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HOUSE BILL NO. 2301

Offered January 12, 2011

Prefiled January 12, 2011

A BILL to amend and reenact §§ 2.2-3117 and 30-111 of the Code of Virginia, relating to the State and Local Government Conflict of Interests Act and the General Assembly Conflicts of Interests Act; disclosure of certain professional services income; advocacy.

Patron—Englin

Referred to Committee on Rules

Be it enacted by the General Assembly of Virginia:

1. That §§ 2.2-3117 and 30-111 of the Code of Virginia are amended and reenacted as follows:

§ 2.2-3117. Disclosure form.

The disclosure form to be used for filings required by § 2.2-3114 A and D, and § 2.2-3115 A and D shall be substantially as follows:

- Name
- Office or position held or sought
- Address
- Names of members of immediate family

DEFINITIONS AND EXPLANATORY MATERIAL.

"Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise, association, trust or foundation, or any other individual or entity carrying on a business or profession, whether or not for profit.

"Close financial association" means an association in which the person filing shares significant financial involvement with an individual and the filer would reasonably be expected to be aware of the individual's business activities and would have access to the necessary records either directly or through the individual. "Close financial association" does not mean an association based on (i) the receipt of retirement benefits or deferred compensation from a business by which the person filing this statement is no longer employed, or (ii) the receipt of compensation for work performed by the person filing as an independent contractor of a business that represents an entity before any state governmental agency when the person filing has had no communications with the state governmental agency.

"Contingent liability" means a liability that is not presently fixed or determined, but may become fixed or determined in the future with the occurrence of some certain event.

"Dependent" means any person, whether or not related by blood or marriage, who receives from the officer or employee, or provides to the officer or employee, more than one-half of his financial support.

"Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item having monetary value. It includes services as well as gifts of transportation, local travel, lodgings and meals, whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the expense has been incurred. "Gift" shall not include any offer of a ticket or other admission or pass unless the ticket, admission, or pass is used. "Gift" shall not include honorary degrees and presents from relatives. "Relative" means the donee's spouse, child, uncle, aunt, niece, or nephew; a person to whom the donee is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother, or sister; or the donee's brother's or sister's spouse.

"Immediate family" means (i) a spouse and (ii) any other person residing in the same household as the officer or employee, who is a dependent of the officer or employee or of whom the officer or employee is a dependent.

TRUST. If you or your immediate family, separately or together, are the only beneficiaries of a trust, treat the trust's assets as if you own them directly. If you or your immediate family has a proportional interest in a trust, treat that proportion of the trust's assets as if you own them directly. For example, if you and your immediate family have a one-third interest in a trust, complete your Statement as if you own one-third of each of the trust's assets. If you or a member of your immediate family created a trust and can revoke it without the beneficiaries' consent, treat its assets as if you own them directly.

REPORT TO THE BEST OF INFORMATION AND BELIEF. Information required on this Statement must be provided on the basis of the best knowledge, information and belief of the individual filing the Statement as of the date of this report unless otherwise stated.

COMPLETE ITEMS 1 THROUGH 10. REFER TO SCHEDULES ONLY IF DIRECTED.

You may attach additional explanatory information.

1. Offices and Directorships.

INTRODUCED

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59 Are you or a member of your immediate family a paid officer or paid director of a business?

60 EITHER check NO // OR check YES // and complete Schedule A.

61 2. Personal Liabilities.

62 Do you or a member of your immediate family owe more than \$10,000 to any one creditor including
63 contingent liabilities? (Exclude debts to any government and loans secured by recorded liens on property
64 at least equal in value to the loan.)

65 EITHER check NO // OR check YES // and complete Schedule B.

66 3. Securities.

67 Do you or a member of your immediate family, directly or indirectly, separately or together, own
68 securities valued in excess of \$10,000 invested in one business? Account for mutual funds, limited
69 partnerships and trusts.

70 EITHER check NO // OR check YES // and complete Schedule C.

71 4. Payments for Talks, Meetings, and Publications.

72 During the past 12 months did you receive lodging, transportation, money, or anything else of value
73 with a combined value exceeding \$200 for a single talk, meeting, or published work in your capacity as
74 an officer or employee of your agency?

75 EITHER check NO // OR check YES // and complete Schedule D.

76 5. Gifts.

77 During the past 12 months did a business, government, or individual other than a relative or personal
78 friend (i) furnish you with any gift or entertainment at a single event, and the value received by you
79 exceeded \$50 in value or (ii) furnish you with gifts or entertainment in any combination and the value
80 received by you exceeded \$100 in total value; and for which you neither paid nor rendered services in
81 exchange? Account for entertainment events only if the average value per person attending the event
82 exceeded \$50 in value. Account for all business entertainment (except if related to your private
83 profession or occupation) even if unrelated to your official duties.

84 EITHER check NO // OR check YES // and complete Schedule E.

85 6. Salary and Wages.

86 List each employer that pays you or a member of your immediate family salary or wages in excess
87 of \$10,000 annually. (Exclude state or local government or advisory agencies.)

88 If no reportable salary or wages, check here // .

89

90

91

92 7. Business Interests.

93 Do you or a member of your immediate family, separately or together, operate your own business, or
94 own or control an interest in excess of \$10,000 in a business?

95 EITHER check NO // OR check YES // and complete Schedule F.

96 8. Payments for Representation and Other Services.

97 8A. Did you represent, excluding activity defined as lobbying in § 2.2-419, any businesses before any
98 state governmental agencies, excluding courts or judges, for which you received total compensation
99 during the past 12 months in excess of \$1,000, excluding compensation for other services to such
100 businesses and representation consisting solely of the filing of mandatory papers and subsequent
101 representation regarding the mandatory papers? (Officers and employees of local governmental and
102 advisory agencies do NOT need to answer this question or complete Schedule G-1.)

