# 2007 SESSION

**ENROLLED** 

[S 1228]

### 1

### VIRGINIA ACTS OF ASSEMBLY — CHAPTER

2 An Act to amend and reenact §§ 32.1-138 and 63.2-1808 of the Code of Virginia, relating to sex offender registry; nursing homes and assisted living facilities.

4 5

#### Approved

# 6 Be it enacted by the General Assembly of Virginia:

7 1. That §§ 32.1-138 and 63.2-1808 of the Code of Virginia are amended and reenacted as follows:

§ 32.1-138. Enumeration; posting of policies; staff training; responsibilities devolving on guardians,
 9 etc.; exceptions; certification of compliance.

A. The governing body of a nursing home facility required to be licensed under the provisions of Article 1 (§ 32.1-123 et seq.) of this chapter, through the administrator of such facility, shall cause to be promulgated policies and procedures to ensure that, at the minimum, each patient admitted to such facility:

14 1. Is fully informed, as evidenced by the patient's written acknowledgment, prior to or at the time of
admission and during his stay, of his rights and of all rules and regulations governing patient conduct
and responsibilities;

17 2. Is fully informed, prior to or at the time of admission and during his stay, of services available in
18 the facility and of related charges, including any charges for services not covered under Titles XVIII or
19 XIX of the United States Social Security Act or not covered by the facility's basic per diem rate;

3. Is fully informed in summary form of the findings concerning the facility in federal Centers for
 Medicare & Medicaid Services surveys and investigations, if any;

4. Is fully informed by a physician, physician assistant, or nurse practitioner of his medical condition
unless medically contraindicated as documented by a physician, physician assistant, or nurse practitioner
in his medical record and is afforded the opportunity to participate in the planning of his medical
treatment and to refuse to participate in experimental research;

5. Is transferred or discharged only for medical reasons, or for his welfare or that of other patients,
or for nonpayment for his stay except as prohibited by Titles XVIII or XIX of the United States Social
Security Act, and is given reasonable advance notice as provided in § 32.1-138.1 to ensure orderly
transfer or discharge, and such actions are documented in his medical record;

6. Is encouraged and assisted, throughout the period of his stay, to exercise his rights as a patient
and as a citizen and to this end may voice grievances and recommend changes in policies and services
to facility staff and to outside representatives of his choice, free from restraint, interference, coercion,
discrimination, or reprisal;

7. May manage his personal financial affairs, or may have access to records of financial transactions made on his behalf at least once a month and is given at least a quarterly accounting of financial transactions made on his behalf should the facility accept his written delegation of this responsibility to the facility for any period of time in conformance with state law;

8. Is free from mental and physical abuse and free from chemical and, except in emergencies,
physical restraints except as authorized in writing by a physician for a specified and limited period of time or when necessary to protect the patient from injury to himself or to others;

9. Is assured confidential treatment of his personal and medical records and may approve or refuse
their release to any individual outside the facility, except in case of his transfer to another health care
institution or as required by law or third-party payment contract;

10. Is treated with consideration, respect, and full recognition of his dignity and individuality,including privacy in treatment and in care for his personal needs;

46 11. Is not required to perform services for the facility that are not included for therapeutic purposes47 in his plan of care;

48 12. May associate and communicate privately with persons of his choice and send and receive his personal mail unopened, unless medically contraindicated as documented by his physician in his medical record;

51 13. May meet with and participate in activities of social, religious and community groups at his
 52 discretion, unless medically contraindicated as documented by his physician, physician assistant, or nurse
 53 practitioner in his medical record;

54 14. May retain and use his personal clothing and possessions as space permits unless to do so would
55 infringe upon rights of other patients and unless medically contraindicated as documented by his
56 physician assistant, or nurse practitioner in his medical record; and

**SB1228ER** 

2 of 4

57 15. If married, is assured privacy for visits by his or her spouse and if both are inpatients in the facility, is permitted to share a room with such spouse unless medically contraindicated as documented
59 by the attending physician, physician assistant, or nurse practitioner in the medical record; and

60 16. Is fully informed, as evidenced by the written acknowledgment of the resident or his legal 61 representative, prior to or at the time of admission and during his stay, that he should exercise whatever 62 due diligence he deems necessary with respect to information on any sexual offenders registered pursuant to Chapter 9 (§ 9.1-900 et seq.) of Title 9.1, including how to obtain such information. Upon 63 64 request, the nursing home facility shall assist the resident, prospective resident, or the legal representative of the resident or prospective resident in accessing this information and provide the 65 resident, prospective resident, or the legal representative of the resident or prospective resident with 66 67 printed copies of the requested information.

