Bonds or other government securities not issued by the Commonwealth of Virginia  
 351 or its authorities, agencies, or local governments. Do not list organizations that do not do business in  
 352 this Commonwealth, but most major businesses conduct business in Virginia. Account for securities held  
 353 in trust.

354 If no reportable securities, check here //.

357	Type of Security	Check one
358	(stocks, bonds, mutual	\$5,001 \$50,001 More
359	to to than	

Name of Issuer	funds, etc.)	\$50,000	\$250,000	\$250,000

RETURN TO ITEM 4

**SCHEDULE D - PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.**

List each source from which you received during the past six months in your capacity as an officer or employee of your agency lodging, transportation, money, or any other thing of value with combined value exceeding \$200 (i) for your presentation of a single talk, participation in one meeting, or publication of a work or (ii) for your attendance at a meeting, conference, or event where your attendance at the meeting, conference, or event was designed to (a) educate you on issues relevant to your duties as an officer or employee of your agency or (b) enhance your knowledge and skills relative to your duties as an officer or employee of your agency. Any lodging, transportation, money, or other thing of value received by an officer or employee that does not satisfy the provisions of clause (i), (ii) (a), or (ii) (b) shall be listed as a gift on Schedule E.

List payments or reimbursements by an advisory or governmental agency only for meetings or travel outside the Commonwealth.

List a payment even if you donated it to charity.

Do not list information about a payment if you returned it within 60 days or if you received it from an employer already listed under Item 6 or from a source of income listed on Schedule F.

If no payment must be listed, check here / /.

Payer	Approximate Value	Circumstances	Type of payment (e.g. honoraria, travel reimburse- ment, etc.)

RETURN TO ITEM 5

**SCHEDULE E - GIFTS.**

List each business, governmental entity, or individual that, during the past six months, (i) furnished you or a member of your immediate family with any gift or entertainment at a single event, and the value received exceeded \$50 or (ii) furnished you or a member of your immediate family with gifts or entertainment in any combination and the total value received exceeded \$100 \$50, and for which you or the member of your immediate family neither paid nor rendered services in exchange. List each such gift or event. Do not list entertainment events unless the average value per person attending the event exceeded \$50. Do not list business entertainment related to the private profession or occupation of you or the member of your immediate family who received such business entertainment. Do not list gifts or other things of value given by a relative or personal friend for reasons clearly unrelated to your public position. Do not list campaign contributions publicly reported as required by Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2 of the Code of Virginia.

Name of Recipient	Name of Business, Organization, or Individual	City or County and State	Exact Gift or Event	Approximate Value

RETURN TO ITEM 6

**SCHEDULE F - BUSINESS INTERESTS.**

419 Complete this Schedule for each self-owned or family-owned business (including rental property, a  
 420 farm, or consulting work), partnership, or corporation in which you or a member of your immediate  
 421 family, separately or together, own an interest having a value in excess of \$5,000.

422 If the enterprise is owned or operated under a trade, partnership, or corporate name, list that name;  
 423 otherwise, merely explain the nature of the enterprise. If rental property is owned or operated under a  
 424 trade, partnership, or corporate name, list the name only; otherwise, give the address of each property.  
 425 Account for business interests held in trust.

Name of Business, Corporation, Partnership, City or Farm; Address of County Rental Property and State				Gross Income		
Nature of Enterprise (farming, law, rental property, etc.)				\$50,001 to \$250,000	More than \$250,000	

437  
438 RETURN TO ITEM 8

#### 439 SCHEDULE G-1 - PAYMENTS FOR REPRESENTATION BY YOU.

440 List the businesses you represented, excluding activity defined as lobbying in § 2.2-419, before any  
 441 state governmental agency, excluding any court or judge, for which you received total compensation  
 442 during the past six months in excess of \$1,000, excluding compensation for other services to such  
 443 businesses and representation consisting solely of the filing of mandatory papers and subsequent  
 444 representation regarding the mandatory papers filed by you.

445 Identify each business, the nature of the representation and the amount received by dollar category  
 446 from each such business. You may state the type, rather than name, of the business if you are required  
 447 by law not to reveal the name of the business represented by you.

448 Only STATE officers and employees should complete this Schedule.

Pur- pose				Amount Received				
Name of Busi- ness	Type of Busi- ness	of Repre- senta- tion	Name of Agen- cy	\$1,001 to \$10,000	\$10,001 to \$50,000	\$50,001 to \$100,000	\$100,001 to \$250,000	\$250,001 and over

462 If you have received \$250,001 or more from a single business within the reporting period, indicate  
 463 the amount received, rounded to the nearest \$10,000.

464 Amount Received: \_\_\_\_\_.

#### 465 SCHEDULE G-2 - PAYMENTS FOR REPRESENTATION BY ASSOCIATES.

466 List the businesses that have been represented, excluding activity defined as lobbying in § 2.2-419,  
 467 before any state governmental agency, excluding any court or judge, by persons who are your partners,  
 468 associates or others with whom you have a close financial association and who received total  
 469 compensation in excess of \$1,000 for such representation during the past six months, excluding  
 470 representation consisting solely of the filing of mandatory papers and subsequent representation  
 471 regarding the mandatory papers filed by your partners, associates or others with whom you have a close  
 472 financial association.

473 Identify such businesses by type and also name the state governmental agencies before which such  
 474 person appeared on behalf of such businesses.

475 Only STATE officers and employees should complete this Schedule.

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Type of business                      Name of state governmental agency


### SCHEDULE G-3 - PAYMENTS FOR OTHER SERVICES GENERALLY.

Indicate below types of businesses that operate in Virginia to which services were furnished by you or persons with whom you have a close financial association pursuant to an agreement between you and such businesses, or between persons with whom you have a close financial association and such businesses and for which total compensation in excess of \$1,000 was received during the past six months. Services reported in this Schedule shall not include services involving the representation of businesses that are reported in Schedule G-1 or G-2.