103 EITHER check NO // OR check YES // and complete Schedule G-1.

104 8B. Subject to the same exceptions as in 8A, did persons with whom you have a close financial
105 association (partners, associates or others) represent, excluding activity defined as lobbying in § 2.2-419,
106 any businesses before any state governmental agency for which total compensation was received during
107 the past 12 months in excess of \$1,000? (Officers and employees of local governmental and advisory
108 agencies do NOT need to answer this question or complete Schedule G-2.)

109 EITHER check NO // OR check YES // and complete Schedule G-2.

110 8C. Did you or persons with whom you have a close financial association furnish services to
111 businesses operating in Virginia pursuant to an agreement between you and such businesses, or between
112 persons with whom you have a close financial association and such businesses for which total
113 compensation in excess of \$1,000 was received during the past 12 months?

114 EITHER check NO // OR check YES // and complete Schedule G-3.

115 8D. Did you, your employer, a member of your immediate family, a business for which you or a
116 member of your family is a paid officer or director, or persons with whom you have a close financial
117 association receive total compensation in excess of \$1,000 during the past 12 months for any activity
118 undertaken specifically intended to influence public policy or public opinion in the Commonwealth or
119 elsewhere?

120 EITHER check NO // OR check YES // and complete Schedule G-4.

121 9. Real Estate.
 122 9A. State Officers and Employees.
 123 Do you or a member of your immediate family hold an interest, including a partnership interest,
 124 valued at \$10,000 or more in real property (other than your principal residence) for which you have not
 125 already listed the full address on Schedule F? Account for real estate held in trust.
 126 EITHER check NO // OR check YES // and complete Schedule H-1.

127 9B. Local Officers and Employees.
 128 Do you or a member of your immediate family hold an interest, including a partnership interest, or
 129 option, easement, or land contract, valued at \$10,000 or more in real property (other than your principal
 130 residence) for which you have not already listed the full address on Schedule F? Account for real estate
 131 held in trust.
 132 EITHER check NO // OR check YES // and complete Schedule H-2.

133 10. Real Estate Contracts with Governmental Agencies.
 134 Do you or a member of your immediate family hold an interest valued at more than \$10,000 in real
 135 estate, including a corporate, partnership, or trust interest, option, easement, or land contract, which real
 136 estate is the subject of a contract, whether pending or completed within the past 12 months, with a
 137 governmental agency? If the real estate contract provides for the leasing of the property to a
 138 governmental agency, do you or a member of your immediate family hold an interest in the real estate
 139 valued at more than \$1,000? Account for all such contracts whether or not your interest is reported in
 140 Schedule F, H-1, or H-2. This requirement to disclose an interest in a lease does not apply to an interest
 141 derived through an ownership interest in a business unless the ownership interest exceeds three percent
 142 of the total equity of the business.

143 EITHER check NO // OR check YES // and complete Schedule I.
 144 Statements of Economic Interests are open for public inspection.
 145 AFFIRMATION BY ALL FILERS.
 146 I swear or affirm that the foregoing information is full, true and correct to the best of my knowledge.

147 Signature
 148 Commonwealth of Virginia
 149 of to wit:
 150 The foregoing disclosure form was acknowledged before me
 151 This day of, 20. . . , by
 152 Notary Public
 153 My commission expires
 154 (Return only if needed to complete Statement.)

SCHEDULES

to STATEMENT OF ECONOMIC INTERESTS.

158 NAME

159 SCHEDULE A - OFFICES AND DIRECTORSHIPS.
 160 Identify each business of which you or a member of your immediate family is a paid officer or paid
 161 director.

162 _____

164 Name of Business	Address of Business	Position Held
165 _____	_____	_____
166 _____	_____	_____
167 _____	_____	_____
168 _____	_____	_____

169 _____

RETURN TO ITEM 2

170
 171 SCHEDULE B - PERSONAL LIABILITIES.
 172 Report personal liability by checking each category. Report only debts in excess of \$10,000. Do not
 173 report debts to any government. Do not report loans secured by recorded liens on property at least equal
 174 in value to the loan.

175 Report contingent liabilities below and indicate which debts are contingent.
 176 1. My personal debts are as follows:

177 _____

178 Check	Check one
179	

180	appropriate	\$10,001 to	More than
181	categories	\$50,000	\$50,000
182	Banks	_____	_____
183	Savings institutions	_____	_____
184	Other loan or finance companies	_____	_____
185	Insurance companies	_____	_____
186	Stock, commodity or other brokerage companies	_____	_____
187	Other businesses:		
188	(State principal business activity for each		
189	creditor.)	_____	_____
190	_____	_____	_____
191	_____	_____	_____
192	Individual creditors:		
193	(State principal business or		
194	occupation of each creditor.)	_____	_____
195	_____	_____	_____
196	_____	_____	_____
197	_____	_____	_____

2. The personal debts of the members of my immediate family are as follows:

201	Check	Check one	
202	appropriate	\$10,001 to	More than
203	categories	\$50,000	\$50,000
204	Banks	_____	_____
205	Savings institutions	_____	_____
206	Other loan or finance companies	_____	_____
207	Insurance companies	_____	_____
208	Stock, commodity or other brokerage companies	_____	_____
209	Other businesses:		
210	(State principal business activity for each		
211	creditor.)	_____	_____
212	_____	_____	_____
213	_____	_____	_____
214	Individual creditors:		
215	(State principal business or		
216	occupation of each creditor.)	_____	_____
217	_____	_____	_____
218	_____	_____	_____
219	_____	_____	_____

RETURN TO ITEM 3

SCHEDULE C - SECURITIES.

"Securities" INCLUDES stocks, bonds, mutual funds, limited partnerships, and commodity futures contracts. "Securities" EXCLUDES certificates of deposit, money market funds, annuity contracts, and insurance policies.

