

15102213D

HOUSE BILL NO. 1689

Offered January 14, 2015

Prefiled January 10, 2015

A BILL to amend and reenact §§ 2.2-3103, 2.2-3103.1, 2.2-3117, 2.2-3120, 2.2-3124, 30-103, 30-103.1, 30-111, 30-123, 30-126, and 30-356 of the Code of Virginia and to amend the Code of Virginia by adding a section numbered 30-356.1, relating to State and Local Government Conflict of Interests Act and the General Assembly Conflicts of Interests Act; certain gifts prohibited; penalties.

Patron—Minchew

Referred to Committee for Courts of Justice

Be it enacted by the General Assembly of Virginia:

1. That §§ 2.2-3103, 2.2-3103.1, 2.2-3117, 2.2-3120, 2.2-3124, 30-103, 30-103.1, 30-111, 30-123, 30-126, and 30-356 of the Code of Virginia are amended and reenacted and that the Code of Virginia is amended by adding a section numbered 30-356.1 as follows:

§ 2.2-3103. Prohibited conduct.

No officer or employee of a state or local governmental or advisory agency shall:

1. Solicit or accept money or other thing of value for services performed within the scope of his official duties, except the compensation, expenses or other remuneration paid by the agency of which he is an officer or employee. This prohibition shall not apply to the acceptance of special benefits that may be authorized by law;

2. Offer or accept any money or other thing of value for or in consideration of obtaining employment, appointment, or promotion of any person with any governmental or advisory agency;

3. Offer or accept any money or other thing of value for or in consideration of the use of his public position to obtain a contract for any person or business with any governmental or advisory agency;

4. Use for his own economic benefit or that of another party confidential information that he has acquired by reason of his public position and which is not available to the public;

5. Accept any money, loan, gift, favor, service, or business or professional opportunity that reasonably tends to influence him in the performance of his official duties. This subdivision shall not apply to any political contribution actually used for political campaign or constituent service purposes and reported as required by Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2;

6. Accept any business or professional opportunity when he knows that there is a reasonable likelihood that the opportunity is being afforded him to influence him in the performance of his official duties;

7. Accept any honoraria for any appearance, speech, or article in which the officer or employee provides expertise or opinions related to the performance of his official duties. The term "honoraria" shall not include any payment for or reimbursement to such person for his actual travel, lodging, or subsistence expenses incurred in connection with such appearance, speech, or article or in the alternative a payment of money or anything of value not in excess of the per diem deduction allowable under § 162 of the Internal Revenue Code, as amended from time to time. The prohibition in this subdivision shall apply only to the Governor, Lieutenant Governor, Attorney General, Governor's Secretaries, and heads of departments of state government;

8. Accept a gift from a person who has interests that may be substantially affected by the performance of the officer's or employee's official duties under circumstances where the timing and nature of the gift would cause a reasonable person to question the officer's or employee's impartiality in the matter affecting the donor. Violations of this subdivision shall not be subject to criminal law penalties; or

9. Accept gifts from sources on a basis so frequent as to raise an appearance of the use of his public office for private gain. Violations of this subdivision shall not be subject to criminal law penalties; or

10. Solicit, accept, or receive any transportation, lodging, meal, hospitality, or other travel-related thing of value exceeding the limitation prescribed in § 2.2-3103.1 that is to be provided by a third party prior to submitting to the Council a request for a waiver to accept such travel-related thing of value and receiving such a waiver pursuant to § 30-356.1. The provisions of this subdivision shall apply only to those persons subject to § 2.2-3103.1.

§ 2.2-3103.1. Certain gifts prohibited; penalties.

A. For purposes of this section:

"Intangible gift" means a thing of temporary value or a thing that upon the happening of a certain event or expiration of a given date loses its value. "Intangible gift" includes entertainment, hospitality, a

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59 ticket, admission, or pass, transportation, lodgings, and meals that are reportable on Schedule E of the
60 disclosure form prescribed in § 2.2-3117.

61 "Tangible gift" means a thing of value that does not lose its value upon the happening of a certain
62 event or expiration of a given date. "Tangible gift" includes currency, negotiable instruments, securities,
63 stock options, or other financial instruments that are reportable on Schedule E of the disclosure form
64 prescribed in § 2.2-3117. "Tangible gift" does not include payments or reimbursements received for any
65 intangible gift.

66 "Widely attended event" means a nonpartisan event to which at least 25 persons have been invited
67 and are anticipated to attend, and does not include overnight accommodations and more than one meal.
68

69 B. An officer or employee of a local governmental or advisory agency or candidate required to file
70 the disclosure form prescribed in § 2.2-3117 (i) shall not solicit, accept, or receive within any calendar
71 year any single tangible gift with a value in excess of \$250 or a combination of tangible gifts with an
72 aggregate value in excess of \$250 from any person that he knows or has reason to know is (a) a
73 lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4; (b) a lobbyist's principal as
74 defined in § 2.2-419; or (c) a person, organization, or business who is a party to or is seeking to become
75 a party to a contract with the local agency of which he is an officer or an employee; (ii) shall report any
76 tangible gift with a value of \$250 or less or any intangible gift received from any person listed in clause
77 (i) on Schedule E of such disclosure form; and (iii) shall report any payments for talks, meetings, and
78 publications on Schedule D of such disclosure form.

79 C. An officer or employee of a state governmental or advisory agency, constitutional officer, or
80 candidate required to file the disclosure form prescribed in § 2.2-3117 (i) shall not solicit, accept, or
81 receive within any calendar year any single tangible gift with a value in excess of \$250 \$100 or a
82 combination of tangible gifts with an aggregate value in excess of \$250 \$100 from any person that he
83 knows or has reason to know is (a) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of
84 Chapter 4; (b) a lobbyist's principal as defined in § 2.2-419; or (c) a person, organization, or business
85 who is a party to or is seeking to become a party to a contract with the Commonwealth; (ii) shall report
86 any tangible gift with a value of \$250 or less or any intangible gift received from any person listed in
87 clause (i) on Schedule E of such disclosure form; and (iii) shall report any payments for talks, meetings,
88 and publications on Schedule D of such disclosure form, other than a relative, a personal friend, or a
89 business associate who is acting without the intent to gain access to or influence the officer, employee,
90 or candidate in his official duties. For purposes of this section and the disclosure requirements
91 prescribed by § 2.2-3117, any single gift or any combination of gifts with a value in excess of \$100
92 given to a member of the immediate family of such officer, employee, or candidate shall be considered a
93 gift to the officer, employee, or candidate if (i) such gift was given with the knowledge and acquiescence
94 of the officer, employee, or candidate and (ii) the officer, employee, or candidate knows or has reason
95 to know that such gift was given to the member of his immediate family because of his official position.
96 No officer, employee, or candidate or member of the immediate family of such officer, employee, or
97 candidate shall solicit, accept, or receive any gift of any value when he knows or has reason to know
98 that such gift was offered or given with the intent to induce any conduct or action by the officer,
99 employee, or candidate related to the performance of his official duties.

100 C. Notwithstanding the provisions of subsection B, an officer or employee of a state governmental or
101 advisory agency, a constitutional officer, or a candidate required to file the disclosure form prescribed
102 in § 2.2-3117, or a member of the immediate family of such officer, employee, or candidate, may accept
103 a gift or a combination of gifts with a value exceeding \$100 when such gift or gifts are accepted or
104 received while the officer, employee, or candidate, or member of his immediate family, is in attendance
105 at a widely attended event. Gifts accepted pursuant to this subsection shall be reported on the disclosure
106 form prescribed in § 2.2-3117.

107 D. Notwithstanding the provisions of subsection B, an officer or employee of a state governmental or
108 advisory agency, a constitutional officer, or a candidate required to file the disclosure form prescribed
109 in § 2.2-3117, or a member of the immediate family of such officer, employee, or candidate, may accept
110 or receive a travel-related thing of value that is provided by a third party and is otherwise prohibited
111 by subsection B when he has submitted a request for a waiver to and has received the approval of the
112 Council pursuant to § 30-356.1. Gifts accepted pursuant to this subsection shall be reported on the
113 disclosure form prescribed in § 2.2-3117.

114 E. During the pendency of a civil action in any state or federal court to which the Commonwealth is
115 a party, the Governor or the Attorney General or any employee of the Governor or the Attorney General
116 who is subject to the provisions of this chapter shall not solicit, accept, or receive any tangible gift from
117 any person that he knows or has reason to know is a person, organization, or business who is a party to
118 such civil action. A person, organization, or business who is a party to such civil action shall not
119 knowingly give any tangible gift to the Governor or the Attorney General or any of their employees
120 who are subject to the provisions of this chapter.