Identify opposite each category of businesses listed below (i) the type of business, (ii) the type of service rendered and (iii) the value by dollar category of the compensation received for all businesses falling within each category.

	Check if ser- vices were ren- dered	Type of ser- vice ren- dered	Value of Compensation				
			\$1,001 to \$10,000	\$10,001 to \$50,000	\$50,001 to \$100,000	\$100,001 to \$250,000	\$250,001 and over
Electric utilities							
Gas utilities							
Telephone utilities							
Water utilities							
Cable television							
companies							
Interstate							
transportation							
companies							
Intrastate							
transportation							
companies							
Oil or gas retail							
companies							
Banks							
Savings institutions							
Loan or finance							
companies							
Manufacturing							
companies (state							
type of product,							
e.g., textile,							
furniture, etc.)							
Mining companies							
Life insurance							
companies							
Casualty insurance							
companies							
Other insurance							
companies							
Retail companies							
Beer, wine or liquor							

535	companies or							
536	distributors							
537	Trade associations							
538	Professional							
539	associations							
540	Associations of							
541	public employees							
542	or officials							
543	Counties, cities							
544	or towns							
545	Labor organizations							
546	Other							
547								

RETURN TO ITEM 9

**SCHEDULE H-1 - REAL ESTATE - STATE OFFICERS AND EMPLOYEES.**

550 List real estate other than your principal residence in which you or a member of your immediate  
 551 family holds an interest, including a partnership interest, option, easement, or land contract, valued at  
 552 more than \$5,000. Each parcel shall be listed individually.

553			
554			
555		Describe the type of real	
556	List each location	estate you own in each	If the real estate is
557	(state, and county	location (business, recre-	owned or recorded in
558	or city) where you	ational, apartment, com-	a name other than your
559	own real estate.	mercial, open land, etc.).	own, list that name.
560			
561			
562			
563			
564			
565			

**SCHEDULE H-2 - REAL ESTATE - LOCAL OFFICERS AND EMPLOYEES.**

567 List real estate other than your principal residence in which you or a member of your immediate  
 568 family holds an interest, including a partnership interest or option, easement, or land contract, valued at  
 569 more than \$5,000. Each parcel shall be listed individually. Also list the names of any co-owners of such  
 570 property, if applicable.

571				
572				
573		Describe the type		
574		of real estate		
575		you own in		
576		each location	If the real estate	
577	List each location	(business,	is owned or rec-	
578	(state, and county	recreational,	orded in a name	
579	or city) where	apartment, com-	other than your	List the names
580	you own real	mercial, open	own, list that	of any co-owners,
581	estate.	land, etc.).	name.	if applicable.
582				
583				
584				
585				
586				
587				

**SCHEDULE I - REAL ESTATE CONTRACTS WITH GOVERNMENTAL AGENCIES.**

589 List all contracts, whether pending or completed within the past six months, with a governmental  
 590 agency for the sale or exchange of real estate in which you or a member of your immediate family  
 591 holds an interest, including a corporate, partnership or trust interest, option, easement, or land contract,  
 592 valued at more than \$10,000. List all contracts with a governmental agency for the lease of real estate in

which you or a member of your immediate family holds such an interest valued at more than \$1,000. This requirement to disclose an interest in a lease does not apply to an interest derived through an ownership interest in a business unless the ownership interest exceeds three percent of the total equity of the business.

State officers and employees report contracts with state agencies.

Local officers and employees report contracts with local agencies.

List your real estate interest and the person or entity, including the type of entity, which is party to the contract.

Describe any management role and the percentage ownership interest you or your immediate family member has in the real estate or entity.

List each governmental agency which is a party to the contract and indicate the county or city where the real estate is located.

State the annual income from the contract, and the amount, if any, of income you or any immediate family member derives annually from the contract.

### **§ 30-103. Prohibited conduct.**

No legislator shall:

1. Solicit or accept money or other thing of value for services performed within the scope of his official duties, except the compensation, expenses or other remuneration paid to him by the General Assembly. This prohibition shall not apply to the acceptance of special benefits which may be authorized by law;

2. Offer or accept any money or other thing of value for or in consideration of obtaining employment, appointment, or promotion of any person with any governmental or advisory agency;

3. Offer or accept any money or other thing of value for or in consideration of the use of his public position to obtain a contract for any person or business with any governmental or advisory agency;

4. Use for his own economic benefit or that of another party confidential information which he has acquired by reason of his public position and which is not available to the public;

5. Accept any money, loan, gift, favor, service, or business or professional opportunity that reasonably tends to influence him in the performance of his official duties. This subdivision shall not apply to any political contribution actually used for political campaign or constituent service purposes and reported as required by Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2;

6. Accept any business or professional opportunity when he knows that there is a reasonable likelihood that the opportunity is being afforded him to influence him in the performance of his official duties;

7. During the one year after the termination of his service as a legislator, represent a client or act in a representative capacity on behalf of any person or group, for compensation, on any matter before the General Assembly or any agency of the legislative branch of government. The prohibitions of this subdivision shall apply only to persons engaged in activities that would require registration as a lobbyist under § 2.2-422. Any person subject to the provisions of this subdivision may apply to the Attorney General, as provided in § 30-122, for an advisory opinion as to the application of the restriction imposed by this subdivision on any post-public employment position or opportunity;

8. Accept any honoraria for any appearance, speech, or article in which the legislator provides expertise or opinions related to the performance of his official duties. The term "honoraria" shall not include any payment for or reimbursement to such person for his actual travel, lodging, or subsistence expenses incurred in connection with such appearance, speech, or article or in the alternative a payment

652 of money or anything of value not in excess of the per diem deduction allowable under § 162 of the  
653 Internal Revenue Code, as amended from time to time;