Identify each business or Virginia governmental entity in which you or a member of your immediate family, directly or indirectly, separately or together, own securities valued in excess of \$10,000. Name each entity and type of security individually.

Do not list U.S. Bonds or other government securities not issued by the Commonwealth of Virginia or its authorities, agencies, or local governments. Do not list organizations that do not do business in this Commonwealth, but most major businesses conduct business in Virginia. Account for securities held in trust.

If no reportable securities, check here / /.

Check one

237		Type of Security	\$10,001	\$50,001	More
238	Type of	(stocks, bonds, mutual	to	to	than
239	Name of Issuer	Entity	\$50,000	\$250,000	\$250,000
240					
241					
242					
243					
244					

RETURN TO ITEM 4

SCHEDULE D - PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.

List each source from which you received during the past 12 months lodging, transportation, money, or any other thing of value (excluding meals or drinks coincident with a meeting) with combined value exceeding \$200 for your presentation of a single talk, participation in one meeting, or publication of a work in your capacity as an officer or employee of your agency.

List payments or reimbursements by an advisory or governmental agency only for meetings or travel outside the Commonwealth.

List a payment even if you donated it to charity.

Do not list information about a payment if you returned it within 60 days or if you received it from an employer already listed under Item 6 or from a source of income listed on Schedule F.

If no payment must be listed, check here // .

259			Type of payment
260			(e.g. honoraria,
261			travel reimburse-
262	Payer	Approximate Value	ment, etc.)
263			
264			
265			
266			
267			

RETURN TO ITEM 5

SCHEDULE E - GIFTS.

List each business, governmental entity, or individual that, during the past 12 months, (i) furnished you with any gift or entertainment at a single event and the value received by you exceeded \$50 in value, or (ii) furnished you with gifts or entertainment in any combination and the value received by you exceeded \$100 in total value; and for which you neither paid nor rendered services in exchange.

List each such gift or event. Do not list entertainment events unless the average value per person attending the event exceeded \$50 in value. Do not list business entertainment related to your private profession or occupation. Do not list gifts or other things of value given by a relative or personal friend for reasons clearly unrelated to your public position. Do not list campaign contributions publicly reported as required by Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2 of the Code of Virginia.

281	Name of Business,	City or		
282	Organization, or	County	Gift or	
283	Individual	and State	Event	Approximate Value
284				
285				
286				
287				
288				

RETURN TO ITEM 6

SCHEDULE F - BUSINESS INTERESTS.

Complete this Schedule for each self-owned or family-owned business (including rental property, a farm, or consulting work), partnership, or corporation in which you or a member of your immediate family, separately or together, own an interest having a value in excess of \$10,000.

If the enterprise is owned or operated under a trade, partnership, or corporate name, list that name;

295 otherwise, merely explain the nature of the enterprise. If rental property is owned or operated under a
296 trade, partnership, or corporate name, list the name only; otherwise, give the address of each property.
297 Account for business interests held in trust.

298 _____

299 _____

300 Name of Business,			Gross Income		
301 Corporation,	City or	Nature of Enterprise	\$50,001	to	More
302 Partnership,	County	(farming, law, rental	\$50,000	to	than
303 Farm; Address of	and State	property, etc.)	or less	\$250,000	\$250,000
304 Rental Property					
305	_____	_____	_____	_____	_____
306	_____	_____	_____	_____	_____
307	_____	_____	_____	_____	_____
308	_____	_____	_____	_____	_____
309	_____	_____	_____	_____	_____

310 RETURN TO ITEM 8

311 SCHEDULE G-1 - PAYMENTS FOR REPRESENTATION BY YOU.

312 List the businesses you represented, excluding activity defined as lobbying in § 2.2-419, before any
313 state governmental agency, excluding any court or judge, for which you received total compensation
314 during the past 12 months in excess of \$1,000, excluding compensation for other services to such
315 businesses and representation consisting solely of the filing of mandatory papers and subsequent
316 representation regarding the mandatory papers filed by you.

317 Identify each business, the nature of the representation and the amount received by dollar category
318 from each such business. You may state the type, rather than name, of the business if you are required
319 by law not to reveal the name of the business represented by you.

320 Only STATE officers and employees should complete this Schedule.

321 _____

322 _____

323				Amount Received				
324 Name	Type	Pur- pose of	Name	\$1,001	\$10,001	\$50,001	\$100,001	\$250,001
325 of	of	Repre-	of	to	to	to	to	and
326 Busi-	Busi-	senta-	Agen-	\$10,000	\$50,000	\$100,000	\$250,000	over
327 ness	ness	tion	cy					
328	_____	_____	_____	_____	_____	_____	_____	_____
329	_____	_____	_____	_____	_____	_____	_____	_____
330	_____	_____	_____	_____	_____	_____	_____	_____
331	_____	_____	_____	_____	_____	_____	_____	_____
332	_____	_____	_____	_____	_____	_____	_____	_____
333	_____	_____	_____	_____	_____	_____	_____	_____

334 If you have received \$250,001 or more from a single business within the reporting period, indicate
335 the amount received, rounded to the nearest \$10,000. Amount Received:_____.

336 SCHEDULE G-2 - PAYMENTS FOR REPRESENTATION BY ASSOCIATES.

337 List the businesses that have been represented, excluding activity defined as lobbying in § 2.2-419,
338 before any state governmental agency, excluding any court or judge, by persons who are your partners,
339 associates or others with whom you have a close financial association and who received total
340 compensation in excess of \$1,000 for such representation during the past 12 months, excluding
341 representation consisting solely of the filing of mandatory papers and subsequent representation
342 regarding the mandatory papers filed by your partners, associates or others with whom you have a close
343 financial association.