E. The \$250 limitation imposed in accordance with this section shall be adjusted by the Council every five years, as of January 1 of that year, in an amount equal to the annual increases for that five-year period in the United States Average Consumer Price Index for all items, all urban consumers (CPI-U), as published by the Bureau of Labor Statistics of the U.S. Department of Labor, rounded to the nearest whole dollar.

F. For purposes of this section, "person, organization, or business" includes individuals who are officers, directors, or owners of or who have a controlling ownership interest in such organization or business.

§ 2.2-3117. Disclosure form.

The disclosure form to be used for filings required by subsections A and D of § 2.2-3114 and subsections A and E of § 2.2-3115 shall be substantially as follows:

STATEMENT OF ECONOMIC INTERESTS.

Name

Office or position held or sought

Address

Names of members of immediate family

DEFINITIONS AND EXPLANATORY MATERIAL.

"Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise, association, trust or foundation, or any other individual or entity carrying on a business or profession, whether or not for profit.

"Close financial association" means an association in which the person filing shares significant financial involvement with an individual and the filer would reasonably be expected to be aware of the individual's business activities and would have access to the necessary records either directly or through the individual. "Close financial association" does not mean an association based on (i) the receipt of retirement benefits or deferred compensation from a business by which the person filing this statement is no longer employed, or (ii) the receipt of compensation for work performed by the person filing as an independent contractor of a business that represents an entity before any state governmental agency when the person filing has had no communications with the state governmental agency.

"Contingent liability" means a liability that is not presently fixed or determined, but may become fixed or determined in the future with the occurrence of some certain event.

"Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item having monetary value. It includes services as well as gifts of transportation, lodgings and meals, whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the expense has been incurred. "Gift" does not include (i) any offer of a ticket, coupon, or other admission or pass unless the ticket, coupon, admission, or pass is used; (ii) honorary degrees; (iii) any athletic, merit, or need-based scholarship or any other financial aid awarded by a public or private school, institution of higher education, or other educational program pursuant to such school, institution, or program's financial aid standards and procedures applicable to the general public; (iv) a campaign contribution properly received and reported pursuant to Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2; (v) any gift related to the private profession or occupation of an officer or employee or of a member of his immediate family; or (vi) gifts from relatives or personal friends. "Relative" means the donee's spouse, child, uncle, aunt, niece, or nephew; a person to whom the donee is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother, or sister; or the donee's brother's or sister's spouse. "Personal friend" does not include any person that the filer knows or has reason to know is (a) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4 of Title 2.2; (b) a lobbyist's principal as defined in § 2.2-419; (c) for an officer or employee of a local governmental or advisory agency, a person, organization, or business who is a party to or is seeking to become a party to a contract with the local agency of which he is an officer or an employee; or (d) for an officer or employee of a state governmental or advisory agency, a person, organization, or business who is a party to or is seeking to become a party to a contract with the Commonwealth. "Person, organization, or business" includes individuals who are officers, directors, or owners of or who have a controlling ownership interest in such organization or business.

"Immediate family" means (i) a spouse and (ii) any child who resides in the same household as the officer or employee and who is a dependent of the officer or employee.

TRUST. If you or your immediate family, separately or together, are the only beneficiaries of a trust, treat the trust's assets as if you own them directly. If you or your immediate family has a proportional interest in a trust, treat that proportion of the trust's assets as if you own them directly. For example, if you and your immediate family have a one-third interest in a trust, complete your Statement as if you own one-third of each of the trust's assets. If you or a member of your immediate family created a trust and can revoke it without the beneficiaries' consent, treat its assets as if you own them directly.

REPORT TO THE BEST OF INFORMATION AND BELIEF. Information required on this

182 Statement must be provided on the basis of the best knowledge, information, and belief of the individual
183 filing the Statement as of the date of this report unless otherwise stated.

184 COMPLETE ITEMS 1 THROUGH 10. REFER TO SCHEDULES ONLY IF DIRECTED.

185 You may attach additional explanatory information.

186 1. Offices and Directorships.

187 Are you or a member of your immediate family a paid officer or paid director of a business?

188 EITHER check NO // OR check YES // and complete Schedule A.

189 2. Personal Liabilities.

190 Do you or a member of your immediate family owe more than \$5,000 to any one creditor including
191 contingent liabilities? (Exclude debts to any government and loans secured by recorded liens on property
192 at least equal in value to the loan.)

193 EITHER check NO // OR check YES // and complete Schedule B.

194 3. Securities.

195 Do you or a member of your immediate family, directly or indirectly, separately or together, own
196 securities valued in excess of \$5,000 invested in one business? Account for mutual funds, limited
197 partnerships and trusts.

198 EITHER check NO // OR check YES // and complete Schedule C.

199 4. Payments for Talks, Meetings, and Publications.

200 During the past six months did you receive in your capacity as an officer or employee of your
201 agency lodging, transportation, money, or anything else of value with a combined value exceeding \$200
202 (i) for a single talk, meeting, or published work or (ii) for a meeting, conference, or event where your
203 attendance at the meeting, conference, or event was designed to (a) educate you on issues relevant to
204 your duties as an officer or employee of your agency or (b) enhance your knowledge and skills relative
205 to your duties as an officer or employee of your agency?

206 EITHER check NO // OR check YES // and complete Schedule D.

207 5. Gifts.

208 During the past six months did a business, government, or individual other than a relative or,
209 personal friend, or business associate acting without the intent to gain access to or influence you in
210 your official duties (i) furnish you or a member of your immediate family with any single gift or
211 entertainment at a single event, and the value received exceeded \$50 or (ii) furnish you or a member of
212 your immediate family with gifts or entertainment in any combination and the total value received
213 exceeded \$400 \$50, and for which you or the member of your immediate family neither paid nor
214 rendered services in exchange? Account for entertainment events only if the average value per person
215 attending the event exceeded \$50. Account for all business entertainment (except if related to the private
216 profession or occupation of you or the member of your immediate family who received such business
217 entertainment) even if unrelated to your official duties.

218 EITHER check NO // OR check YES // and complete Schedule E.

219 6. Salary and Wages.

220 List each employer that pays you or a member of your immediate family salary or wages in excess
221 of \$5,000 annually. (Exclude state or local government or advisory agencies.)

222 If no reportable salary or wages, check here //.

223 _____

224 _____

225 _____

226 7. Business Interests.

227 Do you or a member of your immediate family, separately or together, operate your own business, or
228 own or control an interest in excess of \$5,000 in a business?

229 EITHER check NO // OR check YES // and complete Schedule F.

230 8. Payments for Representation and Other Services.

231 8A. Did you represent, excluding activity defined as lobbying in § 2.2-419, any businesses before any
232 state governmental agencies, excluding courts or judges, for which you received total compensation
233 during the past six months in excess of \$1,000, excluding compensation for other services to such
234 businesses and representation consisting solely of the filing of mandatory papers and subsequent
235 representation regarding the mandatory papers? (Officers and employees of local governmental and
236 advisory agencies do NOT need to answer this question or complete Schedule G-1.)

237 EITHER check NO // OR check YES // and complete Schedule G-1.

238 8B. Subject to the same exceptions as in 8A, did persons with whom you have a close financial
239 association (partners, associates or others) represent, excluding activity defined as lobbying in § 2.2-419,
240 any businesses before any state governmental agency for which total compensation was received during
241 the past six months in excess of \$1,000? (Officers and employees of local governmental and advisory
242 agencies do NOT need to answer this question or complete Schedule G-2.)

243 EITHER check NO // OR check YES // and complete Schedule G-2.

8C. Did you or persons with whom you have a close financial association furnish services to businesses operating in Virginia pursuant to an agreement between you and such businesses, or between persons with whom you have a close financial association and such businesses for which total compensation in excess of \$1,000 was received during the past six months? Services reported under this provision shall not include services involving the representation of businesses that are reported under item 8A or 8B.

EITHER check NO // OR check YES // and complete Schedule G-3.

9. Real Estate.

9A. State Officers and Employees.

Do you or a member of your immediate family hold an interest, including a partnership interest, valued at more than \$5,000 in real property (other than your principal residence) for which you have not already listed the full address on Schedule F? Account for real estate held in trust.

EITHER check NO // OR check YES // and complete Schedule H-1.

9B. Local Officers and Employees.

Do you or a member of your immediate family hold an interest, including a partnership interest, or option, easement, or land contract, valued at more than \$5,000 in real property (other than your principal residence) for which you have not already listed the full address on Schedule F? Account for real estate held in trust.

EITHER check NO // OR check YES // and complete Schedule H-2.

10. Real Estate Contracts with Governmental Agencies.

Do you or a member of your immediate family hold an interest valued at more than \$5,000 in real estate, including a corporate, partnership, or trust interest, option, easement, or land contract, which real estate is the subject of a contract, whether pending or completed within the past six months, with a governmental agency? If the real estate contract provides for the leasing of the property to a governmental agency, do you or a member of your immediate family hold an interest in the real estate valued at more than \$1,000? Account for all such contracts whether or not your interest is reported in Schedule F, H-1, or H-2. This requirement to disclose an interest in a lease does not apply to an interest derived through an ownership interest in a business unless the ownership interest exceeds three percent of the total equity of the business.

