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SENATE BILL NO. 483**AMENDMENT IN THE NATURE OF A SUBSTITUTE**

(Proposed by the Senate Committee on Rehabilitation and Social Services
on January 25, 2008)

(Patron Prior to Substitute—Senator Hanger [Hanger: SB 481, SB 482, SB 484, SB 485, and SB 486])

A BILL to amend and reenact §§ 2.2-2648, 2.2-2649, 2.2-5206, 2.2-5210, 37.2-308, and 63.2-226 of the Code of Virginia, relating to the Comprehensive Services Act; data collection and performance standards.

Be it enacted by the General Assembly of Virginia:

1. That §§ 2.2-2648, 2.2-2649, 2.2-5206, 2.2-5210, 37.2-308, and 63.2-226 of the Code of Virginia are amended and reenacted as follows:

§ 2.2-2648. State Executive Council for Comprehensive Services for At-Risk Youth and Families; membership; meetings; powers and duties.

A. The State Executive Council for Comprehensive Services for At-Risk Youth and Families (the Council) is established as a supervisory council, within the meaning of § 2.2-2100, in the executive branch of state government.

B. The Council shall consist of one member of the House of Delegates to be appointed by the Speaker of the House and one member of the Senate to be appointed by the Senate Committee on Rules; the Commissioners of Health, of Mental Health, Mental Retardation and Substance Abuse Services, and of Social Services; the Superintendent of Public Instruction; the Executive Secretary of the Virginia Supreme Court; the Director of the Department of Juvenile Justice; the Director of the Department of Medical Assistance Services; the chairman of the state and local advisory team established pursuant to § 2.2-5202; two local government representatives to include a member of a county board of supervisors or a city council and a county administrator or city manager, to be appointed by the Governor; a private provider representative from a facility that maintains membership in an association of providers for children's or family services and receives funding as authorized by the Comprehensive Services Act (§ 2.2-5200 et seq.), to be appointed by the Governor, who may appoint from nominees recommended by the Virginia Coalition of Private Provider Associations; and a parent representative. The parent representative shall be appointed by the Governor for a term not to exceed three years and shall not be an employee of any public or private program that serves children and families. Appointments of legislative members shall be for terms coincident with their terms of office. Legislative members shall not be included for the purposes of constituting a quorum.

C. The Council shall be chaired by the Secretary of Health and Human Resources or a designated deputy who shall be responsible for convening the council. The Council shall meet, at a minimum, quarterly, to oversee the administration of this article and make such decisions as may be necessary to carry out its purposes. Legislative members shall receive compensation as provided in § 30-19.12 and nonlegislative citizen members shall receive compensation for their services as provided in §§ 2.2-2813 and 2.2-2825.

D. The Council shall have the following powers and duties:

1. Hire and supervise a director of the Office of Comprehensive Services for At-Risk Youth and Families;

2. Appoint the members of the state and local advisory team in accordance with the requirements of § 2.2-5201;

3. Provide for the establishment of interagency programmatic and fiscal policies developed by the Office of Comprehensive Services for At-Risk Youth and Families, which support the purposes of the Comprehensive Services Act (§ 2.2-5200 et seq.), through the promulgation of regulations by the participating state boards or by administrative action, as appropriate;

4. Provide for a public participation process for programmatic and fiscal guidelines and dispute resolution procedures developed for administrative actions that support the purposes of the Comprehensive Services Act (§ 2.2-5200 et seq.). The public participation process shall include, at a minimum, 60 days of public comment and the distribution of these guidelines and procedures to all interested parties;

5. Oversee the administration of and consult with the Virginia Municipal League and the Virginia Association of Counties about state policies governing the use, distribution and monitoring of moneys in the state pool of funds and the state trust fund;

6. Provide for the administration of necessary functions that support the work of the Office of Comprehensive Services for At-Risk Youth and Families;

7. Review and take appropriate action on issues brought before it by the Office of Comprehensive Services for At-Risk Youth and Families, Community Policy and Management Teams (CPMTs), local

60 governments, providers and parents;

61 8. Advise the Governor and appropriate Cabinet Secretaries on proposed policy and operational
62 changes that facilitate interagency service development and implementation, communication and
63 cooperation;