344 Identify such businesses by type and also name the state governmental agencies before which such
345 person appeared on behalf of such businesses.

346 Only STATE officers and employees should complete this Schedule.

347 _____

348 _____

349 Type of business	Name of state governmental agency
350	_____
351	_____
352	_____
353	_____

469 _____
 470 _____
 471 _____
 472 _____
 473 _____
 474 _____

475 SCHEDULE I - REAL ESTATE CONTRACTS WITH GOVERNMENTAL AGENCIES.

476 List all contracts, whether pending or completed within the past 12 months, with a governmental
 477 agency for the sale or exchange of real estate in which you or a member of your immediate family
 478 holds an interest, including a corporate, partnership or trust interest, option, easement, or land contract,
 479 valued at \$10,000 or more. List all contracts with a governmental agency for the lease of real estate in
 480 which you or a member of your immediate family holds such an interest valued at \$1,000 or more. This
 481 requirement to disclose an interest in a lease does not apply to an interest derived through an ownership
 482 interest in a business unless the ownership interest exceeds three percent of the total equity of the
 483 business.

484 State officers and employees report contracts with state agencies.

485 Local officers and employees report contracts with local agencies.

486 _____

487
 488 List your real estate
 489 interest and the
 490 person or entity,
 491 including the type
 492 of entity, which
 493 is party to
 494 the contract.

State the annual
 income from the
 contract, and the
 amount, if any, of
 income you or any
 immediate family
 member derives
 annually from the
 contract.

495 Describe any
 496 management role and
 497 the percentage
 498 ownership
 499 interest you or your
 500 immediate family
 501 member has in the real
 502 estate or entity.

List each governmental
 agency which is a
 party to the contract
 and indicate the
 county or city where
 the real estate
 is located.

503 _____
 504 _____
 505 _____
 506 _____
 507 _____
 508 _____

509 § 30-111. Disclosure form.

510 A. The disclosure form to be used for filings required by subsections A and B of § 30-110 shall be
 511 substantially as follows:

512 STATEMENT OF ECONOMIC INTERESTS.

- 513 Name
- 514 Office or position held or sought
- 515 Home address
- 516 Names of members of immediate family

517 DEFINITIONS AND EXPLANATORY MATERIAL.

518 "Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise,
 519 association, trust or foundation, or any other individual or entity carrying on a business or profession,
 520 whether or not for profit.

521 "Close financial association" means an association in which the filer shares significant financial
 522 involvement with an individual and the filer would reasonably be expected to be aware of the
 523 individual's business activities and would have access to the necessary records either directly or through
 524 the individual. "Close financial association" does not mean an association based on (i) the receipt of
 525 retirement benefits or deferred compensation from a business by which the legislator is no longer
 526 employed, or (ii) the receipt of compensation for work performed by the legislator as an independent

527 contractor of a business that represents an entity before any state governmental agency when the
528 legislator has had no communications with the state governmental agency.

529 "Contingent liability" means a liability that is not presently fixed or determined, but may become
530 fixed or determined in the future with the occurrence of some certain event.

531 "Dependent" means any person, whether or not related by blood or marriage, who receives from the
532 legislator, or provides to the legislator, more than one-half of his financial support.

533 "Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item
534 having monetary value. It includes services as well as gifts of transportation, local travel, lodgings and
535 meals, whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the
536 expense has been incurred. "Gift" shall not include any offer of a ticket or other admission or pass
537 unless the ticket, admission, or pass is used. "Gift" shall not include honorary degrees and presents from
538 relatives. "Relative" means the donee's spouse, child, uncle, aunt, niece, or nephew; a person to whom
539 the donee is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother,
540 or sister; or the donee's brother's or sister's spouse.

541 "Immediate family" means (i) a spouse and (ii) any other person residing in the same household as
542 the legislator, who is a dependent of the legislator or of whom the legislator is a dependent.

543 "Lobbyist relationship" means (i) an engagement, agreement, or representation that relates to legal
544 services, consulting services, or public relations services, whether gratuitous or for compensation,
545 between a member or member-elect and any person who is, or has been within the prior calendar year,
546 registered as a lobbyist with the Secretary of the Commonwealth, or (ii) a greater than three percent
547 ownership interest by a member or member-elect in a business that employs, or engages as an
548 independent contractor, any person who is, or has been within the prior calendar year, registered as a
549 lobbyist with the Secretary of the Commonwealth. The disclosure of a lobbyist relationship shall not (i)
550 constitute a waiver of any attorney-client or other privilege, (ii) require a waiver of any attorney-client
551 or other privilege for a third party, or (iii) be required where a member or member-elect is employed or
552 engaged by a person and such person also employs or engages a person in a lobbyist relationship so
553 long as the member or member-elect has no financial interest in the lobbyist relationship.

554 TRUST. If you or your immediate family, separately or together, are the only beneficiaries of a trust,
555 treat the trust's assets as if you own them directly. If you or your immediate family has a proportional
556 interest in a trust, treat that proportion of the trust's assets as if you own them directly. For example, if
557 you and your immediate family have a one-third interest in a trust, complete your Statement as if you
558 own one-third of each of the trust's assets. If you or a member of your immediate family created a trust
559 and can revoke it without the beneficiaries' consent, treat its assets as if you own them directly.

560 REPORT TO THE BEST OF INFORMATION AND BELIEF. Information required on this
561 Statement must be provided on the basis of the best knowledge, information and belief of the individual
562 filing the Statement as of the date of this report unless otherwise stated.

563 COMPLETE ITEMS 1 THROUGH 11. REFER TO SCHEDULES ONLY IF DIRECTED.

564 You may attach additional explanatory information.

565 1. Offices and Directorships.