EITHER check NO // OR check YES // and complete Schedule I.

Statements of Economic Interests are open for public inspection.

AFFIRMATION BY ALL FILERS.

I swear or affirm that the foregoing information is full, true and correct to the best of my knowledge.

Signature

(Return only if needed to complete Statement.)

SCHEDULES

to

STATEMENT OF ECONOMIC INTERESTS.

NAME

SCHEDULE A - OFFICES AND DIRECTORSHIPS.

Identify each business of which you or a member of your immediate family is a paid officer or paid director.

Name of Business	Address of Business	Position Held and by Whom

RETURN TO ITEM 2

SCHEDULE B - PERSONAL LIABILITIES.

Report personal liability by checking each category. Report only debts in excess of \$5,000. Do not report debts to any government. Do not report loans secured by recorded liens on property at least equal in value to the loan.

Report contingent liabilities below and indicate which debts are contingent.

1. My personal debts are as follows:

Check

Check one

	appropriate categories	\$5,001 to \$50,000	More than \$50,000
304			
305			
306	Banks	_____	_____
307	Savings institutions	_____	_____
308	Other loan or finance companies	_____	_____
309	Insurance companies	_____	_____
310	Stock, commodity or other brokerage companies	_____	_____
311	Other businesses:		
312	(State principal business activity for each		
313	creditor and its name.)		
314	_____	_____	_____
315	_____	_____	_____
316	_____	_____	_____
317	Individual creditors:		
318	(State principal business or occupation of		
319	each creditor and its name.)		
320	_____	_____	_____
321	_____	_____	_____
322	_____	_____	_____
323	_____	_____	_____

2. The personal debts of the members of my immediate family are as follows:

	Check appropriate categories	Check one \$5,001 to \$50,000	More than \$50,000
326			
327			
328			
329			
330	Banks	_____	_____
331	Savings institutions	_____	_____
332	Other loan or finance companies	_____	_____
333	Insurance companies	_____	_____
334	Stock, commodity or other brokerage companies	_____	_____
335	Other businesses:		
336	(State principal business activity for each		
337	creditor and its name.)		
338	_____	_____	_____
339	_____	_____	_____
340	_____	_____	_____
341	Individual creditors:		
342	(State principal business or occupation of		
343	each creditor and its name.)		
344	_____	_____	_____
345	_____	_____	_____
346	_____	_____	_____
347	_____	_____	_____

RETURN TO ITEM 3

SCHEDULE C - SECURITIES.

"Securities" INCLUDES stocks, bonds, mutual funds, limited partnerships, and commodity futures contracts.

"Securities" EXCLUDES certificates of deposit, money market funds, annuity contracts, and insurance policies.

Identify each business or Virginia governmental entity in which you or a member of your immediate family, directly or indirectly, separately or together, own securities valued in excess of \$5,000. Name each issuer and type of security individually.

Do not list U.S. Bonds or other government securities not issued by the Commonwealth of Virginia or its authorities, agencies, or local governments. Do not list organizations that do not do business in this Commonwealth, but most major businesses conduct business in Virginia. Account for securities held in trust.

If no reportable securities, check here //.

Name of Issuer	Type of Security (stocks, bonds, mutual funds, etc.)	Check one		
		\$5,001 to \$50,000	\$50,001 to \$250,000	More than \$250,000

RETURN TO ITEM 4

SCHEDULE D - PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.

List each source from which you received during the past six months in your capacity as an officer or employee of your agency lodging, transportation, money, or any other thing of value with combined value exceeding \$200 (i) for your presentation of a single talk, participation in one meeting, or publication of a work or (ii) for your attendance at a meeting, conference, or event where your attendance at the meeting, conference, or event was designed to (a) educate you on issues relevant to your duties as an officer or employee of your agency or (b) enhance your knowledge and skills relative to your duties as an officer or employee of your agency. Any lodging, transportation, money, or other thing of value received by an officer or employee that does not satisfy the provisions of clause (i), (ii) (a), or (ii) (b) shall be listed as a gift on Schedule E.

List payments or reimbursements by an advisory or governmental agency only for meetings or travel outside the Commonwealth.

List a payment even if you donated it to charity.

Do not list information about a payment if you returned it within 60 days or if you received it from an employer already listed under Item 6 or from a source of income listed on Schedule F.

If no payment must be listed, check here / /.

			Type of payment (e.g. honoraria, travel reimburse- ment, etc.)
Payer	Approximate Value	Circumstances	
<hr/>	<hr/>	<hr/>	<hr/>
<hr/>	<hr/>	<hr/>	<hr/>
<hr/>	<hr/>	<hr/>	<hr/>
<hr/>	<hr/>	<hr/>	<hr/>

RETURN TO ITEM 5

SCHEDULE E - GIFTS.

List each business, governmental entity, or individual that, during the past six months, (i) furnished you or a member of your immediate family with any *single* gift or entertainment at a single event, and the value received exceeded \$50 or (ii) furnished you or a member of your immediate family with gifts or entertainment in any combination and the total value received exceeded \$100 \$50, and for which you or the member of your immediate family neither paid nor rendered services in exchange. List each such gift or event. Do not list entertainment events unless the average value per person attending the event exceeded \$50. Do not list business entertainment related to the private profession or occupation of you or the member of your immediate family who received such business entertainment. Do not list gifts or other things of value given by a relative or a personal friend for reasons clearly unrelated to your public position, or a business associate acting without the intent to gain access to or influence you in your official duties. Do not list campaign contributions publicly reported as required by Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2 of the Code of Virginia.

Name of Recipient	Name of Business, Organization, or Individual	City or County and State	Exact Gift or Event	Approximate Value

421					
422					
423					
424					

RETURN TO ITEM 6

SCHEDULE F - BUSINESS INTERESTS.

Complete this Schedule for each self-owned or family-owned business (including rental property, a farm, or consulting work), partnership, or corporation in which you or a member of your immediate family, separately or together, own an interest having a value in excess of \$5,000.

If the enterprise is owned or operated under a trade, partnership, or corporate name, list that name; otherwise, merely explain the nature of the enterprise. If rental property is owned or operated under a trade, partnership, or corporate name, list the name only; otherwise, give the address of each property. Account for business interests held in trust.

Name of Business, Corporation, Partnership, City or Farm; Address of County Rental Property and State				Gross Income		
Nature of Enterprise (farming, law, rental property, etc.)				\$50,001 to \$250,000	More than \$250,000	

RETURN TO ITEM 8

SCHEDULE G-1 - PAYMENTS FOR REPRESENTATION BY YOU.

List the businesses you represented, excluding activity defined as lobbying in § 2.2-419, before any state governmental agency, excluding any court or judge, for which you received total compensation during the past six months in excess of \$1,000, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers filed by you.

Identify each business, the nature of the representation and the amount received by dollar category from each such business. You may state the type, rather than name, of the business if you are required by law not to reveal the name of the business represented by you.

Only STATE officers and employees should complete this Schedule.

Pur- pose				Amount Received					
Name of Business	Type of Business	of Repre- senta- tion	Name of Agen- cy	\$1,001 to \$10,000	\$10,001 to \$50,000	\$50,001 to \$100,000	\$100,001 to \$250,000	\$250,001 and over	

If you have received \$250,001 or more from a single business within the reporting period, indicate the amount received, rounded to the nearest \$10,000.

Amount Received: _____.

SCHEDULE G-2 - PAYMENTS FOR REPRESENTATION BY ASSOCIATES.

List the businesses that have been represented, excluding activity defined as lobbying in § 2.2-419, before any state governmental agency, excluding any court or judge, by persons who are your partners, associates or others with whom you have a close financial association and who received total compensation in excess of \$1,000 for such representation during the past six months, excluding representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers filed by your partners, associates or others with whom you have a close

financial association.

Identify such businesses by type and also name the state governmental agencies before which such person appeared on behalf of such businesses.

Only STATE officers and employees should complete this Schedule.

Type of business	Name of state governmental agency

SCHEDULE G-3 - PAYMENTS FOR OTHER SERVICES GENERALLY.

Indicate below types of businesses that operate in Virginia to which services were furnished by you or persons with whom you have a close financial association pursuant to an agreement between you and such businesses, or between persons with whom you have a close financial association and such businesses and for which total compensation in excess of \$1,000 was received during the past six months. Services reported in this Schedule shall not include services involving the representation of businesses that are reported in Schedule G-1 or G-2.

