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HOUSE BILL NO. 204

Offered January 8, 2014

Prefiled December 26, 2013

A *BILL to amend and reenact §§ 2.2-419, 2.2-426, 2.2-433, 2.2-3101, 2.2-3103, 2.2-3114.1, 2.2-3115, 2.2-3117, 2.2-502, as it shall become effective, 30-101, 30-103, 30-110, and 30-111 of the Code of Virginia and to amend the Code of Virginia by adding in Chapter 4 of Title 2.2 an article numbered 2.1, consisting of sections numbered 2.2-417.1, 2.2-417.2, and 2.2-417.3, by adding in Article 5 of Chapter 31 of Title 2.2 a section numbered 2.2-3118.2, and by adding a section numbered 30-110.1, relating to the Secretary of the Commonwealth; centralized filing of disclosure statements and gift reports; establishment of searchable database.*

Patron—Krupicka

Referred to Committee on Rules

Be it enacted by the General Assembly of Virginia:

1. That §§ 2.2-419, 2.2-426, 2.2-433, 2.2-3101, 2.2-3103, 2.2-3114.1, 2.2-3115, 2.2-3117, 2.2-502, as it shall become effective, 30-101, 30-103, 30-110, and 30-111 of the Code of Virginia are amended and reenacted and that the Code of Virginia is amended by adding in Chapter 4 of Title 2.2 an article numbered 2.1, consisting of sections numbered 2.2-417.1, 2.2-417.2, and 2.2-417.3, by adding in Article 5 of Chapter 31 of Title 2.2 a section numbered 2.2-3118.2, and by adding a section numbered 30-110.1 as follows:

*Article 2.1.**Disclosure Forms.***§ 2.2-417.1. Filing of disclosure forms and gift reports.**

A. *Disclosure forms filed pursuant to §§ 2.2-3117 and 2.2-3118 of the State and Local Government Conflict of Interests Act (§ 2.2-3100 et seq.) shall be filed with the Secretary annually on or before January 15. Disclosure forms filed pursuant to § 30-111 of the General Assembly Conflicts of Interests Act (§ 30-100 et seq.) shall be filed with the Secretary annually on or before January 8. When the filing deadline falls on a Saturday, Sunday, or legal holiday, the disclosure form shall be filed on the next day that is not a Saturday, Sunday, or legal holiday.*

B. *Gift reports required by the State and Local Government Conflict of Interests Act (§ 2.2-3100 et seq.) and the General Assembly Conflicts of Interests Act (§ 30-100 et seq.) shall be filed with the Secretary monthly on or before the fifth day of the month for the preceding one month period.*

C. *Beginning July 1, 2015, all disclosure forms filed pursuant to this section shall be required to be filed electronically.*

§ 2.2-417.2. Inspection of disclosure forms and gift reports.

Disclosure statements and gift reports shall be open to public inspection and copying during the regular business hours of the Office of the Secretary.

§ 2.2-417.3. Standards for automated preparation and transmittal of disclosure forms; establishment of disclosure database.

A. *The Secretary shall accept any disclosure forms or gift report by computer or electronic means in accordance with the standards approved by the Secretary and using software meeting standards approved by the Secretary. The Secretary shall provide software to filers without charge. The Secretary may prescribe the method of execution and certification of electronically filed forms and the procedures for receiving forms in the office of the Secretary.*

B. *Beginning July 1, 2015, the Secretary shall establish and maintain a searchable electronic database comprising (i) disclosure forms filed pursuant to §§ 2.2-3117 and 30-111 and (ii) gift and material financial change reports filed pursuant to § 2.2-3118.2. Such database shall be available to the public through the Internet.*

§ 2.2-419. Definitions.

As used in this article, unless the context requires a different meaning:

"Anything of value" means:

1. A pecuniary item, including money, or a bank bill or note;
2. A promissory note, bill of exchange, order, draft, warrant, check, or bond given for the payment of money;
3. A contract, agreement, promise, or other obligation for an advance, conveyance, forgiveness of indebtedness, deposit, distribution, loan, payment, gift, pledge, or transfer of money;
4. A stock, bond, note, or other investment interest in an entity;

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HB204

- 59 5. A receipt given for the payment of money or other property;
60 6. A right in action;
61 7. A gift, tangible good, chattel, or an interest in a gift, tangible good, or chattel;
62 8. A loan or forgiveness of indebtedness;
63 9. A work of art, antique, or collectible;
64 10. An automobile or other means of personal transportation;
65 11. Real property or an interest in real property, including title to realty, a fee simple or partial
66 interest, present or future, contingent or vested within realty, a leasehold interest, or other beneficial
67 interest in realty;
68 12. An honorarium or compensation for services;
69 13. A rebate or discount in the price of anything of value unless the rebate or discount is made in
70 the ordinary course of business to a member of the public without regard to that person's status as an
71 executive or legislative official, or the sale or trade of something for reasonable compensation that
72 would ordinarily not be available to a member of the public;
73 14. A promise or offer of employment; or
74 15. Any other thing of value that is pecuniary or compensatory in value to a person.
75 "Anything of value" does not mean a campaign contribution properly received and reported pursuant
76 to Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2.
77 "Compensation" means:
78 1. An advance, conveyance, forgiveness of indebtedness, deposit, distribution, loan, payment, gift,
79 pledge, or transfer of money or anything of value; or
80 2. A contract, agreement, promise or other obligation for an advance, conveyance, forgiveness of
81 indebtedness, deposit, distribution, loan, payment, gift, pledge, or transfer of money or anything of
82 value, for services rendered or to be rendered.
83 "Compensation" does not mean reimbursement of expenses if the reimbursement does not exceed the
84 amount actually expended for the expenses and it is substantiated by an itemization of expenses.
85 "Executive action" means the proposal, drafting, development, consideration, amendment, adoption,
86 approval, promulgation, issuance, modification, rejection, or postponement by an executive agency or
87 official of legislation or executive orders issued by the Governor.
88 "Executive agency" means an agency, board, commission, or other body in the executive branch of
89 state government. "Executive agency" includes the State Corporation Commission, the Virginia Workers'
90 Compensation Commission, and the State Lottery Department.
91 "Executive official" means:
92 1. The Governor;
93 2. The Lieutenant Governor;
94 3. The Attorney General;
95 4. Any officer or employee of the office of the Governor or Lieutenant Governor other than a
96 clerical or secretarial employee;
97 5. The Governor's Secretaries, the Deputy Secretaries, and the chief executive officer of each
98 executive agency; or
99 6. Members of supervisory and policy boards, commissions and councils, as defined in § 2.2-2100,
100 however selected.
101 "Expenditure" means:
102 1. A purchase, payment, distribution, loan, forgiveness of a loan or payment of a loan by a third
103 party, advance, deposit, transfer of funds, a promise to make a payment, or a gift of money or anything
104 of value for any purpose;
105 2. A payment to a lobbyist for salary, fee, reimbursement for expenses, or other purpose by a person
106 employing, retaining, or contracting for the services of the lobbyist separately or jointly with other
107 persons;
108 3. A payment in support of or assistance to a lobbyist or the lobbyist's activities, including the direct
109 payment of expenses incurred at the request or suggestion of the lobbyist;
110 4. A payment that directly benefits an executive or legislative official or a member of the official's
111 immediate family;
112 5. A payment, including compensation, payment, or reimbursement for the services, time, or expenses
113 of an employee for or in connection with direct communication with an executive or legislative official;
114 6. A payment for or in connection with soliciting or urging other persons to enter into direct
115 communication with an executive or legislative official; or
116 7. A payment or reimbursement for categories of expenditures required to be reported pursuant to
117 this chapter.
118 "Expenditure" does not mean a campaign contribution properly received and reported pursuant to
119 Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2.
120 "Fair market value" means the price that a good or service would bring between a willing seller and

a willing buyer in the open market after negotiations. If the fair market value cannot be determined, the actual price paid for the good or service shall be given consideration.

"Gift" means anything of value to the extent that a consideration of equal or greater value is not received. *"Gift" includes any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item having monetary value and which would not have been available to the general public under similar circumstances.*

"Gift" does not mean:

1. Printed informational or promotional material;
2. A gift that is not used and, no later than ~~sixty~~ thirty days after receipt, is returned to the donor or delivered to a charitable organization and is not claimed as a charitable contribution for federal income tax purposes;

3. A gift, devise, or inheritance from an individual's spouse, child, parent, grandparent, brother, sister, parent-in-law, brother-in-law, sister-in-law, nephew, niece, aunt, uncle, or first cousin or the spouse of that individual, if the donor is not acting as the agent or intermediary for someone other than a person covered by this subdivision; or

4. A gift of a value of \$25 or less.

"Immediate family" means (i) the spouse and (ii) any other person who resides in the same household as the executive or legislative official and is the dependent of the official.

"Legislative action" means:

1. Preparation, research, drafting, introduction, consideration, modification, amendment, approval, passage, enactment, tabling, postponement, defeat, or rejection of a bill, resolution, amendment, motion, report, nomination, appointment, or other matter by the General Assembly or a legislative official;

2. Action by the Governor in approving, vetoing, or recommending amendments for a bill passed by the General Assembly; or

3. Action by the General Assembly in overriding or sustaining a veto by the Governor, considering amendments recommended by the Governor, or considering, confirming, or rejecting an appointment of the Governor.

"Legislative official" means:

1. A member or member-elect of the General Assembly;

2. A member of a committee, subcommittee, commission, or other entity established by and responsible to the General Assembly or either house of the General Assembly; or

3. Persons employed by the General Assembly or an entity established by and responsible to the General Assembly.

"Lobbying" means:

1. Influencing or attempting to influence executive or legislative action through oral or written communication with an executive or legislative official; or

2. Solicitation of others to influence an executive or legislative official.

"Lobbying" does not mean:

1. Requests for appointments, information on the status of pending executive and legislative actions, or other ministerial contacts if there is no attempt to influence executive or legislative actions;

2. Responses to published notices soliciting public comment submitted to the public official designated in the notice to receive the responses;

3. The solicitation of an association by its members to influence legislative or executive action; or

4. Communications between an association and its members and communications between a principal and its lobbyists.

"Lobbyist" means:

1. An individual who is employed and receives payments, or who contracts for economic consideration, including reimbursement for reasonable travel and living expenses, for the purpose of lobbying;

2. An individual who represents an organization, association, or other group for the purpose of lobbying; or

3. A local government employee who lobbies.

"Lobbyist's principal" or "principal" means the entity on whose behalf the lobbyist influences or attempts to influence executive or legislative action. An organization whose employees conduct lobbying activities on its behalf is both a principal and an employer of the lobbyists. In the case of a coalition or association that employs or retains others to conduct lobbying activities on behalf of its membership, the principal is the coalition or association and not its individual members.

"Local government" means:

1. Any county, city, town, or other local or regional political subdivision;

2. Any school division;

3. Any organization or entity that exercises governmental powers that is established pursuant to an

interstate compact; or

4. Any organization composed of members representing entities listed in subdivisions 1, 2, or 3 of this definition.

"Local government employee" means a public employee of a local government.

"Person" means an individual, proprietorship, firm, partnership, joint venture, joint stock company, syndicate, business trust, estate, company, corporation, association, club, committee, organization, or group of persons acting in concert.

"Value" means the actual cost or fair market value of an item or items, whichever is greater. If the fair market value cannot be determined, the actual amount paid for the item or items shall be given consideration.

§ 2.2-426. Lobbyist reporting; penalty.

A. Each lobbyist shall file a separate annual report of expenditures, including gifts, for each principal for whom he lobbies by July 1 for the preceding 12-month period complete through April 30.

B. Each principal who expends more than \$500 to employ or compensate multiple lobbyists shall be responsible for filing a consolidated lobbyist report pursuant to this section in any case in which the lobbyists are each exempt under the provisions of subdivision 7 or 8 of § 2.2-420 from the reporting requirements of this section.