566 Are you or a member of your immediate family a paid officer or paid director of a business?

567 EITHER check NO // OR check YES // and complete Schedule A.

568 2. Personal Liabilities.

569 Do you or a member of your immediate family owe more than \$10,000 to any one creditor including
570 contingent liabilities? (Exclude debts to any government and loans secured by recorded liens on property
571 at least equal in value to the loan.)

572 EITHER check NO // OR check YES // and complete Schedule B.

573 3. Securities.

574 Do you or a member of your immediate family, directly or indirectly, separately or together, own
575 securities valued in excess of \$10,000 invested in one business? Account for mutual funds, limited
576 partnerships and trusts.

577 EITHER check NO // OR check YES // and complete Schedule C.

578 4. Payments for Talks, Meetings, and Publications.

579 During the past 12 months did you receive lodging, transportation, money, or anything else of value
580 with a combined value exceeding \$200 for a single talk, meeting, or published work in your capacity as
581 a legislator? Do not include payments and reimbursements from the Commonwealth for meetings
582 attended in your capacity as a legislator; see Question 11 and Schedule D2 to report such meetings.

583 EITHER check NO // OR check YES // and complete Schedule D.

584 5. Gifts.

585 During the past 12 months did a business, government, or individual other than a relative or personal
586 friend (i) furnish you with any gift or entertainment at a single event, and the value received by you
587 exceeded \$50 in value or (ii) furnish you with gifts or entertainment in any combination and the value
588 received by you exceeded \$100 in total value; and for which you neither paid nor rendered services in

589 exchange? Account for entertainment events only if the average value per person attending the event
590 exceeded \$50 in value. Account for all business entertainment (except if related to your private
591 profession or occupation) even if unrelated to your official duties.

592 EITHER check NO // OR check YES // and complete Schedule E.

593 6. Salary and Wages.

594 List each employer that pays you or a member of your immediate family salary or wages in excess
595 of \$10,000 annually. (Exclude any salary received as a member of the General Assembly pursuant to
596 § 30-19.11.)

597 If no reportable salary or wages, check here // .
598 _____
599 _____
600 _____

601 7. Business Interests and Lobbyist Relationships.

602 7A. Do you or a member of your immediate family, separately or together, operate your own
603 business, or own or control an interest in excess of \$10,000 in a business?

604 EITHER check NO // OR check YES // and complete Schedule F-1.

605 7B. Do you have a lobbyist relationship as that term is defined above?

606 EITHER check NO // OR check YES // and complete Schedule F-2.

607 8. Payments for Representation and Other Services.

608 8A. Did you represent any businesses before any state governmental agencies, excluding courts or
609 judges, for which you received total compensation during the past 12 months in excess of \$1,000,
610 excluding compensation for other services to such businesses and representation consisting solely of the
611 filing of mandatory papers and subsequent representation regarding the mandatory papers?

612 EITHER check NO // OR check YES // and complete Schedule G-1.

613 8B. Subject to the same exceptions as in 8A, did persons with whom you have a close financial
614 association (partners, associates or others) represent any businesses before any state governmental agency
615 for which total compensation was received during the past 12 months in excess of \$1,000?

616 EITHER check NO // OR check YES // and complete Schedule G-2.

617 8C. Did you or persons with whom you have a close financial association furnish services to
618 businesses operating in Virginia, pursuant to an agreement between you and such businesses, or between
619 persons with whom you have a close financial association and such businesses for which total
620 compensation in excess of \$1,000 was received during the past 12 months? Services reported under this
621 provision shall not include services involving the representation of businesses that are reported under
622 question 8A or 8B above.

623 EITHER check NO // OR check YES // and complete Schedule G-3.

624 8D. *Did you, your employer, a member of your immediate family, a business for which you or a*
625 *member of your family is a paid officer or director, or persons with whom you have a close financial*
626 *association receive total compensation in excess of \$1,000 during the past 12 months for any activity*
627 *undertaken specifically intended to influence public policy or public opinion in the Commonwealth or*
628 *elsewhere?*

629 EITHER check NO // OR check YES // and complete Schedule G-4.

630 9. Real Estate.

631 Do you or a member of your immediate family hold an interest, including a partnership interest,
632 valued at \$10,000 or more in real property (other than your principal residence) for which you have not
633 already listed the full address on Schedule F? Account for real estate held in trust.

634 EITHER check NO // OR check YES // and complete Schedule H.

635 10. Real Estate Contracts with State Governmental Agencies.

636 Do you or a member of your immediate family hold an interest valued at more than \$10,000 in real
637 estate, including a corporate, partnership, or trust interest, option, easement, or land contract, which real
638 estate is the subject of a contract, whether pending or completed within the past 12 months, with a state
639 governmental agency?

640 If the real estate contract provides for the leasing of the property to a state governmental agency, do
641 you or a member of your immediate family hold an interest in the real estate, including a corporate,
642 partnership, or trust interest, option, easement, or land contract valued at more than \$1,000? Account for
643 all such contracts whether or not your interest is reported in Schedule F or H. This requirement to
644 disclose an interest in a lease does not apply to an interest derived through an ownership interest in a
645 business unless the ownership interest exceeds three percent of the total equity of the business.

646 EITHER check NO // OR check YES // and complete Schedule I.

647 11. Payments by the Commonwealth for Meetings.

648 During the past 12 months did you receive lodging, transportation, money, or anything else of value
649 with a combined value exceeding \$200 from the Commonwealth for a single meeting attended

650 out-of-state in your capacity as a legislator? Do not include reimbursements from the Commonwealth for
651 meetings attended in the Commonwealth.