Identify opposite each category of businesses listed below (i) the type of business, (ii) the type of service rendered and (iii) the value by dollar category of the compensation received for all businesses falling within each category.

	Check if Type ser- of vices ser- were vice ren- ren- dered dered						
		\$1,001 to \$10,000	\$10,001 to \$50,000	\$50,001 to \$100,000	\$100,001 to \$250,000	\$250,001 and over	
Electric utilities							
Gas utilities							
Telephone utilities							
Water utilities							
Cable television							
companies							
Interstate							
transportation							
companies							
Intrastate							
transportation							
companies							
Oil or gas retail							
companies							
Banks							
Savings institutions							
Loan or finance							
companies							
Manufacturing							
companies (state							
type of product,							
e.g., textile,							
furniture, etc.)							
Mining companies							
Life insurance							
companies							

537	Casualty insurance							
538	companies							
539	Other insurance							
540	companies							
541	Retail companies							
542	Beer, wine or liquor							
543	companies or							
544	distributors							
545	Trade associations							
546	Professional							
547	associations							
548	Associations of							
549	public employees							
550	or officials							
551	Counties, cities							
552	or towns							
553	Labor organizations							
554	Other							

RETURN TO ITEM 9

SCHEDULE H-1 - REAL ESTATE - STATE OFFICERS AND EMPLOYEES.

List real estate other than your principal residence in which you or a member of your immediate family holds an interest, including a partnership interest, option, easement, or land contract, valued at more than \$5,000. Each parcel shall be listed individually.

561			
562			
563		Describe the type of real	
564	List each location	estate you own in each	If the real estate is
565	(state, and county	location (business, recre-	owned or recorded in
566	or city) where you	ational, apartment, com-	a name other than your
567	own real estate.	mercial, open land, etc.).	own, list that name.
568			
569			
570			
571			
572			

SCHEDULE H-2 - REAL ESTATE - LOCAL OFFICERS AND EMPLOYEES.

List real estate other than your principal residence in which you or a member of your immediate family holds an interest, including a partnership interest or option, easement, or land contract, valued at more than \$5,000. Each parcel shall be listed individually. Also list the names of any co-owners of such property, if applicable.

580				
581		Describe the type		
582		of real estate		
583		you own in		
584		each location	If the real estate	
585	List each location	(business,	is owned or rec-	
586	(state, and county	recreational,	orded in a name	
587	or city) where	apartment, com-	other than your	List the names
588	you own real	mercial, open	own, list that	of any co-owners,
589	estate.	land, etc.).	name.	if applicable.
590				
591				
592				
593				

SCHEDULE I - REAL ESTATE CONTRACTS WITH GOVERNMENTAL AGENCIES.

List all contracts, whether pending or completed within the past six months, with a governmental agency for the sale or exchange of real estate in which you or a member of your immediate family holds an interest, including a corporate, partnership or trust interest, option, easement, or land contract, valued at more than \$10,000. List all contracts with a governmental agency for the lease of real estate in which you or a member of your immediate family holds such an interest valued at more than \$1,000. This requirement to disclose an interest in a lease does not apply to an interest derived through an ownership interest in a business unless the ownership interest exceeds three percent of the total equity of the business.

State officers and employees report contracts with state agencies.

Local officers and employees report contracts with local agencies.

List your real estate
interest and the
person or entity,
including the type
of entity, which
is party to
the contract.

Describe any
management role and
the percentage
ownership
interest you or your
immediate family
member has in the real
estate or entity.

List each governmental
agency which is a
party to the contract
and indicate the
county or city where
the real estate
is located.

State the annual
income from the
contract, and the
amount, if any, of
income you or any
immediate family
member derives
annually from the
contract.

§ 2.2-3120. Knowing violation of chapter a misdemeanor.

Any person who knowingly violates any of the provisions of Articles 2 through 6 (§§ 2.2-3102 through 2.2-3119) of this chapter shall be guilty of a Class 1 misdemeanor, except that any:

1. Any member of a local governing body who knowingly violates subsection A of § 2.2-3112 or subsection D or F of § 2.2-3115 shall be guilty of a Class 3 misdemeanor; and

2. A knowing violation of § 2.2-3103.1 by an officer or employee of a state governmental or advisory agency, constitutional officer, or a candidate required to file the disclosure form prescribed in § 2.2-3117 is punishable by a civil penalty in an amount equal to \$250. Any such officer, employee, or candidate who knowingly commits a second or subsequent violation within four years of a previous violation is guilty of a Class 1 misdemeanor.

A knowing violation under this section is one in which the person engages in conduct, performs an act, or refuses to perform an act when he knows that the conduct is prohibited or required by this chapter.

§ 2.2-3124. Civil penalty from violation of this chapter.

In addition to any other fine or penalty provided by law, an officer or employee who knowingly violates any provision of §§ § 2.2-3103 or §§ 2.2-3104 through 2.2-3112 shall be subject to a civil penalty in an amount equal to the amount of money or thing of value received as a result of such violation. If the thing of value received by the officer or employee in violation of §§ § 2.2-3103 or §§ 2.2-3104 through 2.2-3112 increases in value between the time of the violation and the time of discovery of the violation, the greater value shall determine the amount of the civil penalty. Further, all money or other things of value received as a result of such violation shall be forfeited in accordance with the provisions of § 19.2-386.33.

§ 30-103. Prohibited conduct.

653 No legislator shall:

654 1. Solicit or accept money or other thing of value for services performed within the scope of his
655 official duties, except the compensation, expenses or other remuneration paid to him by the General
656 Assembly. This prohibition shall not apply to the acceptance of special benefits which may be
657 authorized by law;

658 2. Offer or accept any money or other thing of value for or in consideration of obtaining
659 employment, appointment, or promotion of any person with any governmental or advisory agency;

660 3. Offer or accept any money or other thing of value for or in consideration of the use of his public
661 position to obtain a contract for any person or business with any governmental or advisory agency;

662 4. Use for his own economic benefit or that of another party confidential information which he has
663 acquired by reason of his public position and which is not available to the public;

664 5. Accept any money, loan, gift, favor, service, or business or professional opportunity that
665 reasonably tends to influence him in the performance of his official duties. This subdivision shall not
666 apply to any political contribution actually used for political campaign or constituent service purposes
667 and reported as required by Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2;

668 6. Accept any business or professional opportunity when he knows that there is a reasonable
669 likelihood that the opportunity is being afforded him to influence him in the performance of his official
670 duties;

671 7. During the one year after the termination of his service as a legislator, represent a client or act in
672 a representative capacity on behalf of any person or group, for compensation, on any matter before the
673 General Assembly or any agency of the legislative branch of government. The prohibitions of this
674 subdivision shall apply only to persons engaged in activities that would require registration as a lobbyist
675 under § 2.2-422. Any person subject to the provisions of this subdivision may apply to the Attorney
676 General, as provided in § 30-122, for an advisory opinion as to the application of the restriction imposed
677 by this subdivision on any post-public employment position or opportunity;

678 8. Accept any honoraria for any appearance, speech, or article in which the legislator provides
679 expertise or opinions related to the performance of his official duties. The term "honoraria" shall not
680 include any payment for or reimbursement to such person for his actual travel, lodging, or subsistence
681 expenses incurred in connection with such appearance, speech, or article or in the alternative a payment
682 of money or anything of value not in excess of the per diem deduction allowable under § 162 of the
683 Internal Revenue Code, as amended from time to time;

684 9. Accept appointment to serve on a body or board of any corporation, company or other legal
685 entity, vested with the management of the corporation, company or entity, and on which two other
686 members of the General Assembly already serve, which is operated for profit and regulated by the State
687 Corporation Commission as (i) a financial institution, (ii) a mortgage lender or broker, (iii) any business
688 under Chapter 5 (§ 13.1-501 et seq.) of Title 13.1, (iv) any business under Title 38.2, or (v) any
689 business under Title 56;

690 10. Accept a gift from a person who has interests that may be substantially affected by the
691 performance of the legislator's official duties under circumstances where the timing and nature of the gift
692 would cause a reasonable person to question the legislator's impartiality in the matter affecting the
693 donor. Violations of this subdivision shall not be subject to criminal law penalties; or

694 11. Accept gifts from sources on a basis so frequent as to raise an appearance of the use of his
695 public office for private gain. Violations of this subdivision shall not be subject to criminal law
696 penalties; or

697 12. *Solicit, accept, or receive any transportation, lodging, meal, hospitality, or other travel-related*
698 *thing of value exceeding the limitation prescribed in § 30-103.1 that is to be provided by a third party*
699 *prior to submitting to the Council a request for a waiver to accept such travel-related thing of value*
700 *and receiving such a waiver pursuant to § 30-356.1.*

701 **§ 30-103.1. Certain gifts prohibited; penalties.**

702 A. For purposes of this section:

703 "Intangible gift" means a thing of temporary value or a thing that upon the happening of a certain
704 event or expiration of a given date loses its value. "Intangible gift" includes entertainment, hospitality, a
705 ticket, admission, or pass, transportation, lodgings, and meals that are reportable on Schedule E of the
706 disclosure form prescribed in § 30-111.