C. The report shall be on a form provided by the Secretary of the Commonwealth, which shall be substantially as follows and shall be accompanied by instructions provided by the Secretary.

LOBBYIST'S DISCLOSURE STATEMENT

PART I:

(1) PRINCIPAL:

In Part I, item 2a, provide the name of the individual authorizing your employment as a lobbyist. The lobbyist filing this statement MAY NOT list his name in item 2a. THE INDIVIDUAL LISTED IN PART I, ITEM 2A, MUST SIGN THE PRINCIPAL'S STATEMENT.

(2a) Name:

(2b) Permanent Business Address:

(2c) Business Telephone:

(3) Provide a list of executive and legislative actions (with as much specificity as possible) for which you lobbied and a description of activities conducted.

.....

.....

.....

(4) INCORPORATED FILINGS: If you are filing an incorporated disclosure statement, please complete the following:

Individual filing financial information:

Individuals to be included in the filing:

.....

(5) Please indicate which schedules will be attached to your disclosure statement:

[] Schedule A: Entertainment Expenses

[] Schedule B: Gifts

[] Schedule C: Other Expenses

(6) EXPENDITURE TOTALS:

a) ENTERTAINMENT \$

b) GIFTS \$

c) OFFICE EXPENSES \$

d) COMMUNICATIONS \$

e) PERSONAL LIVING AND TRAVEL EXPENSES \$

f) COMPENSATION OF LOBBYISTS \$

g) HONORARIA \$

h) REGISTRATION COSTS \$

i) OTHER \$

TOTAL \$

PART II:

(1a) NAME OF LOBBYIST:

- (1b) Permanent Business Address:
- (1c) Business Telephone:
- (2) As a lobbyist, you are (check one)
- [] EMPLOYED (on the payroll of the principal)
- [] RETAINED (not on the payroll of the principal, however compensated)
- [] NOT COMPENSATED (not compensated; expenses may be reimbursed)
- (3) List all lobbyists other than yourself who registered to represent your principal.
-
-
-
- (4) If you selected "EMPLOYED" as your answer to Part II, item 2, provide your job title.
-

PLEASE NOTE: Some lobbyists are not individually compensated for lobbying activities. This may occur when several members of a firm represent a single principal. The principal, in turn, makes a single payment to the firm. If this describes your situation, do not answer Part II, items 5a and 5b. Instead, complete Part III, items 1 and 2.

- (5a) What was the DOLLAR AMOUNT OF YOUR COMPENSATION as a lobbyist? (If you have job responsibilities other than those involving lobbying, you may have to prorate to determine the part of your salary attributable to your lobbying activities.) Transfer your answer to this item to Part I, item 6f.
- (5b) Explain how you arrived at your answer to Part II, item 5a.
-
-
-

PART III:

PLEASE NOTE: If you answered Part II, items 5a and 5b, you WILL NOT complete this section.

- (1) List all members of your firm, organization, association, corporation, or other entity who furnished lobbying services to your principal.
-
-
-
- (2) Indicate the total amount paid to your firm, organization, association, corporation or other entity for services rendered. Transfer your answer to this item to Part I, item 6f.....

SCHEDULE A

ENTERTAINMENT EXPENSES

PLEASE NOTE: Any single entertainment event included in the expense totals of the principal, with a value greater than \$50, should be itemized below. Transfer any totals from this schedule to Part I, item 6a. (Please duplicate as needed.)

Date and Location of Event:

.....

.....

Description of Event:

.....

.....

Total Number of Persons Attending:

.....

Names of Legislative and Executive Officials Attending: (List names

296 only if the average value for each person attending the event was
 297 greater than \$50.)
 298
 299
 300
 301
 302 Food \$
 303 Beverages \$
 304 Transportation of Legislative and Executive Officials \$
 305 Lodging of Legislative and Executive Officials \$
 306 Performers, Speakers, Etc. \$
 307 Displays \$
 308 Rentals \$
 309 Service Personnel \$
 310 Miscellaneous \$
 311 TOTAL \$

SCHEDULE B

GIFTS

314 PLEASE NOTE: *No single gift with a value greater than \$100 or*
 315 *combination of gifts with an aggregate value greater than \$500 may*
 316 *be provided to any officer or employee of a state or local governmental*
 317 *agency or member or member-elect of the General Assembly within a*
 318 *calendar year.*

319 Any single gift reported in the expense totals of the principal, with
 320 a value greater than \$50, should be itemized below. (Report meals,
 321 entertainment and travel under Schedule A.) Transfer any totals from
 322 this schedule to Part I, item 6b. (Please duplicate as needed.)

		Name of each legislative or executive official	Cost of individual
Date of gift:	Description of gift:	who is a recipient of a gift:	gift:
328	\$
329	\$
330	\$
331	\$
332 TOTAL COST TO PRINCIPAL			\$

SCHEDULE C

OTHER EXPENSES

335 PLEASE NOTE: This section is provided for any lobbying-related
 336 expenses not covered in Part I, items 6a - 6h. An example of an
 337 expenditure to be listed on schedule C would be the rental of a
 338 bill box during the General Assembly session. Transfer the total
 339 from this schedule to Part I, item 6i. (Please duplicate as needed.)

DATE OF EXPENSE	DESCRIPTION OF EXPENSE	AMOUNT
341	\$
342	\$
343	\$
344	\$
345	\$
346	\$
347	\$
348	\$
349	\$
350 TOTAL "OTHER" EXPENSES		\$

PART IV: STATEMENTS

352 Both the lobbyist and principal officer must sign the disclosure

statement, attesting to its completeness and accuracy. The following items are mandatory and if they are not properly completed, the entire filing will be rejected and returned to the lobbyist:

- (1) All signatures on the statement must be ORIGINAL in the format specified in the instructions provided by the Secretary that accompany this form. No stamps, or other reproductions of the individual's signature will be accepted.
- (2) An individual MAY NOT sign the disclosure statement as lobbyist and principal officer.

STATEMENT OF LOBBYIST

I, the undersigned registered lobbyist, do state that the information furnished on this disclosure statement and on all accompanying attachments required to be made thereto is, to the best of my knowledge and belief, complete and accurate.

.....
Signature of lobbyist

.....
Date

STATEMENT OF PRINCIPAL

I, the undersigned principal (or an authorized official thereof), do state that the information furnished on this disclosure statement and on all accompanying attachments required to be made thereto is, to the best of my knowledge and belief, complete and accurate.

.....
Signature of principal

.....
Date

D. A person who signs the disclosure statement knowing it to contain a material misstatement of fact shall be is guilty of a Class 5 felony.

E. Each lobbyist shall send to each legislative and executive official who is required to be identified by name on Schedule A or B of the Lobbyist's Disclosure Form a copy of Schedule A or B or a summary of the information pertaining to that official. Copies or summaries shall be provided to the official by December 15 for the preceding 12-month period complete through November 30.

§ 2.2-433. Prohibited acts; violation a misdemeanor.

A. No lobbyist shall:

1. Lobby in violation of the provisions of this article;
2. Make any expenditure, or obligate himself to do so, in connection with lobbying, unless he fully discloses the expenditure as required in this article; or
3. *Provide any single gift with a value greater than \$100 or combination of gifts with an aggregate value greater than \$500 to any officer or employee of a state or local governmental agency or to any member or member-elect of the General Assembly within a calendar year; or*
4. Misrepresent in any material respect or omit any information required to be reported pursuant to this article.

B. No lobbyist's principal shall:

1. Fail to file any statement required to be filed by the provisions of this article;
2. Misrepresent in any material respect or omit any information required to be reported pursuant to this article; or
3. Violate any of the provisions of this article.

C. Except as provided in subsection D of § 2.2-426, any lobbyist or lobbyist's principal violating any provision of this article shall be is guilty of a Class 1 misdemeanor. However, a lobbyist who receives no compensation or anything of value for lobbying shall not be subject to the criminal penalties prescribed by this section.

§ 2.2-3101. Definitions.

As used in this chapter:

"Advisory agency" means any board, commission, committee or post which does not exercise any sovereign power or duty, but is appointed by a governmental agency or officer or is created by law for the purpose of making studies or recommendations, or advising or consulting with a governmental

412 agency.

413 "Affiliated business entity relationship" means a relationship, other than a parent-subsidary
414 relationship, that exists when (i) one business entity has a controlling ownership interest in the other
415 business entity, (ii) a controlling owner in one entity is also a controlling owner in the other entity, or
416 (iii) there is shared management or control between the business entities. Factors that may be considered
417 in determining the existence of an affiliated business entity relationship include that the same person or
418 substantially the same person owns or manages the two entities, there are common or commingled funds
419 or assets, the business entities share the use of the same offices or employees, or otherwise share
420 activities, resources or personnel on a regular basis, or there is otherwise a close working relationship
421 between the entities.

422 "Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise,
423 association, trust or foundation, or any other individual or entity carrying on a business or profession,
424 whether or not for profit.

425 "Contract" means any agreement to which a governmental agency is a party, or any agreement on
426 behalf of a governmental agency that involves the payment of money appropriated by the General
427 Assembly or political subdivision, whether or not such agreement is executed in the name of the
428 Commonwealth, or some political subdivision thereof. "Contract" includes a subcontract only when the
429 contract of which it is a part is with the officer's or employee's own governmental agency.

430 "Dependent" means a son, daughter, father, mother, brother, sister or other person, whether or not
431 related by blood or marriage, if such person receives from the officer or employee, or provides to the
432 officer or employee, more than one-half of his financial support.

433 "Employee" means all persons employed by a governmental or advisory agency, unless otherwise
434 limited by the context of its use.

435 "Financial institution" means any bank, trust company, savings institution, industrial loan association,
436 consumer finance company, credit union, broker-dealer as defined in § 13.1-501, or investment company
437 or advisor registered under the federal Investment Advisors Act or Investment Company Act of 1940.

438 "Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item
439 having monetary value *and which would not have been available to the general public under similar*
440 *circumstances.* It "Gift" includes services as well as gifts of transportation, local travel, lodgings and
441 meals, whether provided in-kind, by purchase of a ticket, payment in advance, or reimbursement after
442 the expense has been incurred. "Gift" ~~shall~~ *does* not include any offer of a ticket or other admission or
443 pass unless the ticket, admission, or pass is used. "Gift" ~~shall~~ *does* not include honorary degrees and
444 presents from relatives. For the purpose of this definition, "relative" means the donee's spouse, child,
445 uncle, aunt, niece, or nephew; a person to whom the donee is engaged to be married; the donee's or his
446 spouse's parent, grandparent, grandchild, brother, or sister; or the donee's brother's or sister's spouse.

447 "Governmental agency" means each component part of the legislative, executive or judicial branches
448 of state and local government, including each office, department, authority, post, commission,
449 committee, and each institution or board created by law to exercise some regulatory or sovereign power
450 or duty as distinguished from purely advisory powers or duties. Corporations organized or controlled by
451 the Virginia Retirement System are "governmental agencies" for purposes of this chapter.

452 "Immediate family" means (i) a spouse and (ii) any other person residing in the same household as
453 the officer or employee, who is a dependent of the officer or employee or of whom the officer or
454 employee is a dependent.

455 "Officer" means any person appointed or elected to any governmental or advisory agency including
456 local school boards, whether or not he receives compensation or other emolument of office. Unless the
457 context requires otherwise, "officer" includes members of the judiciary.

458 "Parent-subsidary relationship" means a relationship that exists when one corporation directly or
459 indirectly owns shares possessing more than 50 percent of the voting power of another corporation.