652 EITHER check NO // OR check YES // and complete Schedule D-2.

653 Statements of Economic Interests are open for public inspection.

654 AFFIRMATION.

655 In accordance with the rules of the house in which I serve, if I receive a request that this disclosure
656 statement be corrected, augmented, or revised in any respect, I hereby pledge that I shall respond
657 promptly to the request. I understand that if a determination is made that the statement is insufficient, I
658 will satisfy such request or be subjected to disciplinary action of my house.

659 I swear or affirm that the foregoing information is full, true and correct to the best of my knowledge.

660 Signature _____

661 Commonwealth of Virginia

662 _____ of _____ to wit:

663 The foregoing disclosure form was acknowledged before me

664 This _____ day of _____, 20____, by _____

665 Notary Public

666 My commission expires _____

667 (Return only if needed to complete Statement.)

668 SCHEDULES

669 TO

670 STATEMENT OF ECONOMIC INTERESTS.

671 NAME _____

672 SCHEDULE A - OFFICES AND DIRECTORSHIPS.

673 Identify each business of which you or a member of your immediate family is a paid officer or paid
674 director.

675 _____

676 _____

677 Name of Business Address of Business Position Held

678 _____

679 _____

680 _____

681 _____

682 _____

683 _____

684 _____

685 SCHEDULE B - PERSONAL LIABILITIES.

686 Report personal liability by checking each category. Report only debts in excess of \$10,000. Do not
687 report debts to any government. Do not report loans secured by recorded liens on property at least equal
688 in value to the loan.

688 Report contingent liabilities below and indicate which debts are contingent.

689 1. My personal debts are as follows:

690 _____

691 _____

692 Check Check one

693 appropriate \$10,001 to More than

694 categories \$50,000 \$50,000

695 Banks _____

696 Savings institutions _____

697 Other loan or finance companies _____

698 Insurance companies _____

699 Stock, commodity or other brokerage _____

700 companies _____

701 Other businesses: _____

702 (State principal business activity for each _____

703 creditor.) _____

704 _____

705 _____

706 Individual creditors: _____

707 (State principal business or occupation of _____

708 each creditor.) _____

709 _____
 710 _____
 711 _____

712 2. The personal debts of the members of my immediate family are as follows:

715	Check		Check one
716	appropriate		\$10,001 to
717	categories		\$50,000
			More than
			\$50,000
718	Banks	_____	_____
719	Savings institutions	_____	_____
720	Other loan or finance companies	_____	_____
721	Insurance companies	_____	_____
722	Stock, commodity or other brokerage	_____	_____
723	companies	_____	_____
724	Other businesses:		
725	(State principal business activity for each		
726	creditor.)	_____	_____
727		_____	_____
728		_____	_____
729	Individual creditors:		
730	(State principal business or occupation of		
731	each creditor.)	_____	_____
732		_____	_____
733		_____	_____
734		_____	_____

735 RETURN TO ITEM 3

736 SCHEDULE C - SECURITIES.

737 "Securities" INCLUDES stocks, bonds, "Securities" EXCLUDES
 738 mutual funds, limited partnerships, certificates of deposit,
 739 and commodity futures contracts. money market funds, annuity
 740 contracts, and insurance policies.

741 Identify each business or Virginia governmental entity in which you or a member of your immediate
 742 family, directly or indirectly, separately or together, own securities valued in excess of \$10,000. Name
 743 each entity and type of security individually.

744 Do not list U.S. Bonds or other government securities not issued by the Commonwealth of Virginia
 745 or its authorities, agencies, or local governments. Do not list organizations that do not do business in
 746 this Commonwealth, but most major businesses conduct business in Virginia. Account for securities held
 747 in trust.

748 If no reportable securities, check here // .

751			Check one
752	Type of	Type of Security	\$10,001
753	Name of Issuer	(stocks, bonds,	\$50,001
754	Entity	mutual funds, etc.)	More
755			than
756			\$250,000
757			\$250,000
758			\$250,000
759			
755	_____	_____	_____
756	_____	_____	_____
757	_____	_____	_____
758	_____	_____	_____
759	_____	_____	_____

760 RETURN TO ITEM 4

761 SCHEDULE D-1 - PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.

762 List each source from which you received during the past 12 months lodging, transportation, money,
 763 or any other thing of value (excluding meals or drinks coincident with a meeting) with a combined
 764 value exceeding \$200 for your presentation of a single talk, participation in one meeting, or publication
 765 of a work in your capacity as a legislator. Do not list payments or reimbursements by the
 766 Commonwealth. (See Schedule D-2 for such payments or reimbursements.) List a payment even if you

767 donated it to charity. Do not list information about a payment if you returned it within 60 days or if you
768 received it from an employer already listed under Item 6 or from a source of income listed on Schedule
769 F.

770 If no payment must be listed, check here // .

773				Type of Payment
774				(e.g., Honoraria,
775				Travel reimburse-
776	Payer	Approximate Value	Circumstances	ment, etc.)
777	_____	_____	_____	_____
778	_____	_____	_____	_____
779	_____	_____	_____	_____
780	_____	_____	_____	_____

782 RETURN TO ITEM 5

783 SCHEDULE D-2 - PAYMENTS BY THE COMMONWEALTH FOR MEETINGS.

784 List each meeting for which the Commonwealth provided payments or reimbursements during the
785 past 12 months to you for lodging, transportation, money, or any other thing of value (excluding meals
786 or drinks coincident with a meeting) with a combined value exceeding \$200 for your participation in
787 your capacity as a legislator. Do not list payments or reimbursements by the Commonwealth for
788 meetings or travel within the Commonwealth.