707 "Tangible gift" means a thing of value that does not lose its value upon the happening of a certain
708 event or expiration of a given date. "Tangible gift" includes currency, negotiable instruments, securities,
709 stock options, or other financial instruments that are reportable on Schedule E of the disclosure form
710 prescribed in § 30-111. "Tangible gift" does not include payments or reimbursements received for any
711 intangible gift.

712 "Widely attended event" means a nonpartisan event to which at least 25 persons have been invited
713 and are anticipated to attend, and does not include overnight accommodations and more than one meal.

714 B. A legislator or candidate for the General Assembly required to file the disclosure form prescribed

in § 30-111 (i) shall not solicit, accept, or receive within any calendar year any single tangible gift with a value in excess of \$250 \$100 or a combination of tangible gifts with an aggregate value in excess of \$250 \$100 from any person that he knows or has reason to know is (a) a lobbyist registered pursuant to Article 3 (§ 2-2-418 et seq.) of Chapter 4 of Title 2-2; (b) a lobbyist's principal as defined in § 2-2-419; or (c) a person, organization, or business who is a party to or is seeking to become a party to a contract with the Commonwealth; (ii) shall report any tangible gift with a value of \$250 or less or any intangible gift received from any person listed in clause (i) on Schedule E of such disclosure form; and (iii) shall report any payments for talks, meetings, and publications on Schedule D-1 of such disclosure form. For purposes of this subsection, "person, organization, or business" includes individuals who are officers, directors, or owners of or who have a controlling ownership interest in such organization or business, other than a relative, a personal friend, or a business associate who is acting without the intent to gain access to or influence the legislator or candidate. For purposes of this section and the disclosure requirements prescribed by § 30-111, any single gift or any combination of gifts with a value in excess of \$100 given to a member of the immediate family of such legislator or candidate shall be considered a gift to the legislator or candidate if (i) such gift was given with the knowledge and acquiescence of the legislator or candidate, and (ii) the legislator or candidate knows or has reason to know that such gift was given to the member of his immediate family because of his official position. No legislator or candidate or member of the immediate family of such legislator or candidate shall solicit, accept, or receive any gift of any value when he knows or has reason to know that such gift was offered or given with the intent to induce any conduct or action by the legislator or candidate related to the performance of his official duties.

C. The \$250 limitation imposed in accordance with this section shall be adjusted by the Council every five years, as of January 1 of that year, in an amount equal to the annual increases for that five-year period in the United States Average Consumer Price Index for all items, all urban consumers (CPI-U), as published by the Bureau of Labor Statistics of the U.S. Department of Labor, rounded to the nearest whole dollar. Notwithstanding the provisions of subsection B, a legislator or candidate for the General Assembly required to file the disclosure form prescribed in § 30-111, or a member of the immediate family of such legislator or candidate, may accept a gift or a combination of gifts with a value exceeding \$100 when such gift or gifts are accepted or received while the officer, employee, or candidate, or member of his immediate family, is in attendance at a widely attended event. Gifts accepted pursuant to this subsection shall be reported on the disclosure form prescribed in § 30-111.

D. Notwithstanding the provisions of subsection B, a legislator or candidate for the General Assembly required to file the disclosure form prescribed in § 30-111, or a member of the immediate family of such legislator or candidate, may accept or receive a travel-related thing of value that is provided by a third party and is otherwise prohibited by subsection B when he has submitted a request for a waiver to and has received the approval of the Council pursuant to § 30-356.1. Gifts accepted pursuant to this subsection shall be reported on the disclosure form prescribed in § 30-111.

§ 30-111. Disclosure form.

A. The disclosure form to be used for filings required by subsections A and B of § 30-110 shall be substantially as follows:

STATEMENT OF ECONOMIC INTERESTS.

Name
Office or position held or sought
Address
Names of members of immediate family

DEFINITIONS AND EXPLANATORY MATERIAL.

"Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise, association, trust or foundation, or any other individual or entity carrying on a business or profession, whether or not for profit.

"Close financial association" means an association in which the filer shares significant financial involvement with an individual and the filer would reasonably be expected to be aware of the individual's business activities and would have access to the necessary records either directly or through the individual. "Close financial association" does not mean an association based on (i) the receipt of retirement benefits or deferred compensation from a business by which the legislator is no longer employed, or (ii) the receipt of compensation for work performed by the legislator as an independent contractor of a business that represents an entity before any state governmental agency when the legislator has had no communications with the state governmental agency.

"Contingent liability" means a liability that is not presently fixed or determined, but may become fixed or determined in the future with the occurrence of some certain event.

"Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item having monetary value. It includes services as well as gifts of transportation, lodgings and meals,

whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the expense has been incurred. "Gift" does not include (i) any offer of a ticket, coupon, or other admission or pass unless the ticket, coupon, admission, or pass is used; (ii) honorary degrees; (iii) any athletic, merit, or need-based scholarship or any other financial aid awarded by a public or private school, institution of higher education, or other educational program pursuant to such school, institution, or program's financial aid standards and procedures applicable to the general public; (iv) a campaign contribution properly received and reported pursuant to Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2; (v) any gift related to the private profession or occupation of a legislator or of a member of his immediate family; or (vi) gifts from relatives or personal friends. "Relative" means the donee's spouse, child, uncle, aunt, niece, or nephew; a person to whom the donee is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother, or sister; or the donee's brother's or sister's spouse. "Personal friend" does not include any person that the filer knows or has reason to know is (a) a lobbyist registered pursuant to Article 3 (§ 2.2-418 et seq.) of Chapter 4 of Title 2.2; (b) a lobbyist's principal as defined in § 2.2-419; or (c) a person, organization, or business who is a party to or is seeking to become a party to a contract with the Commonwealth. "Person, organization, or business" includes individuals who are officers, directors, or owners of or who have a controlling ownership interest in such organization or business.

"Immediate family" means (i) a spouse and (ii) any child who resides in the same household as the legislator and who is a dependent of the legislator.

"Lobbyist relationship" means (i) an engagement, agreement, or representation that relates to legal services, consulting services, or public relations services, whether gratuitous or for compensation, between a member or member-elect and any person who is, or has been within the prior calendar year, registered as a lobbyist with the Secretary of the Commonwealth or (ii) a greater than three percent ownership interest by a member or member-elect in a business that employs, or engages as an independent contractor, any person who is, or has been within the prior calendar year, registered as a lobbyist with the Secretary of the Commonwealth. The disclosure of a lobbyist relationship shall not (a) constitute a waiver of any attorney-client or other privilege, (b) require a waiver of any attorney-client or other privilege for a third party, or (c) be required where a member or member-elect is employed or engaged by a person and such person also employs or engages a person in a lobbyist relationship so long as the member or member-elect has no financial interest in the lobbyist relationship.

TRUST. If you or your immediate family, separately or together, are the only beneficiaries of a trust, treat the trust's assets as if you own them directly. If you or your immediate family has a proportional interest in a trust, treat that proportion of the trust's assets as if you own them directly. For example, if you and your immediate family have a one-third interest in a trust, complete your Statement as if you own one-third of each of the trust's assets. If you or a member of your immediate family created a trust and can revoke it without the beneficiaries' consent, treat its assets as if you own them directly.

REPORT TO THE BEST OF INFORMATION AND BELIEF. Information required on this Statement must be provided on the basis of the best knowledge, information, and belief of the individual filing the Statement as of the date of this report unless otherwise stated.

COMPLETE ITEMS 1 THROUGH 11. REFER TO SCHEDULES ONLY IF DIRECTED.

You may attach additional explanatory information.

1. Offices and Directorships.

Are you or a member of your immediate family a paid officer or paid director of a business?

EITHER check NO // OR check YES // and complete Schedule A.

2. Personal Liabilities.

Do you or a member of your immediate family owe more than \$5,000 to any one creditor including contingent liabilities? (Exclude debts to any government and loans secured by recorded liens on property at least equal in value to the loan.)

EITHER check NO // OR check YES // and complete Schedule B.

3. Securities.

Do you or a member of your immediate family, directly or indirectly, separately or together, own securities valued in excess of \$5,000 invested in one business? Account for mutual funds, limited partnerships and trusts.

EITHER check NO // OR check YES // and complete Schedule C.