460 "Personal interest" means a financial benefit or liability accruing to an officer or employee or to a
461 member of his immediate family. Such interest shall exist by reason of (i) ownership in a business if the
462 ownership interest exceeds three percent of the total equity of the business; (ii) annual income that
463 exceeds, or may reasonably be anticipated to exceed, \$10,000 from ownership in real or personal
464 property or a business; (iii) salary, other compensation, fringe benefits, or benefits from the use of
465 property, or any combination thereof, paid or provided by a business or governmental agency that
466 exceeds, or may reasonably be anticipated to exceed, \$10,000 annually; (iv) ownership of real or
467 personal property if the interest exceeds \$10,000 in value and excluding ownership in a business,
468 income, or salary, other compensation, fringe benefits or benefits from the use of property; (v) personal
469 liability incurred or assumed on behalf of a business if the liability exceeds three percent of the asset
470 value of the business; or (vi) an option for ownership of a business or real or personal property if the
471 ownership interest will consist of (i) or (iv) above.

472 "Personal interest in a contract" means a personal interest that an officer or employee has in a
473 contract with a governmental agency, whether due to his being a party to the contract or due to a

personal interest in a business that is a party to the contract.

"Personal interest in a transaction" means a personal interest of an officer or employee in any matter considered by his agency. Such personal interest exists when an officer or employee or a member of his immediate family has a personal interest in property or a business or governmental agency, or represents or provides services to any individual or business and such property, business or represented or served individual or business (i) is the subject of the transaction or (ii) may realize a reasonably foreseeable direct or indirect benefit or detriment as a result of the action of the agency considering the transaction. Notwithstanding the above, such personal interest in a transaction shall not be deemed to exist where (a) an elected member of a local governing body serves without remuneration as a member of the board of trustees of a not-for-profit entity and such elected member or member of his immediate family has no personal interest related to the not-for-profit entity or (b) an officer, employee, or elected member of a local governing body is appointed by such local governing body to serve on a governmental agency, or an officer, employee, or elected member of a separate local governmental agency formed by a local governing body is appointed to serve on a governmental agency, and the personal interest in the transaction of the governmental agency is the result of the salary, other compensation, fringe benefits, or benefits provided by the local governing body or the separate governmental agency to the officer, employee, elected member, or member of his immediate family.

"State and local government officers and employees" shall not include members of the General Assembly.

"State filer" means those officers and employees required to file a disclosure statement of their personal interests pursuant to subsection A or B of § 2.2-3114.

"Transaction" means any matter considered by any governmental or advisory agency, whether in a committee, subcommittee, or other entity of that agency or before the agency itself, on which official action is taken or contemplated.

§ 2.2-3103. Prohibited conduct.

No officer or employee of a state or local governmental or advisory agency shall:

1. Solicit or accept money or other thing of value for services performed within the scope of his official duties, except the compensation, expenses or other remuneration paid by the agency of which he is an officer or employee. This prohibition shall not apply to the acceptance of special benefits that may be authorized by law;

2. Offer or accept any money or other thing of value for or in consideration of obtaining employment, appointment, or promotion of any person with any governmental or advisory agency;

3. Offer or accept any money or other thing of value for or in consideration of the use of his public position to obtain a contract for any person or business with any governmental or advisory agency;

4. Use for his own economic benefit or that of another party confidential information that he has acquired by reason of his public position and which is not available to the public;

5. Accept any money, loan, gift, favor, service, or business or professional opportunity that reasonably tends to influence him in the performance of his official duties. This subdivision shall not apply to any political contribution actually used for political campaign or constituent service purposes and reported as required by Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2;

6. Accept any business or professional opportunity when he knows that there is a reasonable likelihood that the opportunity is being afforded him to influence him in the performance of his official duties;

7. Accept any honoraria for any appearance, speech, or article in which the officer or employee provides expertise or opinions related to the performance of his official duties. The term "honoraria" shall not include any payment for or reimbursement to such person for his actual travel, lodging, or subsistence expenses incurred in connection with such appearance, speech, or article or in the alternative a payment of money or anything of value not in excess of the per diem deduction allowable under § 162 of the Internal Revenue Code, as amended from time to time. The prohibition in this subdivision shall apply only to the Governor, Lieutenant Governor, Attorney General, Governor's Secretaries, and heads of departments of state government; or

8. Accept a *single* gift from a person who has interests that may be substantially affected by the performance of the officer's or employee's official duties under circumstances where the timing and nature of the gift would cause a reasonable person to question the officer's or employee's impartiality in the matter affecting the donor. Violations of this subdivision shall not be subject to criminal law penalties; or

9. Accept gifts from sources on a basis so frequent as to raise an appearance of the use of his public office for private gain. Violations of this subdivision shall not be subject to criminal law penalties with a value greater than \$100 or combination of gifts with an aggregate value greater than \$500 from a single donor within a single filing period. Gifts that consist of educational programs or professional development shall not be included in determining the total value of gifts received; however, such gifts

535 *shall be accounted for in Schedule E of the disclosure form provided by § 2.2-3117.*

536 **§ 2.2-3114.1. Filings of statements of economic interests by General Assembly members.**

537 The filing of a current statement of economic interests by a General Assembly member,
538 member-elect, or candidate for the General Assembly pursuant to §§ 30-110 and 30-111 of the General
539 Assembly ~~Conflict Conflicts~~ of Interests Act (§ 30-100 et seq.) shall suffice for the purposes of this
540 chapter (~~§ 2.2-3100 et seq.~~). The Secretary of the Commonwealth may obtain from the Clerk of the
541 House of Delegates or the Senate, as appropriate, a copy of the statement of a General Assembly
542 member who is appointed to a position for which a statement is required pursuant to ~~§ 2.2-3114~~. No
543 General Assembly member, member-elect, or candidate shall be required to file a separate statement of
544 economic interests for the purposes of § 2.2-3114.

545 **§ 2.2-3115. Disclosure by local government officers and employees.**

546 A. The members of every governing body and school board of each county and city and of towns
547 with populations in excess of 3,500 shall file, as a condition to assuming office or employment, a
548 disclosure statement of their personal interests and other information as is specified on the form set forth
549 in § 2.2-3117 and thereafter shall file such a statement annually on or before January 15. *When the filing*
550 *deadline falls on a Saturday, Sunday, or legal holiday, the disclosure statement shall be filed on the*
551 *next day that is not a Saturday, Sunday, or legal holiday.*

552 The members of the governing body of any authority established in any county or city, or part or
553 combination thereof, and having the power to issue bonds or expend funds in excess of \$10,000 in any
554 fiscal year, shall file, as a condition to assuming office, a disclosure statement of their personal interests
555 and other information as is specified on the form set forth in § 2.2-3118 and thereafter shall file such a
556 statement annually on or before January 15, unless the governing body of the jurisdiction that appoints
557 the members requires that the members file the form set forth in § 2.2-3117.

558 Persons occupying such positions of trust appointed by governing bodies and persons occupying such
559 positions of employment with governing bodies as may be designated to file by ordinance of the
560 governing body shall file, as a condition to assuming office or employment, a disclosure statement of
561 their personal interests and other information as is specified on the form set forth in § 2.2-3117 and
562 thereafter shall file such a statement annually on or before January 15. *When the filing deadline falls on*
563 *a Saturday, Sunday, or legal holiday, the disclosure statement shall be filed on the next day that is not*
564 *a Saturday, Sunday, or legal holiday.*

565 Persons occupying such positions of trust appointed by school boards and persons occupying such
566 positions of employment with school boards as may be designated to file by an adopted policy of the
567 school board shall file, as a condition to assuming office or employment, a disclosure statement of their
568 personal interests and other information as is specified on the form set forth in § 2.2-3117 and thereafter
569 shall file such a statement annually on or before January 15. *When the filing deadline falls on a*
570 *Saturday, Sunday, or legal holiday, the disclosure statement shall be filed on the next day that is not a*
571 *Saturday, Sunday, or legal holiday.*

572 B. Nonsalaried citizen members of local boards, commissions and councils as may be designated by
573 the governing body shall file, as a condition to assuming office, a disclosure form of their personal
574 interests and such other information as is specified on the form set forth in § 2.2-3118 and thereafter
575 shall file such form annually on or before January 15. *When the filing deadline falls on a Saturday,*
576 *Sunday, or legal holiday, the disclosure statement shall be filed on the next day that is not a Saturday,*
577 *Sunday, or legal holiday.*

578 C. No person shall be mandated to file any disclosure not otherwise required by this article.

579 D. The disclosure forms required by subsections A and B shall be provided by the Secretary of the
580 Commonwealth to the clerks of the governing bodies and school boards not later than November 30 of
581 each year, and the clerks of the governing body and school board shall distribute the forms to
582 designated individuals no later than December 10 of each year. ~~Forms~~ *Disclosure forms* shall be filed
583 and maintained as public records for five years in the ~~office of the clerk of the respective governing~~
584 ~~body or school board.~~ *Forms filed by members of governing bodies of authorities shall be filed and*
585 *maintained as public records for five years in the office of the clerk of the governing body of the*
586 *county or city.* *Office of the Secretary of the Commonwealth.*

587 E. Candidates for membership in the governing body or school board of any county, city or town
588 with a population of more than 3,500 persons shall file a disclosure statement of their personal interests
589 as required by § 24.2-502.

590 F. Any officer or employee of local government who has a personal interest in any transaction before
591 the governmental or advisory agency of which he is an officer or employee and who is disqualified
592 from participating in that transaction pursuant to subdivision A 1 of § 2.2-3112 or otherwise elects to
593 disqualify himself, shall forthwith make disclosure of the existence of his interest, including the full
594 name and address of the business and the address or parcel number for the real estate if the interest
595 involves a business or real estate, and his disclosure shall be reflected in the public records of the
596 agency for five years in the office of the administrative head of the officer's or employee's governmental

or advisory agency.

G. In addition to any disclosure required by subsections A and B, in each county and city and in towns with populations in excess of 3,500, members of planning commissions, boards of zoning appeals, real estate assessors, and all county, city and town managers or executive officers shall make annual disclosures of all their interests in real estate located in the county, city or town in which they are elected, appointed, or employed. Such disclosure shall include any business in which such persons own an interest, or from which income is received, if the primary purpose of the business is to own, develop or derive compensation through the sale, exchange or development of real estate in the county, city or town. Such disclosure shall be filed as a condition to assuming office or employment, and thereafter shall be filed annually with the ~~clerk of the governing body of such county, city or town~~ *Secretary of the Commonwealth* on or before January 15. Such disclosures shall be ~~filed and~~ maintained as public records for five years. Forms for the filing of such reports shall be prepared and distributed by the Secretary of the Commonwealth to the clerk of each governing body.

H. An officer or employee of local government who is required to declare his interest pursuant to subdivision A 2 of § 2.2-3112 shall declare his interest by stating (i) the transaction involved, (ii) the nature of the officer's or employee's personal interest affected by the transaction, (iii) that he is a member of a business, profession, occupation, or group the members of which are affected by the transaction, and (iv) that he is able to participate in the transaction fairly, objectively, and in the public interest. The officer or employee shall either make his declaration orally to be recorded in written minutes of his agency or file a signed written declaration with the clerk or administrative head of his governmental or advisory agency, as appropriate, who shall, in either case, retain and make available for public inspection such declaration for a period of five years from the date of recording or receipt. If reasonable time is not available to comply with the provisions of this subsection prior to participation in the transaction, the officer or employee shall prepare and file the required declaration by the end of the next business day. The officer or employee shall also orally disclose the existence of the interest during each meeting of the governmental or advisory agency at which the transaction is discussed and such disclosure shall be recorded in the minutes of the meeting.

I. An officer or employee of local government who is required to declare his interest pursuant to subdivision A 3 of § 2.2-3112, shall declare his interest by stating (i) the transaction involved, (ii) that a party to the transaction is a client of his firm, (iii) that he does not personally represent or provide services to the client, and (iv) that he is able to participate in the transaction fairly, objectively, and in the public interest. The officer or employee shall either make his declaration orally to be recorded in written minutes for his agency or file a signed written declaration with the clerk or administrative head of his governmental or advisory agency, as appropriate, who shall, in either case, retain and make available for public inspection such declaration for a period of five years from the date of recording or receipt. If reasonable time is not available to comply with the provisions of this subsection prior to participation in the transaction, the officer or employee shall prepare and file the required declaration by the end of the next business day.