68 B. All established policies and procedures regarding the rights and responsibilities of patients shall be printed in at least 12-point type and posted conspicuously in a public place in all nursing home facilities required to be licensed under the provisions of Article 1 (§ 32.1-123 et seq.) of this chapter. These 69 70 policies and procedures shall include the name and telephone number of the complaint coordinator in the 71 Division of Licensure and Certification of the Virginia Department of Health, the Adult Protective 72 73 Services' toll-free telephone number, as well as the toll-free telephone number for the Virginia 74 Long-Term Care Ombudsman Program and any substate ombudsman program serving the area. Copies 75 of such policies and procedures shall be given to patients upon admittance to the facility and made 76 available to patients currently in residence, to any guardians, next of kin, or sponsoring agency or 77 agencies, and to the public.

78 C. The provisions of this section shall not be construed to restrict any right that any patient in residence has under law.

80 D. Each facility shall provide appropriate staff training to implement each patient's rights included in81 subsection A hereof.

E. All rights and responsibilities specified in subsection A hereof and § 32.1-138.1 as they pertain to
(i) a patient adjudicated incapacitated in accordance with state law, (ii) a patient who is found, by his
physician, to be medically incapable of understanding these rights, or (iii) a patient who is unable to
communicate with others shall devolve to such patient's guardian, next of kin, sponsoring agency or
agencies, or representative payee, except when the facility itself is representative payee, selected
pursuant to section 205(j) of Title II of the United States Social Security Act.

F. Nothing in this section shall be construed to prescribe, regulate, or control the remedial care and
treatment or nursing service provided to any patient in a nursing institution to which the provisions of
§ 32.1-128 are applicable.

G. It shall be the responsibility of the Commissioner to insure that the provisions of this section and the provisions of § 32.1-138.1 are observed and implemented by nursing home facilities. Each nursing home facility to which this section and § 32.1-138.1 are applicable shall certify to the Commissioner that it is in compliance with the provisions of this section and the provisions of § 32.1-138.1 as a condition to the issuance or renewal of the license required by Article 1 (§ 32.1-123 et seq.) of this chapter.

96 § 63.2-1808. Rights and responsibilities of residents of assisted living facilities; certification of 97 licensure.

A. Any resident of an assisted living facility has the rights and responsibilities enumerated in this section. The operator or administrator of an assisted living facility shall establish written policies and procedures to ensure that, at the minimum, each person who becomes a resident of the assisted living facility:

102 1. Is fully informed, prior to or at the time of admission and during the resident's stay, of his rights
and of all rules and expectations governing the resident's conduct, responsibilities, and the terms of the
admission agreement; evidence of this shall be the resident's written acknowledgment of having been so
informed, which shall be filed in his record;

106 2. Is fully informed, prior to or at the time of admission and during the resident's stay, of services available in the facility and of any related charges; this shall be reflected by the resident's signature on a current resident's agreement retained in the resident's file;

109 3. Unless a committee or conservator has been appointed, is free to manage his personal finances and 110 funds regardless of source; is entitled to access to personal account statements reflecting financial 111 transactions made on his behalf by the facility; and is given at least a quarterly accounting of financial 112 transactions made on his behalf when a written delegation of responsibility to manage his financial 113 affairs is made to the facility for any period of time in conformance with state law;

4. Is afforded confidential treatment of his personal affairs and records and may approve or refuse
their release to any individual outside the facility except as otherwise provided in law and except in case
of his transfer to another care-giving facility;

5. Is transferred or discharged only when provided with a statement of reasons, or for nonpayment

118 for his stay, and is given reasonable advance notice; upon notice of discharge or upon giving reasonable 119 advance notice of his desire to move, shall be afforded reasonable assistance to ensure an orderly 120 transfer or discharge; such actions shall be documented in his record;

121 6. In the event a medical condition should arise while he is residing in the facility, is afforded the 122 opportunity to participate in the planning of his program of care and medical treatment at the facility 123 and the right to refuse treatment;

124 7. Is not required to perform services for the facility except as voluntarily contracted pursuant to a 125 voluntary agreement for services that states the terms of consideration or remuneration and is 126 documented in writing and retained in his record; 127