789 If no payment must be listed, check here // .

792				Type of Payment
793				(e.g., Travel
794				reimbursement,
795	Payer	Approximate Value	Circumstances	etc.)
796	_____	_____	_____	_____
797	_____	_____	_____	_____
798	_____	_____	_____	_____
799	_____	_____	_____	_____

801 SCHEDULE E - GIFTS.

802 List each business, governmental entity, or individual that, during the past 12 months, (i) furnished
803 you with any gift or entertainment at a single event and the value received by you exceeded \$50 in
804 value, or (ii) furnished you with gifts or entertainment in any combination and the value received by
805 you exceeded \$100 in total value; and for which you neither paid nor rendered services in exchange.
806 List each such gift or event.

807 Do not list entertainment events unless the average value per person attending the event exceeded
808 \$50 in value. Do not list business entertainment related to your private profession or occupation. Do not
809 list gifts or other things of value given by a relative or personal friend for reasons clearly unrelated to
810 your public position. Do not list campaign contributions publicly reported as required by Chapter 9.3
811 (§ 24.2-945 et seq.) of Title 24.2 of the Code of Virginia.

814	Name of Business,	City or		
815	Organization, or	County		
816	Individual	and State	Gift or Event	Approximate Value
817	_____	_____	_____	_____
818	_____	_____	_____	_____
819	_____	_____	_____	_____
820	_____	_____	_____	_____

822 RETURN TO ITEM 6

823 SCHEDULE F-1 - BUSINESS INTERESTS.

824 Complete this Schedule for each self-owned or family-owned business (including rental property, a
825 farm, or consulting work), partnership, or corporation in which you or a member of your immediate

826 family, separately or together, own an interest having a value in excess of \$10,000.
 827 If the enterprise is owned or operated under a trade, partnership, or corporate name, list that name;
 828 otherwise, merely explain the nature of the enterprise. If rental property is owned or operated under a
 829 trade, partnership, or corporate name, list the name only; otherwise, give the address of each property.
 830 Account for business interests held in trust.

831 _____

832

833	Name of		Nature of		Gross income	
834	Business		Enterprise			
835	Corporation,		(farming,		\$50,001	More
836	Partnership,		law, rental		to	than
837	Farm;		property, etc.)		\$50,000	\$250,000
838	Address of	City or				
839	Rental	County			or less	\$250,000
840	Property	and State				\$250,000
841	_____	_____	_____	_____	_____	_____
842	_____	_____	_____	_____	_____	_____
843	_____	_____	_____	_____	_____	_____
844	_____	_____	_____	_____	_____	_____

845 _____

846 RETURN TO ITEM 8

847 SCHEDULE F-2 - LOBBYIST RELATIONSHIPS AND PAYMENTS.

848 Complete this Schedule for each lobbyist relationship with the following:

849 (i) any person who is, or has been within the prior calendar year, registered as a lobbyist with the
 850 Secretary of the Commonwealth, or

851 (ii) any business in which you have a greater than three percent ownership interest and that business
 852 employs, or engages as an independent contractor, any person who is, or has been within the prior
 853 calendar year, registered as a lobbyist with the Secretary of the Commonwealth.

854 _____

856	Payments to				
857	Lobbyist				
858	List each person	Describe each	Dates of	\$10,000	More than
859	or business	relationship	relationship	or less	\$10,0001
860	_____	_____	_____	_____	_____
861	_____	_____	_____	_____	_____
862	_____	_____	_____	_____	_____
863	_____	_____	_____	_____	_____
864	_____	_____	_____	_____	_____

866 THE DISCLOSURE OF A LOBBYIST RELATIONSHIP SHALL NOT (I) CONSTITUTE A
 867 WAIVER OF ANY ATTORNEY-CLIENT OR OTHER PRIVILEGE, (II) REQUIRE A WAIVER OF
 868 ANY ATTORNEY-CLIENT OR OTHER PRIVILEGE FOR A THIRD PARTY, OR (III) BE
 869 REQUIRED WHERE A MEMBER OR MEMBER-ELECT IS EMPLOYED OR ENGAGED BY A
 870 PERSON AND SUCH PERSON ALSO EMPLOYS OR ENGAGES A PERSON IN A LOBBYIST
 871 RELATIONSHIP SO LONG AS THE MEMBER OR MEMBER-ELECT HAS NO FINANCIAL
 872 INTEREST IN THE LOBBYIST RELATIONSHIP.

873 SCHEDULE G-1 - PAYMENTS FOR REPRESENTATION BY YOU.

874 List the businesses you represented before any state governmental agency, excluding any court or
 875 judge, for which you received total compensation during the past 12 months in excess of \$1,000,
 876 excluding compensation for other services to such businesses and representation consisting solely of the
 877 filing of mandatory papers and subsequent representation regarding the mandatory papers filed by you.

878 Identify each business, the nature of the representation and the amount received by dollar category
 879 from each such business. You may state the type, rather than name, of the business if you are required
 880 by law not to reveal the name of the business represented by you.

881 _____

942	transportation							
943	companies	_____	_____	_____	_____	_____	_____	_____
944	Oil or gas retail							
945	companies	_____	_____	_____	_____	_____	_____	_____
946	Banks	_____	_____	_____	_____	_____	_____	_____
947	Savings							
948	institutions	_____	_____	_____	_____	_____	_____	_____
949	Loan or finance							
950	companies	_____	_____	_____	_____	_____	_____	_____
951	Manufacturing							
952	companies (state							
953	type of product,							
954	e.g., textile,							
955	furniture, etc.)	_____	_____	_____	_____	_____	_____	_____
956	Mining companies	_____	_____	_____	_____	_____	_____	_____
957	Life insurance							
958	companies	_____	_____	_____	_____	_____	_____	_____
959	Casualty insurance							
960	companies	_____	_____	_____	_____	_____	_____	_____
961	Other insurance							
962	companies	_____	_____	_____	_____	_____	_____	_____
963	Retail companies	_____	_____	_____	_____	_____	_____	_____
964	Beer, wine or							
965	liquor companies							
966	or distributors	_____	_____	_____	_____	_____	_____	_____
967	Trade associations	_____	_____	_____	_____	_____	_____	_____
968	Professional							
969	associations	_____	_____	_____	_____	_____	_____	_____
970	Associations of							
971	public employees							
972	or officials	_____	_____	_____	_____	_____	_____	_____
973	Counties, cities							
974	or towns	_____	_____	_____	_____	_____	_____	_____
975	Labor organizations	_____	_____	_____	_____	_____	_____	_____
976	Other	_____	_____	_____	_____	_____	_____	_____
977								

978 **SCHEDULE G-4 - PAYMENTS FOR ADVOCACY SERVICES.**

979 Identify each such entity or person who has paid such compensation, the nature of the
 980 representation, the recipient of the compensation, and the amount received by dollar category.

