

12105527D

HOUSE BILL NO. 481

AMENDMENT IN THE NATURE OF A SUBSTITUTE

(Proposed by the Senate Committee on General Laws and Technology
on February 27, 2012)

(Patron Prior to Substitute—Delegate Iaquinto)

A BILL to amend and reenact §§ 2.2-3112, 2.2-3115, 2.2-3117, and 2.2-3120 of the Code of Virginia, relating to the State and Local Government Conflict of Interests Act; disclosure forms.

Be it enacted by the General Assembly of Virginia:

1. That §§ 2.2-3112, 2.2-3115, 2.2-3117, and 2.2-3120 of the Code of Virginia are amended and reenacted as follows:

§ 2.2-3112. Prohibited conduct concerning personal interest in a transaction; exceptions.

A. Each officer and employee of any state or local governmental or advisory agency who has a personal interest in a transaction:

1. Shall disqualify himself from participating in the transaction if (i) the transaction has application solely to property or a business or governmental agency in which he has a personal interest or a business that has a parent-subsidiary or affiliated business entity relationship with the business in which he has a personal interest or (ii) he is unable to participate pursuant to subdivision 2, 3 or 4. Any disqualification under the provisions of this subdivision shall be recorded in the public records of the officer's or employee's governmental or advisory agency. The officer or employee shall disclose his personal interest as required by *subsection E of § 2.2-3114* ~~E~~ or *subsection F of § 2.2-3115* ~~E~~ and shall not vote or in any manner act on behalf of his agency in the transaction. The officer or employee shall be prohibited from (i) attending any portion of a closed meeting authorized by the Virginia Freedom of Information Act (§ 2.2-3700 et seq.) when the matter in which he has a personal interest is discussed and (ii) discussing the matter in which he has a personal interest with other governmental officers or employees at any time;

2. May participate in the transaction if he is a member of a business, profession, occupation, or group of three or more persons the members of which are affected by the transaction, and he complies with the declaration requirements of *subsection F of § 2.2-3114* ~~F~~ or *subsection H of § 2.2-3115* ~~G~~;

3. May participate in the transaction when a party to the transaction is a client of his firm if he does not personally represent or provide services to such client and he complies with the declaration requirements of *subsection G of § 2.2-3114* ~~G~~ or *subsection I of § 2.2-3115* ~~H~~; or

4. May participate in the transaction if it affects the public generally, even though his personal interest, as a member of the public, may also be affected by that transaction.

B. Disqualification under the provisions of this section shall not prevent any employee having a personal interest in a transaction in which his agency is involved from representing himself or a member of his immediate family in such transaction provided he does not receive compensation for such representation and provided he complies with the disqualification and relevant disclosure requirements of this chapter.

C. Notwithstanding any other provision of law, if disqualifications of officers or employees in accordance with this section leave less than the number required by law to act, the remaining member or members shall constitute a quorum for the conduct of business and have authority to act for the agency by majority vote, unless a unanimous vote of all members is required by law, in which case authority to act shall require a unanimous vote of remaining members. Notwithstanding any provisions of this chapter to the contrary, members of a local governing body whose sole interest in any proposed sale, contract of sale, exchange, lease or conveyance is by virtue of their employment by a business involved in a proposed sale, contract of sale, exchange, lease or conveyance, and where such member's or members' vote is essential to a constitutional majority required pursuant to Article VII, Section 9 of the Constitution of Virginia and § 15.2-2100, such member or members of the local governing body may vote and participate in the deliberations of the governing body concerning whether to approve, enter into or execute such sale, contract of sale, exchange, lease or conveyance. Official action taken under circumstances that violate this section may be rescinded by the agency on such terms as the interests of the agency and innocent third parties require.

D. The provisions of subsection A shall not prevent an officer or employee from participating in a transaction merely because such officer or employee is a party in a legal proceeding of a civil nature concerning such transaction.

E. The provisions of subsection A shall not prevent an employee from participating in a transaction regarding textbooks or other educational material for students at state institutions of higher education, when those textbooks or materials have been authored or otherwise created by the employee.

§ 2.2-3115. Disclosure by local government officers and employees.

60 A. The members of every governing body and school board of each county and city and of towns
61 with populations in excess of 3,500 shall file, as a condition to assuming office or employment, a
62 disclosure statement of their personal interests and other information as is specified on the form set forth
63 in § 2.2-3117 and thereafter shall file such a statement annually on or before January 15.