64 9. Provide administrative support and fiscal incentives for the establishment and operation of local
65 comprehensive service systems;

66 10. Oversee coordination of early intervention programs to promote comprehensive, coordinated
67 service delivery, local interagency program management, and co-location of programs and services in
68 communities. Early intervention programs include state programs under the administrative control of the
69 state executive council member agencies;

70 11. Oversee the development and implementation of a mandatory uniform assessment instrument and
71 process to be used by all localities to identify levels of risk of Comprehensive Services Act (CSA)
72 youth;

73 12. Oversee the development and implementation of uniform guidelines to include initial intake and
74 screening assessment, development and implementation of a plan of care, service monitoring and
75 periodic follow-up, and the formal review of the status of the youth and the family;

76 13. Oversee the development and implementation of uniform guidelines for documentation for
77 CSA-funded services;

78 14. Review and approve a request by a CPMT to establish a collaborative, multidisciplinary team
79 process for referral and reviews of children and families pursuant to § 2.2-5209;

80 15. Oversee the development and implementation of mandatory uniform guidelines for utilization
81 management; each locality receiving funds for activities under the Comprehensive Services Act shall
82 have a locally determined utilization management plan following the guidelines or use of a process
83 approved by the Council for utilization management, covering all CSA-funded services;

84 16. Oversee the development, *and implementation, and collection* of uniform data collection
85 standards; *and the collection of data, utilizing a secure electronic client-specific database for*
86 *CSA-funded services, which shall include, but not be limited to, the following client specific information:*
87 *(i) children served, including those placed out of state; (ii) individual characteristics of youths and*
88 *families being served; (iii) types of services provided; (iv) service utilization including length of stay; (v)*
89 *service expenditures; (vi) provider identification number for specific facilities and programs identified by*
90 *the state in which the child receives services; (vii) a data field indicating the circumstances under which*
91 *the child ends each service; and (viii) a data field indicating the circumstances under which the child*
92 *exits the Comprehensive Services Act program. All client-specific information shall remain confidential*
93 *and only non-identifying aggregate demographic, service, and expenditure information shall be made*
94 *available to the public; development of outcome measures; including, but not limited to, expenditures,*
95 *number of youth served in specific CSA activities, length of stay for residents in core licensed*
96 *residential facilities, and proportion of youth placed in treatment settings suggested by a uniform*
97 *assessment instrument for CSA-funded services;*

98 17. Oversee the development and implementation of a uniform set of performance measures for
99 evaluating the Comprehensive Services Act program, including, but not limited to, the number of youths
100 served in their homes, schools and communities. Performance measures shall be based on information:
101 (i) collected in the client-specific database referenced in subdivision 16, (ii) from the mandatory uniform
102 assessment instrument referenced in subdivision 11, and (iii) from available and appropriate client
103 outcome data that is not prohibited from being shared under federal law and is routinely collected by
104 the state child-serving agencies that serve on the Council. If provided client-specific information, state
105 child serving agencies shall report available and appropriate outcome data in clause (iii) to the Office
106 of Comprehensive Services for At-Risk Youth and Families. Outcome data submitted to the Office of
107 Comprehensive Services for At-Risk Youth and Families shall be used solely for the administration of
108 the Comprehensive Services Act program. Applicable client outcome data shall include, but not be
109 limited to: (a) permanency outcomes by the Virginia Department of Social Services, (b) recidivism
110 outcomes by the Virginia Department of Juvenile Justice, and (c) educational outcomes by the Virginia
111 Department of Education. All client-specific information shall remain confidential and only
112 non-identifying aggregate outcome information shall be made available to the public.

113 17-18. The Council shall oversee the development and distribution of management reports that
114 provide information to the public and CPMTs to help evaluate child and family outcomes and public
115 and private provider performance in the provision of services to children and families through the
116 Comprehensive Services Act program. Management reports shall include total expenditures on children
117 served through the Comprehensive Services Act program as reported to the Office of Comprehensive
118 Services for At-Risk Youth and Families by state child-serving agencies on the Council and shall
119 include, but not be limited to: (i) client-specific payments for inpatient and outpatient mental health
120 services, treatment foster care services and residential services made through the Medicaid program and
121 reported by the Virginia Department of Medical Assistance Services and (ii) client-specific payments

made through the Title IV-E foster care program reported by the Virginia Department of Social Services. The Office of Comprehensive Services shall provide client-specific information to the state agencies for the sole purpose of the administration of the Comprehensive Services Act program. All client-specific information shall remain confidential and only non-identifying aggregate demographic, service, expenditure, and outcome information shall be made available to the public.