654 9. Accept appointment to serve on a body or board of any corporation, company or other legal  
655 entity, vested with the management of the corporation, company or entity, and on which two other  
656 members of the General Assembly already serve, which is operated for profit and regulated by the State  
657 Corporation Commission as (i) a financial institution, (ii) a mortgage lender or broker, (iii) any business  
658 under Chapter 5 (§ 13.1-501 et seq.) of Title 13.1, (iv) any business under Title 38.2, or (v) any  
659 business under Title 56;

660 10. Accept a gift from a person who has interests that may be substantially affected by the  
661 performance of the legislator's official duties under circumstances where the timing and nature of the gift  
662 would cause a reasonable person to question the legislator's impartiality in the matter affecting the  
663 donor. Violations of this subdivision shall not be subject to criminal law penalties; or

664 11. Accept gifts from sources on a basis so frequent as to raise an appearance of the use of his  
665 public office for private gain. Violations of this subdivision shall not be subject to criminal law  
666 penalties; or

667 *11. Solicit, accept, or receive any gift of travel with a value exceeding \$100 from a third party, prior*  
668 *to submitting to the Council a request for a waiver to accept such gift of travel and receiving such a*  
669 *waiver pursuant to § 30-356.1. Gifts of travel include transportation, lodging, meals, hospitality, and*  
670 *any other travel-related thing of value.*

671 **§ 30-103.1. Certain gifts prohibited.**

672 A. For purposes of this section:

673 "Intangible gift" means a thing of temporary value or a thing that upon the happening of a certain  
674 event or expiration of a given date loses its value. "Intangible gift" includes entertainment, hospitality, a  
675 ticket, admission, or pass, transportation, lodgings, and meals that are reportable on Schedule E of the  
676 disclosure form prescribed in § 30-111.

677 "Tangible gift" means a thing of value that does not lose its value upon the happening of a certain  
678 event or expiration of a given date. "Tangible gift" includes currency, negotiable instruments, securities,  
679 stock options, or other financial instruments that are reportable on Schedule E of the disclosure form  
680 prescribed in § 30-111. "Tangible gift" does not include payments or reimbursements received for any  
681 intangible gift.

682 "Person, organization, or business" includes individuals who are officers, directors, or owners of or  
683 who have a controlling ownership interest in such organization or business.

684 "Widely attended event" means an event in which there is a reasonable expectation that at least 25  
685 persons will attend the event and the event is open to individuals from throughout a particular industry  
686 or profession or who represent persons interested in a particular issue.

687 B. A legislator or candidate for the General Assembly required to file the disclosure form prescribed  
688 in § 30-111 (i) shall not solicit, accept, or receive within any calendar year any single tangible gift with  
689 a value in excess of \$250 or a combination of tangible gifts with an aggregate value in excess of \$250  
690 from any person that he knows or has reason to know is (a) a lobbyist registered pursuant to Article 3  
691 (§ 2.2-418 et seq.) of Chapter 4 of Title 2.2; (b) a lobbyist's principal as defined in § 2.2-419; or (c) a  
692 person, organization, or business who is a party to or is seeking to become a party to a contract with the  
693 Commonwealth; (ii) shall report any tangible gift with a value of \$250 or less or any intangible gift  
694 received from any person listed in clause (i) on Schedule E of such disclosure form; and (iii) shall  
695 report any payments for talks, meetings, and publications on Schedule D-1 of such disclosure form. For  
696 purposes of this subsection, "person, organization, or business" includes individuals who are officers,  
697 directors, or owners of or who have a controlling ownership interest in such organization or business  
698 shall not solicit, accept, or receive from any person that he knows or has reason to know is (i) a  
699 lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4 of Title 2.2; (ii) a lobbyist's  
700 principal as defined in § 2.2-419; or (iii) a person, organization, or business who is or is seeking to  
701 become a party to a contract with the Commonwealth any single gift with a value in excess of \$100.

702 C. Notwithstanding the provisions of subsection B, a legislator or candidate may accept or receive a  
703 gift with a value in excess in \$100 when such gift is accepted or received while in attendance at a  
704 widely attended event and the gift is offered to all attendees as part of the event. Such gifts shall be  
705 reported on the disclosure form prescribed in § 30-111.

706 D. Notwithstanding the provisions of subsection B, a legislator or candidate may accept or receive  
707 gifts of travel, including transportation, lodging, hospitality, or other travel-related thing of value, with  
708 a value in excess of \$100 that is paid for or provided by a person listed in subsection B when he has  
709 submitted a request for a waiver to the Council and has received the approval of the Council pursuant  
710 to § 30-356.1. Such gifts shall be reported on the disclosure form prescribed in § 30-111.

711 E. The \$250 \$100 limitation imposed in accordance with this section shall be adjusted by the  
712 Council every five years, as of January 1 of that year, in an amount equal to the annual increases for  
713 that five-year period in the United States Average Consumer Price Index for all items, all urban

consumers (CPI-U), as published by the Bureau of Labor Statistics of the U.S. Department of Labor, rounded to the nearest whole dollar.

**§ 30-111. Disclosure form.**

A. The disclosure form to be used for filings required by subsections A and B of § 30-110 shall be substantially as follows:

STATEMENT OF ECONOMIC INTERESTS.

Name .....  
Office or position held or sought .....  
Address .....  
Names of members of immediate family .....

**DEFINITIONS AND EXPLANATORY MATERIAL.**

"Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise, association, trust or foundation, or any other individual or entity carrying on a business or profession, whether or not for profit.

"Close financial association" means an association in which the filer shares significant financial involvement with an individual and the filer would reasonably be expected to be aware of the individual's business activities and would have access to the necessary records either directly or through the individual. "Close financial association" does not mean an association based on (i) the receipt of retirement benefits or deferred compensation from a business by which the legislator is no longer employed, or (ii) the receipt of compensation for work performed by the legislator as an independent contractor of a business that represents an entity before any state governmental agency when the legislator has had no communications with the state governmental agency.

"Contingent liability" means a liability that is not presently fixed or determined, but may become fixed or determined in the future with the occurrence of some certain event.

"Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item having monetary value. It includes services as well as gifts of transportation, lodgings and meals, whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the expense has been incurred. "Gift" does not include (i) any offer of a ticket, coupon, or other admission or pass unless the ticket, coupon, admission, or pass is used; (ii) honorary degrees; (iii) any athletic, merit, or need-based scholarship or any other financial aid awarded by a public or private school, institution of higher education, or other educational program pursuant to such school, institution, or program's financial aid standards and procedures applicable to the general public; (iv) a campaign contribution properly received and reported pursuant to Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2; (v) any gift related to the private profession or occupation of a legislator or of a member of his immediate family; or (vi) gifts from relatives or personal friends. "Relative" means the donee's spouse, child, uncle, aunt, niece, or nephew; a person to whom the donee is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother, or sister; or the donee's brother's or sister's spouse. "Personal friend" does not include any person that the filer knows or has reason to know is (a) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4 of Title 2.2; (b) a lobbyist's principal as defined in § 2.2-419; or (c) a person, organization, or business who is a party to or is seeking to become a party to a contract with the Commonwealth. "Person, organization, or business" includes individuals who are officers, directors, or owners of or who have a controlling ownership interest in such organization or business.

"Immediate family" means (i) a spouse and (ii) any child who resides in the same household as the legislator and who is a dependent of the legislator.

"Lobbyist relationship" means (i) an engagement, agreement, or representation that relates to legal services, consulting services, or public relations services, whether gratuitous or for compensation, between a member or member-elect and any person who is, or has been within the prior calendar year, registered as a lobbyist with the Secretary of the Commonwealth or (ii) a greater than three percent ownership interest by a member or member-elect in a business that employs, or engages as an independent contractor, any person who is, or has been within the prior calendar year, registered as a lobbyist with the Secretary of the Commonwealth. The disclosure of a lobbyist relationship shall not (a) constitute a waiver of any attorney-client or other privilege, (b) require a waiver of any attorney-client or other privilege for a third party, or (c) be required where a member or member-elect is employed or engaged by a person and such person also employs or engages a person in a lobbyist relationship so long as the member or member-elect has no financial interest in the lobbyist relationship.

**TRUST.** If you or your immediate family, separately or together, are the only beneficiaries of a trust, treat the trust's assets as if you own them directly. If you or your immediate family has a proportional interest in a trust, treat that proportion of the trust's assets as if you own them directly. For example, if you and your immediate family have a one-third interest in a trust, complete your Statement as if you own one-third of each of the trust's assets. If you or a member of your immediate family created a trust

775 and can revoke it without the beneficiaries' consent, treat its assets as if you own them directly.  
776 REPORT TO THE BEST OF INFORMATION AND BELIEF. Information required on this  
777 Statement must be provided on the basis of the best knowledge, information, and belief of the individual  
778 filing the Statement as of the date of this report unless otherwise stated.

779 COMPLETE ITEMS 1 THROUGH 11. REFER TO SCHEDULES ONLY IF DIRECTED.

780 You may attach additional explanatory information.

781 1. Offices and Directorships.

782 Are you or a member of your immediate family a paid officer or paid director of a business?

783 EITHER check NO // OR check YES // and complete Schedule A.

784 2. Personal Liabilities.

785 Do you or a member of your immediate family owe more than \$5,000 to any one creditor including  
786 contingent liabilities? (Exclude debts to any government and loans secured by recorded liens on property  
787 at least equal in value to the loan.)

788 EITHER check NO // OR check YES // and complete Schedule B.

789 3. Securities.

790 Do you or a member of your immediate family, directly or indirectly, separately or together, own  
791 securities valued in excess of \$5,000 invested in one business? Account for mutual funds, limited  
792 partnerships and trusts.

793 EITHER check NO // OR check YES // and complete Schedule C.

794 4. Payments for Talks, Meetings, and Publications.

795 During the past six months did you receive in your capacity as a legislator lodging, transportation,  
796 money, or anything else of value with a combined value exceeding \$200 (i) for a single talk, meeting,  
797 or published work or (ii) for a meeting, conference, or event where your attendance at the meeting,  
798 conference, or event was designed to (a) educate you on issues relevant to your duties as a legislator,  
799 including issues faced by your constituents, or (b) enhance your knowledge and skills relative to your  
800 duties as a legislator? Do not include payments and reimbursements from the Commonwealth for  
801 meetings attended in your capacity as a legislator; see Question 11 and Schedule D2 to report such  
802 meetings.

803 EITHER check NO // OR check YES // and complete Schedule D.

804 5. Gifts.

805 During the past six months did a business, government, or individual other than a relative or personal  
806 friend (i) furnish you or a member of your immediate family with any gift or entertainment at a single  
807 event, and the value received exceeded \$50 or (ii) furnish you or a member of your immediate family  
808 with gifts or entertainment in any combination and the total value received exceeded \$400 \$50, and for  
809 which you or the member of your immediate family neither paid nor rendered services in exchange?  
810 Account for entertainment events only if the average value per person attending the event exceeded \$50.  
811 Account for all business entertainment (except if related to the private profession or occupation of you  
812 or the member of your immediate family who received such business entertainment) even if unrelated to  
813 your official duties.

814 EITHER check NO // OR check YES // and complete Schedule E.

815 6. Salary and Wages.

816 List each employer that pays you or a member of your immediate family salary or wages in excess  
817 of \$5,000 annually. (Exclude any salary received as a member of the General Assembly pursuant to  
818 § 30-19.11.)

819 If no reportable salary or wages, check here //.

820 \_\_\_\_\_  
821 \_\_\_\_\_  
822 \_\_\_\_\_

823 7. Business Interests and Lobbyist Relationships.

824 7A. Do you or a member of your immediate family, separately or together, operate your own  
825 business, or own or control an interest in excess of \$5,000 in a business?