64 The members of the governing body of any authority established in any county or city, or part or
65 combination thereof, and having the power to issue bonds or expend funds in excess of \$10,000 in any
66 fiscal year, shall file, as a condition to assuming office, a disclosure statement of their personal interests
67 and other information as is specified on the form set forth in § 2.2-3118 and thereafter shall file such a
68 statement annually on or before January 15, unless the governing body of the jurisdiction that appoints
69 the members requires that the members file the form set forth in § 2.2-3117.

70 Persons occupying such positions of trust appointed by governing bodies and persons occupying such
71 positions of employment with governing bodies as may be designated to file by ordinance of the
72 governing body shall file, as a condition to assuming office or employment, a disclosure statement of
73 their personal interests and other information as is specified on the form set forth in § 2.2-3117 and
74 thereafter shall file such a statement annually on or before January 15.

75 Persons occupying such positions of trust appointed by school boards and persons occupying such
76 positions of employment with school boards as may be designated to file by an adopted policy of the
77 school board shall file, as a condition to assuming office or employment, a disclosure statement of their
78 personal interests and other information as is specified on the form set forth in § 2.2-3117 and thereafter
79 shall file such a statement annually on or before January 15.

80 B. Nonsalaried citizen members of local boards, commissions and councils as may be designated by
81 the governing body shall file, as a condition to assuming office, a disclosure form of their personal
82 interests and such other information as is specified on the form set forth in § 2.2-3118 and thereafter
83 shall file such form annually on or before January 15.

84 C. *No person shall be mandated to file any disclosure not otherwise required by this article.*

85 D. The disclosure forms required by subsections A and B shall be provided by the Secretary of the
86 Commonwealth to the clerks of the governing bodies and school boards not later than November 30 of
87 each year, and the clerks of the governing body and school board shall distribute the forms to
88 designated individuals no later than December 10 of each year. Forms shall be filed and maintained as
89 public records for five years in the office of the clerk of the respective governing body or school board.
90 Forms filed by members of governing bodies of authorities shall be filed and maintained as public
91 records for five years in the office of the clerk of the governing body of the county or city.

92 D.E. Candidates for membership in the governing body or school board of any county, city or town
93 with a population of more than 3,500 persons shall file a disclosure statement of their personal interests
94 as required by § 24.2-502.

95 E.F. Any officer or employee of local government who has a personal interest in any transaction
96 before the governmental or advisory agency of which he is an officer or employee and who is
97 disqualified from participating in that transaction pursuant to subdivision A 1 of § 2.2-3112 or otherwise
98 elects to disqualify himself, shall forthwith make disclosure of the existence of his interest, including the
99 full name and address of the business and the address or parcel number for the real estate if the interest
100 involves a business or real estate, and his disclosure shall be reflected in the public records of the
101 agency for five years in the office of the administrative head of the officer's or employee's governmental
102 or advisory agency.

103 F.G. In addition to any disclosure required by subsections A and B, in each county and city and in
104 towns with populations in excess of 3,500, members of planning commissions, boards of zoning appeals,
105 real estate assessors, and all county, city and town managers or executive officers shall make annual
106 disclosures of all their interests in real estate located in the county, city or town in which they are
107 elected, appointed, or employed. Such disclosure shall include any business in which such persons own
108 an interest, or from which income is received, if the primary purpose of the business is to own, develop
109 or derive compensation through the sale, exchange or development of real estate in the county, city or
110 town. Such disclosure shall be filed as a condition to assuming office or employment, and thereafter
111 shall be filed annually with the clerk of the governing body of such county, city or town on or before
112 January 15. Such disclosures shall be filed and maintained as public records for five years. Forms for
113 the filing of such reports shall be prepared and distributed by the Secretary of the Commonwealth to the
114 clerk of each governing body.

115 G.H. An officer or employee of local government who is required to declare his interest pursuant to
116 subdivision A 2 of § 2.2-3112 shall declare his interest by stating (i) the transaction involved, (ii) the
117 nature of the officer's or employee's personal interest affected by the transaction, (iii) that he is a
118 member of a business, profession, occupation, or group the members of which are affected by the
119 transaction, and (iv) that he is able to participate in the transaction fairly, objectively, and in the public
120 interest. The officer or employee shall either make his declaration orally to be recorded in written
121 minutes of his agency or file a signed written declaration with the clerk or administrative head of his

governmental or advisory agency, as appropriate, who shall, in either case, retain and make available for public inspection such declaration for a period of five years from the date of recording or receipt. If reasonable time is not available to comply with the provisions of this subsection prior to participation in the transaction, the officer or employee shall prepare and file the required declaration by the end of the next business day. The officer or employee shall also orally disclose the existence of the interest during each meeting of the governmental or advisory agency at which the transaction is discussed and such disclosure shall be recorded in the minutes of the meeting.