§ 2.2-3117. Disclosure form.

The disclosure form to be used for filings required by subsections A and D of § 2.2-3114 and subsections A and E of § 2.2-3115 shall be substantially as follows:

STATEMENT OF ECONOMIC INTERESTS.

Name

Office or position held or sought

Address

Names of members of immediate family

DEFINITIONS AND EXPLANATORY MATERIAL.

"Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise, association, trust or foundation, or any other individual or entity carrying on a business or profession, whether or not for profit.

"Close financial association" means an association in which the person filing shares significant financial involvement with an individual and the filer would reasonably be expected to be aware of the individual's business activities and would have access to the necessary records either directly or through the individual. "Close financial association" does not mean an association based on (i) the receipt of retirement benefits or deferred compensation from a business by which the person filing this statement is no longer employed, or (ii) the receipt of compensation for work performed by the person filing as an independent contractor of a business that represents an entity before any state governmental agency when the person filing has had no communications with the state governmental agency.

"Contingent liability" means a liability that is not presently fixed or determined, but may become fixed or determined in the future with the occurrence of some certain event.

"Dependent" means any person, whether or not related by blood or marriage, who receives from the

officer or employee, or provides to the officer or employee, more than one-half of his financial support.

"Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item having monetary value *and which would not have been available to the general public under similar circumstances.* It "Gift" includes services as well as gifts of transportation, local travel, lodgings and meals, whether provided in-kind, by purchase of a ticket, payment in advance, or reimbursement after the expense has been incurred. "Gift" ~~shall~~ *does* not include any offer of a ticket or other admission or pass unless the ticket, admission, or pass is used. "Gift" ~~shall~~ *does* not include honorary degrees and presents from relatives. "Relative" means the donee's spouse, child, uncle, aunt, niece, or nephew; a person to whom the donee is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother, or sister; or the donee's brother's or sister's spouse.

"Immediate family" means (i) a spouse and (ii) any other person residing in the same household as the officer or employee, who is a dependent of the officer or employee or of whom the officer or employee is a dependent.

TRUST. If you or your immediate family, separately or together, are the only beneficiaries of a trust, treat the trust's assets as if you own them directly. If you or your immediate family has a proportional interest in a trust, treat that proportion of the trust's assets as if you own them directly. For example, if you and your immediate family have a one-third interest in a trust, complete your Statement as if you own one-third of each of the trust's assets. If you or a member of your immediate family created a trust and can revoke it without the beneficiaries' consent, treat its assets as if you own them directly.

REPORT TO THE BEST OF INFORMATION AND BELIEF. Information required on this Statement must be provided on the basis of the best knowledge, information and belief of the individual filing the Statement as of the date of this report unless otherwise stated.

COMPLETE ITEMS 1 THROUGH 10. REFER TO SCHEDULES ONLY IF DIRECTED.

You may attach additional explanatory information.

1. Offices and Directorships.

Are you or a member of your immediate family a paid officer or paid director of a business?

EITHER check NO / / OR check YES / / and complete Schedule A.

2. Personal Liabilities.

Do you or a member of your immediate family owe more than \$10,000 to any one creditor including contingent liabilities? (Exclude debts to any government and loans secured by recorded liens on property at least equal in value to the loan.)

EITHER check NO / / OR check YES / / and complete Schedule B.

3. Securities.

Do you or a member of your immediate family, directly or indirectly, separately or together, own securities valued in excess of \$10,000 invested in one business? Account for mutual funds, limited partnerships and trusts.

EITHER check NO / / OR check YES / / and complete Schedule C.

4. Payments for Talks, Meetings, and Publications.

During the past 12 months did you receive lodging, transportation, money, or anything else of value with a combined value exceeding \$200 for a single talk, meeting, or published work in your capacity as an officer or employee of your agency?

EITHER check NO / / OR check YES / / and complete Schedule D.

5. Gifts.

During the past 12 months did a ~~business, government, or individual~~ *other than a relative or personal friend* (i) furnish you with any gift or entertainment at a single event, and the value received by you exceeded \$50 in value or (ii) furnish you with gifts or entertainment in any combination and the value received by you exceeded \$100 in total value; and for which you neither paid nor rendered services in exchange? Account for entertainment events only if the average value per person attending the event exceeded \$50 in value. Account for all business entertainment (except if related to your private profession or occupation) even if unrelated to your official duties you or a member of your immediate family accept from a single donor any single gift with a value greater than \$25 but no greater than \$100 or combination of gifts with an aggregate value greater than \$25 but no greater than \$500? Account for such gift or gifts under Schedule E.

EITHER check NO / / OR check YES / / and complete Schedule E.

6. Salary and Wages.

List each employer that pays you or a member of your immediate family salary or wages in excess of \$10,000 annually. (Exclude state or local government or advisory agencies.)

If no reportable salary or wages, check here / /.

7. Business Interests.

Do you or a member of your immediate family, separately or together, operate your own business, or own or control an interest in excess of \$10,000 in a business?

EITHER check NO / / OR check YES / / and complete Schedule F.

8. Payments for Representation and Other Services.

8A. Did you represent, excluding activity defined as lobbying in § 2.2-419, any businesses before any state governmental agencies, excluding courts or judges, for which you received total compensation during the past 12 months in excess of \$1,000, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers? (Officers and employees of local governmental and advisory agencies do NOT need to answer this question or complete Schedule G-1.)

EITHER check NO / / OR check YES / / and complete Schedule G-1.

8B. Subject to the same exceptions as in 8A, did persons with whom you have a close financial association (partners, associates or others) represent, excluding activity defined as lobbying in § 2.2-419, any businesses before any state governmental agency for which total compensation was received during the past 12 months in excess of \$1,000? (Officers and employees of local governmental and advisory agencies do NOT need to answer this question or complete Schedule G-2.)

EITHER check NO / / OR check YES / / and complete Schedule G-2.

8C. Did you or persons with whom you have a close financial association furnish services to businesses operating in Virginia pursuant to an agreement between you and such businesses, or between persons with whom you have a close financial association and such businesses for which total compensation in excess of \$1,000 was received during the past 12 months?

EITHER check NO / / OR check YES / / and complete Schedule G-3.

9. Real Estate.

9A. State Officers and Employees.

Do you or a member of your immediate family hold an interest, including a partnership interest, valued at \$10,000 or more in real property (other than your principal residence) for which you have not already listed the full address on Schedule F? Account for real estate held in trust.

EITHER check NO / / OR check YES / / and complete Schedule H-1.

9B. Local Officers and Employees.

Do you or a member of your immediate family hold an interest, including a partnership interest, or option, easement, or land contract, valued at \$10,000 or more in real property (other than your principal residence) for which you have not already listed the full address on Schedule F? Account for real estate held in trust.

EITHER check NO / / OR check YES / / and complete Schedule H-2.

10. Real Estate Contracts with Governmental Agencies.

Do you or a member of your immediate family hold an interest valued at more than \$10,000 in real estate, including a corporate, partnership, or trust interest, option, easement, or land contract, which real estate is the subject of a contract, whether pending or completed within the past 12 months, with a governmental agency? If the real estate contract provides for the leasing of the property to a governmental agency, do you or a member of your immediate family hold an interest in the real estate valued at more than \$1,000? Account for all such contracts whether or not your interest is reported in Schedule F, H-1, or H-2. This requirement to disclose an interest in a lease does not apply to an interest derived through an ownership interest in a business unless the ownership interest exceeds three percent of the total equity of the business.

EITHER check NO / / OR check YES / / and complete Schedule I.

Statements of Economic Interests are open for public inspection.

AFFIRMATION BY ALL FILERS.

I swear or affirm that the foregoing information is full, true and correct to the best of my knowledge.

Signature

(Return only if needed to complete Statement.)

SCHEDULES

to

STATEMENT OF ECONOMIC INTERESTS.

NAME

SCHEDULE A - OFFICES AND DIRECTORSHIPS.

Identify each business of which you or a member of your immediate family is a paid officer or paid director.

Name of Business	Address of Business	Position Held

781	_____	_____	_____
782	_____	_____	_____
783	_____	_____	_____
784	_____	_____	_____

RETURN TO ITEM 2

786 SCHEDULE B - PERSONAL LIABILITIES.

787 Report personal liability by checking each category. Report only debts in excess of \$10,000. Do not
788 report debts to any government. Do not report loans secured by recorded liens on property at least equal
789 in value to the loan.

790 Report contingent liabilities below and indicate which debts are contingent.

791 1. My personal debts are as follows:

792	_____		
793			
794	Check	Check one	
795	appropriate	\$10,001 to	More than
796	categories	\$50,000	\$50,000
797	Banks	_____	_____
798	Savings institutions	_____	_____
799	Other loan or finance companies	_____	_____
800	Insurance companies	_____	_____
801	Stock, commodity or other brokerage companies	_____	_____
802	Other businesses:		
803	(State principal business activity for each		
804	creditor.)	_____	_____
805		_____	_____
806		_____	_____
807	Individual creditors:		
808	(State principal business or		
809	occupation of each creditor.)	_____	_____
810		_____	_____
811		_____	_____

812 _____
813 2. The personal debts of the members of my immediate family are as follows:

814	_____		
815			
816	Check	Check one	
817	appropriate	\$10,001 to	More than
818	categories	\$50,000	\$50,000
819	Banks	_____	_____
820	Savings institutions	_____	_____
821	Other loan or finance companies	_____	_____
822	Insurance companies	_____	_____
823	Stock, commodity or other brokerage companies	_____	_____
824	Other businesses:		
825	(State principal business activity for each		
826	creditor.)	_____	_____
827		_____	_____
828		_____	_____
829	Individual creditors:		
830	(State principal business or		
831	occupation of each creditor.)	_____	_____
832		_____	_____
833		_____	_____

RETURN TO ITEM 3

836 SCHEDULE C - SECURITIES.

837 "Securities" INCLUDES stocks, bonds, "Securities" EXCLUDES

mutual funds, limited partnerships, certificates of deposit,
and commodity futures contracts. money market funds, annuity
contracts, and insurance policies.

Identify each business or Virginia governmental entity in which you or a member of your immediate family, directly or indirectly, separately or together, own securities valued in excess of \$10,000. Name each entity and type of security individually.

Do not list U.S. Bonds or other government securities not issued by the Commonwealth of Virginia or its authorities, agencies, or local governments. Do not list organizations that do not do business in this Commonwealth, but most major businesses conduct business in Virginia. Account for securities held in trust.

If no reportable securities, check here / /.

Name of Issuer	Type of Entity	Type of Security (stocks, bonds, mutual funds, etc.)	Check one		
			\$10,001 to \$50,000	\$50,001 to \$250,000	More than \$250,000
_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____

RETURN TO ITEM 4

SCHEDULE D - PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.

List each source from which you received during the past 12 months lodging, transportation, money, or any other thing of value (excluding meals or drinks coincident with a meeting) with combined value exceeding \$200 for your presentation of a single talk, participation in one meeting, or publication of a work in your capacity as an officer or employee of your agency.

List payments or reimbursements by an advisory or governmental agency only for meetings or travel outside the Commonwealth.

List a payment even if you donated it to charity.

Do not list information about a payment if you returned it within 60 days or if you received it from an employer already listed under Item 6 or from a source of income listed on Schedule F.

If no payment must be listed, check here / /.

			Type of payment (e.g. honoraria, travel reimburse- ment, etc.)
Payer	Approximate Value	Circumstances	
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

RETURN TO ITEM 5

SCHEDULE E - GIFTS.

List each business, governmental entity, or individual that, during the past 12 months, (i) furnished you with any gift or entertainment at a single event and the value received by you exceeded \$50 in value, or (ii) furnished you with gifts or entertainment in any combination and the value received by you exceeded \$100 in total value; and for which you neither paid nor rendered services in exchange. List each such gift or event. Do not list entertainment events unless the average value per person attending the event exceeded \$50 in value. Do not list business entertainment related to your private profession or occupation. Do not list gifts or other things of value given by a relative or personal friend for reasons clearly unrelated to your public position single gift with a value greater than \$25 but no greater than \$100 or combination of gifts with an aggregate value greater than \$25 but no greater than \$500 that you or a member of your immediate family accepted from a single donor. Do not list campaign contributions publicly reported as required by Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2 of the Code of Virginia.