826 EITHER check NO // OR check YES // and complete Schedule F-1.

827 7B. Do you have a lobbyist relationship as that term is defined above?

828 EITHER check NO // OR check YES // and complete Schedule F-2.

829 8. Payments for Representation and Other Services.

830 8A. Did you represent any businesses before any state governmental agencies, excluding courts or  
831 judges, for which you received total compensation during the past six months in excess of \$1,000,  
832 excluding compensation for other services to such businesses and representation consisting solely of the  
833 filing of mandatory papers and subsequent representation regarding the mandatory papers?

834 EITHER check NO // OR check YES // and complete Schedule G-1.

835 8B. Subject to the same exceptions as in 8A, did persons with whom you have a close financial  
836 association (partners, associates or others) represent any businesses before any state governmental agency

for which total compensation was received during the past six months in excess of \$1,000?

EITHER check NO // OR check YES // and complete Schedule G-2.

8C. Did you or persons with whom you have a close financial association furnish services to businesses operating in Virginia, pursuant to an agreement between you and such businesses, or between persons with whom you have a close financial association and such businesses for which total compensation in excess of \$1,000 was received during the past six months? Services reported under this provision shall not include services involving the representation of businesses that are reported under question 8A or 8B above.

EITHER check NO // OR check YES // and complete Schedule G-3.

9. Real Estate.

Do you or a member of your immediate family hold an interest, including a partnership interest, valued at more than \$5,000 in real property (other than your principal residence) for which you have not already listed the full address on Schedule F? Account for real estate held in trust.

EITHER check NO // OR check YES // and complete Schedule H.

10. Real Estate Contracts with State Governmental Agencies.

Do you or a member of your immediate family hold an interest valued at more than \$5,000 in real estate, including a corporate, partnership, or trust interest, option, easement, or land contract, which real estate is the subject of a contract, whether pending or completed within the past six months, with a state governmental agency?

If the real estate contract provides for the leasing of the property to a state governmental agency, do you or a member of your immediate family hold an interest in the real estate, including a corporate, partnership, or trust interest, option, easement, or land contract valued at more than \$1,000? Account for all such contracts whether or not your interest is reported in Schedule F or H. This requirement to disclose an interest in a lease does not apply to an interest derived through an ownership interest in a business unless the ownership interest exceeds three percent of the total equity of the business.

EITHER check NO // OR check YES // and complete Schedule I.

11. Payments by the Commonwealth for Meetings.

During the past six months did you receive lodging, transportation, money, or anything else of value with a combined value exceeding \$200 from the Commonwealth for a single meeting attended out-of-state in your capacity as a legislator? Do not include reimbursements from the Commonwealth for meetings attended in the Commonwealth.

EITHER check NO // OR check YES // and complete Schedule D-2.

For Statements filed in ~~January~~ June 2016 and each two years thereafter, complete the following statement indicating whether you completed the ethics orientation sessions provided pursuant to law:

I certify that I completed ethics training as required by § 30-129.1. YES // or NO // .

Statements of Economic Interests are open for public inspection.

AFFIRMATION.

In accordance with the rules of the house in which I serve, if I receive a request that this disclosure statement be corrected, augmented, or revised in any respect, I hereby pledge that I shall respond promptly to the request. I understand that if a determination is made that the statement is insufficient, I will satisfy such request or be subjected to disciplinary action of my house.

I swear or affirm that the foregoing information is full, true and correct to the best of my knowledge.

Signature \_\_\_\_\_ (Such signature shall be deemed to constitute a valid notarization and shall have the same effect as if performed by a notary public.)

(Return only if needed to complete Statement.)

SCHEDULES

to

STATEMENT OF ECONOMIC INTERESTS.

NAME \_\_\_\_\_

SCHEDULE A - OFFICES AND DIRECTORSHIPS.

Identify each business of which you or a member of your immediate family is a paid officer or paid director.

Name of Business	Address of Business	Position Held and by Whom
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

RETURN TO ITEM 2

897

## 898 SCHEDULE B - PERSONAL LIABILITIES.

899 Report personal liability by checking each category. Report only debts in excess of \$5,000. Do not  
 900 report debts to any government. Do not report loans secured by recorded liens on property at least equal  
 901 in value to the loan.

902 Report contingent liabilities below and indicate which debts are contingent.

903 1. My personal debts are as follows:

904			
905			
906	Check	Check one	
907	appropriate	\$5,001 to	More than
908	categories	\$50,000	\$50,000
909	Banks	_____	_____
910	Savings institutions	_____	_____
911	Other loan or finance companies	_____	_____
912	Insurance companies	_____	_____
913	Stock, commodity or other brokerage	_____	_____
914	companies	_____	_____
915	Other businesses:		
916	(State principal business activity for each		
917	creditor and its name.)		
918	_____	_____	_____
919	_____	_____	_____
920	_____	_____	_____
921	Individual creditors:		
922	(State principal business or occupation of		
923	each creditor and its name.)		
924	_____	_____	_____
925	_____	_____	_____
926	_____	_____	_____

927

928 2. The personal debts of the members of my immediate family are as follows:

929			
930			
931	Check	Check one	
932	appropriate	\$5,001 to	More than
933	categories	\$50,000	\$50,000
934	Banks	_____	_____
935	Savings institutions	_____	_____
936	Other loan or finance companies	_____	_____
937	Insurance companies	_____	_____
938	Stock, commodity or other brokerage	_____	_____
939	companies	_____	_____
940	Other businesses:		
941	(State principal business activity for each		
942	creditor and its name.)		
943	_____	_____	_____
944	_____	_____	_____
945	_____	_____	_____
946	Individual creditors:		
947	(State principal business or occupation of		
948	each creditor and its name.)		
949	_____	_____	_____
950	_____	_____	_____
951	_____	_____	_____

952

953

RETURN TO ITEM 3



**SCHEDULE C - SECURITIES.**

"Securities" INCLUDES stocks, bonds, mutual funds, limited partnerships, and commodity futures contracts.