981	_____							
982	_____							
983		Nature	Amount Received					
984		of	Recipient					
985	Name	Repre-	\$1,001	\$10,001	\$50,001	\$100,001	\$250,001	
986	of	enta-	to	to	to	to	and	
987	Entity	tion	\$10,000	\$50,000	\$100,000	\$250,000	over	
988	_____	_____	_____	_____	_____	_____	_____	
989	_____	_____	_____	_____	_____	_____	_____	
990	_____	_____	_____	_____	_____	_____	_____	
991	_____	_____	_____	_____	_____	_____	_____	
992	_____	_____	_____	_____	_____	_____	_____	

993 If you have received \$250,001 or more from a single business within the reporting period, indicate
 994 the amount received, rounded to the nearest \$10,000. Amount Received: _____.

995 RETURN TO ITEM 9

996 **SCHEDULE H - REAL ESTATE.**

997 List real estate other than your principal residence in which you or a member of your immediate
 998 family holds an interest, including a partnership interest, option, easement, or land contract, valued at

999 \$10,000 or more. Each parcel must be listed individually.

1000	<hr/>		
1001			
1002		Describe the type of real	
1003		estate you own in each	
1004	List the location	location (business,	If the real estate is
1005	(state, and county	recreational, apartment,	owned or recorded in
1006	or city where you	commercial, open land,	a name other than your
1007	own real estate	etc.)	own, list that name
1008	<hr/>	<hr/>	<hr/>
1009	<hr/>	<hr/>	<hr/>
1010	<hr/>	<hr/>	<hr/>
1011	<hr/>	<hr/>	<hr/>
1012	<hr/>	<hr/>	<hr/>
1013	<hr/>	<hr/>	<hr/>

RETURN TO ITEM 10

1014 SCHEDULE I - REAL ESTATE CONTRACTS WITH STATE GOVERNMENTAL AGENCIES.

1015 List all contracts, whether pending or completed within the past 12 months, with a state
1016 governmental agency for the sale or exchange of real estate in which you or a member of your
1017 immediate family holds an interest, including a corporate, partnership or trust interest, option, easement,
1018 or land contract, valued at \$10,000 or more. List all contracts with a state governmental agency for the
1019 lease of real estate in which you or a member of your immediate family holds such an interest valued at
1020 \$1,000 or more. This requirement to disclose an interest in a lease does not apply to an interest derived
1021 through an ownership interest in a business unless the ownership interest exceeds three percent of the
1022 total equity of the business.
1023

1024	<hr/>		
1025			
1026	List your real		
1027	estate interest and		
1028	the person or entity,		
1029	including the type of		
1030	entity, which is		
1031	party to the contract.		
1032	Describe any		State the annual
1033	management role and	List each	income from the
1034	the percentage	governmental agency	contract, and the
1035	ownership interest	which is a party to	amount, if any, of
1036	you or your immediate	the contract and	income you or any
1037	family member has in	indicate the county	immediate family
1038	the real estate	or city where the	member derives
1039	or entity.	real estate is located.	annually from
1040	<hr/>	<hr/>	the contract.
1041	<hr/>	<hr/>	<hr/>
1042	<hr/>	<hr/>	<hr/>
1043	<hr/>	<hr/>	<hr/>
1044	<hr/>	<hr/>	<hr/>
1045	<hr/>	<hr/>	<hr/>

1046 B. Any legislator who makes a knowing misstatement of a material fact on the Statement of
1047 Economic Interests shall be subject to disciplinary action for such violations by the house in which the
1048 legislator sits.

1049 C. In accordance with the rules of each house, the Statement of Economic Interests of all members
1050 of each house shall be reviewed. If a legislator's Statement is found to be inadequate as filed, the
1051 legislator shall be notified in writing and directed to file an amended Statement correcting the indicated
1052 deficiencies, and a time shall be set within which such amendment shall be filed. If the Statement of
1053 Economic Interests, in either its original or amended form, is found to be adequate as filed, the
1054 legislator's filing shall be deemed in full compliance with this section as to the information disclosed
1055 thereon.

1056 D. Ten percent of the membership of a house, on the basis of newly discovered facts, may in writing

1057 request the house in which those members sit, in accordance with the rules of that house, to review the
1058 Statement of Economic Interests of another member of that house in order to determine the adequacy of
1059 his filing. In accordance with the rules of each house, each Statement of Economic Interests shall be
1060 promptly reviewed, the adequacy of the filing determined, and notice given in writing to the legislator
1061 whose Statement is in issue. Should it be determined that the Statement requires correction,
1062 augmentation or revision, the legislator involved shall be directed to make the changes required within
1063 such time as shall be set under the rules of each house.
1064 If a legislator, after having been notified in writing in accordance with the rules of the house in
1065 which he sits that his Statement is inadequate as filed, fails to amend his Statement so as to come into
1066 compliance within the time limit set, he shall be subject to disciplinary action by the house in which he
1067 sits. No legislator shall vote on any question relating to his own Statement.

INTRODUCED

HB2301