8. Is free to select health care services from reasonably available resources;

128 9. Is free to refuse to participate in human subject experimentation or to be party to research in 129 which his identity may be ascertained;

130 10. Is free from mental, emotional, physical, sexual, and economic abuse or exploitation; is free from 131 forced isolation, threats or other degrading or demeaning acts against him; and his known needs are not 132 neglected or ignored by personnel of the facility; 133

11. Is treated with courtesy, respect, and consideration as a person of worth, sensitivity, and dignity;

134 12. Is encouraged, and informed of appropriate means as necessary, throughout the period of stay to 135 exercise his rights as a resident and as a citizen; to this end, he is free to voice grievances and 136 recommend changes in policies and services, free of coercion, discrimination, threats or reprisal;

137 13. Is permitted to retain and use his personal clothing and possessions as space permits unless to do 138 so would infringe upon rights of other residents;

139 14. Is encouraged to function at his highest mental, emotional, physical and social potential;

140 15. Is free of physical or mechanical restraint except in the following situations and with appropriate 141 safeguards:

142 a. As necessary for the facility to respond to unmanageable behavior in an emergency situation, 143 which threatens the immediate safety of the resident or others;

144 b. As medically necessary, as authorized in writing by a physician, to provide physical support to a 145 weakened resident;

146 16. Is free of prescription drugs except where medically necessary, specifically prescribed, and 147 supervised by the attending physician, physician assistant, or nurse practitioner;

148 17. Is accorded respect for ordinary privacy in every aspect of daily living, including but not limited 149 to the following:

150 a. In the care of his personal needs except as assistance may be needed;

151 b. In any medical examination or health-related consultations the resident may have at the facility;

152 c. In communications, in writing or by telephone;

153 d. During visitations with other persons;

e. In the resident's room or portion thereof; residents shall be permitted to have guests or other 154 155 residents in their rooms unless to do so would infringe upon the rights of other residents; staff may not 156 enter a resident's room without making their presence known except in an emergency or in accordance 157 with safety oversight requirements included in regulations of the Board;

158 f. In visits with his spouse; if both are residents of the facility they are permitted but not required to 159 share a room unless otherwise provided in the residents' agreements; and

160 18. Is permitted to meet with and participate in activities of social, religious, and community groups at his discretion unless medically contraindicated as documented by his physician, physician assistant, or 161 162 nurse practitioner in his medical record; and

19. Is fully informed, as evidenced by the written acknowledgment of the resident or his legal 163 164 representative, prior to or at the time of admission and during his stay, that he should exercise whatever 165 due diligence he deems necessary with respect to information on any sex offenders registered pursuant to Chapter 9 (§ 9.1-900 et. seq.) of Title 9.1, including how to obtain such information. Upon request, 166 167 the assisted living facility shall assist the resident, prospective resident, or the legal representative of the 168 resident or prospective resident in accessing this information and provide the resident, prospective 169 resident, or the legal representative of the resident or prospective resident with printed copies of the 170 requested information.

171 B. If the resident is unable to fully understand and exercise the rights and responsibilities contained 172 in this section, the facility shall require that a responsible individual, of the resident's choice when 173 possible, designated in writing in the resident's record, be made aware of each item in this section and 174 the decisions that affect the resident or relate to specific items in this section; a resident shall be 175 assumed capable of understanding and exercising these rights unless a physician determines otherwise 176 and documents the reasons for such determination in the resident's record.

177 C. The rights and responsibilities of residents shall be printed in at least 12-point type and posted 178 conspicuously in a public place in all assisted living facilities. The facility shall also post the name and **SB1228ER** 

179 telephone number of the regional licensing supervisor of the Department, the Adult Protective Services'

toll-free telephone number, as well as the toll-free telephone number for the Virginia Long-Term Care
Ombudsman Program, any sub-state ombudsman program serving the area, and the toll-free number of
the Virginia Office for Protection and Advocacy.

183 D. The facility shall make its policies and procedures for implementing this section available and accessible to residents, relatives, agencies, and the general public.

185 E. The provisions of this section shall not be construed to restrict or abridge any right that any 186 resident has under law.

F. Each facility shall provide appropriate staff training to implement each resident's rights included inthis section.

**189** G. The Board shall adopt regulations as necessary to carry out the full intent of this section.

H. It shall be the responsibility of the Commissioner to ensure that the provisions of this section areobserved and implemented by assisted living facilities as a condition to the issuance, renewal, orcontinuation of the license required by this article.