H-1. An officer or employee of local government who is required to declare his interest pursuant to subdivision A 3 of § 2.2-3112, shall declare his interest by stating (i) the transaction involved, (ii) that a party to the transaction is a client of his firm, (iii) that he does not personally represent or provide services to the client, and (iv) that he is able to participate in the transaction fairly, objectively, and in the public interest. The officer or employee shall either make his declaration orally to be recorded in written minutes for his agency or file a signed written declaration with the clerk or administrative head of his governmental or advisory agency, as appropriate, who shall, in either case, retain and make available for public inspection such declaration for a period of five years from the date of recording or receipt. If reasonable time is not available to comply with the provisions of this subsection prior to participation in the transaction, the officer or employee shall prepare and file the required declaration by the end of the next business day.

§ 2.2-3117. Disclosure form.

The disclosure form to be used for filings required by *subsections A and D of § 2.2-3114 A and D*, and *subsections A and E of § 2.2-3115 A and D* shall be substantially as follows:

STATEMENT OF ECONOMIC INTERESTS.

Name
Office or position held or sought
Address
Names of members of immediate family

DEFINITIONS AND EXPLANATORY MATERIAL.

"Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise, association, trust or foundation, or any other individual or entity carrying on a business or profession, whether or not for profit.

"Close financial association" means an association in which the person filing shares significant financial involvement with an individual and the filer would reasonably be expected to be aware of the individual's business activities and would have access to the necessary records either directly or through the individual. "Close financial association" does not mean an association based on (i) the receipt of retirement benefits or deferred compensation from a business by which the person filing this statement is no longer employed, or (ii) the receipt of compensation for work performed by the person filing as an independent contractor of a business that represents an entity before any state governmental agency when the person filing has had no communications with the state governmental agency.

"Contingent liability" means a liability that is not presently fixed or determined, but may become fixed or determined in the future with the occurrence of some certain event.

"Dependent" means any person, whether or not related by blood or marriage, who receives from the officer or employee, or provides to the officer or employee, more than one-half of his financial support.

"Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item having monetary value. It includes services as well as gifts of transportation, local travel, lodgings and meals, whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the expense has been incurred. "Gift" shall not include any offer of a ticket or other admission or pass unless the ticket, admission, or pass is used. "Gift" shall not include honorary degrees and presents from relatives. "Relative" means the donee's spouse, child, uncle, aunt, niece, or nephew; a person to whom the donee is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother, or sister; or the donee's brother's or sister's spouse.

"Immediate family" means (i) a spouse and (ii) any other person residing in the same household as the officer or employee, who is a dependent of the officer or employee or of whom the officer or employee is a dependent.

TRUST. If you or your immediate family, separately or together, are the only beneficiaries of a trust, treat the trust's assets as if you own them directly. If you or your immediate family has a proportional interest in a trust, treat that proportion of the trust's assets as if you own them directly. For example, if you and your immediate family have a one-third interest in a trust, complete your Statement as if you own one-third of each of the trust's assets. If you or a member of your immediate family created a trust and can revoke it without the beneficiaries' consent, treat its assets as if you own them directly.

REPORT TO THE BEST OF INFORMATION AND BELIEF. Information required on this Statement must be provided on the basis of the best knowledge, information and belief of the individual