"Securities" EXCLUDES certificates of deposit, money market funds, annuity contracts, and insurance policies.

Identify each business or Virginia governmental entity in which you or a member of your immediate family, directly or indirectly, separately or together, own securities valued in excess of \$5,000. Name each issuer and type of security individually.

Do not list U.S. Bonds or other government securities not issued by the Commonwealth of Virginia or its authorities, agencies, or local governments. Do not list organizations that do not do business in this Commonwealth, but most major businesses conduct business in Virginia. Account for securities held in trust.

If no reportable securities, check here / / .

Name of Issuer	Type of Security (stocks, bonds, mutual funds, etc.)	Check one		
		\$5,001 to \$50,000	\$50,001 to \$250,000	More than \$250,000

RETURN TO ITEM 4

**SCHEDULE D-1 - PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.**

List each source from which you received during the past six months in your capacity as a legislator lodging, transportation, money, or any other thing of value with a combined value exceeding \$200 (i) for your presentation of a single talk, participation in one meeting, or publication of a work or (ii) for your attendance at a meeting, conference, or event where your attendance at the meeting, conference, or event was designed to (a) educate you on issues relevant to your duties as a legislator, including issues faced by your constituents, or (b) enhance your knowledge and skills relative to your duties as a legislator. Any lodging, transportation, money, or other thing of value received by a legislator that does not satisfy the criteria of clause (i), (ii)(a), or (ii)(b) shall be listed as a gift on Schedule E. Do not list payments or reimbursements by the Commonwealth. (See Schedule D-2 for such payments or reimbursements.) List a payment even if you donated it to charity. Do not list information about a payment if you returned it within 60 days or if you received it from an employer already listed under Item 6 or from a source of income listed on Schedule F.

If no payment must be listed, check here / / .

Payer	Approximate Value	Circumstances	Type of Payment (e.g., Honoraria, Travel reimbursement, etc.)

RETURN TO ITEM 5

**SCHEDULE D-2 - PAYMENTS BY THE COMMONWEALTH FOR MEETINGS.**

List each meeting for which the Commonwealth provided payments or reimbursements during the past six months to you for lodging, transportation, money, or any other thing of value with a combined value exceeding \$200 for your participation in your capacity as a legislator. Do not list payments or reimbursements by the Commonwealth for meetings or travel within the Commonwealth.

If no payment must be listed, check here / / .

1013				Type of Payment
1014				(e.g., Travel
1015				reimbursement,
1016	Payer	Approximate Value	Circumstances	etc.)
1017				
1018				
1019				
1020				

1021  
1022 **SCHEDULE E - GIFTS.**

1023 List each business, governmental entity, or individual that, during the past six months, (i) furnished  
1024 you or a member of your immediate family with any gift or entertainment at a single event; and the  
1025 value received exceeded \$50 or (ii) furnished you or a member of your immediate family with gifts or  
1026 entertainment in any combination and the total value received exceeded \$100 \$50, and for which you or  
1027 the member of your immediate family neither paid nor rendered services in exchange. List each such  
1028 gift or event.

1029 Do not list entertainment events unless the average value per person attending the event exceeded  
1030 \$50. Do not list business entertainment related to the private profession or occupation of you or the  
1031 member of your immediate family who received such business entertainment. Do not list gifts or other  
1032 things of value given by a relative or personal friend for reasons clearly unrelated to your public  
1033 position. Do not list campaign contributions publicly reported as required by Chapter 9.3 (§ 24.2-945 et  
1034 seq.) of Title 24.2 of the Code of Virginia.

1035					
1036					
1037		Name of Business,	City or	Exact	
1038	Name of	Organization, or	County	Gift or	Approximate
1039	Recipient	Individual	and State	Event	Value
1040					
1041					
1042					
1043					
1044					

1045 RETURN TO ITEM 6

1046 **SCHEDULE F-1 - BUSINESS INTERESTS.**

1047 Complete this Schedule for each self-owned or family-owned business (including rental property, a  
1048 farm, or consulting work), partnership, or corporation in which you or a member of your immediate  
1049 family, separately or together, own an interest having a value in excess of \$5,000.

1050 If the enterprise is owned or operated under a trade, partnership, or corporate name, list that name;  
1051 otherwise, merely explain the nature of the enterprise. If rental property is owned or operated under a  
1052 trade, partnership, or corporate name, list the name only; otherwise, give the address of each property.  
1053 Account for business interests held in trust.

1054						
1055						
1056	Name of					
1057	Business					
1058	Corporation,					
1059	Partnership,					
1060	Farm;	Nature of		Gross income		
1061	Address of	City or	(farming,	\$50,001	More	
1062	Rental	County	law, rental	\$50,000	to	than
1063	Property	and State	property, etc.)	or less	\$250,000	\$250,000
1064						
1065						
1066						
1067						
1068						

1069 RETURN TO ITEM 8

1070 **SCHEDULE F-2 - LOBBYIST RELATIONSHIPS AND PAYMENTS.**

Complete this Schedule for each lobbyist relationship with the following:

(i) any person who is, or has been within the prior calendar year, registered as a lobbyist with the Secretary of the Commonwealth, or

(ii) any business in which you have a greater than three percent ownership interest and that business employs, or engages as an independent contractor, any person who is, or has been within the prior calendar year, registered as a lobbyist with the Secretary of the Commonwealth.

List each person or business	Describe each relationship	Dates of relationship	Payments to Lobbyist	
			\$10,000 or less	More than \$10,000

THE DISCLOSURE OF A LOBBYIST RELATIONSHIP SHALL NOT (I) CONSTITUTE A WAIVER OF ANY ATTORNEY-CLIENT OR OTHER PRIVILEGE, (II) REQUIRE A WAIVER OF ANY ATTORNEY-CLIENT OR OTHER PRIVILEGE FOR A THIRD PARTY, OR (III) BE REQUIRED WHERE A MEMBER OR MEMBER-ELECT IS EMPLOYED OR ENGAGED BY A PERSON AND SUCH PERSON ALSO EMPLOYS OR ENGAGES A PERSON IN A LOBBYIST RELATIONSHIP SO LONG AS THE MEMBER OR MEMBER-ELECT HAS NO FINANCIAL INTEREST IN THE LOBBYIST RELATIONSHIP.