4. Payments for Talks, Meetings, and Publications.

During the past six months did you receive in your capacity as a legislator lodging, transportation, money, or anything else of value with a combined value exceeding \$200 (i) for a single talk, meeting, or published work or (ii) for a meeting, conference, or event where your attendance at the meeting, conference, or event was designed to (a) educate you on issues relevant to your duties as a legislator, including issues faced by your constituents, or (b) enhance your knowledge and skills relative to your duties as a legislator? Do not include payments and reimbursements from the Commonwealth for meetings attended in your capacity as a legislator; see Question 11 and Schedule D2 to report such

meetings.

EITHER check NO // OR check YES // and complete Schedule D.

5. Gifts.

During the past six months did a business, government, or individual other than a relative or, personal friend, or business associate acting without the intent to gain access to or influence you in your official duties (i) furnish you or a member of your immediate family with any single gift or entertainment at a single event; and the value received exceeded \$50 or (ii) furnish you or a member of your immediate family with gifts or entertainment in any combination and the total value received exceeded \$400 \$50, and for which you or the member of your immediate family neither paid nor rendered services in exchange? Account for entertainment events only if the average value per person attending the event exceeded \$50. Account for all business entertainment (except if related to the private profession or occupation of you or the member of your immediate family who received such business entertainment) even if unrelated to your official duties.

EITHER check NO // OR check YES // and complete Schedule E.

6. Salary and Wages.

List each employer that pays you or a member of your immediate family salary or wages in excess of \$5,000 annually. (Exclude any salary received as a member of the General Assembly pursuant to § 30-19.11.)

If no reportable salary or wages, check here //.

7. Business Interests and Lobbyist Relationships.

7A. Do you or a member of your immediate family, separately or together, operate your own business, or own or control an interest in excess of \$5,000 in a business?

EITHER check NO // OR check YES // and complete Schedule F-1.

7B. Do you have a lobbyist relationship as that term is defined above?

EITHER check NO // OR check YES // and complete Schedule F-2.

8. Payments for Representation and Other Services.

8A. Did you represent any businesses before any state governmental agencies, excluding courts or judges, for which you received total compensation during the past six months in excess of \$1,000, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers?

EITHER check NO // OR check YES // and complete Schedule G-1.

8B. Subject to the same exceptions as in 8A, did persons with whom you have a close financial association (partners, associates or others) represent any businesses before any state governmental agency for which total compensation was received during the past six months in excess of \$1,000?

EITHER check NO // OR check YES // and complete Schedule G-2.

8C. Did you or persons with whom you have a close financial association furnish services to businesses operating in Virginia, pursuant to an agreement between you and such businesses, or between persons with whom you have a close financial association and such businesses for which total compensation in excess of \$1,000 was received during the past six months? Services reported under this provision shall not include services involving the representation of businesses that are reported under question 8A or 8B above.

EITHER check NO // OR check YES // and complete Schedule G-3.

9. Real Estate.

Do you or a member of your immediate family hold an interest, including a partnership interest, valued at more than \$5,000 in real property (other than your principal residence) for which you have not already listed the full address on Schedule F? Account for real estate held in trust.

EITHER check NO // OR check YES // and complete Schedule H.

10. Real Estate Contracts with State Governmental Agencies.

Do you or a member of your immediate family hold an interest valued at more than \$5,000 in real estate, including a corporate, partnership, or trust interest, option, easement, or land contract, which real estate is the subject of a contract, whether pending or completed within the past six months, with a state governmental agency?

If the real estate contract provides for the leasing of the property to a state governmental agency, do you or a member of your immediate family hold an interest in the real estate, including a corporate, partnership, or trust interest, option, easement, or land contract valued at more than \$1,000? Account for all such contracts whether or not your interest is reported in Schedule F or H. This requirement to disclose an interest in a lease does not apply to an interest derived through an ownership interest in a business unless the ownership interest exceeds three percent of the total equity of the business.

909 EITHER check NO // OR check YES // and complete Schedule I.

900 11. Payments by the Commonwealth for Meetings.

901 During the past six months did you receive lodging, transportation, money, or anything else of value
902 with a combined value exceeding \$200 from the Commonwealth for a single meeting attended
903 out-of-state in your capacity as a legislator? Do not include reimbursements from the Commonwealth for
904 meetings attended in the Commonwealth.

905 EITHER check NO // OR check YES // and complete Schedule D-2.

906 For Statements filed in ~~January~~ June 2016 and each two years thereafter, complete the following
907 statement indicating whether you completed the ethics orientation sessions provided pursuant to law:

908 I certify that I completed ethics training as required by § 30-129.1. YES // or NO // .

909 Statements of Economic Interests are open for public inspection.

910 AFFIRMATION.

911 In accordance with the rules of the house in which I serve, if I receive a request that this disclosure
912 statement be corrected, augmented, or revised in any respect, I hereby pledge that I shall respond
913 promptly to the request. I understand that if a determination is made that the statement is insufficient, I
914 will satisfy such request or be subjected to disciplinary action of my house.

915 I swear or affirm that the foregoing information is full, true and correct to the best of my knowledge.

916 Signature _____ (Such signature shall be deemed to constitute a
917 valid notarization and shall have the same effect as if performed by a notary public.)

918 (Return only if needed to complete Statement.)

919 SCHEDULES

920 to

921 STATEMENT OF ECONOMIC INTERESTS.

922 NAME _____

923 SCHEDULE A - OFFICES AND DIRECTORSHIPS.

924 Identify each business of which you or a member of your immediate family is a paid officer or paid
925 director.

926	_____		
927			
928	Name of Business	Address of Business	Position Held and by Whom
929	_____	_____	_____
930	_____	_____	_____
931	_____	_____	_____
932	_____	_____	_____
933	_____	_____	_____

934 RETURN TO ITEM 2

935 SCHEDULE B - PERSONAL LIABILITIES.

936 Report personal liability by checking each category. Report only debts in excess of \$5,000. Do not
937 report debts to any government. Do not report loans secured by recorded liens on property at least equal
938 in value to the loan.

939 Report contingent liabilities below and indicate which debts are contingent.

940 1. My personal debts are as follows:

941	_____		
942			
943	Check	Check one	
944	appropriate	\$5,001 to	More than
945	categories	\$50,000	\$50,000
946	Banks	_____	_____
947	Savings institutions	_____	_____
948	Other loan or finance companies	_____	_____
949	Insurance companies	_____	_____
950	Stock, commodity or other brokerage	_____	_____
951	companies	_____	_____
952	Other businesses:		
953	(State principal business activity for each		
954	creditor and its name.)		
955	_____	_____	_____
956	_____	_____	_____
957	_____	_____	_____

Individual creditors:

(State principal business or occupation of each creditor and its name.)

2. The personal debts of the members of my immediate family are as follows:

Check appropriate categories	\$5,001 to \$50,000	Check one More than \$50,000
Banks		
Savings institutions		
Other loan or finance companies		
Insurance companies		
Stock, commodity or other brokerage companies		
Other businesses:		
(State principal business activity for each creditor and its name.)		

Individual creditors:

(State principal business or occupation of each creditor and its name.)

RETURN TO ITEM 3

SCHEDULE C - SECURITIES.

"Securities" INCLUDES stocks, bonds, mutual funds, limited partnerships, and commodity futures contracts.

"Securities" EXCLUDES certificates of deposit, money market funds, annuity contracts, and insurance policies.

Identify each business or Virginia governmental entity in which you or a member of your immediate family, directly or indirectly, separately or together, own securities valued in excess of \$5,000. Name each issuer and type of security individually.

Do not list U.S. Bonds or other government securities not issued by the Commonwealth of Virginia or its authorities, agencies, or local governments. Do not list organizations that do not do business in this Commonwealth, but most major businesses conduct business in Virginia. Account for securities held in trust.

If no reportable securities, check here / / .

Name of Issuer	Type of Security (stocks, bonds, mutual funds, etc.)	\$5,001 to \$50,000	Check one \$50,001 to \$250,000	More than \$250,000

RETURN TO ITEM 4

SCHEDULE D-1 - PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.

List each source from which you received during the past six months in your capacity as a legislator lodging, transportation, money, or any other thing of value with a combined value exceeding \$200 (i) for your presentation of a single talk, participation in one meeting, or publication of a work or (ii) for your attendance at a meeting, conference, or event where your attendance at the meeting, conference, or event was designed to (a) educate you on issues relevant to your duties as a legislator, including issues faced by your constituents, or (b) enhance your knowledge and skills relative to your duties as a legislator. Any lodging, transportation, money, or other thing of value received by a legislator that does not satisfy the criteria of clause (i), (ii)(a), or (ii)(b) shall be listed as a gift on Schedule E. Do not list payments or reimbursements by the Commonwealth. (See Schedule D-2 for such payments or reimbursements.) List a payment even if you donated it to charity. Do not list information about a payment if you returned it within 60 days or if you received it from an employer already listed under Item 6 or from a source of income listed on Schedule F.

If no payment must be listed, check here / /.