897				
898				
899	Name of Business,	City or		
900	Organization, or	County	Gift or	
901	Individual	and State	Event	Approximate Value
902				
903				
904				
905				
906				

RETURN TO ITEM 6

SCHEDULE F - BUSINESS INTERESTS.

Complete this Schedule for each self-owned or family-owned business (including rental property, a farm, or consulting work), partnership, or corporation in which you or a member of your immediate family, separately or together, own an interest having a value in excess of \$10,000.

If the enterprise is owned or operated under a trade, partnership, or corporate name, list that name; otherwise, merely explain the nature of the enterprise. If rental property is owned or operated under a trade, partnership, or corporate name, list the name only; otherwise, give the address of each property. Account for business interests held in trust.

917						
918	Name of Business,				Gross Income	
919	Corporation,					
920	Partnership,	City or	Nature of Enterprise		\$50,001	More
921	Farm; Address of	County	(farming, law, rental	\$50,000	to	than
922	Rental Property	and State	property, etc.)	or less	\$250,000	\$250,000
923						
924						
925						
926						

RETURN TO ITEM 8

SCHEDULE G-1 - PAYMENTS FOR REPRESENTATION BY YOU.

List the businesses you represented, excluding activity defined as lobbying in § 2.2-419, before any state governmental agency, excluding any court or judge, for which you received total compensation during the past 12 months in excess of \$1,000, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers filed by you.

Identify each business, the nature of the representation and the amount received by dollar category from each such business. You may state the type, rather than name, of the business if you are required by law not to reveal the name of the business represented by you.

Only STATE officers and employees should complete this Schedule.

940									
941		Pur-							
942		pose							
943	Name	Type	of	Name					
944	of	of	Repre-	of	\$1,001	\$10,001	\$50,001	\$100,001	\$250,001
945	Busi-	Busi-	sentat-	Agen-	to	to	to	to	and
946	ness	ness	tion	cy	\$10,000	\$50,000	\$100,000	\$250,000	over
947									
948									
949									
950									

If you have received \$250,001 or more from a single business within the reporting period, indicate the amount received, rounded to the nearest \$10,000.

Amount Received:_____.

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1012

Identify such businesses by type and also name the state governmental agencies before which such person appeared on behalf of such businesses.

Indicate below types of businesses that operate in Virginia to which services were furnished by you or persons with whom you have a close financial association pursuant to an agreement between you and such businesses, or between persons with whom you have a close financial association and such businesses and for which total compensation in excess of \$1,000 was received during the past 12 months.

Electric utilities							
Gas utilities							
Telephone utilities							
Water utilities							
Cable television							
companies							
Interstate							
transportation							
companies							
Intrastate							
transportation							
companies							
Oil or gas retail							
companies							
Banks							
Savings institutions							
Loan or finance							
companies							
Manufacturing							
companies (state							
type of product,							

1013	e.g., textile,						
1014	furniture, etc.)						
1015	Mining companies						
1016	Life insurance						
1017	companies						
1018	Casualty insurance						
1019	companies						
1020	Other insurance						
1021	companies						
1022	Retail companies						
1023	Beer, wine or liquor						
1024	companies or						
1025	distributors						
1026	Trade associations						
1027	Professional						
1028	associations						
1029	Associations of						
1030	public employees						
1031	or officials						
1032	Counties, cities						
1033	or towns						
1034	Labor organizations						
1035	Other						
1036							

RETURN TO ITEM 9

SCHEDULE H-1 - REAL ESTATE - STATE OFFICERS AND EMPLOYEES.

List real estate other than your principal residence in which you or a member of your immediate family holds an interest, including a partnership interest, option, easement, or land contract, valued at \$10,000 or more. Each parcel shall be listed individually.

1042			
1043			
1044		Describe the type of real	
1045	List each location	estate you own in each	If the real estate is
1046	(state, and county	location (business, recre-	owned or recorded in
1047	or city) where you	ational, apartment, com-	a name other than your
1048	own real estate.	mercial, open land, etc.).	own, list that name.
1049			
1050			
1051			
1052			
1053			
1054			

SCHEDULE H-2 - REAL ESTATE - LOCAL OFFICERS AND EMPLOYEES.

List real estate other than your principal residence in which you or a member of your immediate family holds an interest, including a partnership interest or option, easement, or land contract, valued at \$10,000 or more. Each parcel shall be listed individually. Also list the names of any co-owners of such property, if applicable.

1060			
1061			
1062		Describe the type	
1063		of real estate	
1064		you own in	
1065		each location	If the real estate
1066	List each location	(business,	is owned or rec-
1067	(state, and county	recreational,	orded in a name
1068	or city) where	apartment, com-	other than your
1069	you own real	mercial, open	own, list that
			List the names
			of any co-owners,

estate. land, etc.). name. if applicable.

_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

SCHEDULE I - REAL ESTATE CONTRACTS WITH GOVERNMENTAL AGENCIES.

List all contracts, whether pending or completed within the past 12 months, with a governmental agency for the sale or exchange of real estate in which you or a member of your immediate family holds an interest, including a corporate, partnership or trust interest, option, easement, or land contract, valued at \$10,000 or more. List all contracts with a governmental agency for the lease of real estate in which you or a member of your immediate family holds such an interest valued at \$1,000 or more. This requirement to disclose an interest in a lease does not apply to an interest derived through an ownership interest in a business unless the ownership interest exceeds three percent of the total equity of the business.

State officers and employees report contracts with state agencies.

Local officers and employees report contracts with local agencies.

List your real estate interest and the person or entity, including the type of entity, which is party to the contract.

Describe any management role and the percentage ownership interest you or your immediate family member has in the real estate or entity.

List each governmental agency which is a party to the contract and indicate the county or city where the real estate is located.

State the annual income from the contract, and the amount, if any, of income you or any immediate family member derives annually from the contract.

_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

§ 2.2-3118.2. Additional disclosures regarding gifts and material changes in financial and employment status required for certain officers and employees.

A. Beginning July 1, 2015, each officer or employee required to file statements of economic interests pursuant to § 2.2-3117 shall also file a separate monthly gift report with the Secretary of the Commonwealth disclosing any gift with a value greater than \$25 received by the filer or member of his immediate family during that month from any person or entity. The report shall identify the donor of the gift and its approximate retail value at the time of receipt. The report shall be filed on or before the fifth day of the month covering gifts with a value greater than \$25 received as of the last day of the preceding month. The format for the report shall be provided by the Secretary of the Commonwealth.

B. Beginning July 15, 2015, each officer or employee required to file statements of economic interests pursuant to § 2.2-3117 shall also file a separate quarterly report of material changes in financial status, including property ownership, investment transactions of \$50,000 or more, and employment. Such filings shall be made as follows: (i) by April 15 for the preceding three-month period complete through March 31, (ii) by July 15 for the preceding three-month period ending June 30, (iii) by October 15 for the preceding three-month period ending September 30, and (iv) by January 15 for the preceding three-month period ending December 31. The format for the report shall be provided by the Secretary of the Commonwealth.

§ 24.2-502. (Effective until July 1, 2014) Statement of economic interests as requirement of

1129 candidacy.

1130 It shall be a requirement of candidacy that a written statement of economic interests shall be filed
1131 *with the Secretary of the Commonwealth* by (i) a candidate for Governor, Lieutenant Governor, or
1132 Attorney General ~~with the Secretary of the Commonwealth~~, (ii) a candidate for Senate or House of
1133 Delegates ~~with the clerk of the appropriate house~~, (iii) a candidate for a constitutional office ~~with the~~
1134 ~~general registrar for the county or city~~, and (iv) a candidate for member of the governing body or
1135 elected school board of any county, city, or town with a population in excess of 3,500 persons ~~with the~~
1136 ~~general registrar for the county or city~~. The statement of economic interests shall be that specified in
1137 § 30-111 for candidates for the General Assembly and in § 2.2-3117 for all other candidates. The
1138 foregoing requirement shall not apply to a candidate for reelection to the same office who has met the
1139 requirement of annually filing a statement pursuant to § 2.2-3114, § 2.2-3115, or § 30-110.

1140 The Secretary of the Commonwealth, ~~the clerks of the Senate and House of Delegates, the general~~
1141 ~~registrar, and the clerk of the local governing body~~ shall transmit to the State Board, immediately after
1142 the filing deadline, a list of the candidates who have filed initial or annual statements of economic
1143 interests. The Secretary of the State Board shall notify the appropriate local electoral boards of the
1144 filings.

1145 § 24.2-502. (Effective July 1, 2014) Statement of economic interests as requirement of
1146 candidacy.

1147 It shall be a requirement of candidacy that a written statement of economic interests shall be filed
1148 *with the Secretary of the Commonwealth* by (i) a candidate for Governor, Lieutenant Governor, or
1149 Attorney General ~~with the Secretary of the Commonwealth~~; (ii) a candidate for Senate or House of
1150 Delegates ~~with the clerk of the appropriate house~~; (iii) a candidate for a constitutional office ~~with the~~
1151 ~~general registrar for the county or city~~; and (iv) a candidate for member of the governing body or
1152 elected school board of any county, city, or town with a population in excess of 3,500 persons ~~with the~~
1153 ~~general registrar for the county or city~~. The statement of economic interests shall be that specified in
1154 § 30-111 for candidates for the General Assembly and in § 2.2-3117 for all other candidates. The
1155 foregoing requirement shall not apply to a candidate for reelection to the same office who has met the
1156 requirement of annually filing a statement pursuant to § 2.2-3114, 2.2-3115, or 30-110.

1157 The Secretary of the Commonwealth, ~~the clerks of the Senate and House of Delegates, the general~~
1158 ~~registrar, and the clerk of the local governing body~~ shall transmit to the State Board, immediately after
1159 the filing deadline, a list of the candidates who have filed initial or annual statements of economic
1160 interests. The Commissioner of Elections shall notify the appropriate local electoral boards of the filings.

1161 § 30-101. Definitions.

1162 As used in this chapter, unless the context requires a different meaning:

1163 "Advisory agency" means any board, commission, committee or post which does not exercise any
1164 sovereign power or duty, but is appointed by a governmental agency or officer or is created by law for
1165 the purpose of making studies or recommendations, or advising or consulting with a governmental
1166 agency.

1167 "Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise,
1168 association, trust or foundation, or any other individual or entity carrying on a business or profession,
1169 whether or not for profit.

1170 "Contract" means any agreement to which a governmental agency is a party, or any agreement on
1171 behalf of a governmental agency which involves the payment of money appropriated by the General
1172 Assembly or a political subdivision, whether or not such agreement is executed in the name of the
1173 Commonwealth of Virginia, or some political subdivision thereof. "Contract" includes a subcontract only
1174 when the contract of which it is a part is with the legislator's own governmental agency.

1175 "Financial institution" means any bank, trust company, savings institution, industrial loan association,
1176 consumer finance company, credit union, broker-dealer as defined in subsection A of § 13.1-501, or
1177 investment company or advisor registered under the federal Investment Advisors Act or Investment
1178 Company Act of 1940.

1179 "Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item
1180 having monetary value *and which would not have been available to the general public under similar*
1181 *circumstances.* ~~It~~ "Gift" includes services as well as gifts of transportation, local travel, lodgings and
1182 meals, whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the
1183 expense has been incurred. "Gift" ~~shall~~ *does* not include any offer of a ticket or other admission or pass
1184 unless the ticket, admission, or pass is used. "Gift" ~~shall~~ *does* not include honorary degrees and presents
1185 from relatives. For the purpose of this definition, "relative" means the donee's spouse, child, uncle, aunt,
1186 niece, or nephew; a person to whom the donee is engaged to be married; the donee's or his spouse's
1187 parent, grandparent, grandchild, brother, or sister; or the donee's brother's or sister's spouse.