19. Establish and oversee the operation of an informal review and negotiation process with the Director of the Office of Comprehensive Services and a formal dispute resolution procedure before the State Executive Council, which include formal notice and an appeals process, should the Director or Council find, upon a formal written finding, that a CPMT failed to comply with any provision of this Act. "Formal notice" means the Director or Council provides a letter of notification, which communicates the Director's or the Council's finding, explains the effect of the finding, and describes the appeal process, to the chief administrative officer of the local government with a copy to the chair of the CPMT. The dispute resolution procedure shall also include provisions for remediation by the CPMT that shall include a plan of correction recommended by the Council and submitted to the CPMT. If the Council denies reimbursement from the state pool of funds, the Council and the locality shall develop a plan of repayment;

18-20. Deny state funding to a locality where the CPMT fails to provide services that comply with the Comprehensive Services Act (§ 2.2-5200 et seq.), in accordance with subdivision 17; and

19-21. Biennially publish and disseminate to members of the General Assembly and community policy and management teams a state progress report on comprehensive services to children, youth and families and a plan for such services for the next succeeding biennium. The state plan shall:

a. Provide a fiscal profile of current and previous years' federal and state expenditures for a comprehensive service system for children, youth and families;

b. Incorporate information and recommendations from local comprehensive service systems with responsibility for planning and delivering services to children, youth and families;

c. Identify and establish goals for comprehensive services and the estimated costs of implementing these goals, report progress toward previously identified goals and establish priorities for the coming biennium; and

d. Include such other information or recommendations as may be necessary and appropriate for the improvement and coordinated development of the state's comprehensive services system.

§ 2.2-2649. Office of Comprehensive Services for At-Risk Youth and Families established; powers and duties.

A. The Office of Comprehensive Services for At-Risk Youth and Families is hereby established to serve as the administrative entity of the Council and to ensure that the decisions of the council are implemented. The director shall be hired by and subject to the direction and supervision of the Council pursuant to § 2.2-2648.

B. The director of the Office of Comprehensive Services for At-Risk Youth and Families shall:

1. Develop and recommend to the state executive council programs and fiscal policies that promote and support cooperation and collaboration in the provision of services to troubled and at-risk youths and their families at the state and local levels;

2. Develop and recommend to the Council state interagency policies governing the use, distribution and monitoring of moneys in the state pool of funds and the state trust fund;

3. Develop and provide for the consistent oversight for program administration and compliance with state policies and procedures;

4. Provide for training and technical assistance to localities in the provision of efficient and effective services that are responsive to the strengths and needs of troubled and at-risk youths and their families;

5. Serve as liaison to the participating state agencies that administratively support the Office and that provide other necessary services;

6. Provide an informal review and negotiation process pursuant to subdivision D 4719 of § 2.2-2648;

7. Implement, in collaboration with participating state agencies, policies, guidelines and procedures adopted by the State Executive Council;

8. Consult regularly with the Virginia Municipal League, the Virginia Coalition of Private Provider Associations, and the Virginia Association of Counties about implementation and operation of the Comprehensive Services Act (§ 2.2-5200 et seq.);

9. Hire appropriate staff as approved by the Council; and

10. Perform such other duties as may be assigned by the State Executive Council;-

11. Develop and implement uniform data collection standards and collect data, utilizing a secure electronic database for CSA-funded services, in accordance with subdivision D 16 of § 2.2-2648.

12. Develop and implement a uniform set of performance standards for the Comprehensive Services Act program in accordance with subdivision D 17 of § 2.2-2648.

13. Develop, implement, and distribute management reports in accordance with subdivision D 18 of

183 § 2.2-2648.