183 filing the Statement as of the date of this report unless otherwise stated.
184 COMPLETE ITEMS 1 THROUGH 10. REFER TO SCHEDULES ONLY IF DIRECTED.
185 You may attach additional explanatory information.
186 1. Offices and Directorships.
187 Are you or a member of your immediate family a paid officer or paid director of a business?
188 EITHER check NO / / OR check YES / / and complete Schedule A.
189 2. Personal Liabilities.
190 Do you or a member of your immediate family owe more than \$10,000 to any one creditor including
191 contingent liabilities? (Exclude debts to any government and loans secured by recorded liens on property
192 at least equal in value to the loan.)
193 EITHER check NO / / OR check YES / / and complete Schedule B.
194 3. Securities.
195 Do you or a member of your immediate family, directly or indirectly, separately or together, own
196 securities valued in excess of \$10,000 invested in one business? Account for mutual funds, limited
197 partnerships and trusts.
198 EITHER check NO / / OR check YES / / and complete Schedule C.
199 4. Payments for Talks, Meetings, and Publications.
200 During the past 12 months did you receive lodging, transportation, money, or anything else of value
201 with a combined value exceeding \$200 for a single talk, meeting, or published work in your capacity as
202 an officer or employee of your agency?
203 EITHER check NO / / OR check YES / / and complete Schedule D.
204 5. Gifts.
205 During the past 12 months did a business, government, or individual other than a relative or personal
206 friend (i) furnish you with any gift or entertainment at a single event, and the value received by you
207 exceeded \$50 in value or (ii) furnish you with gifts or entertainment in any combination and the value
208 received by you exceeded \$100 in total value; and for which you neither paid nor rendered services in
209 exchange? Account for entertainment events only if the average value per person attending the event
210 exceeded \$50 in value. Account for all business entertainment (except if related to your private
211 profession or occupation) even if unrelated to your official duties.
212 EITHER check NO / / OR check YES / / and complete Schedule E.
213 6. Salary and Wages.
214 List each employer that pays you or a member of your immediate family salary or wages in excess
215 of \$10,000 annually. (Exclude state or local government or advisory agencies.)
216 If no reportable salary or wages, check here / /.
217 _____
218 _____
219 _____
220 7. Business Interests.
221 Do you or a member of your immediate family, separately or together, operate your own business, or
222 own or control an interest in excess of \$10,000 in a business?
223 EITHER check NO / / OR check YES / / and complete Schedule F.
224 8. Payments for Representation and Other Services.
225 8A. Did you represent, excluding activity defined as lobbying in § 2.2-419, any businesses before any
226 state governmental agencies, excluding courts or judges, for which you received total compensation
227 during the past 12 months in excess of \$1,000, excluding compensation for other services to such
228 businesses and representation consisting solely of the filing of mandatory papers and subsequent
229 representation regarding the mandatory papers? (Officers and employees of local governmental and
230 advisory agencies do NOT need to answer this question or complete Schedule G-1.)
231 EITHER check NO / / OR check YES / / and complete Schedule G-1.
232 8B. Subject to the same exceptions as in 8A, did persons with whom you have a close financial
233 association (partners, associates or others) represent, excluding activity defined as lobbying in § 2.2-419,
234 any businesses before any state governmental agency for which total compensation was received during
235 the past 12 months in excess of \$1,000? (Officers and employees of local governmental and advisory
236 agencies do NOT need to answer this question or complete Schedule G-2.)
237 EITHER check NO / / OR check YES / / and complete Schedule G-2.
238 8C. Did you or persons with whom you have a close financial association furnish services to
239 businesses operating in Virginia pursuant to an agreement between you and such businesses, or between
240 persons with whom you have a close financial association and such businesses for which total
241 compensation in excess of \$1,000 was received during the past 12 months?
242 EITHER check NO / / OR check YES / / and complete Schedule G-3.
243 9. Real Estate.
244 9A. State Officers and Employees.

Do you or a member of your immediate family hold an interest, including a partnership interest, valued at \$10,000 or more in real property (other than your principal residence) for which you have not already listed the full address on Schedule F? Account for real estate held in trust.

EITHER check NO / / OR check YES / / and complete Schedule H-1.

9B. Local Officers and Employees.

Do you or a member of your immediate family hold an interest, including a partnership interest, or option, easement, or land contract, valued at \$10,000 or more in real property (other than your principal residence) for which you have not already listed the full address on Schedule F? Account for real estate held in trust.

EITHER check NO / / OR check YES / / and complete Schedule H-2.

10. Real Estate Contracts with Governmental Agencies.

Do you or a member of your immediate family hold an interest valued at more than \$10,000 in real estate, including a corporate, partnership, or trust interest, option, easement, or land contract, which real estate is the subject of a contract, whether pending or completed within the past 12 months, with a governmental agency? If the real estate contract provides for the leasing of the property to a governmental agency, do you or a member of your immediate family hold an interest in the real estate valued at more than \$1,000? Account for all such contracts whether or not your interest is reported in Schedule F, H-1, or H-2. This requirement to disclose an interest in a lease does not apply to an interest derived through an ownership interest in a business unless the ownership interest exceeds three percent of the total equity of the business.