1188 "Governmental agency" means each component part of the legislative, executive or judicial branches
1189 of state and local government, including each office, department, authority, post, commission,
1190 committee, and each institution or board created by law to exercise some regulatory or sovereign power

or duty as distinguished from purely advisory powers or duties.

"Immediate family" means (i) a spouse and (ii) any other person residing in the same household as the legislator, who is a dependent of the legislator or of whom the legislator is a dependent. "Dependent" means a son, daughter, father, mother, brother, sister or other person, whether or not related by blood or marriage, if such person receives from the legislator, or provides to the legislator, more than one-half of his financial support.

"Legislator" means a member of the General Assembly.

"Personal interest" means a financial benefit or liability accruing to a legislator or to a member of his immediate family. Such interest shall exist by reason of (i) ownership in a business if the ownership interest exceeds three percent of the total equity of the business; (ii) annual income that exceeds, or may reasonably be anticipated to exceed, \$10,000 from ownership in real or personal property or a business; (iii) salary, other compensation, fringe benefits, or benefits from the use of property, or any combination thereof, paid or provided by a business that exceeds, or may reasonably be anticipated to exceed, \$10,000 annually; (iv) ownership of real or personal property if the interest exceeds \$10,000 in value and excluding ownership in a business, income, or salary, other compensation, fringe benefits or benefits from the use of property; or (v) personal liability incurred or assumed on behalf of a business if the liability exceeds three percent of the asset value of the business.

"Personal interest in a contract" means a personal interest which a legislator has in a contract with a governmental agency, whether due to his being a party to the contract or due to a personal interest in a business which is a party to the contract.

"Personal interest in a transaction" means a personal interest of a legislator in any matter considered by the General Assembly. Such personal interest exists when an officer or employee or a member of his immediate family has a personal interest in property or a business, or represents any individual or business and such property, business or represented individual or business (i) is the subject of the transaction or (ii) may realize a reasonably foreseeable direct or indirect benefit or detriment as a result of the action of the agency considering the transaction. A "personal interest in a transaction" exists only if the legislator or member of his immediate family or an individual or business represented by the legislator is affected in a way that is substantially different from the general public or from persons comprising a profession, occupation, trade, business or other comparable and generally recognizable class or group of which he or the individual or business he represents is a member.

"Transaction" means any matter considered by the General Assembly, whether in a committee, subcommittee, or other entity of the General Assembly or before the General Assembly itself, on which official action is taken or contemplated.

§ 30-103. Prohibited conduct.

No legislator shall:

1. Solicit or accept money or other thing of value for services performed within the scope of his official duties, except the compensation, expenses or other remuneration paid to him by the General Assembly. This prohibition shall not apply to the acceptance of special benefits which may be authorized by law;

2. Offer or accept any money or other thing of value for or in consideration of obtaining employment, appointment, or promotion of any person with any governmental or advisory agency;

3. Offer or accept any money or other thing of value for or in consideration of the use of his public position to obtain a contract for any person or business with any governmental or advisory agency;

4. Use for his own economic benefit or that of another party confidential information which he has acquired by reason of his public position and which is not available to the public;

5. Accept any money, loan, gift, favor, service, or business or professional opportunity that reasonably tends to influence him in the performance of his official duties. This subdivision shall not apply to any political contribution actually used for political campaign or constituent service purposes and reported as required by Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2;

6. Accept any business or professional opportunity when he knows that there is a reasonable likelihood that the opportunity is being afforded him to influence him in the performance of his official duties;

7. During the one year after the termination of his service as a legislator, represent a client or act in a representative capacity on behalf of any person or group, for compensation, on any matter before the General Assembly or any agency of the legislative branch of government. The prohibitions of this subdivision shall apply only to persons engaged in activities that would require registration as a lobbyist under § 2.2-422. Any person subject to the provisions of this subdivision may apply to the Attorney General, as provided in § 30-122, for an advisory opinion as to the application of the restriction imposed by this subdivision on any post-public employment position or opportunity;

8. Accept any honoraria for any appearance, speech, or article in which the legislator provides expertise or opinions related to the performance of his official duties. The term "honoraria" shall not

include any payment for or reimbursement to such person for his actual travel, lodging, or subsistence expenses incurred in connection with such appearance, speech, or article or in the alternative a payment of money or anything of value not in excess of the per diem deduction allowable under § 162 of the Internal Revenue Code, as amended from time to time;

9. Accept appointment to serve on a body or board of any corporation, company or other legal entity, vested with the management of the corporation, company or entity, and on which two other members of the General Assembly already serve, which is operated for profit and regulated by the State Corporation Commission as (i) a financial institution, (ii) a mortgage lender or broker, (iii) any business under Chapter 5 (§ 13.1-501 et seq.) of Title 13.1, (iv) any business under Title 38.2, or (v) any business under Title 56; or

10. Accept a single gift from a person who has interests that may be substantially affected by the performance of the legislator's official duties under circumstances where the timing and nature of the gift would cause a reasonable person to question the legislator's impartiality in the matter affecting the donor. Violations of this subdivision shall not be subject to criminal law penalties; or

11. Accept gifts from sources on a basis so frequent as to raise an appearance of the use of his public office for private gain. Violations of this subdivision shall not be subject to criminal law penalties with a value greater than \$100 or combination of gifts with an aggregate value greater than \$500 from a single donor within a single filing period. Gifts that consist of educational programs or professional development shall not be included in determining the total value of gifts received; however, such gifts shall be accounted for in Schedule E of the disclosure form provided by § 30-111.

§ 30-110. Disclosure.

A. Every legislator and legislator-elect shall file, as a condition to assuming office, a disclosure statement of his personal interests and such other information as is specified on the form set forth in § 30-111 and thereafter shall file such a statement annually on or before January 8. When the filing deadline falls on a Saturday, Sunday, or legal holiday, the disclosure statement shall be filed on the next day that is not a Saturday, Sunday, or legal holiday. Disclosure forms shall be provided by the clerk of the appropriate house Secretary of the Commonwealth to each legislator and legislator-elect not later than November 30 of each year. Members of the Senate shall file their disclosure forms with the Clerk of the Senate and members of the House of Delegates shall file their disclosure forms with the Clerk of the House of Delegates. The disclosure forms of the members of the General Assembly shall be filed and maintained as public records for five years in the office of the clerk of the appropriate house Office of the Secretary of the Commonwealth.

B. Candidates for the General Assembly shall file a disclosure statement of their personal interests as required by §§ 24.2-500 through 24.2-503.

C. Any legislator who has a personal interest in any transaction pending before the General Assembly and who is disqualified from participating in that transaction pursuant to § 30-108 and the rules of his house shall disclose his interest in accordance with the applicable rule of his house.

§ 30-110.1. Additional disclosures regarding gifts and material changes in financial and employment status.

A. Beginning July 1, 2015, each legislator shall file a separate monthly gift report with the Secretary of the Commonwealth disclosing any gift or gifts received by the filer or a member of his immediate family during that month from any person or entity. The report shall identify the donor of the gift and its approximate retail value at the time of receipt. The report shall be filed on or before the fifth day of the month covering gifts with a value greater than \$25 received as of the last day of the preceding month. The format for the report shall be provided by the Secretary of the Commonwealth.

B. Beginning July 15, 2015, each legislator shall file a separate quarterly report of material changes in financial status, including property ownership, investment transactions of \$50,000 or more, and employment. Such filings shall be made as follows: (i) by April 15 for the preceding three-month period complete through March 31, (ii) by July 15 for the preceding three-month period ending June 30, (iii) by October 15 for the preceding three-month period ending September 30, and (iv) by January 15 for the preceding three-month period ending December 31. The format for the report shall be provided by the Secretary of the Commonwealth.

§ 30-111. Disclosure form.

A. The disclosure form to be used for filings required by subsections A and B of § 30-110 shall be substantially as follows:

STATEMENT OF ECONOMIC INTERESTS.

Name
Office or position held or sought
Home address
Names of members of immediate family
DEFINITIONS AND EXPLANATORY MATERIAL.

"Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise, association, trust or foundation, or any other individual or entity carrying on a business or profession, whether or not for profit.

"Close financial association" means an association in which the filer shares significant financial involvement with an individual and the filer would reasonably be expected to be aware of the individual's business activities and would have access to the necessary records either directly or through the individual. "Close financial association" does not mean an association based on (i) the receipt of retirement benefits or deferred compensation from a business by which the legislator is no longer employed, or (ii) the receipt of compensation for work performed by the legislator as an independent contractor of a business that represents an entity before any state governmental agency when the legislator has had no communications with the state governmental agency.

"Contingent liability" means a liability that is not presently fixed or determined, but may become fixed or determined in the future with the occurrence of some certain event.

"Dependent" means any person, whether or not related by blood or marriage, who receives from the legislator, or provides to the legislator, more than one-half of his financial support.

"Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item having monetary value *and which would not have been available to the general public under similar circumstances.* ~~It~~ "Gift" includes services as well as gifts of transportation, local travel, lodgings and meals, whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the expense has been incurred. "Gift" ~~shall~~ *does* not include any offer of a ticket or other admission or pass unless the ticket, admission, or pass is used. "Gift" ~~shall~~ *does* not include honorary degrees and presents from relatives. "Relative" means the donee's spouse, child, uncle, aunt, niece, or nephew; a person to whom the donee is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother, or sister; or the donee's brother's or sister's spouse.

"Immediate family" means (i) a spouse and (ii) any other person residing in the same household as the legislator, who is a dependent of the legislator or of whom the legislator is a dependent.

"Lobbyist relationship" means (i) an engagement, agreement, or representation that relates to legal services, consulting services, or public relations services, whether gratuitous or for compensation, between a member or member-elect and any person who is, or has been within the prior calendar year, registered as a lobbyist with the Secretary of the Commonwealth, or (ii) a greater than three percent ownership interest by a member or member-elect in a business that employs, or engages as an independent contractor, any person who is, or has been within the prior calendar year, registered as a lobbyist with the Secretary of the Commonwealth. The disclosure of a lobbyist relationship shall not (i) constitute a waiver of any attorney-client or other privilege, (ii) require a waiver of any attorney-client or other privilege for a third party, or (iii) be required where a member or member-elect is employed or engaged by a person and such person also employs or engages a person in a lobbyist relationship so long as the member or member-elect has no financial interest in the lobbyist relationship.

TRUST. If you or your immediate family, separately or together, are the only beneficiaries of a trust, treat the trust's assets as if you own them directly. If you or your immediate family has a proportional interest in a trust, treat that proportion of the trust's assets as if you own them directly. For example, if you and your immediate family have a one-third interest in a trust, complete your Statement as if you own one-third of each of the trust's assets. If you or a member of your immediate family created a trust and can revoke it without the beneficiaries' consent, treat its assets as if you own them directly.

REPORT TO THE BEST OF INFORMATION AND BELIEF. Information required on this Statement must be provided on the basis of the best knowledge, information and belief of the individual filing the Statement as of the date of this report unless otherwise stated.

COMPLETE ITEMS 1 THROUGH 11. REFER TO SCHEDULES ONLY IF DIRECTED.

You may attach additional explanatory information.

1. Offices and Directorships.

Are you or a member of your immediate family a paid officer or paid director of a business?

EITHER check NO / / OR check YES / / and complete Schedule A.

2. Personal Liabilities.

Do you or a member of your immediate family owe more than \$10,000 to any one creditor including contingent liabilities? (Exclude debts to any government and loans secured by recorded liens on property at least equal in value to the loan.)

EITHER check NO / / OR check YES / / and complete Schedule B.

3. Securities.

Do you or a member of your immediate family, directly or indirectly, separately or together, own securities valued in excess of \$10,000 invested in one business? Account for mutual funds, limited partnerships and trusts.