Payer	Approximate Value	Circumstances	Type of Payment (e.g., Honoraria, Travel reimbursement, etc.)

RETURN TO ITEM 5

SCHEDULE D-2 - PAYMENTS BY THE COMMONWEALTH FOR MEETINGS.

List each meeting for which the Commonwealth provided payments or reimbursements during the past six months to you for lodging, transportation, money, or any other thing of value with a combined value exceeding \$200 for your participation in your capacity as a legislator. Do not list payments or reimbursements by the Commonwealth for meetings or travel within the Commonwealth.

If no payment must be listed, check here / /.

Payer	Approximate Value	Circumstances	Type of Payment (e.g., Travel reimbursement, etc.)

SCHEDULE E - GIFTS.

List each business, governmental entity, or individual that, during the past six months, (i) furnished you or a member of your immediate family with any *single* gift or entertainment at a single event, and the value received exceeded \$50 or (ii) furnished you or a member of your immediate family with gifts or entertainment in any combination and the total value received exceeded \$100 \$50, and for which you or the member of your immediate family neither paid nor rendered services in exchange. List each such gift or event.

Do not list entertainment events unless the average value per person attending the event exceeded \$50. Do not list business entertainment related to the private profession or occupation of you or the member of your immediate family who received such business entertainment. Do not list gifts or other things of value given by a relative or a personal friend for reasons clearly unrelated to your public position, or a business associate acting without the intent to gain access to or influence you in your official duties. Do not list campaign contributions publicly reported as required by Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2 of the Code of Virginia.

Name of Recipient	Name of Business, Organization, or Individual	City or County and State	Exact Gift or Event	Approximate Value

RETURN TO ITEM 6

SCHEDULE F-1 - BUSINESS INTERESTS.

Complete this Schedule for each self-owned or family-owned business (including rental property, a farm, or consulting work), partnership, or corporation in which you or a member of your immediate family, separately or together, own an interest having a value in excess of \$5,000.

If the enterprise is owned or operated under a trade, partnership, or corporate name, list that name; otherwise, merely explain the nature of the enterprise. If rental property is owned or operated under a trade, partnership, or corporate name, list the name only; otherwise, give the address of each property. Account for business interests held in trust.

Name of Business Corporation, Partnership, Farm;	City or County and State	Nature of Enterprise (farming, law, rental property, etc.)	Gross income \$50,001 to \$250,000	More than \$250,000

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SCHEDULE F-2 - LOBBYIST RELATIONSHIPS AND PAYMENTS.

Complete this Schedule for each lobbyist relationship with the following:

(i) any person who is, or has been within the prior calendar year, registered as a lobbyist with the Secretary of the Commonwealth, or

(ii) any business in which you have a greater than three percent ownership interest and that business employs, or engages as an independent contractor, any person who is, or has been within the prior calendar year, registered as a lobbyist with the Secretary of the Commonwealth.

List each person or business	Describe each relationship	Dates of relationship	Payments to Lobbyist \$10,000 or less	More than \$10,000

THE DISCLOSURE OF A LOBBYIST RELATIONSHIP SHALL NOT (I) CONSTITUTE A WAIVER OF ANY ATTORNEY-CLIENT OR OTHER PRIVILEGE, (II) REQUIRE A WAIVER OF ANY ATTORNEY-CLIENT OR OTHER PRIVILEGE FOR A THIRD PARTY, OR (III) BE REQUIRED WHERE A MEMBER OR MEMBER-ELECT IS EMPLOYED OR ENGAGED BY A PERSON AND SUCH PERSON ALSO EMPLOYS OR ENGAGES A PERSON IN A LOBBYIST

1132 RELATIONSHIP SO LONG AS THE MEMBER OR MEMBER-ELECT HAS NO FINANCIAL
1133 INTEREST IN THE LOBBYIST RELATIONSHIP.

1134 SCHEDULE G-1 - PAYMENTS FOR REPRESENTATION BY YOU.

1135 List the businesses you represented before any state governmental agency, excluding any court or
1136 judge, for which you received total compensation during the past six months in excess of \$1,000,
1137 excluding compensation for other services to such businesses and representation consisting solely of the
1138 filing of mandatory papers and subsequent representation regarding the mandatory papers filed by you.

1139 Identify each business, the nature of the representation and the amount received by dollar category
1140 from each such business. You may state the type, rather than name, of the business if you are required
1141 by law not to reveal the name of the business represented by you.

	<hr/>								
1142		Pur-							
1143	Name	Type	pose				Amount Received		
1144			of						
1145			Repre-	Name	\$1,001	\$10,001	\$50,001	\$100,001	
1146	of	of	senta-	of	to	to	to	to	\$250,001
1147	Busi-	Busi-	tion	Agency	\$10,000	\$50,000	\$100,000	\$250,000	and over
1148	n-ness	n-ness							
1149									
1150									
1151									
1152									
1153									

1154 _____

1155 If you have received \$250,001 or more from a single business within the reporting period, indicate

1156 the amount received, rounded to the nearest \$10,000. Amount Received: _____.

1157 SCHEDULE G-2 - PAYMENTS FOR REPRESENTATION BY ASSOCIATES.

1158 List the businesses that have been represented before any state governmental agency, excluding any
1159 court or judge, by persons who are your partners, associates or others with whom you have a close
1160 financial association and who received total compensation in excess of \$1,000 for such representation
1161 during the past six months, excluding representation consisting solely of the filing of mandatory papers
1162 and subsequent representation regarding the mandatory papers filed by your partners, associates or others
1163 with whom you have a close financial association.

1164 Identify such businesses by type and also name the state governmental agencies before which such
1165 person appeared on behalf of such businesses.

1166		
1167		
1168	Type of Business	Name of State Governmental Agency
1169		
1170		
1171		
1172		

1173
1174 SCHEDULE G-3 - PAYMENTS FOR OTHER SERVICES GENERALLY.

1175 Indicate below types of businesses that operate in Virginia to which services were furnished by you
1176 or persons with whom you have a close financial association pursuant to an agreement between you and
1177 such businesses, or between persons with whom you have a close financial association and such
1178 businesses and for which total compensation in excess of \$1,000 was received during the past six
1179 months. Services reported in this Schedule shall not include services involving the representation of
1180 businesses that are reported in Schedule G-1 or G-2 above.

1181 Identify opposite each category of businesses listed below (i) the type of business, (ii) the type of
1182 service rendered and (iii) the value by dollar category of the compensation received for all businesses
1183 falling within each category.

1184							
1185							
1186	Check						
1187	if	Type					
1188	ser-	of	Value of Compensation				
1189	vices	ser-					
1190	were	vice	\$1,001	\$10,001	\$50,001	\$100,001	

	ren-	ren-	to	to	to	to	\$250,001
	dered	dered	\$10,000	\$50,000	\$100,000	\$250,000	and over
1191							
1192							
1193	Electric utilities						
1194	Gas utilities						
1195	Telephone utilities						
1196	Water utilities						
1197	Cable television						
1198	companies						
1199	Interstate						
1200	transportation						
1201	companies						
1202	Intrastate						
1203	transportation						
1204	companies						
1205	Oil or gas retail						
1206	companies						
1207	Banks						
1208	Savings						
1209	institutions						
1210	Loan or finance						
1211	companies						
1212	Manufacturing						
1213	companies (state						
1214	type of product,						
1215	e.g., textile,						
1216	furniture, etc.)						
1217	Mining companies						
1218	Life insurance						
1219	companies						
1220	Casualty insurance						
1221	companies						
1222	Other insurance						
1223	companies						
1224	Retail companies						
1225	Beer, wine or						
1226	liquor companies						
1227	or distributors						
1228	Trade associations						
1229	Professional						
1230	associations						
1231	Associations of						
1232	public employees						
1233	or officials						
1234	Counties, cities						
1235	or towns						
1236	Labor organizations						
1237	Other						
1238							

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SCHEDULE H - REAL ESTATE.

List real estate other than your principal residence in which you or a member of your immediate family holds an interest, including a partnership interest, option, easement, or land contract, valued at \$5,000 or more. Each parcel shall be listed individually.

Describe the type of real
estate you own in each

1248	List the location	location (business,	If the real estate is
1249	(state, and county	recreational, apartment,	owned or recorded in
1250	or city where you	commercial, open land,	a name other than your
1251	own real estate	etc.)	own, list that name
1252	_____	_____	_____
1253	_____	_____	_____
1254	_____	_____	_____
1255	_____	_____	_____
1256	_____	_____	_____
1257	_____	_____	_____

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SCHEDULE I - REAL ESTATE CONTRACTS WITH STATE GOVERNMENTAL AGENCIES.