#### SCHEDULE G-1 - PAYMENTS FOR REPRESENTATION BY YOU.

List the businesses you represented before any state governmental agency, excluding any court or judge, for which you received total compensation during the past six months in excess of \$1,000, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers filed by you.

Identify each business, the nature of the representation and the amount received by dollar category from each such business. You may state the type, rather than name, of the business if you are required by law not to reveal the name of the business represented by you.

Name of Busi- ness	Type of Busi- ness	Pur- pose of Repre- senta- tion	Amount Received					
			Name of Agency	\$1,001 to \$10,000	\$10,001 to \$50,000	\$50,001 to \$100,000	\$100,001 to \$250,000	\$250,001 and over

If you have received \$250,001 or more from a single business within the reporting period, indicate the amount received, rounded to the nearest \$10,000. Amount Received: \_\_\_\_\_.

#### SCHEDULE G-2 - PAYMENTS FOR REPRESENTATION BY ASSOCIATES.

List the businesses that have been represented before any state governmental agency, excluding any court or judge, by persons who are your partners, associates or others with whom you have a close financial association and who received total compensation in excess of \$1,000 for such representation during the past six months, excluding representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers filed by your partners, associates or others with whom you have a close financial association.

Identify such businesses by type and also name the state governmental agencies before which such person appeared on behalf of such businesses.



1187	Beer, wine or						
1188	liquor companies						
1189	or distributors	_____	_____	_____	_____	_____	_____
1190	Trade associations	_____	_____	_____	_____	_____	_____
1191	Professional						
1192	associations	_____	_____	_____	_____	_____	_____
1193	Associations of						
1194	public employees						
1195	or officials	_____	_____	_____	_____	_____	_____
1196	Counties, cities						
1197	or towns	_____	_____	_____	_____	_____	_____
1198	Labor organizations	_____	_____	_____	_____	_____	_____
1199	Other	_____	_____	_____	_____	_____	_____

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## SCHEDULE H - REAL ESTATE.

List real estate other than your principal residence in which you or a member of your immediate family holds an interest, including a partnership interest, option, easement, or land contract, valued at \$5,000 or more. Each parcel shall be listed individually.

1206	_____		
1207			
1208		Describe the type of real	
1209		estate you own in each	
1210	List the location	location (business,	If the real estate is
1211	(state, and county	recreational, apartment,	owned or recorded in
1212	or city where you	commercial, open land,	a name other than your
1213	own real estate	etc.)	own, list that name
1214	_____	_____	_____
1215	_____	_____	_____
1216	_____	_____	_____
1217	_____	_____	_____
1218	_____	_____	_____
1219	_____	_____	_____

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## SCHEDULE I - REAL ESTATE CONTRACTS WITH STATE GOVERNMENTAL AGENCIES.

List all contracts, whether pending or completed within the past six months, with a state governmental agency for the sale or exchange of real estate in which you or a member of your immediate family holds an interest, including a corporate, partnership or trust interest, option, easement, or land contract, valued at more than \$10,000. List all contracts with a state governmental agency for the lease of real estate in which you or a member of your immediate family holds such an interest valued at more than \$1,000. This requirement to disclose an interest in a lease does not apply to an interest derived through an ownership interest in a business unless the ownership interest exceeds three percent of the total equity of the business.

1230	_____		
1231			
1232	List your real		
1233	estate interest and		
1234	the person or entity,		
1235	including the type of		
1236	entity, which is		
1237	party to the contract.		State the annual
1238	Describe any		income from the
1239	management role and	List each	contract, and the
1240	the percentage	governmental agency	amount, if any, of
1241	ownership interest	which is a party to	income you or any
1242	you or your immediate	the contract and	immediate family
1243	family member has in	indicate the county	member derives

1244	the real estate	or city where the	annually from
1245	or entity.	real estate is located.	the contract.
1246	_____	_____	_____
1247	_____	_____	_____
1248	_____	_____	_____
1249	_____	_____	_____
1250	_____	_____	_____
1251	_____	_____	_____

1252 B. Any legislator who makes a knowing misstatement of a material fact on the Statement of  
 1253 Economic Interests shall be subject to disciplinary action for such violations by the house in which the  
 1254 legislator sits.

1255 C. The Statement of Economic Interests of all members of each house shall be reviewed by the  
 1256 Council. If a legislator's Statement is found to be inadequate as filed, the legislator shall be notified in  
 1257 writing and directed to file an amended Statement correcting the indicated deficiencies, and a time shall  
 1258 be set within which such amendment shall be filed. If the Statement of Economic Interests, in either its  
 1259 original or amended form, is found to be adequate as filed, the legislator's filing shall be deemed in full  
 1260 compliance with this section as to the information disclosed thereon.

1261 D. Ten percent of the membership of a house, on the basis of newly discovered facts, may in writing  
 1262 request the house in which those members sit, in accordance with the rules of that house, to review the  
 1263 Statement of Economic Interests of another member of that house in order to determine the adequacy of  
 1264 his filing. In accordance with the rules of each house, each Statement of Economic Interests shall be  
 1265 promptly reviewed, the adequacy of the filing determined, and notice given in writing to the legislator  
 1266 whose Statement is in issue. Should it be determined that the Statement requires correction,  
 1267 augmentation or revision, the legislator involved shall be directed to make the changes required within  
 1268 such time as shall be set under the rules of each house.