EITHER check NO / / OR check YES / / and complete Schedule I.

Statements of Economic Interests are open for public inspection. AFFIRMATION BY ALL FILERS.

I swear or affirm that the foregoing information is full, true and correct to the best of my knowledge.

Signature

~~Commonwealth of Virginia~~

~~..... of to wit:~~

~~The foregoing disclosure form was acknowledged before me~~

~~This day of, 20. . . , by~~

~~Notary Public~~

~~My commission expires~~

~~-(Return only if needed to complete Statement.)~~

SCHEDULES

to

STATEMENT OF ECONOMIC INTERESTS.

NAME

SCHEDULE A - OFFICES AND DIRECTORSHIPS.

Identify each business of which you or a member of your immediate family is a paid officer or paid director.

Name of Business	Address of Business	Position Held
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

RETURN TO ITEM 2

SCHEDULE B - PERSONAL LIABILITIES.

Report personal liability by checking each category. Report only debts in excess of \$10,000. Do not report debts to any government. Do not report loans secured by recorded liens on property at least equal in value to the loan.

Report contingent liabilities below and indicate which debts are contingent.

1. My personal debts are as follows:

Check appropriate categories	Check one \$10,001 to \$50,000	More than \$50,000
Banks	_____	_____

304 Savings institutions _____
 305 Other loan or finance companies _____
 306 Insurance companies _____
 307 Stock, commodity or other brokerage companies _____
 308 Other businesses: _____
 309 (State principal business activity for each _____
 310 creditor.) _____
 311 _____
 312 _____
 313 Individual creditors: _____
 314 (State principal business or _____
 315 occupation of each creditor.) _____
 316 _____
 317 _____
 318 _____
 319 2. The personal debts of the members of my immediate family are as follows:
 320 _____
 321 _____

322	Check	Check one	
323	appropriate	\$10,001 to	More than
324	categories	\$50,000	\$50,000
325	Banks	_____	_____
326	Savings institutions	_____	_____
327	Other loan or finance companies	_____	_____
328	Insurance companies	_____	_____
329	Stock, commodity or other brokerage companies	_____	_____
330	Other businesses:		
331	(State principal business activity for each		
332	creditor.)	_____	_____
333		_____	_____
334		_____	_____
335	Individual creditors:		
336	(State principal business or		
337	occupation of each creditor.)	_____	_____
338		_____	_____
339		_____	_____
340			

341 RETURN TO ITEM 3

342 SCHEDULE C - SECURITIES.

343 "Securities" INCLUDES stocks, bonds, "Securities" EXCLUDES
 344 mutual funds, limited partnerships, certificates of deposit,
 345 and commodity futures contracts. money market funds, annuity
 346 contracts, and insurance policies.

347 Identify each business or Virginia governmental entity in which you or a member of your immediate
 348 family, directly or indirectly, separately or together, own securities valued in excess of \$10,000. Name
 349 each entity and type of security individually.

350 Do not list U.S. Bonds or other government securities not issued by the Commonwealth of Virginia
 351 or its authorities, agencies, or local governments. Do not list organizations that do not do business in
 352 this Commonwealth, but most major businesses conduct business in Virginia. Account for securities held
 353 in trust.

354 If no reportable securities, check here / /.

356	Check one				
357	Type of	Type of Security	\$10,001	\$50,001	More
358		(stocks, bonds, mutual	to	to	than
359	Name of Issuer	Entity	\$50,000	\$250,000	\$250,000
360		funds, etc.)			

RETURN TO ITEM 4

SCHEDULE D - PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.

List each source from which you received during the past 12 months lodging, transportation, money, or any other thing of value (excluding meals or drinks coincident with a meeting) with combined value exceeding \$200 for your presentation of a single talk, participation in one meeting, or publication of a work in your capacity as an officer or employee of your agency.

List payments or reimbursements by an advisory or governmental agency only for meetings or travel outside the Commonwealth.

List a payment even if you donated it to charity.

Do not list information about a payment if you returned it within 60 days or if you received it from an employer already listed under Item 6 or from a source of income listed on Schedule F.

If no payment must be listed, check here / /.

Payer	Approximate Value	Circumstances	Type of payment (e.g. honoraria, travel reimburse- ment, etc.)

RETURN TO ITEM 5

SCHEDULE E - GIFTS.