184 C. The director of the Office of Comprehensive Services, in order to provide support and assistance
185 to the Comprehensive Policy and Management Teams (CPMTs) and Family Assessment and Planning
186 Teams (FAPTs) established pursuant to the Comprehensive Services Act for At-Risk Youth and Families
187 (§ 2.2-5200 et seq.), shall:

188 1. Develop and maintain a web-based statewide automated database, with support from the
189 Department of Information Technology or its successor agency, of the authorized vendors of the
190 Comprehensive Services Act (CSA) services to include verification of a vendor's licensure status, a
191 listing of each discrete CSA service offered by the vendor, and the discrete CSA service's rate
192 determined in accordance with § 2.2-5214; and

193 2. Develop, in consultation with the Department of General Services, CPMTs, and vendors, a
194 standardized purchase of services contract, which in addition to general contract provisions when
195 utilizing state pool funds will enable localities to specify the discrete service or services they are
196 purchasing for the specified client, the required reporting of the client's service data, including types and
197 numbers of disabilities, mental health and mental retardation diagnoses, or delinquent behaviors for
198 which the purchased services are intended to address, the expected outcomes resulting from these
199 services and the performance timeframes mutually agreed to when the services are purchased.

200 § 2.2-5206. Community policy and management teams; powers and duties.

201 The community policy and management team shall manage the cooperative effort in each community
202 to better serve the needs of troubled and at-risk youths and their families and to maximize the use of
203 state and community resources. Every such team shall:

204 1. Develop interagency policies and procedures to govern the provision of services to children and
205 families in its community;

206 2. Develop interagency fiscal policies governing access to the state pool of funds by the eligible
207 populations including immediate access to funds for emergency services and shelter care;

208 3. Establish policies to assess the ability of parents or legal guardians to contribute financially to the
209 cost of services to be provided and, when not specifically prohibited by federal or state law or
210 regulation, provide for appropriate parental or legal guardian financial contribution, utilizing a standard
211 sliding fee scale based upon ability to pay;

212 4. Coordinate long-range, community-wide planning that ensures the development of resources and
213 services needed by children and families in its community including consultation on the development of
214 a community-based system of services established under § 16.1-309.3;

215 5. Establish policies governing referrals and reviews of children and families to the family
216 assessment and planning teams or a collaborative, multidisciplinary team process approved by the
217 Council and a process to review the teams' recommendations and requests for funding;

218 6. Establish quality assurance and accountability procedures for program utilization and funds
219 management;

220 7. Establish procedures for obtaining bids on the development of new services;

221 8. Manage funds in the interagency budget allocated to the community from the state pool of funds,
222 the trust fund, and any other source;

223 9. Authorize and monitor the expenditure of funds by each family assessment and planning team or a
224 collaborative, multidisciplinary team process approved by the Council;

225 10. Submit grant proposals that benefit its community to the state trust fund and enter into contracts
226 for the provision or operation of services upon approval of the participating governing bodies;

227 11. Serve as its community's liaison to the Office of Comprehensive Services for At-Risk Youth and
228 Families, reporting on its programmatic and fiscal operations and on its recommendations for improving
229 the service system, including consideration of realignment of geographical boundaries for providing
230 human services;

231 12. Collect and provide uniform data to the Council on, but not limited to, as requested by the
232 Office of Comprehensive Services for At-Risk Youth and Families in accordance with subdivision D 16
233 of § 2.2-2648; expenditures, number of youth served in specific CSA activities, length of stay for
234 residents in core licensed residential facilities, and proportion of youth placed in treatment settings
235 suggested by a uniform assessment instrument for CSA-funded services;

236 13. Review and analyze data in management reports provided by the Office of Comprehensive
237 Services for At-Risk Youth and Families in accordance with subdivision D 18 of § 2.2-2648 to help
238 evaluate child and family outcomes and public and private provider performance in the provision of
239 services to children and families through the Comprehensive Services Act program. Every team shall
240 also review local and statewide data provided in the management reports on the number of children
241 served, children placed out of state, demographics, types of services provided, duration of services,
242 service expenditures, child and family outcomes, and performance measures. Additionally, teams shall
243 track the utilization and performance of residential placements using data and management reports to
244 develop and implement strategies for returning children placed outside of the Commonwealth, preventing

placements, and reducing lengths of stay in residential programs for children who can appropriately and effectively be served in their home, relative's homes, family-like setting, or their community;