1269 If a legislator, after having been notified in writing in accordance with the rules of the house in  
 1270 which he sits that his Statement is inadequate as filed, fails to amend his Statement so as to come into  
 1271 compliance within the time limit set, he shall be subject to disciplinary action by the house in which he  
 1272 sits. No legislator shall vote on any question relating to his own Statement.

#### 1273 **§ 30-356. Powers and duties of the Council.**

1274 The Council shall:

1275 1. Review all disclosure forms filed by lobbyists pursuant to Article 3 and by state and local  
 1276 government officers and employees and legislators pursuant to the Acts. The Council shall review all  
 1277 disclosure forms for completeness, which shall include reviewing the information contained on the face  
 1278 of the form to determine if the disclosure form has been fully completed and comparing the disclosures  
 1279 contained in any disclosure form filed by a lobbyist pursuant to § 2.2-426 with other disclosure forms  
 1280 filed with the Council, and be followed by requests for amendments to ensure the completeness of and  
 1281 correction of errors in the forms, if necessary;

1282 2. Accept any disclosure forms by computer or electronic means in accordance with the standards  
 1283 approved by the Council and using software meeting standards approved by it. The Council shall  
 1284 provide software to filers without charge and may prescribe the method of execution and certification of  
 1285 electronically filed forms, including the use of an electronic signature as authorized by the Uniform  
 1286 Electronic Transactions Act (§ 59.1-479 et seq.), and the procedures for receiving forms in the office of  
 1287 the Council;

1288 3. Beginning July 1, 2015, establish and maintain a searchable electronic database comprising  
 1289 disclosure forms filed pursuant to §§ 2.2-426, 2.2-3117, 2.2-3118, and 30-111. Such database shall be  
 1290 available to the public through the Council's official website;

1291 4. Furnish, upon request, formal advisory opinions or guidelines and other appropriate information,  
 1292 including informal advice, regarding ethics and conflicts issues arising under Article 3 or the Acts to any  
 1293 person or to any agency of state or local government, in an expeditious manner. *The Council may*  
 1294 *authorize a designee to furnish formal advisory opinions or informal advice.* Informal advice given by  
 1295 the Council *or the Council's designee* is confidential, protected by the attorney-client privilege, and is  
 1296 excluded from the provisions of the Virginia Freedom of Information Act (§ 2.2-3700 et seq.);

1297 5. Conduct training seminars and educational programs for lobbyists, state and local government  
 1298 officers and employees ~~and~~ legislators, and other interested persons on the requirements of Article 3 and  
 1299 the Acts and provide ethics orientation sessions for legislators in compliance with Article 6 (§ 30-129.1  
 1300 et seq.) of Chapter 13;

1301 6. Approve orientation courses conducted pursuant to § 2.2-3128 and, upon request, review the  
 1302 educational materials and approve any training or course on the requirements of Article 3 and the Acts  
 1303 conducted for state and local government officers and employees;

1304 7. Publish such educational materials as it deems appropriate on the provisions of Article 3 and the

Acts;

8. Review actions taken in the General Assembly with respect to the discipline of its members for the purpose of offering nonbinding advice;

9. Request from any agency of state or local government such assistance, services, and information as will enable the Council to effectively carry out its responsibilities. Information provided to the Council by an agency of state or local government shall not be released to any other party unless authorized by such agency; and

10. Report on or before December 1 of each year on its activities and findings regarding Article 3 and the Acts, including recommendations for changes in the laws, to the General Assembly and the Governor. The annual report shall be submitted by the chairman as provided in the procedures of the Division of Legislative Automated Systems for the processing of legislative documents and reports and shall be published as a state document.

**§ 30-356.1. Waivers for certain travel.**

*A. For purposes of this section:*

*"Third party" means any person, other than a relative or personal friend. "Third party" does not include the Commonwealth or one of its political subdivisions; the government of the United States or any of its territories; or another state in the United States or a political subdivision of such other state.*

*B. The Council shall receive, review, and approve or deny requests for waivers submitted by persons required to file the disclosure form prescribed in § 2.2-3117 or 30-111 to accept any transportation, lodging, meal, hospitality, or other travel-related thing of value provided by a third party that has a value exceeding \$100.*

*C. Within five business days of receipt of a request for a waiver, the Council shall approve or deny the waiver, unless additional information has been requested. If additional information has been requested, the Council shall approve or deny the waiver within five business days of receipt of such information. The Council may approve the waiver in whole or in part. Within seven days of approving a request for a waiver, the Council shall post the waiver on its website. The Council may authorize a designee to review and approve or deny requests for waivers. A requester may appeal the decision of the designee to the full Council and the Council shall approve or deny the waiver within five business days of receipt of notice of the appeal.*

*D. A request for a waiver shall be on a form prescribed by the Council and made available on its website. The request shall include specific information regarding the travel, including a detailed itinerary or agenda and estimated costs. All information included in the request will be subject to public disclosure. When reviewing the request for a waiver, the Council shall consider:*

*1. The destination and duration of the trip;*

*2. The purpose or subject matter of the trip as it relates to the official duties of the requester, issues important to or of interest to the requester's constituents, or issues of public concern or interest; and*

*3. Other factors as appropriate.*

*E. No person shall be prosecuted, assessed a civil penalty, or otherwise disciplined for acceptance of a travel-related thing of value if he accepted the travel-related thing of value after receiving a waiver under this section and the waiver was granted after his full disclosure of the material facts.*

**2. That the provisions of this act may result in a net increase in periods of imprisonment or commitment. Pursuant to § 30-19.1:4, the estimated amount of the necessary appropriation cannot be determined for periods of imprisonment in state adult correctional facilities; therefore, Chapter 2 of the Acts of Assembly of 2014, Special Session I, requires the Virginia Criminal Sentencing Commission to assign a minimum fiscal impact of \$50,000. Pursuant to § 30-19.1:4, the estimated amount of the necessary appropriation cannot be determined for periods of commitment to the custody of the Department of Juvenile Justice.**