List each business, governmental entity, or individual that, during the past 12 months, (i) furnished you with any gift or entertainment at a single event and the value received by you exceeded \$50 in value, or (ii) furnished you with gifts or entertainment in any combination and the value received by you exceeded \$100 in total value; and for which you neither paid nor rendered services in exchange. List each such gift or event. Do not list entertainment events unless the average value per person attending the event exceeded \$50 in value. Do not list business entertainment related to your private profession or occupation. Do not list gifts or other things of value given by a relative or personal friend for reasons clearly unrelated to your public position. Do not list campaign contributions publicly reported as required by Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2 of the Code of Virginia.

Name of Business, Organization, or Individual	City or County and State	Gift or Event	Approximate Value

RETURN TO ITEM 6

SCHEDULE F - BUSINESS INTERESTS.

Complete this Schedule for each self-owned or family-owned business (including rental property, a farm, or consulting work), partnership, or corporation in which you or a member of your immediate family, separately or together, own an interest having a value in excess of \$10,000.

If the enterprise is owned or operated under a trade, partnership, or corporate name, list that name; otherwise, merely explain the nature of the enterprise. If rental property is owned or operated under a trade, partnership, or corporate name, list the name only; otherwise, give the address of each property. Account for business interests held in trust.

420								
421	Name of Business,						Gross Income	
422	Corporation,							
423	Partnership,	City or	Nature of Enterprise		\$50,001	More		
424	Farm; Address of	County	(farming, law, rental	\$50,000	to	than		
425	Rental Property	and State	property, etc.)	or less	\$250,000	\$250,000		
426								
427								
428								
429								
430								

RETURN TO ITEM 8

SCHEDULE G-1 - PAYMENTS FOR REPRESENTATION BY YOU.

List the businesses you represented, excluding activity defined as lobbying in § 2.2-419, before any state governmental agency, excluding any court or judge, for which you received total compensation during the past 12 months in excess of \$1,000, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers filed by you.

Identify each business, the nature of the representation and the amount received by dollar category from each such business. You may state the type, rather than name, of the business if you are required by law not to reveal the name of the business represented by you.

Only STATE officers and employees should complete this Schedule.

443									
444			Pur-				Amount Received		
445			pose						
446	Name	Type	of	Name					
447	of	of	Repre-	of	\$1,001	\$10,001	\$50,001	\$100,001	\$250,001
448	Busi-	Busi-	enta-	Agen-	to	to	to	to	and
449	ness	ness	tion	cy	\$10,000	\$50,000	\$100,000	\$250,000	over
450									
451									
452									
453									
454									

If you have received \$250,001 or more from a single business within the reporting period, indicate the amount received, rounded to the nearest \$10,000.

Amount Received: _____.

SCHEDULE G-2 - PAYMENTS FOR REPRESENTATION BY ASSOCIATES.

List the businesses that have been represented, excluding activity defined as lobbying in § 2.2-419, before any state governmental agency, excluding any court or judge, by persons who are your partners, associates or others with whom you have a close financial association and who received total compensation in excess of \$1,000 for such representation during the past 12 months, excluding representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers filed by your partners, associates or others with whom you have a close financial association.

Identify such businesses by type and also name the state governmental agencies before which such person appeared on behalf of such businesses.

Only STATE officers and employees should complete this Schedule.

469									
470									
471	Type of business			Name of state governmental agency					
472									
473									
474									
475									
476									

SCHEDULE G-3 - PAYMENTS FOR SERVICES GENERALLY.

Indicate below types of businesses that operate in Virginia to which services were furnished by you

or persons with whom you have a close financial association pursuant to an agreement between you and such businesses, or between persons with whom you have a close financial association and such businesses and for which total compensation in excess of \$1,000 was received during the past 12 months.

Identify opposite each category of businesses listed below (i) the type of business, (ii) the type of service rendered and (iii) the value by dollar category of the compensation received for all businesses falling within each category.