14. Administer funds pursuant to § 16.1-309.3;

14.15. Have authority, upon approval of the participating governing bodies, to enter into a contract with another community policy and management team to purchase coordination services provided that funds described as the state pool of funds under § 2.2-5211 are not used; and

15.16. Submit to the Department of Mental Health, Mental Retardation and Substance Abuse Services information on children under the age of 14 and adolescents ages 14 through 17 for whom an admission to an acute care psychiatric or residential treatment facility licensed pursuant to Article 2 (§ 37.2-403 et seq.) of Chapter 4 of Title 37.2, exclusive of group homes, was sought but was unable to be obtained by the reporting entities. Such information shall be gathered from the family assessment and planning team or participating community agencies authorized in § 2.2-5207. Information to be submitted shall include:

a. The child or adolescent's date of birth;

b. Date admission was attempted; and

c. Reason the patient could not be admitted into the hospital or facility.

§ 2.2-5210. Information sharing; confidentiality.

All public agencies that have served a family or treated a child referred to a family assessment and planning team shall cooperate with this team. The agency that refers a youth and family to the team shall be responsible for obtaining the consent required to share agency client information with the team. After obtaining the proper consent, all agencies shall promptly deliver, upon request and without charge, such records of services, treatment or education of the family or child as are necessary for a full and informed assessment by the team.

Proceedings held to consider the appropriate provision of services and funding for a particular child or family or both who have been referred to the family assessment and planning team and whose case is being assessed by this team or reviewed by the community management and planning team shall be confidential and not open to the public, unless the child and family who are the subjects of the proceeding request, in writing, that it be open. All information about specific children and families obtained by the team members in the discharge of their responsibilities to the team shall be confidential.

Utilizing a secure electronic database, the CPMT and the family assessment and planning team shall provide the Office of Comprehensive Services for At-Risk Youth and Families with client-specific information from the mandatory uniform assessment and information in accordance with subdivision D 11 of § 2.2-2648. Demographic, service and cost information on youths and families receiving services and funding through this chapter that is of a nonidentifying nature may be gathered for reporting and evaluation purposes.

§ 37.2-308. Data reporting on children and adolescents.

A. The Department shall collect and compile the following data:

1. The total number of licensed and staffed inpatient acute care psychiatric beds for children under the age of 14 and adolescents ages 14 through 17; and

2. The total number of licensed and staffed residential treatment beds for children under the age of 14 and adolescents ages 14 through 17 in residential facilities licensed pursuant to this title, excluding group homes.

B. The Department shall collect and compile data obtained from the community policy and management team pursuant to subdivision 15.16 of § 2.2-5206 and each community services board or behavioral health authority pursuant to § 37.2-507 and subdivision 18 of § 37.2-605. The Department shall ensure that the data reported is not duplicative.

C. The Department shall report this data on a quarterly basis to the Chairmen of the House Appropriations and Senate Finance Committees and to the Virginia Commission on Youth.

§ 63.2-226. Duties of Department.

The Department shall assume administrative responsibilities for the statewide system. In this capacity, the Department shall establish an office to:

1. Develop a plan for the design and implementation of a statewide human services information and referral program;

2. Coordinate and supervise the implementation and operation of the information and referral program;

3. Coordinate funding for the system;

4. Select regional providers of information and referral services;

5. Supervise coordination of information management among information and referral regions across the Commonwealth;

6. Encourage effective relationships between the system and state and local agencies and public and private organizations;

- 306 7. Develop and implement a statewide publicity effort;
307 8. Provide training, technical assistance, research, and consultation for regional and local information
308 and referral centers, and to localities interested in developing information and referral services;
309 9. Determine a core level of services to be funded from state government resources;
310 10. Coordinate standardization of resource data collection, maintenance and dissemination;
311 11. Stimulate and encourage the availability of statewide information and referral services; ~~and~~
312 12. Develop and implement a program for monitoring and assessing the performance and success of
313 the information and referral program; *and*
314 13. *Collect information on child-specific payments made through the Title IV-E foster care program*
315 *and submit information, when available, to the Office of Comprehensive Services for At-Risk Youth and*
316 *Families.*