1260 List all contracts, whether pending or completed within the past six months, with a state
 1261 governmental agency for the sale or exchange of real estate in which you or a member of your
 1262 immediate family holds an interest, including a corporate, partnership or trust interest, option, easement,
 1263 or land contract, valued at more than \$10,000. List all contracts with a state governmental agency for
 1264 the lease of real estate in which you or a member of your immediate family holds such an interest
 1265 valued at more than \$1,000. This requirement to disclose an interest in a lease does not apply to an
 1266 interest derived through an ownership interest in a business unless the ownership interest exceeds three
 1267 percent of the total equity of the business.

1268	_____		
1269	_____		
1270	List your real		
1271	estate interest and		
1272	the person or entity,		
1273	including the type of		
1274	entity, which is		
1275	party to the contract.		State the annual
1276	Describe any		income from the
1277	management role and	List each	contract, and the
1278	the percentage	governmental agency	amount, if any, of
1279	ownership interest	which is a party to	income you or any
1280	you or your immediate	the contract and	immediate family
1281	family member has in	indicate the county	member derives
1282	the real estate	or city where the	annually from
1283	or entity.	real estate is located.	the contract.
1284	_____	_____	_____
1285	_____	_____	_____
1286	_____	_____	_____
1287	_____	_____	_____
1288	_____	_____	_____
1289	_____	_____	_____

1290 B. Any legislator who makes a knowing misstatement of a material fact on the Statement of
 1291 Economic Interests shall be subject to disciplinary action for such violations by the house in which the
 1292 legislator sits.

1293 C. The Statement of Economic Interests of all members of each house shall be reviewed by the
 1294 Council. If a legislator's Statement is found to be inadequate as filed, the legislator shall be notified in
 1295 writing and directed to file an amended Statement correcting the indicated deficiencies, and a time shall
 1296 be set within which such amendment shall be filed. If the Statement of Economic Interests, in either its
 1297 original or amended form, is found to be adequate as filed, the legislator's filing shall be deemed in full
 1298 compliance with this section as to the information disclosed thereon.

1299 D. Ten percent of the membership of a house, on the basis of newly discovered facts, may in writing
 1300 request the house in which those members sit, in accordance with the rules of that house, to review the
 1301 Statement of Economic Interests of another member of that house in order to determine the adequacy of
 1302 his filing. In accordance with the rules of each house, each Statement of Economic Interests shall be
 1303 promptly reviewed, the adequacy of the filing determined, and notice given in writing to the legislator
 1304 whose Statement is in issue. Should it be determined that the Statement requires correction,
 1305 augmentation or revision, the legislator involved shall be directed to make the changes required within
 1306 such time as shall be set under the rules of each house.

If a legislator, after having been notified in writing in accordance with the rules of the house in which he sits that his Statement is inadequate as filed, fails to amend his Statement so as to come into compliance within the time limit set, he shall be subject to disciplinary action by the house in which he sits. No legislator shall vote on any question relating to his own Statement.

§ 30-123. Knowing violation of chapter a misdemeanor.

Any legislator who knowingly violates any of the provisions of Articles 2 through 5 (§§ 30-102 through 30-111) ~~of this chapter shall be~~ is guilty of a Class 1 misdemeanor. *However, a knowing violation of § 30-103.1 by a legislator or a candidate for the General Assembly is punishable by a civil penalty in an amount equal to \$250, and any such legislator or candidate who knowingly commits a second or subsequent violation within four years of a previous violation is guilty of a Class 1 misdemeanor.*

A knowing violation under this section is one in which the person engages in conduct, performs an act or refuses to perform an act when he knows that the conduct is prohibited or required by this chapter. There shall be no prosecution for a violation of § 30-108 or subsection C of § 30-110 unless the house in which the member sits has referred the matter to the Attorney General as provided in subdivision 4 of § 30-116.

§ 30-126. Civil penalty from violation of this chapter.

In addition to any other fine or penalty provided by law, any money or other thing of value derived by a legislator from a violation of §§ § 30-103 *or* §§ 30-104 through 30-108 shall be forfeited and, in the event of a knowing violation, there may also be imposed a civil penalty in an amount equal to the amount of money or thing of value forfeited to the Commonwealth. If the thing of value received by the legislator in violation of this chapter should enhance in value between the time of the violation and the time of discovery of the violation, the greater value shall determine the amount of the civil penalty.

§ 30-356. Powers and duties of the Council.

The Council shall:

1. Review all disclosure forms filed by lobbyists pursuant to Article 3 and by state and local government officers and employees and legislators pursuant to the Acts. The Council shall review all disclosure forms for completeness, which shall include reviewing the information contained on the face of the form to determine if the disclosure form has been fully completed and comparing the disclosures contained in any disclosure form filed by a lobbyist pursuant to § 2.2-426 with other disclosure forms filed with the Council, and ~~be followed by requests for~~ *shall request additional* amendments as needed to ensure the completeness of and correction of errors in the forms, if necessary;

2. Accept any disclosure forms by computer or electronic means in accordance with the standards approved by the Council and using software meeting standards approved by it. The Council shall provide software to filers without charge and may prescribe the method of execution and certification of electronically filed forms, including the use of an electronic signature as authorized by the Uniform Electronic Transactions Act (§ 59.1-479 et seq.), and the procedures for receiving forms in the office of the Council;

3. Beginning July 1, 2015, establish and maintain a searchable electronic database comprising disclosure forms filed pursuant to §§ 2.2-426, 2.2-3117, 2.2-3118, and 30-111. Such database shall be available to the public through the Council's official website;

4. Furnish, upon request, formal advisory opinions or guidelines and other appropriate information, including informal advice, regarding ethics and conflicts issues arising under Article 3 or the Acts to any person or to any agency of state or local government, in an expeditious manner. Informal advice given by the Council is confidential, protected by the attorney-client privilege, and is excluded from the provisions of the Virginia Freedom of Information Act (§ 2.2-3700 et seq.);

5. Conduct training seminars and educational programs for lobbyists, state and local government officers and employees ~~and~~ legislators, and other interested persons on the requirements of Article 3 and the Acts and provide ethics orientation sessions for legislators in compliance with Article 6 (§ 30-129.1 et seq.) of Chapter 13;

6. Approve orientation courses conducted pursuant to § 2.2-3128 and, upon request, review the educational materials and approve any training or course on the requirements of Article 3 and the Acts conducted for state and local government officers and employees;

7. Publish such educational materials as it deems appropriate on the provisions of Article 3 and the Acts;

8. Review actions taken in the General Assembly with respect to the discipline of its members for the purpose of offering nonbinding advice;

9. Request from any agency of state or local government such assistance, services, and information as will enable the Council to effectively carry out its responsibilities. Information provided to the Council by an agency of state or local government shall not be released to any other party unless authorized by such agency; and

10. Report on or before December 1 of each year on its activities and findings regarding Article 3 and the Acts, including recommendations for changes in the laws, to the General Assembly and the Governor. The annual report shall be submitted by the chairman as provided in the procedures of the Division of Legislative Automated Systems for the processing of legislative documents and reports and shall be published as a state document.

§ 30-356.1. Waivers for travel.

A. The Council shall receive, review, and approve or deny requests for waivers submitted by persons required to file the disclosure form prescribed in § 2.2-3117 or 30-111 to accept any transportation, lodging, meal, hospitality, or other travel-related thing of value provided by a third party that exceeds the limitation prescribed in § 2.2-3103.1 or 30-103.1. A waiver shall not be required for acceptance of travel paid for or provided by the government of the United States, any of its territories, or another state in the United States or a political subdivision of such other state.

B. Within seven days of receipt of a request for a waiver, the Council shall approve or deny the waiver, unless additional information has been requested. If additional information has been requested, the Council shall approve or deny the waiver within seven days of receipt of such information. When reviewing the request for a waiver, the Council shall consider the purpose of the travel as it relates to the official duties of the requester. The Council may approve the waiver in whole or in part, which may include limiting the duration of the trip. Within 10 days of approving a request for a waiver, the Council shall post the waiver on its website.

C. A request for a waiver shall be on a form prescribed by the Council and made available on its website. The request shall include specific information regarding the travel, including a detailed agenda and estimated costs. All information included in the request will be subject to public disclosure.

D. No person shall be prosecuted, assessed a civil penalty, or otherwise disciplined for acceptance of a travel-related thing of value if he accepted such travel-related thing of value after receiving a waiver under this section and the waiver was granted after his full disclosure of the material facts.

E. The Council shall provide instructions for completing and submitting a request. It shall prescribe the procedures for receiving and reviewing requests for waivers and the standards for approving or denying waivers.

2. That the provisions of this act may result in a net increase in periods of imprisonment or commitment. Pursuant to § 30-19.1:4, the estimated amount of the necessary appropriation is \$0 for periods of imprisonment in state adult correctional facilities and \$0 for periods of commitment to the custody of the Department of Juvenile Justice.