	Check if ser- vices were ren- dered	Type of ser- vice ren- dered	\$1,001 to \$10,000	\$10,001 to \$50,000	\$50,001 to \$100,000	\$100,001 to \$250,000	\$250,001 and over
Electric utilities							
Gas utilities							
Telephone utilities							
Water utilities							
Cable television companies							
Interstate transportation companies							
Intrastate transportation companies							
Oil or gas retail companies							
Banks							
Savings institutions							
Loan or finance companies							
Manufacturing companies (state type of product, e.g., textile, furniture, etc.)							
Mining companies							
Life insurance companies							
Casualty insurance companies							
Other insurance companies							
Retail companies							
Beer, wine or liquor companies or distributors							
Trade associations							
Professional associations							
Associations of public employees or officials							
Counties, cities							

536	or towns	_____	_____	_____	_____	_____	_____
537	Labor organizations	_____	_____	_____	_____	_____	_____
538	Other	_____	_____	_____	_____	_____	_____
539	_____						

RETURN TO ITEM 9

SCHEDULE H-1 - REAL ESTATE - STATE OFFICERS AND EMPLOYEES.

542 List real estate other than your principal residence in which you or a member of your immediate
 543 family holds an interest, including a partnership interest, option, easement, or land contract, valued at
 544 \$10,000 or more. Each parcel shall be listed individually.

545	_____		
546			
547		Describe the type of real	
548	List each location	estate you own in each	If the real estate is
549	(state, and county	location (business, recre-	owned or recorded in
550	or city) where you	ational, apartment, com-	a name other than your
551	own real estate.	mercial, open land, etc.).	own, list that name.
552	_____	_____	_____
553	_____	_____	_____
554	_____	_____	_____
555	_____	_____	_____
556	_____	_____	_____

SCHEDULE H-2 - REAL ESTATE - LOCAL OFFICERS AND EMPLOYEES.

559 List real estate other than your principal residence in which you or a member of your immediate
 560 family holds an interest, including a partnership interest or option, easement, or land contract, valued at
 561 \$10,000 or more. Each parcel shall be listed individually. Also list the names of any co-owners of such
 562 property, if applicable.

563	_____			
564				
565		Describe the type		
566		of real estate		
567		you own in		
568		each location	If the real estate	
569	List each location	(business,	is owned or rec-	
570	(state, and county	recreational,	orded in a name	
571	or city) where	apartment, com-	other than your	List the names
572	you own real	mercial, open	own, list that	of any co-owners,
573	estate.	land, etc.).	name.	if applicable.
574	_____	_____	_____	_____
575	_____	_____	_____	_____
576	_____	_____	_____	_____
577	_____	_____	_____	_____
578	_____	_____	_____	_____

SCHEDULE I - REAL ESTATE CONTRACTS WITH GOVERNMENTAL AGENCIES.

581 List all contracts, whether pending or completed within the past 12 months, with a governmental
 582 agency for the sale or exchange of real estate in which you or a member of your immediate family
 583 holds an interest, including a corporate, partnership or trust interest, option, easement, or land contract,
 584 valued at \$10,000 or more. List all contracts with a governmental agency for the lease of real estate in
 585 which you or a member of your immediate family holds such an interest valued at \$1,000 or more. This
 586 requirement to disclose an interest in a lease does not apply to an interest derived through an ownership
 587 interest in a business unless the ownership interest exceeds three percent of the total equity of the
 588 business.

589 State officers and employees report contracts with state agencies.

590 Local officers and employees report contracts with local agencies.

591 _____

592

593 List your real estate

594	interest and the		
595	person or entity,		
596	including the type		
597	of entity, which		
598	is party to		
599	the contract.		State the annual
600	Describe any		income from the
601	management role and	List each governmental	contract, and the
602	the percentage	agency which is a	amount, if any, of
603	ownership	party to the contract	income you or any
604	interest you or your	and indicate the	immediate family
605	immediate family	county or city where	member derives
606	member has in the real	the real estate	annually from the
607	estate or entity.	is located.	contract.
608	_____	_____	_____
609	_____	_____	_____
610	_____	_____	_____
611	_____	_____	_____
612	_____	_____	_____
613	_____	_____	_____

614 § 2.2-3120. Knowing violation of chapter a misdemeanor.

615 Any person who knowingly violates any of the provisions of Articles 2 through 6 (§§ 2.2-3102

616 through 2.2-3119) of this chapter shall be guilty of a Class 1 misdemeanor, except that any member of a

617 local governing body who knowingly violates *subsection A of § 2.2-3112 A* or *subsection D or F of*

618 *§ 2.2-3115 C or E* shall be guilty of a Class 3 misdemeanor. A knowing violation under this section is

619 one in which the person engages in conduct, performs an act or refuses to perform an act when he

620 knows that the conduct is prohibited or required by this chapter.