EITHER check NO / / OR check YES / / and complete Schedule C.

1374 4. Payments for Talks, Meetings, and Publications.

1375 During the past 12 months did you receive lodging, transportation, money, or anything else of value
1376 with a combined value exceeding \$200 for a single talk, meeting, or published work in your capacity as
1377 a legislator? Do not include payments and reimbursements from the Commonwealth for meetings
1378 attended in your capacity as a legislator; see Question 11 and Schedule D2 to report such meetings.

1379 EITHER check NO / / OR check YES / / and complete Schedule D.

1380 5. Gifts.

1381 During the past 12 months did a ~~business, government, or individual other than a relative or personal~~
1382 ~~friend (i) furnish you with any gift or entertainment at a single event, and the value received by you~~
1383 ~~exceeded \$50 in value or (ii) furnish you with gifts or entertainment in any combination and the value~~
1384 ~~received by you exceeded \$100 in total value; and for which you neither paid nor rendered services in~~
1385 ~~exchange? Account for entertainment events only if the average value per person attending the event~~
1386 ~~exceeded \$50 in value. Account for all business entertainment (except if related to your private~~
1387 ~~profession or occupation) even if unrelated to your official duties you or an immediate family member~~
1388 ~~accept from a single donor any single gift with a value greater than \$25 but no greater than \$100 or~~
1389 ~~combination of gifts with an aggregate value greater than \$25 but no greater than \$500? Account for~~
1390 ~~such gift or gifts under Schedule E.~~

1391 EITHER check NO / / OR check YES / / and complete Schedule E.

1392 6. Salary and Wages.

1393 List each employer that pays you or a member of your immediate family salary or wages in excess
1394 of \$10,000 annually. (Exclude any salary received as a member of the General Assembly pursuant to
1395 § 30-19.11.)

1396 If no reportable salary or wages, check here / / .

1397 _____
1398 _____
1399 _____

1400 7. Business Interests and Lobbyist Relationships.

1401 7A. Do you or a member of your immediate family, separately or together, operate your own
1402 business, or own or control an interest in excess of \$10,000 in a business?

1403 EITHER check NO / / OR check YES / / and complete Schedule F-1.

1404 7B. Do you have a lobbyist relationship as that term is defined above?

1405 EITHER check NO / / OR check YES / / and complete Schedule F-2.

1406 8. Payments for Representation and Other Services.

1407 8A. Did you represent any businesses before any state governmental agencies, excluding courts or
1408 judges, for which you received total compensation during the past 12 months in excess of \$1,000,
1409 excluding compensation for other services to such businesses and representation consisting solely of the
1410 filing of mandatory papers and subsequent representation regarding the mandatory papers?

1411 EITHER check NO / / OR check YES / / and complete Schedule G-1.

1412 8B. Subject to the same exceptions as in 8A, did persons with whom you have a close financial
1413 association (partners, associates or others) represent any businesses before any state governmental agency
1414 for which total compensation was received during the past 12 months in excess of \$1,000?

1415 EITHER check NO / / OR check YES / / and complete Schedule G-2.

1416 8C. Did you or persons with whom you have a close financial association furnish services to
1417 businesses operating in Virginia, pursuant to an agreement between you and such businesses, or between
1418 persons with whom you have a close financial association and such businesses for which total
1419 compensation in excess of \$1,000 was received during the past 12 months? Services reported under this
1420 provision shall not include services involving the representation of businesses that are reported under
1421 question 8A or 8B above.

1422 EITHER check NO / / OR check YES / / and complete Schedule G-3.

1423 9. Real Estate.

1424 Do you or a member of your immediate family hold an interest, including a partnership interest,
1425 valued at \$10,000 or more in real property (other than your principal residence) for which you have not
1426 already listed the full address on Schedule F? Account for real estate held in trust.

1427 EITHER check NO / / OR check YES / / and complete Schedule H.

1428 10. Real Estate Contracts with State Governmental Agencies.

1429 Do you or a member of your immediate family hold an interest valued at more than \$10,000 in real
1430 estate, including a corporate, partnership, or trust interest, option, easement, or land contract, which real
1431 estate is the subject of a contract, whether pending or completed within the past 12 months, with a state
1432 governmental agency?

1433 If the real estate contract provides for the leasing of the property to a state governmental agency, do
1434 you or a member of your immediate family hold an interest in the real estate, including a corporate,
1435 partnership, or trust interest, option, easement, or land contract valued at more than \$1,000? Account for

all such contracts whether or not your interest is reported in Schedule F or H. This requirement to disclose an interest in a lease does not apply to an interest derived through an ownership interest in a business unless the ownership interest exceeds three percent of the total equity of the business.

EITHER check NO / / OR check YES / / and complete Schedule I.

11. Payments by the Commonwealth for Meetings.

During the past 12 months did you receive lodging, transportation, money, or anything else of value with a combined value exceeding \$200 from the Commonwealth for a single meeting attended out-of-state in your capacity as a legislator? Do not include reimbursements from the Commonwealth for meetings attended in the Commonwealth.

EITHER check NO / / OR check YES / / and complete Schedule D-2.

Statements of Economic Interests are open for public inspection.

AFFIRMATION.

In accordance with the rules of the house in which I serve, if I receive a request that this disclosure statement be corrected, augmented, or revised in any respect, I hereby pledge that I shall respond promptly to the request. I understand that if a determination is made that the statement is insufficient, I will satisfy such request or be subjected to disciplinary action of my house.

I swear or affirm that the foregoing information is full, true and correct to the best of my knowledge.

Signature _____

Commonwealth of Virginia

_____ of _____ to wit:

~~The foregoing disclosure form was acknowledged before me~~

~~This _____ day of _____, 20____, by _____~~

~~_____ Notary Public~~

~~My commission expires _____~~

~~(Return only if needed to complete Statement.)~~

SCHEDULES

to

STATEMENT OF ECONOMIC INTERESTS.

NAME _____

SCHEDULE A - OFFICES AND DIRECTORSHIPS.

Identify each business of which you or a member of your immediate family is a paid officer or paid director.

Name of Business	Address of Business	Position Held
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

RETURN TO ITEM 2

SCHEDULE B - PERSONAL LIABILITIES.

Report personal liability by checking each category. Report only debts in excess of \$10,000. Do not report debts to any government. Do not report loans secured by recorded liens on property at least equal in value to the loan.

Report contingent liabilities below and indicate which debts are contingent.

1. My personal debts are as follows:

Check appropriate categories	Check one \$10,001 to \$50,000	Check one More than \$50,000
Banks	_____	_____
Savings institutions	_____	_____
Other loan or finance companies	_____	_____
Insurance companies	_____	_____
Stock, commodity or other brokerage	_____	_____

1494	companies	_____	_____
1495	Other businesses:		
1496	(State principal business activity for each		
1497	creditor.)	_____	_____
1498	_____	_____	_____
1499	_____	_____	_____
1500	Individual creditors:		
1501	(State principal business or occupation of		
1502	each creditor.)	_____	_____
1503	_____	_____	_____
1504	_____	_____	_____
1505	_____	_____	_____

2. The personal debts of the members of my immediate family are as follows:

1509	Check	Check one
1510	appropriate	\$10,001 to More than
1511	categories	\$50,000 \$50,000
1512	Banks	_____
1513	Savings institutions	_____
1514	Other loan or finance companies	_____
1515	Insurance companies	_____
1516	Stock, commodity or other brokerage	_____
1517	companies	_____
1518	Other businesses:	
1519	(State principal business activity for each	
1520	creditor.)	_____
1521	_____	_____
1522	_____	_____
1523	Individual creditors:	
1524	(State principal business or occupation of	
1525	each creditor.)	_____
1526	_____	_____
1527	_____	_____
1528	_____	_____

RETURN TO ITEM 3

SCHEDULE C - SECURITIES.

"Securities" INCLUDES stocks, bonds,	"Securities" EXCLUDES
mutual funds, limited partnerships,	certificates of deposit,
and commodity futures contracts.	money market funds, annuity
	contracts, and insurance policies.

Identify each business or Virginia governmental entity in which you or a member of your immediate family, directly or indirectly, separately or together, own securities valued in excess of \$10,000. Name each entity and type of security individually.

Do not list U.S. Bonds or other government securities not issued by the Commonwealth of Virginia or its authorities, agencies, or local governments. Do not list organizations that do not do business in this Commonwealth, but most major businesses conduct business in Virginia. Account for securities held in trust.

If no reportable securities, check here / / .

1543	_____					
1544						
1545				Check one		
1546		Type of Security	\$10,001	\$50,001	More	
1547	Type of	(stocks, bonds,	to	to	than	
1548	Name of Issuer	Entity	mutual funds, etc.)	\$50,000	\$250,000	\$250,000
1549	_____	_____	_____	_____	_____	_____
1550	_____	_____	_____	_____	_____	_____

RETURN TO ITEM 4

SCHEDULE D-1 - PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.

List each source from which you received during the past 12 months lodging, transportation, money, or any other thing of value (excluding meals or drinks coincident with a meeting) with a combined value exceeding \$200 for your presentation of a single talk, participation in one meeting, or publication of a work in your capacity as a legislator. Do not list payments or reimbursements by the Commonwealth. (See Schedule D-2 for such payments or reimbursements.) List a payment even if you donated it to charity. Do not list information about a payment if you returned it within 60 days or if you received it from an employer already listed under Item 6 or from a source of income listed on Schedule F.

If no payment must be listed, check here / / .

Payer	Approximate Value	Circumstances	Type of Payment (e.g., Honoraria, Travel reimbursement, etc.)
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

SCHEDULE D-2 - PAYMENTS BY THE COMMONWEALTH FOR MEETINGS.

List each meeting for which the Commonwealth provided payments or reimbursements during the past 12 months to you for lodging, transportation, money, or any other thing of value (excluding meals or drinks coincident with a meeting) with a combined value exceeding \$200 for your participation in your capacity as a legislator. Do not list payments or reimbursements by the Commonwealth for meetings or travel within the Commonwealth.

If no payment must be listed, check here / / .

Payer	Approximate Value	Circumstances	Type of Payment (e.g., Travel reimbursement, etc.)
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

SCHEDULE E - GIFTS.

List each business, governmental entity, or individual that, during the past 12 months, (i) furnished you with any gift or entertainment at a single event and the value received by you exceeded \$50 in value, or (ii) furnished you with gifts or entertainment in any combination and the value received by you exceeded \$100 in total value; and for which you neither paid nor rendered services in exchange. List each such gift or event.

Do not list entertainment events unless the average value per person attending the event exceeded \$50 in value. Do not list business entertainment related to your private profession or occupation. Do not list gifts or other things of value given by a relative or personal friend for reasons clearly unrelated to your public position single gift with a value greater than \$25 but no greater than \$100 or combination of gifts with an aggregate value greater than \$25 but no greater than \$500. Do not list campaign contributions publicly reported as required by Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2 of the Code of Virginia.

1610	Name of Business,	City or		
1611	Organization, or	County		
1612	Individual	and State	Gift or Event	Approximate Value
1613	_____	_____	_____	_____
1614	_____	_____	_____	_____
1615	_____	_____	_____	_____
1616	_____	_____	_____	_____
1617	_____	_____	_____	_____

RETURN TO ITEM 6

SCHEDULE F-1 - BUSINESS INTERESTS.

Complete this Schedule for each self-owned or family-owned business (including rental property, a farm, or consulting work), partnership, or corporation in which you or a member of your immediate family, separately or together, own an interest having a value in excess of \$10,000.

If the enterprise is owned or operated under a trade, partnership, or corporate name, list that name; otherwise, merely explain the nature of the enterprise. If rental property is owned or operated under a trade, partnership, or corporate name, list the name only; otherwise, give the address of each property. Account for business interests held in trust.

1628	Name of					
1629	Business					
1630	Corporation,					
1631	Partnership,					
1632	Farm;	Nature of		Gross income		
1633	Address of	Enterprise				
1634	City or	(farming,		\$50,001	More	
1635	Rental	County	law, rental	\$50,000	to	than
1636	Property	and State	property, etc.)	or less	\$250,000	\$250,000
1637	_____	_____	_____	_____	_____	_____
1638	_____	_____	_____	_____	_____	_____
1639	_____	_____	_____	_____	_____	_____
1640	_____	_____	_____	_____	_____	_____

RETURN TO ITEM 8

SCHEDULE F-2 - LOBBYIST RELATIONSHIPS AND PAYMENTS.

Complete this Schedule for each lobbyist relationship with the following:

(i) any person who is, or has been within the prior calendar year, registered as a lobbyist with the Secretary of the Commonwealth, or

(ii) any business in which you have a greater than three percent ownership interest and that business employs, or engages as an independent contractor, any person who is, or has been within the prior calendar year, registered as a lobbyist with the Secretary of the Commonwealth.

1651					
1652				Payments to	
1653				Lobbyist	
1654	List each person	Describe each	Dates of	\$10,000	More than
1655	or business	relationship	relationship	or less	\$10,0001
1656	_____	_____	_____	_____	_____
1657	_____	_____	_____	_____	_____
1658	_____	_____	_____	_____	_____
1659	_____	_____	_____	_____	_____
1660	_____	_____	_____	_____	_____

THE DISCLOSURE OF A LOBBYIST RELATIONSHIP SHALL NOT (I) CONSTITUTE A WAIVER OF ANY ATTORNEY-CLIENT OR OTHER PRIVILEGE, (II) REQUIRE A WAIVER OF ANY ATTORNEY-CLIENT OR OTHER PRIVILEGE FOR A THIRD PARTY, OR (III) BE REQUIRED WHERE A MEMBER OR MEMBER-ELECT IS EMPLOYED OR ENGAGED BY A PERSON AND SUCH PERSON ALSO EMPLOYS OR ENGAGES A PERSON IN A LOBBYIST RELATIONSHIP SO LONG AS THE MEMBER OR MEMBER-ELECT HAS NO FINANCIAL

1668 INTEREST IN THE LOBBYIST RELATIONSHIP.

1669 SCHEDULE G-1 - PAYMENTS FOR REPRESENTATION BY YOU.

1670 List the businesses you represented before any state governmental agency, excluding any court or
1671 judge, for which you received total compensation during the past 12 months in excess of \$1,000,
1672 excluding compensation for other services to such businesses and representation consisting solely of the
1673 filing of mandatory papers and subsequent representation regarding the mandatory papers filed by you.

1674 Identify each business, the nature of the representation and the amount received by dollar category
1675 from each such business. You may state the type, rather than name, of the business if you are required
1676 by law not to reveal the name of the business represented by you.

			Amount Received						
Name	Type	Purpose of	Name	\$1,001 to	\$10,001 to	\$50,001 to	\$100,001 to	\$250,001 to	
of	of	Repre-	of						
Busi-	Busi-	senta-	Agency	\$10,000	\$50,000	\$100,000	\$250,000	and over	
ness	ness	tion							
_____	_____	_____	_____	_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____	_____	_____	_____	_____

1689 If you have received \$250,001 or more from a single business within the reporting period, indicate
1690 the amount received, rounded to the nearest \$10,000. Amount Received: _____.

1692 SCHEDULE G-2 - PAYMENTS FOR REPRESENTATION BY ASSOCIATES.

1693 List the businesses that have been represented before any state governmental agency, excluding any
1694 court or judge, by persons who are your partners, associates or others with whom you have a close
1695 financial association and who received total compensation in excess of \$1,000 for such representation
1696 during the past 12 months, excluding representation consisting solely of the filing of mandatory papers
1697 and subsequent representation regarding the mandatory papers filed by your partners, associates or others
1698 with whom you have a close financial association.

1699 Identify such businesses by type and also name the state governmental agencies before which such
1700 person appeared on behalf of such businesses.

Type of Business	Name of State Governmental Agency
_____	_____
_____	_____
_____	_____
_____	_____

1709 SCHEDULE G-3 - PAYMENTS FOR OTHER SERVICES GENERALLY.

1710 Indicate below types of businesses that operate in Virginia to which services were furnished by you
1711 or persons with whom you have a close financial association pursuant to an agreement between you and
1712 such businesses, or between persons with whom you have a close financial association and such
1713 businesses and for which total compensation in excess of \$1,000 was received during the past 12
1714 months. Services reported in this Schedule shall not include services involving the representation of
1715 businesses that are reported in Schedule G-1 or G-2 above.

1716 Identify opposite each category of businesses listed below (i) the type of business, (ii) the type of
1717 service rendered and (iii) the value by dollar category of the compensation received for all businesses
1718 falling within each category.

		Value of Compensation						
Check if	Type of							
ser-	ser-							
vices	vice	\$1,001 to	\$10,001 to	\$50,001 to	\$100,001 to	\$250,001 to		
were	ren-							
ren-	ren-							

		dered	dered	\$10,000	\$50,000	\$100,000	\$250,000	and over
1727								
1728	Electric utilities	_____	_____	_____	_____	_____	_____	_____
1729	Gas utilities	_____	_____	_____	_____	_____	_____	_____
1730	Telephone utilities	_____	_____	_____	_____	_____	_____	_____
1731	Water utilities	_____	_____	_____	_____	_____	_____	_____
1732	Cable television	_____	_____	_____	_____	_____	_____	_____
1733	companies	_____	_____	_____	_____	_____	_____	_____
1734	Interstate	_____	_____	_____	_____	_____	_____	_____
1735	transportation	_____	_____	_____	_____	_____	_____	_____
1736	companies	_____	_____	_____	_____	_____	_____	_____
1737	Intrastate	_____	_____	_____	_____	_____	_____	_____
1738	transportation	_____	_____	_____	_____	_____	_____	_____
1739	companies	_____	_____	_____	_____	_____	_____	_____
1740	Oil or gas retail	_____	_____	_____	_____	_____	_____	_____
1741	companies	_____	_____	_____	_____	_____	_____	_____
1742	Banks	_____	_____	_____	_____	_____	_____	_____
1743	Savings	_____	_____	_____	_____	_____	_____	_____
1744	institutions	_____	_____	_____	_____	_____	_____	_____
1745	Loan or finance	_____	_____	_____	_____	_____	_____	_____
1746	companies	_____	_____	_____	_____	_____	_____	_____
1747	Manufacturing	_____	_____	_____	_____	_____	_____	_____
1748	companies (state	_____	_____	_____	_____	_____	_____	_____
1749	type of product,	_____	_____	_____	_____	_____	_____	_____
1750	e.g., textile,	_____	_____	_____	_____	_____	_____	_____
1751	furniture, etc.)	_____	_____	_____	_____	_____	_____	_____
1752	Mining companies	_____	_____	_____	_____	_____	_____	_____
1753	Life insurance	_____	_____	_____	_____	_____	_____	_____
1754	companies	_____	_____	_____	_____	_____	_____	_____
1755	Casualty insurance	_____	_____	_____	_____	_____	_____	_____
1756	companies	_____	_____	_____	_____	_____	_____	_____
1757	Other insurance	_____	_____	_____	_____	_____	_____	_____
1758	companies	_____	_____	_____	_____	_____	_____	_____
1759	Retail companies	_____	_____	_____	_____	_____	_____	_____
1760	Beer, wine or	_____	_____	_____	_____	_____	_____	_____
1761	liquor companies	_____	_____	_____	_____	_____	_____	_____
1762	or distributors	_____	_____	_____	_____	_____	_____	_____
1763	Trade associations	_____	_____	_____	_____	_____	_____	_____
1764	Professional	_____	_____	_____	_____	_____	_____	_____
1765	associations	_____	_____	_____	_____	_____	_____	_____
1766	Associations of	_____	_____	_____	_____	_____	_____	_____
1767	public employees	_____	_____	_____	_____	_____	_____	_____
1768	or officials	_____	_____	_____	_____	_____	_____	_____
1769	Counties, cities	_____	_____	_____	_____	_____	_____	_____
1770	or towns	_____	_____	_____	_____	_____	_____	_____
1771	Labor organizations	_____	_____	_____	_____	_____	_____	_____
1772	Other	_____	_____	_____	_____	_____	_____	_____
1773		_____	_____	_____	_____	_____	_____	_____

RETURN TO ITEM 9

SCHEDULE H - REAL ESTATE.

List real estate other than your principal residence in which you or a member of your immediate family holds an interest, including a partnership interest, option, easement, or land contract, valued at \$10,000 or more. Each parcel must be listed individually.

1779			
1780			
1781		Describe the type of real	
1782		estate you own in each	
1783	List the location	location (business,	If the real estate is

1784	(state, and county	recreational, apartment,	owned or recorded in
1785	or city where you	commercial, open land,	a name other than your
1786	own real estate	etc.)	own, list that name
1787	_____	_____	_____
1788	_____	_____	_____
1789	_____	_____	_____
1790	_____	_____	_____
1791	_____	_____	_____
1792	_____	_____	_____

RETURN TO ITEM 10

SCHEDULE I - REAL ESTATE CONTRACTS WITH STATE GOVERNMENTAL AGENCIES.

List all contracts, whether pending or completed within the past 12 months, with a state governmental agency for the sale or exchange of real estate in which you or a member of your immediate family holds an interest, including a corporate, partnership or trust interest, option, easement, or land contract, valued at \$10,000 or more. List all contracts with a state governmental agency for the lease of real estate in which you or a member of your immediate family holds such an interest valued at \$1,000 or more. This requirement to disclose an interest in a lease does not apply to an interest derived through an ownership interest in a business unless the ownership interest exceeds three percent of the total equity of the business.

List your real estate interest and the person or entity, including the type of entity, which is party to the contract. Describe any management role and the percentage ownership interest you or your immediate family member has in the real estate or entity.

List each governmental agency which is a party to the contract and indicate the county or city where the real estate is located.

State the annual income from the contract, and the amount, if any, of income you or any immediate family member derives annually from the contract.

1819	_____	_____	_____
1820	_____	_____	_____
1821	_____	_____	_____
1822	_____	_____	_____
1823	_____	_____	_____

B. Any legislator who makes a knowing misstatement of a material fact on the Statement of Economic Interests shall be subject to disciplinary action for such violations by the house in which the legislator sits.

C. In accordance with the rules of each house, the Statement of Economic Interests of all members of each house shall be reviewed. If a legislator's Statement is found to be inadequate as filed, the legislator shall be notified in writing and directed to file an amended Statement correcting the indicated deficiencies, and a time shall be set within which such amendment shall be filed. If the Statement of Economic Interests, in either its original or amended form, is found to be adequate as filed, the legislator's filing shall be deemed in full compliance with this section as to the information disclosed thereon.

D. Ten percent of the membership of a house, on the basis of newly discovered facts, may in writing request the house in which those members sit, in accordance with the rules of that house, to review the Statement of Economic Interests of another member of that house in order to determine the adequacy of his filing. In accordance with the rules of each house, each Statement of Economic Interests shall be promptly reviewed, the adequacy of the filing determined, and notice given in writing to the legislator whose Statement is in issue. Should it be determined that the Statement requires correction, augmentation or revision, the legislator involved shall be directed to make the changes required within

1842 such time as shall be set under the rules of each house.

1843 If a legislator, after having been notified in writing in accordance with the rules of the house in
1844 which he sits that his Statement is inadequate as filed, fails to amend his Statement so as to come into
1845 compliance within the time limit set, he shall be subject to disciplinary action by the house in which he
1846 sits. No legislator shall vote on any question relating to his own Statement.

1847 **2. That the provisions of this act may result in a net increase in periods of imprisonment or**
1848 **commitment. Pursuant to § 30-19.1:4, the estimated amount of the necessary appropriation is \$0**
1849 **for periods of imprisonment in state adult correctional facilities and \$0 for periods of commitment**
1850 **to the custody of the Department of Juvenile Justice.**